1	Neuronal origin of the temporal dynamics of spontaneous
2	BOLD activity correlation
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22 Abstract (196/200 words)

23Resting-state functional connectivity (FC) has become a major fMRI method to study 24network organization of human brains. There is recent interest in the temporal 25fluctuations of FC calculated using short time-windows ("dynamic FC") because it 26could provide information inaccessible with conventional "static" FC that is typically 27calculated using the entire scan lasting several tens of minutes. Although multiple 28studies have revealed considerable temporal fluctuations in FC, it is still unclear 29whether the fluctuations of FC measured in hemodynamics reflect the dynamics of 30 underlying neural activity. We addressed this question using simultaneous imaging of 31neuronal calcium and hemodynamic signals in mice, and found coordinated temporal 32dynamics of calcium FC and hemodynamic FC measured in the same short time 33 windows. Moreover, we found that variation in transient neuronal coactivation patterns $\mathbf{34}$ (CAPs) was significantly related to temporal fluctuations of sliding window FC in 35 hemodynamics. Finally, we show that observed dynamics of FC cannot be fully 36 accounted for by simulated data assuming stationary FC. These results provide evidence 37 for the neuronal origin of dynamic FC and further suggest that information relevant to 38 FC is condensed in temporally sparse events that can be extracted using a small number 39 of time points.

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42 Keywords: calcium imaging, BOLD, resting state, functional connectivity, stationarity

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44 Introduction

45 Resting state functional connectivity (FC) uses temporal correlation of spontaneous 46 neuronal activity to assess network organization of brain regions in a non-invasive 47manner (Fox and Raichle 2007). Traditionally, FC has been calculated using all time 48points in a scan that typically lasts between several minutes to tens of minutes (Biswal **49** et al. 1995; Fox et al. 2005; Van Dijk et al. 2010). Such "static" FC has been shown to 50largely reflect anatomical connectivity (Adachi et al. 2012; Honey et al. 2009; Matsui et 51al. 2012; Matsui et al. 2011; Vincent et al. 2007). Recently, in contrast to traditional 52analysis of "static" FC, the temporal fluctuation of FC across short time windows is 53increasingly recognized as a useful aspect of FC (Allen et al. 2014; Hutchison et al. $\mathbf{54}$ 2013; Zalesky et al. 2014). Such "dynamic" FC (dFC) calculated using short 55time-windows could provide information that is inaccessible with static FC about the 56 functional network organizations of healthy and diseased brains (Calhoun et al. 2014; 57Preti et al. 2016) [We note that the term "dynamics" refers to the non-stationarity of FC 58 obtained with the sliding window analyses and does not refer to a process that is not 59 invariant against to temporal reordering of the samples (Liégeois et al. 2017)]. The 60 presence of temporal fluctuations in FC has also informed theoreticians to constrain 61 realistic models of brain networks (Deco et al. 2013; Hansen et al. 2015; Messé et al. 62 2014).

63 However, despite growing interest, the neurophysiological basis of dFC is still 64 weak. Previous attempts to investigate neural origin of dFC by simultaneous 65 measurement of electrophysiological and functional magnetic resonance imaging 66 (fMRI) are limited in several ways (Lu et al. 2007; Pan et al. 2011; Tagliazucchi et al. 67 2012b; Thompson et al. 2013). In some studies, electrophysiological recording was 68 limited to a small number of recording sites due to technical difficulty (Lu et al. 2007; 69 Pan et al. 2011; Thompson et al. 2013); hence, information on the global pattern of 70 neuronal activity was lacking. In another study, electrophysiological signals were 71obtained with an electroencephalogram, which records global neuronal activity but 72lacks precise spatial information (Tagliazucchi et al. 2012b). Thus, the link between 73 temporal fluctuations of FC in hemodynamics and that of large-scale neuronal activity 74has not been adequately proven.

Several studies have also questioned whether the apparent "dynamics" of FC
calculated using the sliding window method is related to temporal instability of

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77spontaneous brain network (Hindriks et al. 2016; Laumann et al. 2016). While many 78studies have attributed temporal fluctuations of sliding window FC to non-stationarity 79 of spontaneous neuronal activity correlation (Allen et al. 2014; Zalesky et al. 2014), 80 some recent studies have demonstrated that the temporal fluctuations of FC observed in 81 the real and the simulated data, which is stationary by construction, are statistically 82 indistinguishable (Hindriks et al. 2016; Laumann et al. 2016). Furthermore, Laumann 83 and colleagues have shown that, in the human resting-state BOLD time series are 84 largely stationary, discounting head-motion and fluctuating arousal (Laumann et al. 85 2016). Therefore, not only the neuronal basis of dFC, but also the existence of statistical 86 non-stationarity of FC, or at least the capability of sliding window methods to detect the 87 non-stationarity, is called into question.

88 In the present study, we addressed these questions using simultaneous imaging of 89 neuronal calcium and blood oxygen level dependent (BOLD) hemodynamic signals in 90 the entire neocortex of transgenic mice expressing a genetically encoded calcium 91 indicator (Matsui et al. 2016; Vanni and Murphy 2014; White et al. 2011). In the present 92 experimental setup, wide-field calcium signal provided access to neuronal activity at 93 higher temporal resolution and signal-to-noise ratio compared to that of hemodynamic 94 signal (Matsui et al. 2016; Murakami et al. 2017; Murakami et al. 2015; Tohmi et al. 95 2014; Vanni and Murphy 2014). Moreover, unlike human fMRI data, in the present 96 dataset, mice were tightly head-fixed and lightly anesthetized; thus, excluding head 97 motions from contaminating FC. Main findings of the present study are as follows. First, 98 we found consistency between the "dynamics" of FC calculated using calcium and 99 hemodynamic signals, suggesting the neuronal origin of the temporal fluctuations of 100 hemodynamic FC. Second, we found that temporal fluctuations of the spatial pattern of 101 transient neuronal coactivations as measured in calcium signal were significantly 102 correlated with temporal fluctuations of hemodynamic FC. Finally, we found that 103 statistical properties of sliding window FC were significantly different between the real 104 and the simulated data suggesting non-stationarity of resting-state FC.

105 Materials and Methods

106 Animals

107 Emx1-IRES-cre and Ai38 (Zariwala et al. 2012) mice were obtained from the Jackson 108 Laboratory (Sacramento, CA). These mice were crossed and all cortical excitatory 109 neurons expressed GCaMP3. Mice (P60-P90) were prepared for in vivo wide-field 110 simultaneous imaging. Anesthesia was induced with isoflurane (3%) and maintained 111 with isoflurane (1 - 2% in surgery, 0.5 - 0.8% during imaging) and chlorprothixene 112(0.3 - 0.8 mg/kg, intramuscular injection). For simultaneous imaging of calcium and 113 hemodynamic signals, a custom-made metal head plate was attached to the skull using 114 dental cement (Sun Medical Company, Ltd, Shiga, Japan) and a large craniotomy was 115made over the whole cortex. The craniotomy was sealed with 1 % agarose and a glass 116 coverslip. During the imaging, body temperature was maintained by a heat pad. All 117 experiments were carried out in accordance with the NIH Guide for the Care and Use of 118 Laboratory Animals, the institutional animal welfare guidelines set forth by the Animal 119 Care and Use Committee of Kyushu University, and the study was approved by the 120 Ethical Committee of Kyushu University. 121 122Simultaneous Calcium and Intrinsic Signal Imaging 123 The data for simultaneous imaging of calcium and hemodynamic signals was taken 124from a published report (Matsui et al. 2016). Briefly, simultaneous imaging of calcium 125and intrinsic signals in vivo was performed using a macro zoom fluorescence 126microscope (MVX-10, Olympus, Tokyo, Japan) or an upright fluorescence microscope 127(ECLIPSE Ni-U, Nikon, Tokyo, Japan), equipped with a 1x objective. A 625 nm LED 128 light source was used to obtain intrinsic signals, which we referred to as the 129 hemodynamic signal (Vanni and Murphy 2014). At this wavelength, the optical intrinsic 130 signal primarily reflects deoxyhemoglobin signal (HbR) (Ma et al. 2016). GCaMP was 131excited by a 100 W mercury lamp through a GFP mirror unit (Olympus). Intrinsic signal 132data was collected at a frame rate of 5 Hz using a CCD camera (1,000m; Adimec, 133 Boston, MA, U.S.A.) and calcium signal data was collected at a frame rate of 10 Hz 134 using a CCD camera (DS-Qi1 Mc; Nikon). The emission filters were 625 nm long pass

135 (SC-60, Fuji film, Tokyo, Japan) for intrinsic signals, and 505-535 nm band pass

136 (FF01-520/35-25, Semrock, Lake Forest, Illinois) for calcium signals. Data were

137 acquired for 30-60 min per animal (5 min per scan).

138

139 Data Preprocessing

140 All data analyses were conducted in Matlab (MathWorks, Natick, MA) using a method 141 described previously (Matsui et al. 2016). Briefly, all the image frames were corrected 142 for possible within-scan motion by rigid-body transformation. Calcium and 143 hemodynamic images were then coregistered by rigid-body transformation using 144 manually selected anatomical landmarks that were visible in both images (e.g., branching points of blood vessels). All of the images were then spatially down-sampled 145146 by a factor of two. Pixels within the cortex (at this point including large blood vessels 147including the sinus) were extracted manually. For both calcium and hemodynamics, 148 slow drift in each pixel's time course was removed using a high-pass filter (> 0.01 Hz, 149 second order Butterworth. No low-pass filter was used). After filtering, each pixel's 150 time course was normalized by subtracting the mean across time and then dividing by 151 the standard deviation across time. Global signal regression was conducted by 152regressing out the time course of average signal within the brain from each pixel's time 153 course. Finally, hemodynamic signal was multiplied by -1 to set the polarity of the 154activity change equal to that in the calcium signal. 155 In some analyses, the calcium signal was further preprocessed. To obtain the high 156 frequency calcium signal, an additional high-pass filter (> 0.1 Hz) followed by a median 157filter was applied. The median filter was applied as follows: For each frame, we defined 158a time-window (width = 200 frames) whose center was positioned at the frame. Then,

159 the signal of the frame was replaced by the median of the time-window [B(t) =

160 median(A(t-100), ..., A(t+100)); where A(k) denotes the original signal at frame k and

161 B(k) denotes median filtered signal at frame k]. To obtain the low frequency calcium

signal, an additional low-pass filter (< 0.1 Hz) was applied.

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164 Extraction of Region-of-Interest (ROI) Time Courses

165 Selection of ROI and time courses are conducted as described previously (Matsui et al.

166 2016). Briefly, 38 cortical regions (19 for each hemisphere) were selected as ROIs

- 167 based on a previous mouse functional connectivity study (White et al. 2011)
- 168 (Supplementary Fig. 1). Each ROI was a 6×6 pixel square (0.5 mm \times 0.5 mm)
- 169 centered at a selected coordinate. The time course for each ROI was calculated by
- 170 averaging the time courses of pixels within the ROI that corresponded to gray matter.

171 ROIs located outside of the FOV were discarded.

172

173 Analysis of FC

174For both calcium and hemodynamic signals, FC was calculated using a standard seed-based correlation method (Matsui et al. 2016). First, the correlation coefficient 175176 between the time course of a selected ROI ("seed time course") and the time course of 177 every pixel within the brain was calculated. Second, FC values were averaged across 178 scans to obtain FC values for each pixel. The spatial correlation between FC maps of 179 calcium and hemodynamic signals was calculated by taking the pixel-by-pixel 180 correlation coefficient between the two maps using all the gray matter pixels. FC with 181 short time window was obtained by taking correlation coefficient using all the frames 182 within a 30-sec window. Steps of 3 sec and 30 sec were used for the sliding window and 183 non-overlapping window, respectively. Scan-shifted control was calculated by shifting 184 the scan number of hemodynamics data relative to simultaneously obtained calcium 185 data.

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187 Analysis of Co-Activation Patterns (CAPs)

188 CAP analysis was adopted from previous fMRI studies (Liu and Duyn 2013). Briefly, 189 calcium time course from each ROI was z-normalized. CAPs were calculated for each 190 ROIs. Frames corresponding to large peaks (> 2 s.d.) of the time course of a given ROI 191 were considered CAPs. We examined if CAPs calculated using calcium signal could 192predict sliding-window FC calculated using hemodynamic signal. For each ROI and 193 each time window, we quantified the similarity between the sliding window FC and 194 CAPs by calculating spatial correlation between the FC map and CAPs. To quantify 195 coordinated temporal variations in CAPs and FCs, we also calculated temporal 196 deviation of CAP and FC from the mean patterns as described in the followings. First, 197 we calculated average patterns of CAPs and FCs in a given scan. For a given scan and a 198 ROI_i , average of all CAPs was calculated using the entire scan(CAP_{scan})_i. Similarly, a 199 FC map was calculated for the same scan and the same ROI $[(FC_{scan})_i]$. We repeated this 200 procedure to obtain ROI-by-ROI matrices of CAPscan and FCscan. Next, we calculated 201 ROI-by-ROI matrices of CAPs and FCs in a short time window (CAP_{window} and 202 FCwindow) using the same procedure used to calculate CAPscan and FCscan but for each 30 203 sec time window. Then difference between the CAP_{window} and CAP_{scan} was taken to 204 quantify the deviation of CAP in a time window from the mean CAP pattern in the 205 entire scan [Δ CAP = CAP_{window} – CAP_{scan}]. Similarly, Δ FC was obtained by subtracting 206 FC_{scan} from FC_{window}. Finally, correlation coefficient between non-diagonal elements of 207 the ROI-by-ROI matrices of Δ CAP and Δ FC were calculated. When CAPs were absent 208 for a particular ROI in a time window, that ROI was omitted from the calculation for the 209 time window.

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211 Cluster Analysis and Kurtosis Analysis

212For the state analysis of sliding window FC, we adopted the k-means clustering 213algorithm used in the previous studies (Allen et al. 2014; Laumann et al. 2016). 214Correlation distance (1-r) was used to compute the separation between each window's 215FC-matrix (using all 38 ROIs) and the k-means clustering was iterated 100 times with 216 random centroid positions to avoid local minima. The windowed FC-matrices were 217mean-centered by scan to eliminate scan-level and subject-level features from 218 contributing the clustering result. K-means clustering was applied in the same manner to 219 the simulated data that was matched in size to the real data. The cluster validity index 220 was used to evaluate the quality of clustering for the range of cluster numbers (k = 2-10). 221The cluster validity index was computed as the average ratio of within-cluster distance 222to between-cluster distance.

Non-stationarity of spontaneous neuronal signal correlation was assessed by calculating multivariate kurtosis using the same procedure as described by Laumann and colleagues (Laumann et al. 2016). One value of kurtosis was calculated for each FC-matrix (using all 38 ROIs) obtained each scan. The same procedure was applied to the simulated data that was matched in size to the real data. Significant difference between the kurtosis measure of the real and the simulated data indicates either non-stationarity of FC or non-gaussianity of the signal or both.

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231 *Time Course Simulation*

To obtain a null dataset to evaluate the non-stationarity of the real data, we constructed simulated time courses using a method developed by Laumann and colleagues (Laumann et al. 2016). Briefly, random normal deviates having the same dimensionality as a real dataset are sampled. These time courses are multiplied in the spectral domain by the average power spectrum of the (bandpass filtered) real data. These time courses are then projected onto the eigenvectors derived from the covariance matrix of the realdata. This procedure produces simulated data that are stationary by construction but

- 239 matched to real data in the covariance structure and mean spectral content.
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241 Assessment of motion-related and physiological artifacts

242To estimate the strength of motion-related and physiological artifacts from functional 243 images, we calculated DVARS using the hemodynamic signal with a procedure 244described in fMRI literatures (Power et al. 2012; Power et al. 2014). Specifically, for 245each scan, after the preprocessing, root mean squares of the temporal derivatives of the 246 hemodynamic time courses were calculated and averaged across ROIs to obtain one 247time course of DVARS. To remove the data potentially contaminated by the artifact, we 248conducted two types of analyses. First, for each scan, the histogram of DVARS was 249 calculated to exclude scans with strong skewness (Supplementary Fig. 3) 250 (scan-censoring). To define the DVARS histogram with strong skewness, we calculated 251DVARS histograms for 1000 sets of simulation described in the preceding section. If the skewness of the data was larger than the 99th percentiles of the simulation, the scan was 252253considered to be strongly skewed. Second, frame-censoring was conducted at multiple 254DVARS thresholds as described in the fMRI literatures (Power et al. 2012; Power et al. 2552014). For a given threshold, frames with DVARS larger than the threshold were simply 256 discarded from the subsequent analyses.

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258 Assessment of the normality of the signal

259 To check for the normality of the hemodynamic and the calcium signals, we computed the 4th moment of the signal distribution for each scan. For each scan, signals in all the 260 ROIs were concatenated before calculating the 4th moment. Similar results were 261obtained when the 4th moment was calculated separately for individual ROIs and then 262 the mean of the 4th moment was obtained for each scan (data not shown). The calculated 263 4th moment of the real data was then compared with that of the 1000 simulated time 264 courses obtained by the procedure described above. If the 4th moment of the real data 265 was larger than the 99th percentile of the simulation, the signal in the scan was 266 267 considered to be non-normal. In the case of calcium signal, we applied median filter to 268 remove high frequency noise and enforce normality of the signal. Calcium time courses 269 often contained some high frequency noise on top of the slower calcium activity (Fig.

270 1A), which was most likely due to the moderate level of excitation light adjusted to

- avoid bleaching of GCaMP fluorophore. We consider that the median filter removed
- 272 these high frequency noise while retaining slower calcium signal that was
- approximately normally distributed.

Results

275 Consistent FC dynamics in calcium and hemodynamic signals

276 Transgenic mice expressing GCaMP in neocortical neurons were used to simultaneously 277 measure neuronal calcium signal and hemodynamics in a large portion of bilateral 278neocortex (Fig. 1A) (Matsui et al. 2016). Mice were lightly anesthetized and head-fixed 279with metal plates so that head-motion could not contaminate the signals. For both calcium and hemodynamic signals, power spectra of the signals exhibited 280 281approximately linear trend on log-log plots (Supplementary Fig. 2) suggesting that the 282non-neuronal artifact was small. We used sliding window correlation (30 sec window at 2833 sec steps) to examine if calcium and hemodynamic FC in mice exhibited "dynamic" 284changes. Consistent with previous reports in humans (Allen et al. 2014; Chang and 285Glover 2010; Zalesky et al. 2014) and other animals (Hutchison et al. 2014; Majeed et 286 al. 2009), FC between pairs of ROIs calculated with sliding windows showed 287 considerable variability over different time points both in calcium signal and 288hemodynamics (Fig. 1B-C). Consistent with the idea that variability in hemodynamic 289 FC arises from underlying neuronal activity, we found close matches between dFC of 290 calcium and hemodynamics (correlation coefficients, 0.631 and 0.675 for Figs. 1B and 291 1C, respectively). Correlation between the time courses of calcium FC and 292 hemodynamic FC was significantly larger for the data than that of the scan-shifted control ($P < 10^{-20}$, Kolmogorov-Smirnov test; Fig. 1D). 293

294 To further examine the consistency between dFC in calcium and hemodynamics in 295the entire neocortex, we calculated calcium and hemodynamic FC among all pairs of 296 ROIs and compared them across time windows (Fig. 2A-B). The ROI-based 297FC-matrices in calcium and hemodynamics both showed variability across time 298windows. On the other hand, FC matrices in calcium and hemodynamics within each 299 time window were similar. If dFC in calcium and hemodynamics were matched, the 300 similarity between calcium and hemodynamic FC in the same time window should be 301 higher than that calculated using different time windows (e.g., similarity between 302 Ca-FCwindow#1 and Hemo-FCwindow#1 would be higher than the similarity between 303 Ca-FC_{window#1} and Hemo-FC_{window#2}). Otherwise, the similarity between FC-matrices in 304 calcium and hemodynamics merely reflects the overall similarity of FC in calcium and 305 hemodynamics but not the coordinated "dynamics" of calcium and hemodynamic FC. 306 Across all the data, we found that the distribution of the correlation coefficient between

307 the FC-matrices in calcium and hemodynamics was shifted toward positive values 308 compared with that calculated with the scan-shifted data (P < 10^{-14} , 309 Kolmogorov-Smirnov test; Fig. 2C). The difference between the real data and the 310 trial-shifted data was also consistently positive across animals (p < 0.0156, n = 7 mice, 311 sign-rank test; Fig. 2D) and was seen across various window sizes ranging from 1 sec to 31260 sec (Fig. 2E). Together these results suggest that temporal variability in 313 hemodynamic FC, as measured with sliding window, arises from neural activity rather than from movement-related artifacts (Laumann et al. 2016) or non-neuronal 314315physiological artifacts such as heartbeat and respiration (Bianciardi et al. 2009; Shmueli 316 et al. 2007).

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318 Variations in transient neuronal coactivations explained variations in FC

319 What are the potential neuronal events that create dFC? Recent fMRI studies proposed 320 that variability in the neuronal coactivation pattern (CAP) of brain areas is directly 321 reflected in the "dynamic" change of FC observed with the sliding window correlation 322 (Liu and Duyn 2013). To address this possibility, for each scan, we compared sliding 323 window FC calculated using hemodynamics with the CAPs calculated using calcium 324 signal. The use of calcium signal for extracting CAPs allowed us to capture faster 325 spatiotemporal dynamics than the hemodynamics. More importantly, the use of two 326 different signals also allowed us to avoid comparing sliding window FC and CAPs that 327 were derived from the same signals and could lead to circular logic.

328For each anatomical ROI, we first detected peaks in the calcium signal within a 329 given time-window and then defined CAPs as the frames in the calcium signal 330 corresponding to the detected peak locations (Fig. 3A) (Liu and Duyn 2013). Similar to 331the previous reports in fMRI (Liu et al. 2013; Liu and Duyn 2013), we found variations 332in the spatial patterns of CAPs extracted from the same ROI (Fig. 3A, panels above time 333 courses). We used CAPs to examine if variations of the spatial pattern of CAPs in 334different time windows could explain the spatial variation of sliding window FC. For 335 each ROI in each 30 sec window, we extracted CAPs and FC using calcium and 336 hemodynamic signals, respectively. In the example 30 sec windows shown in Figure 3A, 337 time courses of the chosen ROI showed transient activations that resulted in 11 and 3 338 frames of CAPs (corresponding to 1.1 and 0.3 sec of data, respectively). Despite the 339 small number of frames corresponding to CAPs, the average spatial pattern of CAPs in

the time window closely matched the spatial pattern of hemodynamic FC calculated inthe same time window (compare mean CAP and mean FC in Fig. 3A).

To further compare CAPs with sliding window hemodynamic FC across ROIs, we calculated CAPs for all pairs of ROIs and compared them with FC of the same ROI-pairs in the same time window (Fig. 3B). Across all the data, CAP-matrices and FC matrices showed high positive correlation (Fig. 3C-D; mean R = 0.525 across animals) suggesting that CAP and FC calculated using the same sliding window were similar.

348 The similarity between calcium CAP and hemodynamic FC in short time window 349 does not necessarily indicate coordinated temporal variation between CAP and FC, but 350 could result entirely from similarity between the time-average patterns of CAP and FC. 351 Therefore, to further examine if coordinated temporal variations in CAPs and FCs exist, 352 we calculated $\triangle CAP$ and $\triangle FC$ by subtracting from each CAP and FC in each time 353 window the average pattern of CAP and FC, respectively, that were calculated using the 354 entire scan (Fig. 4A). Coordinated change in ΔCAP and ΔFC indicates similar temporal 355 fluctuation of CAP and sliding-window FC that cannot be accounted for by the 356 similarity in the mean pattern of CAP and FC calculated using the entire scan. We found 357 that the distribution of the correlation between $\triangle CAP$ and $\triangle FC$ for the real data was 358 shifted toward positive values whereas the same distribution calculated using trial-shifted data was centered near zero ($P < 10^{-30}$, Kolmogorov-Smirnov test; Fig. 4B). 359360 Furthermore, the correlation between ΔCAP and ΔFC was consistently positive across 361 all animals (P < 0.156, n = 7 mice, sign rank test; Fig. 4C) and was seen across various 362sizes of time-windows ranging from 1 to 60 sec (Fig. 4D). Excluding scans with 363 potential artifacts using DVARS (Power et al. 2012; Power et al. 2014) still yielded a 364 significant difference between the real and the trial-shifted data (Supplementary Fig. 9). 365 Taken together, these results suggest temporal fluctuations of the spatial pattern of 366 CAPs at least partly explain temporal fluctuations of hemodynamic FC calculated using 367 sliding windows.

368

369 "Dynamics" of FC arise from non-stationarity of resting-state activity

Because FC is estimated by using finite number of time-points, temporal fluctuations of
FC observed in short time-windows could arise from mere sampling error even when

372 underlying FC is stationary (Laumann et al. 2016). We next addressed whether the

373 sampling error could explain the dFC observed in the present data. We compared two 374indices used in a previous study, namely cluster validity index and kurtosis, for real data 375 and simulated data that are matched in spectral and covariance properties (Fig. 5A) 376 (Laumann et al. 2016). The cluster validity index measures degree of clustering of multiple sliding window FC calculated within the scan. Note that smaller cluster 377 378 validity index indicates more clustering (see Methods for details). For both calcium and 379 hemodynamic signals, we found cluster validity index of real data to be significantly 380 smaller than that of simulated data (Fig. 5B), suggesting that the real data had cluster 381structure that could not be fully accounted for by sampling error. Similarly, we 382calculated kurtosis of the covariance matrices of real and simulated data. If the kurtosis 383 of real data were larger than that of simulated data assuming a stationary Gaussian 384 process, non-stationarity is implied for the real data, if the real data is normally 385 distributed (Laumann et al. 2016). For the hemodynamic signal, the real data was 386 approximately normally distributed (Supplementary Fig. 8A). Thus, we calculated the 387 kurtosis using the hemodynamic signal without further preprocessing. For the calcium 388 signal, because the original signal was not normally distributed, we applied further 389 preprocessing before calculating the kurtosis. First, we applied an additional high pass 390 temporal filter to remove low frequencies (< 0.1 Hz). Then we used a median filter to 391 enforce a normality of the signal (Supplementary Fig. 6). For both hemodynamic and 392calcium signals, we found that the kurtosis of the real data was significantly higher than that of the simulated data ($P < 10^{-10}$ for calcium, sign rank test, n = 50 scans that showed 393 approximately normal distribution of the signal (see Methods for the details of the 394 assessment of normality); $P < 10^{-11}$ for hemodynamics, sign rank test, n = 64 scans; Fig. 395 396 5C, Supplementary Fig. 8B). Calcium signal at low frequency (< 0.1 Hz) was 397 approximately normal without additional preprocessing (Supplementary Fig. 7A). The 398 kurtosis of the real and the simulated data was also significantly different at this 399 frequency range, though the magnitude of the difference was smaller (Supplementary 400 Fig. 7B). Together, these results suggest that dFC arise from non-stationarity of 401 spontaneous neuronal activity, and analyses based on sliding window correlation have 402 the potential to detect non-stationarity.

To assess potential contribution of motion-related and physiological artifacts on the analysis of the non-stationarity using kurtosis, we used DVARS calculated using the hemodynamic signal to exclude time points for which the artifacts might have been

406 problematic (Power et al. 2012; Power et al. 2014). In some scans with large peaks in 407 the time courses of DVARS, indicating potential body movements, the histogram of 408 DVARS was strongly right-skewed (Supplementary Fig. 3). Analysis of the kurtosis 409 using a subset of scans for which DVARS distribution was not right-skewed compared to the stationary simulation also revealed a significant difference between the real and 410 the simulated data ($P < 10^{-14}$, sign rank test, n = 25; Supplementary Fig. 4; see Materials 411 and Methods for details). Furthermore, frame-censoring at several thresholds of DVARS 412 413revealed that the difference of kurtosis between the real and the simulated data did not 414 depend on the levels of DVARS thresholds (Supplementary Fig. 5). These results 415 suggest that the motion-related and physiological artifacts, as detected by DVARS, did 416 not significantly affect the present results.

417 **Discussion**

418 In the present study, we used simultaneous imaging of calcium and hemodynamic signals to show that temporal fluctuations in hemodynamic FC calculated in a short 419 420 time window closely follow that of calcium FC, suggesting the neuronal origin of dFC. 421We have further shown that the spatial pattern of hemodynamic FC in a short time 422window is predicted by averaging transient coactivations in the calcium signal (CAPs) 423 contained within the same time-window suggesting that temporally interspersed 424 transient neuronal events underlie resting-state FC. Finally, we have shown that in both 425 calcium and hemodynamic signals, statistical properties of FC calculated in a short time 426 window was significantly different from that obtained with simulated signals that were 427stationary by construction. These results advocate for the analysis of the "dynamic" 428 aspect of FC obtained in human fMRI experiments. Insights of the neuronal events 429 underlying dFC provided by the present study would also be informative for developing 430 appropriate analysis methods for dFC.

431

432 Relationship to previous investigations of the neuronal origin of dFC

433 To provide direct evidence linking neuronal activity and dFC, several groups have 434 conducted simultaneous recording of fMRI and local field potential (LFP) (Lu et al. 435 2007; Pan et al. 2011; Thompson et al. 2013) or EEG (Chang et al. 2013; Tagliazucchi 436 et al. 2012b). However, these previous studies were limited in several ways. Since LFP 437recordings were limited from a small number of recording sites whereas EEG recording 438did not have enough spatial resolution, evidence directly linking global spatial pattern of 439neuronal activity with hemodynamic FC has been lacking. Using simultaneous imaging 440 of calcium and hemodynamic signals, the present study provides evidence suggesting 441 that temporal variability of hemodynamic FC and its time-to-time spatial patterns reflect 442 spatial patterns of large-scale neuronal activity. Moreover, since the present study used 443 anesthetized and head-fixed mice, the results are unlikely to be attributable to head 444 motion.

Recent human fMRI studies have proposed that neuronal activity important for FC
is condensed into transient large scale neuronal coactivations (i.e. CAPs) (Liu and Duyn
2013; Tagliazucchi et al. 2012a; Tagliazucchi et al. 2011). Consistent with this idea,
imaging studies in mice revealed transient neuronal coactivations across brain areas
(Matsui et al. 2016; Mohajerani et al. 2013; Vanni and Murphy 2014). In our previous

450 study, we searched for neuronal coactivations that resembled the spatial patterns of 451 (static) FC and showed that such neuronal coactivations were converted into spatially 452 similar hemodynamic signals (Matsui et al. 2016). In the present study, we took a 453different approach that was similar to single frame analysis methods employed in recent human fMRI studies (Karahanoğlu and Van De Ville 2015; Liu et al. 2013; Liu and 454 455Duyn 2013; Tagliazucchi et al. 2011). We were especially interested whether CAPs 456 represent the potential neuronal events underlying the temporal variability of sliding window FC. Because derivation of CAPs and sliding-window FC using identical BOLD 457 458signals could potentially lead to circular logic, in the present study, we examined the 459 link between CAPs and sliding-window FC derived from different signals (calcium and 460 hemodynamics, respectively). Instead of specifically looking at neuronal coactivations that resembled "static" FC, we took all the individual CAPs into our analysis and 461 462 showed that variation of the spatial pattern of individual CAPs across time windows 463 was significantly related to variations of hemodynamic FC across time windows. Thus, 464 the present findings suggest importance of the development of analysis that specifically 465 focuses on CAPs (Karahanoğlu and Van De Ville 2015; Liu et al. 2013). However, the 466 modest correlation found between CAP and sliding window FC implies that the 467 fluctuations of calcium and hemodynamic signals from the average pattern maybe small. 468 It should also be noted that, although statistically significant, the correlation between 469 Δ CAP and Δ FC was relatively weak. Part of the reason for this could be non-neuronal 470 physiological noise that contributed to hemodynamics (Matsui et al. 2016). In the 471present study, because of the use of anesthesia and head-fixation, head motion is 472unlikely to be the primary source of the non-neuronal noise. However, other 473 physiological activities, e.g. respiration and heartbeat, are known to affect 474 hemodynamics (Chang et al. 2009; Chang and Glover 2009) and, thus, likely to affect 475temporal fluctuation of hemodynamic FC as well. Although scan-wise data exclusion 476 using DVARS suggested that the motion-contamination was not the major cause of the 477observed temporal correlation between ΔCAP and ΔFC (Supplementary Fig. 9), the 478 common artifacts on hemodynamic and calcium signals could have contributed to the 479 observed temporal correlation. Our results (i.e. relatively low correlation between 480 ΔCAP and ΔFC) indicate that correction for such non-neuronal physiological noise 481 (Glover et al. 2000) is likely to be essential for the analysis of dFC. 482

483 Non-stationarity of spontaneous brain activity correlation

484 It has been of a matter of debate to what extent temporal fluctuations of FC is 485 attributed to the dynamics of underlying neuronal activity but not to non-neuronal 486 sources of noise (e.g., head motion, sampling variability; reviewed in (Hutchison et al. 487 2013)). Laumann and colleagues have reported that most of the temporal fluctuations of 488single subject FC is explained by head motion (Laumann et al. 2016). After controlling 489 for the head motion, Laumann and colleagues have concluded that statistical properties 490 of resting-state FC in human fMRI is indistinguishable from those obtained with 491 simulated signals that are stationary by construction. A similar study by Hindriks and 492 colleagues has also indicated the apparent dFC calculated with the sliding window 493 method does not necessarily indicate non-stationarity of resting brain network (Hindriks 494 et al. 2016). However, in terms of spontaneous neuronal activity itself, there is 495 substantial evidences showing that spontaneous neuronal activity is non-stationary 496 (Foster and Wilson 2006; Ji and Wilson 2007; Logothetis et al. 2012). In particular, 497 under both awake and anesthetized states, transient neuronal events such as 498 sharp-wave-ripples have been shown to produce coordinated activity across the entire 499 brain (Logothetis et al. 2012). The present results are consistent with these previous 500 studies supporting the non-stationarity of neuronal activity, and further showed that FC 501 calculated using such non-stationary neuronal activity also showed non-stationarity, as 502expected.

503

504 Potential contribution of arousal

505Fluctuation in the level of arousal has been shown to contribute to apparent "dynamics" 506 and non-stationarity of FC (Laumann et al. 2016; Tagliazucchi and Laufs 2014). The 507present study observed larger temporal variability of hemodynamic FC in anesthetized 508 mice than in awake, eyes open-fixated humans (Laumann et al. 2016). Interestingly, a 509 recent study reports large within-subject FC variability in subjects instructed to close 510their eyes (Pannunzi et al. 2017), which predisposes to sleep (Tagliazucchi and Laufs 511 2014). In the present study, it could be possible that fluctuating level of anesthesia, 512instead of the subject's sleep state, could have contributed to the greater variability of 513 FC. Alternatively, rapid fluctuation between awake and sleep states in mice (Adler et al. 514 2014), could have contributed to the greater variability of FC compared to humans. 515According to the results of the analysis using power spectra (Supplementary Fig. 2) and

516 DVARS (Supplementary Figs. 3-5), we consider that the level of arousal rarely reached 517a point at which the animal started to struggle. Nevertheless, the present data alone was 518 not sufficient to exclude the possibility that the fluctuation of the level of arousal 519 accounted for a large fraction of the FC "dynamics" and non-stationarity observed here. 520Future experiments conducting simultaneous recordings of functional images and 521physiological signals (e.g. electroencephalogram, respiration-rates) in mice would be 522able to assess the exact amount of non-stationarity in FC under a defined state of 523arousal.

524

525 Other limitations of the study

526It should be clearly stated that the present results do not guarantee that sliding window 527 methods are always capable of detecting non-stationarity in human resting-state fMRI 528 data. The present study used tightly head-restrained animals and high signal to 529 noise-ration (SNR) imaging at a high frame rate (5 and 10 Hz for hemodynamics and 530 calcium signal, respectively). Compared to the present experimental conditions, overall 531SNR in typical human resting-state fMRI is likely to be substantially compromised. 532 Under such low SNR conditions, it is not clear whether simple sliding window 533 correlation methods can detect the non-stationarity of FC (Hindriks et al. 2016; 534 Laumann et al. 2016). With respect to SNR, we expect that the recent development of 535high-speed fMRI (Feinberg et al. 2010) will significantly improve the detectability of 536non-stationarity. Nevertheless, the present results suggest that, rather than the sliding 537 window based method, an alternative analysis strategy that directly extracts CAPs from 538hemodynamic signals (Karahanoğlu and Van De Ville 2015; Liu et al. 2013; Liu and 539 Duyn 2013; Tagliazucchi et al. 2012a) may be more appropriate for extracting relevant 540 information related to dFC. Care should be taken, however, since the smaller brain and 541the low dimensionality of FC in the mouse (compared to the human) could also have 542made CAPs from just a few frames look similar to those of FC.

It should also be noted that the present results do not claim that dFC has significant behavioral or cognitive consequences. Instead of examining the potential relationship between dFC and cognitive dynamics or behavioral variability [see for recent review (Preti et al. 2016)], here we focused on validating the neuronal origin of dFC. Experiments under anesthesia greatly reduced potential confounding factors, such as head motion and arousal state (Hutchison et al. 2014; Laumann et al. 2016).

- 549 Nevertheless, the present wide-field imaging setup can be naturally extended to awake
- imaging with task-performing mice (Ferezou et al. 2007; Wekselblatt et al. 2016). Such
- 551 experiments would reveal the potential consequences of the dFC on its behavioral
- 552 outcome.

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759

760 **Figure Captions**

Figure 1. Representative dynamics of simultaneously observed calcium and 761 762 hemodynamic FC. (A) Experimental setup. The left most panel shows the setup for 763 simultaneous imaging. Right side shows example calcium time courses for two ROIs 764 (green and cyan traces indicate M1 and V1 ROIs, respectively. Positions of the ROIs 765indicated in the example field of view. See Supplementary Figure 1 for abbreviations). 766 FC with short time window uses subset of frames contained in short (30 sec) windows 767 (red dotted squares). Sliding FC for hemodynamic signal was carried out similarly. 768 (B)-(C) Examples dynamics of calcium and hemodynamic FC. (B) FC between right V1 769 and right AC. (C) FC between left M1 and left pPar. See Supplementary Figure 1 for 770 ROI positions and abbreviations. (D) Histogram of correlation between time courses of 771Ca-FC and Hemo-FC for the data (solid line) and the scan-shifted control (dotted line). 772 Data from all pairs of ROIs for all scans obtained in all mice were used.

773

774Figure 2. Significant relationship between calcium and hemodynamic FC 775calculated in short time windows. (A)-(B) Example ROI-by-ROI FC matrices for 776 calcium and hemodynamics for different (non-overlapping) 30 sec windows. FC 777 matrices were similar for calcium and hemodynamics in the same time window, but not 778 across different time windows. (A) and (B) are two different examples from different 779 animals. (C) Cumulative histogram of correlation between FC matrices for calcium and 780 hemodynamics. Dotted line indicates trial-shifted control. (D) Correlation between FC 781 matrices for calcium and hemodynamics was larger for the data than for the trial-shifted 782 control significantly across animals. (E) Correlation between FC matrices of calcium 783 and hemodynamics was larger for the data than the trial-shifted control across different 784 window-sizes (1, 2, 3, 5, 6, 10, 12, 15, 20, 30 and 60 sec). Error bars indicate s.e.m. 785across animals (n = 7).

786

Figure 3. Comparison of calcium CAPs and hemodynamic FC across time-windows. (A) Procedure for detection of CAPs in calcium signal. For a given ROI, a calcium time course was extracted and z normalized (green time courses). Then, peaks exceeding 2 s.d. (red dots) were extracted. The frames corresponding to the peaks were considered CAPs (panels above the time courses). For each window, CAPs in calcium signal were averaged to obtain mean calcium CAP. Hemodynamic CAPs were

793 calculated similarly (see Methods). Maps of Ca-FC and Hemo-FC were also calculated 794 using the same time window. (B) Schematics to show the procedure of comparing 795 calcium CAP and Hemo-FC across all ROI pairs in each time window. In each 30 sec 796 time window, mean calcium CAPs and Hemo-FC maps were calculated for all ROIs as 797 seeds (left). Then, for each seed-ROI j, calcium CAP and Hemo-FC values in ROI i 798 were extracted to obtain a pair of CAP-FC values for the ROI-pairs (i, j) (middle). 799 Finally, for each time-window, CAP-FC values were compared across all pairs of ROIs (right). (C) Histograms of correlation between CAP and Hemo-FC for all time windows 800 801 across all animals. Vertical line indicates mean across time windows. (D) Mean 802 correlation between CAP and Hemo-FC across animals. Note that (C) shows entire 803 distribution of the data whereas (D) shows reproducibility across mice. Error bar 804 indicates s.e.m. across animals (n = 7).

805

806 Figure 4. Temporal fluctuations in calcium CAPs and Hemo-FC was significantly 807 related. (A) Schematics of the analysis. In each 30 sec time-window, mean calcium 808 CAP and Hemo-FC were calculated (indicated as window CAP and window FC, 809 respectively). From window CAP and window FC, average calcium CAP and average 810 Hemo-FC that were calculated using the entire scan, in which the 30-sec window 811 belongs to, were subtracted to obtain maps of ΔCAP and ΔFC , respectively. Finally, 812 values of $\triangle CAP$ and $\triangle FC$ were compared across ROI pairs similarly as in Figure 3B. 813 **(B)** Histograms of correlation between $\triangle CAP$ and $\triangle FC$ for all time windows across all 814 animals. Vertical lines indicate mean across time windows. Solid and dotted lines 815 indicate real and trial-shifted data, respectively. (C) Correlation between ΔCAP and 816 Δ FC was significantly larger for the data than for trial-shifted control across animals. 817 (**D**) Same as (**C**) but with different window-sizes (1, 2, 3, 5, 6, 10, 12, 15, 20, 30 and 60 818 sec). Error bars indicate s.e.m. across animals (n = 7).

819

Figure 5. Comparison with simulated data indicated non-stationarity of the real data. (A) Examples of real and simulated time courses. Simulated time course (black) was matched to real data (green; calcium) in mean spectral content (middle panels) and ROI-by-ROI covariance matrix (right panels). The same procedure was applied to create simulated hemodynamic data (not shown). (B) Cluster validity index calculated for different number of clusters (k = 2-10). In both calcium (left) and hemodynamics 826 (right), the cluster validity index was smaller for the real (solid lines) than the simulated 827 data (dotted lines) indicating that the real data tended to be more clustered. (C) Kurtosis 828 of real and simulated covariance matrices. For both calcium (left) and hemodynamics 829 (right), multivariate kurtosis was larger for the real than for the simulated data. Error 830 bars indicate s.e.m. across animals (n = 7).

831

Supplementary Figure 1. Anatomical locations of ROIs. Anatomical locations of 19
ROIs are shown for the right hemisphere. Anatomical nomenclatures of ROIs are shown
on the right. ROIs in the left hemisphere are taken at mirror symmetric positions to
yield a total of 38 ROIs.

836

837 Supplementary Figure 2. Power spectrum of calcium and hemodynamic signals. 838 (A) Example power spectrum of hemodynamic signal for one mouse. Hemodynamic 839 signals were not temporally filtered. Black, individual scan. Red, average across scans. 840 (B) Average power spectrum of hemodynamic signal across mice (Red). Black, 841 individual mouse (averaged across scans). (C) Example power spectrum of calcium 842 signal for one mouse. Calcium signals were not temporally filtered. Black, individual 843 scan. Red, average across scans. (D) Average power spectrum of calcium signal across 844 mice (red). Black, individual mouse (average across scans).

845

846 Supplementary Figure 3. Example time courses and histograms of DVARS 847 calculated using hemodynamic signal. (A) Example time course of DVARS for one 848 mouse. (B) Histogram of DVARS for the data shown in (A). Inset, same histogram but 849 for different y-axis range. The histogram was not markedly right skewed (skewness = 850 1.60). (C) Another example time course of DVARS obtained in the same mouse as in 851 (A). In this scan, large peaks probably representing motion and/or physiological 852 artifacts were observed. (**D**) Histogram of DVARS calculated using data shown in (C). 853 Inset, same histogram but for different y-axis range. The histogram was strongly 854 right-skewed (skewness = 6.54).

855

Supplementary Figure 4. Difference between the kurtosis of real and simulated
data in Hemodynamics. Kurtosis was calculated for scans selected based on the
distribution of DVARS (n = 25). See Methods for details of the selection of the scans. *,

859 P < 0.0001 (sign rank test). Error bars indicate s.e.m.

860

861 Supplementary Figure 5. Difference between the kurtosis of real and simulated 862 data in hemodynamics as a function of DVARS threshold for frame-censoring. The 863 difference of the kurtosis between the real and the simulated data (blue and red, 864 respectively) was largely insensitive to the level of the DVARS threshold. Note that the 865 smaller DVARS threshold indicates stricter threshold. Error bars indicate s.e.m.

866

Supplementary Figure 6. Kurtosis analysis using calcium signal processed with additional high-pass and media filters. (A) Example histogram of high frequency calcium signal (> 0.1 Hz) in one ROI of one mouse before the application of median filter. Red, Gaussian fit. (B) Same as (A) but for the signal after the application of median filter. Red, Gaussian fit. Note better fitting with Gaussian after median filter application, suggesting enforced normality of the signal.

873

874 Supplementary Figure 7. Kurtosis analysis using low frequency calcium signal. (A) 875 Example histogram of low frequency calcium signal (0.01 Hz < f < 0.1 Hz) in one ROI 876 of one mouse. Red, Gaussian fit. (B) Difference between the kurtosis of real and 877 simulated data in low frequency calcium signal for scans selected based on the 878 normality of the signal (55 scans). *, $P < 10^{-9}$, sign rank test. Error bars indicate s.e.m.

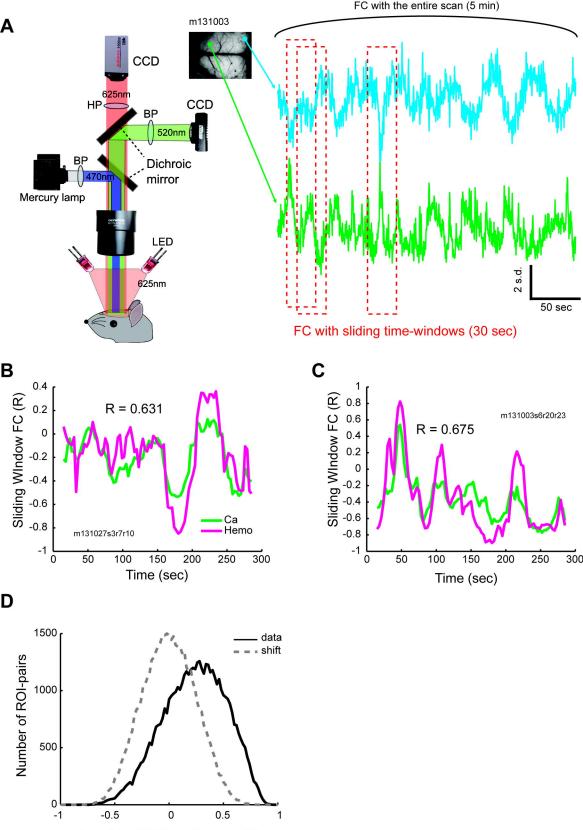
879

880 Supplementary Figure 8. Hemodynamic signal was approximately normally 881 distributed. (A) Example histogram of hemodynamic signal in one ROI of one mouse. 882 Red, Gaussian fit. Note that no additional preprocessing was performed. (B) Difference 883 between the kurtosis of real and simulated data in hemodynamic signal for scans 884 selected based on the normality of the signal (45 scans). *, $P < 10^{-8}$, sign rank test. Error 885 bars indicate s.e.m.

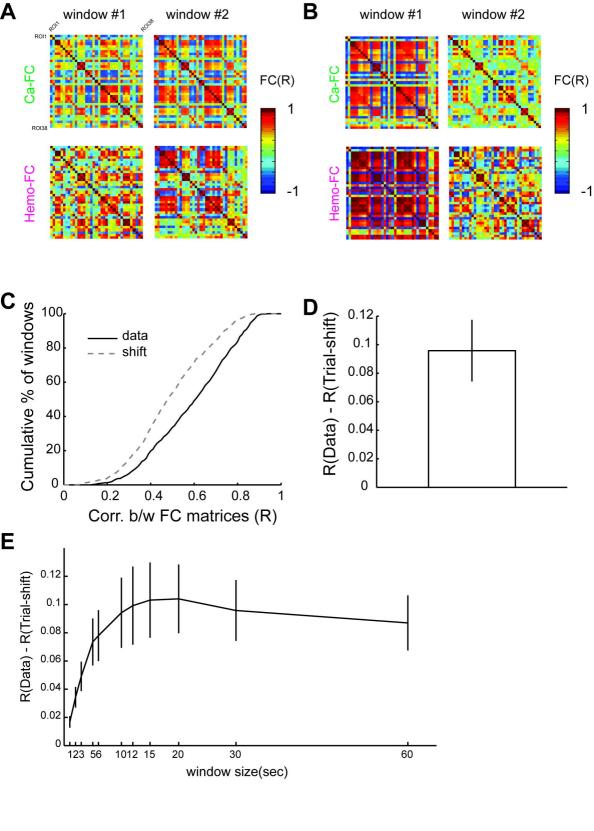
886

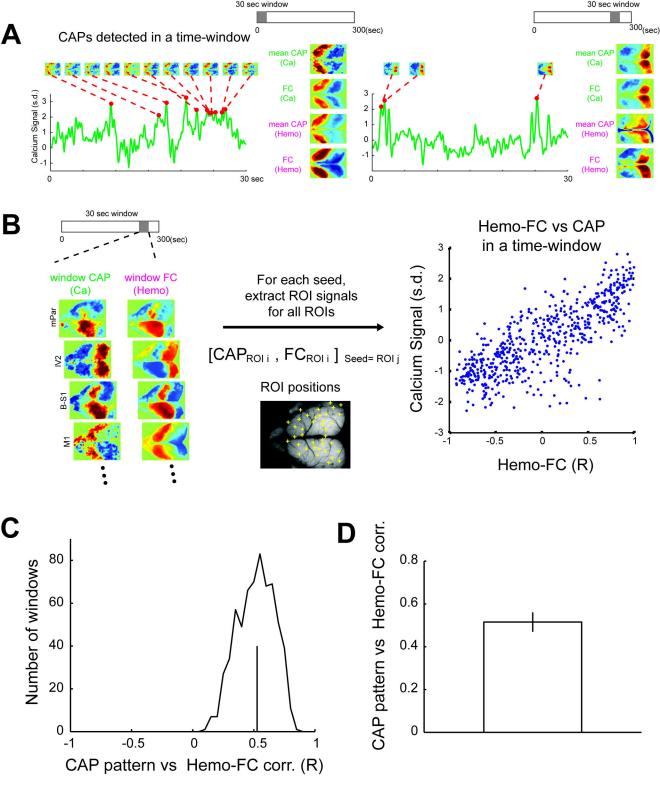
887 Supplementary Figure 9. Significant temporal correlation between CAP and 888 windowed-FC remained after removing scans with potential artifacts. (A) Same 889 convention as in Figure 4B but for the data using scans selected for small movement 890 and/or physiological artifacts based on DVARS (25 scans). *, P < 10^{-17} , 891 Kolmogorov-Smirnov test. (B) Same convention as in Figure 4C but for the selected

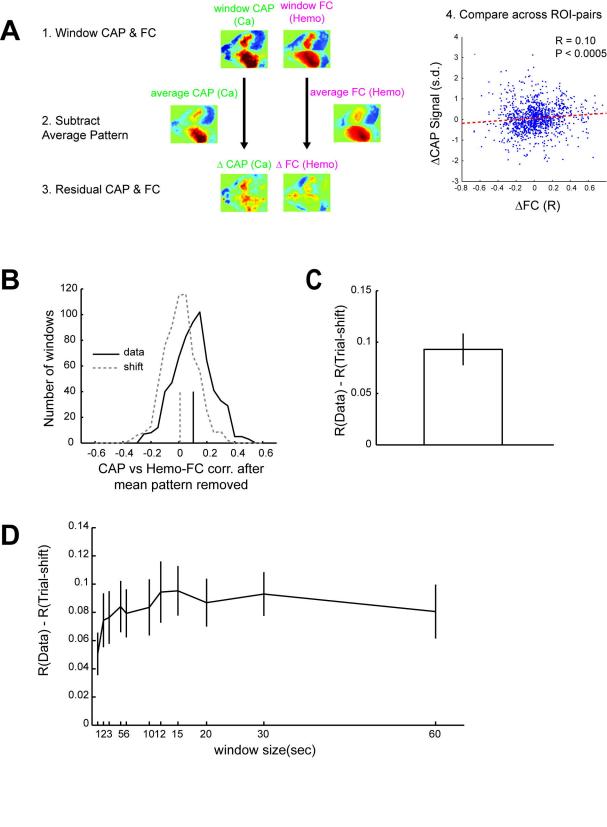
- scans. Positive difference between the real and the shifted data was observed in 5 out of
- 893 7 mice.
- 894
- 895

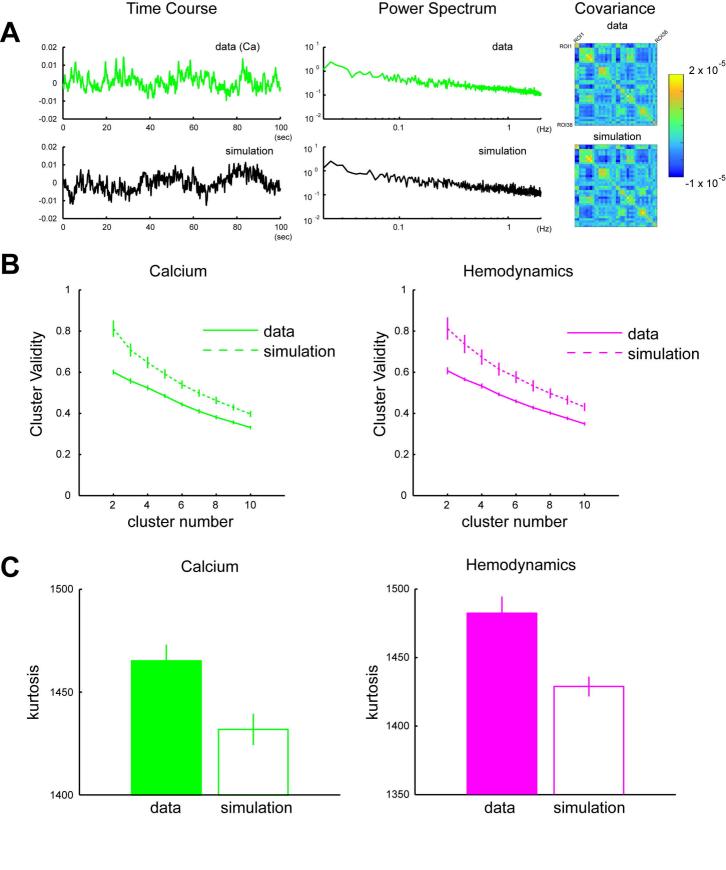


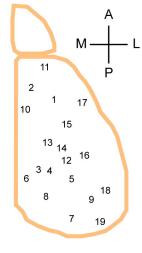
Corr. b/w FC Time Courses (R)







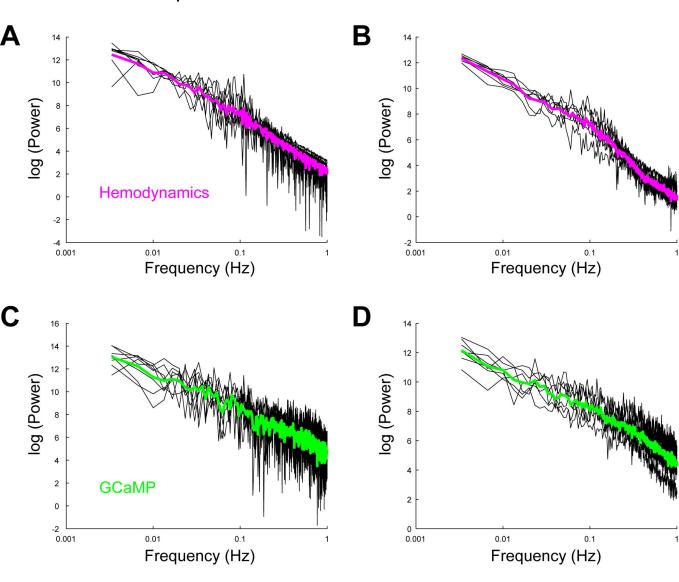


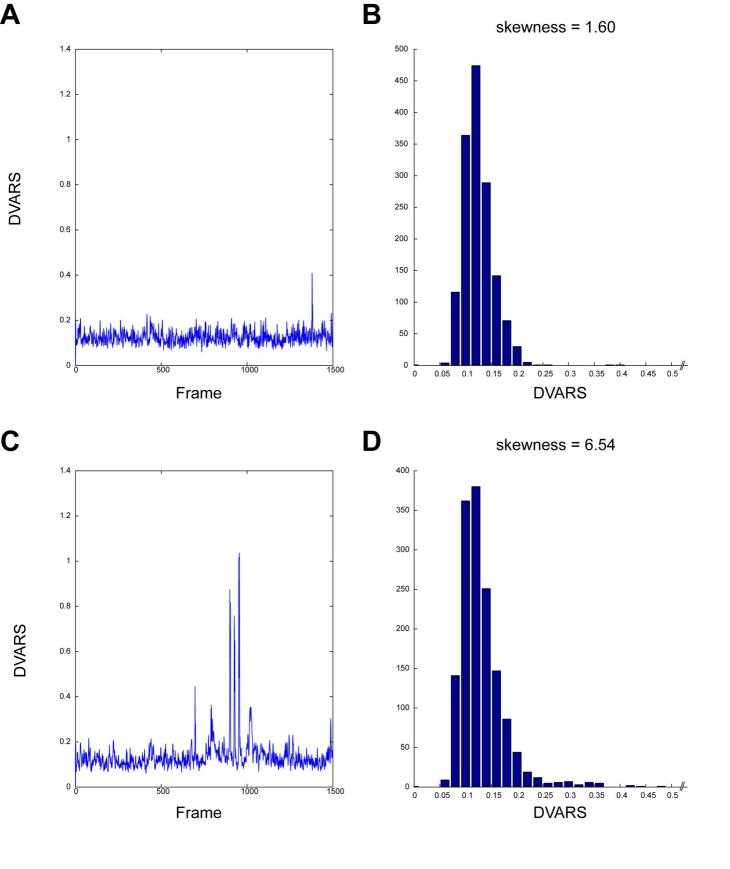


- 1. Primary Motor Area (M1)
- 2. Secondary Motor Area (M2)
- 3. Parietal Medial Area (mPar)
- 4. Parietal Lateral Area (IPar)
- 5. Parietal Posterior Area (pPar)
- 6. Restrosplenial Area (Rsp)
- 7. Primary Visual Area (V1)
- 8. Medial Secondary Visual Area (mV2)
- 9. Lateral Secondary VIsual Area (IV2)
- 10. Anterior Cingulate Area (AC)
- 11. Frontal Association Area (FAsc)
- 12. Trunk Region of Primary Somatosensory Area (Tr-S1)
- 13. Hindlimb Region of Primary Somatosensory Area (HL-S1)
- 14. Shoulder Region of Primary Somatosensory Area (Sh-S1)
- 15. Forelimb Region of Primary Somatosensory Area (FL-S1)
- 16. Barrel Region of Primary Somatosensory Area (B-S1)
- 17. Head Region of Primary Somatosensory Area (H-S1)
- 18. Auditory Area (Aud)
- 19. Temporal Association Area (TA)

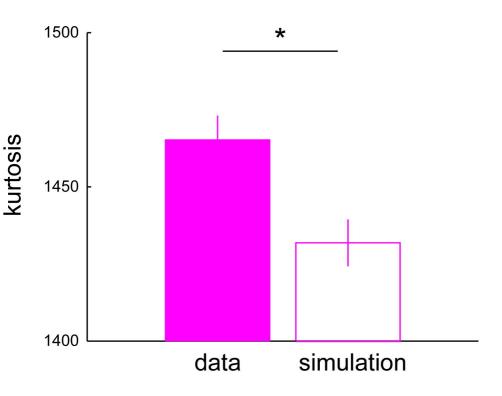
Example Mouse

All Mice

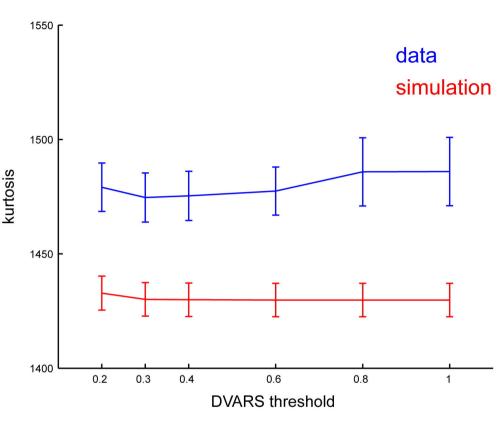


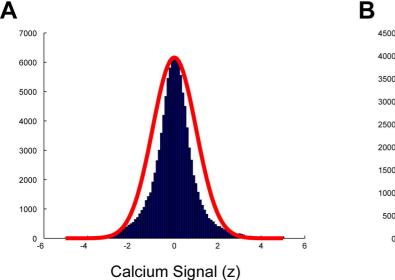


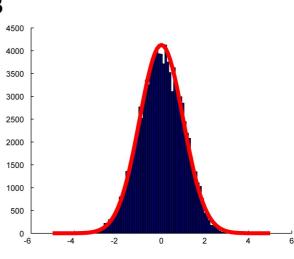
Hemodynamics



Hemodynamics







Calcium Signal (z)

