

## GWAS META-ANALYSIS OF ALZHEIMER'S DISEASE RISK

### 1 Genetic meta-analysis identifies 10 novel loci and functional pathways for Alzheimer's 2 disease risk

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### 83 **Abstract**

84 Alzheimer's disease (AD) is the most frequent neurodegenerative disease with more than 35  
85 million people affected worldwide, and no curative treatment currently available. AD is highly  
86 heritable and recent genome-wide meta-analyses have conclusively identified over 20 genomic  
87 loci associated with the late onset type of AD, yet only explaining a small proportion of the  
88 genetic variance indicating that undiscovered loci exist. Here, we present the largest genome-  
89 wide association study of AD and AD-by-proxy (71,880 AD cases, 383,378 controls) AD-by-proxy  
90 status is based on parental AD diagnosis, and showed strong genetic correlation with AD (0.81),  
91 Genetic meta-analysis identified 29 risk loci (confirming 19, 10 novel), implicating 215 potential  
92 causative genes. Independent replication further supports the genetic involvement of the novel  
93 loci in AD. Associated genes are strongly expressed in immune related tissues and cell types  
94 (spleen, liver and microglia). Furthermore, gene-set analyses confirm the genetic contribution  
95 of biological mechanisms involved in lipid-related processes and degradation of amyloid  
96 precursor proteins. We show strong genetic correlations with multiple health-related  
97 outcomes, and Mendelian randomisation results suggest a protective effect of cognitive ability  
98 on AD risk. These results are a step forward in identifying the genetic factors that contribute to  
99 AD risk, and add novel insights into the neurobiology of AD.

100

### 101 **Main text**

102 Alzheimer's disease (AD) is the most frequent type of dementia with roughly 35 million affected  
103 to date.<sup>1</sup> Results from twin studies indicate that AD is highly heritable, with estimates ranging  
104 between 60-80%.<sup>2</sup> Genetically, AD can be roughly divided into 2 subgroups: 1) familial early-

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105 onset cases that are relatively often explained by rare variants with a strong effect,<sup>3</sup> and 2) late-  
106 onset cases that are influenced by multiple common variants with low effect sizes.<sup>4</sup> Segregation  
107 analyses have linked several genes to the first subgroup, including *APP*<sup>5</sup>, *PSEN1*<sup>6</sup> and *PSEN2*<sup>7</sup>.  
108 The identification of these genes has resulted in valuable insights into a molecular mechanism  
109 with an important role in AD pathogenesis, the amyloidogenic pathway,<sup>8</sup> providing a prominent  
110 example of how gene discovery can add to biological understanding of disease aetiology.

111 Besides the identification of a few rare genetic factors (e.g. *TREM2*<sup>9</sup> and *ABCA7*<sup>10</sup>),  
112 genome-wide association studies (GWAS) have mostly discovered common risk variants for the  
113 more complex late-onset type of AD. *APOE* is the strongest genetic risk locus for late-onset AD,  
114 where heterozygous and homozygous Apoε4 carriers are predisposed for a 3-fold and 15-fold  
115 increase in risk, respectively.<sup>11</sup> A total of 19 additional GWAS loci have been described using a  
116 discovery sample of 17,008 AD cases and 37,154 controls, followed by replication of the  
117 implicated loci with 8,572 AD patients and 11,312 controls.<sup>4</sup> The current more than 20 loci do  
118 not fully explain the heritability of AD and increasing the sample size is likely to lead to more  
119 genome-wide significant risk loci, which will aid in understanding biological mechanisms  
120 involved in the risk for AD.

121 In the current study, we included 455,258 individuals of European ancestry meta-  
122 analysed in 3 stages (**Figure 1**). These consisted of 24,087 clinically diagnosed late-onset AD  
123 cases, paired with 55,058 controls (phase 1). In phase 2, we analysed an AD-by-proxy  
124 phenotype, based on individuals in the UK Biobank (UKB) for whom parental AD status was  
125 available (N proxy cases=74,793; N proxy controls=328,320; **Online Methods**). In the UKB  
126 sample, parental diagnosis for AD was available for N=376,113 individuals, of whom 393

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127 individuals had a known diagnosis of AD themselves (identified from medical register data). The  
128 high heritability of AD implies that case status for offspring can to some extent be inferred from  
129 parental case status and that offspring of AD parents are likely enriched for a higher genetic AD  
130 risk load. We thus defined individuals with one or two parents with AD as proxy cases  
131 (N=47,793), while putting more weight on the proxy cases with 2 parents. Similarly, the proxy  
132 controls include subjects with 2 parents without AD (N=328,320), where older cognitively  
133 normal parents were up-weighted as proxy controls to account for the higher likelihood that  
134 younger parents may still develop AD. As the proxy phenotype is not a pure measure of an  
135 individual's AD status and may include individuals that never develop AD, genetic effect sizes  
136 will be somewhat underestimated. However, the proxy case-control sample is very large (N  
137 proxy cases=47,793; N proxy controls=328,320), and therefore adds a substantial amount of  
138 power to detect genetic effects for AD. We first analysed the clinically defined case-control  
139 samples separately from the by-proxy case control sample to allow investigation of overlap in  
140 genetic signals for these two measurements of AD risk. Finally in phase 3, we meta-analysed all  
141 individuals of phase 1 and phase 2 together.

142

### 143 *Genome-wide meta-analysis for AD status*

144 Phase 1 involved a genome-wide meta-analysis for AD case-control status using cohorts  
145 collected as part of 3 independent main consortia (PGC-ALZ, IGAP and ADSP), totalling 79,145  
146 individuals of European ancestry and 9,862,738 genetic variants passing quality control (**Figure**  
147 **1, Supplementary Table 1**). The ADSP cohort obtained whole exome sequencing data from  
148 4,343 cases and 3,163 controls, while the remaining datasets consisted of genotype SNP array

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149 data. AD patients were diagnosed according to generally acknowledged diagnostic criteria, such  
150 as the NINCDS-ADRDA (See **Methods**). All cohorts for which we had access to the raw genotypic  
151 data were subjected to a standardized quality control pipeline, and GWA analyses were run per  
152 cohort and then included in a meta-analysis, alongside one dataset (IGAP) for which only  
153 summary statistics were available (see **Methods**). The full sample liability SNP-heritability  
154 ( $h^2_{SNP}$ ), estimated with the more conservative LDSC method, was 0.055 (SE=0.0099), implying  
155 that 5.5% of AD heritability can be explained by the tested SNPs. This is in line with previous  
156 estimates for IGAP (6.8%) also estimated by LDSC regression method, which is based on  
157 summary statistics.<sup>12,13</sup> We do note that previously reported estimates using a method based  
158 on raw genotypes (Genome-wide Complex Trait Analysis, GCTA), estimated that up to 53% of  
159 total phenotypic variance in AD could be explained by common SNPs, of which up to 6% could  
160 be explained by *APOE* alone, up to 13% by the then known variants, and up to 25% by  
161 undiscovered loci.<sup>14,15</sup> The conservative LDSC estimate of  $h^2_{SNP}$  is presumably a consequence of  
162 the underlying LDSC algorithm which is based on common HapMap SNPs and excludes all  
163 variants with extreme associations.

164 The  $\lambda_{GC}=1.10$  indicated the presence of inflated genetic signal compared to the null  
165 hypothesis of no association. The linkage disequilibrium (LD) score intercept<sup>13</sup> was 1.044  
166 (SE=0.0084) indicating that most inflation could be explained by polygenic signal  
167 (**Supplementary Figure 1**). In the meta-analysis of AD case-control status, 1,067 variants  
168 indexed by 51 lead SNPs in approximate linkage equilibrium ( $r^2<0.1$ ) reached genome-wide  
169 significance (GWS;  $P<5\times 10^{-8}$ ) (**Supplementary Figure 1; Supplementary Table 2**). These were  
170 located in 18 distinct genomic loci (**Table 1**). 15 of these loci confirmed previous findings

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171 (Lambert et al<sup>4</sup>) in a partially overlapping sample of the current study. The 3 remaining loci  
172 (lead SNPs\* rs7657553, rs11257242 and rs2632516) have been linked more recently to AD in a  
173 genetic study<sup>16</sup> of AD-related triglyceride levels while conditioning on lipid levels and in a  
174 transethnic genome-wide association study of AD.<sup>17</sup>

175 We next (phase 2) performed a GWAS for AD-by-proxy using 376,113 individuals of  
176 European ancestry from the UKB version 2 release using parental AD status weighted by age  
177 and corrected for population frequency to construct an AD-by-proxy status (**Figure 1; see**  
178 **Methods**). The LD score intercept was 1.022 (SE=0.0099) indicating that most of the inflation in  
179 genetic signal ( $\lambda_{GC}=1.071$ ) could be explained by polygenic signal (**Supplementary Figure 1b**).  
180 For AD-by-proxy, 719 GWS variants were indexed by 61 lead SNPs in approximate linkage  
181 equilibrium ( $r^2<0.1$ ) reached genome-wide significance ( $P<5\times 10^{-8}$ ), located in 13 loci  
182 (**Supplementary Figure 1a**). Of these, 8 loci overlapped with the significantly associated loci  
183 identified for clinical AD case control status (**Table 1**).

184 We observed a strong genetic correlation of 0.81 (SE= 0.185, using LDscore regression)  
185 indicating substantial overlap between genetic effects on clinical AD and AD-by-proxy status,  
186 beyond shared GWS SNPs. Sign concordance tests indicated that 50.4% of all LD-independent  
187 ( $r^2<0.1$ ) genome-wide SNPs (significant and non-significant) had consistent direction of effects  
188 between the two phenotypes (N=344,581 overlapping SNPs), slightly greater than the chance  
189 expectation of 50% (exact binomial test  $P=2.45\times 10^{-7}$ ). Of the 51 lead SNPs identified by the  
190 case-control meta-analysis, all were available in UKB and 96.1% were sign-concordant  
191 ( $P=2.98\times 10^{-12}$ ), while of the 61 GWS lead SNPs identified in UKB, 48 were available in the case-

\*We choose to not report the gene that is in closest proximity to the lead SNP as the ID for the locus, as this incorrectly implies that the gene is the causal gene for AD pathogenesis. We therefore believe it is preferred to use the rs-number as an ID for the locus, and aim to highlight the most likely causal genes with more sophisticated functional interpretation analyses in later sections of this study.

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192 control meta-analysis and 99.7% of these were sign-concordant ( $P=5.98\times 10^{-14}$ ). Such  
193 substantial overlap suggests that the AD-by-proxy phenotype captures a large part of the  
194 associated genetic effects on AD.

195         Given the high genetic overlap, in phase 3, we conducted a meta-analysis on the clinical  
196 AD case-control GWAS and the AD-by-proxy GWAS (**Figure 1**), comprising a total sample size of  
197 455,258 (71,880 (proxy) cases and 383,378 (proxy) controls). The LD score intercept was 1.0018  
198 (SE=0.0109) indicating again that most of the inflation in genetic signal ( $\lambda_{GC}=1.0833$ ) could be  
199 explained by polygenic signal (**Supplementary Figure 1b**). There were 2,357 GWS variants,  
200 which were represented by 94 lead SNPs, located in 29 loci (**Table 1, Figure 2**). These included  
201 15 of the 18 loci detected in our case-control analyses, all of the 13 detected in the AD-by-proxy  
202 analyses, as well as 9 loci that were sub-threshold in both individual analyses, but reached  
203 significance in the meta-analysis. All 2,160 GWS SNPs that were available in both the case-  
204 control and AD-by-proxy sub-samples were sign concordant (exact binomial test  $P<1\times 10^{-300}$ ),  
205 including all of the 82 available independent lead SNPs ( $P=1.68\times 10^{-23}$ ). There was evidence of  
206 substantial association signal in both AD and AD-by-proxy for 22 (out of 27 overlapping) loci for  
207 which SNP(s) in each locus had a robust  $P$ -value ( $P < .05/94$  independent signals). Of the 29  
208 associated loci, 16 loci were previously identified by the GWAS of Lambert et al.,<sup>4</sup> and 13 were  
209 newly implicated AD loci in our meta-analysis. Three of these (with lead SNPs rs184384746,  
210 rs187370608 and rs114360492) were only available in the UKB cohort (**Table 1**). Verifying our  
211 results against other<sup>9,18</sup> and more recent<sup>16,19</sup> genetic studies on AD, 3 loci (rs11257238,  
212 rs28394864 and rs187370608) were previously discovered, leaving 10 novel loci (rs4575098,



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213 rs184384746, rs6448453, rs114360492, rs442495, rs117618017, rs59735493, rs113260531,  
214 rs76726049 and rs76320948). Considering all loci of Lambert et al, we were unable to replicate  
215 4 loci (*MEF2C*, *NME8*, *CELF1* and *FERMT2\**) at a genome-wide significance level (observed *P*-  
216 values were  $1.6 \times 10^{-5}$  to 0.0011), which was mostly caused by a lower association signal in the  
217 UKB dataset (**Supplementary Table 3**). By contrast, Lambert et al. were unable to replicate the  
218 *DSG2* and *CD33* locus with their second stage of their study. In our study, *DSG2* locus also  
219 lacked significance (meta-analysis *P* = 0.030; UKB analysis *P* = 0.766; **Table 1**), implying  
220 invalidation of this locus, while we did observe a significant association (meta-analysis *P* =  $6.34$   
221  $\times 10^{-9}$ ; UKB analysis *P* =  $4.97 \times 10^{-5}$ ) for *CD33* (rs3865444 in **Table 1**), implying a genuine genetic  
222 association to AD risk.

223         Next, we aimed to find further support for the novel findings of the phase 3 meta-  
224 analysis, by using an independent Icelandic cohort (deCODE<sup>20,21</sup>), including 6,593 AD cases and  
225 174,289 controls (**Figure 1; see Methods; Supplementary Table 4**). We were unable to test  
226 support of two loci as the lead SNPs (and SNPs in high LD) were missing in deCODE, which is  
227 most likely due to imputation differences (HRC reference for UKB dataset vs. 1000G reference  
228 for deCODE dataset). For 7 of the 8 novel loci tested for replication, we observed the same  
229 direction of effect in the deCODE cohort. Furthermore, 4 loci (rs6448453, rs442495,  
230 rs117618017, rs76320948) showed nominal significant association results (*P* < 0.05) for the  
231 same SNP or a SNP in high LD ( $r^2 > 0.9$ ) within the same locus (two-tailed binomial test  
232  $P=3.7 \times 10^{-4}$ ). The locus on chromosome 1 (rs45759098) was very close to significance (*P* =  
233 0.053). Apart from the novel loci, we also observed sign concordance for 95.6% of the 90 lead  
234 SNPs in all loci from the meta-analysis ( $P=1.60 \times 10^{-20}$ ) that were available in deCODE (out of 94).

\* For straightforward comparison to this GWAS, we do here report the genes in closest proximity to the lead SNP. However, we would like to point out that GWAS findings implicate a genomic locus, and that the closest gene is not necessarily the causal gene.

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235 As an additional method of testing for replication using genome-wide polygenic score  
236 prediction,<sup>22</sup> the current results explain 7.1% of the variance in clinical AD at a low best fitting  
237  $P$ -threshold of  $1.69 \times 10^{-5}$  ( $P=1.80 \times 10^{-10}$ ) in an independent sample of 761 individuals. When  
238 excluding the *APOE*-locus (chr19: 45020859-45844508), the results explain 3.9% of the variance  
239 with a best fitting  $P$ -threshold of  $3.5 \times 10^{-5}$  ( $P=1.90 \times 10^{-6}$ ).

240

241 *Functional interpretation of genetic variants contributing to AD and AD-by-proxy*

242 Next, we conducted a number of in silico follow-up analyses to interpret our findings in a  
243 biological context. Functional annotation of all GWS SNPs ( $n=2,178$ ) in the associated loci  
244 showed that SNPs were mostly located in intronic/intergenic areas, yet in regions that were  
245 enriched for chromatin states 4 and 5, implying effects on active transcription (**Figure 3A, 3B**  
246 **and 3C; Supplementary Table 5**). 24 GWS SNPs were exonic non-synonymous (ExNS) (**Figure**  
247 **3A; Supplementary Table 6**) with likely deleterious implications on gene function. Converging  
248 evidence of strong association ( $Z > |7|$ ) and a high observed probability of a deleterious variant  
249 effect (CADD<sup>23</sup> score $\geq 30$ ) was found for rs75932628 (*TREM2*), rs142412517 (*TOMM40*) and  
250 rs7412 (*APOE*). The first two missense mutations are rare (MAF=0.002 and 0.001, respectively)  
251 and the alternative alleles were associated with higher risk for AD. The latter *APOE* missense  
252 mutation is the well-established protective allele Apo $\epsilon$ 2. The effect sizes for ExNS ranged from  
253 moderate to high. **Supplementary Tables 5 and 6** present a detailed annotation catalogue of  
254 variants in the associated genomic loci. Partitioned analysis,<sup>24</sup> excluding SNPs with extremely  
255 large effect sizes (i.e. *APOE* variants) showed enrichment for  $h^2_{SNP}$  for variants located in  
256 H3K27ac marks (Enrichment=3.18,  $P=9.63 \times 10^{-5}$ ), which are associated with activation of

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257 transcription, and in Super Enhancers (Enrichment=3.62,  $P=2.28 \times 10^{-4}$ ), which are genomic  
258 regions where multiple epigenetic marks of active transcription are clustered (**Figure 3D**;  
259 **Supplementary Table 7**). Heritability was also enriched in variants on chromosome 17  
260 (Enrichment=3.61,  $P=1.63 \times 10^{-4}$ ) and we observed a trend of enrichment for variants with high  
261 minor allele frequencies (Enrichment=3.31,  $P=2.85 \times 10^{-3}$ ), (**Supplementary Figure 3**;  
262 **Supplementary Tables 8 and 9**). Although a large proportion (23.9%) of the heritability can be  
263 explained by SNPs on chromosome 19, this enrichment is not significant, due to the large  
264 standard errors around this estimate (**Supplementary Table 8**). Overall these results suggest  
265 that, despite some nonsynonymous variants likely contributing to AD risk, most of the GWS  
266 SNPs are located in non-coding regions, and are enriched for regions that have an activating  
267 effect on transcription of coding regions.

268

### 269 *Implicated genes*

270 To link the associated variants to genes, we applied three gene-mapping strategies  
271 implemented in FUMA<sup>25</sup> (**Online Methods**). We used all SNPs with a P-value  $< 5 \times 10^{-8}$  and  $r^2$  of  
272 0.6 with one of the independently associated SNPs for gene-mapping. *Positional* gene-mapping  
273 aligned SNPs to 100 genes by their location within or immediately up/downstream ( $\pm 10$ kb) of  
274 known gene boundaries, *eQTL (expression quantitative trait loci)* gene-mapping matched cis-  
275 eQTL SNPs to 170 genes whose expression levels they influence in one or more tissues, and  
276 *chromatin interaction* mapping linked SNPs to 21 genes based on three-dimensional DNA-DNA  
277 interactions between each SNP's genomic region and nearby or distant genes, which we limited  
278 to include only interactions between annotated enhancer and promotor regions (**Figure 3B and**

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279 **3C; Supplementary Figure 4; Supplementary Tables 10 and 11**). This resulted in 192 uniquely  
280 mapped genes, 80 of which were implicated by at least two mapping strategies and 17 by all 3  
281 **(Figure 4E)**. Eight genes (*HLA-DRB5*, *HLA-DRB1*, *HLA-DQA*, *HLA-DQB1*, *KAT8*, *PRSS36*, *ZNF232*  
282 and *CEACAM19*) are particularly notable as they are implicated via eQTL association in the  
283 hippocampus, a brain region highly affected early in AD pathogenesis (**Supplementary Table**  
284 **10**). Of special interest is the locus on chromosome 8 (rs4236673). In the GWAS by Lambert et  
285 al.<sup>4</sup>, this locus was defined as 2 distinct loci (*CLU* and *PTK2B*), while our meta-analysis specified  
286 this locus as a single locus based on LD-patterns. This is also supported by a chromatin  
287 interaction between the two regions (**Figure 3E**), which is observed in two immune-related  
288 tissues – the spleen and liver (**Supplementary Table 11**). Chromosome 16 contains a locus  
289 implicated by long-range eQTL association (**Figure 3F**) clearly illustrating more distant genes can  
290 be affected by a genetic factor (**Figure 3F**) and emphasising the relevance of considering  
291 putative causal genes or regulatory elements not solely on the physical location but also on  
292 epigenetic influences. **Supplementary Figure 4** displays chromatin interactions for all  
293 chromosomes containing significant GWAS loci.

294 Although these gene-mapping strategies imply multiple putative causal genes per GWAS  
295 locus, several of these genes in the novel loci (and significantly replicated by the deCODE  
296 cohort) are of particular interest, as the genes have functional or previous genetic association  
297 to AD. For locus 1 in **Supplementary Table 10**, *ADAMTS4* encodes a protein of the ADAMTS  
298 family which has a function in neuroplasticity and has been extensively studied for their role in  
299 AD pathogenesis.<sup>26</sup> For locus 19, the obvious most likely causal gene is *ADAM10*, as this gene  
300 has been conclusively associated to AD through the effect of rare coding variants. However this

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301 is the first time that this gene is implicated as a common risk factor for AD. The lead SNP for  
302 locus 20 is a nonsynonymous variant in exon 1 of *APH1B*, which encodes for a protein part of  
303 the  $\gamma$ -secretase complex cleaving *APP*.<sup>27</sup> Although previously reported functional information  
304 on genes can be of great value, it is preferable to consider all implicated genes as putative  
305 causal factors to guide potential functional follow-up experiments.

306 We next performed genome-wide gene-based association analysis (GWGAS) using  
307 MAGMA.<sup>28</sup> This method annotates SNPs to known protein-coding genes to estimate aggregate  
308 associations based on all SNPs in a gene. It differs from the gene-mapping strategies in FUMA as  
309 it provides a statistical gene-based test, whereas FUMA maps individually significant SNPs to  
310 genes. With GWGAS, we identified 97 significantly associated genes (**Supplementary Figure 5;**  
311 **Supplementary Table 12**), of which 74 were also mapped by FUMA (**Figure 4E**). In total, 16  
312 genes were implicated by all four strategies (**Supplementary Table 13**), of which 7 genes (*HLA-*  
313 *DRA*, *HLA-DRB1*, *PTK2B*, *CLU*, *MS4A3*, *SCIMP* and *RABEP1*) are not located in the *APOE*-locus,  
314 and therefore of high interest for further investigation.

315

### 316 *Gene-sets implicated in AD and AD-by-proxy*

317 Using the gene-based P-values, we performed gene-set analysis for 6,994 biological-pathway-  
318 based gene-sets, 53 tissue expression-based gene-sets and 39 brain single cell expression based  
319 gene-sets (24 derived from mouse data and 15 derived from human data). We found 4 Gene  
320 Ontology<sup>19</sup> gene-sets that were significantly associated with AD risk: *Protein lipid complex*  
321 ( $P=3.93\times 10^{-10}$ ), *Regulation of amyloid precursor protein catabolic process* ( $P=8.16\times 10^{-09}$ ), *High*  
322 *density lipoprotein particle* ( $P=7.81\times 10^{-8}$ ), and *Protein lipid complex assembly* ( $P=7.96\times 10^{-7}$ )

## GWAS META-ANALYSIS OF ALZHEIMER'S DISEASE RISK

323 **(Figure 4A; Supplementary Tables 14 and 15)**. Conditional analysis on the *APOE* locus showed  
324 associations with AD for these four gene-sets independent of the effect of *APOE*, as they  
325 remained significantly associated ( $P < 0.0125$ ), yet less strong, suggesting that *APOE* is  
326 contributing a substantial part to the association signal, but does not completely drive the  
327 signal. There was overlap between genes included in the 4 gene-sets, and conditioning on each  
328 significant gene-set association showed that 3 gene-sets were associated with AD  
329 independently of each other (**Supplementary Table 14 and 15**). All 25 genes of the *High density*  
330 *lipoprotein particle* pathway are also part of the *Protein lipid complex* (conditional analysis  
331  $P = 0.18$ ), and these pathways are therefore not interpretable as independent associations.

332 Linking gene-based *P*-values to tissue- and cell-type-specific gene-sets, no association  
333 survived the stringent Bonferroni correction, which corrected for all tested gene-sets (i.e. 6,994  
334 GO categories, 54 tissues and 39 cell types). However, we did observe associations when  
335 correcting only for the number of tests within all tissue types or cell-types. This was the case for  
336 gene expression across immune-related tissues (**Figure 4C; Supplementary Table 16**),  
337 particularly whole blood ( $P = 5.61 \times 10^{-6}$ ), spleen ( $P = 1.50 \times 10^{-5}$ ) and lung ( $P = 4.67 \times 10^{-4}$ ). In brain  
338 single-cell expression gene-set analyses, we found associations for microglia, both in the  
339 mouse-based expression dataset ( $P = 1.96 \times 10^{-3}$ ) (**Figure 4B; Supplementary Table 17**) and the  
340 human-based expression dataset ( $P = 2.56 \times 10^{-3}$ ) (**Supplementary Figure 6; Supplementary Table**  
341 **18**).

342

343 *Cross-trait genetic influences*

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344 For a more comprehensive understanding of the genetic background of AD, we next tested  
345 whether AD is likely to share genetic factors with other phenotypes. This might reveal some  
346 functional insights about the genetic aetiology of AD. We conducted bivariate LDscore<sup>13</sup>  
347 regression to test for genetic correlations between AD and 41 other traits for which large GWAS  
348 summary statistics were available. We observed significant negative genetic correlations with  
349 adult cognitive ability ( $r_g=-0.22$ ,  $P=7.28\times 10^{-5}$ ), age of first birth ( $r_g=-0.33$ ,  $P=1.22\times 10^{-4}$ ),  
350 educational attainment ( $r_g=-0.25$ ,  $P=5.01\times 10^{-4}$ ), and confirmed a very strong positive  
351 correlation with previous GWAS of Alzheimer's disease ( $r_g=0.90$ ,  $P=3.29\times 10^{-16}$ ) (**Figure 4D**;  
352 **Supplementary Table 19**).

353 We then used Generalised Summary-statistic-based Mendelian Randomisation<sup>29</sup> (GSMR;  
354 see **Methods**) to test for potential credible causal associations of genetically correlated  
355 outcomes which may directly influence the risk for AD. Due to the nature of AD being a late-  
356 onset disorder and summary statistics for most other traits being obtained from younger  
357 samples, we do not report tests for the opposite direction of potential causality (i.e. we did not  
358 test for a causal effect of a late-onset disease on an early onset disease). In this set of analyses,  
359 SNPs from the summary statistic of genetically correlated phenotypes were used as  
360 instrumental variables to estimate the putative causal effect of these "exposure" phenotypes  
361 on AD risk by comparing the ratio of SNPs' associations with each exposure to their associations  
362 with AD outcome (see **Methods**). Association statistics were standardized, such that the  
363 reported effects reflect the expected difference in odds ratio (OR) for AD as a function of every  
364 SD increase in the exposure phenotype. We observed a protective effect of cognitive ability  
365 (OR=0.89, 95% confidence interval[CI]: 0.85-0.92,  $P=5.07\times 10^{-9}$ ), educational attainment

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366 (OR=0.88, 95%CI: 0.81-0.94,  $P=3.94\times 10^{-4}$ ), and height (OR=0.96, 95%CI: 0.94-0.97,  $P=1.84\times 10^{-8}$ )  
367 on risk for AD (**Supplementary Table 20; Supplementary Figure 7**). No substantial evidence of  
368 pleiotropy was observed between AD and these phenotypes, with <1% of overlapping SNPs  
369 being filtered as outliers (**Supplementary Figure 7**).

370

### 371 *Discussion*

372 In conclusion, by using a non-conventional approach of including a by-proxy phenotype for AD  
373 to increase sample size, we have identified novel loci and gained novel biological knowledge on  
374 AD aetiology. Both the high genetic correlation between the standard case-control status and  
375 the UKB by proxy phenotype ( $r_g=0.81$ ) and the high rate of novel loci replication in the  
376 independent deCODE cohort, suggest that this strategy is robust. Through extensive in silico  
377 functional follow-up analysis, and in line with previous research,<sup>19,30</sup> we emphasise the crucial  
378 causal role of the immune system - rather than immune response as a consequence of disease  
379 pathology - by establishing variant enrichments for immune-related body tissues (whole blood,  
380 spleen, liver) and for the main immune cells of the brain (microglia). Furthermore, we observe  
381 informative eQTL associations and chromatin interactions within immune-related tissues for  
382 identified genomic risk loci. Together with the AD-associated genetic effects on lipid  
383 metabolism in our study, these biological implications strengthen the hypothesis that AD  
384 pathogenesis involves an interplay between inflammation and lipids, as lipid changes might  
385 harm immune responses of microglia and astrocytes, and vascular health of the brain.<sup>31</sup>

386 In accordance with previous clinical research, our study suggests an important role for  
387 protective effects of several human traits on AD. As an example, cognitive reserve has been



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388 proposed as a protective mechanism in which the brain aims to control brain damage with prior  
389 existing cognitive processing strategies.<sup>32</sup> Our findings imply that some component of the  
390 genetic factors for AD might affect cognitive reserve, rather than being involved in AD-  
391 pathology-related damaging processes, influencing AD pathogenesis in an indirect way through  
392 cognitive reserve. Similarly, in a largescale community-based study it was observed that AD  
393 incidence rates declined over decades, which was specific for individuals with at minimum a  
394 high school diploma.<sup>33</sup> Combined with our Mendelian randomization results for educational  
395 attainment, this suggests that the protective effect of educational attainment on AD is  
396 influenced by genetics.

397 The results of this study could furthermore serve as a valuable resource (e.g.  
398 Supplementary Tables 10 and 13) for selection of promising genes for functional follow-up  
399 experiments. We anticipate that functional interpretation strategies and follow-up experiments  
400 will result in a comprehensive understanding of sporadic late-onset AD aetiology, which will  
401 serve as a solid foundation for future AD drug development and stratification approaches.

402

### 403 **URLs:**

404 <http://ukbiobank.ac.uk>

405 <https://www.ncbi.nlm.nih.gov/gap>

406 <http://fuma.ctglab.nl>

407 <http://ctg.cncr.nl/software/magma>

408 [http://genome.sph.umich.edu/wiki/METAL\\_Program](http://genome.sph.umich.edu/wiki/METAL_Program)

409 <https://github.com/bulik/ldsc>

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410 <http://ldsc.broadinstitute.org/>

411 <https://data.broadinstitute.org/alkesgroup/LDSCORE/>

412 <http://www.genecards.org>

413 <http://www.med.unc.edu/pgc/results-and-downloads>

414 <http://software.broadinstitute.org/gsea/msigdb/collections.jsp>

415 <https://www.ebi.ac.uk/gwas/>

416 <https://github.com/ivankosmos/RegionAnnotator>

417 <http://cnsgenomics.com/software/gsmr/>

418

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## GWAS META-ANALYSIS OF ALZHEIMER'S DISEASE RISK

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463  
464 **Author Contributions:** I.E.J. and J.E.S. performed the analyses. D.P. and O.E.A. conceived the  
465 idea of the study. D.P. and S.R. supervised analyses. S.St. performed QC on the UK Biobank data  
466 and wrote the analysis pipeline. K.W. constructed and applied the FUMA pipeline for  
467 performing follow-up analyses. J.B. conducted the single cell enrichment analyses. J.H.L and  
468 N.S. contributed data. D.P. and I.E.J. wrote the paper. All authors critically reviewed the paper.

469  
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471 Lundbeck (advisory committee), Pfizer (Scientific Advisory Board member), and Roche (grant  
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473 All other authors declare no financial interests or potential conflicts of interest.

474 Correspondence and requests for materials should be addressed to [d.posthuma@vu.nl](mailto:d.posthuma@vu.nl).

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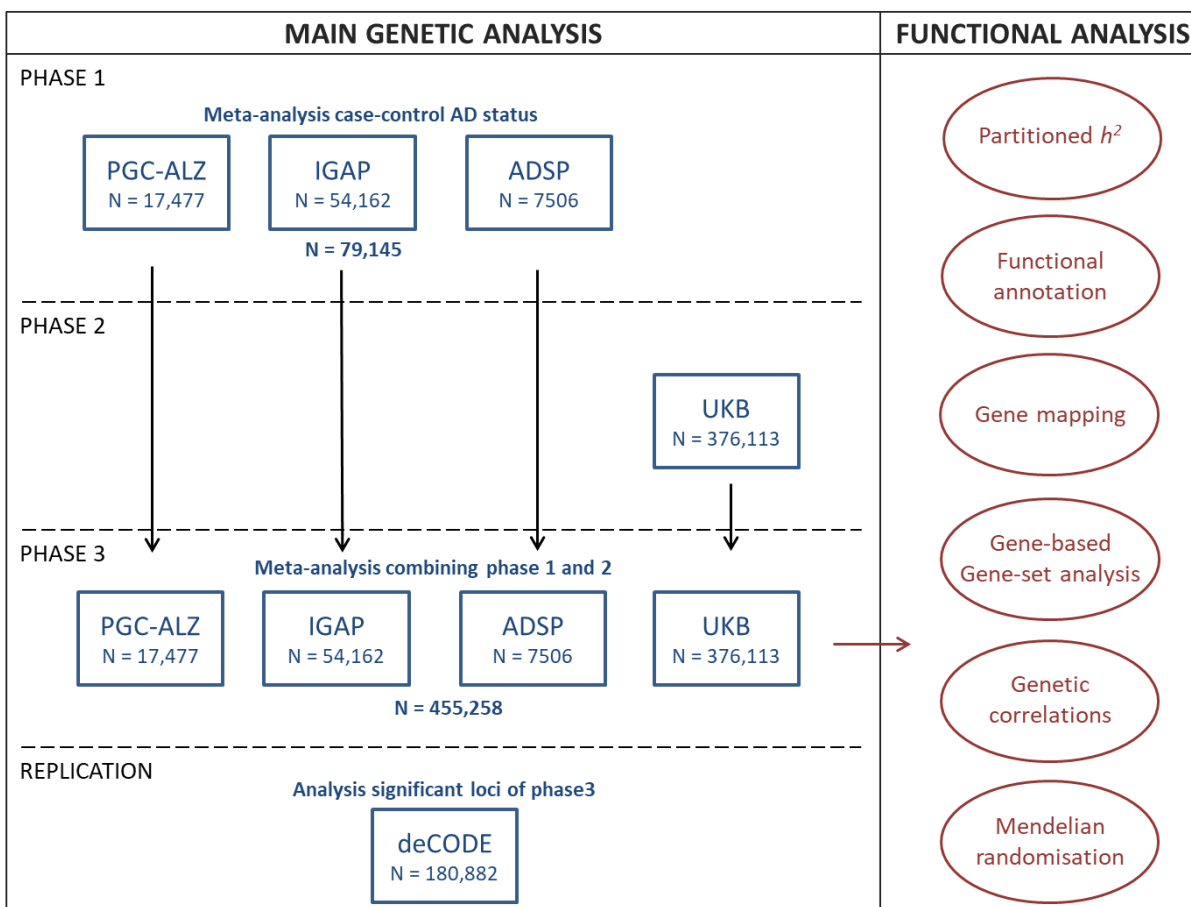
**Table 1. Summary statistics for the meta-analysis of case-control status, by proxy phenotype and both.**

Note: Independent lead SNPs are defined by  $r^2 < .1$ ; distinct genomic loci are >250kb apart. Allele1 is the effect allele for the meta association statistic. Meta-analysis effect direction (column AL) is in the following order: ADSP, IGAP, UKB, PGC-ALZ, note that the first cohort is often missing as this concerns exome sequencing data. Corrected P value for significance = 5E-08 (marked as boldfaced and underlined values). Note that the lead SNP can differ between the distinct analyses, while it tags the same locus

Locus	Chr	Case-control status						By proxy AD						Overall						
		SNP	bp	Allele 1	Allele 2	Z	P	SNP	bp	Allele 1	Allele 2	Z	P	SNP	bp	Allele 1	Allele 2	Z	P	direction
1	1	rs4575098	161155392	A	G	3.78	0.000157	rs4575098	161155392	A	G	5.39	6.88E-08	rs4575098	161155392	A	G	6.36	<b><u>2.05E-10</u></b>	??+
2	1	rs6656401	207692049	A	G	8.54	<b><u>1.39E-17</u></b>	rs679515	207750568	T	C	6.13	<b><u>8.85E-10</u></b>	rs2093760	207786828	A	G	8.82	<b><u>1.10E-18</u></b>	+++
3	2	rs4663105	127891427	C	A	11.21	<b><u>3.58E-29</u></b>	rs4663105	127891427	C	A	10.54	<b><u>5.46E-26</u></b>	rs4663105	127891427	C	A	13.94	<b><u>3.38E-44</u></b>	??+
4	2	rs10933431	233981912	G	C	-4.79	1.67E-06	rs10933431	233981912	G	C	-4.71	2.51E-06	rs10933431	233981912	G	C	-6.13	<b><u>8.92E-10</u></b>	?- -
5	3	NA						rs184384746	57226150	T	C	5.70	<b><u>1.24E-08</u></b>	rs184384746	57226150	T	C	5.69	<b><u>1.24E-08</u></b>	??+?
6	4	rs6448453	11026028	A	G	2.26	0.023641	rs6448451	11024682	G	C	5.70	<b><u>1.19E-08</u></b>	rs6448453	11026028	A	G	6.00	<b><u>1.93E-09</u></b>	??+
7	4	rs7657553	11723235	A	G	5.60	<b><u>2.16E-08</u></b>	rs7657553	11723235	A	G	-0.27	0.79	rs7657553	11723235	A	G	1.95	0.051	??+
8	6	rs9269853	32550322	A	C	5.56	<b><u>2.66E-08</u></b>	rs6931277	32583357	T	A	-5.22	1.78E-07	rs6931277	32583357	T	A	-6.49	<b><u>8.41E-11</u></b>	?- -
9	6	NA						rs187370608	40942196	A	G	8.26	<b><u>1.45E-16</u></b>	rs187370608	40942196	A	G	8.26	<b><u>1.45E-16</u></b>	??+?
10	6	rs9381563	47432637	C	T	5.84	<b><u>5.35E-09</u></b>	rs9381563	47432637	C	T	4.46	8.10E-06	rs9381563	47432637	C	T	6.33	<b><u>2.52E-10</u></b>	??+
11	7	rs1859788	99971834	A	G	-5.82	<b><u>6.05E-09</u></b>	rs7384878	99932049	C	T	-6.34	<b><u>2.38E-10</u></b>	rs1859788	99971834	A	G	-7.93	<b><u>2.22E-15</u></b>	??+
12	7	rs11763230	143108841	T	C	-6.67	<b><u>2.58E-11</u></b>	rs7810606	143108158	T	C	-4.89	1.01E-06	rs7810606	143108158	T	C	-6.62	<b><u>3.59E-11</u></b>	?- -
13	7	NA						rs114360492	145950029	T	C	5.99	<b><u>2.1E-09</u></b>	rs114360492	145950029	T	C	5.99	<b><u>2.10E-09</u></b>	??+?
14	8	rs4236673	27464929	A	G	-9.14	<b><u>6.36E-20</u></b>	rs1532278	27466315	T	C	-5.78	<b><u>7.45E-09</u></b>	rs4236673	27464929	A	G	-8.98	<b><u>2.61E-19</u></b>	??+
15	10	rs11257242	11721119	C	G	-5.58	<b><u>2.38E-08</u></b>	rs11257238	11717397	C	T	4.02	5.84E-05	rs11257238	11717397	C	T	5.69	<b><u>1.26E-08</u></b>	??+
16	11	rs7935829	59942815	G	A	-7.16	<b><u>8.21E-13</u></b>	rs1582763	60021948	A	G	-5.86	<b><u>4.72E-09</u></b>	rs2081545	59958380	A	C	-7.97	<b><u>1.55E-15</u></b>	??+
17	11	rs10792832	85867875	A	G	-8.56	<b><u>1.12E-17</u></b>	rs3844143	88850243	C	T	-6.56	<b><u>5.31E-11</u></b>	rs867611	85776544	G	A	-8.75	<b><u>2.19E-18</u></b>	??+
18	11	rs11218343	121435587	C	T	-6.55	<b><u>5.57E-11</u></b>	rs11218343	121435587	C	T	-4.68	2.81E-06	rs11218343	121435587	C	T	-6.79	<b><u>1.09E-11</u></b>	??+
19	14	rs12590654	92938855	A	G	-5.61	<b><u>1.98E-08</u></b>	rs12590654	92938855	A	G	-4.63	3.70E-06	rs12590654	92938855	A	G	-6.39	<b><u>1.65E-10</u></b>	??+
20	15	rs442495	59022615	C	T	-3.61	0.000309	rs442495	59022615	C	T	-5.15	2.65E-07	rs442495	59022615	C	T	-6.07	<b><u>1.31E-09</u></b>	??+
21	15	rs117618017	63569902	T	C	2.29	0.022178	rs117618017	63569902	T	C	5.15	2.64E-07	rs117618017	63569902	T	C	5.52	<b><u>3.35E-08</u></b>	??+
22	16	rs59735493	31133100	A	G	-3.34	0.000825	rs59735493	31133100	A	G	-4.63	3.72E-06	rs59735493	31133100	A	G	-5.49	<b><u>3.98E-08</u></b>	??+
23	17	rs113260531	5138980	A	G	4.66	3.21E-06	rs9916042	4984447	A	G	5.46	<b><u>4.73E-08</u></b>	rs113260531	5138980	A	G	6.12	<b><u>9.16E-10</u></b>	??+
24	17	rs28394864	47450775	A	G	3.97	7.29E-05	rs28394864	47450775	A	G	4.50	6.80E-06	rs28394864	47450775	A	G	5.62	<b><u>1.87E-08</u></b>	??+
25	17	rs2632516	56409089	C	G	-6.05	<b><u>1.42E-09</u></b>	rs2632516	56409089	C	G	-2.79	0.005288	rs2632516	56409089	C	G	-4.90	9.66E-07	??+
26	18	rs8093731	29088958	T	C	-5.46	<b><u>4.63E-08</u></b>	rs8093731	29088958	T	C	-0.30	0.7656	rs8093731	29088958	T	C	-2.17	0.030	??+
27	18	rs76726049	56189459	C	T	2.06	0.039261	rs76726049	56189459	C	T	5.22	1.83E-07	rs76726049	56189459	C	T	5.52	<b><u>3.30E-08</u></b>	??+
28	19	rs4147929	5063443	A	G	5.76	<b><u>8.64E-09</u></b>	rs3752241	1053524	G	C	-5.55	<b><u>2.87E-08</u></b>	rs111278892	1039323	G	C	6.50	<b><u>7.93E-11</u></b>	??+
29	19	rs41289512	45351516	G	C	29.74	<b><u>2.70E-194</u></b>	rs75627662	45413576	T	C	36.79	<b><u>9.5E-296</u></b>	rs41289512	45351516	G	C	35.50	<b><u>5.79E-276</u></b>	??+
30	19	rs76320948	46241841	T	C	4.32	1.54E-05	rs76320948	46241841	T	C	4.29	1.80E-05	rs76320948	46241841	T	C	5.46	<b><u>4.64E-08</u></b>	??+
31	19	rs3865444	51727962	A	C	-5.48	<b><u>4.25E-08</u></b>	rs3865444	51727962	A	C	-4.06	4.97E-05	rs3865444	51727962	A	C	-5.81	<b><u>6.34E-09</u></b>	??+
32	20	rs6014724	54998544	G	A	-5.35	8.72E-08	rs6014724	54998544	T	C	-4.52	6.32E-06	rs6014724	54998544	G	A	-6.18	<b><u>6.56E-10</u></b>	??+

## GWAS META-ANALYSIS OF ALZHEIMER'S DISEASE RISK

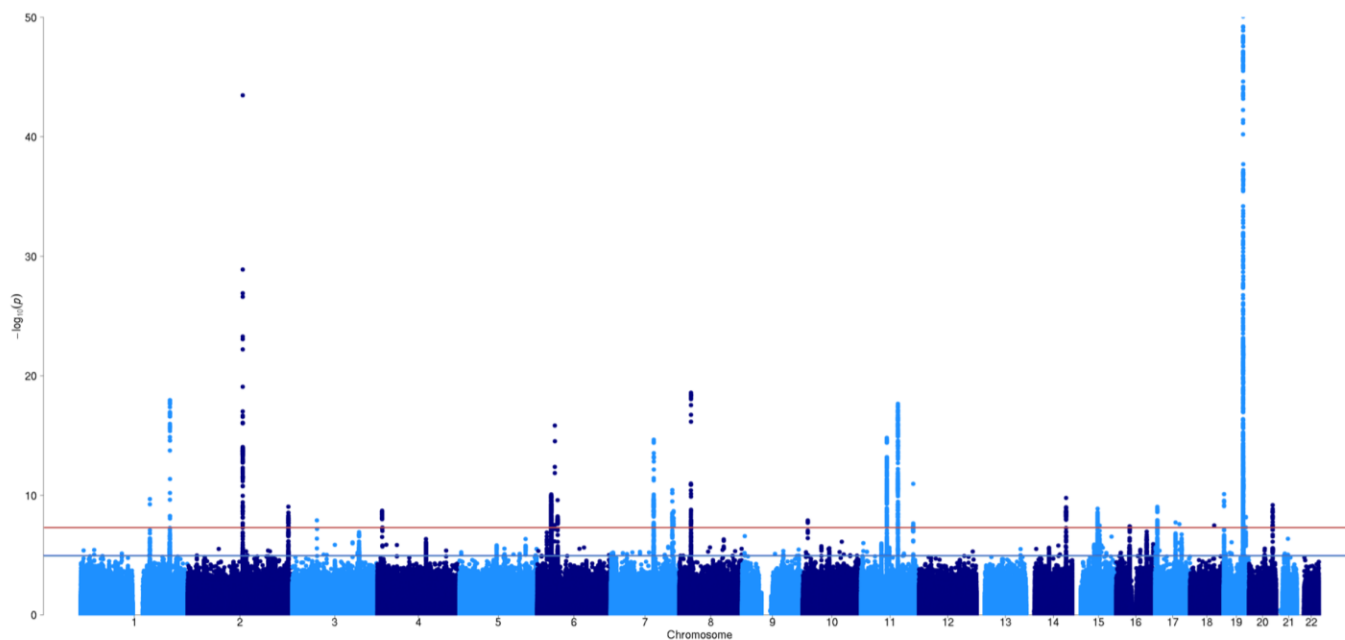
525 **Figure 1. Overview of analyses steps.** The main genetic analysis encompasses the procedures to detect  
 526 GWAS risk loci for AD. The functional analysis part includes the *in silico* functional follow-up procedures  
 527 with the aim to put the genetic findings in biological context. The Mendelian randomisation analysis has  
 528 been performed on the results of phase 1 to account for sample overlap between our study and other  
 529 traits for which they have used the UKB dataset.  
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## GWAS META-ANALYSIS OF ALZHEIMER'S DISEASE RISK

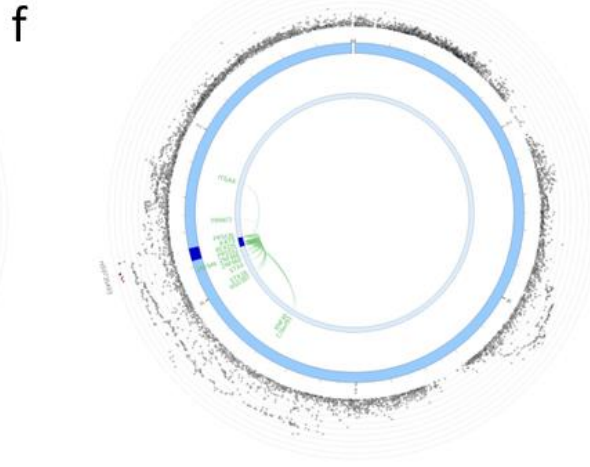
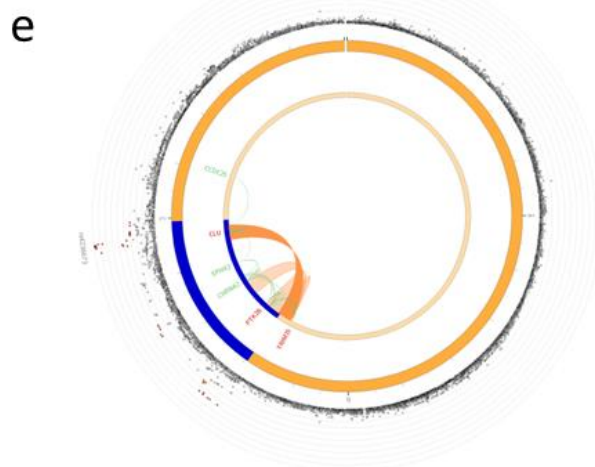
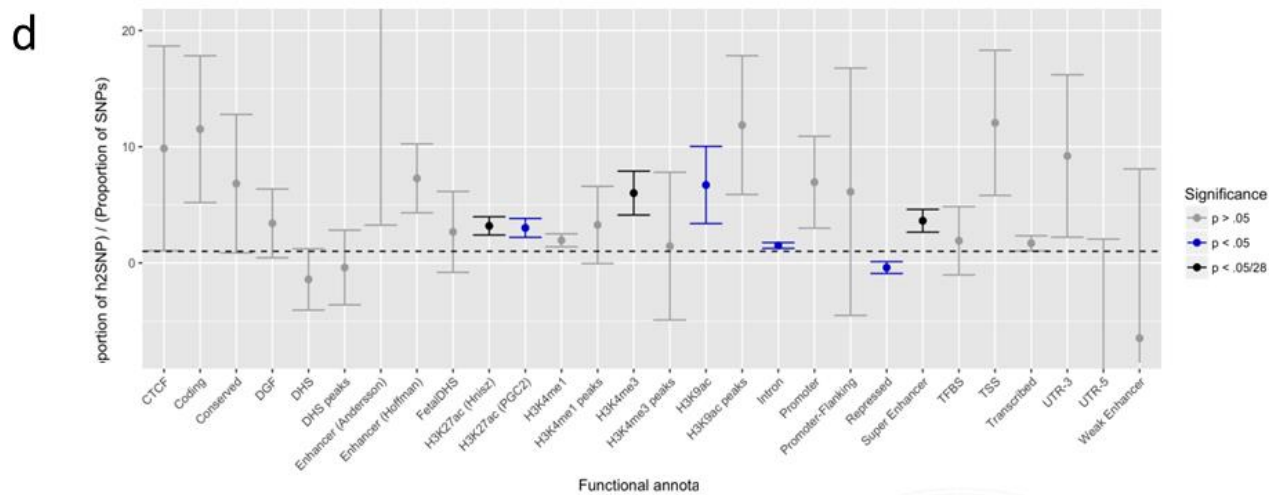
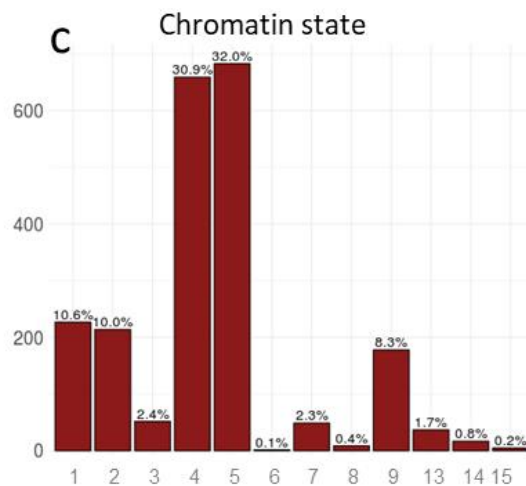
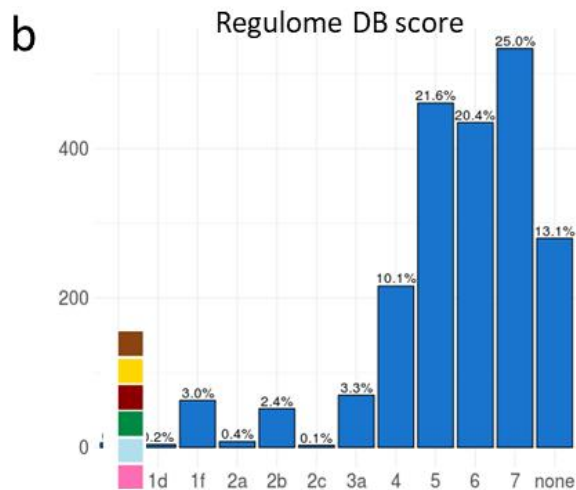
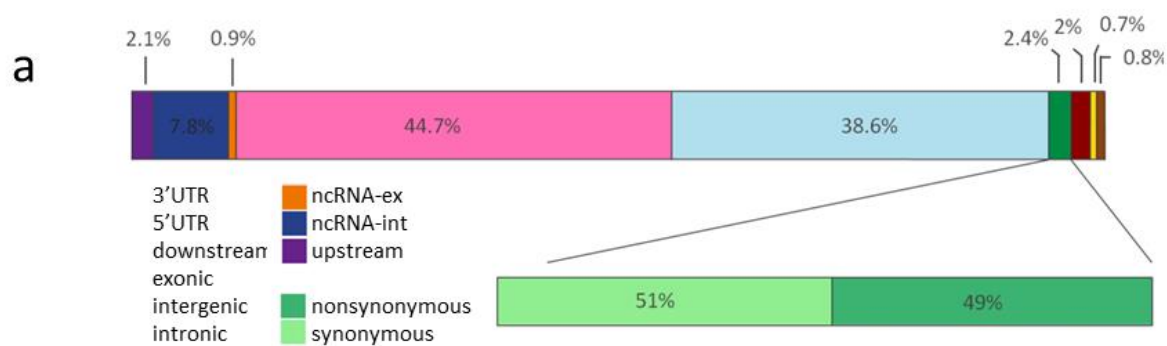
541 **Figure 2. GWAS results for AD risk (N=455,258).** Manhattan plot displays all associations per variant  
542 ordered according to their genomic position on the x-axis and showing the strength of the association  
543 with the  $-\log_{10}$  transformed P-values on the y-axis. The y-axis is limited to enable visualization of non-  
544 APOE loci.  
545



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550  
551 **Figure 3. Functional annotation of association results.** a) Heritability enrichment of 28 functional  
552 variant annotations calculated with stratified LD score regression. UTR=untranslated region;  
553 CTCF=CCCTC-binding factor; DHS=DNaseI Hypersensitive Site; TFBS=transcription factor binding site;  
554 DGF=DNAaseI digital genomic footprint; b) Functional effects of variants in genomic risk loci of the  
555 meta-analysis – the second bar shows distribution for exonic variants only; c) Distribution of  
556 RegulomeDB score for variants in genomic risk loci, with a low score indicating a higher probability of  
557 having a regulatory function. d) Distribution of minimum chromatin state across 127 tissue and cell  
558 types for variants in genomic risk loci, with lower states indicating higher accessibility and states 1-7  
559 referring to open chromatin states. e) Zoomed-in circos plot of chromosome 8. f) Zoomed-in circos plot  
560 of chromosome 16. Circos plots show implicated genes by significant loci, where blue areas indicate  
561 genomic risk loci, green indicates eQTL associations and orange indicates chromatin interactions. Genes  
562 mapped by both eQTL and chromatin interactions are red. The outer layer shows a Manhattan plot  
563 containing the negative log10-transformed P-value of each SNP in the GWAS meta-analysis of AD. Full  
564 circos plots of all autosomal chromosomes are provided in Supplementary Figures 4.

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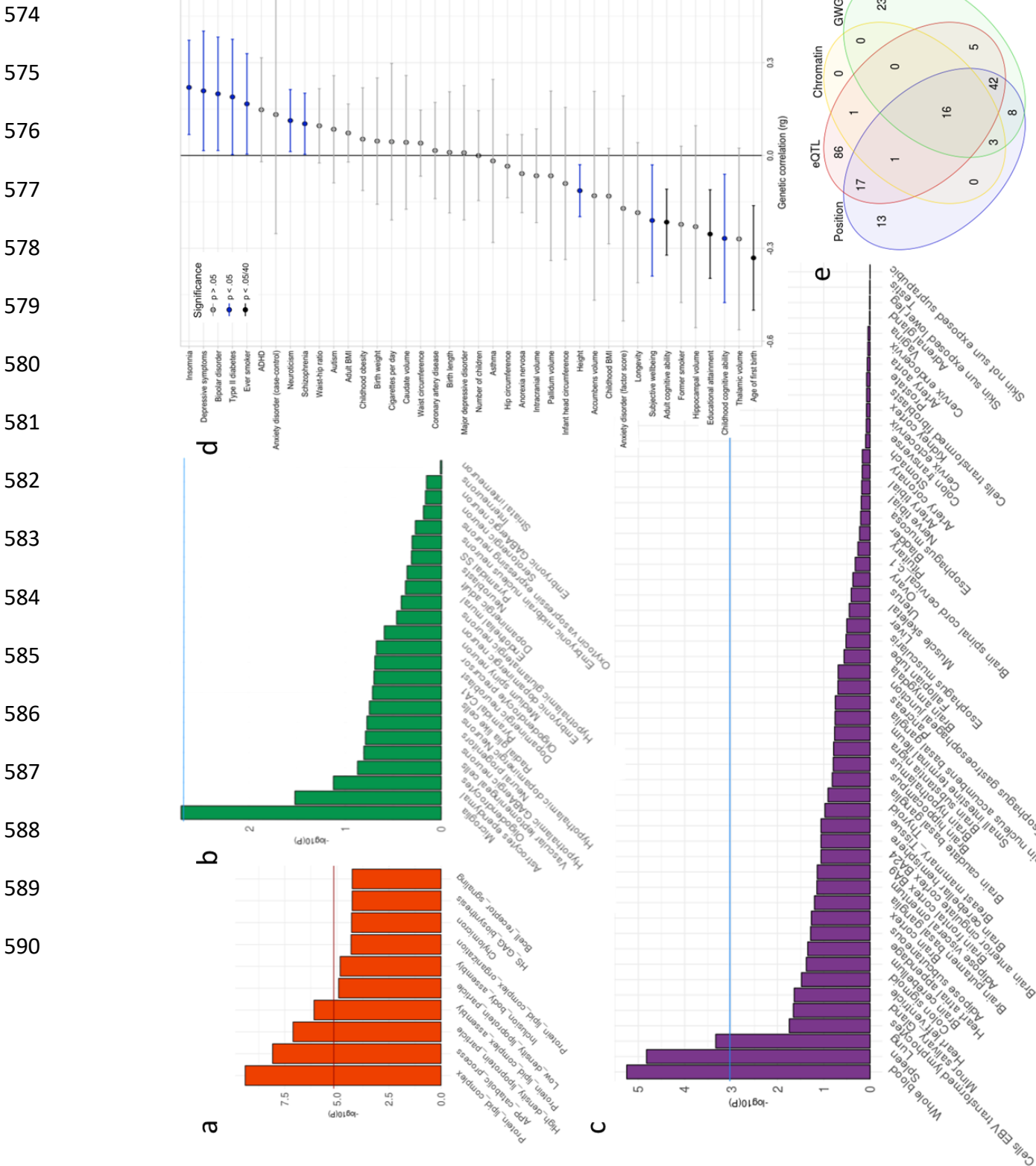
565





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566 **Figure 4. Functional implications based on gene-set analysis, genetic correlations and functional**  
 567 **annotations.** The gene-set results are displayed per category of biological mechanisms (A), brain cell-  
 568 types (B) and tissue types (C). The red horizontal lines indicates the significance threshold corrected for  
 569 all gene-set tests of all categories, while the blue horizontal lines display the significance threshold  
 570 corrected only for the number of tests within the three categories (i.e. gene-ontology, tissue expression,  
 571 single cell expression). (D) Genetic correlations between AD and other heritable traits. (E) Venn diagram  
 572 showing the number of genes mapped by four distinct strategies.  
 573



## GWAS META-ANALYSIS OF ALZHEIMER'S DISEASE RISK

### 591 **Online methods**

592

#### 593 1.1 Study Cohorts

##### 594 *1.1.1 PGC-ALZ cohorts*

595 Three non-public datasets (the Norwegian DemGene network, The Swedish Twin Studies of  
596 Aging and TwinGene) were meta-analyzed as part of the Alzheimer workgroup initiative of the  
597 Psychiatric Genomic Consortium (PGC-ALZ).

598 We collected genotype data from the Norwegian DemGene Network consisting of 2,224  
599 cases and 1,855 healthy controls. The DemGene Study is a Norwegian network of clinical sites  
600 collecting cases from Memory Clinics based on standardised examination of cognitive,  
601 functional and behavioural measures and data on progression of most patients. We diagnosed  
602 2,224 cases of AD from 7 studies: the Norwegian Register of persons with Cognitive Symptoms  
603 (NorCog), the Progression of Alzheimer's Disease and Resource use (PADR), the Dementia Study  
604 of Western Norway (DemVest), the AHUS study, the Dementia Study in Rural Northern Norway  
605 (NordNorge), HUNT Dementia Study and Nursing Home study, and the TrønderBrain study.  
606 These cases were diagnosed according to the recommendations from the National Institute on  
607 Aging–Alzheimer's Association (NIA/AA) (AHUS), the NINCDS-ADRDA criteria (DemVest and  
608 TrønderBrain) or the ICD-10 research criteria (NorCog, PADR, NordNorge and HUNT). The  
609 controls from Norway were obtained through the AHUS, NordNorge, HUNT and TrønderBrain  
610 studies. Controls were screened with standardized interview and cognitive tests. Genotypes of  
611 the 4079 individuals from the DemGene Study were obtained with Human Omni Express-24  
612 v.1.1 (Illumina Inc., San Diego, CA, USA) at deCODE Genetics (Reykjavik, Iceland). To increase

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613 the statistical power of our association analysis, the controls were combined with additional  
614 5786 population controls from Norwegian blood donor samples (Oslo University Hospital,  
615 Ullevål Hospital, Oslo) and controls from Thematically Organized Psychosis Research (TOP)  
616 Study<sup>27</sup> (between 25-65 years). Control subjects of TOP Study were of Caucasian origin without  
617 history of moderate/severe head injury, neurological disorder, mental retardation and were  
618 excluded if they or any of their close relatives had a lifetime history of a severe psychiatric  
619 disorder, a history of medical problems thought to interfere with brain function or significant  
620 illicit drug use.

621 The Swedish Twin Studies of Aging (STSA) (n cases = 398, n controls = 1079) includes  
622 three sub-studies of aging within the Swedish Twin Registry<sup>34</sup>: The Swedish Adoption/Twin  
623 Study of Aging (SATSA)<sup>35</sup>, Aging in Women and MEN (GENDER)<sup>36</sup>, and The Study of Dementia in  
624 Swedish Twins (HARMONY)<sup>37</sup>. Informed consent was obtained from all participants and the  
625 studies were approved by the Regional Ethics Board in Stockholm and the Institutional Review  
626 Board at the University of Southern California. DNA was extracted from blood samples and  
627 genotyped using Illumina Infinium PsychArray. Alzheimer's disease patients were diagnosed as  
628 part of the studies according to the NINCDS/ADRDA criteria<sup>38</sup>. In addition, information on  
629 disease after last study participation was retrieved from three population-based health care  
630 registers: The National Patient Register, the Causes of Death Register, and the Prescribed Drug  
631 Register.

632 TwinGene<sup>34</sup> is a population-based study of older twins drawn from the Swedish Twin  
633 Registry. Written informed consent was obtained from all participants and the study was  
634 approved by the Regional Ethics Board in Stockholm. DNA was extracted from blood samples

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635 and genotyped using Illumina Human OmniExpress for 1791 individuals. Information about  
636 Alzheimer's disease (n cases = 343, n controls = 9070) was extracted from the National Patient  
637 Register, the Causes of Death Register, and the Prescribed Drug Register, all of which are  
638 population-based health care registers with nationwide coverage.

639

### 640 *1.1.2 IGAP*

641 Publically available ([http://web.pasteur-lille.fr/en/recherche/u744/igap/igap\\_download.php](http://web.pasteur-lille.fr/en/recherche/u744/igap/igap_download.php))  
642 genome-wide association analysis results of the International Genomics of Alzheimer's Project  
643 (IGAP)<sup>4</sup> were included as one of the four cohorts that were meta-analysed in our effort. IGAP is  
644 a large two-stage study based upon genome-wide association studies (GWAS) on individuals of  
645 European ancestry. We focused on results of stage 1, for which IGAP used genotyped and  
646 imputed data of 7,055,881 single nucleotide polymorphisms (SNPs) to meta-analyse four  
647 previously-published GWAS datasets consisting of 17,008 Alzheimer's disease cases and 37,154  
648 controls (The European Alzheimer's disease Initiative – EADI the Alzheimer Disease Genetics  
649 Consortium – ADGC The Cohorts for Heart and Aging Research in Genomic Epidemiology  
650 consortium – CHARGE The Genetic and Environmental Risk in AD consortium – GERAD). As the  
651 purpose of stage 2 (2, 11,632 SNPs were genotyped and tested for association in an  
652 independent set of 8,572 Alzheimer's disease cases and 11,312 controls) was replication of the  
653 significantly associated loci of stage 1, we limited the inclusion of the summary statistics for our  
654 own analyses to stage 1. Written informed consent was obtained from study participants or, for  
655 those with substantial cognitive impairment, from a caregiver, legal guardian or other proxy,

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656 and the study protocols for all populations were reviewed and approved by the appropriate  
657 institutional review boards.

658

### 659 *1.1.3 ADSP*

660 The Alzheimer's Disease Sequencing Project (ADSP) collaboration has the aim to identify novel  
661 genetic factors that contribute to AD risk by studying genetic sequencing data. ADSP has made  
662 their sequencing data available through the Genotypes and Phenotypes database (dbGaP) under  
663 the study accession: phs000572.v7.p ([https://www.ncbi.nlm.nih.gov/projects/gap/cgi-](https://www.ncbi.nlm.nih.gov/projects/gap/cgi-bin/study.cgi?study_id=phs000572.v1.p1)  
664 [bin/study.cgi?study\\_id=phs000572.v1.p1](https://www.ncbi.nlm.nih.gov/projects/gap/cgi-bin/study.cgi?study_id=phs000572.v1.p1)). We have obtained access to 10,907 individuals  
665 (5,771 cases, 5,136 controls) with whole-exome sequencing data to include as the second  
666 cohort within our meta-analysis. A substantial proportion of the ADSP individuals were  
667 previously also included in IGAP. We applied two strategies to prevent inflated meta-analysis  
668 results due to sample overlap: (1) exclusion of ADSP individuals that were duplicates based on  
669 genotype data comparison of individual level genetic data between IGAP and ADSP, (2) perform  
670 meta-analysis while correcting for cross-study LD score regression intercept (see section 1.4.).  
671 To accomplish the first approach we obtained access for all IGAP datasets for which individual  
672 level genotype data was available through dbGaP (phs000160.v1.p1 - [https://](https://www.ncbi.nlm.nih.gov/projects/gap/cgi-bin/study.cgi?study_id=phs000160.v1.p1)  
673 [www.ncbi.nlm.nih.gov/projects/gap/cgi-bin/study.cgi?study\\_id=](https://www.ncbi.nlm.nih.gov/projects/gap/cgi-bin/study.cgi?study_id=phs000160.v1.p1) phs000160.v1.p1;  
674 phs000219.v1.p1 - [https://www.ncbi.nlm.nih.gov/projects/gap/cgi-](https://www.ncbi.nlm.nih.gov/projects/gap/cgi-bin/study.cgi?study_id=phs000219.v1.p1)  
675 [bin/study.cgi?study\\_id=phs000219.v1.p1](https://www.ncbi.nlm.nih.gov/projects/gap/cgi-bin/study.cgi?study_id=phs000219.v1.p1); phs000372.v1.p1 -  
676 [https://www.ncbi.nlm.nih.gov/projects/gap/cgi-bin/study.cgi?study\\_id=phs000372.v1.p1](https://www.ncbi.nlm.nih.gov/projects/gap/cgi-bin/study.cgi?study_id=phs000372.v1.p1);  
677 phs000168.v2.p2 - [https://www.ncbi.nlm.nih.gov/projects/gap/cgi-bin/study.cgi?study\\_id=](https://www.ncbi.nlm.nih.gov/projects/gap/cgi-bin/study.cgi?study_id=phs000168.v2.p2)

## GWAS META-ANALYSIS OF ALZHEIMER'S DISEASE RISK

678 phs000168.v2.p2; phs000234.v1.p1 - [https://www.ncbi.nlm.nih.gov/projects/gap/cgi-](https://www.ncbi.nlm.nih.gov/projects/gap/cgi-bin/study.cgi?study_id=phs000234.v1.p1)  
679 [bin/study.cgi?](https://www.ncbi.nlm.nih.gov/projects/gap/cgi-bin/study.cgi?study_id=phs000234.v1.p1) study\_id=phs000234.v1.p1 or NIAGADS (NG00026 -  
680 <https://www.niagads.org/datasets/ng00026>; NG00028 -  
681 <https://www.niagads.org/datasets/ng00028>; NG00029 - [https://www.niagads.org/](https://www.niagads.org/datasets/ng00029)  
682 [datasets/ng00029](https://www.niagads.org/datasets/ng00029); NG00031 - <https://www.niagads.org/datasets/ng00030> ; NG00031 -  
683 <https://www.niagads.org/datasets/ng00031>; NG00034 -  
684 <https://www.niagads.org/datasets/ng00034>). By calculating identity-by-descent using PLINK<sup>39</sup>,  
685 we identified duplicates, which were excluded from the ADSP WES dataset for subsequent  
686 analyses.

687

### 688 1.1.1 UK Biobank study

689 The current study used data from the UK Biobank<sup>40</sup> (UKB; [www.ukbiobank.ac.uk](http://www.ukbiobank.ac.uk)), a large  
690 population-based cohort that includes over 500,000 participants and aims to improve insight  
691 into a wide variety of health-related determinants and outcomes across the UK. Between 2006  
692 and 2010, approximately 9.2 million invitations to participate in the study were sent to  
693 individuals aged 40-69 years who were registered with the National Health Service (NHS) and  
694 were living within 25 miles from one of the 22 study research centers. In total, 503,325  
695 participants were recruited in the study, from which we used a subsample of individuals of  
696 European ancestry with available phenotypic and genotypic data ( $M$  age = 56.5, 54.0% female),  
697 described in more detail below. Besides phenotypic information obtained from the NHS  
698 registries and associated medical records, participants completed an in-person visit at one of  
699 the study research centers where extensive self-report data were collected by questionnaire in

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700 addition to anthropometric assessments, DNA collection from blood samples, and magnetic  
701 resonance imaging of body and brain. All participants provided written informed consent; the  
702 UKB received ethical approval from the National Research Ethics Service Committee North  
703 West-Haydock (reference 11/NW/0382), and all study procedures were in accordance with the  
704 World Medical Association for medical research. Access to the UK Biobank data was obtained  
705 under application number 16406.

706

### 707 1.2 UKB by proxy phenotype

708 A proxy phenotype for Alzheimer's disease case-control status in UKB was assessed as part of  
709 the self-report questionnaire administered during the in-person assessment. Participants were  
710 asked to report whether their biological mother or father ever suffered from Alzheimer's  
711 disease/dementia, and to report each parent's current age (or age at death, if applicable). Of  
712 376,113 individuals in our analytic subsample who completed these questions, a diagnosis was  
713 reported for 32,327 mothers (8.6%) and 17,014 fathers (4.5%), resulting in 47,793 participants  
714 (12.7%) with one or both parents affected. We created a proxy phenotype from these questions  
715 to index genetic risk for Alzheimer's based on parents' diagnoses. The phenotype was  
716 constructed as a linear count of the number of affected biological parents (0, 1, or 2). The  
717 contribution for each unaffected parent to this count was weighted by the parent's age/age at  
718 death to account for the fact that they may not yet have passed through the period of risk for  
719 this late-onset disease. Specifically, each affected parent contributed one full unit of "risk" to  
720 the count, while each unaffected parent contributed a proportion of one unit of "risk" inversely  
721 related to their age. This was calculated as the ratio of parent's age to age 100 (approximately

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722 the 95th percentile for life expectancy in developed countries, such that  $\text{weight}=(100-\text{age})/100$ .  
723 The weight for unaffected parents was capped at 0.32, corresponding to a risk equivalent to  
724 that of the maximum population prevalence of AD.<sup>41</sup> The phenotype thus ranged from  
725 approximately 0-2, with values near zero when both parents were unaffected (lower for older  
726 parents and possible values below zero if both parents were over age 100) and values of two  
727 when both parents were affected. Participants who were uncertain or chose not to answer  
728 questions about either parent's disease status or age were excluded from analyses, resulting in  
729 a final N=364,859.

730 Additional information on Alzheimer's disease risk was obtained from national medical  
731 records linked to participant data. This information pertained to the participants themselves  
732 (not their parents), and was extracted from hospital records obtained between 1996 and the  
733 present or from national death registries in the case of participants who passed away after  
734 initial enrolment in the study, as described in more detail in the UKB resources  
735 (<http://biobank.ctsu.ox.ac.uk/crystal/refer.cgi?id=146641>;  
736 <http://biobank.ctsu.ox.ac.uk/crystal/refer.cgi?id=115559>). Briefly, primary and secondary  
737 diagnoses from inpatient hospital stays and primary and secondary causes of death from death  
738 records were recorded using ICD-10 codes. Participants with a diagnosis of "Alzheimer's  
739 disease" (diseases of the nervous system chapter; code G30) or "Dementia in Alzheimer's  
740 disease" (mental and behavioral disorders chapter; code F00) from any record of a hospital stay  
741 or as a cause of death were treated as Alzheimer's cases as given the maximum possible "risk"  
742 score of 2, regardless of affectation status of their parents. The reported rate of Alzheimer's in  
743 parents of cases (27.4%) was more than double that of non-cases (12.7%;  $\chi^2(1)=71.7$ ,  $P=2.45E-$



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744 17). There were 393 individuals in the analytic subsample classified as affected by these  
745 records; due to the small number of cases and the limited representativeness of these types of  
746 health records, we use this information to supplement the proxy parent phenotype rather than  
747 as a primary outcome. This information reduces the possibility of misclassification in the proxy  
748 phenotype method, and also allows us to evaluate the performance of the proxy phenotype  
749 method.

750

### 751 1.3 Genome-wide association analysis

752 Except for IGAP (obtained summary statistics), we performed genome-wide association  
753 analyses for the ADSP, PGC-ALZ and UKB cohorts. For the UKB dataset, quality control and  
754 imputation procedures were slightly different, and therefore described separately in the  
755 sections below.

756

#### 757 *1.3.1a Quality control and imputation procedures for ADSP and PGC-ALZ datasets*

758 Prior to individual quality control steps, all datasets were filtered on a max missingness of 5%.  
759 Individuals were excluded when identified as a low quality sample (individual call rate < 0.98),  
760 heterozygosity outlier ( $F \pm 0.20$ ), gender mismatch (females:  $F > 0.2$ , males:  $F < 0.2$ ) when  
761 comparing phenotypic and genotypic data, population outlier (defined by principal component  
762 boundaries of 1000 Genomes European samples) or being related ( $PI\_HAT > 0.2$ ). Inclusion  
763 criteria for variants encompassed a call rate > 0.98, a case-control missingness difference <  
764 0.02, a Hardy-Weinberg equilibrium  $p$ -value <  $10e-6$  for controls ( $<10e-10$  for cases) and a valid  
765 association  $p$ -value (excluding the variants with low allele frequencies).

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766 Pre-imputation, the ADSP and PGC-ALZ datasets were checked for palindromic variants  
767 with allele frequency close to 0.5, incorrect reference allele definitions, false strand designation  
768 and extreme deviations from expected allele frequencies. Subsequently the ADSP and PGC-ALZ  
769 datasets were imputed with the 1000 Genomes Phase 3<sup>42</sup> reference panel. The reported SNPs  
770 all have a considerable imputation quality (INFO score>0.591) and variants with a low allele  
771 frequency (MAF<0.01) were excluded, resulting in a total of 7508 individuals (4343 cases and  
772 3165 controls) and 260,934 variants for the ADSP cohort and 17477 individuals (2,736 cases and  
773 14,471 controls) and 9,629,492 variants for the PGC-ALZ cohort.

774

### 775 *1.3.1b Quality control and imputation for UKB dataset*

776 We used second-release genotype data that were made available by UKB in July 2017.  
777 Genotype data collection and processing are described by the UKB in a previous overview  
778 paper<sup>43</sup>. DNA was extracted from blood samples and genotyping was completed for 488,366  
779 individuals on one of two Affymetrix genotyping arrays with custom content, the UK BiLEVE  
780 Axiom array (n=49,949) or UK Biobank Axiom array (n=438,417), covering 812,428 genetic  
781 markers common to both arrays. Of these, 488,377 individuals and 805,426 markers passed  
782 genotype quality control checks conducted by UKB (see  
783 <http://www.biorxiv.org/content/early/2017/07/20/166298> for details). Samples were excluded  
784 for low DNA concentration, call rate < 95%, excess heterozygosity, sex chromosome  
785 abnormality, or sample duplication. Variants were excluded if they exhibited poor clustering of  
786 allele calls, batch, plate, array, or sex effects, departures from HWE, or discordance between  
787 technical replicate samples.

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788           After quality control, samples were imputed to approximately 92 million SNPs using  
789 both the reference panel of the Haplotype Reference Consortium (HRC)<sup>44</sup> as well as a combined  
790 reference panel of the 1000 Genomes Project<sup>42</sup> and UK10K. As recommended by UKB, we  
791 removed variants that were not imputed on the HRC reference panel due to technical errors in  
792 the imputation process of the combined panel. We converted imputed variants to hard calls  
793 (certainty > 0.9), filtered by imputation quality (INFO score >0.9), and excluded multi-allelic  
794 SNPs, indels, SNPs without unique rsID, and SNPs with minor allele frequency (MAF) <0.0001,  
795 resulting in 10,847,151 SNPs available for analysis.

796           For the present study, we selected unrelated individuals of European ancestry. To  
797 empirically determine ancestry, we projected genetic principal components from known  
798 ancestral populations in the 1000 Genomes Project onto the UKB genotypes and assigned  
799 individuals to the continental ancestral superpopulation with the closest Mahalanobis  
800 distance.<sup>45</sup> Within-ancestry principal components were created using FlashPCA2<sup>46</sup> to correct for  
801 any residual population stratification within the European ancestry subset. Unrelated  
802 individuals (less than 3rd degree relatives, as indicated by genomic relatedness coefficients  
803 calculated by UKB) were selected by sequentially removing participants with the greatest  
804 number of relatives until no related pairs remained. After applying these filtering criteria and  
805 removing any participants with missing phenotypic or covariate data and participants who  
806 withdrew consent, 364,859 individuals remained for analysis in the UKB sample.

807

808 *1.3.2 Single-marker association analysis*

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809 Genome-wide association analysis (GWAS) for the ADSP, PGC-ALZ and UKB datasets was  
810 performed in PLINK<sup>39</sup>, using logistic regression for dichotomous phenotypes (cases versus  
811 controls for ADSP and PGC-ALZ cohorts), and linear regression for phenotypes analysed as  
812 continuous outcomes (by proxy parental AD phenotype for UKB cohort). For the ADSP and PGC-  
813 ALZ cohorts, association tests were adjusted for gender, batch (if applicable), and the first 4  
814 principal components. Twenty principal components were calculated, and depending on the  
815 dataset being tested, additional principal components (on top of the standard inclusion of 4  
816 PCAs) were added if significantly associated to the phenotype. Furthermore, for the PGC-ALZ  
817 cohorts age was included as a covariate. For 4,537 controls of the DemGene cohort, no detailed  
818 age information was available, besides the age range the subjects were in (20-45 years). We  
819 therefore set the age of these individuals conservatively to 20 years. For the ADSP dataset, age  
820 was not included as a covariate due to the enrichment for older controls (mean age cases =  
821 73.1 years (SE=7.8); mean age controls = 86.1 years (SE=4.5)) in their collection procedures.  
822 Correcting for age in ADSP would remove a substantial part of genuine association signals (e.g.  
823 well-established *APOE* locus rs11556505 is strongly associated to AD ( $P=1.08 \times 10^{-99}$ ), which is  
824 lost when correcting for age ( $P=0.0054$ ). For the UKB dataset, 12 components were included as  
825 covariates, as well as age, sex, genotyping array, and assessment centre. We used the genome-  
826 wide threshold for significance of  $P < 5 \times 10^{-8}$ ).

827

### 828 1.3.3 Multivariate genome-wide meta-analysis

829 Two meta-analyses were performed, including: 1) cohorts with case-control phenotypes (IGAP,  
830 ADSP and PGC-ALZ datasets), 2) all cohorts, also including the by proxy phenotype of UKB.

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831 The per SNP test statistics is defined by

832

$$833 \quad Z_k = \frac{\sum_i w_i Z_i}{\sqrt{\sum_i w_i^2 + \sum_i \sum_j w_i w_j |CTI_{ij}| (i \neq j)}} \\ 834$$

835 where  $w_i$  and  $Z_i$  are squared root of the sample size and the test statistics of SNP  $k$  of cohort  $i$ ,  
836 respectively. CTI is cross trait LD score intercept estimated by LDSC using genome-wide  
837 summary statistics as

$$838 \quad CTI_{ij} = \frac{N_{sij} \rho_{ij}}{\sqrt{N_i N_j}} \\ 839$$

840 where  $N_{sij}$  and  $r_{ij}$  are overlapping samples and phenotypic correlation between cohort  $i$  and  $j$ ,  
841 respectively.<sup>13</sup> Test statistics per SNP per GWAS was converted from P-value by taking sign of  
842 either beta or odds ratio. When direction is aligned the conversion is two-sided. To avoid  
843 infinite value, we replaced P-value 1 with 0.999999 and P-value  $< 1e-323$  to  $1e-323$  (the  
844 minimum  $>0$  value in Python).

845 The effective sample size ( $N_{eff}$ ) is computed for each SNP  $k$  from the matrix  $M$ ,  
846 containing the sample size  $N_i$  of each cohort  $i$  on the diagonal and the estimated number of  
847 shared data points  $N_{sij} \times \rho_{ij} = CTI_{ij} \times \sqrt{N_i N_j}$  for each pair of cohorts  $i$  and  $j$  as the off-diagonal  
848 values.  $N_{eff}$  is computed recursively as follows. Starting with the first cohort in  $M$ ,  $N_{eff}$  is first  
849 increased by  $M_{1,1}$ , corresponding to the sample size of that cohort. The proportion of samples  
850 shared between cohort 1 and each other cohort  $j$  is then computed as  $p_{1,j} = M_{1,j}/M_{j,j}$ , and  $M$  is  
851 then adjusted to remove this overlap, multiplying all values in each column  $j$  by  $1-p_{1,j}$ . This  
852 amounts to reducing the sample size of each other cohort  $j$  by the number of samples it shares

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853 with cohort 1, and reducing the shared samples between cohort  $j$  and subsequent cohorts by  
854 the same proportion. After this, the first row and column of  $M$  are discarded, and the same  
855 process is applied to the new  $M$  matrix. This is repeated until  $M$  is empty. The script for the  
856 multivariate GWAS is available from <https://github.com/Kyoko-wtnb/mvGWAMA>.

857

### 858 1.5 Replication of meta-analysis result in Icelandic sample

859 The study group included 6,593 Alzheimer's disease cases (4,923 of whom were chip-typed) and  
860 174,289 controls (88,581 of whom were chip-typed). In 16% of patients, the diagnosis of  
861 Alzheimer's disease was established according to the criteria for definite, probable, or possible  
862 Alzheimer's disease of the National Institute of Neurological and Communicative Disorders and  
863 Stroke and the Alzheimer's Disease and Related Disorders Association (NINCDS-ADRDA). In 77%  
864 of patients, the diagnosis was established according to the criteria for code 331.0 in ICD-9, or  
865 for F00 and G30 in ICD-10. Seven percent of the patients were identified in the Directorate of  
866 Health medication database as having been prescribed Donepezil (Aricept), a palliative  
867 treatment for Alzheimer's disease. The controls were drawn from various research projects at  
868 deCODE Genetics, excluding those in whom Alzheimer's disease had been diagnosed.

869 The study was approved by the National Bioethics Committee and the Icelandic Data Protection  
870 Authority. Written informed consent was obtained from all participants or their guardians  
871 before blood samples were drawn. All sample identifiers were encrypted in accordance with  
872 the regulations of the Icelandic Data Protection Authority.

873 Chip-typing and long-range phasing of 155,250 individuals was carried out as described  
874 previously.<sup>20</sup> Imputation of the variants found in 28,075 whole-genome sequenced individuals

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875 into the chip-typed individuals and 285,664 close relatives was performed as detailed earlier.<sup>20</sup>  
876 Association analysis was carried out using logistic regression with Alzheimer's disease status as  
877 the response and genotype counts and a set of nuisance variables including sex, county of birth,  
878 and current age as predictors.<sup>21</sup> Correction for inflation of test statistics due to relatedness and  
879 population stratification was performed using the intercept estimate from LD score regression  
880 (1.29) as described in.<sup>13</sup>

881

### 882 1.6 Genomic risk loci definition

883 We used FUMA<sup>25</sup>, an online platform for functional mapping and annotation of genetic variants,  
884 to define genomic risk loci and obtain functional information of relevant SNPs in these loci. We  
885 first identified independent significant SNPs that have a genome-wide significant P-value  
886 ( $<5 \times 10^{-8}$ ) and are independent from each other at  $r^2 < 0.6$ . These SNPs were further represented  
887 by lead SNPs, which are a subset of the independent significant SNPs that are in approximate  
888 linkage equilibrium with each other at  $r^2 > 0.6$ . We then defined associated genomic risk loci by  
889 merging any physically overlapping lead SNPs (LD blocks  $< 250$ kb apart). Borders of the genomic  
890 risk loci were defined by identifying all SNPs in LD ( $r^2 > 0.6$ ) with one of the independent  
891 significant SNPs in the locus, and the region containing all these candidate SNPs was considered  
892 to be a single independent genomic risk locus. LD information was calculated using the UK  
893 Biobank genotype data as a reference.

894

### 895 1.7 Cohort Heritability and Genetic Correlation

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896 LD score regression<sup>13</sup> was used to estimate genomic inflation and heritability of the AD in each  
897 of the 7 cohorts (PGC-ALZ, ADSP, IGAP, UKB, DemGene, STSA, TwinGene) using their post-  
898 quality control summary statistics, and to estimate the cross-cohort genetic correlations.<sup>47</sup> Pre-  
899 calculated LD scores from the 1000 Genomes European reference population were obtained  
900 from <https://data.broadinstitute.org/alkesgroup/LDSCORE/>. Genetic correlations were  
901 calculated on HapMap3 SNPs only. LD score regression was also used on the case-control and  
902 by-proxy phenotype result to estimate heritability and genetic correlations for the two  
903 phenotype definitions.

904

### 905 1.8 Polygenic risk scoring

906 We calculated polygenic scores (PGS) based on the SNP effect sizes of meta-analyses. PGS were  
907 calculated using an independent genotype dataset of 761 individuals (379 cases and 382  
908 controls) from the ADDNeuroMed study.<sup>48</sup> The same QC and imputation approach was applied  
909 as for the other datasets with genotype-level data (see Method section 1.3.1a). PRSice PGS  
910 were calculated on hard-called imputed genotypes using *P*-value thresholds from 0.0 to 0.5 in  
911 steps ranging from  $5 \times 10^{-8}$  to 0.001. The explained variance ( $\Delta R^2$ ) was derived from a linear  
912 model in which the AD phenotype was regressed on each PGS while controlling for the same  
913 covariates as in each cohort-specific GWAS, compared to a linear model with GWAS covariates  
914 only.

915

### 916 1.9 Stratified Heritability



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917 To test whether specific categories of SNP annotations were enriched for heritability, we  
918 partitioned SNP heritability for binary annotations using stratified LD score regression  
919 (<https://github.com/bulik/ldsc>)<sup>13</sup>. Heritability enrichment was calculated as the proportion of  
920 heritability explained by a SNP category divided by the proportion of SNPs that are in that  
921 category. Partitioned heritability was computed by 28 functional annotation categories, by  
922 minor allele frequency (MAF) in six percentile bins and by 22 chromosomes. Annotations for  
923 binary categories of functional genomic characteristics (e.g. coding or regulatory regions) were  
924 obtained from the LD score website (<https://github.com/bulik/ldsc>). The Bonferroni-corrected  
925 significance threshold for 56 annotations was set at:  $P < 0.05/56 = 8.93 \times 10^{-4}$ .

926

### 927 1.10 Functional Annotation of SNPs

928 Functional annotation of SNPs implicated in the meta-analysis was performed using FUMA<sup>25</sup>  
929 (<http://fuma.ctglab.nl/>). We selected all *candidate SNPs* in associated genomic loci having an  
930  $r^2 \geq 0.6$  with one of the independent significant SNPs (see above), a *P*-value ( $P < 1 \times 10^{-8}$ ) and a  
931 MAF > 0.0001 for annotations. Functional consequences for these SNPs were obtained by  
932 matching SNPs' chromosome, base-pair position, and reference and alternate alleles to  
933 databases containing known functional annotations, including ANNOVAR<sup>49</sup> categories,  
934 Combined Annotation Dependent Depletion (CADD) scores<sup>23</sup>, RegulomeDB<sup>50</sup> (RDB) scores, and  
935 chromatin states<sup>51,52</sup>. ANNOVAR annotates functional consequence of SNPs on genes (e.g.  
936 intron, exon, intergenic). CADD scores predict how deleterious the effect of a SNP with higher  
937 scores referring to higher deleteriousness. A CADD score above 12.37 is the threshold to be  
938 potentially pathogenic<sup>53</sup>. The RegulomeDB score is a categorical score based on information

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939 from expression quantitative trait loci (eQTLs) and chromatin marks, ranging from 1a to 7 with  
940 lower scores indicating an increased likelihood of having a regulatory function. Scores are as  
941 follows: 1a=eQTL + Transcription Factor (TF) binding + matched TF motif + matched DNase  
942 Footprint + DNase peak; 1b=eQTL + TF binding + any motif + DNase Footprint + DNase peak;  
943 1c=eQTL + TF binding + matched TF motif + DNase peak; 1d=eQTL + TF binding + any motif +  
944 DNase peak; 1e=eQTL + TF binding + matched TF motif; 1f=eQTL + TF binding / DNase peak;  
945 2a=TF binding + matched TF motif + matched DNase Footprint + DNase peak; 2b=TF binding +  
946 any motif + DNase Footprint + DNase peak; 2c=TF binding + matched TF motif + DNase peak;  
947 3a=TF binding + any motif + DNase peak; 3b=TF binding + matched TF motif; 4=TF binding +  
948 DNase peak; 5=TF binding or DNase peak; 6=other;7=None. The chromatin state represents the  
949 accessibility of genomic regions (every 200bp) with 15 categorical states predicted by a hidden  
950 Markov model based on 5 chromatin marks for 127 epigenomes in the Roadmap Epigenomics  
951 Project<sup>39</sup>. A lower state indicates higher accessibility, with states 1-7 referring to open  
952 chromatin states. We annotated the minimum chromatin state across tissues to SNPs. The 15-  
953 core chromatin states as suggested by Roadmap are as follows: 1=Active Transcription Start Site  
954 (TSS); 2=Flanking Active TSS; 3=Transcription at gene 5' and 3'; 4=Strong transcription; 5= Weak  
955 Transcription; 6=Genic enhancers; 7=Enhancers; 8=Zinc finger genes & repeats;  
956 9=Heterochromatic; 10=Bivalent/Poised TSS; 11=Flanking Bivalent/Poised TSS/Enh; 12=Bivalent  
957 Enhancer; 13=Repressed PolyComb; 14=Weak Repressed PolyComb; 15=Quiescent/Low.  
958

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### 959 1.11 Gene-mapping

960 Genome-wide significant loci obtained by GWAS were mapped to genes in FUMA<sup>25</sup> using three  
961 strategies:

962 1. Positional mapping maps SNPs to genes based on physical distance (within a 10kb  
963 window) from known protein coding genes in the human reference assembly  
964 (GRCh37/hg19).

965 2. eQTL mapping maps SNPs to genes with which they show a significant eQTL association  
966 (i.e. allelic variation at the SNP is associated with the expression level of that gene).  
967 eQTL mapping uses information from 45 tissue types in 3 data repositories (GTEx<sup>54</sup>,  
968 Blood eQTL browser<sup>55</sup>, BIOS QTL browser<sup>56</sup>), and is based on cis-eQTLs which can map  
969 SNPs to genes up to 1Mb apart. We used a false discovery rate (FDR) of 0.05 to define  
970 significant eQTL associations.

971 3. Chromatin interaction mapping was performed to map SNPs to genes when there is a  
972 three-dimensional DNA-DNA interaction between the SNP region and another gene  
973 region. Chromatin interaction mapping can involve long-range interactions as it does not  
974 have a distance boundary. FUMA currently contains Hi-C data of 14 tissue types from  
975 the study of Schmitt et al<sup>57</sup>. Since chromatin interactions are often defined in a certain  
976 resolution, such as 40kb, an interacting region can span multiple genes. If a SNPs is  
977 located in a region that interacts with a region containing multiple genes, it will be  
978 mapped to each of those genes. To further prioritize candidate genes, we selected only  
979 genes mapped by chromatin interaction in which one region involved in the interaction  
980 overlaps with a predicted enhancer region in any of the 111 tissue/cell types from the

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981 Roadmap Epigenomics Project<sup>52</sup> and the other region is located in a gene promoter  
982 region (250bp up and 500bp downstream of the transcription start site and also  
983 predicted by Roadmap to be a promoter region). This method reduces the number of  
984 genes mapped but increases the likelihood that those identified will have a plausible  
985 biological function. We used a FDR of  $1 \times 10^{-5}$  to define significant interactions, based on  
986 previous recommendations<sup>44</sup> modified to account for the differences in cell lines used  
987 here.

988

### 989 1.12 Gene-based analysis

990 To account for the distinct types of genetic data in this study, genotype array (PGC-ALZ, IGAP,  
991 UKB) and whole-exome sequencing data (ADSP), we first performed two gene-based genome-  
992 wide association analysis (GWGAS) using MAGMA<sup>28</sup>, followed by a meta-analysis. SNP-based P-  
993 values from the meta-analysis of the 3 genotype-array-based datasets were used as input for  
994 the first GWGAS, while the unimputed individual-level sequence data of ADSP was used as  
995 input for the second GWGAS. 18,233 protein-coding genes (each containing at least one SNP in  
996 the GWAS) from the NCBI 37.3 gene definitions were used as basis for GWGAS in MAGMA.  
997 Bonferroni correction was applied to correct for multiple testing ( $P < 2.74 \times 10^{-6}$ ).

998

### 999 1.13 Gene-set analysis

1000 Results from the GWGAS analyses were used to test for association in three types of 7,087  
1001 predefined gene-sets:

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- 1002 1. 6,994 curated gene-sets representing known biological and metabolic pathways derived  
1003 from Gene Ontology (5917 gene-sets), Biocarta (217 gene-sets), KEGG (186 gene-sets),  
1004 Reactome (674 gene-sets) catalogued by and obtained from the MsigDB version 6.1<sup>58</sup>  
1005 (<http://software.broadinstitute.org/gsea/msigdb/collections.jsp>)
- 1006 2. Gene expression values from 54 (53 + 1 calculated 1<sup>st</sup> PC of three tissue subtypes)  
1007 tissues obtained from GTEx<sup>54</sup>, log<sub>2</sub> transformed with pseudocount 1 after winsorization  
1008 at 50 and averaged per tissue.
- 1009 3. Cell-type specific expression in 173 types of brain cells (24 broad categories of cell types,  
1010 'level 1' and 129 specific categories of cell types 'level 2'), which were calculated  
1011 following the method described in<sup>30</sup>. Briefly, brain cell-type expression data was drawn  
1012 from single-cell RNA sequencing data from mouse brains. For each gene, the value for  
1013 each cell-type was calculated by dividing the mean Unique Molecular Identifier (UMI)  
1014 counts for the given cell type by the summed mean UMI counts across all cell types.  
1015 Single-cell gene-sets were derived by grouping genes into 40 equal bins based on  
1016 specificity of expression.
- 1017 4. Nucleus specific gene expression of 15 distinct human brain cell-types of study  
1018 described in<sup>59</sup>. The value for each cell-type was calculated with the same method as  
1019 explained in point 3 above.

1020 These gene-sets were tested using MAGMA. We computed competitive *P*-values, which  
1021 represent the test of association for a specific gene-set compared with genes not in the gene-  
1022 set to correct for baseline level of genetic association in the data. The Bonferroni-corrected  
1023 significance threshold was  $0.05/7,087 \text{ gene-sets} = 7.06 \times 10^{-6}$ . The suggestive significance

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1024 threshold was defined by the number of tests within the category. Conditional analyses were  
1025 performed as a follow-up using MAGMA to test whether each significant association observed  
1026 was independent of all others and of *APOE* (a gene-set including all genes within genomic  
1027 region chr19:45,020,859-45,844,508). Furthermore, the association between each of the  
1028 significant gene-set was tested conditional on each of the other significantly associated gene-  
1029 sets. Gene-sets that retained their association after correcting for other sets were considered to  
1030 represent independent signals. We note that this is not a test of association per se, but rather a  
1031 strategy to identify, among gene-sets with known significant associations and overlap in genes,  
1032 which set (s) are responsible for driving the observed association.

1033

### 1034 1.14 Cross-Trait Genetic Correlation

1035 Genetic correlations ( $r_g$ ) between AD and 41 phenotypes were computed using LD score  
1036 regression<sup>13</sup>, as described above, based on GWAS summary statistics obtained from publicly  
1037 available databases (<http://www.med.unc.edu/pgc/results-and-downloads>; [http://](http://ldsc.broadinstitute.org/)  
1038 [ldsc.broadinstitute.org/](http://ldsc.broadinstitute.org/); **Supplementary Table 19**). The Bonferroni-corrected significance  
1039 threshold was  $0.05/41 \text{ traits} = 1.22 \times 10^{-3}$ .

1040

### 1041 1.15 Mendelian Randomisation

1042 To infer credible causal associations between AD and traits that are genetically correlated with  
1043 AD, we performed Generalised Summary-data based Mendelian Randomisation<sup>29</sup> (GSMR;  
1044 <http://cnsgenomics.com/software/gsmr/>). This method utilizes summary-level data to test for  
1045 putative causal associations between a risk factor (exposure) and an outcome by using

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1046 independent genome-wide significant SNPs as instrumental variables as an index of the  
1047 exposure. HEIDI-outlier detection was used to filter genetic instruments that showed clear  
1048 pleiotropic effects on the exposure phenotype and the outcome phenotype. We used a  
1049 threshold p-value of 0.01 for the outlier detection analysis in HEIDI which removes 1% of SNPs  
1050 by chance if there is no pleiotropic effect. To test for a potential causal effect of various  
1051 outcomes on risk for AD, we selected phenotypes in non-overlapping samples that showed  
1052 (suggestive) significant ( $P < 0.05$ ) genetic correlations ( $r_g$ ) with AD. With this method it is typical  
1053 to test for bi-directional causation by repeating the analyses while switching the role of the  
1054 exposure and the outcome; however, because AD is a late-onset disease, it makes little sense to  
1055 estimate its causal effect on outcomes that develop earlier in life, particularly when the  
1056 summary statistics for these outcomes were derived mostly from younger samples than those  
1057 of AD cases. Therefore, we conducted these analyses only in one direction. For genetically  
1058 correlated phenotypes, we selected independent ( $r^2 < 0.1$ ), GWS lead SNPs as instrumental  
1059 variables in the analyses. The method estimates a putative causal effect of the exposure on the  
1060 outcome ( $b_{xy}$ ) as a function of the relationship between the SNPs' effects on the exposure ( $b_{zx}$ )  
1061 and the SNPs' effects on the outcome ( $b_{zy}$ ), given the assumption that the effect of non-  
1062 pleiotropic SNPs on an exposure (x) should be related to their effect on the outcome (y) in an  
1063 independent sample only via mediation through the phenotypic causal pathway ( $b_{xy}$ ). The  
1064 estimated causal effect coefficients ( $b_{xy}$ ) are approximately equal to the natural log odds ratio  
1065 (OR)<sup>29</sup> for a case-control trait. An OR of 2 can be interpreted as a doubled risk compared to the  
1066 population prevalence of a binary trait for every SD increase in the exposure trait. For  
1067 quantitative traits the  $b_{zx}$  and  $b_{zy}$  can be interpreted as a one standard deviation increase

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1068 explained in the outcome trait for every SD increase in the exposure trait. This method can help  
1069 differentiate the causal direction of association between two traits, but cannot make any  
1070 statement about the intermediate mechanisms involved in any potential causal process.

1071

1072 *Data availability*

1073 Summary statistics will be made available for download upon publication (<https://ctg.cncr.nl>).

1074

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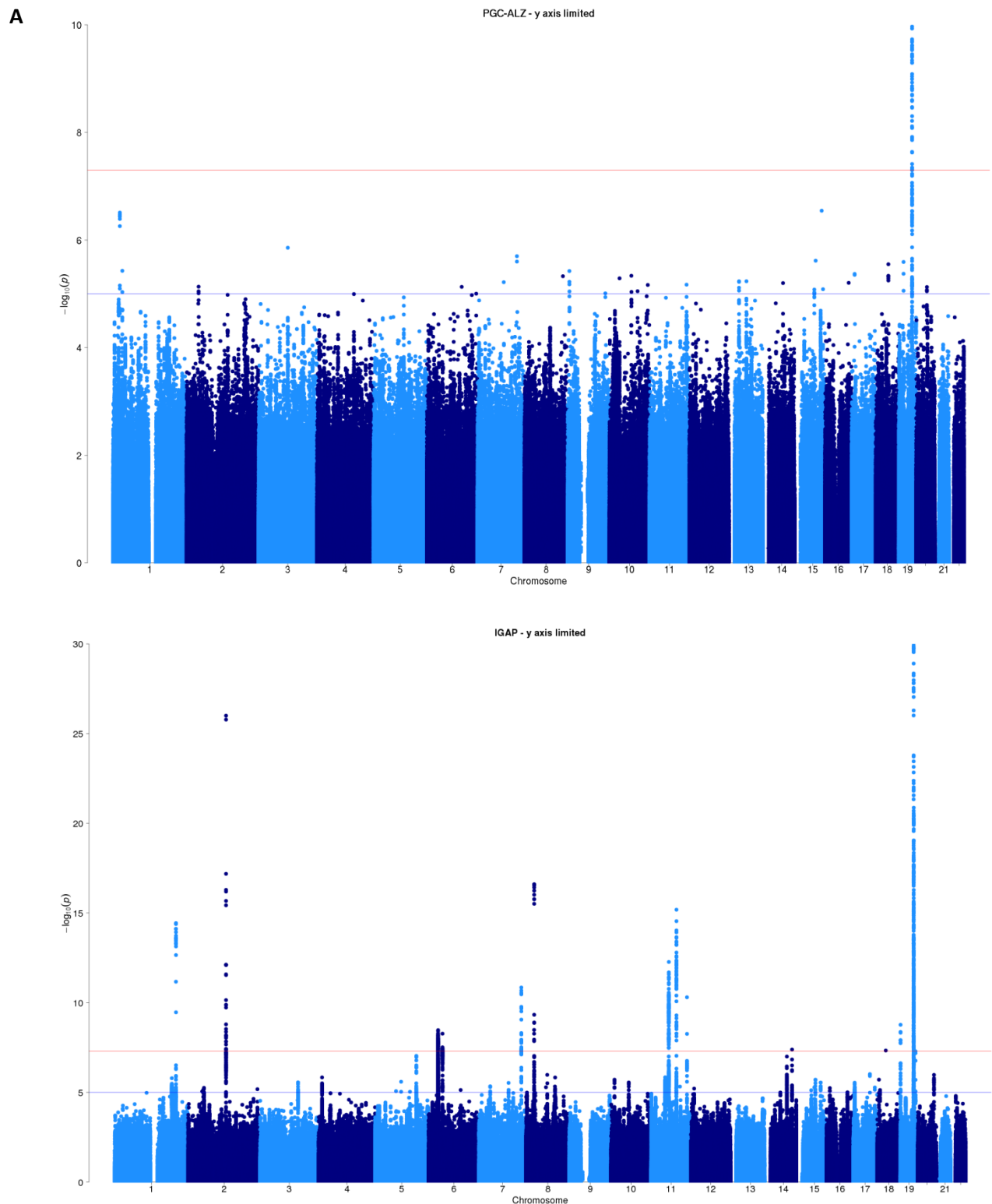
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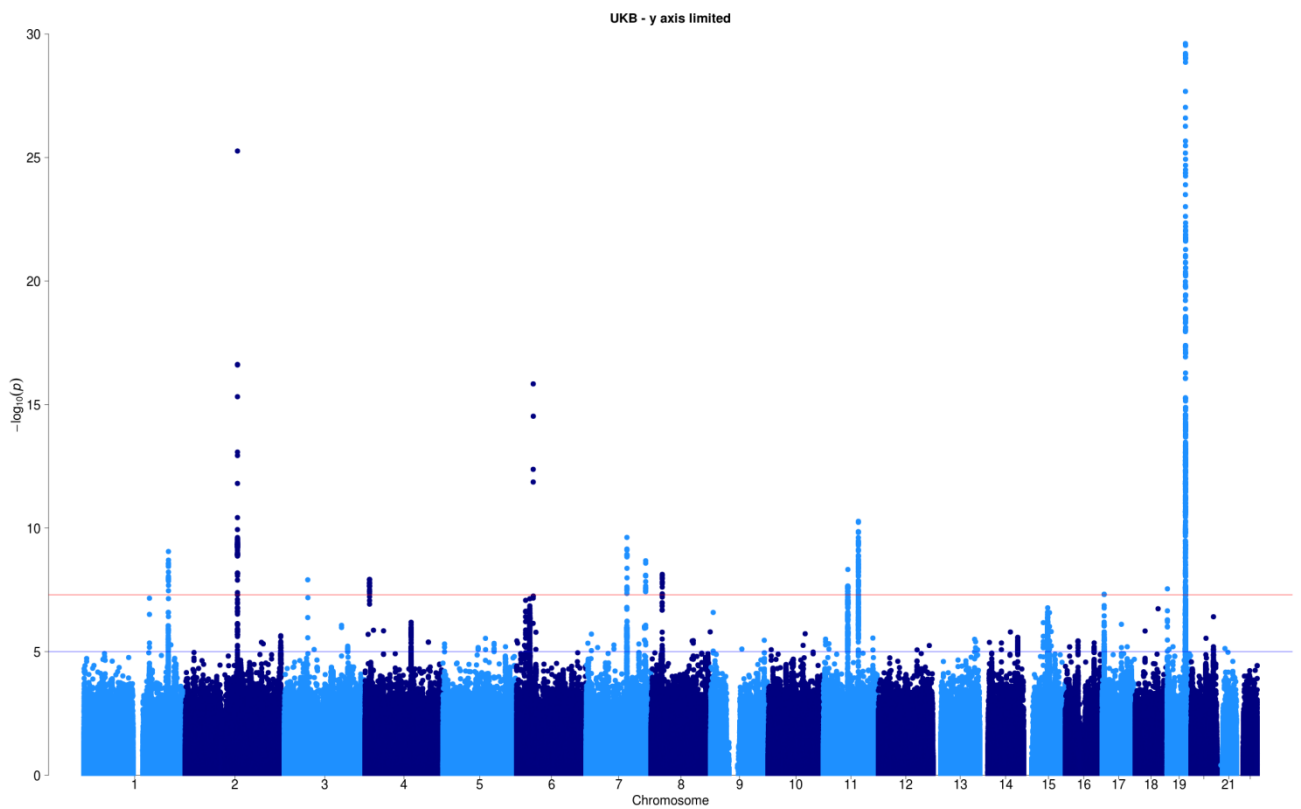
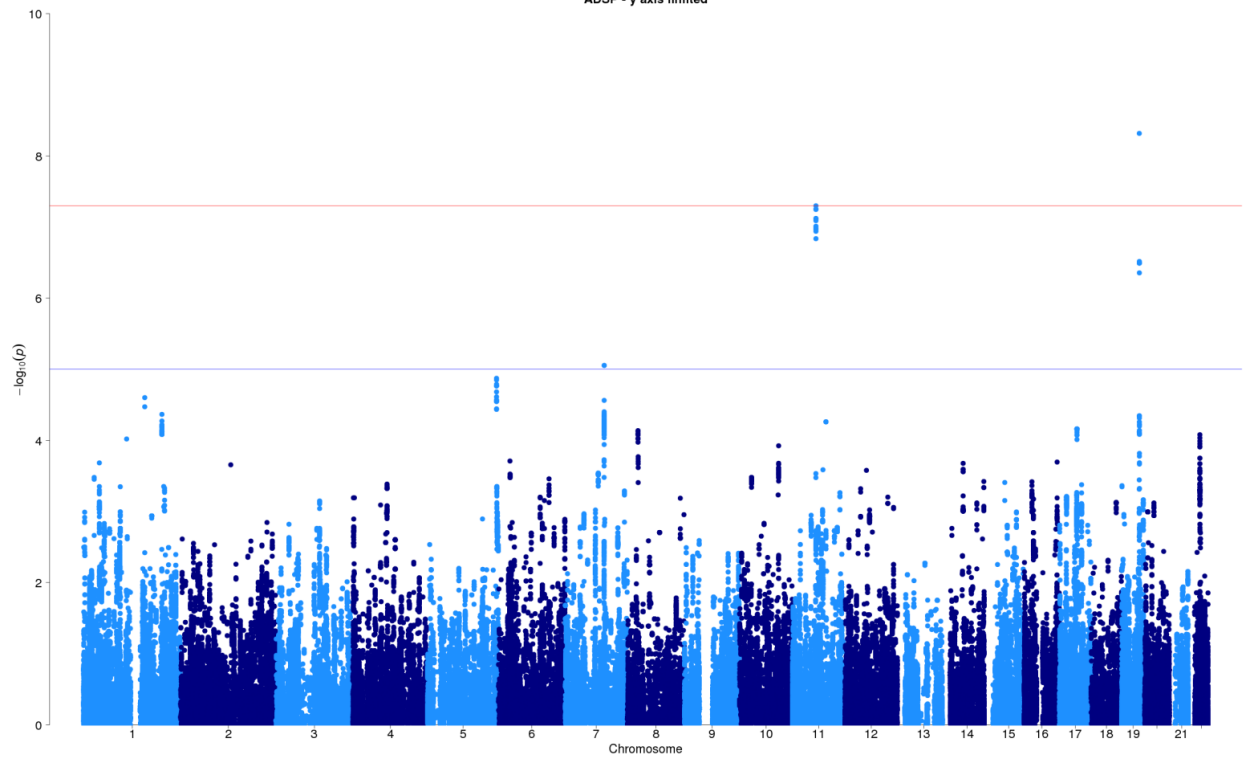
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## GWAS META-ANALYSIS OF ALZHEIMER'S DISEASE RISK

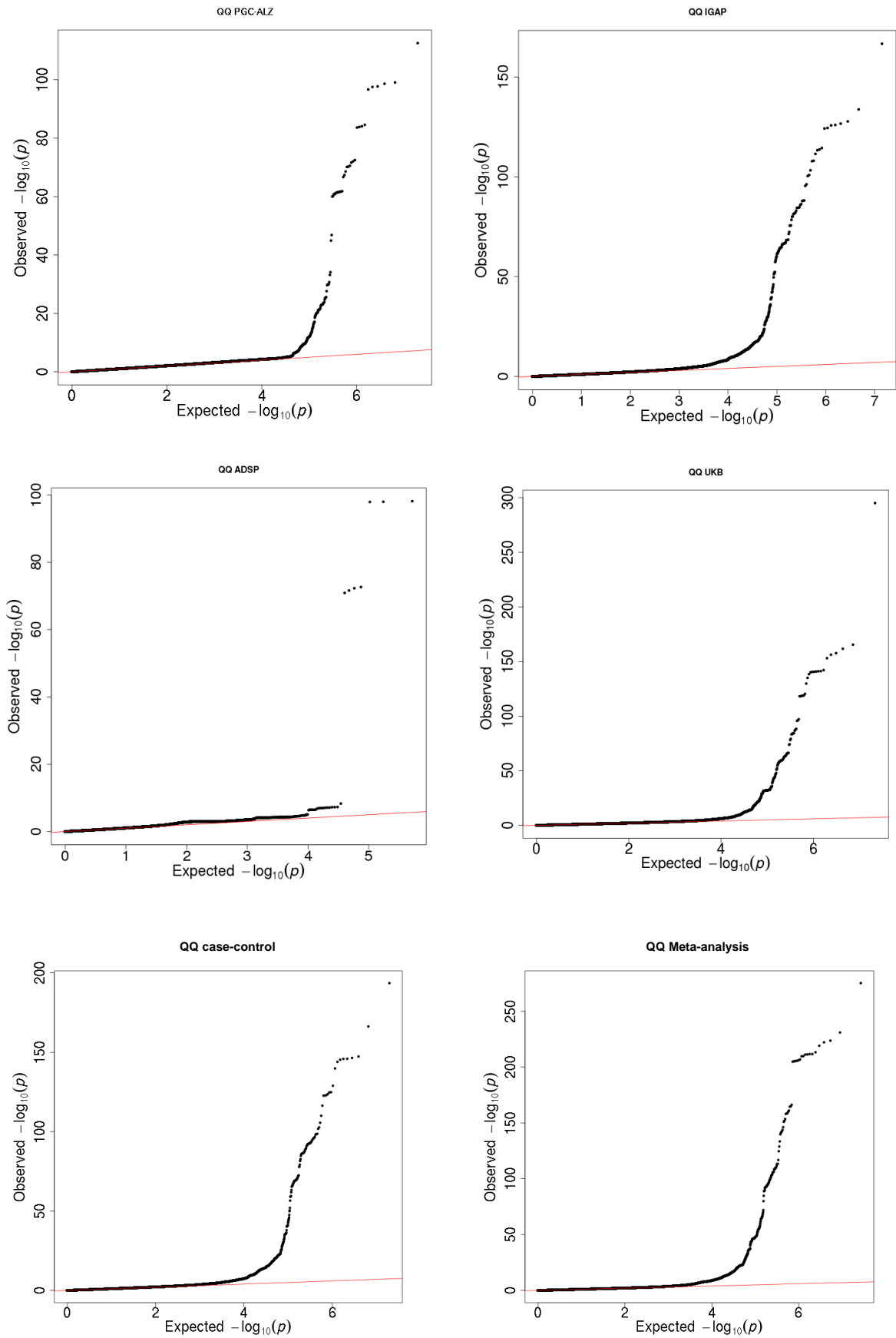
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**Supplementary Figure 1. Manhattan and QQ plots of single variant association results per main cohort.** For each cohort, Manhattan and QQ plots are shown. A) The Manhattan plot displays all associations per variant ordered according to their genomic position on the x-axis and showing the strength of the association with the  $-\log_{10}$  transformed  $P$ -values on the y-axis. The y-axis is limited to enable visualization of non-*APOE* loci. B) The QQ plot displays the expected  $-\log_{10}$  transformed  $p$ -values on the x-axis and the observed  $-\log_{10}$  transformed  $p$ -values on the y-axis.

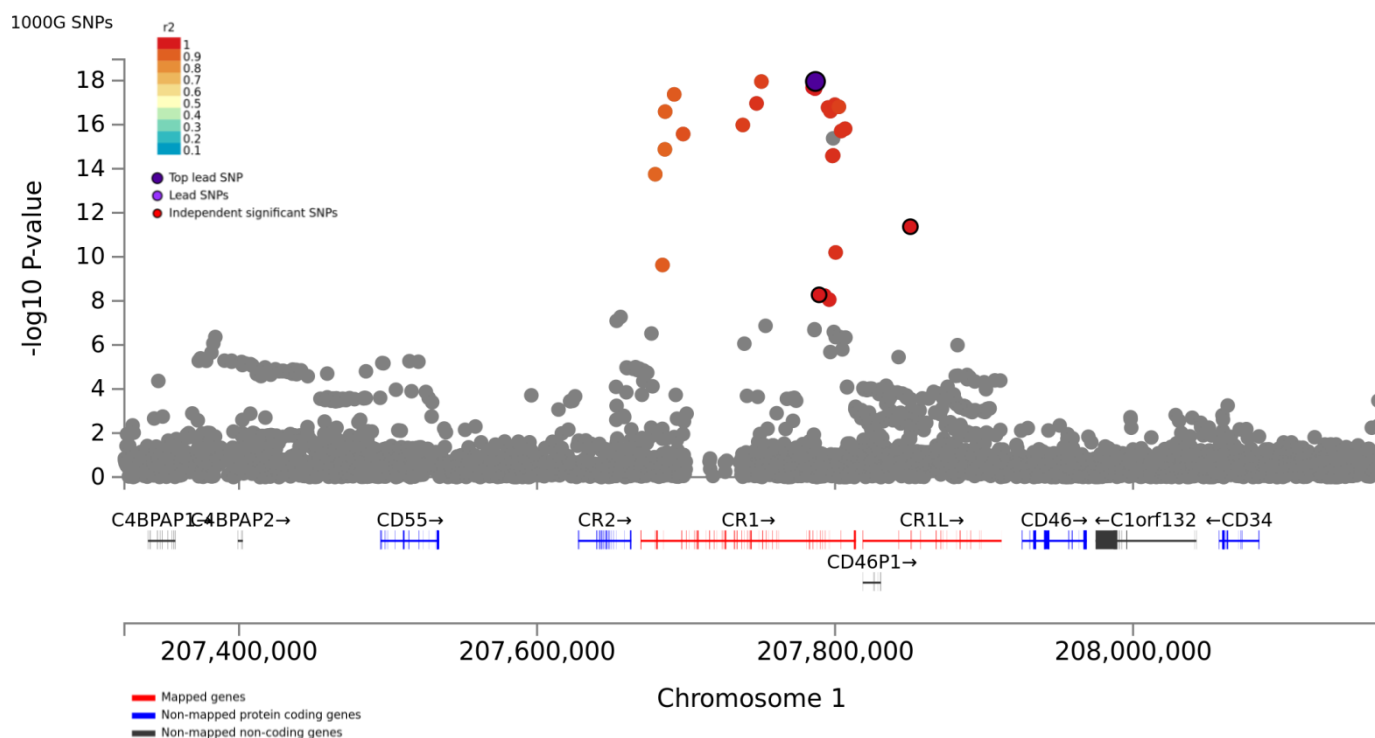
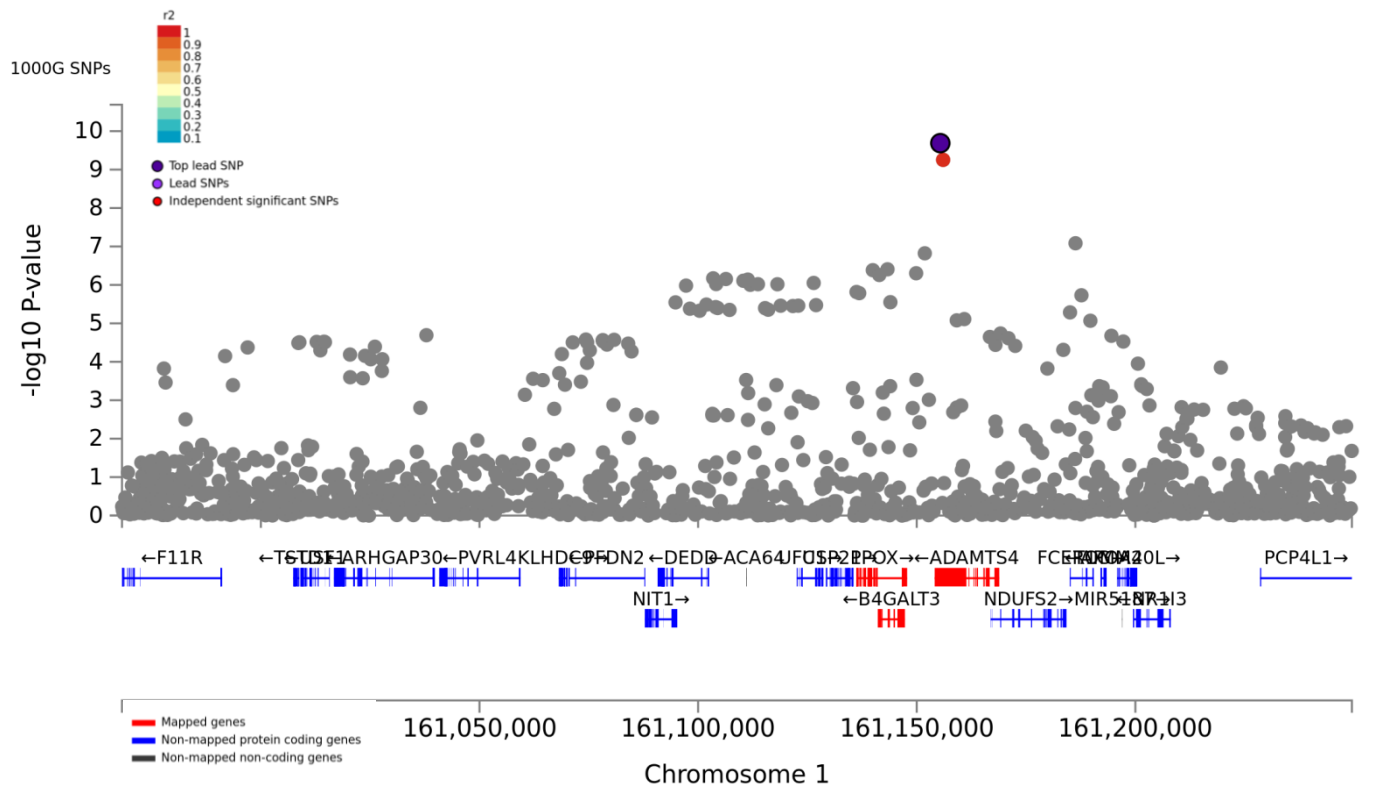


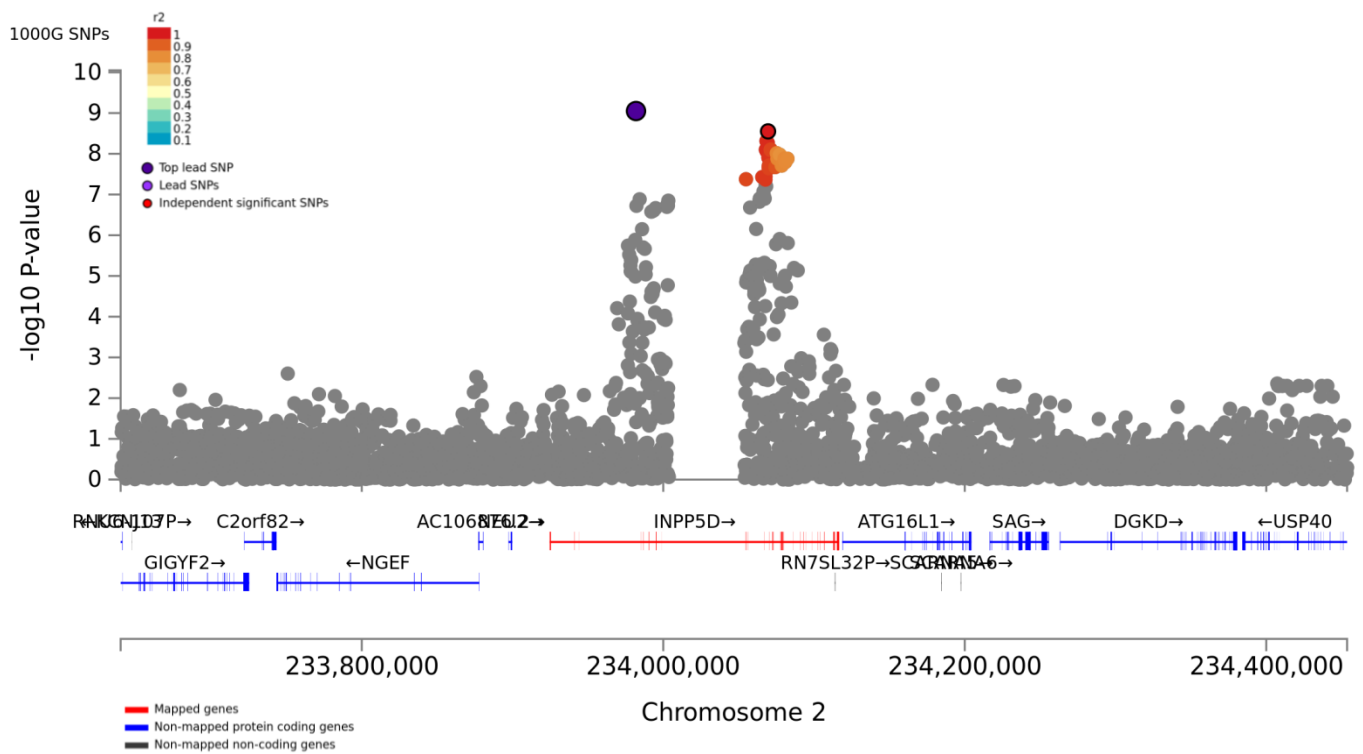
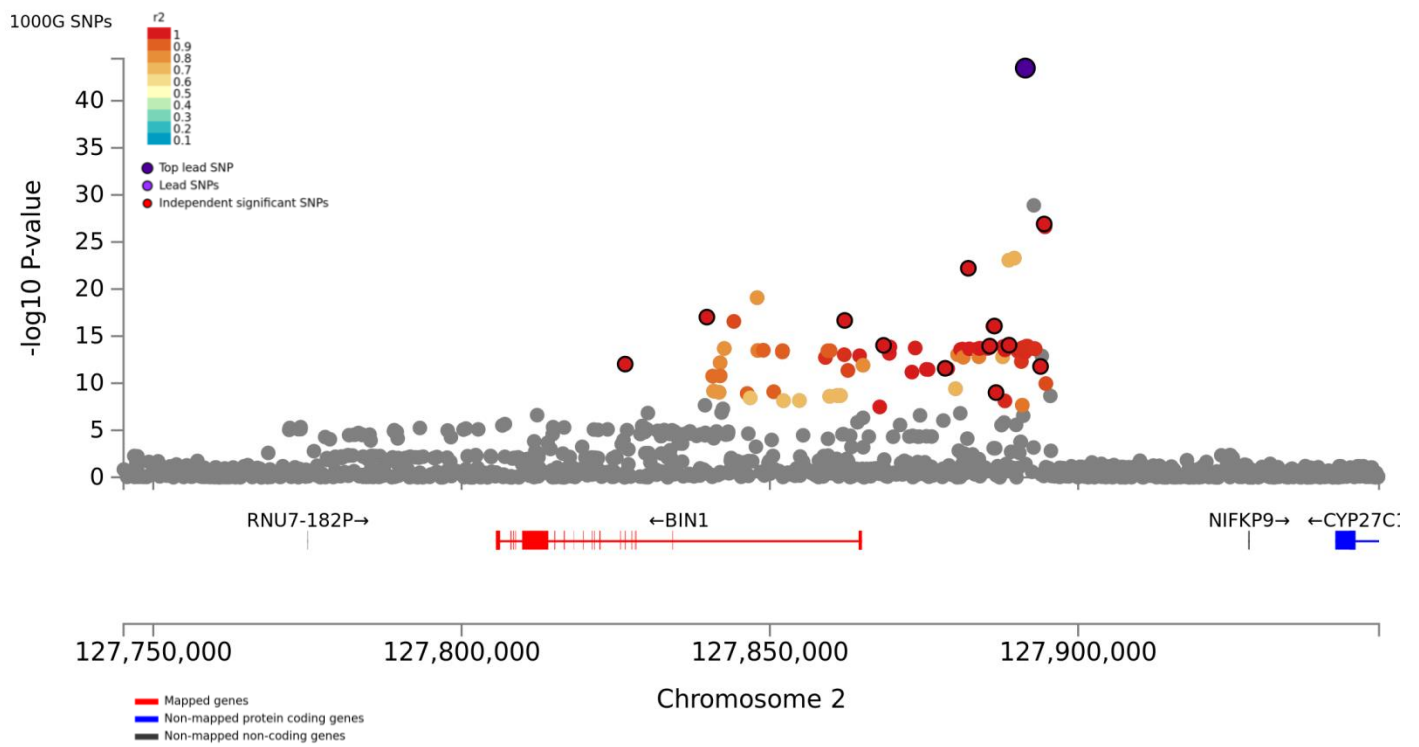


**B**

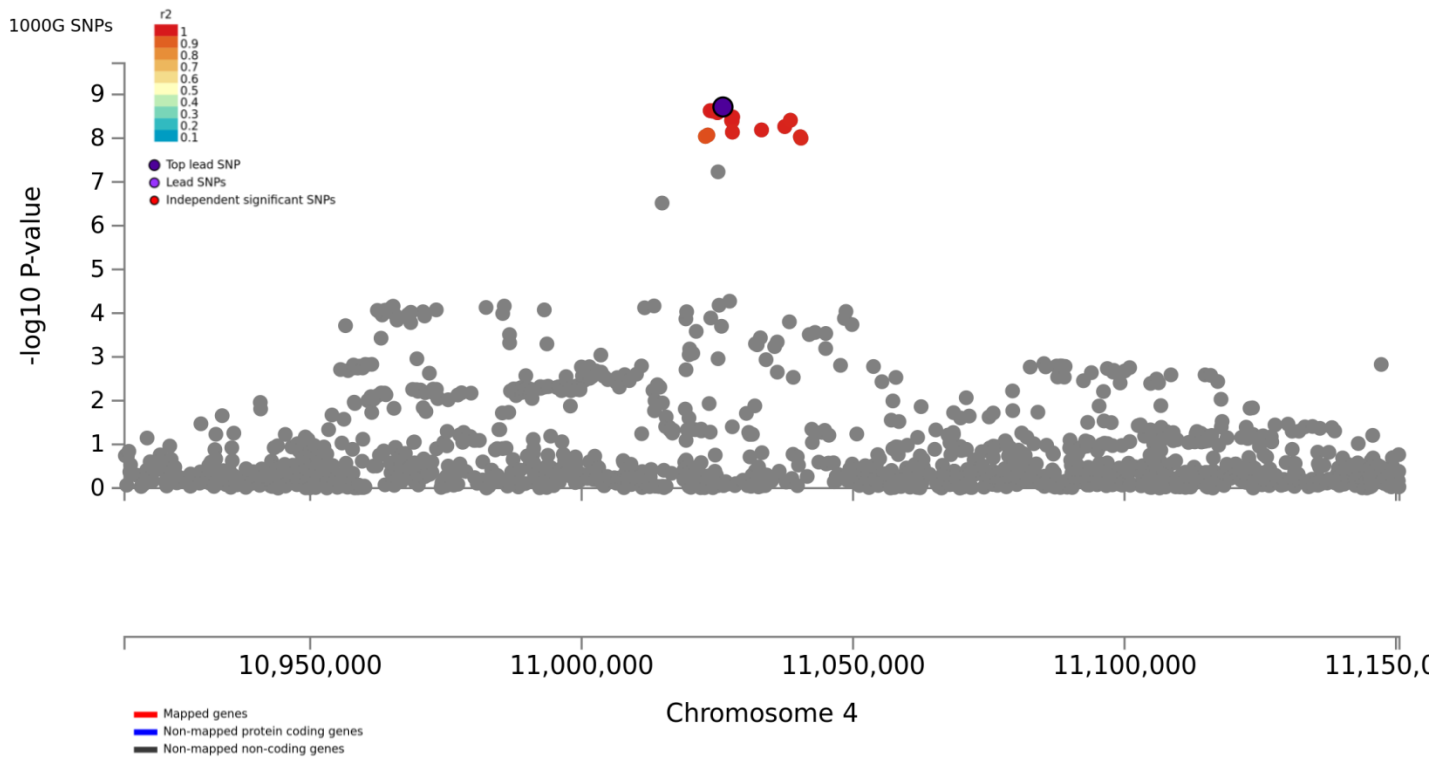
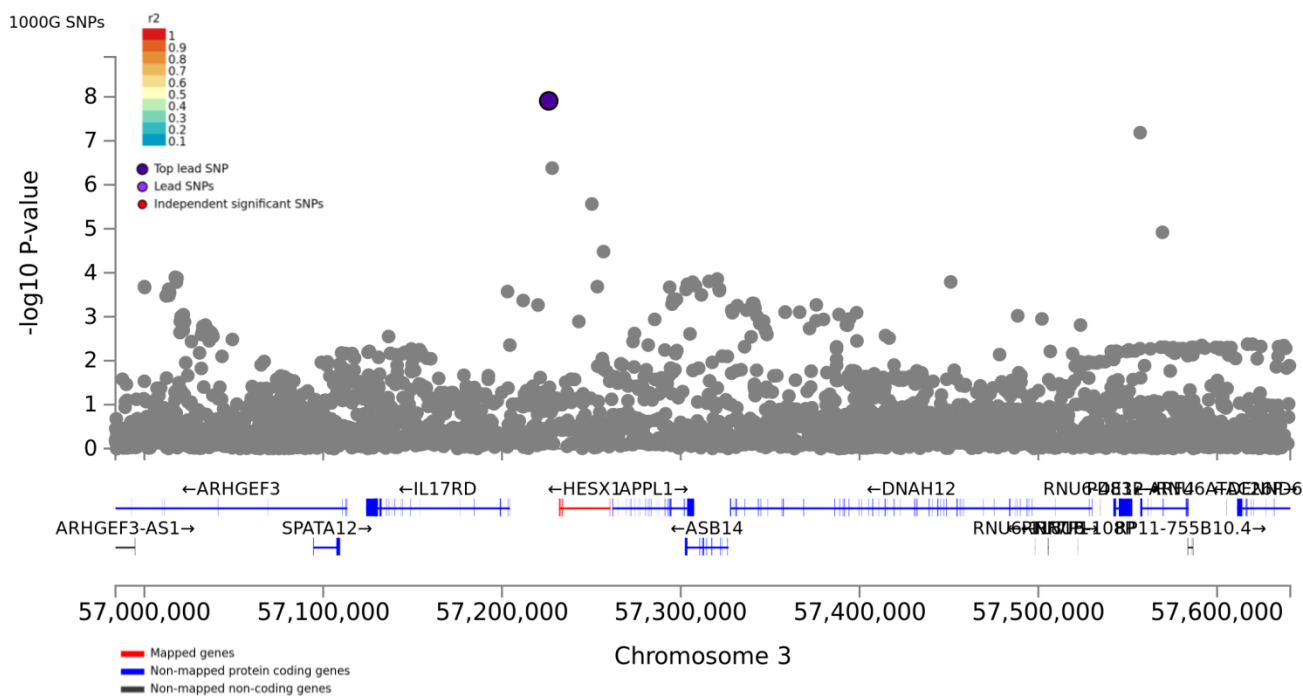


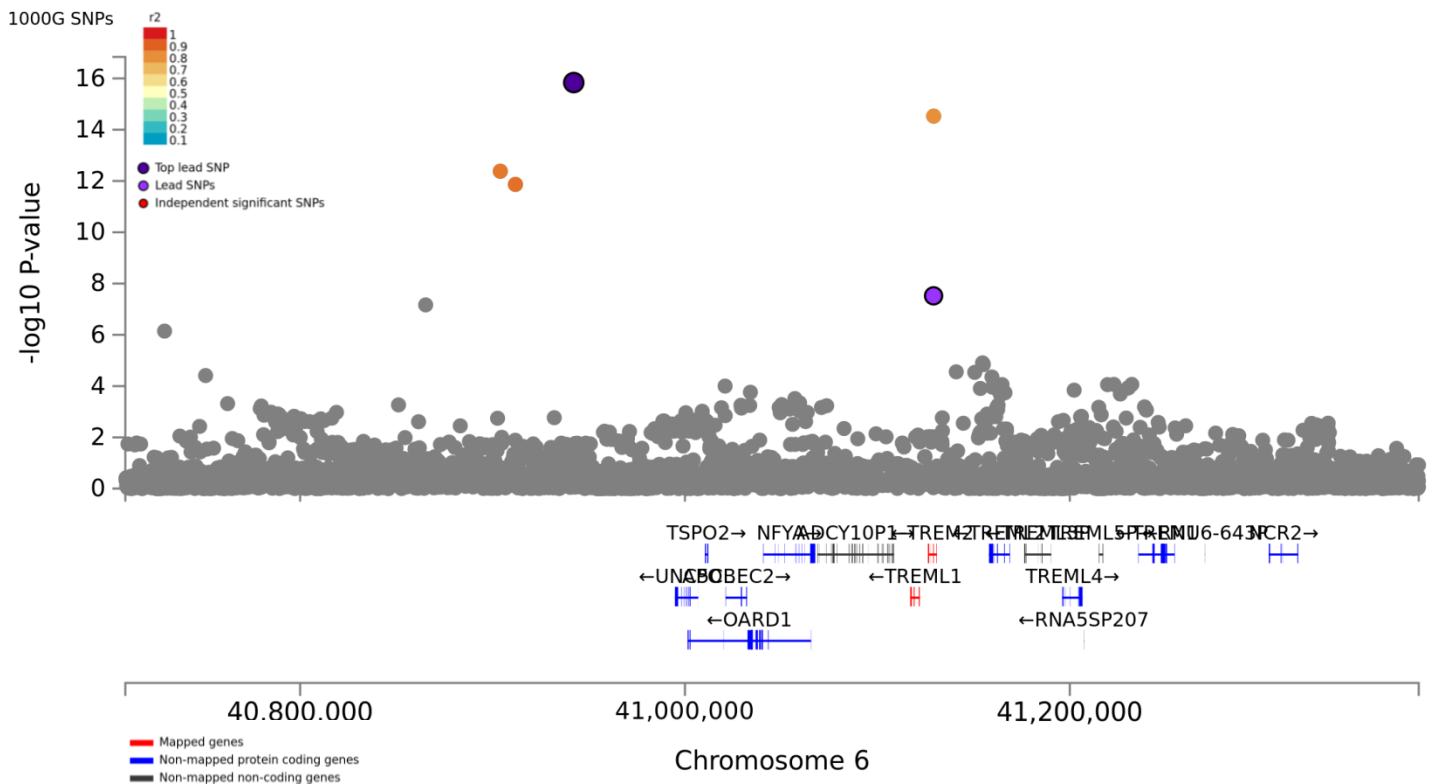
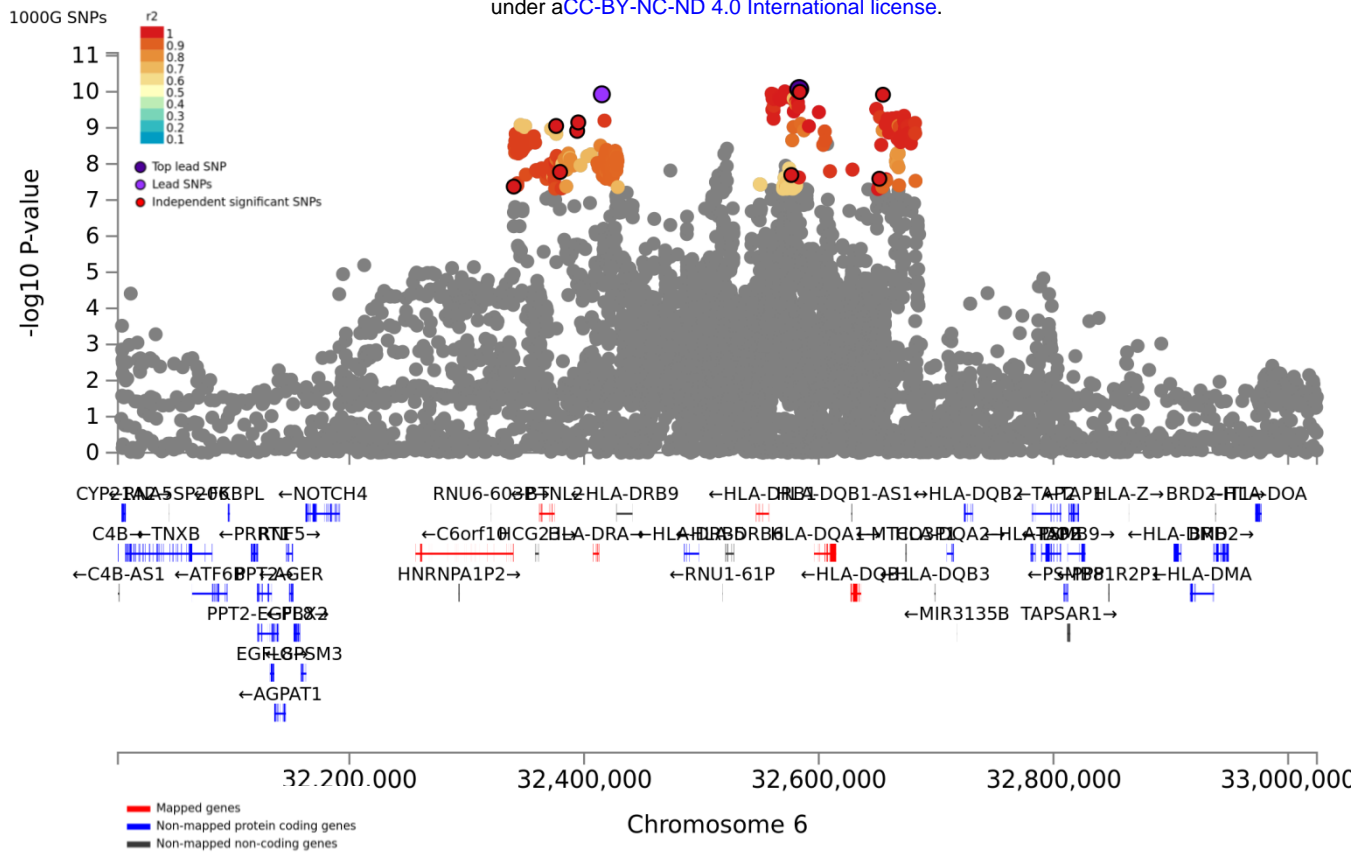
**Supplementary Figure 2. Regional plot for the 29 significant loci of the meta-analysis.** Every point represents a SNP, which are colour-coded based on the highest  $r^2$  to one of the most significant SNPs, if greater or equal to  $r^2$  of 0.6. Other SNPs are coloured in grey.



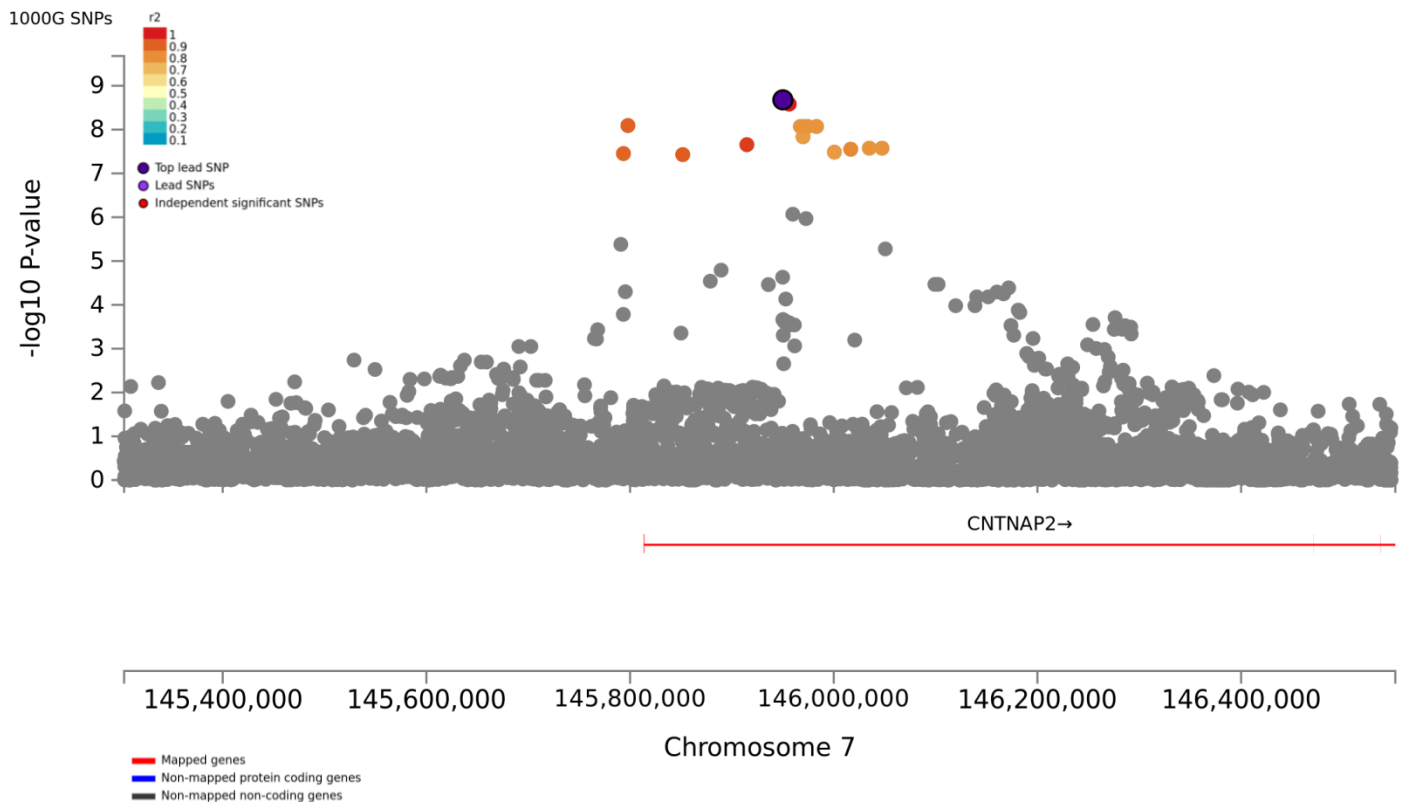
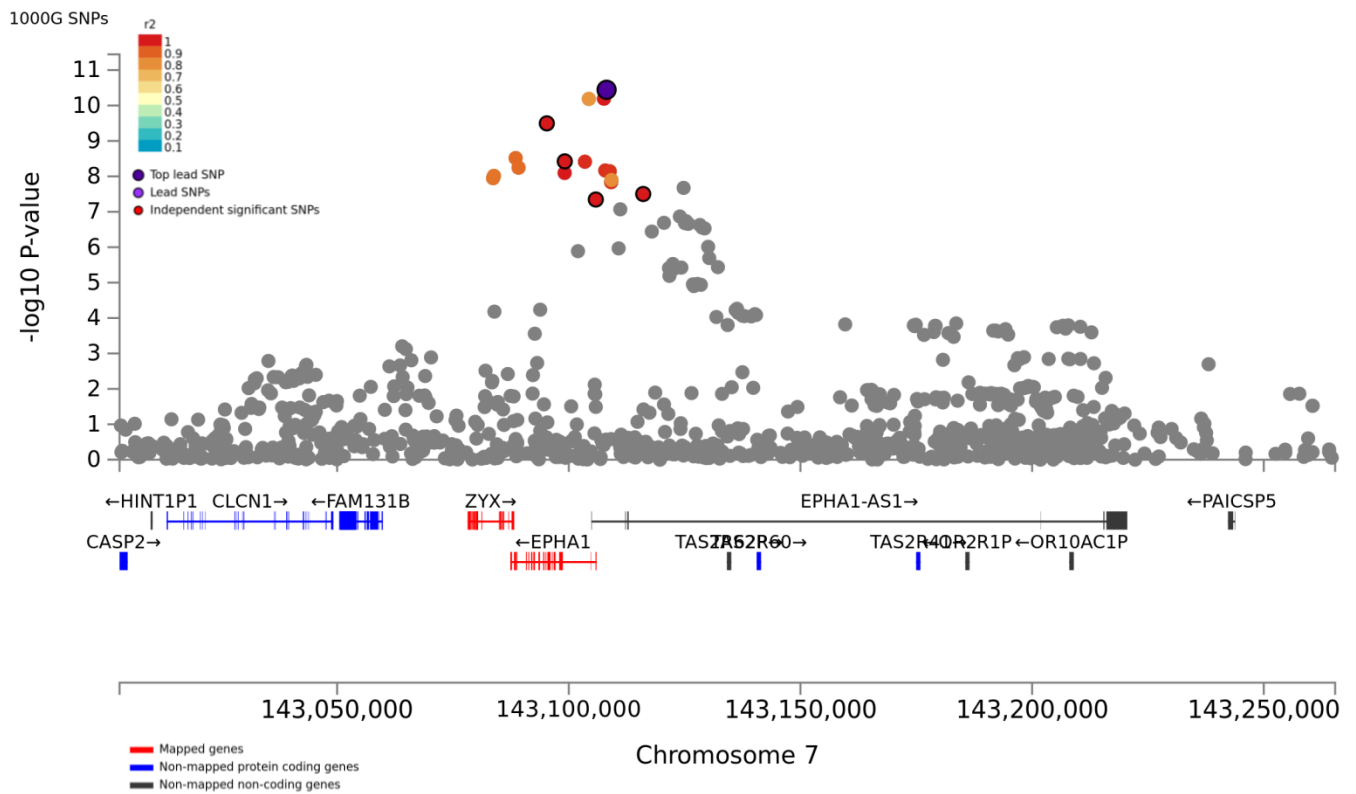


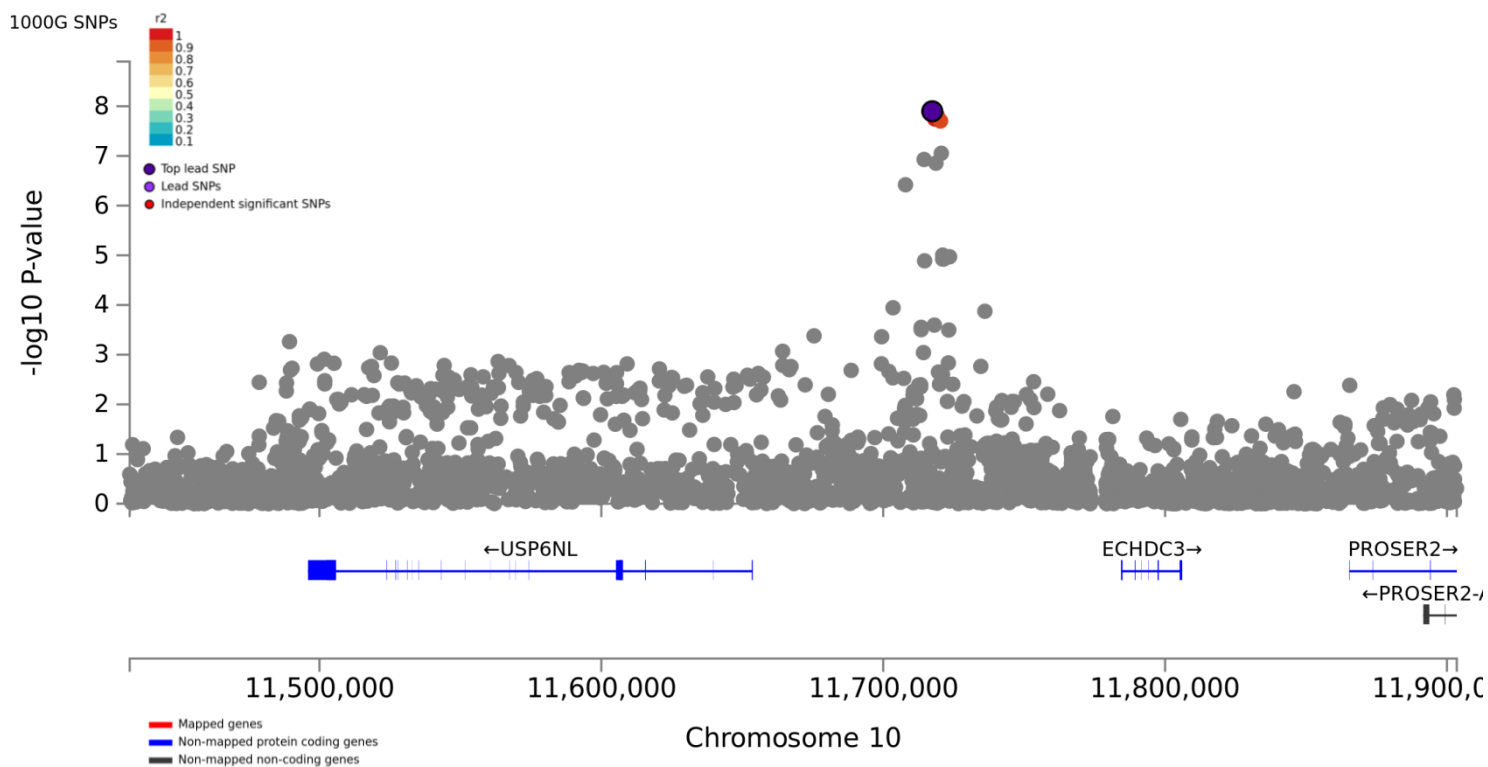
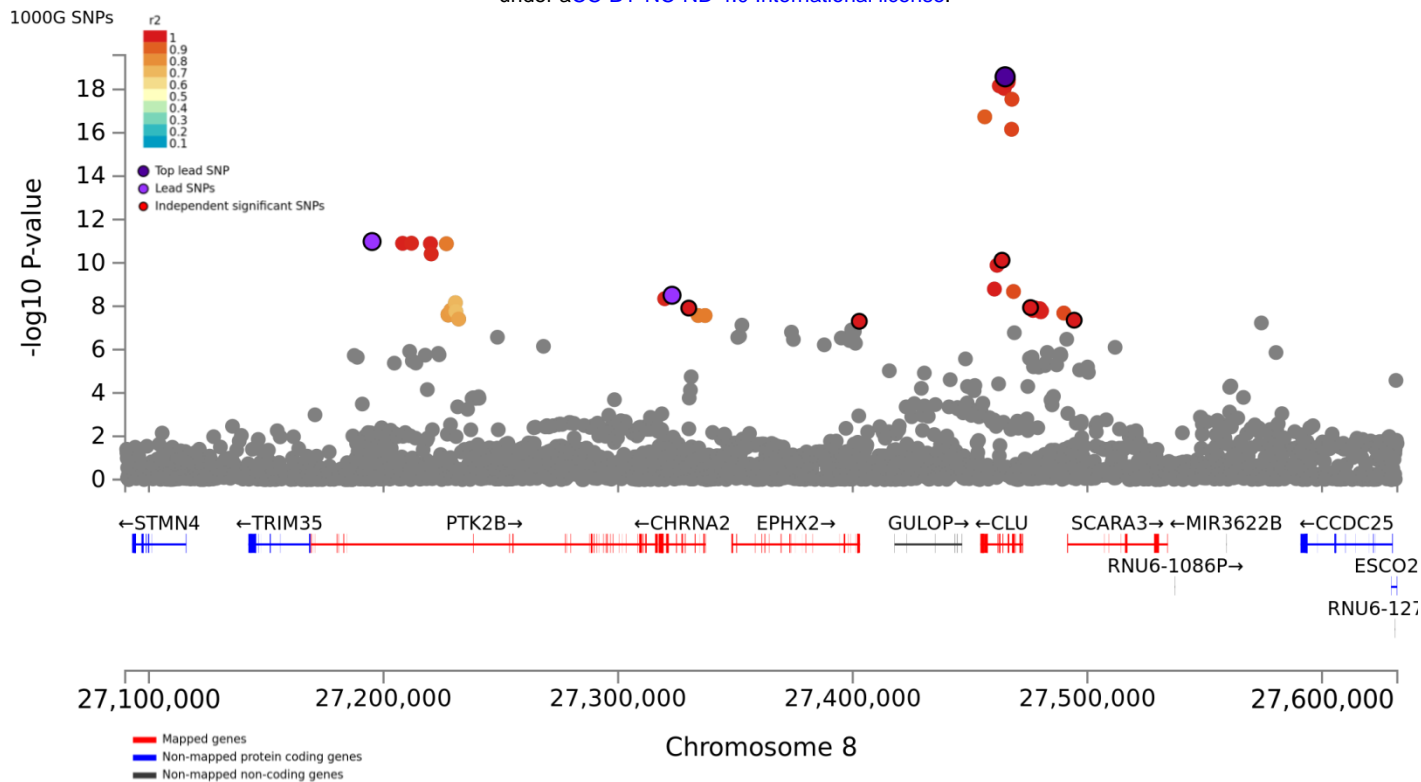


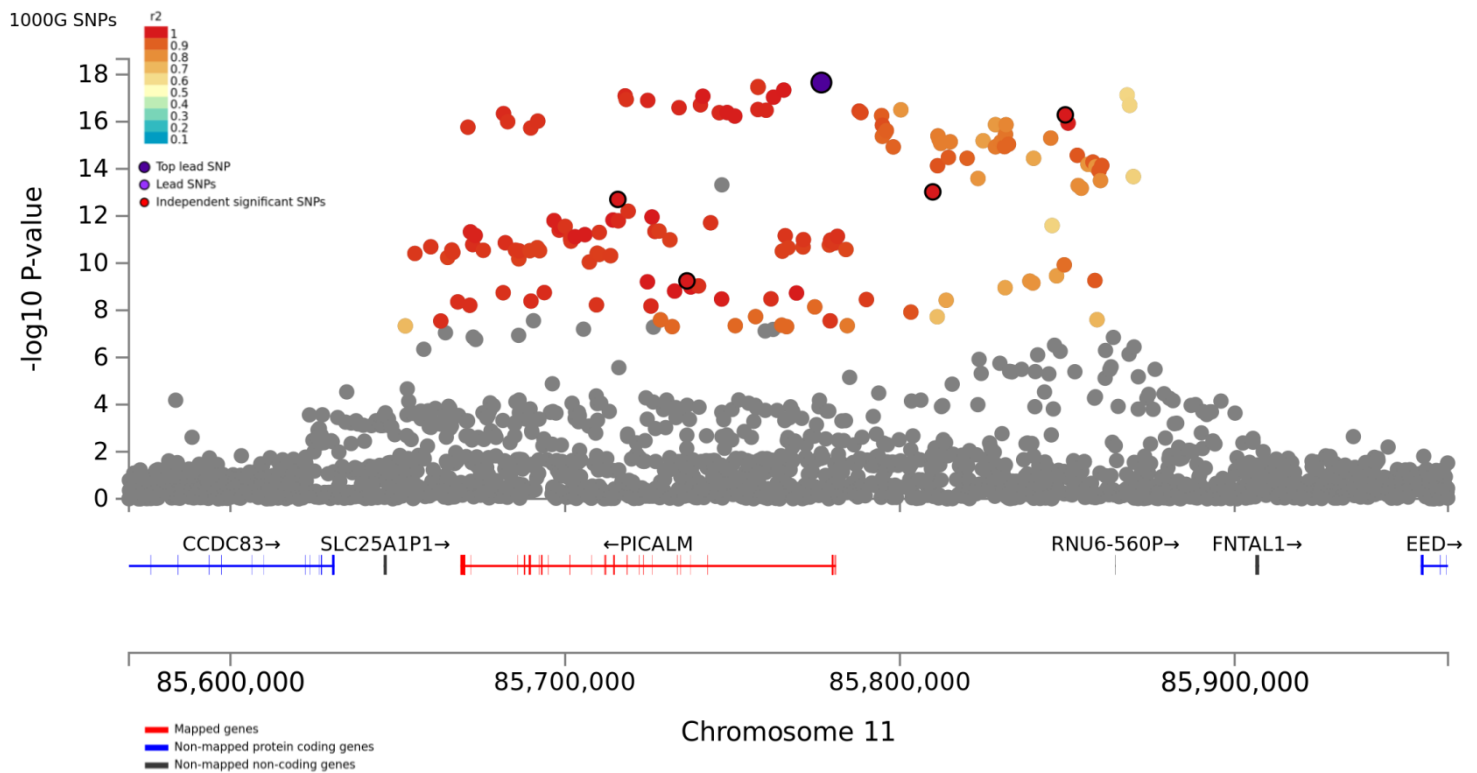
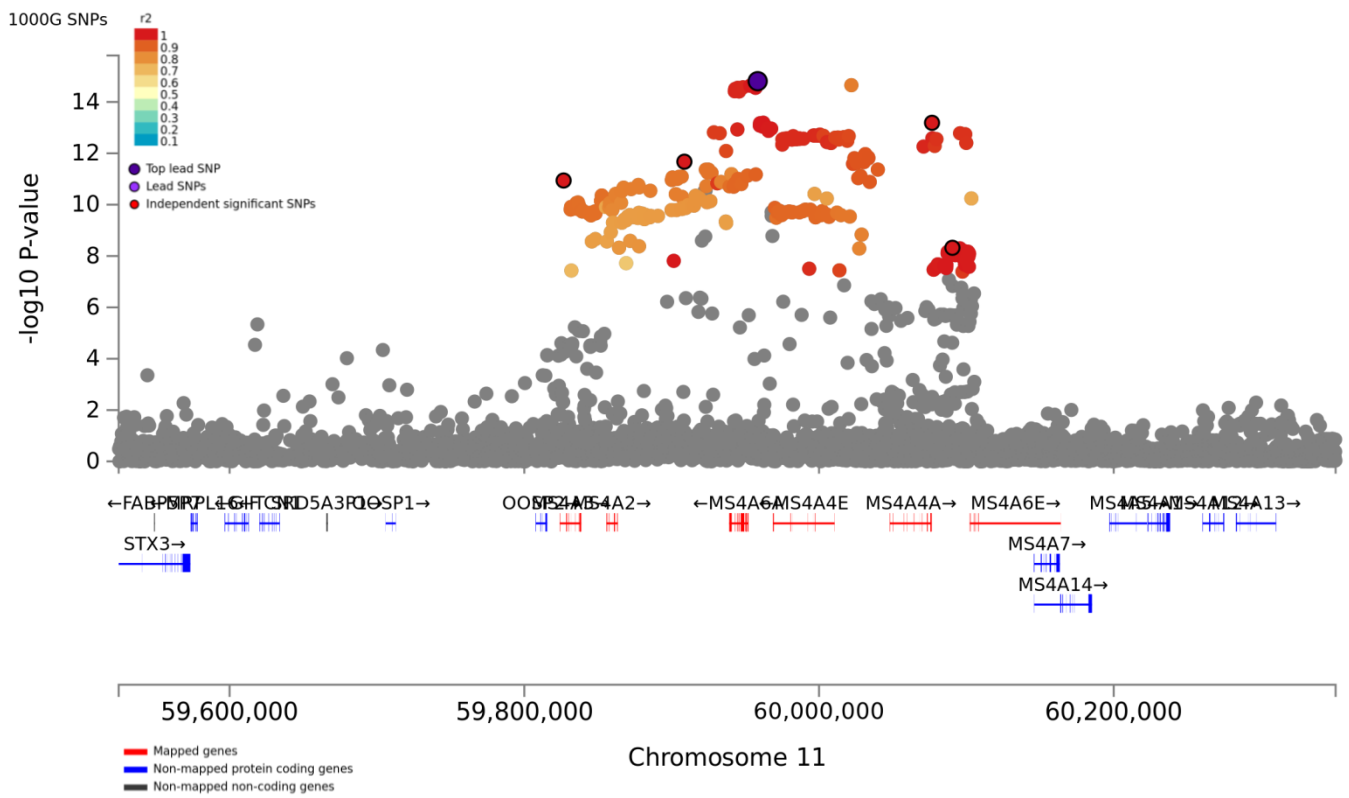


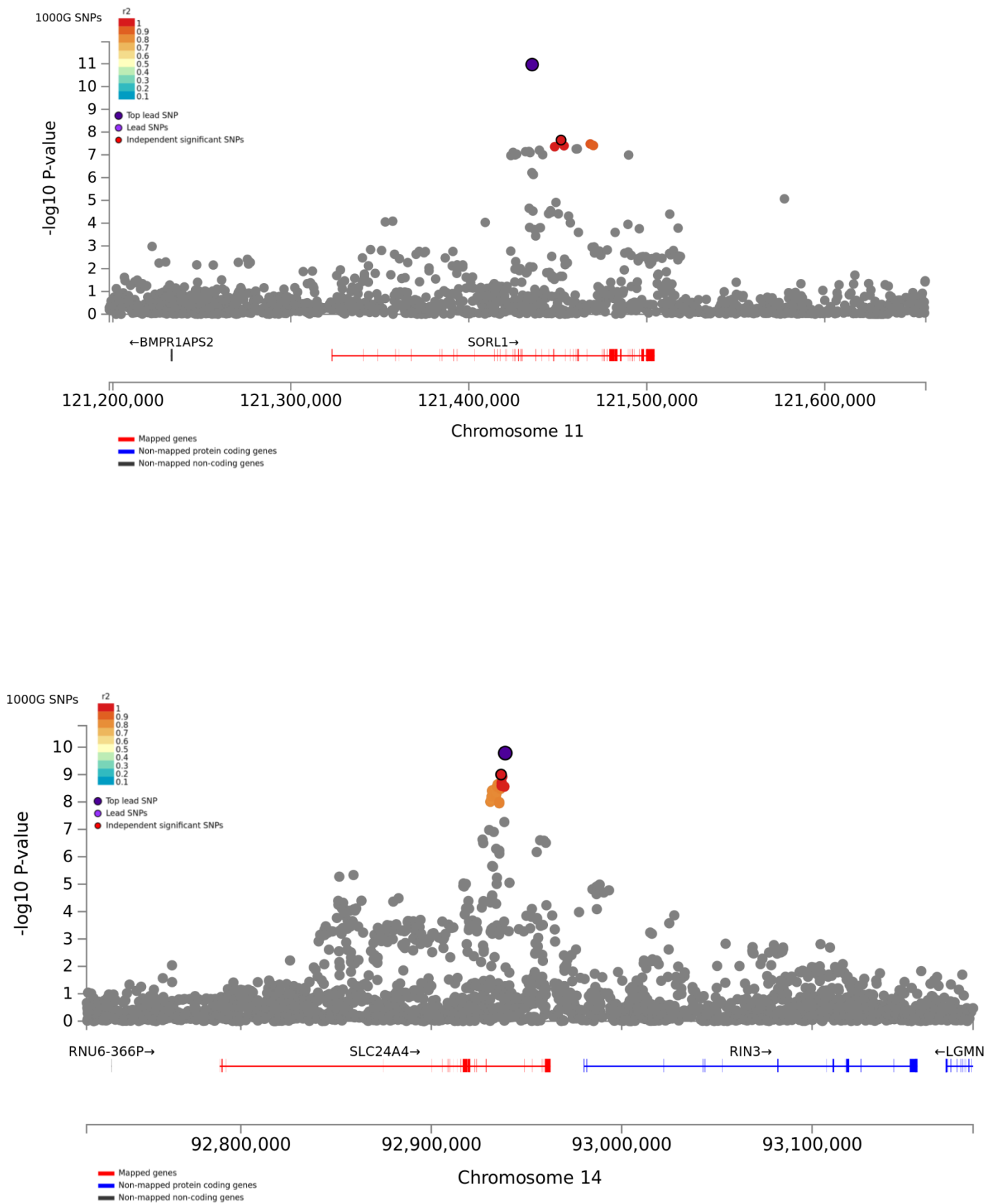


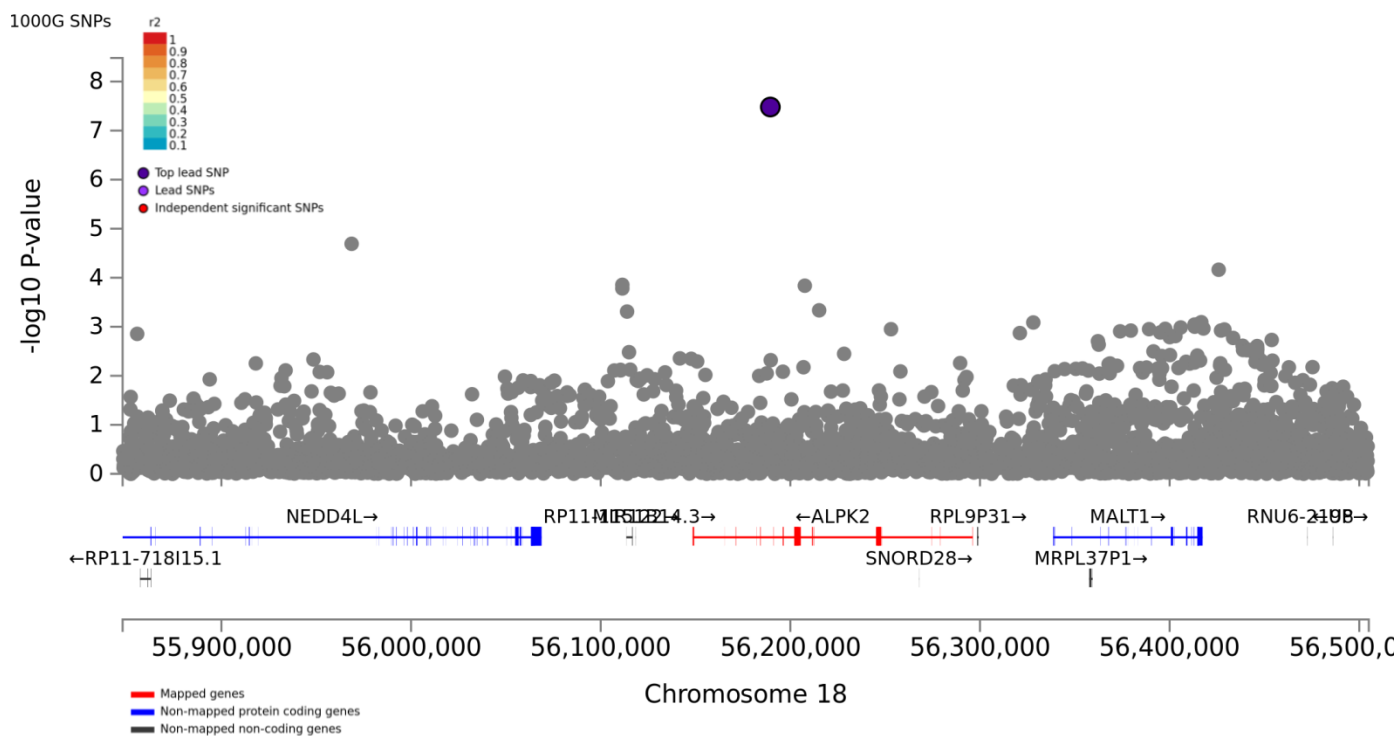
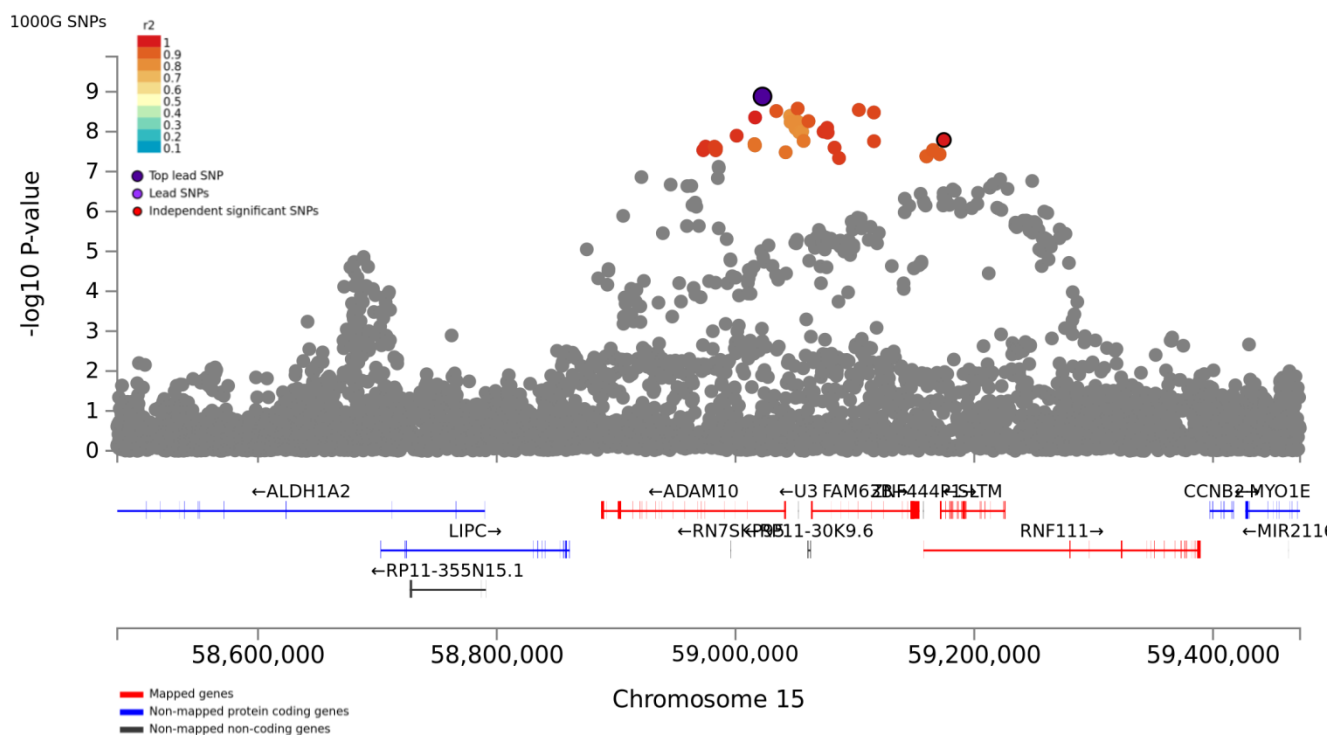




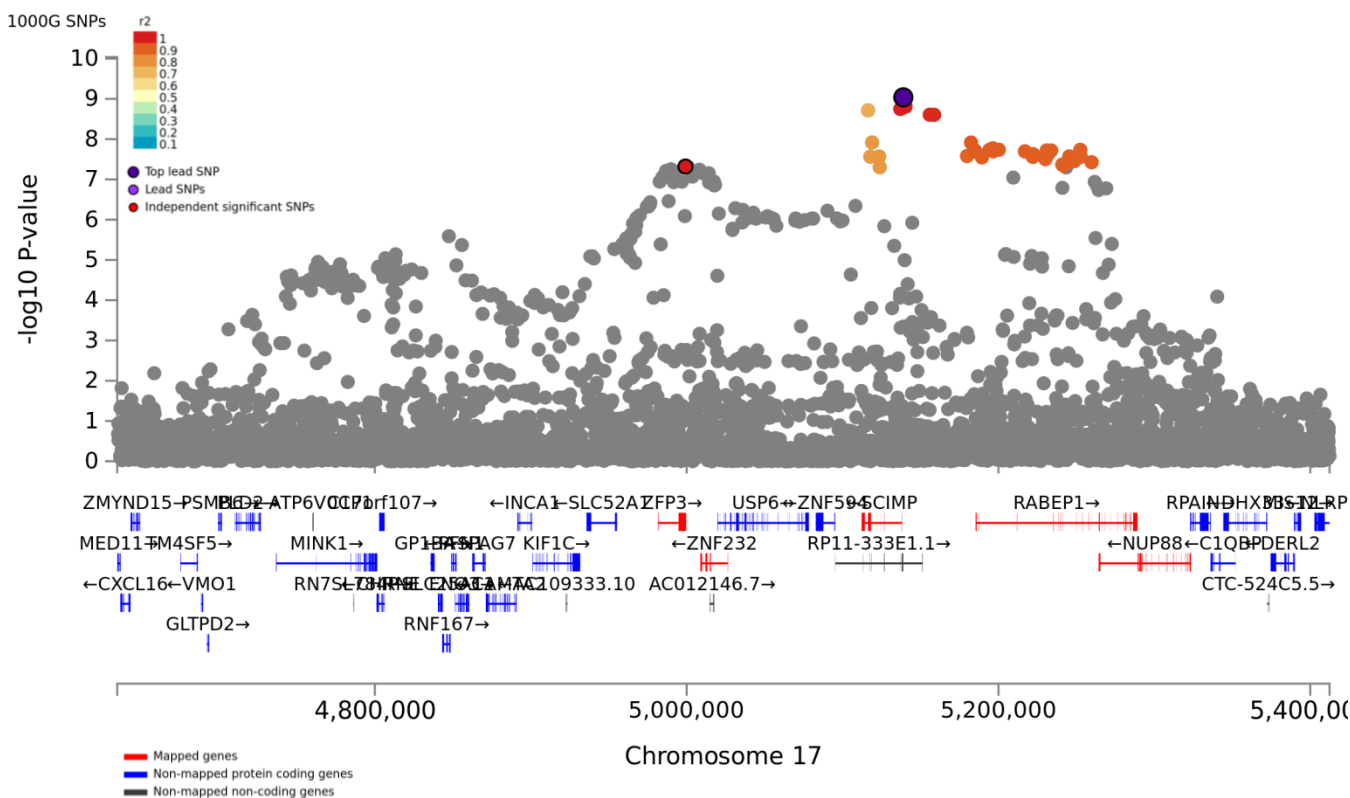
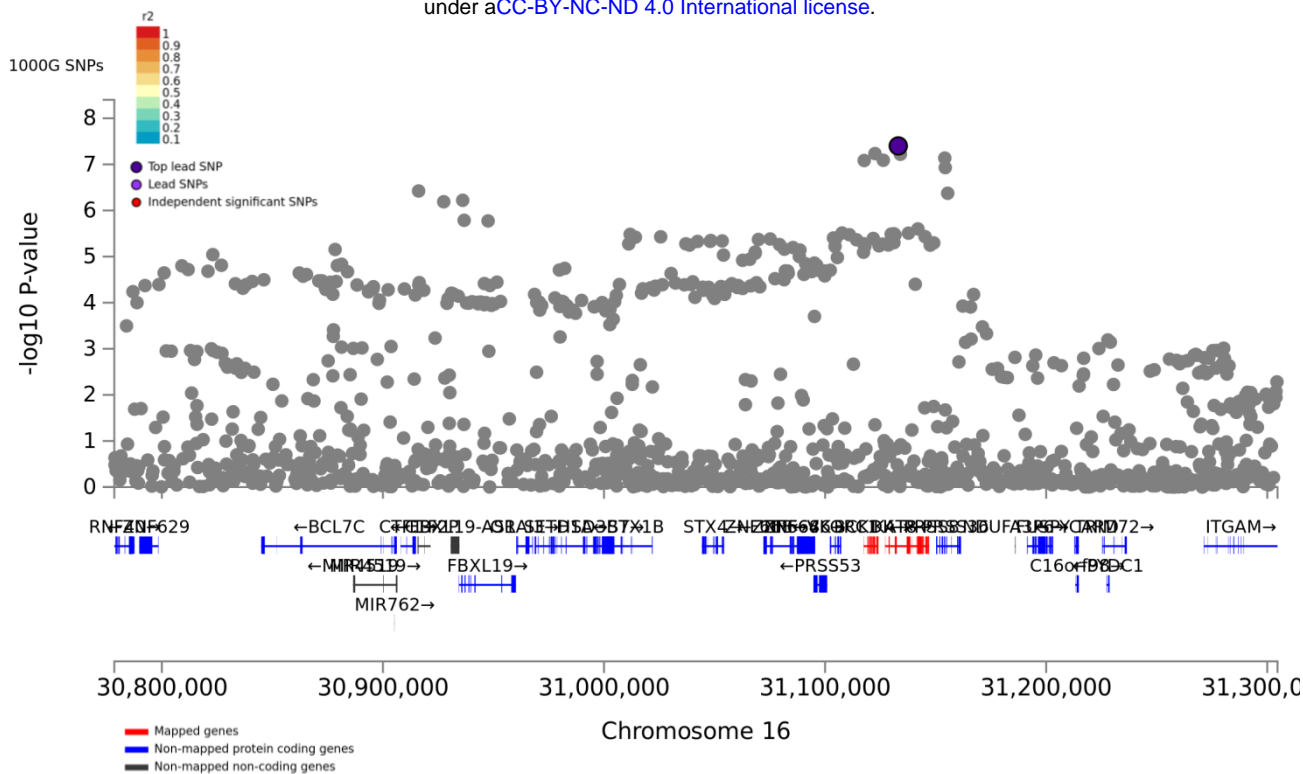


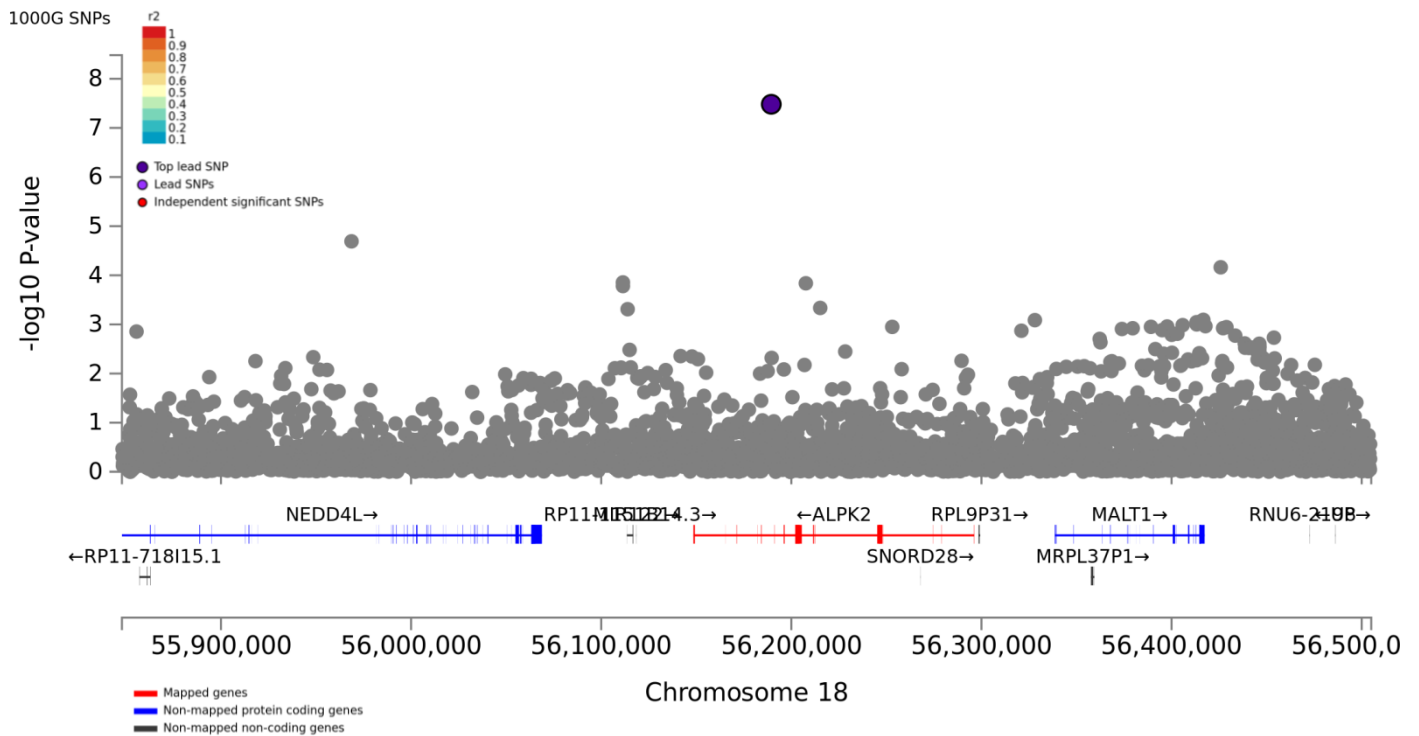
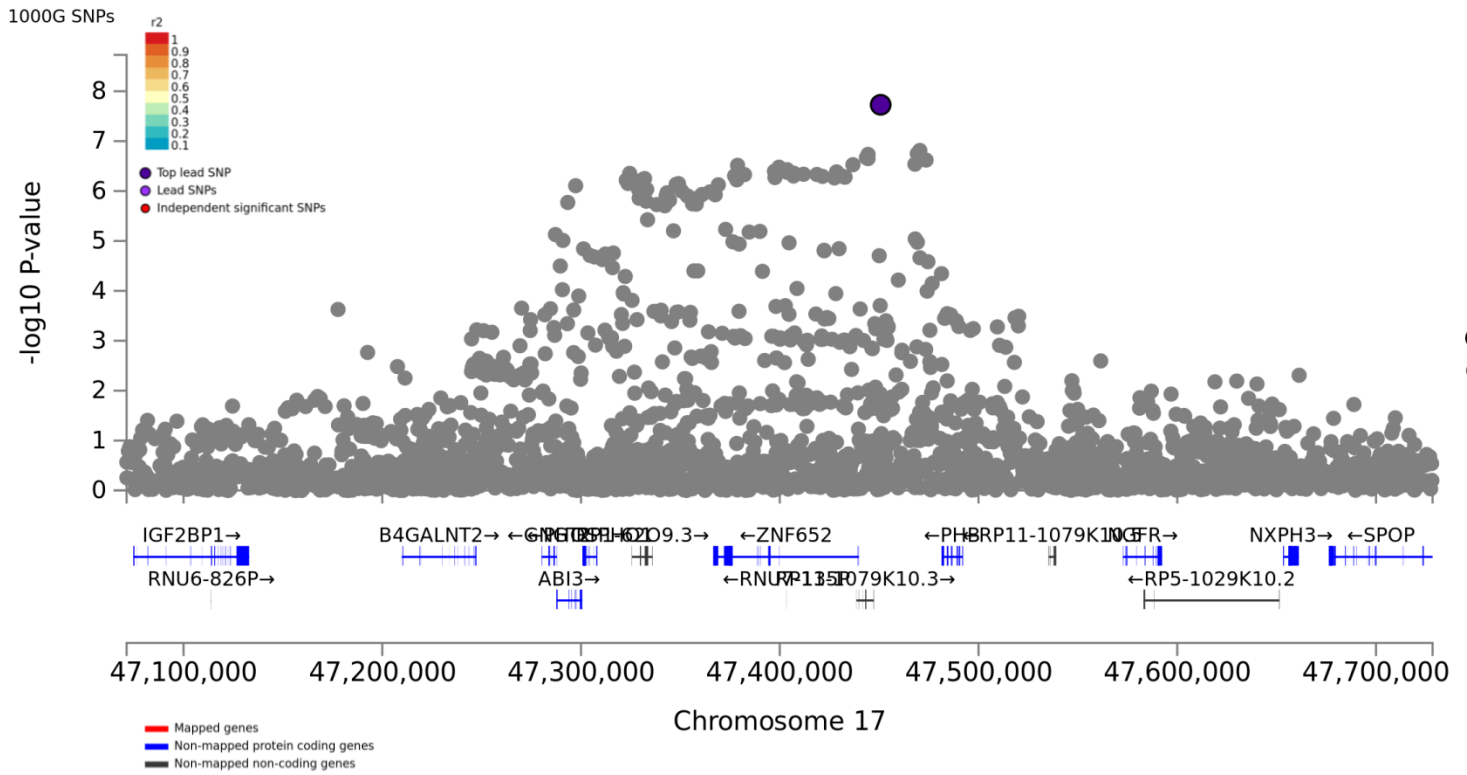


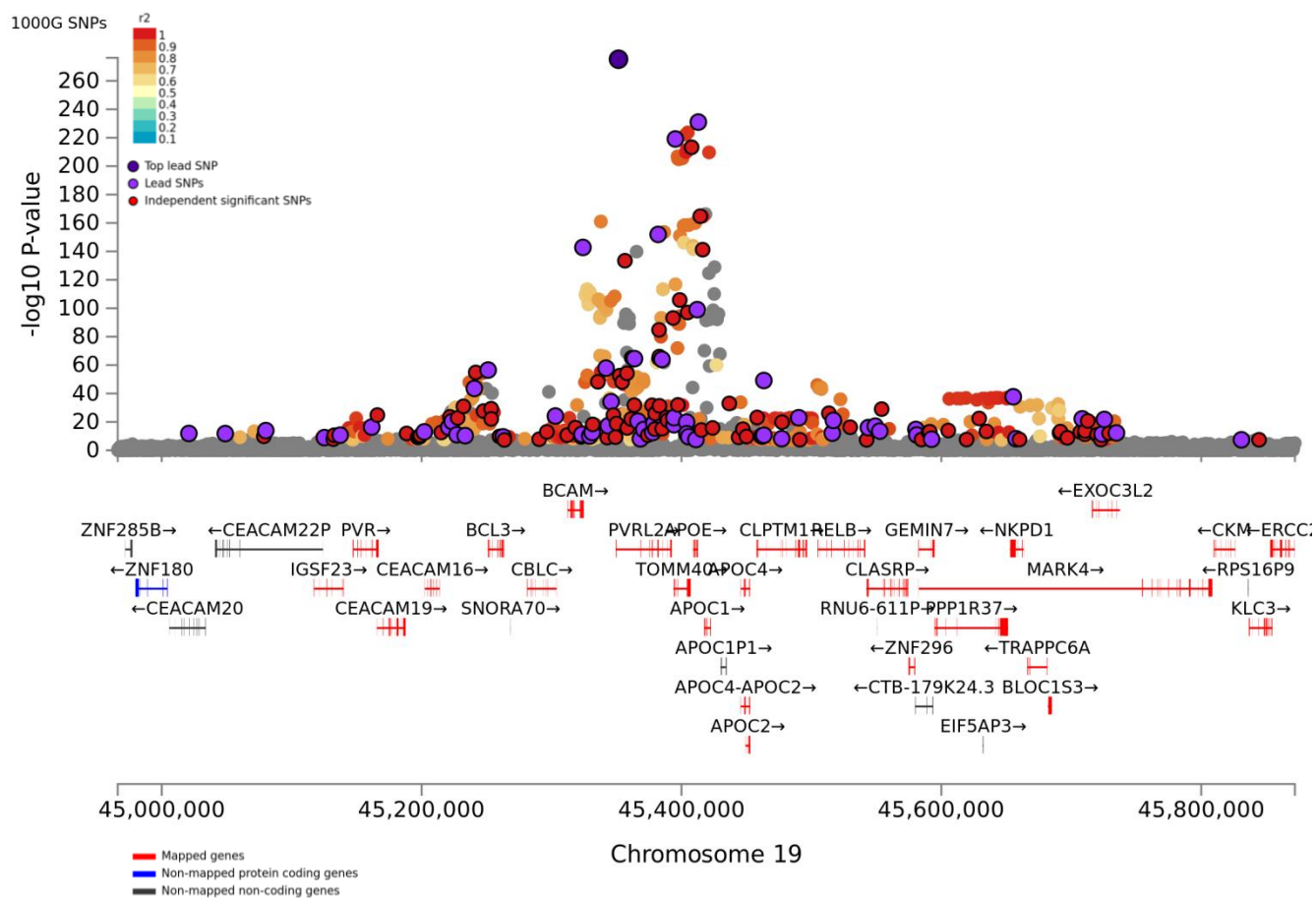
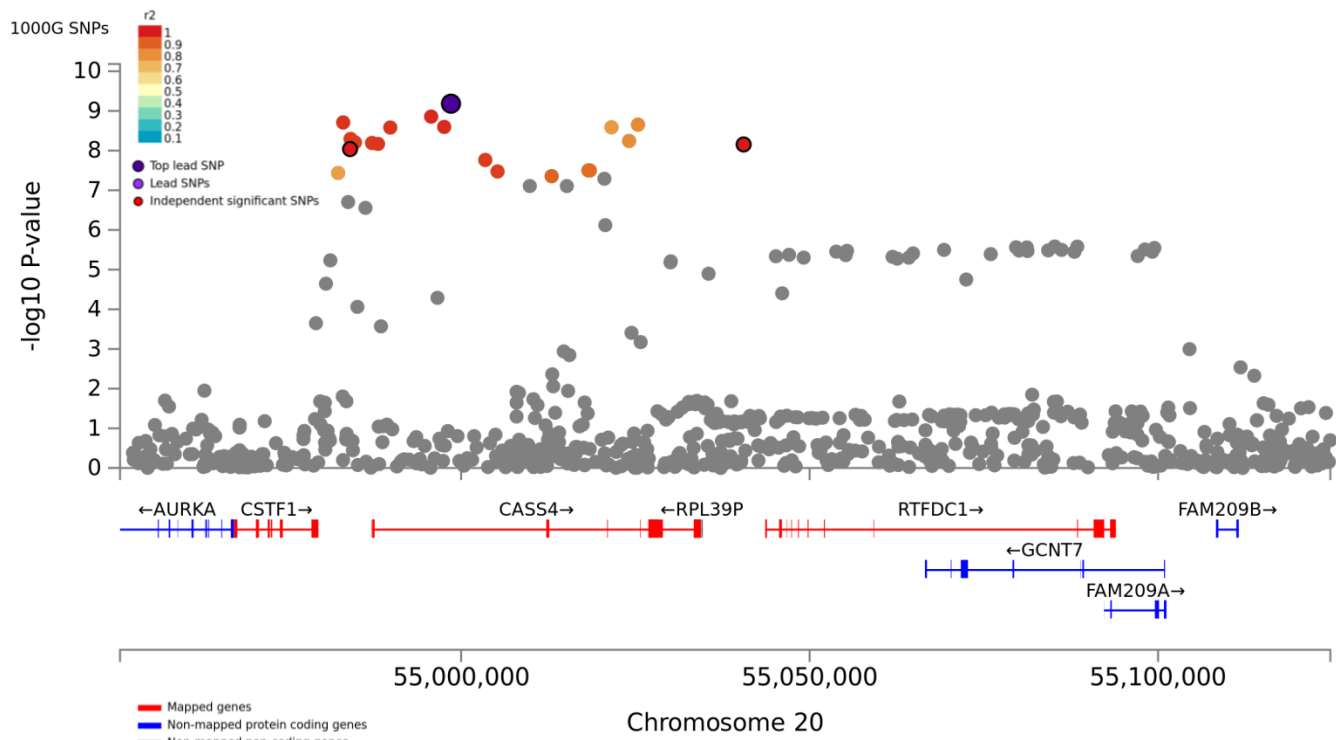


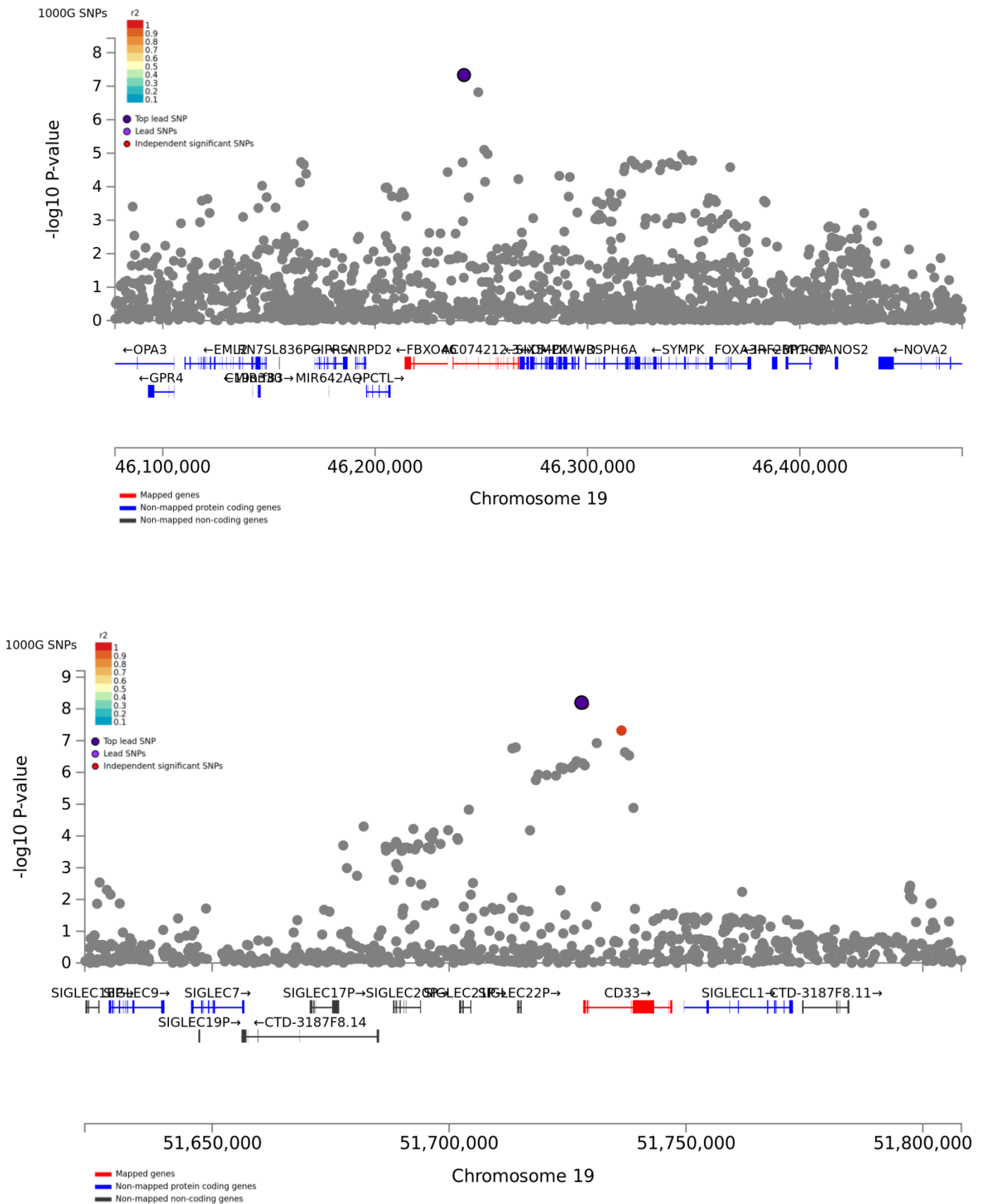


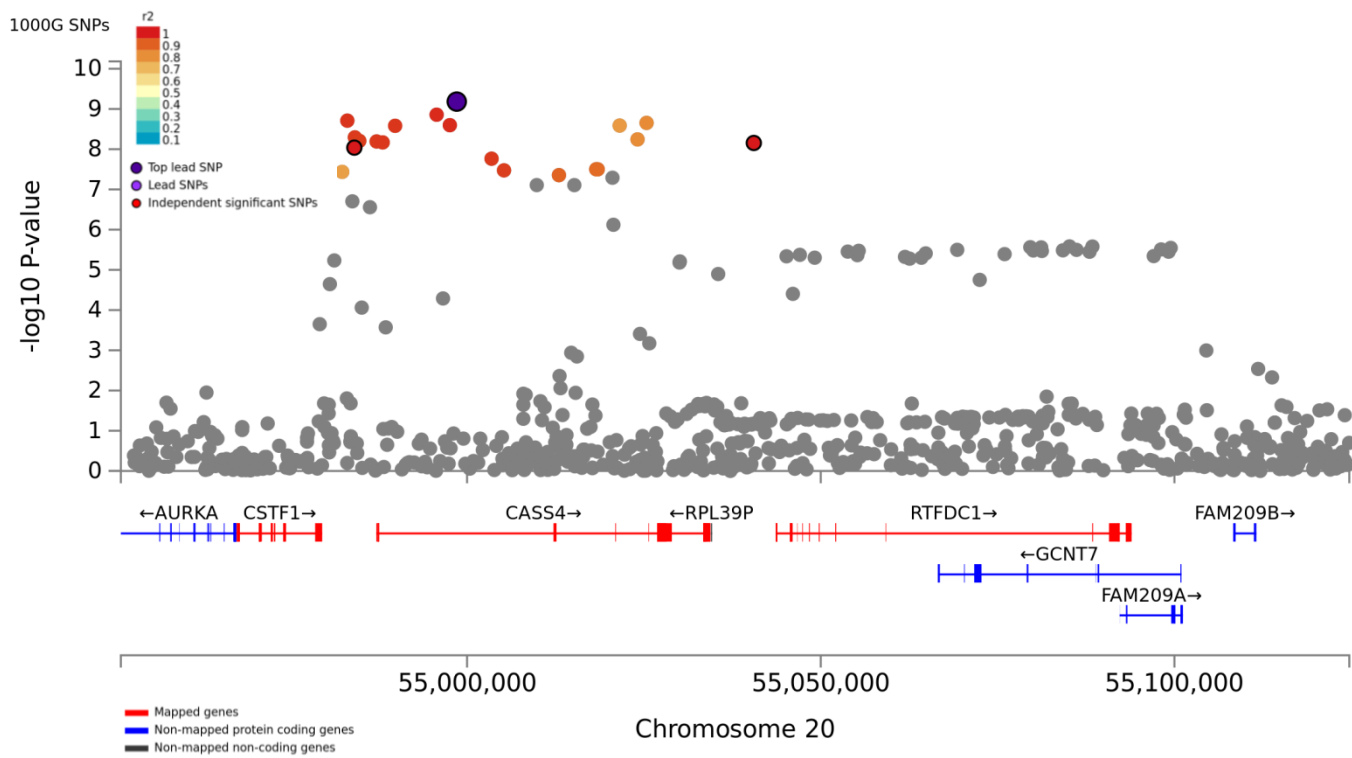




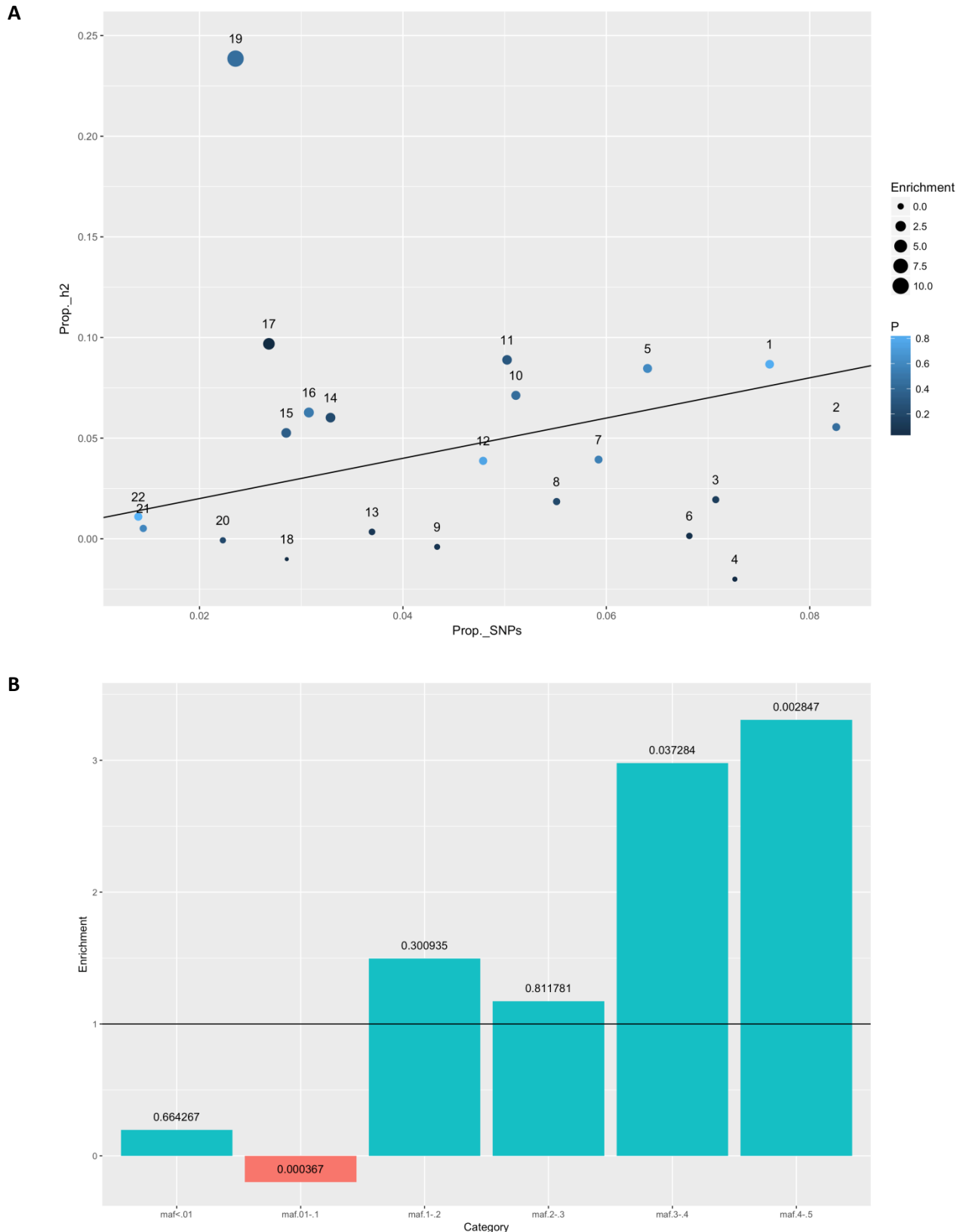




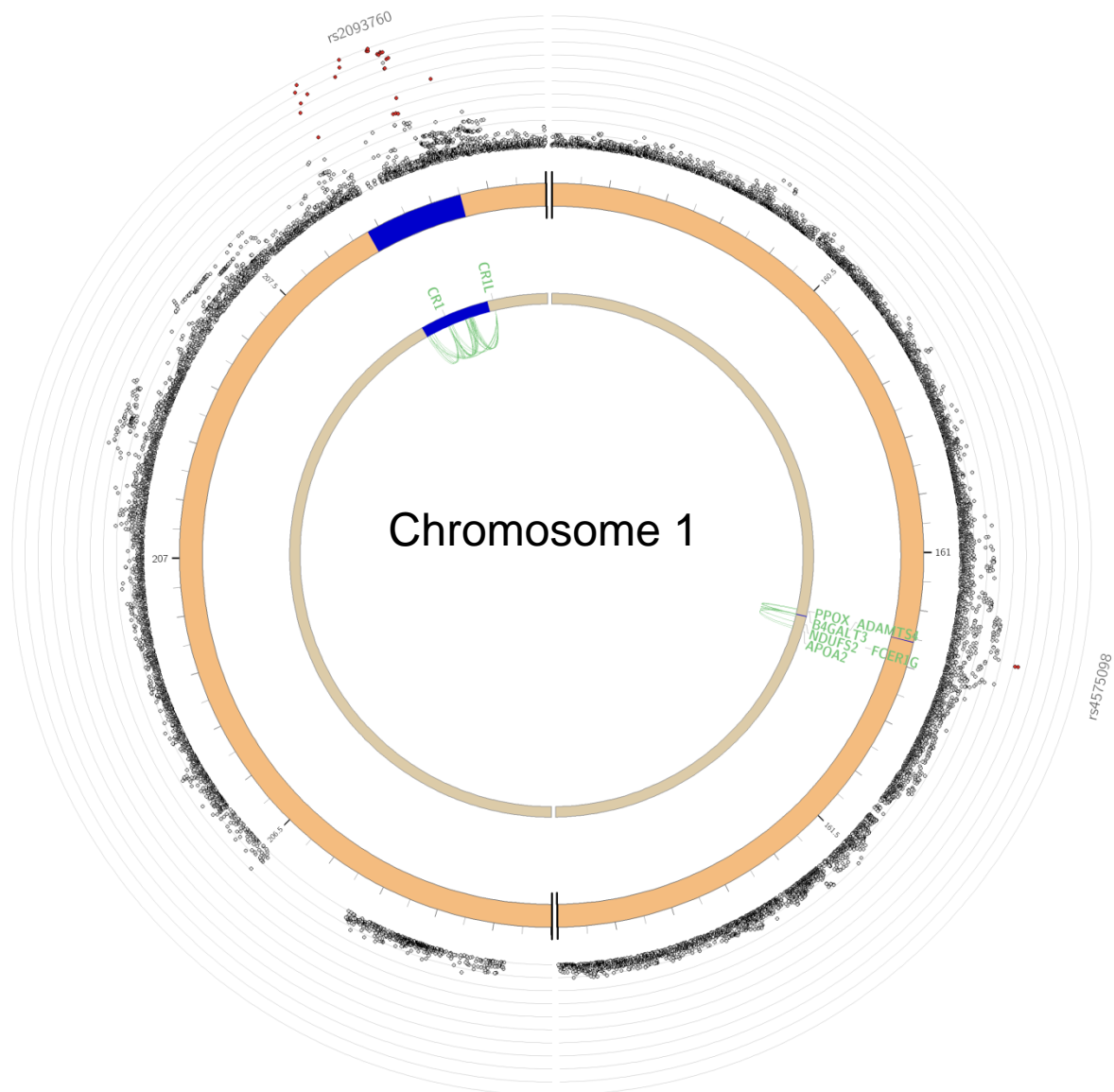


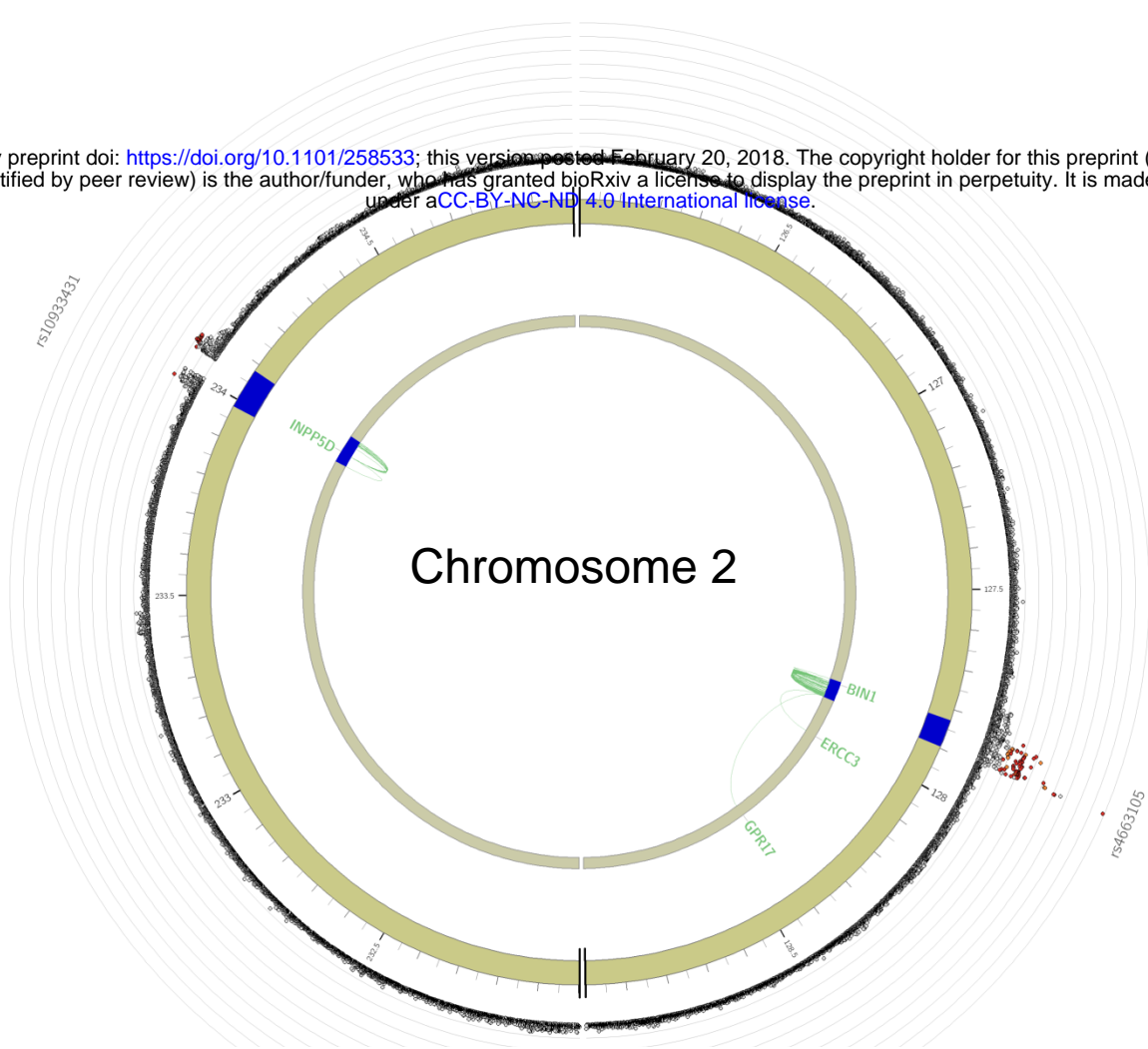


**Supplementary Figure 3. Partitioned heritability results for the meta-analysis.** Variants were binned by chromosome or minor allele frequency and tested for a significant over- or underrepresentation as to what is expected by chance. A) Enrichment results for heritability calculations where variants have been partitioned per chromosome. B) Enrichment results for heritability calculations where variants have been partitioned into multiple categories based on minor allele frequency.

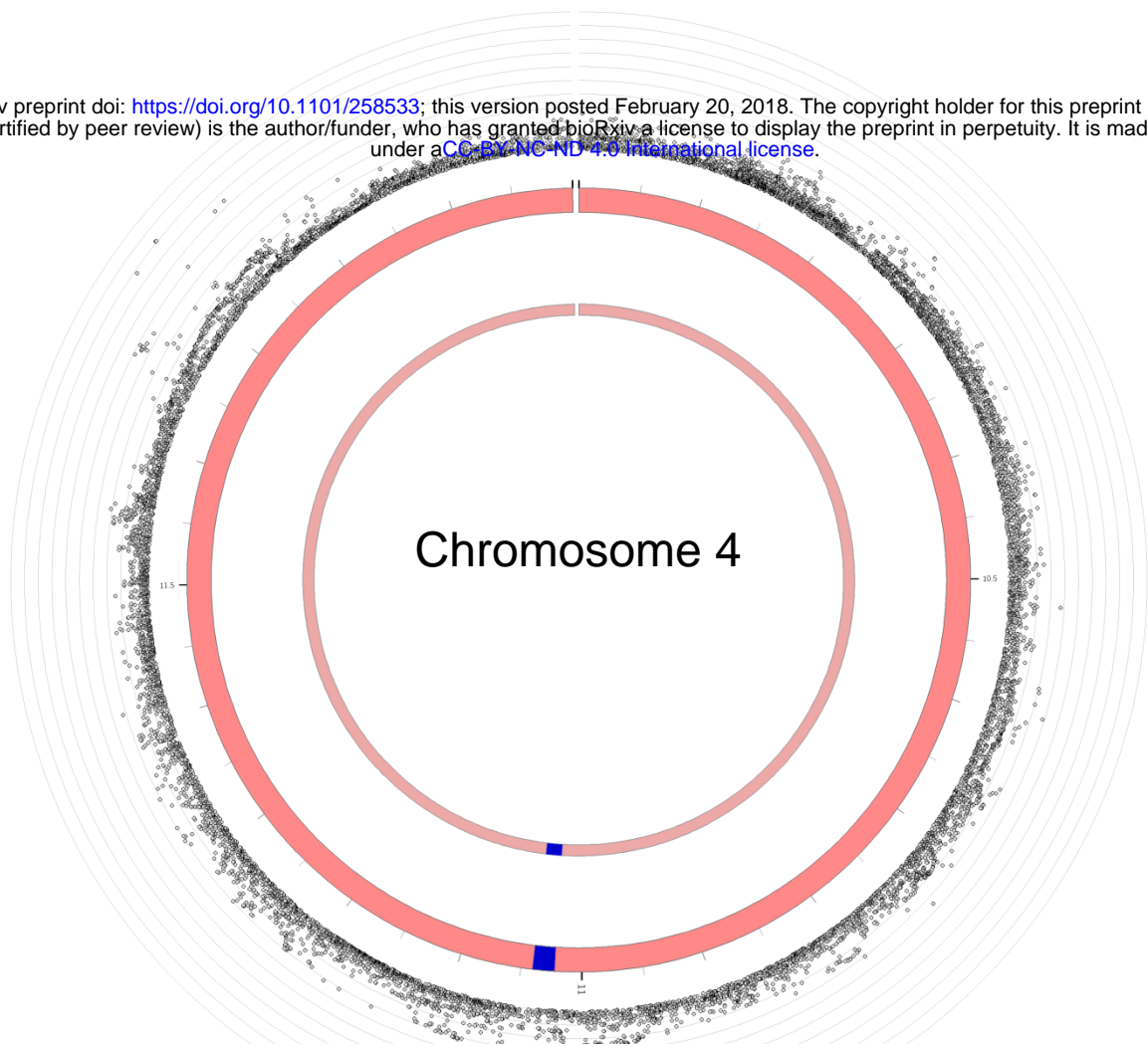


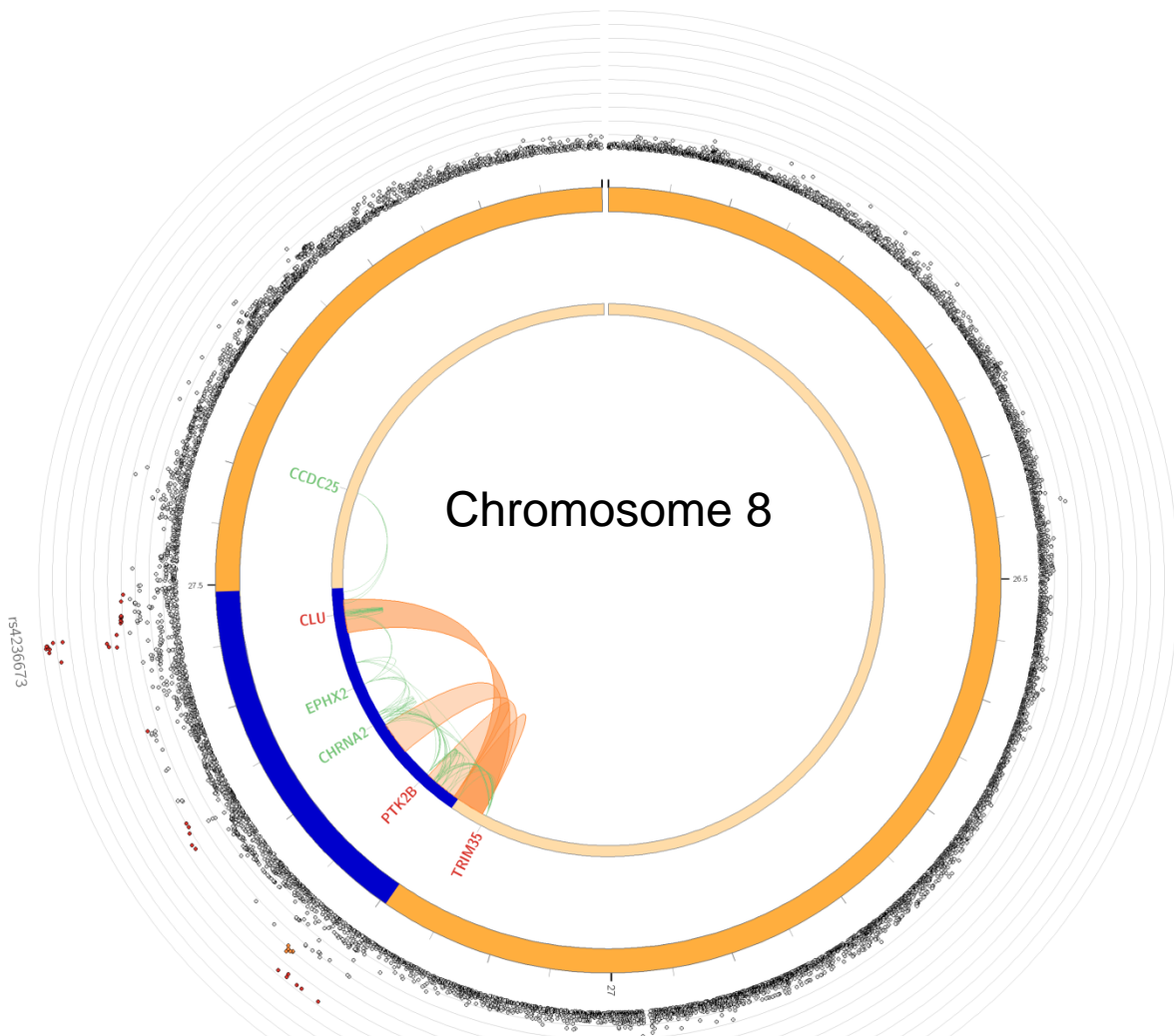
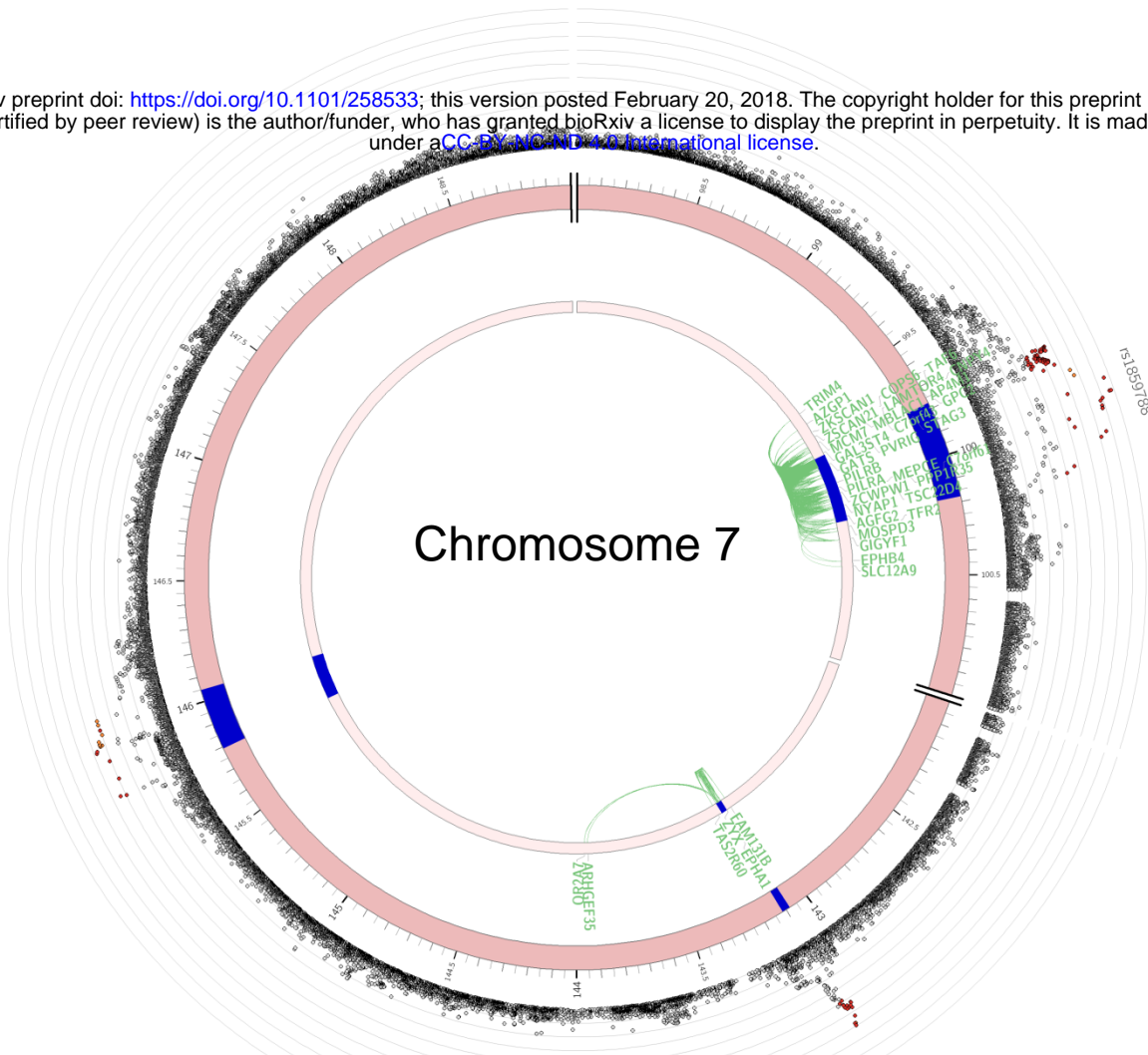
**Supplementary Figure 4. Full circles plots of chromatin interactions and eQTLs for all chromosomes with significantly associated loci.** The distinct layers and colors correspond to various features. The outer layer contains zoomed in Manhattan plots containing only SNPs with  $P < 0.05$ . SNPs in genomic risk loci are color-coded as a function of their maximum  $r^2$  to the one of the independent significant SNPs in the locus, as follows: red ( $r^2 > 0.8$ ), orange ( $r^2 > 0.6$ ), green ( $r^2 > 0.4$ ) and blue ( $r^2 > 0.2$ ). SNPs that are not in LD with any of the independent significant SNPs (with  $r^2 \leq 0.2$ ) are grey. The second layer displays the position of the genomic risk loci in blue. The third layer contains the mapped genes that are implicated by chromatin interactions and/or eQTL analysis (orange = chromatin interaction; green = eQTL; red = chromatin interaction and eQTL).

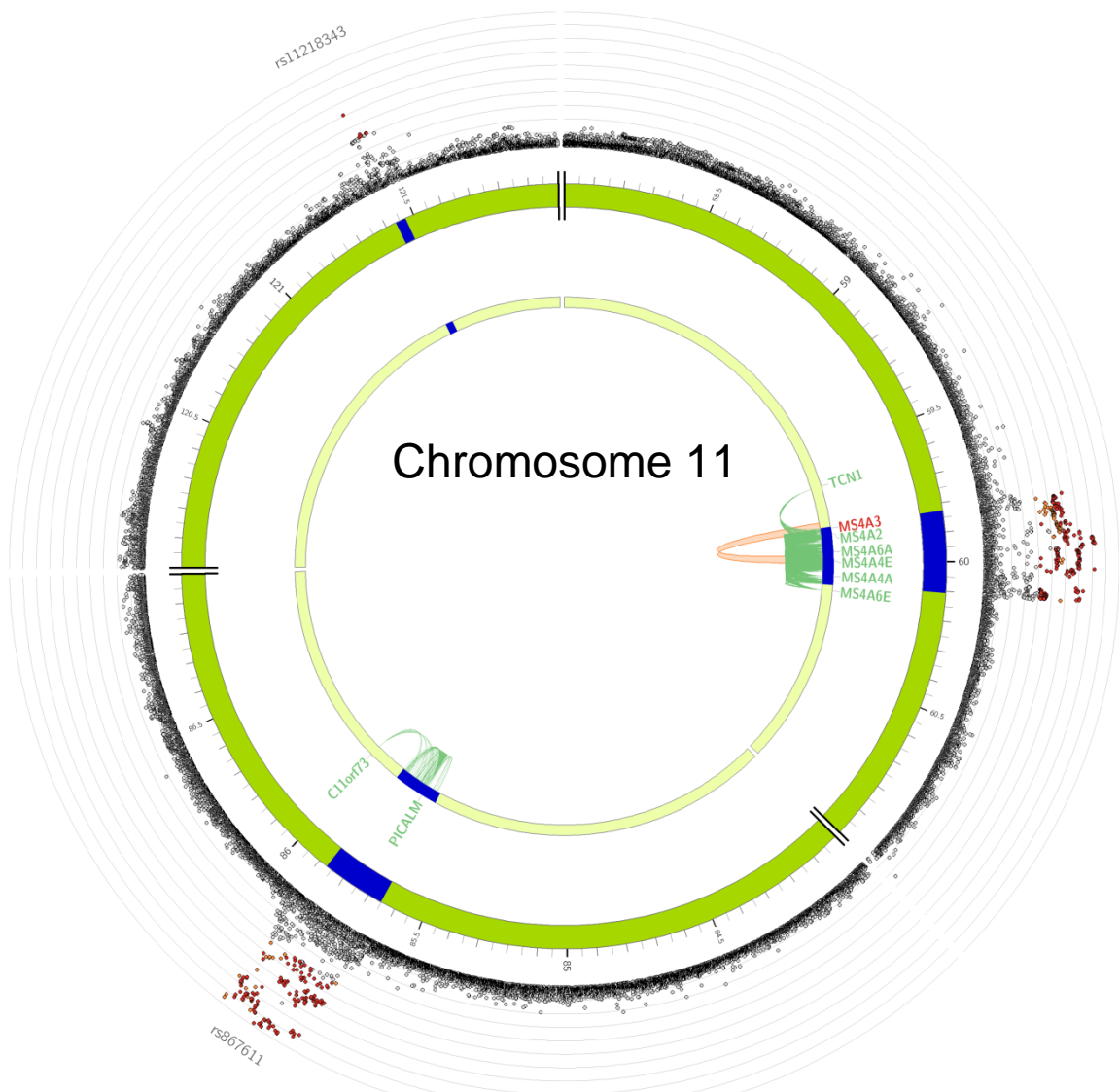
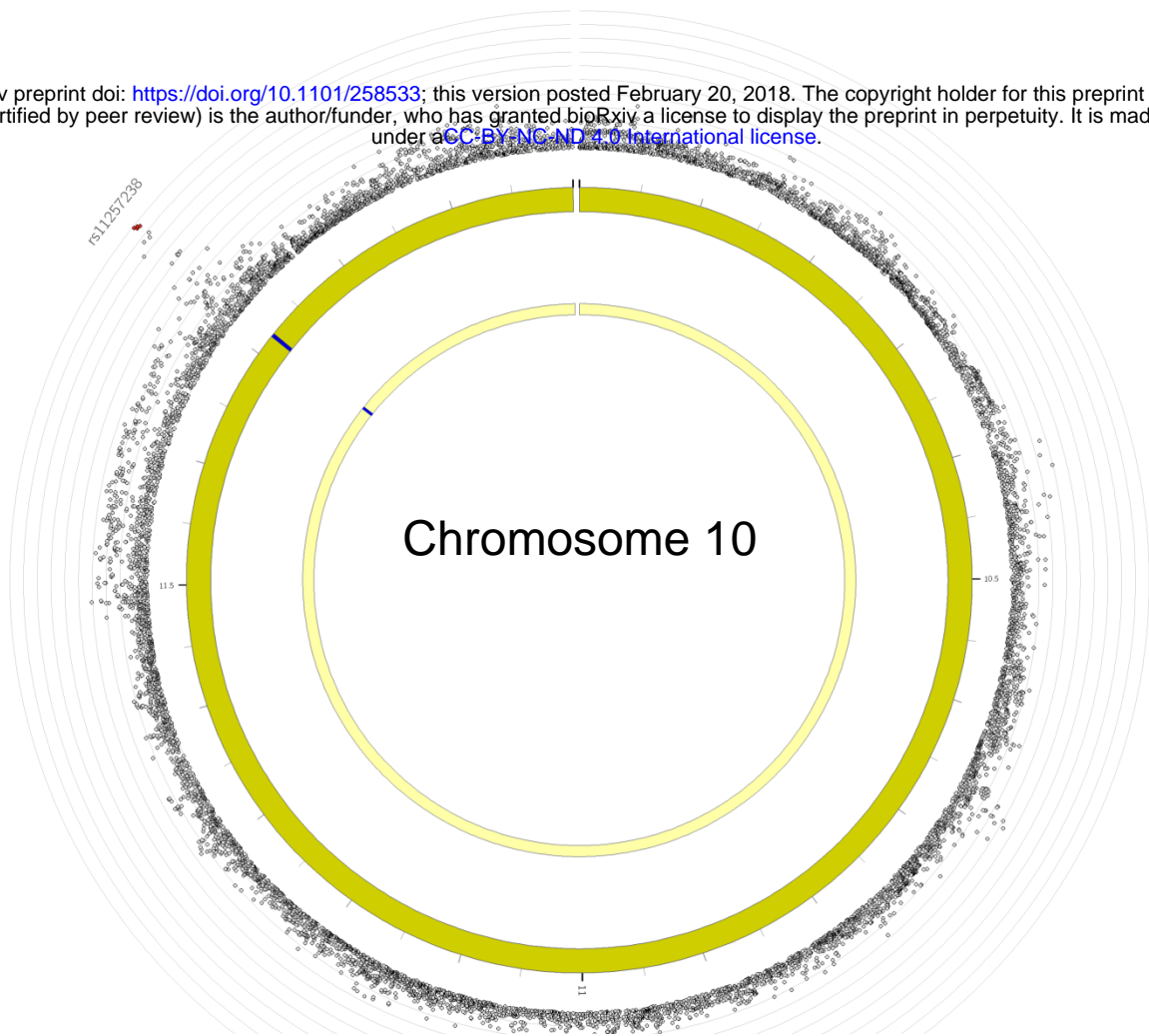


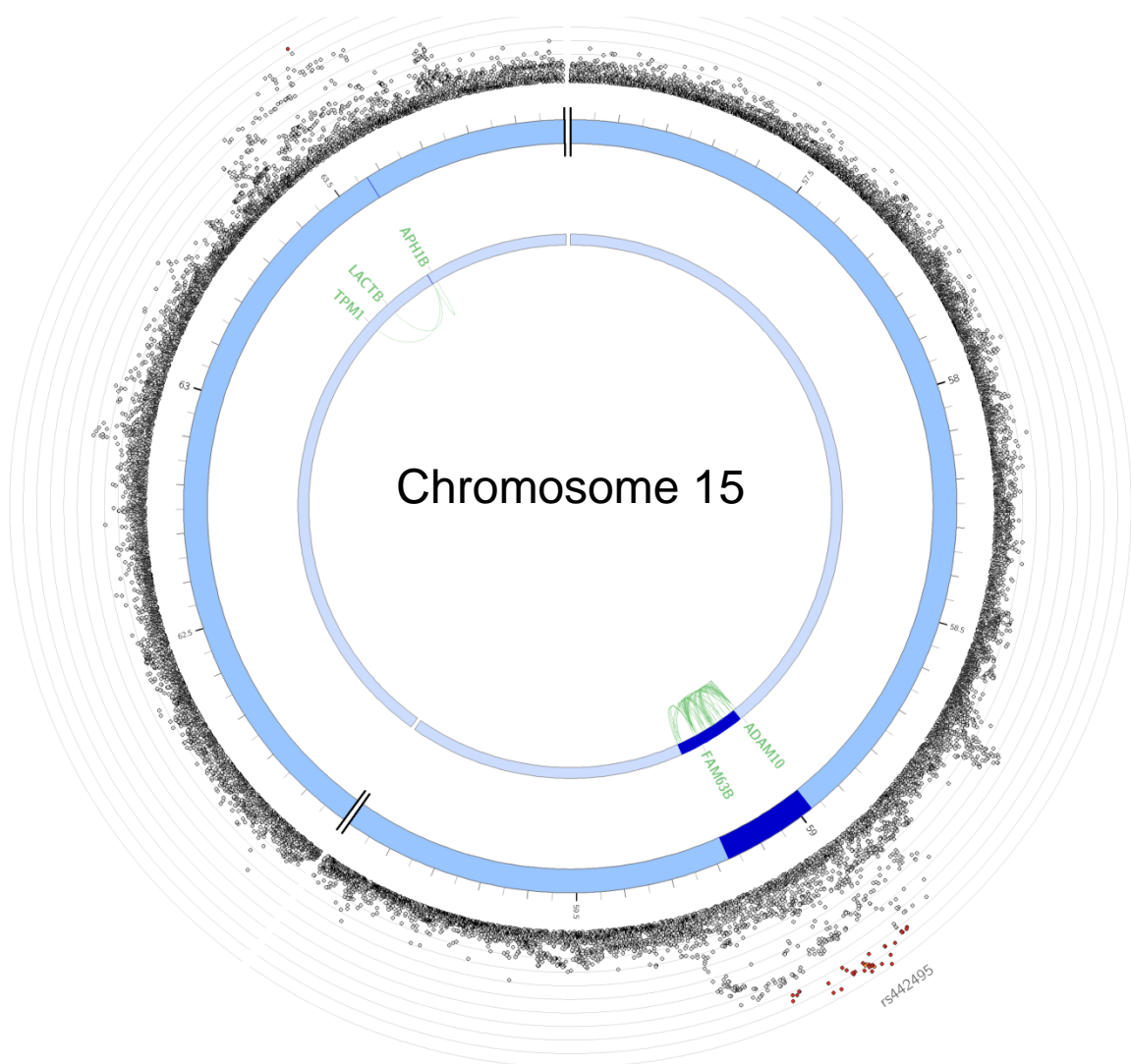
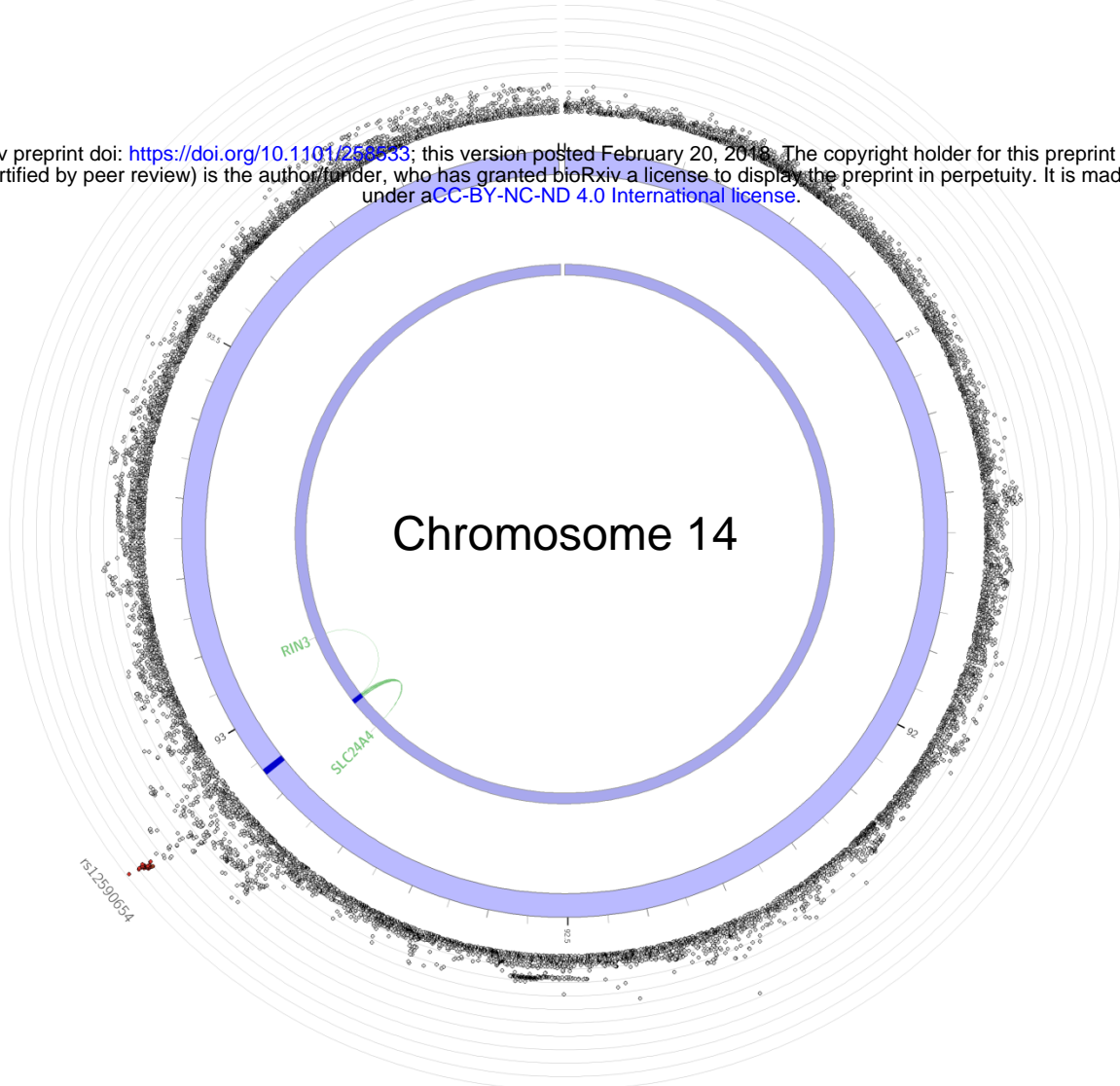


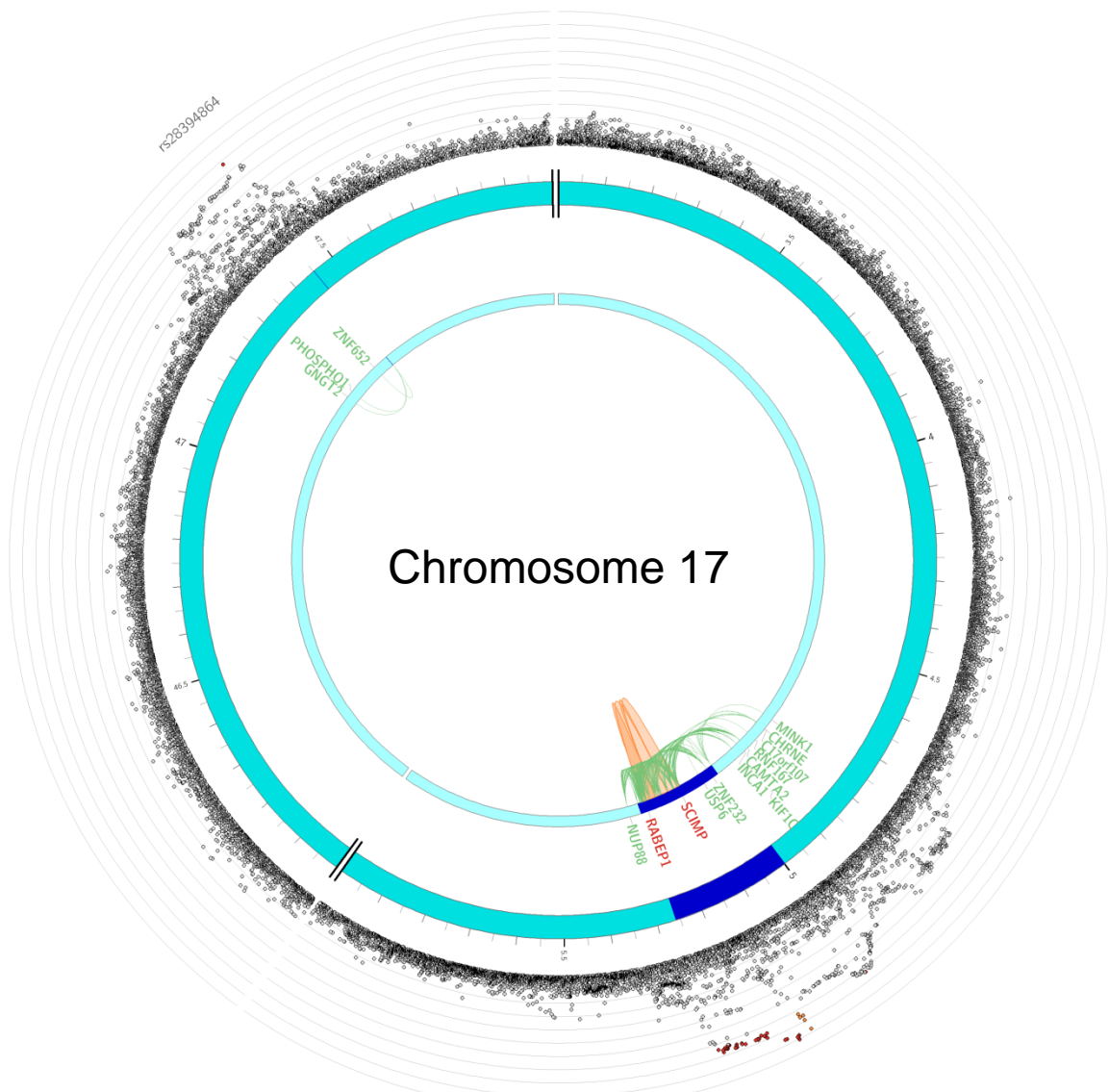
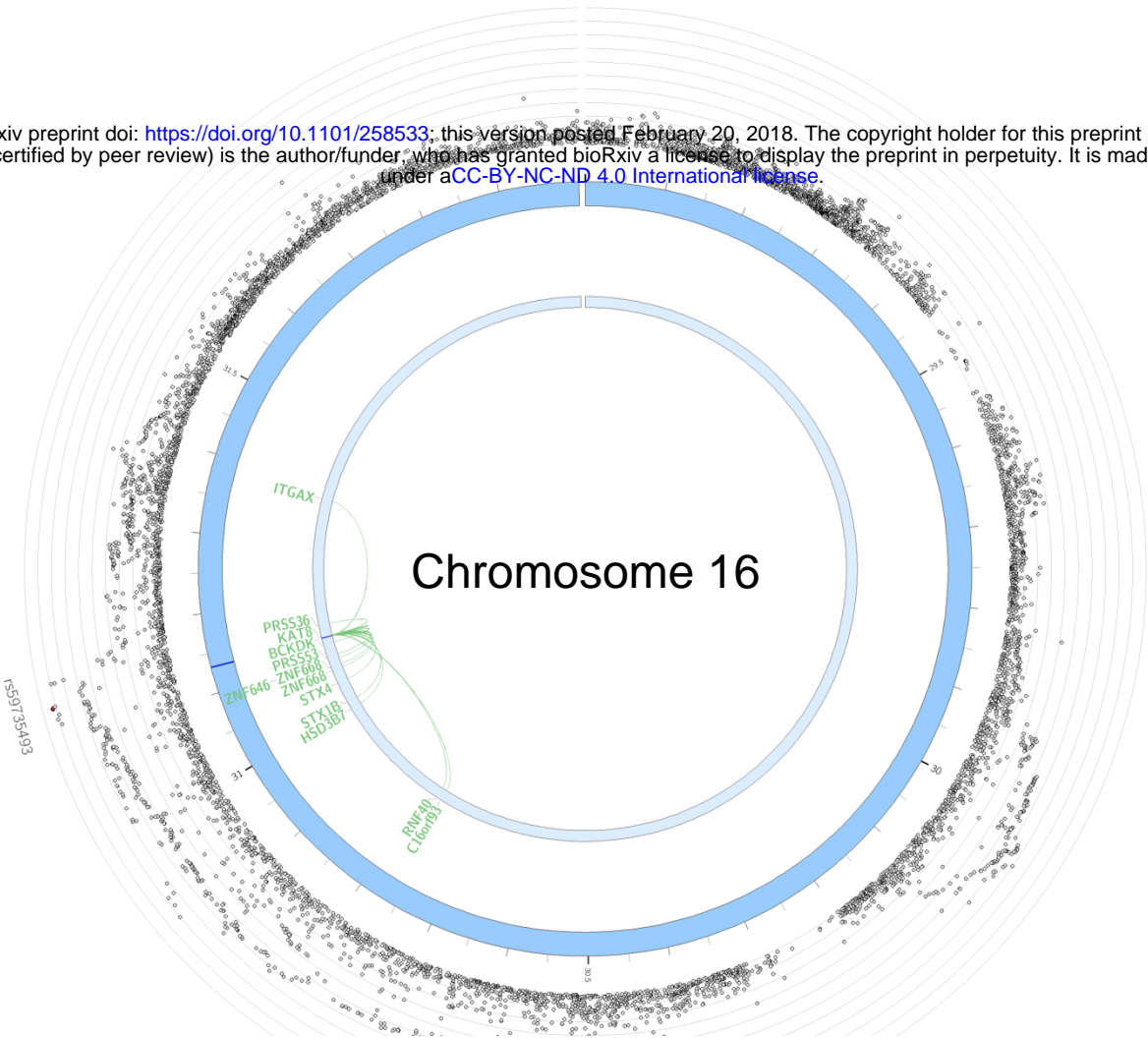


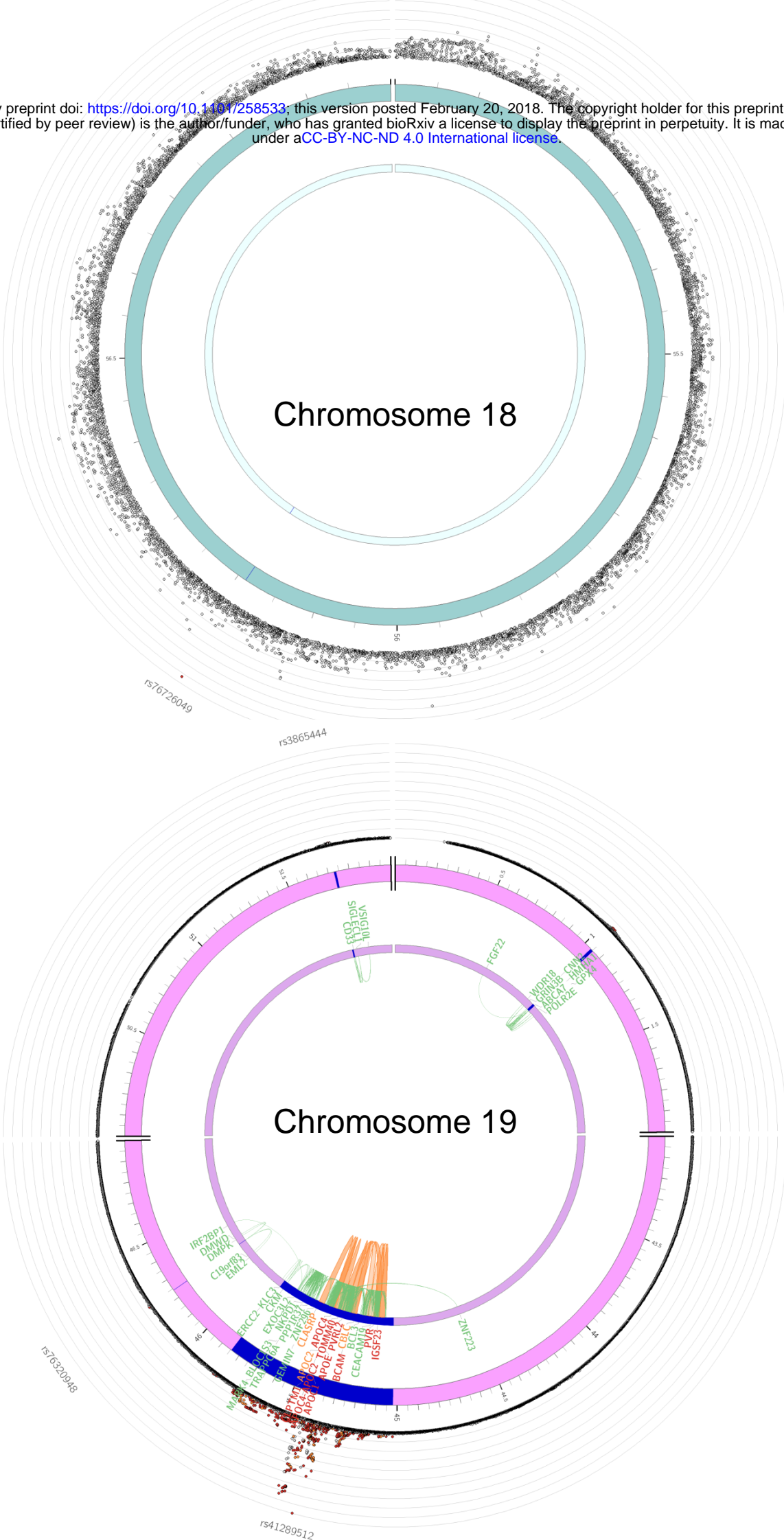


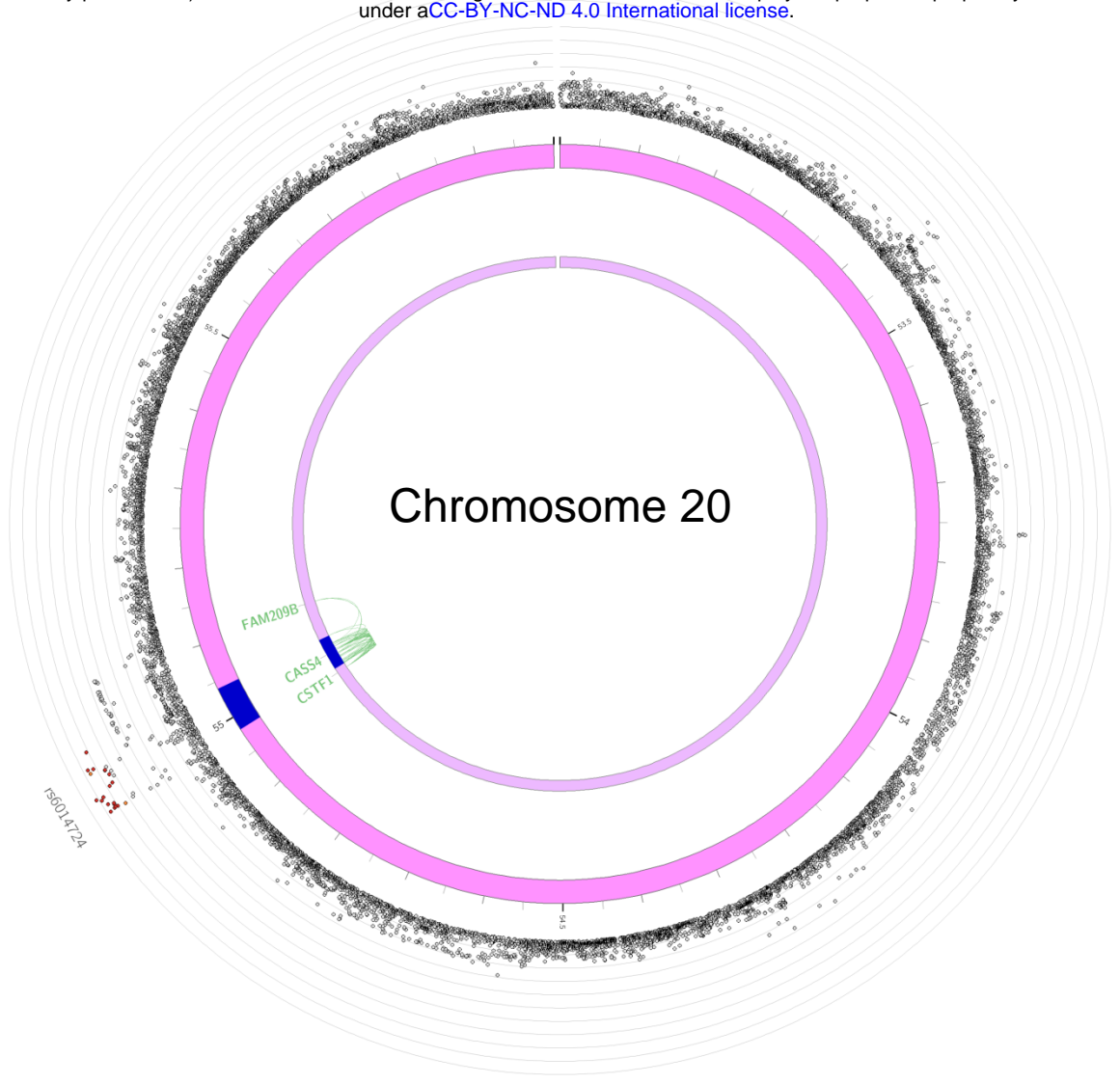




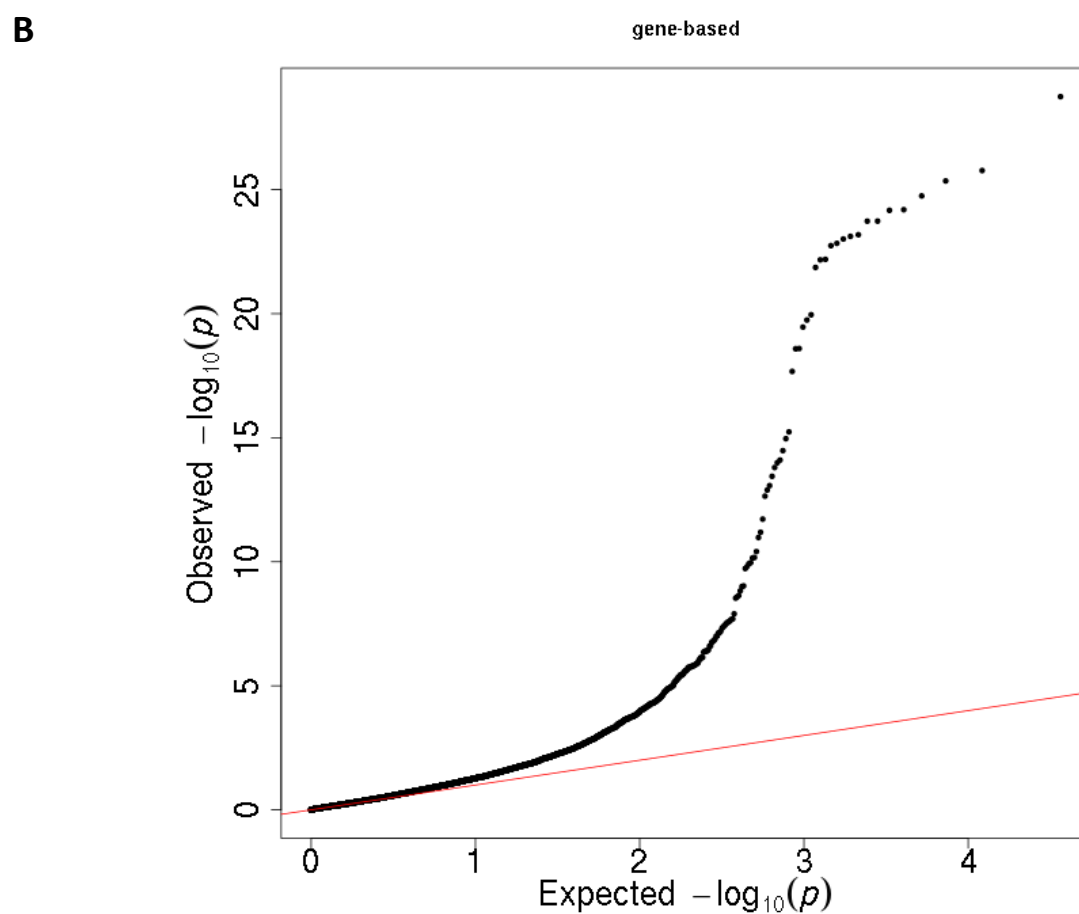
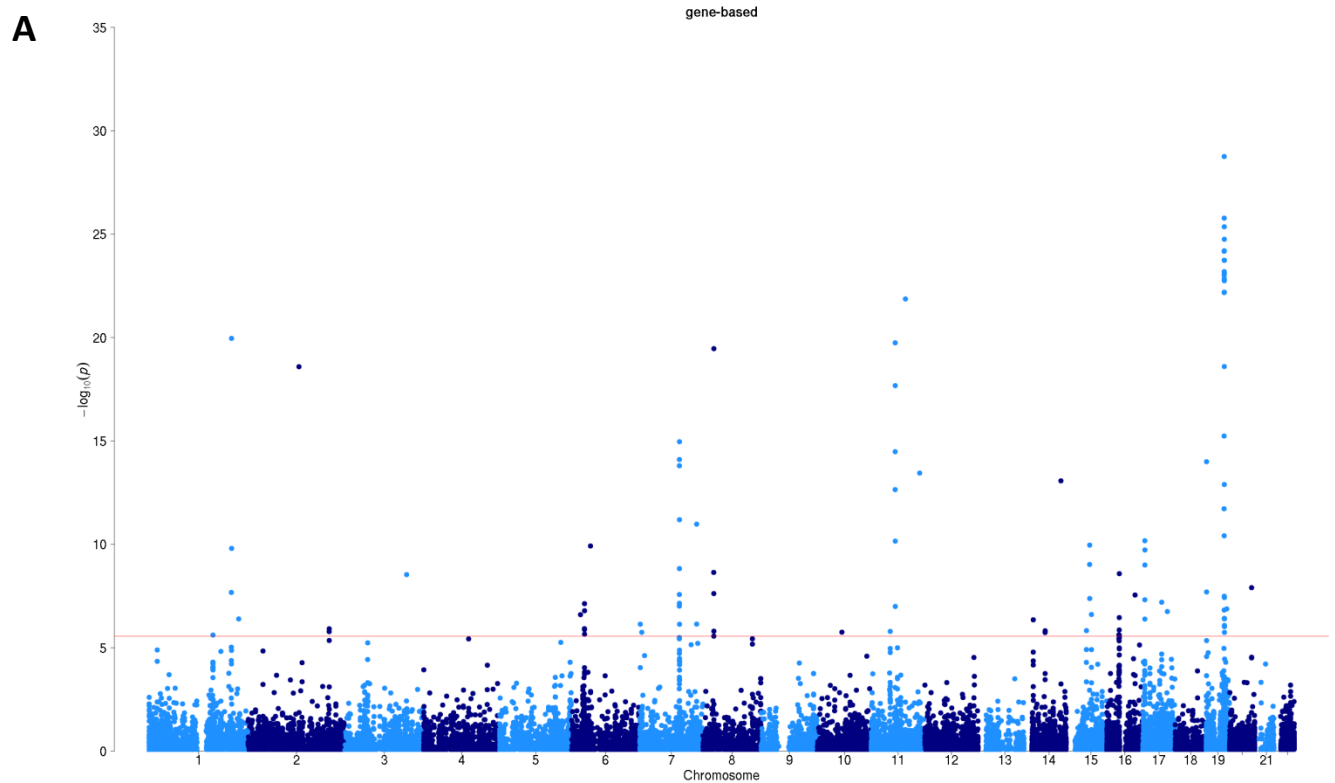






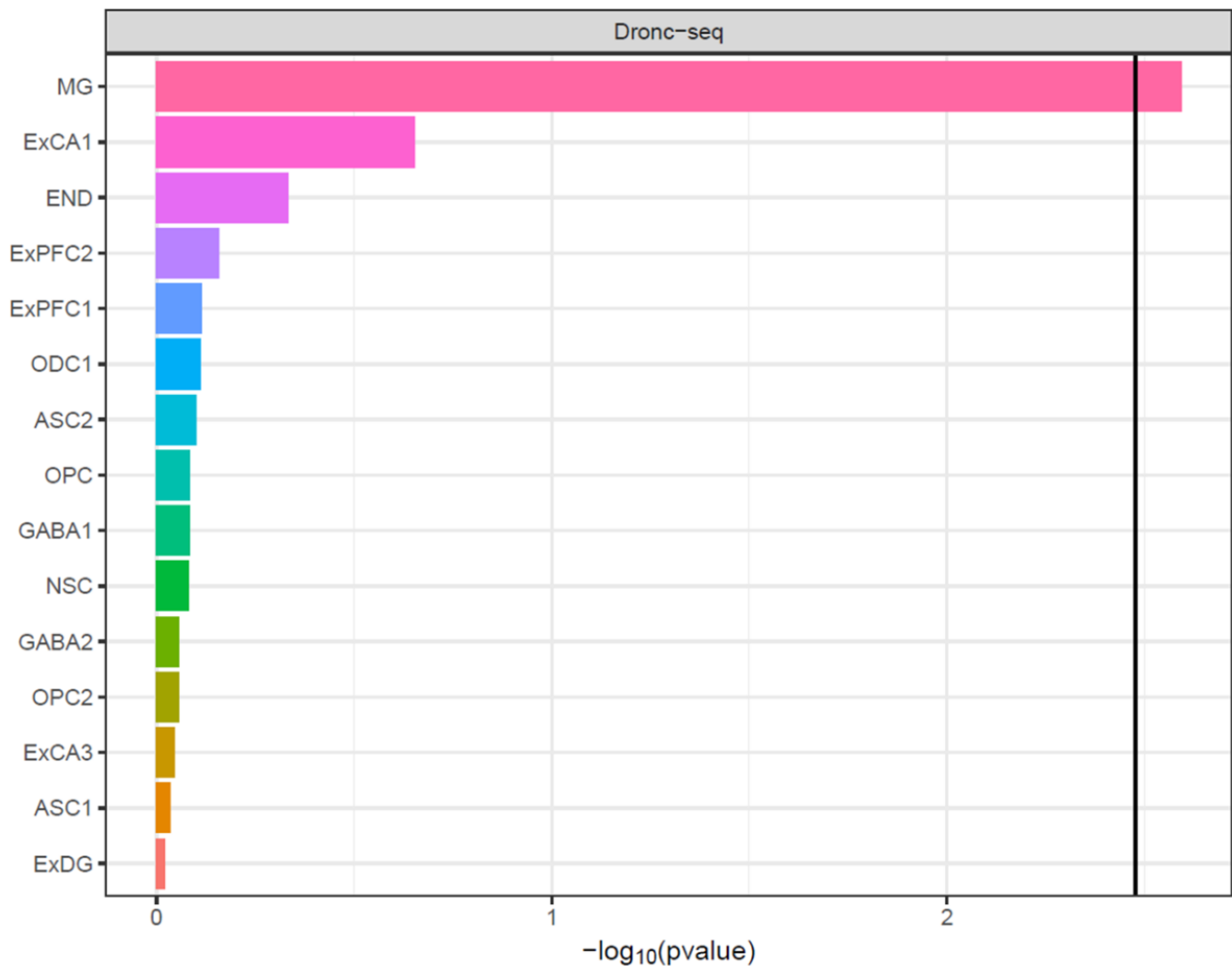


**Supplementary Figure 5. Gene-based association results with MAGMA.** A) The Manhattan plot displays all associations per gene ordered according to their genomic position (start of gene) on the x-axis and showing the strength of the association with the  $-\log_{10}$  transformed  $P$ -values on the y-axis. B) The QQ plot displays the expected  $-\log_{10}$  transformed  $p$ -values on the x-axis and the observed  $-\log_{10}$  transformed  $p$ -values on the y-axis.





**Supplementary Figure 6. Single-cell expression gene-set results of human brain tissue.** The black vertical line indicates the significance threshold correcting for number of tests within category. MG = microglia; ExCA1 = Hippocampal CA 1 pyramidal neurons; END = Endothelial cells; ExPFC2 = Prefrontal glutamergic neurons 2; ExPFC1 = Prefrontal glutamergic neurons 1; ODC1 = Oligodendrocytes; ASC2 = Astrocytes 2; OPC = Oligodendrocyte precursor cells 1; GABA1 = GABAergic interneurons 1; NSC = Neuronal stem cells; GABA2 = GABAergic interneurons 2; OPC2 = Oligodendrocyte precursor cells 2; ExCA3 = Hippocampal CA 3 pyramidal neurons; ASC1 = Astrocytes 1; ExDG = Dentate gyrus granule neurons.



**Supplementary Figure 7.** Mendelian Randomization tests for the effect of correlated phenotypes on risk for Alzheimer's disease. For independent significant SNPs from each correlated phenotype, effect sizes of the SNPs for Alzheimer's disease ( $b_{zx}$ ) are shown on the x-axis and effect sizes for correlated phenotypes are on the y-axis ( $b_{zy}$ ). The dotted line represents a line with slope of ( $b_{xy}$ ) and an intercept of 0. Red dots represent outliers that were excluded for the Mendelian Randomization analysis.

