- 1 MoB (Measurement of Biodiversity): a method to separate the scale-dependent effects of
- 2 species abundance distribution, density, and aggregation on diversity change
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- 21 DM, XX, JC, and BM conceived the study and the overall approach, and all authors participated
- in multiple working group meetings to develop and refine the approach; JC and TK collected the
- data for the empirical example that led to Figures 4-6; XX, DM, and FM, wrote the R package,

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NG, JC, and BM provided guidance on method development, DM carried out the analysis of the empirical example, and XX carried out the sensitivity analysis; DM and XX wrote first draft of the manuscript, and all authors contributed substantially to revisions. **Abstract** Little consensus has emerged regarding how proximate and ultimate drivers such as abundance, productivity, disturbance, and temperature may affect species richness and other aspects of biodiversity. Part of the confusion is that most studies examine species richness at a single spatial scale and ignore how the underlying components of species richness can vary with spatial scale. We provide an approach for the measurement of biodiversity (MoB) that decomposes scale-2. specific changes in richness into proximate components attributed to: 1) the species abundance distribution, 2) density of individuals, and 3) the spatial arrangement of individuals. We decompose species richness using a nested comparison of individual- and plot-based species rarefaction and accumulation curves. Each curve provides some unique scale-specific information on the underlying components of species richness. We tested the validity of our method on simulated data, and we demonstrate it on empirical data on plant species richness in invaded and uninvaded woodlands. We integrated these methods into a new R package (mobr). 4. The metrics that mobr provides will allow ecologists to move beyond comparisons of species richness at a single spatial scale towards a more mechanistic understanding of the drivers of community organization that incorporates information on the scale dependence of the proximate components of species richness.

Key words

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accumulation curve, community structure, extent, grain, rarefaction curve, species-area curve, species richness, spatial scale Introduction Species richness – the number of species co-occurring in a specified area – is one of the most widely-used biodiversity metrics. However, ecologists often struggle to understand the mechanistic drivers of richness, in part because multiple ecological processes can yield qualitatively similar effects on species richness (Chase and Leibold 2002, Leibold and Chase 2017). For example, high species richness in a local community can be maintained either by species partitioning niche space to reduce interspecific competition (Tilman 1994), or by a balance between immigration and stochastic local extinction (Hubbell 2001). Similarly, high species richness in the tropics has been attributed to numerous mechanisms such as higher productivity supporting more individuals, higher speciation rates, and longer evolutionary time since disturbance (Rosenzweig 1995). Although species richness is a single metric that can be measured at a particular grain size or spatial scale, it is a response variable that summarizes the underlying biodiversity information that is contained in the individual organisms, which each are assigned to a particular species, Operational Taxonomic Unit, or other taxonomic grouping. Variation in species richness can be decomposed into three components (He and Legendre 2002, McGill 2010): 1) the number and relative proportion of species in the regional source pool (i.e., the species abundance

distribution, SAD), 2) the number of individuals per plot (i.e., density), and 3) the spatial

species richness may reflect one or a combination of all three components changing

distribution of individuals that belong to the same species (i.e., spatial aggregation). Changes in

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simultaneously. In some cases, the density and spatial arrangement of individuals simply reflect sampling intensity and detection errors. But in other cases, density and spatial arrangement of individuals may reflect responses to experimental treatments that ultimately drive the patterns of observed species richness. Thus, it is critical that we look beyond richness as a single metric, and develop methods to disentangle its underlying components that have more mechanistic links to processes (e.g., Vellend 2016). Although this is not the only mathematically valid decomposition of species richness, these three components are well-studied properties of ecological systems, and provide insights into mechanisms behind changes in richness and community structure (Harte et al. 2008, Supp et al. 2012, McGlinn et al. 2015). The shape of the regional SAD influences local richness. The shape of the SAD is influenced by the degree to which common species dominate the individuals observed in a region, and on the total number of highly rare species. Local communities that are part of a more even regional SAD (i.e., most species having similar abundances) will have high values of local richness because it is more likely that the individuals sampled will represent different species. Local communities that are part of regions with a more uneven SAD (e.g., most individuals are a single species) will have low values of local richness because it is more likely that the individuals sampled will be the same, highly common species (He and Legendre 2002, McGlinn and Palmer 2009). The richness of the regional species pool, which is influenced by the total number of rare species, has a similar effect on local richness. As regional species richness increases, local richness will also increase if the local community is even a partly random subsample of the species in the regional pool. Because the regional species pool is never fully observed, the two sub-components –the shape of the SAD and the size of the regional species

pool – cannot be completed disentangled. Thus, we group them together, as the SAD effect on local richness.

The number of individuals in the local community directly affects richness due to the sampling effect (the More Individuals Hypothesis of richness; Hurlbert 2004). As more individuals are randomly sampled from the regional pool, species richness is bound to increase. This effect has been hypothesized to be strongest at fine spatial scales; however, even at larger spatial scales, it never truly goes to zero (Palmer and van der Maarel 1995, Palmer et al. 2008).

The spatial arrangement of individuals within a plot or across plots is rarely random. Instead most individuals are spatially clustered or aggregated in some way, with neighboring individuals more likely belonging to the same species. As individuals within species become more spatially clustered, local diversity will decrease because the local community or sample is likely to consist of clusters of only a few species (Karlson et al. 2007, Chiarucci et al. 2009, Collins and Simberloff 2009).

Traditionally, individual-based rarefaction has been used to control for the effect of numbers of individuals on richness comparisons (Hurlbert 1971, Simberloff 1972, Gotelli and Colwell 2001), but few methods exist (e.g., Cayuela et al. 2015) for decomposing the effects of SADs and spatial aggregation on species richness. Because species richness depends intimately on the spatial and temporal scale of sampling, the relative contributions of the three components are also likely to change with scale. Spatial scale can be represented both by number of individuals, which scales linearly with area when density is relatively constant, and by the number of samples (plots). We will demonstrate that this generalized view of spatial scale allows us to distinguish three different types of sampling curves: (1) (spatially constrained) plot-based accumulation; (2) non-spatial plot-based rarefaction; and (3) (non spatial) individual-based

rarefaction. Constructing these different curves allows us to parse the relative contributions of the three proximate drivers of richness and how those contributions potentially change with spatial scale. Specifically, we develop a framework that provides a series of sequential analyses for estimating and testing the effects of the SAD, individual density, and spatial aggregation on changes in species richness across scales. We have implemented these methods in a freely available R package mobr (https://github.com/MoBiodiv/mobr)

Materials and Methods

Method Overview

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Our method targets data collected in standardized sampling units such as quadrats, plots, transects, net sweeps, or pit falls of constant area or sampling effort (we refer to these as "plots") that are assigned to treatments. We use the term treatment here generically to refer to manipulative treatments or to groups within an observational study (e.g., invaded vs uninvaded plots). The designation of plots within treatments implicitly defines the α scale – a single plot – and the γ scale – all plots within a treatment. If the sampling design is relatively balanced among treatments, the total sample area and the spatial extent (the minimum polygon encompassing all the plots in the treatment) are similar for each treatment. In an experimental study, each plot is assigned to a treatment. In an observational study, each plot is assigned to a categorical grouping variable(s). For this typical experimental/sampling design, our method provides two key outputs: 1) the relative contribution of the different components affecting richness (SAD, density, and spatial aggregation) to the observed change in richness between treatments and 2) quantifying how species richness and its decomposition change with spatial scale. We propose two complementary ways to view scale-dependent shifts in species richness and its components: a simple-to-interpret two-scale analysis and a more informative continuous scale analysis.

The two-scale analysis provides a big-picture view of the changes between the treatments by focusing exclusively on the α (plot-level) and γ (across all plots) spatial scales. It provides diagnostics for whether species richness and its components differ between treatments at the two scales. The continuous scale analysis expands the two-scale analysis by taking advantage of three distinct species richness curves computed across a range of scales: 1) plot-based accumulation curve (Gotelli and Colwell 2001, Chiarucci et al. 2009), where the order in which plots are sampled depends on their spatial proximity; 2) the non-spatial, plot-based rarefaction, where individuals are randomly shuffled across plots within a treatment while maintaining average plot density; and 3) the individual-based rarefaction curve where again individuals are randomly shuffled across plots within a treatment but in this case average plot density is not maintained. The differences between these curves are used to isolate the effects of the SAD, density of individuals, and spatial aggregation on richness and document how these effects change as a function of scale.

Detailed Data Requirements

Table 1. Mathematical nomenclature used in the study.

Treatment (or group label)	Plot	Coord	linates	Species 1		Species S	Total abundance	Richness
1	1	<i>X</i> 1,1	<i>y</i> 1,1	$n_{1,1,1}$		<i>n</i> _{1,1,S}	$N_{1,1} = \sum_{s} n_{1,1,s}$	$S_{1,1}$
:	÷	÷	:	:	÷	:	:	:
1	K	$x_{1,K}$	<i>y</i> 1, <i>K</i>	$n_{1,K,1}$	÷	$n_{1,K,S}$	$N_{1,K} = \sum_{s} n_{1,K,s}$	$S_{1,K}$
2	1	X2,1	<i>y</i> 2,1	<i>n</i> _{2,1,1}	÷	$n_{2,1,S}$	$N_{2,1} = \sum_{s} n_{2,1,s}$	$S_{2,1}$
:	÷	÷	:	:			i i	:
2	K	$\chi_{2,K}$	У2,К	<i>n</i> _{2,<i>K</i>,1}	•••	$n_{2,K,S}$	$N_{2,K} = \sum_{s} n_{2,K,s}$	$S_{2,K}$

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Consider T = 2 treatments, with K replicated plots per treatment (Table 1). Within each plot, we have measured the abundance of each species, which can be denoted by $n_{t,k,s}$, where t =1, 2 for treatment, k = 1, 2, ... K for plot number within the treatment, and s = 1, 2, ... S for species identity, with a total of S species recorded among all plots and treatments. The experimental design does not necessarily have to be balanced (i.e., K can differ between treatments) if the spatial extent is still similar between the treatments. For simplicity of notation we describe the case of a balanced design here. $S_{t,k}$ is the number of species observed in plot k in treatment t (i.e., number of species with $n_{t,k,s} > 0$), and $N_{t,k}$ is the number of individuals observed in plot k in treatment t (i.e., $N_{t,k} = \sum_{s} n_{t,k,s}$). The spatial coordinates of each plot k in treatment t are $x_{t,k}$ and $y_{t,k}$. We focus on spatial patterns but our framework also applies analogously to samples distributed through time. For clarity of explanation we focus here on a single-factor design with two (or more) categorical treatment levels. The method can be extended to accommodate crossed designs and regression-style continuous treatments which we describe in the Discussion and Supplement S5. Two-scale analysis The two-scale analysis is intended to provide a simple decomposition of species richness while still emphasizing the three components and change with spatial scale. In the two-scale analysis, we compare observed species richness in each treatment and several other summary statistics at the α and γ scales (Table 2). The summary statistics were chosen to represent the most informative aspects of individual-based rarefaction curves (Fig. 1). These rarefaction curves plot the expected species richness S_n against the number of individuals when individuals are randomly drawn from the sample at the α or γ scales. The curve can be calculated precisely

using the hypergeometric sampling formula given the SAD ($n_{t,k,s}$ at the plot level, $n_{t,+,s}$ at the treatment level) (Hurlbert 1971).

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We show how several widely-used diversity metrics are represented along the individual rarefaction curve, corresponding to α and γ scales (Fig. 1, Table 2, see Supplement S1 for detailed metric description). The total number of individuals within a plot $(N_{t,k})$ or within a treatment (N_{t+}) determines the endpoint of the rarefaction curves. Rarefied richness (S_n) controls richness comparisons for differences in individual density between treatments because it is the expected number of species for a random draw of n individuals ranging from 1 to N. To compute S_n at the α scale we set n to the minimum number of individuals across all samples in both treatments with a hard minimum of 5, and at the γ scale we multiplied this n value by the number of samples within a treatment (i.e., K). The probability of intraspecific encounter (PIE), $S_{\text{asymptote}}$ (via Chao1 estimator) and the number of undiscovered species (f_0) reflect the SAD component. We follow Jost (2007) and convert PIE into effective numbers of species (S_{PIE}) so that it can be more easily interpreted as a metric of diversity (See Supplement S1 for more description and justification of PIE, f_0 , and associated β metrics). Whittaker's multiplicative beta diversity metrics for S, S_{PIE} , and f_0 reflect the degree of turnover between the α and γ scales. In Fig. 1, species are spatially aggregated across plots, and β_S is large.

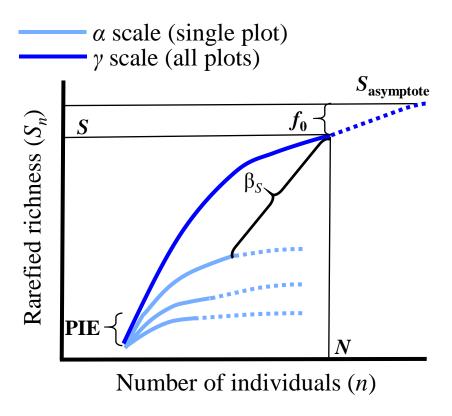


Figure 1. Illustration of how the key biodiversity metrics are derived from the individual-based rarefaction curves constructed at the α (i.e., single plot) and γ (i.e., all plots) scales. The solid lines are rarefied richness derived from the randomly sampling individuals from each plot's SAD and the dotted lines reflect the extrapolated richness via Chao1 estimator. The light blue curves show individual rarefaction curves for each plot. The labeled metrics can also be calculated for each α -scale curve (not shown). The dark blue curve reflects the individual rarefaction curve at the γ -scale, with all individuals from all plots combined. S and N correspond to the ending points of the rarefaction curve on the richness and individual axes, respectively. $S_{\rm asymptote}$ is the extrapolated asymptote. See Table 2 for definitions of metrics including ones not illustrated.

Comparison of these summary statistics between treatments identifies whether the treatments have a significant effect on richness at these two scales, and if they do, the potential proximate driver(s) of the change. A difference in *N* between treatments implies that differences

in richness between treatments may be a result of treatments changing the density of individuals. Differences in S_{PIE} and/or f_0 imply that change in the shape of the SAD may contribute to the change in richness, with S_{PIE} being most sensitive to changes in abundant species and f_0 being most sensitive to changes in number of rare species. Differences in β -diversity metrics may be due to differences in any of the three components: SAD, N, or aggregation, and each β metric (Table 2) provides a different weighting on common vs rare species.

Table 2. Definitions and interpretations of the summary statistics for simplified two-scale

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Metric	Definition	Interpretation	
S	Observed richness, effective number of species of order 0 (Jost, 2007)	Number of species	
N	Total abundance across all species	Measure of density of individuals	
S_n	The expected richness for <i>n</i> randomly sampled individuals (Hurlbert 1971).	Estimate of richness after controlling for differences due to aggregation or number of individuals (i.e., only reflects SAD)	
PIE	Probability of intraspecific encounter ($S_{n=2} - S_{n=1}$, Hurlbert 1971, Olszweski 2004),	Measure of evenness, slope at base of the rarefaction curve, and sensitive to common species	
$S_{ m PIE}$	Number of equally abundant species needed to yield PIE (i.e., effective number of species of order 2, Jost 2007)	Effective number of species of PIE that is easier to compare with $S = 1 / (1 - PIE)$	
$S_{ m asymptote}$	Extrapolated asymptotic richness via Chao1 estimator (Chao 1984).	Richness that includes unknown species but is highly correlated with <i>S</i> (McGill 2011)	
f_0	Richness of undetected species (Sasymptote – S, Chao et al. 2009).	Measure of rarity at top of rarefaction curve, more sensitive to rare species than <i>S</i>	
βs	Ratio of total treatment S and average plot S (Whittaker 1960)	More species turnover results in larger β_S which may be due to increases in spatial aggregation, N , and/or unevenness of the SAD.	
$oldsymbol{eta_{\!f_0}}$	Ratio of total treatment f_0 and average plot f_0	Like β_S but emphasizes rare species	
$oldsymbol{eta}_{S_{ ext{PIE}}}$	Ratio of total treatment and average plot S _{PIE} (Olszewski 2004)	Like β_S but emphasizes common species	

The treatment effect on these metrics can be visually examined with boxplots (see Empirical example section) at the α scale and with single points at the pooled γ -scale (unless there is replication at the γ scale as well). Quantitative comparison of the metrics can be made

with t-tests (ANOVAs for more than two treatments) or, for highly skewed data, nonparametric tests such as Mann-Whitney U test (Kruskal-Wallis for more than two treatments).

We provide a non-parametric, randomization test where the null expectation of each metric is established by randomly shuffling the plots between the treatments, and recalculating the metrics for each reshuffle. The significance of the differences between treatments can then be evaluated by comparing the observed test statistic to the null expectation when the treatment IDs are randomly shuffled across the plots (Legendre and Legendre 1998). When more than two groups are compared the test examines the overall group effect rather than specific group differences. At the α scale where there are replicate plots to summarize over, we use the ANOVA F-statistic as our test statistic (Legendre and Legendre 1998), and at the γ scale in which we only have a single value for each treatment (and therefore cannot use the F-statistic) the test statistic is the absolute difference between the treatments (if more than two treatments are considered then it is the average of the absolute differences, \overline{D}). At both scales we use \overline{D} as a measure of effect size.

Note that $N_{t,k}$ and $N_{t,+}$ give the same information, because one scales linearly with the other by a constant (i.e., $N_{t,+}$ is equal to $N_{t,k}$ multiplied by the number of plots K within treatment). However, the other metrics (S, f_0 and S_{PIE}) are not directly additive across scales. Evaluation of these metrics at different scales may yield different insights for the treatments, sometimes even in opposite directions (Chase et al. *submitted*). However, complex scale-dependence may require comparison of entire sampling curves (rather than their two-scale summary statistics) to understand how differences in community structure change continuously across a range of spatial scales.

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Continuous scale analysis While the two-scale analysis provides a useful tool with familiar methods, it ignores the role of scale as a continuum. Such a discrete scale perspective can only provide a limited view of treatment differences at different scales. We develop in this section a method to examine the components of change across a continuum of spatial scale. We define spatial scale by the amount of sampling effort, which we define as the number of individuals or the number of plots sampled. Assuming that the density of individuals is constant across plots, these measures should be proportional to each other. The three curves The key innovation is to use three distinct types of species accumulation and rarefaction curves that capture different components of community structure. By a carefully sequenced analysis, it is possible to tease apart the effects of SAD shape, of changes in density of individuals (N), and of spatial aggregation across a continuum of spatial scale. The three types of curves are summarized in Table 3. Fig. 2 shows graphically how they are constructed. The first curve, is the spatial plot-based or sample-based accumulation curve (Gotelli and Colwell 2001 or spatially-constrained rarefaction Chiarucci et al. 2009). It is constructed by accumulating plots within a treatment based on their spatial position such that the most proximate plots are collected first. One can think of this as starting with a target plot and then expanding a circle centered on the target plot until one additional plot is added, then expanding the circle until another plot is added, etc. In practice, every plot is used as the starting target plot and the resulting curves are averaged to give a smoother curve. If two or more plots are of equal distance to the target plot, they are accumulated in random order. The second curve is the non-spatial, plot-based rarefaction curve (Supplement S2). It is

constructed by randomly sampling plots within a treatment in which the individuals in the plots have first been randomly shuffled among the plots within a treatment, while maintaining the plot-level average abundance $(\overline{N_{t,k}})$ and the treatment-level SAD $(\overrightarrow{n}_{t,+} = \sum_k \overrightarrow{n}_{t,k})$. Note that this rarefaction curve is very different from the traditional "sample-based rarefaction curve" (Gotelli and Colwell 2001), in which plots are randomly shuffled to build the curve but individuals within a plot are preserved (and consequently any within-plot spatial aggregation is retained). Our non-spatial, plot-based rarefaction curve contains the same information (plot density and SAD) as the spatial accumulation curve, but it has nullified any signal due to species spatial aggregation both within and between plots.

The third curve is the familiar individual-based species rarefaction curve. It is constructed by first pooling individuals across all plots within a treatment, and then randomly sampling individuals without replacement. This individual-based rarefaction curve reflects only the shape of the underlying SAD $(\vec{n}_{t,+})$.

In can be computationally intensive to compute rarefaction curves, and therefore analytical formulations of these curves are desirable to speed up software. It is unlikely an analytical formulation of the plot-based accumulation curve exists because it requires averaging over each possible ordering of nearest sites; however, analytical expectations are available for the sample- and individual-based rarefaction curves. Specifically, we used the hypergeometic formulation provided by Hurlbert (1971) to estimate expected richness of the individual-based rarefaction curve. To estimate the plot-based rarefaction curve we extended Hurlbert's (1971) formulation (see Supplement S2). Our derivation demonstrates that the non-spatial curve is a rescaling of the individual-based rarefaction curve based upon the degree of difference in density between the two treatments under consideration. Specifically, we use the ratio of average

community density to the density in the treatment of interest to rescale sampling effort in the individual based rarefaction curve. For a balanced design, the individual rarefaction curve of Treatment 1 can be adjusted for density effects by multiplying the sampling effort of interest by: $(\sum_t \sum_k N_{t,k}) / (2 \cdot \sum_k N_{1,k}).$ Similarly, the Treatment 2 curve would be rescaled by $(\sum_t \sum_k N_{t,k}) / (2 \cdot \sum_k N_{2,k}).$ If the treatment of interest has the same density as the average community density then there is no density effect, and the plot-based curve is equivalent to the individual-based rarefaction curve. Here we have based the density rescaling on average number of individuals, but alternatives exist such as using maximum or minimum treatment density. Note that the plot-based curve is only relevant in a treatment comparison, which contrasts with the other two rarefaction curves that can be constructed independently of any consideration of treatment effects.

Table 3. Summary of three types of species sampling curves. For treatment t, $\vec{n}_{t,+}$ is the vector of species abundances, \vec{n}_t is the vector of plot abundances, and \vec{d}_t is the vector of distances between plots.

Curve Name	Notation	Method for accumulation	Interpretation
Spatial plot- based accumulation curve	$\mathrm{E} \big[S_t \big k, \vec{n}_{t,+}, \vec{N}_t, \vec{d}_t \big]$	Spatially explicit sampling in which the most proximate plots to a focal plot are accumulated first. All possible focal plots are considered and the resulting curves are averaged over.	This curve includes all information in the data including effect of SAD, effect of density of individuals, and effect of spatial aggregation.
Nonspatial, plot-based rarefaction curve	$\mathrm{E}\big[S_t \middle k, \vec{n}_{t,+}, \vec{N}_t\big]$	Random sampling of k plots after removing intraspecific spatial aggregation by randomly shuffling individuals across plots while maintaining average plot-level abundance $(\overline{N}_{t,k})$ and the treatment-level SAD $(n_{t,+,s} = \sum_k n_{t,k,s})$. In practice, we use an analytical extension of the hypergeometric distribution that demonstrates this curve is a rescaling of the individual-base rarefaction curve based on the ratio: (average density across treatments) / (average density of treatment of interest)	This curve reflects both the shape of the SAD and the difference in density between the treatments. If density between the two treatments is identical then this curve converges on the individual-based rarefaction curve.
Individual- based rarefaction curve	$\mathrm{E}\big[S_t \big N, \vec{n}_{t,+}\big]$	Random sampling of N individuals from the observed SAD $(\vec{n}_{t,+})$ without replacement.	By randomly shuffling individuals with no reference to plot density, all spatial and density effects are removed. Only the effect of the SAD remains.

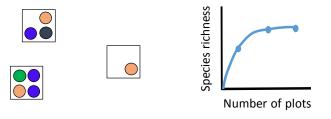
a) Spatial, plot-based accumulation

Accumulate plots by nearest neighbors



b) Non-spatial, plot-based rarefaction

Shuffle individuals between plots retaining density, then accumulate plots randomly (breaking spatial structure)



c) Individual-based rarefaction

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Pool individuals across plots within a treatment, then accumulate individuals randomly (breaking density and spatial effects)

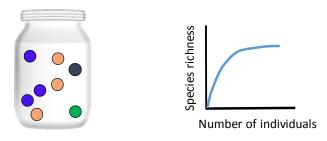


Figure 2. Illustration of how the three sampling curves are constructed. Circles of different colors represent individuals of different species. See Table 3 for detailed description of each sampling curve.

The mechanics of isolating the distinct effects of spatial aggregation, density, and SAD

The three curves capture different components of community structure that influence richness changes across scales (measured in number of samples or number of individuals, both of

which can be easily converted to area, Table 3). Therefore, if we assume the components contribute additively to richness, then the effect of a treatment on richness propagated through a single component at any scale can be obtained by subtracting the rarefaction curves from each other. For simplicity and tractability, we assume additivity to capture first-order effects. This assumption is supported by Tjørve *et al.*'s (2008) demonstration that an additive partitioning of richness using rarefaction curves reveals random sampling and aggregation effects when using presence-absence data. We further validated this assumption using sensitivity analysis (see "Sensitivity analysis of the method" and Table 5). Below we describe the algorithm to obtain the distinct effect of each component. Figure 3 provides a graphic illustration.

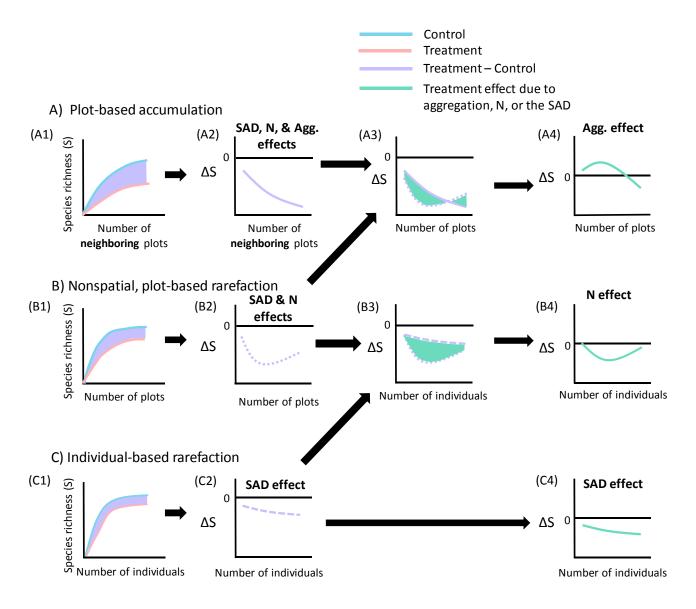


Figure 3. Steps separating the distinct effect of the three factors on richness. The experimental design has two treatments (blue and orange curves). The purple shaded area on the left and the equivalent purple curve in each plot to the right represent the difference in richness (i.e., treatment effect) for each set of curves. By taking the difference again (green shaded area and curves) we can obtain the treatment effect on richness through a single component. See text for details (Eqn 1.). The three types of curves are defined in Fig. 2 and Table 3.

- 326 *i)* Effect of aggregation
- 327 The difference between the plot-based accumulation curves of two treatments,
- $\Delta(S_{21}|k,\vec{n}_{t+1},\vec{N}_{t},\vec{d}_{t}) = E[S_{2}|k,\vec{n}_{2+1},\vec{N}_{2},\vec{d}_{2}] E[S_{1}|k,\vec{n}_{1+1},\vec{N}_{1},\vec{d}_{1}],$ gives the observed 328
- 329 difference in richness between treatments across scales (Fig. 3A2, solid purple curve). It
- 330 encapsulates the treatment effect propagated through all three components: shape of the SAD,
- 331 density of individuals, and spatial aggregation. Differences between treatments in any of these
- 332 factors could potentially translate into observed difference in species richness.
- 333 Similarly, the difference between the non-spatial, plot-based rarefaction
- curves, $\Delta(S_{21}|k, \vec{n}_{t,+}, \vec{N}_t) = E[S_2|k, \vec{n}_{2,+}, \vec{N}_2] E[S_1|k, \vec{n}_{1,+}, \vec{N}_1]$, gives the expected difference 334
- 335 in richness across treatments when spatial aggregation is removed (Fig. 3B2, purple dotted
- 336 curve). The distinct effect of aggregation across treatments from one plot to k plots can thus be
- 337 obtained by taking the difference between the two ΔS values (Fig. 3A3, green shaded area), i.e.,

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$$\Delta(S_{21}|\text{aggregation}) = \Delta(S_{21}|k, \vec{n}_{t,+}, \vec{N}_t, \vec{d}_t) - \Delta(S_{21}|k, \vec{n}_{t,+}, \vec{N}_t)$$

339 =
$$\left(\mathbb{E}\left[S_{2}|k,\vec{n}_{2,+},\vec{N}_{2},\vec{d}_{2}\right] - \mathbb{E}\left[S_{1}|k,\vec{n}_{1,+},\vec{N}_{1},\vec{d}_{1}\right]\right) - \left(\mathbb{E}\left[S_{2}|k,\vec{n}_{2,+},\vec{N}_{2}\right] - \mathbb{E}\left[S_{1}|k,\vec{n}_{1,+},\vec{N}_{1}\right]\right)$$
 (Eqn 1)

- 341 effect of aggregation, density, and SAD effect of density and SAD
- 342 Equation 1 demonstrates that the effect of aggregation can be thought of as the difference
- 343 between treatment effects quantified by the plot-based accumulation and plot-based rarefaction
- curves. An algebraic rearrangement of Eqn 1 demonstrates that $\Delta(S_{21}|aggregation)$ can also be 344
- 345 thought of as the difference between the treatments of the same type of rarefaction curve:

$$346 = \left(\mathbb{E}[S_2|k, \vec{n}_{2,+}, \vec{N}_2, \vec{d}_2] - \mathbb{E}[S_2|k, \vec{n}_{2,+}, \vec{N}_2] \right) - \left(\mathbb{E}[S_1|k, \vec{n}_{1,+}, \vec{N}_1, \vec{d}_1] - \mathbb{E}[S_1|k, \vec{n}_{1,+}, \vec{N}_1] \right)$$
(Eqn 2)

effect of aggregation in Treatment 2 effect of aggregation in Treatment 1 348

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This simple duality can be extended to the estimation of the density and SAD effects, but we will only consider the approach laid out in Eqn 1 below. In Fig. 3, we separate each individual effect using the approach of Eqn 1 while the code in the mobr package uses the approach of Eqn 2. One thing to note is that the effect of aggregation always converges to zero at the maximal spatial scale (k = K plots) for a balanced design. This is because, when all plots have been accumulated, $\Delta(S_{21}|k,\vec{n}_{t,+},\vec{N}_t,\vec{d}_t)$ and $\Delta(S_{21}|k,\vec{n}_{t,+},\vec{N}_t)$ will both converge on the difference in total richness between the treatments. However, for an unbalanced design in which one treatment has more plots than the other, $\Delta(S_{21}|aggregation)$ would converge to a nonzero constant because $E[S_t|k, \vec{n}_{t,+}, \vec{N}_t, \vec{d}_t] - E[S_t|k, \vec{n}_{t,+}, \vec{N}_t]$ would be zero for one treatment but not the other at the maximal spatial scale (i.e., $min(K_1, K_2)$ plots). This artefact is inevitable and should not be interpreted as a real decline in the relative importance of aggregation on richness, but as our diminishing ability to detect such effect without sampling a larger region. *ii)* Effect of density: In the same vein, the difference between the individual-based rarefaction curves of the two treatments, $\Delta(S_{21}|N,\vec{n}_{t,+}) = E[S_2|N,\vec{n}_{2,+}] - E[S_1|N,\vec{n}_{1,+}]$, yields the treatment effect on richness propagated through the shape of the SAD alone, with the other two components removed (Fig. 3C2, purple dashed curve). The distinct effect of density across treatments from one individual to N individuals can thus be obtained by subtracting the ΔS value propagated through the shape of the SAD alone from the ΔS value propagated through the compound effect of the SAD and density (Fig. 3B3, green shaded area), i.e.,

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$$\Delta(S_{21}|\text{density}) = \Delta(S_{21}|N, \vec{n}_{t,+}, \vec{N}_t) - \Delta(S_{21}|N, \vec{n}_{t,+})$$

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$$= (E[S_2|N, \vec{n}_{2,+}, \vec{N}_2] - E[S_1|N, \vec{n}_{1,+}, \vec{N}_1]) - (E[S_2|N, \vec{n}_{2,+}] - E[S_1|N, \vec{n}_{1,+}])$$
(Eqn 3)

- effect of density and SAD effect of SAD
- Note that in Eqn 3, spatial scale is defined with respect to numbers of individuals sampled (*N*)
- 377 (and thus the grain size that would be needed to achieve this) rather than the number of samples
- 378 (*k*).

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- 379 *iii) Effect of SAD:*
- 380 The distinct effect of the shape of the SAD on richness between the two treatments is simply the
- difference between the two individual-based rarefaction curves (Fig. 3B, purple dashed curve),
- 382 i.e.,

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$$\Delta(S_{21}|SAD) = \Delta(S_2|p, \vec{n}_{2,+}) - \Delta(S_1|p, \vec{n}_{1,+})$$
 (Eqn 4)

- The scale of $\Delta(S_{21}|SAD)$ ranges from one individual, where both individual rarefaction curves
- have one species and thus $\Delta(S_{21}|SAD) = 0$, to $N_{\min} = \min(N_{1,+}, N_{2,+})$, which is the lower total
- abundance between the treatments.
- The formulae used to identify the distinct effect of the three factors are summarized in Table 4.

Table 4. Calculation of effect size curves.

Factor	Formula	Note
Aggregation	$\begin{split} &\Delta(S_{21} \text{aggregation})\\ &= \Delta\big(S_{21}\big k,\vec{n}_{t,+},\vec{N}_t,\vec{d}_t\big)\\ &- \Delta\big(S_{21}\big k,\vec{n}_{t,+},\vec{N}_t\big) \end{split}$	Artificially, this effect always converges to zero at the maximal spatial scale (<i>K</i> plots) for a balanced design, or a non-zero constant for an unbalanced design.
Density	$\Delta(S_{21} \text{density})$ $= \Delta(S_{21} N, \vec{n}_{t,+}, \vec{N}_t)$ $- \Delta(S_{21} N, \vec{n}_{t,+})$	To compute this quantity, the x-axes of the plot-based rarefaction curves are converted from plots to individuals using average individual density
SAD	$\Delta(S_{21} SAD)$ $= \Delta(S_2 N, \vec{n}_{2,+})$ $- \Delta(S_1 N, \vec{n}_{1,+})$	This is estimated directly by comparing the individual rarefaction curves between two treatments.

Significance tests and acceptance intervals

In the continuous-scale analysis, we also applied Monte Carlo permutation procedures to 1) construct acceptance intervals (or non-rejection intervals) across scales on simulated null changes in richness, and 2) carry out goodness of fit tests on each component (Loosmore and Ford 2006, Diggle-Cressie-Loosmore-Ford test [DCLF]; Baddeley et al. 2014). See Supplement S3 for descriptions of how each set of randomizations was developed to generate 95% acceptance intervals (ΔS_{null}) which can be compared to the observed changes (ΔS_{obs}). Strict interpretations of significance in relation to the acceptance intervals is not warranted because each point along the spatial scale (x-axis) is effectively a separate comparison. Consequently, a problem arises with multiple non-independent tests and the 95% bands cannot be used for formal significance testing due to Type I errors. The DCLF test (see Supplement S3) provides an overall significance test with a proper Type I error rate (Loosmore and Ford, 2006) but this test in turn

suffers from Type II error (Baddeley et al. 2014). There is no mathematical resolution to this and user judgement should be emphasized if formal *p*-tests are needed. Sensitivity Analysis Although the logic justifying the examination separating the effect of the three components is rigorous, we tested the validity of our approach (and the significance tests) by simulations using the R package mobsim (May et al. preprint, May 2017). The goal is to establish the rate of type I error (i.e., detecting significant treatment effect through a component when it does not differ between treatments) and type II error (i.e., nonsignificant treatment effect through a component when it does differ). This was achieved by systematically comparing simulated communities in which we altered one or more components while keeping the others unchanged (see Supplement S4). Overall, the benchmark performance of our method was good. When a factor did not differ between treatments, the detection of significant difference was low (Supplemental Table S4.1). Conversely, when a factor did differ, the detection of significant difference was high, but decreased at smaller effect sizes. Thus, we were able to control both Type I and Type II errors at reasonable levels. In addition, there did not seem to be strong interactions among the components - the error rates remained consistently low even when two or three components were changed

An empirical example

simultaneously.

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In this section, we illustrate the potential of our method with an empirical example, previously analyzed by Powell et al. (2013). Invasion of an exotic shrub, *Lonicera maackii*, has caused significant, but strongly scale-dependent, decline in the diversity of understory plants in eastern Missouri (Powell et al. 2013). Specifically, Powell et al. (2013) showed that the effect size of the invasive plant on herbaceous plant species richness was large at relatively plot-level

depended on spatial scale.

spatial scales (1 m²), but the proportional effect declines with increasing windows of observations, with the effect becoming negligible at the largest spatial scale (500 m²). Using a null model approach, the authors further identified that the negative effect of invasion was mainly due to the decline in plant density observed in invaded plots. To recreate these analyses run the R code achieved here:

https://github.com/MoBiodiv/mobr/blob/master/scripts/methods_ms_figures.R.

The original study examined the effect of invasion at multiple scales using the slope and intercept of the species-area relationship. We now apply our MoB approach to data from one of their sites from Missouri, where the numbers of individuals of each species were recorded from 50 1-m² plots sampled from within a 500-m² region in the invaded part of the forest, and another 50 plots from within a 500-m² region in the uninvaded part of the forest. Our method leads to

conclusions that are qualitatively similar to the original study, but with a richer analysis of the

scale dependence. Moreover, our new methods show that invasion influenced both the SAD and

spatial aggregation, in addition to density, and that these effects went in different directions and

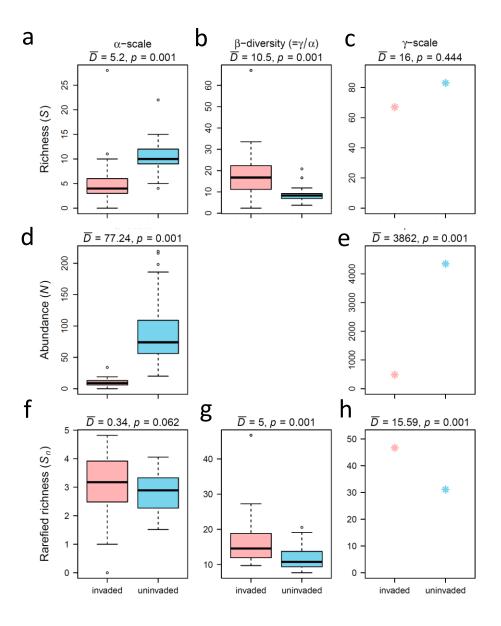


Figure 4. Simple two scale analysis output for case study. Biodiversity statistics for the invaded (red boxplots and points) and uninvaded (blue boxplots and points) for vascular plant species richness at the α (i.e., single plot), beta (i.e., between plots), and γ (i.e., all plots) scales. The p-values are based on 999 permutations of the treatment labels. Rarefied richness (S_n , panels f-h) was computed for 5 and 250 individuals for the α (f) and γ (h) scales respectively.

The two-scale analysis suggests that invasion decreases average richness (S) at the α (Fig. 4a, $\overline{D} = 5.2$, p = 0.001) but not γ scale (Fig. 4c, $\overline{D} = 16$, p = 0.438). Invasion also decreased total

abundance (N, Fig. 4d,e, p=0.001) which suggests that the decrease in S at the α scale may be due to a decrease in individual abundance. Rarefied richness (S_n , Fig. 4f,h) allows us to test this hypothesis directly. Specifically, we found S_n was higher in the invaded areas (significantly so \overline{D} = 15.59, p=0.001 at the γ scale defined here as n=250; Fig. 4h) which indicates that once the negative abundance effect was controlled for, invasion actually increased diversity through an increase in species evenness.

To identify whether the increase in evenness due to invasion was primarily because of shifts in common or rare species, we examined ENS of PIE ($S_{\rm PIE}$) and the undetected species richness (f_0) (see Fig.5). At the α scale, invasion did not strongly influence the SAD (Fig. 5a,d), but at the γ scale, there was evidence that invaded sites had greater evenness in the common species (Fig. 5f, $\overline{D}=5.78$, p=0.001). In other words, the degree of dominance by any one species was reduced.

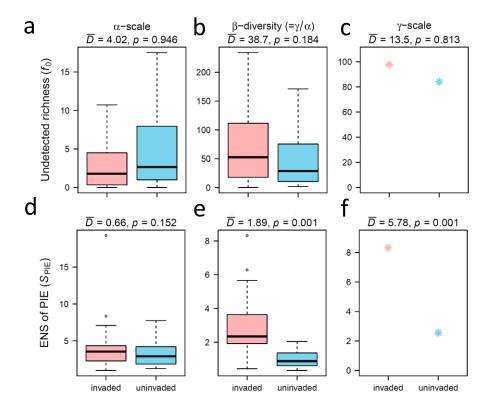


Figure 5. The two-scale analysis applied to metrics of biodiversity that emphasize changes in the SAD. Colors as described in Fig. 4. f_0 (a-c) is more sensitive to rare species, and S_{PIE} (d-f) is more sensitive to common species. The p-values are based on 999 permutations of the treatment labels, and outliers were removed from the f_0 plot.

The β diversity metrics were significantly higher (Fig. 4b,g, Fig. 5e, p = 0.001) in the invaded sites (with the exception of β_{f0} , Fig. 5b), suggesting that uninvaded sites had lower spatial species turnover and thus were more homogenous. It did not appear that changes in N were solely responsible for the changes in beta-diversity because β_{Sn} displayed a very similar, but slightly weaker, pattern as raw β_{S} (Fig. 4b,g).

Overall the two-scale analysis indicates: 1) that there are scale-dependent shifts in richness, 2) that these are caused by invasion decreasing *N*, and increasing evenness in common species, and increasing species patchiness.

Applying the continuous scale analysis, we further disentangled the effect of invasion on diversity through the three components (SAD, density, and aggregation) across all scales of interest. The results are shown in Fig. 6 which parallels the panels of the conceptual Fig. 3. Fig. 6a-c present the three sets of curves for the two treatments: the plot-based accumulation curve, in which plots accumulate by their spatial proximity (Fig. 6a); the (non-spatial) plot-based rarefaction curve, in which individuals are randomized across plots within a treatment (Fig. 6b); and the individual-based rarefaction curve, in which species richness is plotted against number of individuals (Fig. 6c). Fig. 6d-f show the effect of invasion on richness, obtained by subtracting the red curve from the blue curve for each pair of curves (which correspond to the curves of the same color in Fig. 3). The bottom panel, which shows the effect of invasion on richness through each of the three factors, is obtained by subtracting the curves in the middle panel from each other. The contribution of each component to difference in richness between the invaded and uninvaded sites is further illustrated in Fig. 6.

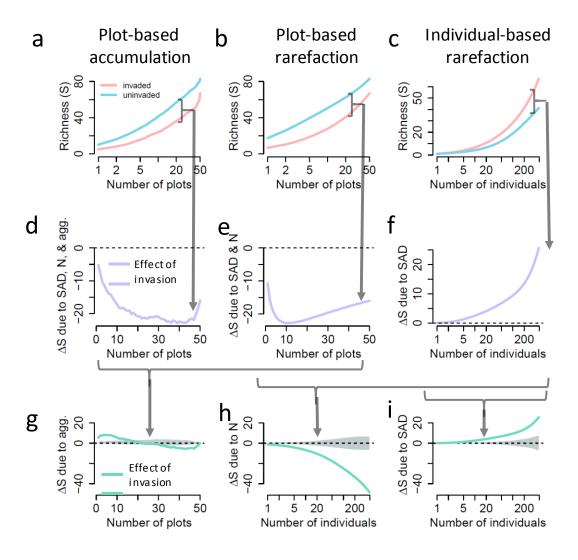


Figure 6. Applying the MoB continuous scale analysis on the invasion data set. The colors are as in Fig. 3. Panel a, shows the invaded (red) and uninvaded (blue) accumulation and rarefaction. In panel b, the purple curves show the difference in richness (uninvaded – invaded) for each set of curves. In panel c, the green curves show the treatment effect on richness through each of the three components, while the grey shaded area shows the 95% acceptance interval for the null model, the cross scale DCLF test for each factor was significant (p = 0.001). The dashed line shows the point of no-change in richness between the treatments.

Consistent with the original study, our approach shows that the invaded site had lower richness than the uninvaded site at all scales (Fig. 6a). Separating the effect of invasion into the

three components, we find that invasion actually had a positive effect on species richness through its impact on the shape of the SAD (Fig. 6i, Fig. 7a), which contributed to approximately 20% of the observed change in richness (Fig. 7b). This counterintuitive result suggests that invasion has made the local community more even, meaning that the dominant species were most significantly influenced by the invader. However, this positive effect was completely overshadowed by the negative effect on species richness through reductions in the density of individuals (Fig. 6h, Fig. 7a), which makes a much larger contribution to the effect of invasion on richness (as large as 80%, Fig. 7b). Thus, the most detrimental effect of invasion was the sharp decline in the number of individuals. The effect of aggregation (Fig. 6g), is much smaller compared with the other two components and was most important at small spatial scales. Our approach thus validates the findings in the original study, but provides a more comprehensive way to quantify the contribution to richness decline caused by invasion by each of the three components, at every spatial scale.

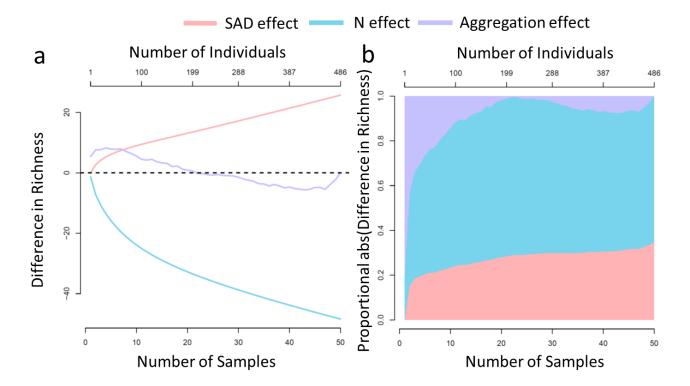


Figure 7. The effect of invasion on richness via individual effects on three components of community structure: SAD in red, density in blue, aggregation in purple across scales. The raw differences (a) and proportional stacked absolute values (b). The x-axis represents sampling effort in both numbers of samples (i.e., plots) and individuals (see top axis). The rescaling between numbers of individuals and plots we carried out by defining the maximum number of individuals rarefied to (486 individuals) as equivalent to the maximum number of plots rarefied to (50 plots), other methods of rescaling are possible. In panel (a) the dashed black line indicates no change in richness.

Discussion

How does species richness differ between experimental conditions or among sites that differ in key parameters in an observational study? This fundamental question in ecology often lacks a simple answer, because the magnitude (and sometimes even the direction) of change in

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richness may vary with spatial scale (Chase et al. submitted, Chalcraft et al. 2004, Fridley et al. 2004, Knight and Reich 2005, Palmer et al. 2008, Chase and Knight 2013, Powell et al. 2013, Blowes et al. 2017). Species richness is proximally determined by three underlying components—N, SAD and aggregation—which are also scale-dependent (Powell, Chase & Knight 2013, McGill 2011); this obscures the interpretation of the link between change in condition and change in species richness. The MoB framework provides a comprehensive answer to this question by taking a spatially explicit approach and decomposing the effect of the condition (treatment) on richness into its individual components. The two-scale analysis provides a big-picture understanding of the differences and proximate drivers of richness by only examining the single plot (α) and all plots combined (γ) scales. The continuous scale analysis expands the endeavor to cover a continuum of scales, and quantitatively decomposes change in richness into three components: change in the shape of the SAD, change in individual density, and change in spatial aggregation. As such, we can not only quantify how richness changes at any scale of interest, but also identify how the change occurs and consequently push the ecological question to a more mechanistic level. For example, we can ask to what extent the effects on species richness are driven by numbers of individuals. Or instead, whether common and rare species, or their spatial distributions, are more strongly influenced by the treatments. Here we considered the scenario of comparing a discrete treatment effect on species richness, but clearly the MoB framework will need to be extended to other kinds of experimental designs and questions (fully described in Supplement S5). The highest priority extension of the framework is to generalize it from a comparison of discrete treatment variables to continuous drivers such as temperature and productivity. Additionally, we recognize that abundance is

difficult to collect for many organisms and that there is a need to understand if alternative measures of commonness (e.g., visual cover, biomass) can also be used to gain similar insights. Finally, we have only focused on taxonomic diversity here, whereas other types of biodiversity—most notably functional and phylogenetic diversity—are often of great interest, and comparisons such as those we have overviewed here would also be of great importance for these other biodiversity measures. Importantly, phylogenetic and functional diversity measures share many properties of taxonomic diversity that we have overviewed here (e.g., scale-dependence, non-linear accumulations, rarefactions, etc) (e.g., Chao et al. 2014), and it would seem quite useful to extend our framework to these sorts of diversities. We look forward to working with the community to develop extensions of the MoB framework that are most needed for understanding scale dependence in diversity change.

MoB is a novel and robust approach that explicitly addresses the issue of scaledependence in studies of diversity, and quantitatively disentangles diversity change into its three
components. Our method demonstrates how spatially explicit community data and carefully
framed comparisons can be combined to yield new insight into the underlying components of
biodiversity. We hope the MoB framework will help ecologists move beyond single-scale
analyses of simple and relatively uninformative metrics such as species richness alone. We view
this as a critical step in reconciling much confusion and debate over the direction and magnitude
of diversity responses to natural and anthropogenic drivers. Ultimately accurate predictions of
biodiversity change will require knowledge of the relevant drivers and the spatial scales over
which they are most relevant, which MoB (and its future extensions), helps to uncover.

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