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**Common lizards break Dollo's law of irreversibility: genome-wide
phylogenomics support a single origin of viviparity and re-evolution of oviparity**

Hans Recknagel^a, Nick Kamenos^b, Kathryn R. Elmer^{a*}

^a Institute of Biodiversity, Animal Health & Comparative Medicine, College of Medical,
Veterinary & Life Sciences, University of Glasgow, Glasgow, G12 8QQ UK

^b School of Geographical and Earth Sciences, University of Glasgow, Glasgow G12 8QQ,
UK

*Corresponding author, e-mail: Kathryn.Elmer@glasgow.ac.uk

17 **Key Words:** Squamata; Lacertidae; Dollo's law; viviparity; biogeography; molecular
18 systematics.

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22 **Abstract**

23 Dollo's law of irreversibility states that once a complex trait has been lost in
24 evolution, it cannot be regained. It is thought that complex epistatic interactions and
25 developmental constraints impede the re-emergence of such a trait. Oviparous
26 reproduction (egg-laying) requires the formation of an eggshell and represents an
27 example of such a complex trait. In reptiles, viviparity (live-bearing) has evolved
28 repeatedly but it is highly disputed if oviparity has re-evolved. Here, using up to
29 194,358 SNP loci and 1,334,760 bp of sequence, we reconstruct the phylogeny of
30 viviparous and oviparous lineages of common lizards and infer the evolutionary
31 history of parity modes. Our phylogeny strongly supports six main common lizard
32 lineages that have been previously identified. We find very high statistical support for
33 a topological arrangement that suggests a reversal to oviparity from viviparity. Our
34 topology is consistent with highly differentiated chromosomal configurations between
35 lineages, but disagrees with previous phylogenetic studies in some nodes. While we
36 find high support for a reversal to oviparity, more genomic and developmental data
37 are needed to robustly test this and assess the mechanism by which a reversal might
38 have occurred.

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40 **1. Introduction**

41 There are numerous examples for the loss of a complex trait in the animal
42 kingdom throughout evolution. Dollo's law of irreversibility states that once such a
43 complex trait has been lost, it cannot be regained (Dollo, 1893). Some exceptions to
44 this rule have been discovered, though it remains a very rare phenomenon in evolution
45 (Collin and Miglietta, 2008; Lynch and Wagner, 2010). Oviparity (egg-laying) is an
46 example for such a complex trait and has been lost on several independent occasions
47 throughout animal evolution (Lee and Shine, 1998; Murphy and Thompson, 2011).
48 While there are more than a hundred independent transitions from oviparity to
49 viviparity (live-bearing) in reptiles (Blackburn, 2006; Sites et al., 2011), only one
50 robust example for the re-evolution of the eggshell is known to date (Lynch and
51 Wagner 2010). Molecular mechanisms by which reversals in complex traits such as
52 reproductive mode occur are to date unknown.

53 The common lizard (*Zootoca vivipara*) is the most widespread extant
54 terrestrial reptile species. Its distribution covers nearly the whole of Europe, northern
55 and central Asia and as far as Japan in its easternmost range. Within this distribution,
56 common lizards have adapted to various extreme environments. Arguably the most
57 salient of these adaptations is the evolution of viviparous, unique within the family of
58 'true' (lacertid) lizards that are otherwise oviparous. As one of the youngest
59 transitions from oviparity (egg-laying) to viviparity (live-bearing) known in
60 vertebrates (Pyron and Burbrink, 2014; Surget-Groba et al., 2006), common lizards
61 are an emerging model system for the study of viviparity (Freire et al., 2003; Le
62 Galliard et al., 2003; Murphy and Thompson, 2011). However, not all common
63 lizards are live-bearing: of the six currently recognized common lizard lineages, two
64 are oviparous and four are viviparous (Surget-Groba et al., 2006; Fig. 1). One
65 oviparous lineage is restricted to northern Spain and southwestern France, allopatric
66 to all other common lizard lineages. A second oviparous lineage occurs in the
67 southern part of the Alps. Four viviparous lineages cover the rest of the Eurasian
68 distribution (Mayer et al., 2000; Surget-Groba et al., 2006; Fig. 2).

69 The phylogenetic relationships within *Zootoca* have not been fully resolved.
70 The evolutionary history of the two different parity modes has been controversial
71 depending on which data was used to interpret the phylogenetic relationships. In a

72 first study using a single mitochondrial gene, both oviparous lineages were found to
73 be basal to all other viviparous lineages, consistent with a single origin of viviparity
74 (Surget-Groba et al., 2001; Fig. 1A). However, subsequent analyses on the karyotype
75 of common lizards resulted in a more complex evolutionary scenario, arguing for two
76 origins of viviparity based on sex-chromosome evolution (Z_1Z_2W or ZW) (Odierna et
77 al., 2004; Surget-Groba et al., 2006; Fig. 1B). More extensive geographic sampling
78 and sequencing of mitochondrial genes instead favored a scenario of a single origin of
79 viviparity followed by a reversal to oviparity in the Spanish Western Oviparous
80 lineage (Cornetti et al., 2014; Surget-Groba et al., 2006; Fig. 1C), though this
81 phylogeny was incompatible with a single origin of the Z_1Z_2W sex chromosome
82 system. Finally, a population inhabiting the Carpathian region in Romania was
83 discovered recently and was found to be most closely related to the phylogenetically
84 basal Eastern Oviparous lineage based on mtDNA (Velekei et al., 2015; Fig. 1D). The
85 reproductive mode of this lineage was not reported, but since all other common lizard
86 populations in its geographic proximity are viviparous (Surget-Groba et al., 2006), this
87 would suggest another independent origin of viviparity. However, all phylogenies to
88 date have had limited support at basal nodes essential for the interpreting the
89 evolutionary scenarios of parity mode evolution. Moreover, phylogenies reconstructed
90 only from mitochondrial DNA have limited information and frequently misrepresent
91 the ‘true’ phylogenetic relationships (Ballard and Whitlock, 2004; Near and Keck,
92 2013; Wallis et al., 2017). Therefore, it is essential to incorporate high resolution
93 nuclear DNA sequencing to resolve difficult topologies. Moreover, coalescent-based
94 approaches for disentangling incomplete lineage sorting effects and hybridization
95 have considerably advanced phylogenetic reconstruction (Bouckaert et al., 2014;
96 Pickrell and Pritchard, 2012; Posada, 2016).

97 The evolutionary implications for models involving several origins of
98 viviparity and/or a reversal to oviparity are significant. A reversal to oviparity from
99 viviparity is considered a very unlikely evolutionary scenario, presumably breaking
100 Dollo’s law of irreversibility. Common lizard parity mode evolution could represent
101 one of the very few examples for an exception to this rule (Surget-Groba et al., 2006).
102 Further, the evolution of both oviparity and viviparity are difficult to study from a
103 molecular genetic perspective because they have most frequently occurred at deep

104 evolutionary time scales. Common lizards provide an example of recent parity mode
105 changes and therefore a critical insight to usually more ancient evolutionary events.

106 To tackle this outstanding phylogenetic question, we use genome-wide
107 phylogenomics with data from double-digest restriction-site associated DNA
108 sequencing (ddRADSeq), a next generation sequencing (NGS) technique, to identify
109 DNA polymorphisms across all common lizard lineages (Peterson et al., 2012;
110 Recknagel et al., 2015, 2013). Using broad geographic sampling of 70 individuals, we
111 reconstructed a nuclear phylogeny of 194,358 bp, and a mtDNA phylogeny based on
112 cytochrome b, using coalescent, Maximum Likelihood, and Maximum Parsimony
113 methods. We performed topological tests to assess likelihoods of alternative
114 evolutionary scenarios for parity mode evolution based on our phylogenomic dataset,
115 which consistently supported an evolutionary scenario. Our results strongly support a
116 single origin of viviparity in common lizards and a subsequent reversal to oviparity in
117 one derived lineage as the most parsimonious scenario of reproductive mode
118 evolution.

119 **2. Material and Methods**

120

121 *2.1 Sampling*

122 Samples and specimens were obtained from the Natural History Museum in
123 Vienna, the Royal Ontario Museum, and fieldwork during 2013-2016 (see Table S1
124 for specimens and Fig. 2 for a map of collecting localities). Lizards were collected by
125 diurnal opportunistic searches. Tail clips (up to 2 cm) were extracted and preserved in
126 95-99% ethanol and lizards were released thereafter. Mode of reproduction was
127 assessed by observation of an individual retained in captivity until
128 oviposition/parturition or from data on other individuals at the same site.

129

130 *2.2 Generation of molecular data*

131 DNA was extracted from tissue using a Dneasy Blood and Tissue Kit (Qiagen)
132 following the manufacturer's protocol. Three genomic libraries were constructed
133 using double-digest restriction-site associated DNA sequencing (ddRADSeq). The
134 first two libraries were run on an IonProton sequencing machine with a median of 96
135 bp read length (ddRADSeq-ion; Recknagel et al., 2015) and the third library was
136 paired-end sequenced on an Illumina HiSeq 4000 with 150 bp read length. Briefly, 1
137 µg of starting DNA material was digested using restriction enzymes *PstI*-HF and *MspI*
138 and subsequently cleaned with the Enzyme Reaction Cleanup kit (Qiagen). Following
139 purification, the amount of DNA in each individual was normalized to the sample
140 with the lowest concentration within a library (237 ng in first, 400 ng in second, and
141 275 ng in third library) to minimize coverage variation. Platform specific barcoded
142 (for IonProton: A-adapter, for Illumina: P1 adapter; binding to *PstI*-HF overhang) and
143 global (for IonProton: P1-adapter, for Illumina: P2 adapter; binding to *MspI*
144 overhang) adapters were ligated to the sticky ends generated by restriction enzymes.
145 The ligated DNA fragments were then multiplexed and size-selected using a Pippin
146 Prep (Sage Science) for a range between 175 - 225 bp for the IonProton platform and
147 150 - 210 bp for Illumina. To assure that the same set of loci are selected between
148 platforms, size selection ranges were adjusted because adapter lengths are not the
149 same between platforms. Seven separate PCR reactions (for details see Recknagel et
150 al., 2015) were performed per genomic library and combined (Peterson et al., 2012).

151 Following PCR purification, libraries were electrophoresed on a 1.25% agarose gel to
152 remove any remaining adapter dimers and fragments outside the size range selected
153 by the Pippin Prep. SYBR Safe (Life Technologies) was used for gel staining and
154 bands in the size selected range were cut out manually and DNA was extracted from
155 the matrix using a MinElute Gel Extraction Kit (Qiagen). Following the gel
156 extraction, DNA was quantified using a Qubit Fluorometer with the dsDNA BR
157 Assay. Quality and quantity of genomic libraries was assessed using a TapeStation or
158 Bioanalyzer (Agilent Technologies). The first two libraries were sequenced at
159 Glasgow Polyomics using an Ion PI Sequencing 200 Kit v3 on an Ion Proton PI chip
160 at a target read size of 100 bp. The third library was sequenced at Edinburgh
161 Genomics on an Illumina HiSeq 4000 machine with paired-end sequencing of 150 bp
162 reads.

163 In addition to ddRADseq, mitochondrial DNA (mtDNA) from cytochrome b
164 with primers MVZ04H and MVZ05L (~430 bp) was amplified (Smith and Patton,
165 1991) and PCR products were sequenced with the forward primer (MVZ04H) on an
166 ABI 3130x at Dundee University. Sequences were quality checked by eye, and
167 trimmed and aligned using Geneious v. 7.1.9 (Kearse et al., 2012). Data are deposited
168 in NCBI (Genbank accession with manuscript acceptance).

169

170 *2.3 Bioinformatic analysis*

171 All NGS generated reads were analyzed using the RADseq software tool
172 STACKS v.1.41 (Catchen et al., 2011). Reads were trimmed to a common length of
173 70 bp to maximize the number and length of retained reads (Recknagel et al., 2015).
174 Libraries were de-multiplexed and all reads were sorted into stacks of loci within each
175 individual (maximum distance of 2 bp within a locus). The minimum coverage
176 threshold per individual locus was set to five. Each individual was then aligned to a
177 *Zootoca vivipara* reference genome v. 0.9 (Yurchenko et al. in prep) using bwa (Li
178 and Durbin, 2010) and samtools (Li et al., 2009). A catalogue of all loci identified
179 across individuals was subsequently created using the genome referenced stacks from
180 each individual.

181 Missing data can have a substantial impact on phylogenetic inference from
182 NGS generated data and can vary between taxonomic and phylogenetic levels (Eaton

183 et al., 2017; Jiang et al., 2014; Rowe et al., 2011; Streicher et al., 2016). Therefore, it
184 is crucial to first evaluate the impact of missing data before phylogenetic analysis. We
185 filtered our data with two main options: i) using a variable minimum number of
186 individuals that a locus had to be present in, and ii) varying the number of SNPs per
187 locus from one to three. The amount of missing data was increased from 0% to 90%
188 at 10% intervals. For each of these categories, loci containing only a single SNP, two
189 SNPs, three SNPs and one to three SNPs were extracted from the whole dataset.
190 These datasets were extracted to test the impact of missing data and number of SNPs
191 on phylogenetic resolution and to assess optimal settings for data extraction.

192

193 *2.4 Phylogenetic analysis*

194 Suitability of data sets that differed in degree of missing data and number and
195 type of SNP loci was assessed by comparing the sum of bootstrap supports (at deep, at
196 shallow, and at all nodes combined) (Huang and Lacey Knowles, 2016). The best
197 performing dataset for inferring the evolutionary history of parity mode in common
198 lizards was identified and chosen for more exhaustive phylogenetic and comparative
199 analyses. This best performing dataset was assessed by constructing Maximum-
200 likelihood (ML) phylogenies using the software RAxML vers. 8.1.20 with a
201 GTRGAMMA substitution model of evolution (Stamatakis, 2006). Conditions
202 producing the highest bootstrap sum phylogeny were the ones chosen for all
203 subsequent analyses.

204 We inferred Maximum-likelihood (ML) phylogenies using RAxML. An initial
205 phylogenetic analysis including the outgroup species *Iberolacerta horvathi* identified
206 the Eastern Oviparous clade as basal to all five other *Zootoca* lineages with high
207 confidence (bootstrap support 100), as has been shown by previous analyses (Cornetti
208 et al., 2014; Mayer et al., 2000; Surget-Groba et al., 2006). We further used
209 ADMIXTURE (vers. 1.3.0; Alexander et al., 2009) to test for monophyly of the main
210 *Zootoca* lineages. ADMIXTURE assesses the genomic ancestry of individuals
211 according to a given set of genetic clusters. A variable number of genetic clusters k
212 was run, from 1 to 6 k and best fit inferred from ten-fold cross-validation. The genetic
213 cluster with the lowest cross-validation error was chosen as optimal k . These analyses
214 confirmed monophyly of the six main lineages and limited levels of admixture.

215 Pairwise genetic differentiation between lineages was assessed using the R package
216 *diveRsity* (Keenan et al., 2013).

217 A Maximum likelihood bootstrap search with 100 replicates using a
218 GTRGAMMA model was performed in RAxML. Support values were drawn on the
219 best scoring ML tree. The best ML tree was compared to four alternative pre-defined
220 topologies, which had been proposed in previous studies. These topologies included i)
221 both oviparous lineages basal to all viviparous lineages (Mayer et al., 2000; Surget-
222 Groba et al., 2001; Fig. 1A) ii) Eastern oviparous lineage basal + Central viviparous II
223 basal to all remaining viviparous and oviparous (Odierna et al., 2004; Surget-Groba et
224 al., 2006; Fig 1C), iii) Eastern oviparous lineage basal + Central viviparous I basal to
225 all remaining viviparous and oviparous lineages, and iv) Romanian lineage sister to
226 Eastern oviparous and basal to all other lineages (Velekei et al., 2015). We computed
227 per site log likelihoods for each of the five trees and used these to perform
228 Approximately Unbiased tests (AU tests) (Shimodaira, 2002), Shimodaira-Hasegawa
229 tests (SH tests) (Shimodaira and Hasegawa, 1999), Kishino-Hasegawa tests (KH
230 tests), and Bayesian posterior probabilities (PPs) calculated by the BIC approximation
231 as all implemented in CONSEL vs. 0.1a (Shimodaira and Hasegawa, 2001).

232 We performed a coalescent-based Bayesian approach to infer the topology in
233 BEAST2 (Bouckaert et al., 2014). For this approach, we included a full alignment of
234 all RAD loci (19,068 RAD loci; 1,334,760 total bp; 84,017 variant sites). The number
235 of total SNPs differs from other analyses as loci were set to be present in at least 40%
236 of individuals of each of the six lineages, instead of just being present in at least 40%
237 of individuals across the whole phylogeny. We used the GTRGAMMA substitution
238 model. The analysis was run on CIPRES (Miller et al., 2010) for 500 million
239 generations sampling trees every 50,000 and discarded 10% as burn-in. Convergence
240 was assessed in TRACER (Rambaut and Drummond, 2009) and accepted if ESS
241 values of all parameters were larger than 100.

242 Additional phylogenetic analyses were carried out under the Maximum
243 Parsimony (MP) optimality criteria. We performed a heuristic bootstrap search with
244 2000 replicates carried out in PAUP* (Swofford, 2002) using TBR branch swapping
245 and with ten random addition sequence replicates for each bootstrap replicate. The

246 50% consensus bootstrap tree was compared to phylogenies generated with ML and
247 Bayesian analyses.

248 To incorporate potential past migration events and incomplete lineage sorting
249 effects, we performed a TREEMIX v.1.3 (Pickrell and Pritchard, 2012) search using
250 only independent SNPs (one SNP per locus; 49,107 loci included) and a window size
251 of 1000 bp. We included zero to six migration events and compared the variance
252 explained between resulting tree with and without migration events to evaluate the
253 impact of migration. We calculated f_3 -statistics to assess whether admixture has
254 played a role in the evolution of common lizard lineages.

255 For the mitochondrial dataset, we performed a bootstrap ML search using
256 RAxML (100 bootstrap replicates), MP using the same parameters mentioned above
257 and Bayesian reconstruction with BEAST2 to generate the phylogeny. The best
258 substitution model for BEAST2 was inferred from eleven different substitution
259 schemes in JMODELTEST2 (Darriba et al., 2012) based on lowest AICc and run on
260 CIPRES. We ran BEAST2 for 20 million generations and discarded 10% as burn-in.
261 Convergence was inferred if ESS values in TRACER were larger than 100.

262 **3. Results**

263

264 *3.1 Data evaluation and identification of optimal parameters for phylogenomic* 265 *dataset*

266 Total number of generated reads was 828,000,972 (1st library: 10,000,000
267 reads, 2nd library: 42,377,658 reads, 3rd library: 775,623,314 paired-end reads). After
268 sorting reads into individual loci, mean coverage per individual was 27.6x with a
269 standard deviation of 11.0x (range: 9.2x – 66.9x; median: 24.1x).

270 We found that phylogenetic resolution generally improved by accepting larger
271 amounts of individuals with missing data (Fig. S1). The best summed bootstrap
272 support was achieved using loci that were present in at least 40% of all individuals.
273 Accepting more missing data this did not improve phylogenetic resolution. The
274 highest number of SNPs (including up to three SNPs) resulted in the overall highest
275 phylogenetic resolution (Fig. S1). Therefore, we chose the dataset with loci present in
276 at least 40% of all individuals and including all SNPs (no restriction on number of
277 SNPs per locus) for all subsequent analyses. Genotyping error was low (2.0-2.9% per
278 SNP) based on three technical replicates and comparable to previous studies
279 (Mastretta-Yanes et al., 2015; Recknagel et al., 2015).

280

281 *3.2 Mitochondrial DNA phylogeny*

282 The final alignment of the cytochrome b gene consisted of 428 bp (42
283 parsimony informative sites). HKY+I was identified as the best substitution model for
284 BEAST2 (Table S2). This phylogeny resolved eastern oviparous, central viviparous,
285 and western oviparous each as monophyletic (Fig. S2). However eastern viviparous,
286 central viviparous, and western viviparous lineages were all polyphyletic, suggesting
287 considerable introgression and a poor association of single gene mtDNA with the
288 phylogeny generated from genome-wide data. Support values were generally
289 considerably lower for both basal and terminal nodes compared to the phylogeny
290 generated from the extensive genomic dataset. The topology also differed
291 considerably from the topology generated from phylogenomic data (Fig. 3; Fig. S2).

292

293 *3.3 Monophyletic clades in Zootoca vivipara and reconstruction of evolutionary*
294 *history*

295 All phylogenomic reconstructions confirmed six monophyletic evolutionary
296 divergent lineages with high confidence (all MP and ML bootstrap supports of 100
297 and PP of 1.0; Fig. 3). The eastern oviparous lineage is basal sister to all other
298 lineages, followed by central viviparous II. The remaining four lineages are split into
299 two groups, one with the western oviparous and central viviparous I lineages as sister
300 and one with the eastern and western viviparous lineages. This topology is concordant
301 with a single origin of viviparity and a reversal to oviparity in the western oviparous
302 lineage (see 3.2 for topological analyses). Population structure also confirmed these
303 six genetic lineages, with high average membership values for each respective lineage
304 (mean Q-values ranged from 92-100% identity within each lineages) (Fig. 3). These
305 six lineages correspond to phylogeographic clades that were previously identified.
306 The recently reported distinct Carpathian haploclade (Velekei et al., 2015) was not
307 confirmed as a separate genetic cluster in our phylogenomic reconstruction and was
308 nested within the Eastern viviparous lineage (individuals ELT07086-ELT07095). Our
309 mitochondrial dataset confirmed monophyly of some of the lineages with good
310 support (eastern oviparous, central viviparous, western oviparous), while others were
311 not supported (Fig. S2). In contrast to the nuclear data, the separate Carpathian clade
312 was strongly confirmed by mitochondrial DNA and monophyletic, sister to the eastern
313 oviparous lineage (Fig. S2).

314 Genetic differentiation between all six lineages was substantial (Table S3). *Fst*
315 and *Jost D's* values were largest between eastern oviparous and all other lineages
316 (*Fst*: 0.42 – 0.52; *Jost D*: 0.013 – 0.018), and second largest between western
317 oviparous and all other lineages (*Fst*: 0.35 – 0.51; *Jost D*: 0.007 – 0.016), indicating
318 that these are the most highly differentiated lineages. Compared to *Fst*, *Jost D* was
319 weaker between the western oviparous and all other viviparous lineages (Table S3).
320 Genetic differentiation between the viviparous lineages was less pronounced (*Fst*:
321 0.23 – 0.32; *Jost D*: 0.004 – 0.008).

322

323 *3.4 Evolutionary scenarios for parity evolution*

324 We found significant support for topologies associated with a single origin of
325 viviparity and a reversal to oviparity. Bayesian, Maximum likelihood and parsimony
326 analyses all confirmed the same topological configuration for the six main common
327 lizard lineages with high nodal supports (bootstraps > 100, all posterior probabilities =
328 1.0) (Fig. 3). Phylogenies from all reconstruction methods support a topology in
329 which the eastern oviparous lineage is basal to all other lineages. The following
330 lineage splitting off is the central viviparous II lineage, sister to all remaining
331 lineages. The western oviparous lineage is nested within the viviparous lineages,
332 sister to the central viviparous I lineage. This topology suggests a single origin of
333 viviparity in common lizards and a reversal to oviparity in the western oviparous
334 lineage as the most parsimonious scenario for parity mode evolution.

335 Using monophyly constraints and statistical topology testing, any topologies
336 compatible with alternative scenarios of parity mode evolution. Alternative scenarios
337 included: oviparity as a basal trait and a single origin of viviparity (Figure 1A; Table
338 1), multiple independent origins of viviparity (Figure 1B; Table 1), a reversal to
339 oviparity but independent sex chromosome evolution (Figure 1C; Table 1), and
340 multiple origins of viviparity and a reversal to oviparity (Figure 1D; Table 1) and
341 were all significantly less likely (Table 1) than a single origin of evolution, a reversal
342 to oviparity and a single change in sex chromosome configuration, consistent with
343 Figure 3.

344 Reconstructing evolutionary relationships between the six main phylogenetic
345 lineages in TREEMIX results in a similar topology as retrieved from the other
346 analyses, with eastern oviparous consistently sister to all other lineages. Overall
347 likelihood and variance explained increased including more migration events, and
348 reached a plateau after two migration events (Fig. S3). Topologies were unstable
349 when more migration events were included, though these topological changes should
350 be considered with caution since all f_3 -statistics were positive, indicating that
351 admixture has not played a major role in the evolution of common lizard lineages
352 (Table S4).

353

354 **4. Discussion**

355

356 *4.1 Evolutionary history of parity mode evolution*

357 Here, we show that the most parsimonious scenario for the evolution of parity
358 mode evolution in common lizards includes a single origin of viviparity and a reversal
359 to oviparity in a single lineage (western oviparous). Our genome-level phylogeny
360 based on up to 194,358 nucleotides was highly supported by Bayesian ML, and MP
361 analyses (support values >0.95). Topologies compatible with other parity mode
362 scenarios, such as a no reversal to oviparity or multiple origins of viviparity (per Fig
363 1A, B, D) performed significantly worse in all statistical tests (Table 1). We find
364 considerable differences between our high resolution phylogenomic tree and our
365 mtDNA phylogeny.

366 The evolution of oviparity and viviparity in common lizards has been
367 contentious and a range of studies, using different geographic and genetic sampling,
368 have failed to converge on an evolutionary scenario. To date, mitochondrial DNA,
369 nuclear DNA, and karyotypic markers have not agreed on a single topology (Fig. 1;
370 Odierna et al., 2004; Surget-Groba et al., 2006, 2001; Velekei et al., 2015). For
371 example, previous research suggested that a reversal to oviparity occurred in common
372 lizards, however support was based on only limited data and support (Cornetti et al.,
373 2014; Surget-Groba et al., 2006). It has also been proposed that viviparity evolved
374 multiple times independently (Odierna et al., 2004; Velekei et al., 2015), however,
375 these studies were limited to the use of a single marker. Our phylogeny is the first that
376 is consistent with nuclear genetic markers and chromosomal configuration (Fig. 1;
377 Fig. 3).

378 In addition to our robust and well supported phylogeny and the topological
379 statistics, other aspects of common lizard genetics and reproductive traits also support
380 our inference of a reversal to oviparity. The eastern oviparous and western oviparous
381 lineages have different morphological and physiological egg characteristics, such as
382 thinner eggshells and shorter incubation time (Arrayago et al., 1996; Lindtke et al.,
383 2010). We suggest this is compatible with our phylogeny; the derived oviparous
384 lineage is due to a reversal to oviparity instead of retaining the ancestral oviparous
385 condition, and in doing so the thickness of the eggshell is reduced. Our phylogeny is

386 consistent with the most parsimonious scenario for the derived chromosomal features
387 in common lizards: While both the eastern oviparous and central viviparous II
388 lineages have 36 chromosomes and a ZW sex chromosome configuration, all other
389 lineages exhibit 35 chromosomes and a Z_1Z_2W sex chromosome configuration
390 (Kupriyanova et al., 2008; Odierna et al., 2004; Fig. 1). Previous genetic studies were
391 inconsistent with this derived sex chromosome configuration by placing central
392 viviparous II nested within lineages exhibiting the Z_1Z_2W chromosome configuration
393 instead of being basal to lineages with the derived configuration (Cornetti et al., 2014;
394 Surget-Groba et al., 2001, 2006). The phylogeny presented here is the first molecular
395 phylogeny consistent with a single transition in sex chromosome configuration,
396 changing from the ancestral ZW system to the derived Z_1Z_2W system (Kupriyanova et
397 al., 2006; Odierna et al., 2004).

398 Calcified eggshell and the associated reproductive life history traits of
399 oviparity represent a complex character that once lost is unlikely to re-evolve, making
400 it a trait long regarded to be subjected to Dollo's law of irreversibility (Lee and Shine,
401 1998; Shine and Lee, 1999; Sites et al., 2011). However, research on the re-evolution
402 of insect wings (Collin and Miglietta, 2008; Whiting et al., 2003), snail coiling (Collin
403 and Cipriani, 2003), or mandibular teeth in frogs (Wiens, 2011) has shown that in
404 some cases complex characters can indeed re-evolve. In squamate reptiles, one
405 example exists arguing for the re-evolution of oviparity in sand boas (Lynch and
406 Wagner, 2010). In this example, a scenario with no reversal to oviparity required three
407 additional evolutionary transitions compared to the most parsimonious scenario with a
408 single reversal to oviparity. In addition to the support from parsimonious trait
409 reconstruction from the phylogeny, sand boas lack the egg tooth, which is an
410 important anatomical structure for hatching from eggs that is present in related
411 oviparous snake species. This provides independent evidence for the derived state in
412 sand boas and the re-evolution of oviparity (Lynch and Wagner, 2010). In general, in
413 addition to support from phylogenetic reconstruction, it should be best practice to
414 assess whether the trait re-evolved is developmentally and anatomically similar to the
415 ancestral trait. Substantially different features of the trait in the derived compared to
416 ancestral form can be considered additional evidence for re-evolution, rather than the
417 less plausible scenario that the ancestral form was retained but changed over time

418 while an alternative trait was independently lost in multiple related lineages. In
419 common lizards, the short timespan between the origin of viviparity and the re-
420 evolution of oviparity might have facilitated the reversal, in that not many genomic
421 changes were required. In general, a trait as complex as viviparity is thought to
422 require several changes in the genome (Murphy and Thompson, 2011).

423 Whether reversals to oviparity from viviparity occurred frequently in
424 squamate reptiles remains a highly controversial topic. Erroneous phylogenetic
425 reconstruction and limited assessment of characteristics of the trait in question have
426 led to the publication of controversial examples of re-evolution (e.g. Fairbairn et al.,
427 1998; Pyron and Burbrink, 2014) that have been criticized heavily (Blackburn, 1999,
428 2015; Griffith et al., 2015; King and Lee, 2015; Shine and Lee, 1999; Wright et al.,
429 2015). Moreover, incomplete lineage sorting and/or introgression of the trait in
430 question, combined with the limited molecular information included in most
431 phylogenetic reconstructions, can lead to wrong conclusions in trait evolution (Hahn
432 and Nakhleh, 2016). While here we found substantial support for the re-evolution of
433 oviparity based on the largest genomic dataset to date, more knowledge on the
434 development and genetics of the trait is necessary to unequivocally assess whether a
435 reversal to oviparity occurred in common lizards. In the future, more refined
436 phylogenetic reconstructions using whole genome and phylogenomic data combined
437 with insights into the genetic mechanisms involved in parity mode evolution should
438 provide answers on whether reversals to oviparity occur in squamates and how
439 common they are.

440

441 *4.2 Evolutionary relationships between common lizard lineages and comments on* 442 *taxonomic status*

443 Our genome-wide phylogeny recovered a new topology, but this included
444 similar clades as previously supported by mitochondrial DNA reconstructions, except
445 for the Carpathian clade, which we find is nested within the Eastern viviparous
446 lineage (Fig. 1; Fig. 3; Fig. S3). Incongruence between nuclear data and mitochondrial
447 data is observed frequently (Ballard and Whitlock, 2004; Near and Keck, 2013;
448 Wallis et al., 2017). Consistent with previous phylogenetic analyses (Cornetti et al.,
449 2014; Surget-Groba et al., 2006, 2001), we found the eastern oviparous lineage is

450 basal to all other common lizard lineages. Splitting order for the other lineages differs
451 from previous phylogenetic reconstructions, however, the reciprocal monophyly of all
452 remaining five lineages was highly supported by all analyses here. In agreement with
453 this, f_3 -statistics suggest that there was no significant admixture between lineages
454 (Table S3). Past mitochondrial DNA introgression and capture are a possible
455 mechanism explaining the discordance between mitochondrial and nuclear genes
456 (Leavitt et al., 2017; Willis et al., 2014).

457 Based on the strong reciprocal monophyly of the lineages, we suggest that
458 *Zootoca vivipara* should be divided into five or six subspecies. Some have argued that
459 *Z. v. carniolica* should be recognized as a separate species based on limited gene flow
460 and reproductive isolation (Cornetti et al., 2015a, 2015b). However, while
461 hybridization is rare and might be geographically restricted, it does occur between *Z*
462 *v. carniolica* and other viviparous common lizards (Lindtke et al., 2010; pers. obs.)
463 and phenotypic differences are generally small (Guillaume et al., 2006; Rodriguez-
464 Prieto et al., 2017). Given the old evolutionary split (Surget-Groba et al., 2006) and its
465 distinctive reproductive biology species status might be warranted. All other main
466 lineages (CVII, CVI, EV, WV, WO) could each be rendered a subspecific status given
467 their clear evolutionary splits and differences in karyotype (Guillaume et al., 2006;
468 Kupriyanova et al., 2006; Odierna et al., 2004, 1998; Surget-Groba et al., 2006).
469 Currently, only *Z. v. louisiantzi* (WO) can be recognized as a valid subspecies, while
470 other lineages have conflicting subspecific designations (Arribas, 2009; Schmidtler
471 and Böhme, 2011). While diagnostic morphological features are scarce (Guillaume et
472 al., 2006), in-depth analyses using more levels of the phenotype (e.g. differences in
473 colouration, behavior, reproduction and ecology) should resolve whether the
474 distinguished genetic lineages are supported by phenotypic data. A taxonomic
475 revision for these lineages combined with morphological and ecological data across
476 the whole distribution of the group is much-needed.

477

478 *4.3 Advantages and challenges of RADSeq data for phylogenetic reconstruction*

479 Our phylogenetic reconstruction represents the most comprehensive and
480 robust phylogeny of common lizards to date, based on 194,358 bp of polymorphic
481 SNPs and 67 individuals. Previous phylogenetic studies on common lizards using

482 only mitochondrial data (Surget-Groba et al., 2006) or fewer nuclear markers
483 (Cornetti et al., 2014) had only moderate congruency between different markers and
484 weak support at basal nodes. In agreement with the challenges from previous studies,
485 our mtDNA phylogeny of an established, informative locus was not compatible with
486 the phylogenomic dataset, highlighting the limitations of mtDNA (Ballard and
487 Whitlock, 2004; Wallis et al., 2017; Willis et al., 2014) and suggesting it is not an
488 appropriate marker for resolving the history of common lizards. More generally, we
489 suggest that for groups with short internal branches and evolutionary histories of
490 recent to several million years divergence, the type of data produced by RADSeq
491 might be optimal to resolve difficult evolutionary splits. This is the case for adaptive
492 radiations or more generally for short and quick speciation events and complex
493 phylogeographic histories (Giarla and Esselstyn, 2015; Rodríguez et al., 2017). This
494 study evidences the power of fast evolving loci (loci with several SNPs) to resolve
495 short phylogenetic branches.

496 A challenge of short-read phylogenomics and loci with multiple SNPs is the
497 validity of orthology between loci. We show that topological groupings are more
498 robustly supported when using loci with multiple SNPs (Fig S1) and we present an
499 assessment pipeline for validating the cut-offs for missing data and SNPs per locus.
500 Without a reference genome and a large amount of duplicated and/or repetitive DNA,
501 orthology of RAD loci is usually not evaluated. Using a reference genome to map the
502 RAD loci and high sequencing coverage per individual, such as done here, are
503 important methodological considerations to overcome these issues (Mastretta-Yanes
504 et al., 2015; Shafer et al., 2017). Disadvantages of these large but informative datasets
505 are long computational time for some analyses, in particular phylogenetic
506 reconstructions using Bayesian coalescence based analyses (Bryant et al., 2012).
507 Advances in phylogenomic methodologies to accommodate these more complex
508 datasets will be important for advancing the field (Delsuc et al., 2005; Fuentes-Pardo
509 and Ruzzante, 2017; Leavitt et al., 2016).

510

511 *4.4 Conclusions*

512 Our results strongly support a single origin of viviparity in common lizards
513 and a subsequent reversal to oviparity in one derived lineage as the most

514 parsimonious scenario of reproductive mode evolution (Fig 3, Table 1). In the light of
515 karyological and reproductive data (Arrayago et al., 1996; Heulin et al., 2002; Lindtke
516 et al., 2010; Odierna et al., 2004, 1998), these findings are strong evidence that a
517 reversal to oviparity has occurred what is now the allopatric western oviparous
518 lineage (Fig. 2, Fig. 3). In addition, we propose that a taxonomic revision of this
519 genus at the subspecific level may be needed. More generally, this suggests that
520 Dollo's law of irreversibility is not without exceptions, and might be particularly
521 prone to switches between characters at early stages of evolution of a new or lost trait.
522 For the future, we suggest that common lizards represent an ideal candidate to
523 investigate the genomic basis for evolutionary complex reversals.
524

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539

540 **Author Contributions**

541 KRE, NK and HR conceived the study. HR and KRE collected samples and designed
542 the experiments. HR generated data, performed all analyses and drafted the
543 manuscript. KRE, NK and HR all contributed to the writing of the final version of
544 manuscript.

545 **Conflicts of Interest**

546 The authors declare no conflict of interest.

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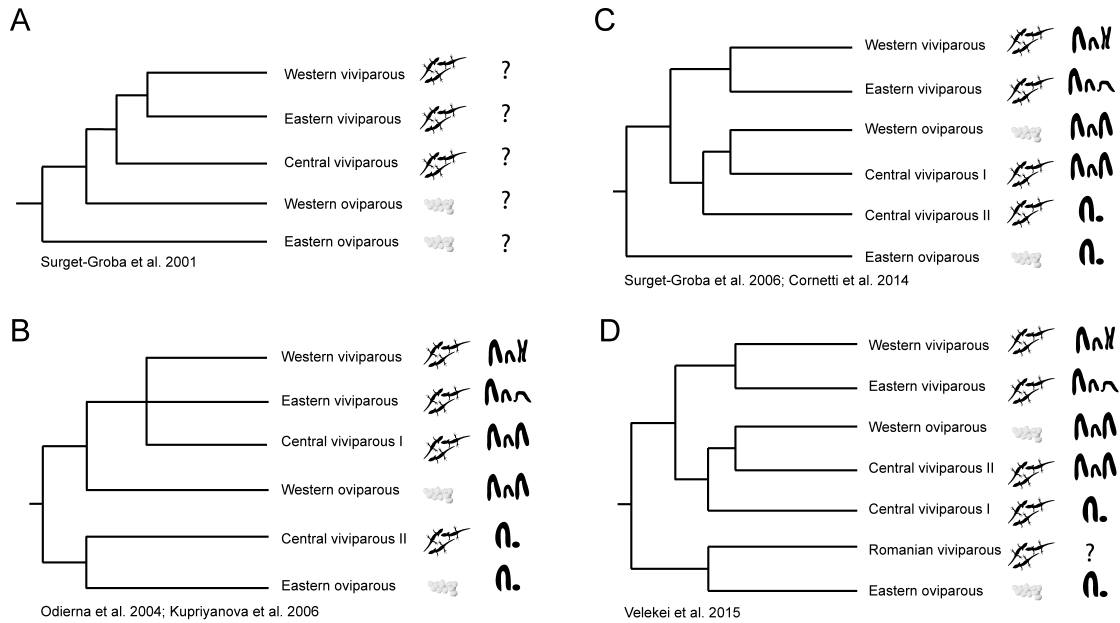
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801

802 **Table 1.** Statistics of alternative topological constraints. Five alternative topological constraints were set and compared to the best
803 performing maximum likelihood tree. Topological constraints were set to represent different evolutionary hypotheses of parity mode
804 evolution (assuming the most parsimonious path of evolution, i.e. the lowest number of possible transitions). Constraint models are
805 ranked by observations, starting with the model without constraint. Constraint models are the following: i) ‘no constraint’ is consistent
806 with a reversal to oviparity and refers to the topology in Figure 3, ii) ‘viviparous CVII basal’ is the same topology as i), only specifying
807 the constraint that the central viviparous II lineage is sister to all remaining lineages excluding the eastern oviparous lineage, which is
808 basal to central viviparous II; it is consistent with a reversal to oviparity and Figure 3, iii) ‘multiple viviparity’ constrains central
809 viviparous II as sister to eastern oviparous, and western oviparous sister to all other viviparous lineages, consistent with two independent
810 origins of viviparity and Figure 1B, iv) ‘oviparity basal’ constrains eastern and western oviparous lineages to be basal to all other
811 viviparous lineages and is consistent with a single origin of viviparity and Figure 1A, v) ‘viviparous CVII not basal’ constrains the
812 eastern oviparous lineage to be basal to all other lineages, but the central viviparous II not as basal to the remaining lineages; it is
813 consistent with a reversal to oviparity but not with sex chromosome evolution and corresponds to Figure 1C, and vi) ‘viviparous RO
814 basal’ constrains the Carpathian lineage to be sister to the eastern oviparous lineage, consistent with multiple independent origins of
815 viviparity and potentially a reversal to oviparity and corresponds to Figure 1D.

constraint	rank	obs	AU	NP	BP	PP	KH	SH	wtd-KH	wtd-SH
no constraint	1	0	0.518	0.493	0.502	0.500	0.496	0.918	0.496	0.918
viviparous CVII basal	2	0	0.535	0.501	0.494	0.500	0.504	0.891	0.504	0.891
multiple viviparity	3	404.6	0.000	0.000	0.000	0.000	0.000	0.021	0.000	0.000
oviparity basal	4	452.7	0.005	0.004	0.004	0.000	0.004	0.004	0.004	0.011
viviparous CVII not basal	5	1206.9	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000
viviparous ROM basal	6	2478	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000

816 Abbreviations are: obs = observations, AU = Approximately unbiased test, NP = non-scaled bootstrap probability, BP = bootstrap
817 probability, PP = Bayesian posterior probability, KH = Kishino-Hasegawa test, SH = Shimodaira-Hasegawa test, wtd = weighted, CVII =
818 central viviparous II, CVI = central viviparous I, RO = Carpathian viviparous clade.

819 **Captions to Figures:**



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822 **Figure 1.** Alternative hypotheses for phylogenetic relationships of common lizards

823 and parity mode evolution. Parity mode and sex chromosome configuration (ZW or

824 Z_1Z_2W ; Odierna et al., 2004) are illustrated next to each respective lineage.

825 Phylogenetic tree A) involves a single origin of viviparity and was supported by one

826 mtDNA gene. The second tree B) is based on karyological studies and suggests two

827 independent origins of viviparity. Hypothesis C) suggests a reversal to oviparity as

828 most parsimonious scenario, based on mtDNA and a few nuclear genes. The last

829 phylogeny D) includes a recently discovered viviparous lineage in the Carpathians,

830 which was found to be closely related to the most basal oviparous lineage. Parity

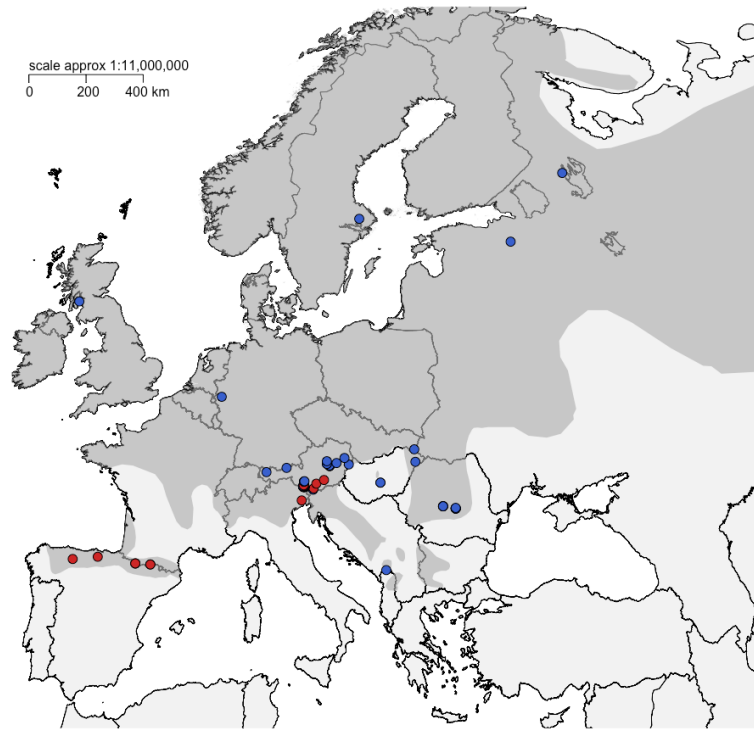
831 mode evolution in this scenario involves two independent origins of viviparity and a

832 reversal to oviparity.

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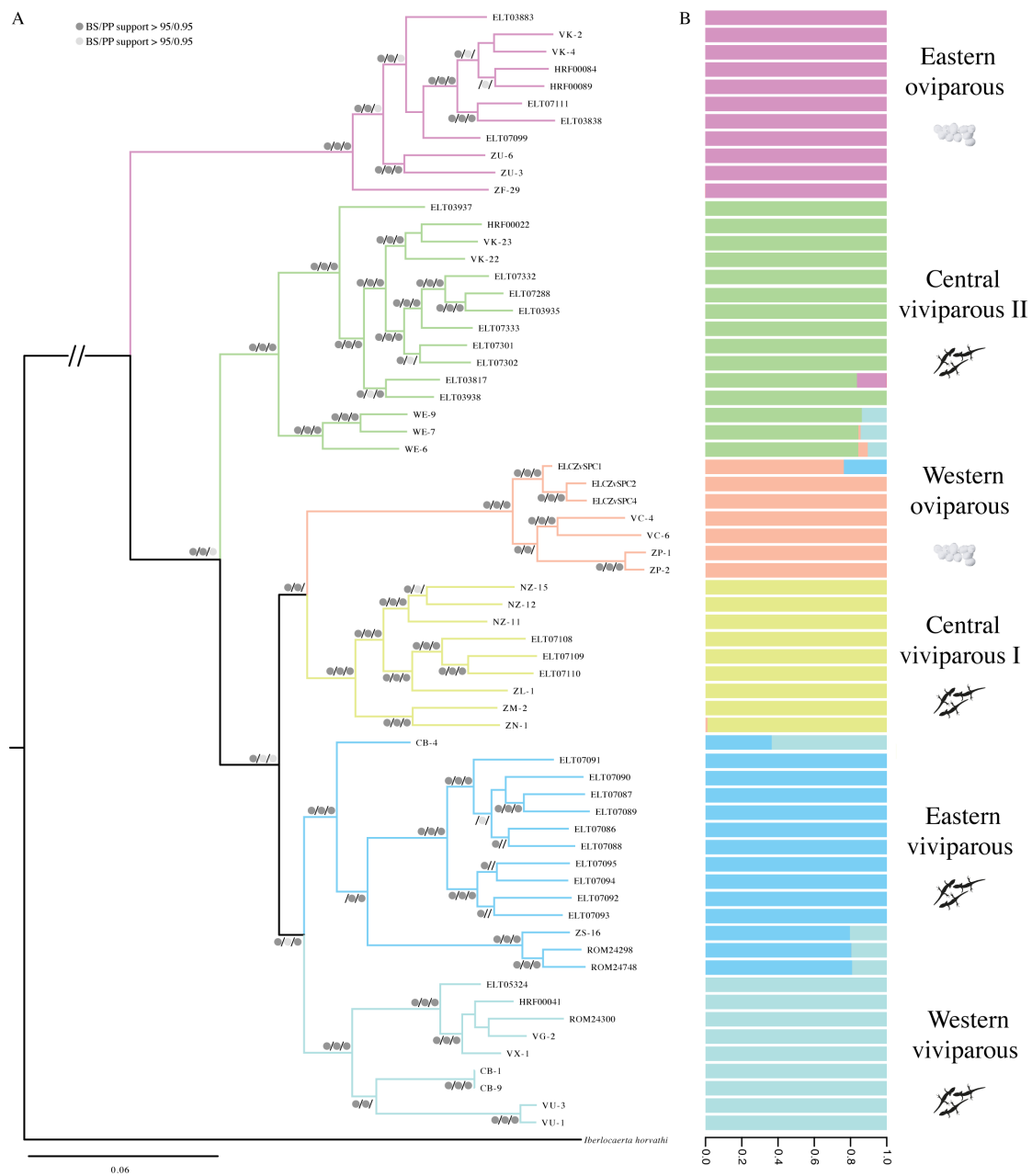
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837 **Figure 2.** Map of common lizard (*Zootoca vivipara*) sampling locations within
838 Europe. The dark grey shaded area marks the distribution of the common lizard in
839 Europe. Each dot represents a single individual (red = oviparous; blue = viviparous)
840 captured at the respective location. Note that a single individual from central Russia
841 included in the phylogenetic analyses is outside the scope of the map (see Table S1).

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846 **Figure 3.** Bayesian (B), Maximum likelihood (ML) and maximum parsimony (MP)
 847 reconstruction of common lizard evolutionary relationships based on ddRADSeq data.

848 A) The Bayesian tree was used with a full alignment using 1,334,760 sites (84,017

849 SNPs) and ML and MP trees were constructed with 194,358 SNPs. B posterior

850 probabilities (BS), ML and MP bootstrap support are indicated by dark grey and light

851 grey dots in that order (see legend). B) An ADMIXTURE analysis included the

852 194,358 SNPs and a k of 6 genetic clusters. Individuals are aligned vertically and

853 respective membership values for each genetic cluster are illustrated. Parity mode and

854 lineage are indicated on the right. *Iberolacerta horvathi* was used as an outgroup (true
855 branch length not shown for graphical reasons).