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**Non-contact measurement of emotional and physiological changes
in heart rate from a webcam**

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Abstract

29 Heart rate, measured in beats per minute (BPM), can be used as an index of an
30 individual's physiological state. Each time the heart beats, blood is expelled and travels
31 through the body. This blood flow can be detected in the face using a standard webcam
32 that is able to pick up subtle changes in color that cannot be seen by the naked eye. Due
33 to the light absorption spectrum of blood, we are able to detect differences in the amount
34 of light absorbed by the blood traveling just below the skin (i.e., photoplethysmography).
35 By modulating emotional and physiological stress—i.e., viewing arousing images and
36 sitting vs. standing, respectively—to elicit changes in heart rate, we explored the
37 feasibility of using a webcam as a psychophysiological measurement of autonomic
38 activity. We found a high level of agreement between established physiological
39 measures, electrocardiogram (ECG), and blood pulse oximetry, and heart rate estimates
40 obtained from the webcam. We thus suggest webcams can be used as a non-invasive and
41 readily available method for measuring psychophysiological changes, easily integrated
42 into existing stimulus presentation software and hardware setups.

43

44 **Keywords:** heart rate; webcam; autonomic activity; emotion; arousal

45

Introduction

46 Heart rate (HR) is a readily measurable index of an individual's psychophysiological
47 state, specifically autonomic arousal, used in addition to skin conductance response and
48 pupil dilation (Bradley et al., 2008; Kahneman et al., 1969; Robinson et al., 1966).
49 Indeed, the association between the heart and emotional/psychological states dates back
50 to ancient Egypt (Damasio, 1994; Krantz & Falconer, 1997; Schacter & Singer, 1962), as
51 well as permeating into culture throughout the ages (Loe & Edwards, 2004a, 2004b). HR
52 is most often measured using an electrocardiogram (ECG), where changes in voltage
53 generated by innervation of cardiac muscles producing a heartbeat are measured through
54 electrode contacts that are affixed to an individual. However, ECG equipment can be
55 costly, connections can deteriorate over time, and with some participant groups and
56 situations it may be too invasive to apply electrodes. Other less invasive techniques to
57 measure heart rate are therefore needed.

58 HR can be measured through methods alternative to ECG, such as
59 photoplethysmography (PPG): the detection of variations in transmitted or reflected light
60 (Ackles et al., 1985; Allen, 2007; Jennings et al., 1980; Lu et al., 2009; Schäfer &
61 Vagedes, 2013). Briefly, changes in the light absorbed/reflected by blood can be used to
62 measure the flow of blood. The absorption spectra of blood, and the measurement of the
63 reflectance of skin color in relation to blood, has been studied for many decades within
64 the field of medicine (e.g., Anderson & Parrish, 1981; Angelopoulou, 2001; Brunsting &
65 Sheard, 1929a, 1929b; Edwards & Duntly, 1939; Horecker, 1943; Jakovels et al., 2010,
66 2011, 2012; Kim & Kim, 2006; Sheard & Brown, 1926; Brunsting & Sheard, 1929;
67 Tsumura et al., 1999, 2000, 2003). A common example of transmission PPG is a pulse

68 oximeter (PulseOx) measurement in hospital settings in which red light is passed through
69 the finger, wrist, or foot and fluctuations in transmitted light are detected.

70 More recently, a number of studies performed in biomedical engineering
71 laboratories have demonstrated the feasibility of non-contact measuring of HR with a
72 webcam (i.e., a digital video camera that streams its images to a computer). Poh et al.
73 (2010) demonstrated the validity of HR measurements from a webcam by comparing
74 them with measurements obtained at the same time from (but not time synchronized
75 with) a blood pulse oximetry sensor (also see Kwon et al., 2012; Poh et al., 2011a).
76 Subsequent studies have used webcams to study changes in HR due to exercise (Sun et
77 al., 2011, 2012) and the development of devices designed to aid with health monitoring
78 (Poh et al., 2011b; Verkrusse et al., 2008). There have been additional technical
79 advances in how HR is estimated from the webcam recording (e.g., Lewandowska et al.,
80 2011; Pursche et al., 2012; Sun et al., 2012). While these studies have been beneficial in
81 demonstrating the robustness of this approach to measuring HR, the webcam HR
82 estimates were not compared against time-synchronized standard HR measures, and did
83 not evaluate changes in HR as a psychophysiological measure, i.e., the effect of task-
84 related changes on autonomic arousal. As prior studies have indicated lower limits to the
85 sampling rate required to assess ECG signal (Hejfel & Roth, 2004; Pizzuti et al., 1985), it
86 is not clear if the low sampling rate of the webcam will be suitable for measuring heart
87 rate within the context of psychophysiology research.

88 To test if these techniques could be applied to experimental psychology situations
89 as a method of psychophysiological monitoring, we used a standard webcam to record the
90 light reflected from a participant's face. Acquisition of HR data from the webcam was

91 marked with respect to events in the stimulus presentation program, which are also
92 marked in concurrently recorded ECG and PulseOx data. While averaging across the face
93 area during recording of the webcam data, to provide anonymity, we measured task-
94 related changes in a participant's HR. Specifically, we modulated emotional and
95 physiological stress (i.e., viewing arousing images and sitting vs. standing, respectively)
96 to elicit changes in HR to demonstrate the use of a webcam as a psychophysiological
97 measurement of autonomic activity.

98 As a first test of event-related physiological changes in HR, we measured HR in a
99 blocked sitting vs. standing task where we expected to observe large within-subject, task-
100 related differences in HR. HR was measured concurrently from participants using the
101 webcam along with ECG and pulse oximetry, for comparison. Briefly, when standing, the
102 heart has to work harder to pump blood to the extremities to ensure sufficient force to
103 overcome the effects of gravity (Caro et al., 1978; Herman, 2007; Rushmer, 1976).
104 Empirically, the difference in HR for sitting vs. standing is approximately 8-10 BPM in
105 young adults (Guy, 1837; MacWilliam, 1933; Schneider & Truesdell, 1922; also see
106 Stein et al., 1966).

107 As a test of the feasibility of webcam HR in a task-related context, we next
108 measured changes in HR time locked to emotional pictures, again concurrently with all
109 three measures. Within the literature on emotional processing (e.g., Bradley et al., 2001a,
110 2008; Buchanan et al., 2006; Critchley et al., 2013; Garfinkel & Critchley, 2016; Lang et
111 al., 1993; Levenson, 2003), it is well known that viewing emotionally arousing stimuli
112 increases autonomic arousal, across a variety of psychophysiological measures.
113 Presentation of unpleasant (i.e., negative valence) pictures elicits a deceleration in HR,

114 referred to as fear bradycardia, and that this deceleration is primarily mediated by the
115 autonomic/parasympathetic nervous system (Bradley et al., 2001a, 2001b; Campbell et
116 al., 1997). Hare (1973) suggested that this HR deceleration could be due to an orienting
117 response, rather than a defensive response, to viewing the picture (also see Graham &
118 Clifton, 1966; Sokolov, 1963). Empirically, this deceleration is a change of
119 approximately 1-3 beats per minute (BPM), with a time course of approximately 6
120 seconds (Abercrombie et al., 2008; Bradley et al., 2008; Buchanan et al., 2006; Hare,
121 1973). Here we tested if our webcam HR technique would provide sufficient sensitivity
122 to measure the subtle changes associated with a typical psychophysiological experiment,
123 with the ECG and pulse oximetry data also acquired for comparison.

124

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Method

126 Participants

127 A total of 24 volunteers participated in the experiment (age: $M=21.7$, range=18-25; 14
128 female) and were recruited from the University of Alberta community using
129 advertisements around campus. Sample size was determined based on pilot studies of the
130 sitting vs. standing task. All participants gave informed, written consent and were
131 compensated at a rate of \$10/hr for their time. The experimental procedures were
132 approved by an internal research ethics board of the University of Alberta.

133 Equipment

134 Video was recorded using a Logitech HD Pro Webcam C920 (Logitech International
135 S.A., Newark, CA). The webcam video was recorded in color at a resolution of 640×480,
136 at a mean sampling rate of 12 Hz (0.083 ± 0.016 s [$M\pm SD$] between video frames). Stimuli

137 were presented on a Dell UltraSharp 24" monitor with a resolution of 1920×1200, using a
138 Windows 7 PC running MATLAB R2012b (The MathWorks Inc., Natick, MA) with the
139 Psychophysics Toolbox v. 3 (Brainard, 1997). Webcam data was simultaneously
140 recorded using in-house code in the same MATLAB script as the stimulus presentation.

141 ECG signals were collected from bilateral wrists of participants using Ag/AgCl
142 snap-type disposable hydrogel monitoring electrodes (ElectroTrace ET101, Jason Inc.,
143 Huntington Beach, CA) in a bi-polar arrangement over the distal extent of the flexor
144 digitorum superficialis muscle, with a ground over the distal extent of the left flexor carpi
145 radialis. Prior to applying the electrodes, the participant's skin was cleaned using alcohol
146 wipes. Blood pulse oximetry data was collected using a finger pulse sensor attached to
147 the index finger of the participant's right hand and enclosed in a black light blocking
148 sheath (Becker Meditec, Karlsruhe, Germany). Both sensors were connected to the AUX
149 ports of a BrainVision V-Amp 16-channel amplifier (Brain Products GmbH, Gilching,
150 Germany) using BIP2AUX converters. Physiological data was recorded at 500 Hz at 1.19
151 $\mu\text{V}/\text{bit}$ using BrainVision Recorder software (Brain Products GmbH) with a band-pass
152 online filter between 0.628 and 30 Hz.

153 For the ECG and pulse oximetry data, data was collected for the entire duration of
154 each task (sit-stand, emotion). In order to mark the time of stimulus onset in the ECG and
155 pulse oximetry data, an 8-bit TTL pulse was sent via parallel port by the stimulus
156 presentation software coincident with the onset of important stimuli, marking their time
157 and identity (i.e., onset/offset of the fixation and pictures). The webcam data was
158 recorded in epochs for each block (in the sit-stand task) or trial (in the emotion task) by
159 the stimulus presentation software yoked to the stimulus display. The task presentation

160 and the data collection through all three measures were done by the same computer,
161 allowing for all signals to be easily synchronized.

162 **Stimuli**

163 The pictures selected for the emotion task comprised four categories, each with 15
164 pictures/category. The pictures were selected from the International Affective Picture
165 System (IAPS; Lang et al., 2008) database based on normative ratings for valence and
166 arousal and were supplemented with pictures used in prior studies of emotional
167 processing (Singhal et al., 2012; Wang et al., 2005, 2008). Mean IAPS valence/arousal
168 scores (9-point scale, as described below) of the four categories were as follows: Neutral
169 (Neut; 5.8/1.6), Low Arousal (Low; 3.6/3.3), Medium Arousal (Med; 2.3/5.8), and High
170 Arousal (High; 2.3/6.1). A repeated-measures ANOVA showed that valence ratings for
171 each category were significantly different from each adjacent category except for Med
172 and High (i.e., Neut > Low > Med = High, [$F(3,72) = 132.97, p < .001$]). A repeated-
173 measures ANOVA of arousal ratings showed that each category was significantly
174 different from each adjacent category such that, Neut < Low < Med < High [$F(3,72) =$
175 150.59, $p < .001$]. Pair-wise comparisons were Holm-Bonferroni-corrected.

176 **Procedure**

177 The experiment was conducted in a room of an experimental lab with normal lighting
178 conditions. The experiment consisted of two tasks: blocks of sitting and standing (sit-
179 stand task), and passive viewing of emotional and neutral pictures (emotion task). Task
180 order was pseudorandomized across participants. In both cases, participants were seated
181 in front of a webcam, which was placed either on a tripod (sit-stand task) or on top of the
182 computer monitor (emotion task).

183 ***Sitting vs. standing task.*** The sit-stand task contained 10 blocks, of 30 s each. In half of
184 the blocks, participants were instructed to be seated, in the other half they were to stand.
185 The order of the blocks was pseudorandomized such that no more than two blocks from
186 the same condition (e.g., sitting) occurred sequentially.

187 Before each block, the tripod was adjusted to suit the participant's height. The
188 participant was then instructed to be as still as possible during the 30 s of data collection.

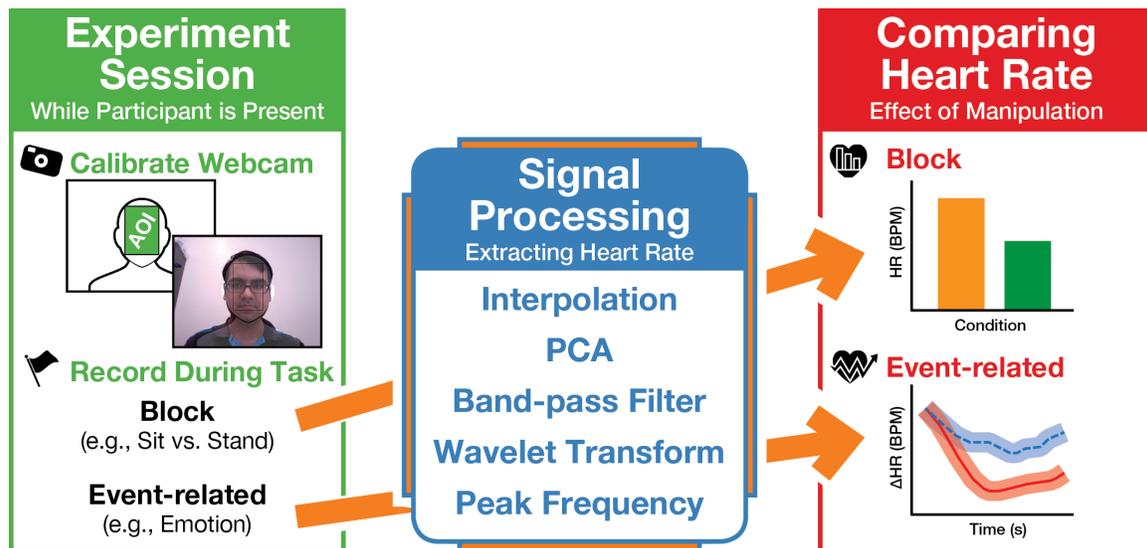
189 ***Emotional and neutral picture-viewing task.*** The emotion task was comprised of three
190 blocks, each consisting of 20 trials. On each trial, participants were first shown a
191 scrambled picture with a fixation cross (“+”) overlaid, followed by an emotional or
192 neutral picture, then followed by the scrambled picture again. Pictures were presented for
193 2000 ms; scrambled stimuli were presented before and after each picture for 500 and
194 3000 ms, respectively. The scrambled stimuli were scrambled versions of the emotional
195 or neutral picture, converted to grayscale and kept isoluminant with the picture. The order
196 that the pictures were presented was pseudorandomized such that no more than two
197 stimuli from the same category (e.g., high arousal) were shown sequentially. Trials were
198 separated by jittered inter-trial intervals, ranging from 5000 to 6500 ms.

199 Prior to each block, the webcam recording was calibrated such that the participant
200 aligned their head with a template indicating the area-of-interest (AOI) using live video
201 feedback. Once the AOI was sufficiently aligned with the participant's face, they were
202 instructed to place their hands on the table in front of them and to remain as still as
203 possible while the stimuli were presented and data was recorded.

204 **Data Analysis**

205 The processing workflow for the webcam analyses is outlined in Figure 1. Based on the
206 calibration, a rectangular AOI positioned over the participant's face constrains the
207 collection of the webcam data. To ensure the collected data preserved participant
208 anonymity, color values for each frame were averaged across this AOI during data
209 collection, rather than maintaining the raw webcam frame. As a result, we only retained
210 three intensity values per webcam frame, corresponding to red, green, and blue (RGB)
211 channels. Data for each block (sit-stand task) or trial (emotion task) were then saved for
212 offline analyses.

213



214

215 **Figure 1. Illustration of the analysis pipeline.**

216 Three pre-processing steps were used specifically on the continuous webcam data
217 from entire blocks. First, to maximize the temporal resolution of the webcam data, we
218 had sampled frames from the webcam as quickly as the hardware would allow (using the
219 `videoinput` function in MATLAB), which lead to a non-uniform sampling rate. As
220 minor fluctuations in the interval between successive frames would influence our
221 estimated heart rate, we re-sampled the webcam data with a uniform interpolation of 12

222 Hz using the `interp` function in MATLAB. As a note to other researchers, if your
223 hardware is able to sample from the webcam at a higher rate reliably, it would be simpler
224 to instead have a uniform sampling rate and not necessitate re-sampling via interpolation.
225 Second, it has been demonstrated that the green RGB color channel is the most sensitive
226 to changes in light reflectance associated with oxygenated vs. deoxygenated blood,
227 though the red and blue channels do still contain plethysmographic information (Lee et
228 al., 2013; Poh et al., 2010; Sun et al., 2011, 2012; Verkruysse et al., 2008). To maximize
229 info from all channels, we submitted the three color-channel time-series data (for the
230 entire block) into a principal component analysis (PCA), allowing us to extract the
231 variability in signal that was common across the three channels. We used the coefficients
232 from the second principal component as our time-series data, as this was the component
233 that corresponded to HR-related changes in all cases (also see Lewandowska et al., 2011;
234 Poh et al., 2010, 2011a, 2011b; Pursche et al., 2012; Tsumura et al., 2000). Third, an
235 additional offline Butterworth band-pass filter was applied to the data (high=0.8 Hz,
236 low=3.0 Hz; see Gribok et al., 2011). This provided a 12-Hz signal from the webcam
237 continuous throughout each block, along with the 500 Hz signals from the ECG and
238 PulseOx.

239 Finally, for each each measure (webcam, ECG, PulseOx), the continuous data at
240 submitted to a continuous wavelet (Morlet) transform implemented in the BOSC library
241 (“Better OSCillation detection”; Hughes et al., 2012; Whitten et al., 2011). The transform
242 was used to obtain the power spectra for the frequencies corresponding to a range of
243 plausible heart rates, 50-140 BPM, in 1 BPM increments, and a wavelet number of 6. At

244 each time point of the resulting spectrogram, heart rate was calculated as the frequency
245 with the highest power.

246 **Blocked design.** For the sitting vs. standing task, heart rate was estimated as a single
247 value for each trial. Heart rate for each trial, for each measure, was estimated as the
248 median heart rate for the 30-s block.

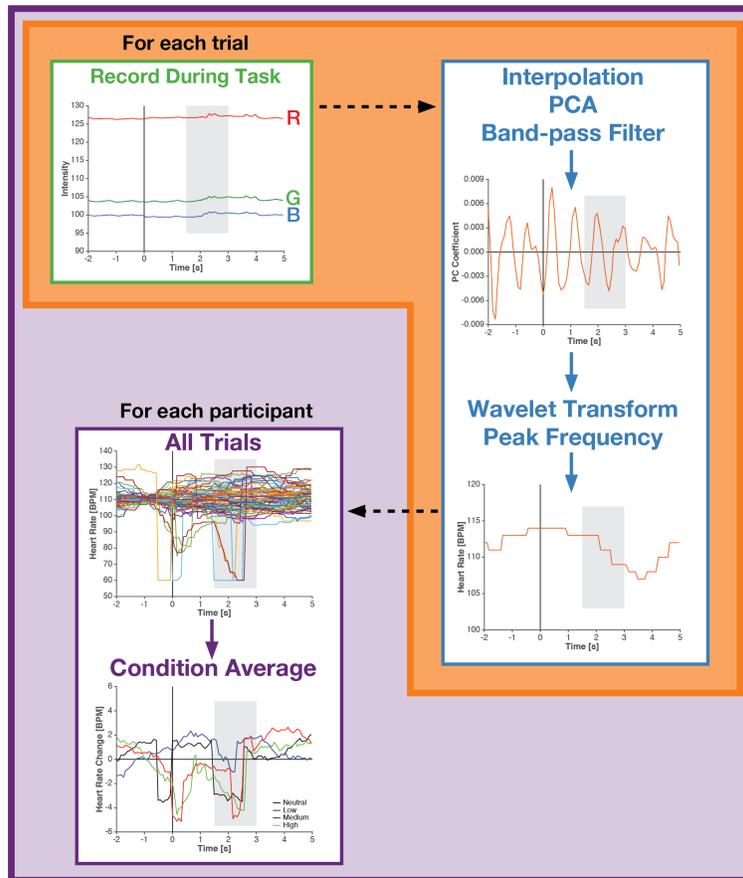
249 **Event-related design.** For the emotional and neutral picture-viewing task, heart rate was
250 measured as a time-varying change, in relation to the onset of the image. To compute the
251 event-related variations in HR, changes in HR were estimated using a sliding time-
252 window. For each trial, epochs spanning from 5 s before to 5 s after the onset of the
253 picture, were segmented from the continuous data.

254 Preliminary analyses indicated that the webcam data was confounded by stimulus
255 luminance, where the luminance of the presented picture would interact with
256 photoplethysmography signal intended to be recorded. This occurred despite pictures
257 being preceded by an isoluminant scrambled picture; this likely occurred because trial-
258 wise differences in the light emitted by the monitor when presenting the pictures
259 influenced the light reflected by the participants' face and detected by the webcam. To
260 address this confound, luminance for the pictures was regressed out of the individual trial
261 timecourses. Luminance here was quantified by converting the pictures to CIE Lab 1976
262 color space, and summarized as a single value for each picture by averaging across the L*
263 channel. For future research, we recommend matching the stimulus luminance across
264 pictures if possible, making this regression step unnecessary. The presentation of the
265 scrambled picture is critical, however, to prevent changes in screen luminance that
266 correspond to the onset and offset of the picture-of-interest. We also recommend the

267 scrambled picture be presented in grayscale as color properties of the original pictures
268 may not be matched across conditions (e.g., high arousing pictures were more red than
269 neutral pictures).

270 For each trial and measure, the average heart rate in the 2000 ms prior to the
271 picture onset was then subtracted from the entire trial period to align the picture onset
272 across trials, i.e. a baseline correction. Then, for each HR recording type, separate
273 averages are created for each subject in each of the emotional picture conditions. For
274 statistical tests, the peak deceleration between 1500 and 3000 ms was used (based on
275 prior findings; e.g., Abercrombie et al., 2008; Bradley et al., 2008; Buchanan et al.,
276 2006), measured for each participant and emotion condition. See Figure 2 for a
277 demonstration of the analysis pipeline for an event-related design.

278 **Data quality.** To ensure that the heart rate estimates obtained from the ECG and PulseOx
279 data were sufficiently reliable, we excluded participants where the power at the peak
280 frequency was less than twice the mean power in the sitting vs. standing task ($N=1$).
281 ANOVA results are reported with Greenhouse-Geisser correction for non-sphericity
282 where appropriate.



283

284 **Figure 2. Demonstration of the analysis pipeline for an event-related design.**

285

286

Results

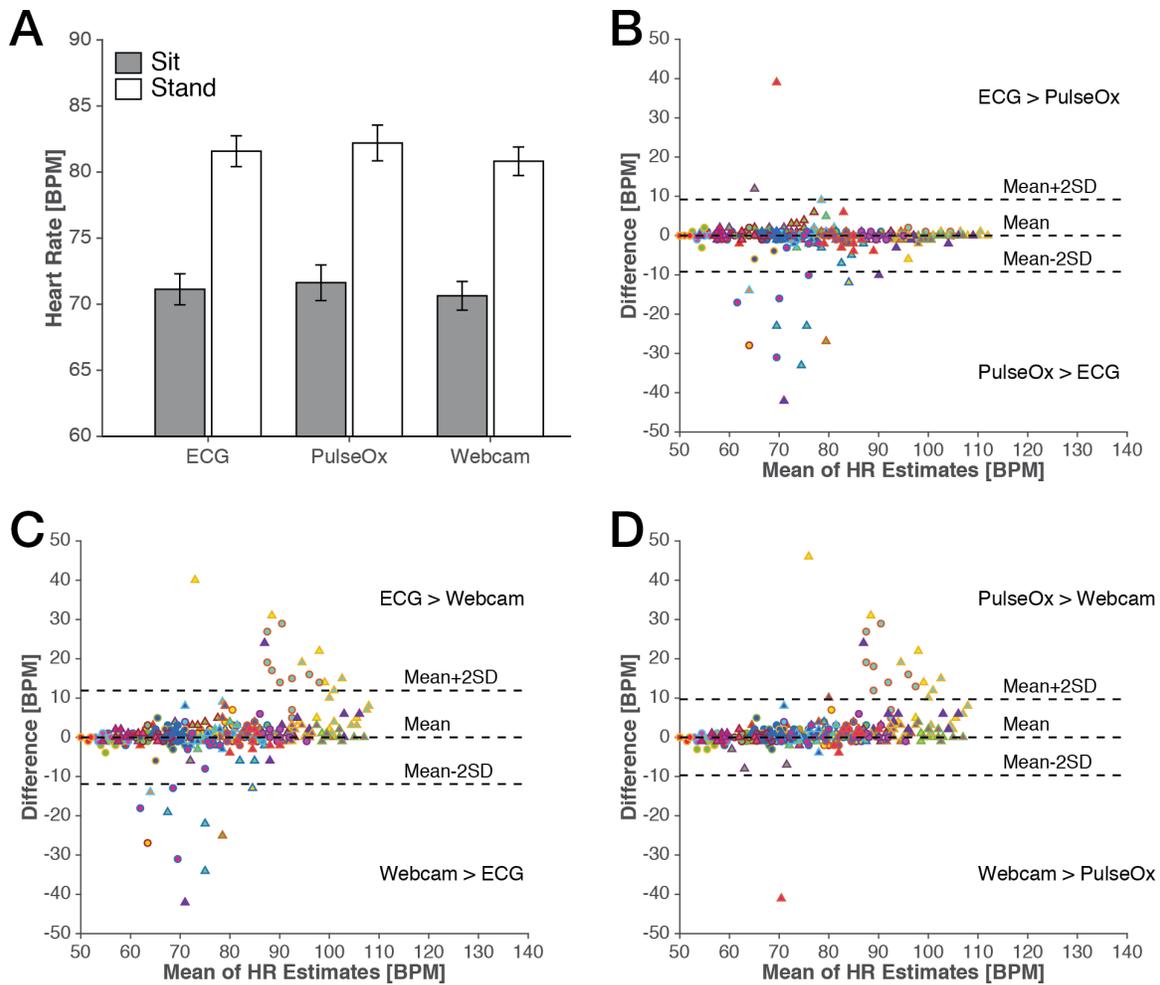
287 **Sitting vs. standing task**

288 We first compared heart rate measurements for sitting vs. standing with each
289 measurement method using a 2 [*Posture*: Sit, Stand] × 3 [*Measure*: ECG, Pulse Oximetry
290 (PulseOx), Webcam] repeated-measures ANOVA, averaging across block. As shown in
291 Figure 3A, we observed a main effect of Posture [$F(1,22)=85.29, p<0.001, \eta_p^2=0.80$],
292 where standing was associated with a 10.4 BPM increase in heart rate relative to sitting.
293 Neither the main effect of Measure [$F(1,28)=2.29, p=0.14, \eta_p^2=0.09$] nor the interaction
294 [$F(2,42)=0.15, p=0.85, \eta_p^2=0.007$] were significant. Planned contrasts showed that the

295 effect of posture was observable using each measure individually [ECG: $t(22)=8.92$.
296 $p<0.001$, Cohen's $d=0.82$, $M_{diff} = 10.5$ BPM; PulseOx: $t(22)=7.84$, $p<0.001$, $d=0.82$, M_{diff}
297 = 10.6 BPM; Webcam: $t(22)=9.41$, $p<0.001$, $d=0.90$, $M_{diff} = 10.2$ BPM].

298 To evaluate the agreement between the measurements more precisely, we
299 additionally compared the heart-rate estimates from each block, i.e., 10 measurements per
300 participant, between the three measures using correlations and Bland-Altman analyses.
301 All three pairwise correlations were high and of similar magnitude [ECG–PulseOx:
302 $r(458)=0.950$; ECG–Webcam: $r(458)=0.913$; PulseOx–Webcam: $r(458)=0.944$], as were
303 the concordance correlation coefficients (Lin, 1989) [ECG–PulseOx: $r(458)=0.949$;
304 ECG–Webcam: $r(458)=0.907$; PulseOx–Webcam: $r(458)=0.935$]. In all three cases, 2 SD
305 of the difference between the compared measurements was approximately 10 BPM, as
306 shown in Figures 3B-D [ECG–PulseOx: 9.19 BPM; ECG–Webcam: 11.91 BPM;
307 PulseOx–Webcam: 9.67 BPM]. We did, however, observe a greater degree of bias when
308 using the webcam, relative to the other measurements [ECG–PulseOx: -0.56 BPM; ECG–
309 Webcam: 0.63 BPM; PulseOx–Webcam: 1.19 BPM]. This bias suggests that the webcam
310 tends to slightly underestimate heart-rate estimates, perhaps due to the increased noise or
311 slower sampling rate of the webcam measurement. Moreover, considering that certain
312 participants are overrepresented in the outliers it is likely the case that some artifactual
313 noise was impairing the ability to reliably determine the heart rate using some of the
314 measures for these individuals. For instance, hair or clothes, as well as makeup, could
315 interfere with the webcam measurement leading to unreliable estimates of HR on those
316 blocks.

Task-related heart rate estimates from a webcam 16



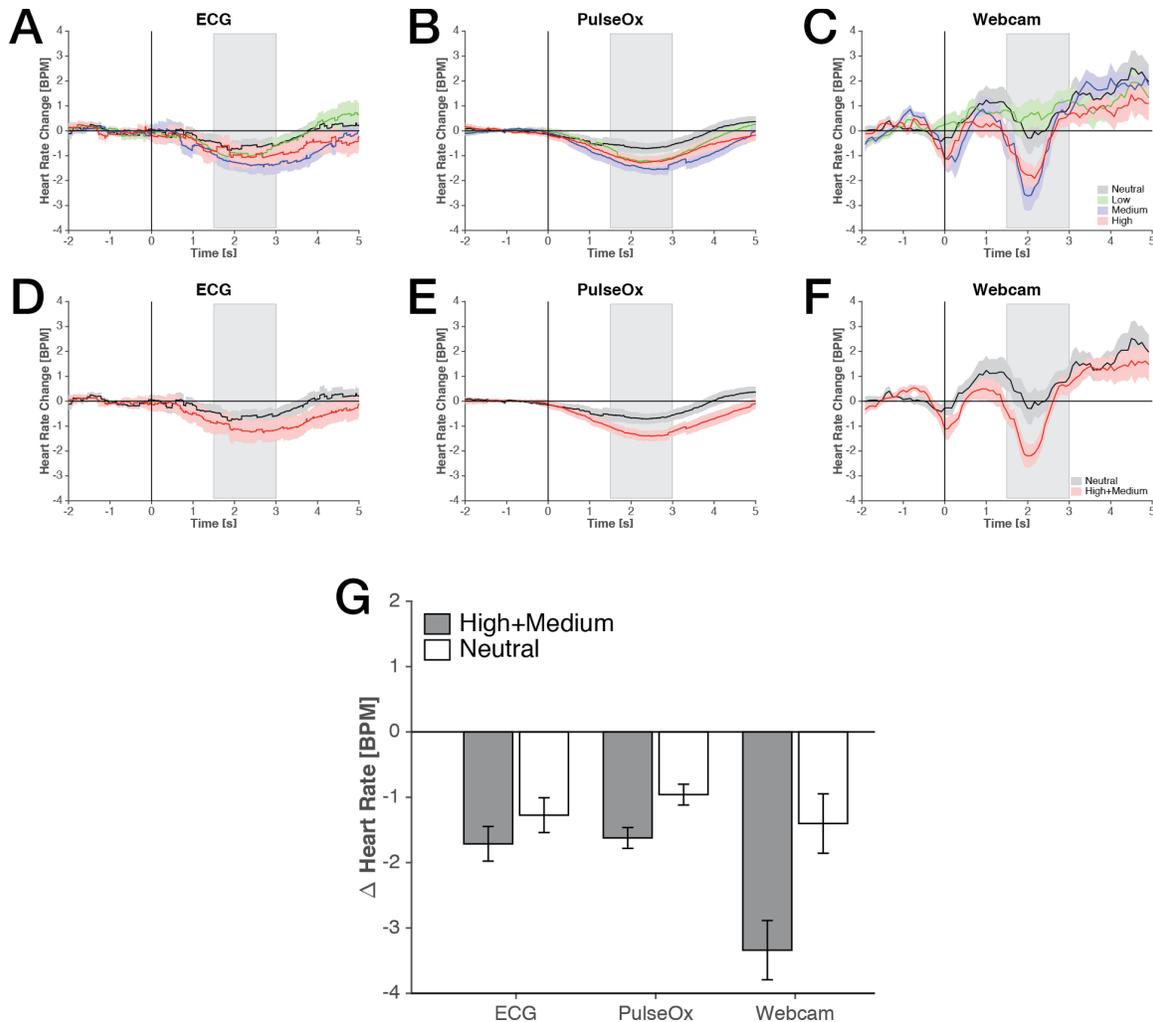
317

318 **Figure 3. Results from the sitting vs. standing task.** (A) Mean heart rate for sitting and
319 standing from each measure. Error bars represent SEM, corrected for inter-individual
320 differences (within-subject SEM; Loftus & Masson, 1999). Bland-Altman plots for pairs
321 of measures: (B) ECG-PulseOx, (C) ECG-Webcam, and (D) PulseOx-Webcam. Markers
322 represent each block of the task from each participant. Markers in distinct colors
323 represent individual participants; measurements from sitting blocks are shown as circles,
324 standing blocks are shown as triangles.
325

326 Emotional and neutral picture-viewing task

327 As shown in Figure 4A-C the heart-rate decelerations for several of the conditions did not
328 differ. Using the same stimuli in an fMRI study, Hrybouski et al. (2016) found that
329 medium and high arousal stimuli were not distinct in behavioural ratings of emotional
330 arousal or amygdala fMRI (BOLD) activity, and thus collapsed them together in their

331 reported analyses. Similarly, to maximally index the effect of the emotional pictures on
332 heart rate, here we examined the mean response to the high and medium arousal picture
333 conditions, compared to both the pre-stimulus baseline or viewing of the neutral pictures
334 (Figure 3D). Thus, we pooled high and medium arousal images together and dropping the
335 low arousal condition, as done in Hrybouski et al. (2016), as shown in Figure 4D-F.



336
337 **Figure 4. Results from the emotional and neutral picture-viewing task.** Event-related
338 changes in heart rate in response to viewing each of the picture types, as measured by the
339 (A) ECG, (B) PulseOx, and (C) Webcam. Shaded error bars represent within-subject
340 SEM. The shaded time window (1500-3000 ms) depicts the data used in the statistical
341 analyses. (D-F) Re-plots panels A-C, collapsing the High and Medium arousal conditions
342 and removing the Low arousal condition. (G) Mean heart rate deceleration related to
343 stimulus presentation, relative to the pre-stimulus baseline. Error bars represent SEM,
344 corrected for inter-individual differences (within-subject SEM; Loftus & Masson, 1999).

345 We examine the heart-rate deceleration effects using a 2 [*Emotion*:
346 High+Medium, Neutral] \times 3 [*Measure*: ECG, Pulse Oximetry (PulseOx), Webcam]
347 repeated-measures ANOVA, based on the mean heart rate during the analyzed window
348 between 1500 and 3000 ms, relative to the pre-stimulus baseline (see Figure 4G). We
349 observed a main effect of Emotion [$F(1,22)=7.94, p=0.010, \eta_p^2=0.23$], where the
350 High+Medium pictures were associated with a 1.01 BPM decrease in heart rate relative to
351 Neutral pictures. Neither the main effect of Measure [$F(1,23)=2.58, p=0.12, \eta_p^2=0.11$]
352 nor the interaction [$F(1,24)=1.56, p=0.22, \eta_p^2=0.068$] were significant.

353 Despite the non-significant interaction, as planned contrasts we nonetheless report
354 the HR effects for each measure. With the ECG data we observed a significant heart-rate
355 deceleration of 1.71 BPM relative to the pre-stimulus baseline [$t(22)=4.40, p<0.001,$
356 $d=0.96$], as well as a nominal deceleration of 0.44 BPM relative to viewing neutral
357 pictures in the same window [$t(22)=0.83, p=0.42, d=0.28$]. The pulse oximetry data
358 presented similar effects of viewing the emotional stimuli [relative to baseline:
359 $t(22)=4.81, p<0.001, d=1.04, 1.62$ BPM deceleration; relative to neutral pictures:
360 $t(22)=2.08, p=0.049, d=0.52, 0.66$ BPM deceleration]. With the webcam we observed a
361 significant heart-rate deceleration of 3.33 BPM relative to the pre-stimulus baseline
362 [$t(22)=4.37, p<0.001, d=0.95$], as well as a deceleration of 1.94 BPM relative to viewing
363 neutral pictures in the same window [$t(22)=2.14, p=0.044, d=0.57$]. Thus, we observed
364 significant heart-rate decelerations for emotional pictures with the pulse oximetry and
365 webcam measures, but not with ECG. While the ECG and pulse oximetry obtained
366 similar decelerations due to the arousing pictures, the ECG measure had slightly more
367 variance in the effect (see Figures 4D and E).

368 It is not clear why the webcam is yielding pronounced, and narrower, heart-rate
369 deceleration effects, particularly since it has less temporal resolution than the other two
370 measures. It is possible that the webcam is measuring autonomic changes in addition to
371 those related to photoplethysmography, such as effects of temperature (influencing skin
372 vasculature) or face-specific responses such as emotion-related changes in facial
373 expressions or blushing. Vasoconstrictive or vasodilative changes associated with
374 sympathetic activity may have also contributed. Future research is needed to better
375 understand how these other factors can influence HR estimates obtained from face
376 recordings. These additional factors may also be responsible for the slight acceleration
377 detected just prior to the deceleration (i.e., the peak at approximately 0.75s in Figure 4F).

378 **Discussion**

379 Heart rate can change in relation to psychological processes, in addition to physiological
380 states. Here we demonstrated that a standard webcam can readily be used as a heart rate
381 measurement device. Despite limitations in sampling rate, we were able to measure small
382 heart-rate decelerations commonly associated with processing emotional pictures, in
383 addition to the much larger changes in heart rate that are known to be associated with
384 physiological state changes.

385 Our results showed very close agreement with conventional techniques measured
386 simultaneously in both blocked and event-related designs. Differences in the webcam in
387 the block design could largely be attributed to two outlier subjects for whom the webcam
388 reliably underestimated their heart rate (HR). Therefore some individuals seem to be
389 better conceal from the camera their on-going HR. We cannot investigate in the current
390 data set further to determine what characteristics physically or behaviourally were

391 associated with these imprecisions (e.g., we only saved the webcam data for the face
392 AOI, not the full webcam frame; did not collect inter-individual difference measures), but
393 future work should better understand such individual differences in the measurement
394 success.

395 Measuring non-contact physiological changes in HR over long periods of time as
396 we showed in our sit-stand results provides an important tool by which one could, in real
397 time, or on recorded footage, identify the ongoing HR of individuals under various levels
398 of physical activity, or in various situations. The live video itself can even be modified to
399 accentuate or visualize the pulse and heart rate on the body (Poh et al., 2011a).

400 The work here was intended to serve as a proof-of-principle that measurement of
401 HR via webcam is sensitive enough for psychological studies. HR decelerations have
402 been shown to index subsequent memory (Abercrombie et al., 2008; Buchanan et al.,
403 2006; Cunningham et al., 2014; Fiacconi et al., 2016; Garfinkel et al., 2013; Jennings &
404 Hall, 1980), task difficulty (Kahneman et al., 1969), interoceptive awareness (Garfinkel et
405 al., 2013), and state anxiety (Garfinkel et al., 2014; Schachter & Singer, 1962). Heart rate
406 is also known to be coupled to other physiological measures such as pupil dilation, skin
407 conductance, and microsaccades (Bradley et al., 2008; Kahneman et al., 1969; Ohl et al.,
408 2016). Consideration is needed to determine the applicability of this webcam approach,
409 however, as it may not be suitable sensor of heart rate in all cases. For instance, heart-rate
410 variability (HRV) has been associated with physiological well-being, and is related to a
411 variety of factors including autonomic regulation and reactivity to acute stressors (e.g.,
412 Francis et al., 2015; Hallman et al., 2011; Shaffer et al., 2014). However, the current
413 sampling rate of 12 Hz is insufficient, where HRV usually requires a sampling rate of 250

414 Hz or higher (Hejfel & Roth, 2004; Pizzuti et al., 1985; Schäfer & Vagedes, 2013).
415 Higher-end webcams or other video cameras, i.e., high-speed cameras, may be able to
416 acquire data at a suitable sampling rate for HRV analyses, though testing will be
417 necessary to determine other limiting factors, such as the rate of MATLAB's video I/O
418 protocol. Further research is also necessary to establish the boundary conditions or other
419 hardware limitations associated with future applications of this webcam approach to
420 measuring HR, such as an index of vasculature function.

421 From a technical standpoint, measuring heart rate using a webcam can afford
422 several benefits relative to the standard approaches such as ECG and pulse oximetry.
423 While these other measures are non-invasive, a webcam is additionally non-contact.
424 Thus, a webcam can be used equally well with participants that may have sensitive or
425 delicate skin, such as older adults or patient populations, where contact measurements
426 may be problematic. Furthermore, the impedance of the connection between the ECG
427 electrode and the skin may increase over time leading to increased noise in ECG HR
428 estimates. Pulse oximetry can similarly become dislodged over time due to its placement
429 on the finger, and is cumbersome and interferes with normal typing and movements.
430 Webcam equipment is also much more available and affordable than ECG and pulse
431 oximetry, potentially making heart rate analyses more cost effective for pilot studies or
432 researchers with limited funding.

433 A webcam may also be used to covertly measure heart rate with the participant being
434 unaware that this data is even being collected, as long as proper consent and IRB
435 protocols are followed. For instance, covert heart-rate recording could be beneficial along
436 with a Concealed Information Test (see Matsuda et al., 2012, for a review). In this case, it

437 is additionally useful to point out that the webcam need not be calibrated towards the
438 participants' face, but merely needs to record video data from exposed skin, e.g., an arm,
439 in the presence of sufficient ambient lighting. Others have previously demonstrated that a
440 single webcam can be used to measure heart rate for several individuals simultaneously
441 (Poh et al., 2010). Additionally, the use of webcams to measure heart rate could be
442 beneficial to medical care, such as when using video communication in patient care (see
443 Armfield et al., 2012). Although animals may seem like unlikely candidates for such
444 measurement, the exposed skin on the face and ears of mammals can also provide a non-
445 invasive window into single or multiple animal HR monitoring.

446 One could argue that the usefulness of this technique is limited by the requirement
447 of the subject to be still in the camera focus. Others have circumvented by using face
448 detection algorithms (Poh et al., 2010, 2011b) or could take advantage of signal filters
449 designed for detecting skin pigments (Anderson & Parrish, 1981; Changizi et al., 2006;
450 Edwards & Duntly, 1939; Tsumura et al., 1999, 2003). If desired, multiple cameras and
451 3D motion trackers could be used to improve face/skin localization. Furthermore,
452 movement artifacts are a similar problem for both ECG and PulseOx measurement. For
453 experiment implementation, here we used the Psychophysics Toolbox and MATLAB.
454 Functions within the Psychophysics Toolbox were used to present the stimuli while base
455 MATLAB functions were used to interface with the webcam hardware. This allowed us
456 to yolk webcam data recording to the stimulus presentation, but future studies could
457 further integrate presentation and webcam recording for use with biofeedback (also see
458 Lakens, 2013). In sum, here we demonstrated that the webcam is sufficiently sensitive for

459 psychologically relevant changes in heart rate, opening many potential lines of future
460 research.

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