

1 **Sperm morphology differences associated with pig fertility**

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21 **Short title:**

22 Pig sperm morphology analysis

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26

27 **Abstract**

28 Artificial insemination is routinely used in commercial pig breeding, for which the use of high quality  
29 semen samples is imperative. Currently, semen quality is determined manually by morphological  
30 assessment. This method leads to high inter-operator variability due to its subjective nature. The  
31 development of a semi-automated software-based approach to assess sperm morphology would  
32 enable faster identification of morphological defects and permit identification of subtle differences  
33 that may affect fertilisation success. Here we have used a novel method to comprehensively analyse  
34 pig sperm nuclear morphology in greater detail than was previously possible. Semen samples from 50  
35 fertile and 50 sub-fertile samples that had been previously manually categorised as fertile or sub-  
36 fertile were analysed using this new method, with at least 200 fixed and DAPI (4',6-diamidino-2-  
37 phenylindole) stained sperm heads imaged per sample. Differences in sperm nuclear morphology  
38 were observed between fertile and sub-fertile samples; specifically, fertile samples were associated  
39 with higher mean nuclear area, a consequence of a greater head width and a lower variability between  
40 sperm heads. This novel, unbiased and fast analysis method demonstrates a significant difference in  
41 sperm head morphology between fertile and sub-fertile animals, and has the potential to be further  
42 developed and used as a tool for sperm morphology assessment in the pig breeding industry.

43

44 **Keywords: Morphology, morphometrics, nucleus, pig, sperm**

45

## 46 Introduction

47 Male fertility is a consequence of both the number and quality of sperm (Cooper *et al.*, 2009). In  
48 humans, many issues with male infertility are addressed using one of a range of assisted reproductive  
49 technology (ART) procedures, such as *in vitro* fertilisation (IVF) and intracytoplasmic sperm injection  
50 (ICSI). In agriculturally significant species (for example, pigs, cattle and sheep), where a key goal is to  
51 maximise the production of meat at a low cost, male fertility is also a challenge (Tardif *et al.*, 1999).  
52 To this end, improving reproductive traits is of paramount importance. In such species, the critical aim  
53 is often to identify sub-fertile animals quickly and cheaply so they can be removed from breeding  
54 schemes; boars from a nucleus herd with fertility problems have the potential to reduce litter sizes  
55 throughout the breeding population (O'Connor *et al.*, 2017).

56

57 Artificial insemination (AI), is the oldest (Roca *et al.*, 2006) and most routinely used technique in  
58 commercial animal breeding, especially in livestock species (Dziuk and Henshaw, 1958; Polge *et al.*,  
59 1968; Johnson *et al.*, 1981; Singleton, 2001; Gerrits *et al.*, 2005; Roca *et al.*, 2006; Feitsma, 2009). Over  
60 the past three decades, the use of AI has benefited the pig breeding industry, particularly in Europe  
61 where over 80% of sows are bred through AI (Roca *et al.*, 2006). In North America the technique is  
62 also widely implemented, especially in large farming units (Gerrits *et al.*, 2005). The principal objective  
63 of AI in the pig breeding industry is to permit the dissemination of genetics from high genetic merit  
64 boars to as many sows as possible. Without AI more boars would be needed and hence animals of  
65 lower genetic merit would be required in breeding programmes. Moreover, the technique enables the  
66 opportunity to introduce superior genetic traits into sows whilst reducing the incidence of disease  
67 transmission, an advantage that does not exist with natural mating (Maes *et al.*, 2008). AI is achieved  
68 by depositing spermatozoa into the female genital tract using artificial devices and processes. The  
69 standardised method of insemination is the intra-cervical insemination technique, with the semen  
70 dose deposited in the posterior region of cervical canal (Roca *et al.*, 2006).

71

72 In humans, semen analysis is widely used to evaluate male fertility in infertile couples (Rowe *et al.*,  
73 1993) and may also be used for the determination of reproductive toxicity in therapeutic and  
74 environmental agents (Apostoli *et al.*, 1998; U.S. Environmental Protection Agency, 1996). Various  
75 physical characteristics of semen are assessed and whilst parameters such as volume, appearance, pH  
76 and viscosity are considered important (Maree *et al.*, 2010), several studies have shown that sperm  
77 morphology is critical when determining semen quality and hence quantifying male fertility (MacLeod  
78 and Gold, 1951; Hartman *et al.*, 1964; Eliasson, 1971; Menkveld and Kruger, 1996; Coetzee *et al.*, 1998;  
79 Auger *et al.*, 2016). Generally, the cut-off values of what is considered 'normal' vary and are  
80 dependent on the fertility clinic. However, the following benchmarks were published in the World  
81 Health Organisation's 5<sup>th</sup> edition of "normal semen analysis": morphology ( $\geq 4\%$  normal forms), total  
82 motility ( $\geq 40\%$ ), vitality ( $\geq 58\%$  live), sperm concentration ( $\geq 15,000,000$  per mL) and volume ( $\geq 1.5$  mL)  
83 (Rowe *et al.*, 1993; WHO, 2010). To date, a number of studies have been performed to analyse semen  
84 composition (Huggins *et al.*, 1942; Owen, 2005) and to establish the relationship between sperm  
85 quality and fertility in men (Paz *et al.*, 1977; Overstreet and Katz, 1987; Martin and Rademaker, 1988;  
86 Perreault *et al.*, 2003; Jung and Schuppe, 2007; Agarwal *et al.*, 2008). One such study by Guzick and  
87 colleagues used several comparative semen analyses of fertile and infertile men, to determine the  
88 most appropriate measurements that could be used in the determination of fertility potential in men  
89 (Guzick *et al.*, 2001). Here, it was established that whilst threshold values for sperm motility,  
90 concentration and morphology could be used in the classification of males into fertile, indeterminate  
91 fertility, or sub-fertile categories, these measures cannot be used independently for the diagnosis of  
92 male infertility (Guzick *et al.*, 2001).

93

94 In livestock species, a key contribution to successful fertilisation following AI is also the use of high  
95 quality semen during insemination. As such, routine assessment of semen quality is a standard process  
96 in the animal breeding industry (López Rodríguez *et al.*, 2013). A issue is however that the mammalian  
97 ejaculate does not contain a homogenous population of spermatozoa (Holt and Van Look, 2004); in

98 general analysis of boar semen, semen quality is considered “normal” if the frequency of abnormal  
99 sperm heads does not exceed 10%. “Normality” can also be assumed if the frequency of abnormalities  
100 in acrosomes, mid-pieces, tails or proximal cytoplasmic droplets is less than either 5% each or 15%  
101 when combined (Saravia *et al.*, 2007). Whilst some abnormalities such as the existence of distal  
102 cytoplasmic droplets are considered irrelevant to fertility assessment, other abnormalities are  
103 regarded as serious defects due to their ability to hinder fertilisation and cause infertility (Saravia *et*  
104 *al.*, 2007). Some of these include decapitated spermatozoa, acrosomal plicae (knobbed defect),  
105 nuclear vacuoles (diadem defect), short tails (tail stump), coiled tails (dag defect) and corkscrewed  
106 mid-pieces (Saravia *et al.*, 2007).

107

108 As well as studying the morphology of whole spermatozoa, a limited number of studies have focused  
109 on analysis of sperm nuclear morphometry in agricultural animals (Vicente-Fiel *et al.*, 2013a, b). A  
110 number of measurements of sperm nuclei have been compared in cattle (*Bos taurus taurus*), sheep  
111 (*Ovis orientalis aries*), goats (*Capra aegagrus hircus*) and pigs (*Sus scrofa domesticus*) using computer-  
112 assisted sperm morphometry analysis-F (CASMA-F) (Vicente-Fiel *et al.*, 2013a, b). Whilst mean  
113 numerical values for area, perimeter, length and width were identified for each of the four species  
114 studied, the key findings of this study were that drying and fixation only has a minimal effect on sperm  
115 nuclear morphometry and that variations between morphometric parameters do exist between the  
116 sperm nuclei of each species (Vicente-Fiel *et al.*, 2013a). A second study (Vicente-Fiel *et al.*, 2013b)  
117 used a combination of the CASMA-F method and multivariate cluster analysis to identify  
118 subpopulations of spermatozoa within the same four species. Based on these nuclear morphometrics,  
119 three subpopulations, namely, large, small-elongated and small-round were identified. Whilst it had  
120 previously been shown that sperm shape differed between high and low fertility bulls (Ostermeier *et*  
121 *al.*, 2001), such observations have not been made in pigs. Currently, manual morphological  
122 assessment of a semen sample requires the observation of at least 500 sperm heads per sample  
123 (Saravia *et al.*, 2007) which is laborious and may lead to high inter-operator variability due to the

124 subjective nature of this assessment method. Here, we demonstrate the use of a semi-automated  
125 software based approach to assess sperm head morphometrics in both fertile and sub-fertile pigs.

126

## 127 **Materials and methods**

### 128 ***Semen collection***

129 Fresh ejaculated sperm samples from boars of various breeds including Large White, Landrace, White  
130 Duroc, Hampshire and Pietrain were collected using the 'gloved hand method' (King and Macpherson,  
131 1973), by trained staff at JSR Genetics Ltd.. Samples were stored in Duragen extender, supplemented  
132 with no less than: 500 IU per ml streptomycin; 500 IU per ml penicillin; 150 mg per ml lincomycin; and  
133 300 mg per ml spectinomycin, diluted to 2.3 billion sperm per dose. Samples were stored at 17°C and  
134 were prepared within two days following collection.

135

### 136 ***Sample preparation***

137 Prior to preparation of samples for this study, semen samples were identified as either fertile or sub-  
138 fertile using a combination of computer assisted sperm analysis (CASA), followed by manual  
139 assessment. Specifically, samples that had a normal morphology score of above 70% (obtained from  
140 CASA) and a motility score of above 4 (motility was graded from 1 to 5, 1 being dead and 5 being  
141 excellent) (subjective manual assessment) were graded as fertile and those falling below these criteria  
142 were graded as sub-fertile.

143

144 50 fertile and 50 sub-fertile samples were used in this study. 2mL of each semen sample was  
145 centrifuged at 300g for 5 minutes at 17°C. The supernatant was discarded, the pellet was re-  
146 suspended in 1.5mL of fixative solution (100% methanol and 100% acetic acid, added dropwise at a  
147 3:1 ratio) and centrifuged at 300g for 5 minutes at 17°C. The supernatant was discarded and the pellet  
148 was re-suspended in 1.5mL of fixative solution. 10µL of each sample was then dropped onto the centre  
149 of the surface of a labelled (sample ID, date), steam-warmed slide, immediately followed by 10µL of

150 fixative solution. Subsequently, slides were air-dried for 2 minutes before 1 drop of fluorescent DAPI  
151 (4',6-diamidino-2-phenylindole) was added to the centre of the slide. Prepared slides were air-dried  
152 in the dark, for at least 20 minutes prior to microscopy.

153

#### 154 ***Image acquisition***

155 An Olympus IX83 inverted fluorescence microscope equipped with CellSens Dimension version 1.9  
156 (expandable imaging software for Life Science microscopy) was used for image capturing. A minimum  
157 of 200 nuclei were imaged (at 1000x magnification) per sample.

158

#### 159 ***Data analysis***

160 Images were analysed using the ImageJ plugin 'Nuclear Morphology Analysis' (see Skinner *et al.*, 2018,  
161 freely available under the GNU General Public License version 3 from  
162 [https://bitbucket.org/bmskinner/nuclear\\_morphology/wiki/Home](https://bitbucket.org/bmskinner/nuclear_morphology/wiki/Home)). The analysis software enables  
163 automated recognition of round or asymmetric nuclei within an image of interest, and subsequent  
164 morphological analysis of these nuclei. Initially developed for the analysis of mouse sperm (Skinner *et*  
165 *al.*, 2018), we adapted the feature recognition to analyse pig sperm. The software generates a range  
166 of measures; in this study, sperm heads were measured for: Area; Perimeter; Bounding Height and  
167 Bounding Width, the dimensions of the rectangle perfectly enclosing the nucleus when vertically  
168 oriented; Circularity, a measure between 0 and 1 indicating how circular the heads are, with 1  
169 indicating a perfect circle; Minimum Width across the centre of mass; Aspect, the ratio produced from  
170 height divided by width; and Variability, a per-nucleus measure calculated as the square root of the  
171 sum-of-squares difference at each index between the nuclear profile and the dataset median profile,  
172 normalised to the length of the median profile. Analysis was carried out using software version 1.13.5.  
173 Initial correlation analysis was used to identify redundant variables. Non-redundant variables were  
174 then further analysed. For initial comparisons between fertile and sub-fertile animals, means of each

175 variable were compared by ANOVA in R version 3.4.4 (R Core Team, 2018), with the normality of  
176 residual distribution assessed using scatter and quantile-quantile plots.

177

178 For the cluster analysis, samples were randomly allocated into two groups; a training group of 30  
179 fertile and 30 sub-fertile animals and a test group of 20 fertile and 20 sub-fertile animals. Sperm heads  
180 from the training group were used to determine if there were sperm of detectably different types.  
181 This was done by cluster analysis, with Ward linkage, squared Euclidean distance and standardised  
182 variables in Mintab v17. The representation of sperm heads from fertile and sub-fertile animals within  
183 in each identified cluster was then compared to that expected by chance. The training group was then  
184 used to investigate if identified clusters could be used to predict fertility status. This was done by using  
185 the mean cluster value from the training set to define the starting partitions for a K-means clustering.

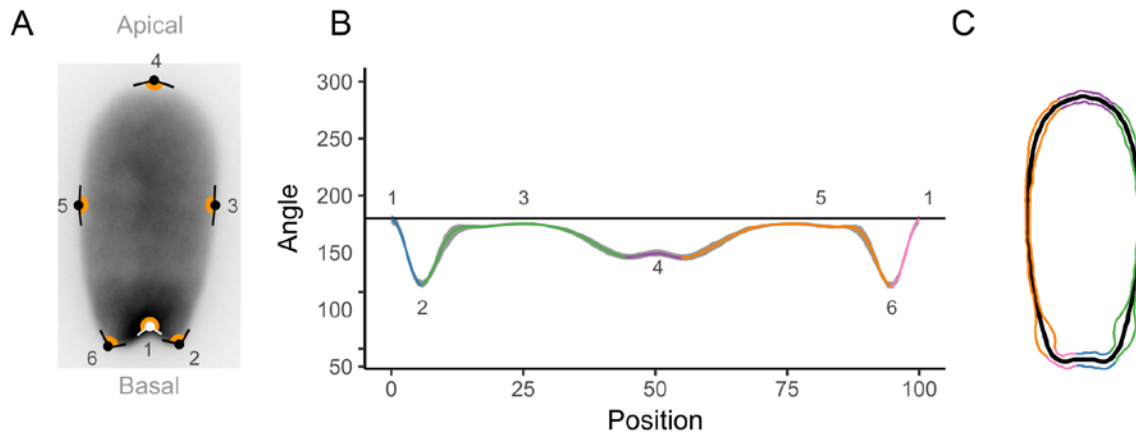
186

## 187 **Results**

188 Pig sperm are (mostly) symmetrical about the anterior-posterior axis. Without a distinctive hook, as  
189 in mouse sperm, to act as a reference point, the tail attachment point was chosen to anchor the angle  
190 profiles generated and orient nuclei. The tail attachment point is characterised by a 'dimple' in the  
191 nucleus (Figure 1A, point 1). For consistent alignment of the nuclei, we placed the tail attachment  
192 region directly below the centre of mass of the nucleus. The software output prior to statistical  
193 analyses is summarised in Figure 1. This indicates how we generate an angle profile for a given sample  
194 and how a consensus sperm head shape for the population is produced. These refolded sperm heads  
195 are then used both to trace any identified abnormalities on a particular segment of the refolded  
196 nucleus back to the angle profile, and to calculate a range of sperm head parameters for analysis.

197





198

199 **Figure 1:** A representative summary of analysis workflow. (A) DAPI stained nucleus from a fertile boar  
200 sample, captured using an Olympus IX83 fluorescence microscope with pre-installed CellSens software  
201 at 1000x magnification. The software measures the interior angles along the perimeter of the nucleus  
202 as represented by point 1-6. (B) Schematic showing how these angles generate a profile. The figure  
203 shows the median and interquartile ranges for one fertile sperm sample, and has been segmented at  
204 local maxima and minima. (C) The consensus fertile pig sperm head shape, showing positions of profile  
205 segments in the nucleus.

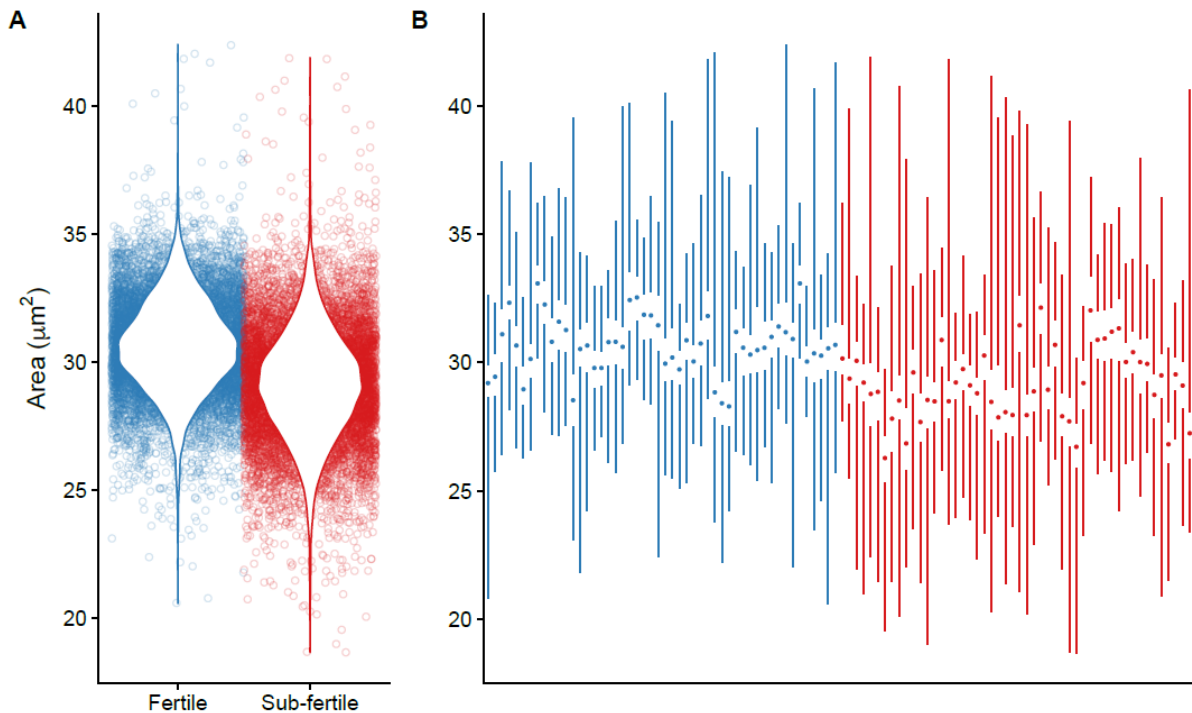
206

207 Analysis of nuclear morphology from 50 fertile and 50 sub-fertile animals yielded measures from  
208 11,534 and 11,326 nuclei, respectively. Correlation analysis of measured sperm head characters  
209 indicated that many of the measures were highly correlated (Table S1). Therefore, further analysis  
210 was undertaken using measures of Area, Circularity, Variability, Bounding Height and Bounding Width.  
211 Given the orientation of the sperm heads, Bounding Height and Width are subsequently referred to  
212 as Maximum Height and Width.

213

214 Comparisons between fertile and sub-fertile animals indicate that sperm heads differ according to  
215 fertility status, but that there is a large amount of variation between individuals (Figure 2A and B, and  
216 Figures S1-4). Analysis of mean trait values from each animal indicates that sperm heads from fertile  
217 animals have a higher overall area (Area,  $F_{1,98} = 34.55$ ,  $p < 0.001$ ), are wider (Width,  $F_{1,98} = 11.58$ ,  $p =$

218 0.001), taller (Height,  $F_{1,98} = 21.68$ ,  $p < 0.001$ ) and are less variable in shape (Variability,  $F_{1,98} = 24.98$ ,  $p$   
219  $< 0.001$ ) than those from sub-fertile animals. At this level of analysis, no difference between the sperm  
220 heads of fertile and sub-fertile animals in Circularity is detected (Circularity,  $F_{1,98} = 1.80$ ,  $p = 0.18$ ).  
221



222  
223 **Figure 2:** Comparison of sperm head area between 50 fertile (blue) and 50 sub-fertile (red) boars. (A)  
224 Area of 11,534 sperm heads from fertile animals (blue) and 11,326 sperm heads from sub-fertile  
225 animals (red). (B) Individual Tuft boxplots of sperm head area for 50 fertile (blue) and 50 sub-fertile  
226 (red) boars.

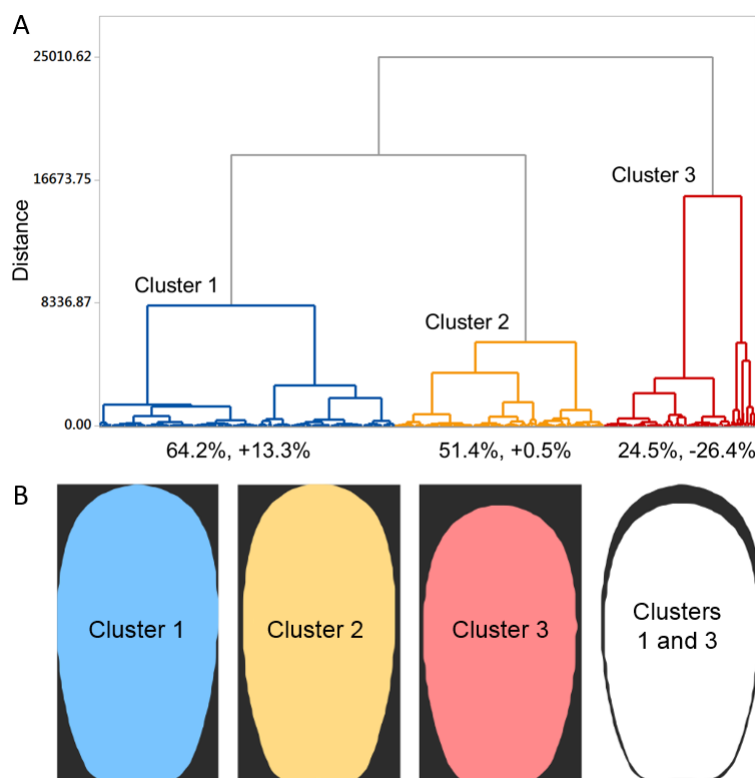
227  
228 We hypothesised that individual sperm samples would contain different nuclei types and that this may  
229 allow the identification of sub-fertile animals. For example, a certain sperm head type might only be  
230 found in sub-fertile animals or might be overrepresented within such animals. Such differences might  
231 represent abnormalities within these sub-fertile animals, which could also be used to investigate why  
232 their fertility was impaired, *i.e.* this could be informative about the biology. Additionally, the presence  
233 of differences of this type might allow the fertility of an animal to be predicted, *i.e.* this could allow  
234 the automated assessment of fertility. We therefore, randomly allocated samples into two groups: a

235 training group of 30 fertile and 30 sub-fertile animals in which we investigated the presence, or not,  
236 of different nuclei types; and a test group of 20 fertile and 20 sub-fertile animals in which we  
237 investigate the ability of this type of analysis to predict fertility status.

238

239 In the training group, cluster analysis (Ward linkage, squared Euclidean distance and standardised  
240 variables) of 6,924 sperm heads from fertile animals and 6,684 sperm heads from sub-fertile animals  
241 supported the existence of three clusters (Figure 3). The identified clusters showed different  
242 membership for the fertile and sub-fertile sperm heads, with sperm heads from fertile animals  
243 overrepresented in the largest cluster and underrepresented in the smallest cluster.

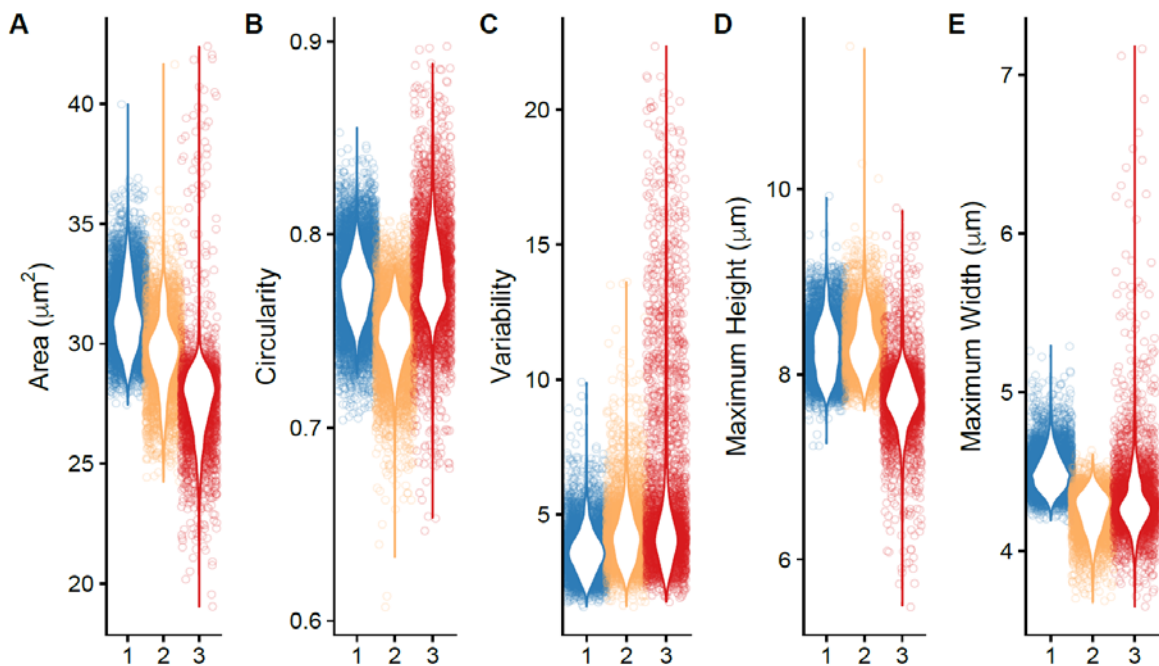
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245

246 **Figure 3:** Analysis of the training group identifies distinct morphological clusters. (A) Cluster analysis  
247 of sperm from 30 fertile and 30 sub-fertile individuals using measures of Area, Circularity, Variability,  
248 Height and Width by Ward linkage using squared Euclidean distance and standardised variables. This  
249 identifies three distinct clusters. Shown below each cluster is the percentage of sperm heads within

250 that cluster that are from fertile animals and the difference in representation from that expected by  
251 chance (*i.e.* a positive value indicates that fertile nuclei are overrepresented in a cluster and *vice versa*  
252 for a negative value). (B) The consensus shapes of the three clusters demonstrate the separation of  
253 nuclei on size and shape. Cluster 1 contains the majority of the fertile sperm; cluster 2 has equal  
254 representation of fertile and sub-fertile sperm; cluster 3 predominantly contains sub-fertile sperm.  
255 The increasing compaction of the nuclei is readily apparent overlaying consensus nuclei from clusters  
256 1 and 3.  
257  
258 Comparison of these clusters indicates that the cluster in which sperm heads from fertile animals are  
259 overrepresented is characterised by sperm heads with a low variability and a high area (Figure 4). In  
260 contrast, the cluster in which sub-fertile animals are overrepresented is characterised by sperm heads  
261 with a lower area and a high variability (Figure 4).  
262  
263



264

265 **Figure 4:** Sperm heads from the three clusters detected are morphologically distinct. Comparison of

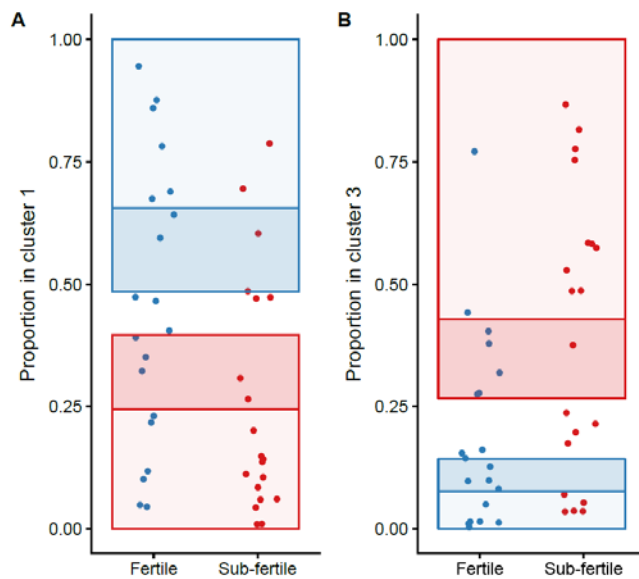
266 (A) Area, with 1>2>3 ( $p < 0.001$  by pairwise *post hoc* test), (B) Circularity, with 3>1>2, (C) Variability,

267 with 3>2>1, (D) Maximum Height, with 2>1>3, and (E) Maximum width, with 1>3>2, for the three  
268 clusters.

269

270 The three clusters identified in the training group were also recovered in the test group (Figure S5),  
271 with analysis of sperm head morphology showing the same differences between clusters (data not  
272 shown). As in the training group, sperm heads from fertile animals were overrepresented in cluster 1  
273 and underrepresented in cluster 3 (Figure S5). This supports the idea that the frequency of certain  
274 sperm head morphology types can be used to predict fertility. Several approaches were investigated  
275 to test this. Firstly, we used the mean trait values for the three clusters identified in the training group  
276 to define the initial partitions for a K-means clustering of the sperm heads from the test group of 20  
277 fertile and 20 sub-fertile animals. The proportion of nuclei from each animal that was allocated to  
278 each cluster was then determined and compared to the proportions determined from the training set  
279 (Figure 5). This indicates that this method does accurately predict fertility in some animals, but that  
280 no scheme would correctly identify status for all animals. That is, if the aim was to exclude any animal  
281 where sub-fertility was suggested, then 8 of the 20 fertile animals and 6 of the 20 sub-fertile animals  
282 would be retained (Table S2). Giving better results, retaining all those animals where cluster  
283 membership suggested fertility would leave 13 of the 20 fertile animals and 6 of the 20 sub-fertile  
284 animals (Table S2). Similarly, attempts to predict fertility using other approaches – for example  
285 defining thresholds based on rates of variability within samples – also resulted in the inclusion of sub-  
286 fertile animals or the exclusion of fertile animals.

287



288

289 **Figure 5:** Cluster membership can predict fertility for some individuals. Shown are the proportion of  
290 sperm heads from each individual in the test group that are grouped into (A) cluster 1 and (B) cluster  
291 3. Dark shaded rectangles highlight the 95% confidence intervals from cluster representation in the  
292 training set. Light shaded rectangles highlight areas between these confidence intervals and either 0  
293 or 1. Red points falling into the region defined by the blue rectangles therefore, represent sub-fertile  
294 animals that would be classified as fertile and *vice versa* for blue points falling inside the regions  
295 defined by red rectangles.

296

## 297 Discussion

298 Over the last decade, AI has become commonplace in the pig breeding industry; it is therefore, an  
299 economical imperative to identify boars with prime fertility. To this end, various computer  
300 technologies have been developed, or adapted, to allow quantitative analysis of boar sperm  
301 characteristics. Prime examples are the CASA systems that have been developed and improved over  
302 almost four decades; these are now widely used in semen handling centres and spermatology  
303 laboratories. Currently, the Sperm Class Analyser (SCA) is considered the gold standard in automated  
304 sperm morphology analysis (ASMA) systems (Horst, 2015). SCA has been shown to provide accurate  
305 measurements of head, mid-piece and tail morphometry in several mammalian species including

306 humans (Soler *et al.*, 2003), horses (Hidalgo *et al.*, 2005) and goats (Hidalgo *et al.*, 2006). The absence  
307 of standardised morphometric parameters and corresponding values which could be used to identify  
308 both normal and abnormal sperm however, is a limitation which is common to most species (Horst,  
309 2015).

310

311 We previously developed the Nuclear Morphology Analysis software for rapid and accurate  
312 assessment of nuclear morphology in mouse lines (Skinner *et al.*, 2018). In contrast to other  
313 morphometric approaches, such as elliptic Fourier analysis (Ostermeier *et al.*, 2001), or Procrustes-  
314 based geometrics (Varea Sánchez *et al.*, 2013), our analysis can be run rapidly on many thousands of  
315 nuclei, using automatic detection of landmarks and semi-landmarks within the nucleus, and the results  
316 map cleanly back to the biological structure of the nucleus. In this study we have extended the  
317 capability of the software to recognise pig sperm. The ability to distinguish subtle morphological  
318 differences in pig sperm demonstrate the utility of this approach for other spatulate nuclei, as  
319 commonly found in other mammalian species, including humans (Skinner and Johnson, 2017).

320

321 Here we have used this software to analyse sperm head morphology in pigs assessed as either fertile  
322 or sub-fertile based on CASA data and manual assessment of morphology. These analyses identify  
323 differences between the sperm heads of fertile and sub-fertile animals, with sperm heads from fertile  
324 animals having a higher overall area – a consequence of greater width and height – and being less  
325 variable in shape than those from sub-fertile animals (Figures 2 and S1-4). This observation does not  
326 agree with previous work that, using a limited sample of 12 Pietrain boars, had suggested that high-  
327 fertility boars had less elongated sperm heads that were significantly smaller than those of lower  
328 fertility (non-return rate lower than 86%) (Hirai *et al.*, 2001). This study used the relationship between  
329 fertility – based on non-return rate, which was expressed as a percentage of sows who were not  
330 chosen for a second insemination between 60 and 90 days following the first insemination – and the  
331 results for motility and morphology as assessed using the CASA system for was evaluated (Hirai *et al.*,

332 2001). This may represent a difference between breeds as it is known that sperm head dimensions  
333 differ between breeds; for example, the average sperm head area of Landrace and Large White sperm  
334 is 34.4 and 34.7 $\mu\text{m}^2$ , respectively (Saravia *et al.*, 2007).

335

336 Our analysis also identifies three clusters of morphology types (Figure 3). These clusters group sperm  
337 heads that have a low variability and a high area (cluster 1), that are tall and narrow (cluster 2), and  
338 those that have a low area and a high variability (cluster 3) (Figure 4). This mirrors the clusters  
339 identified by previous work on sperm head morphology in pigs that used measures of area, height (or  
340 length) and width (Hirai *et al.*, 2001; Vicente-Fiel *et al.*, 2013a, b). Here however, our analysis also  
341 indicates that the variability differs between these groups (Figure 4). We hypothesised that a certain  
342 type of sperm head might only be present, or be overrepresented, in semen from sub-fertile animals.  
343 Whilst it is expected that such differences in sperm head morphology would have an impact on the  
344 spermatozoa's fertilising potential (Curry, 2000), there is limited research on which precise  
345 morphological parameters can in fact impact fertility. Comparison of cluster membership indicated  
346 that sperm heads from fertile animals are overrepresented in cluster 1 and underrepresented in  
347 cluster 3, *i.e.* sub-fertile animals have a high incidence of sperm heads a low area and a high variability  
348 (Figure 4).

349

350 Given that chromatin packaging in the sperm nucleus has been shown to be vital for a successful  
351 pregnancy, the morphological differences may be associated with poor chromatin packaging, due to  
352 potential DNA damage and failure of sperm decondensation, which could result in fertilisation failure  
353 (Bianchi *et al.*, 1996; Sakkas *et al.*, 1996). Sperm subpopulations have also been identified based on  
354 biochemical parameters (Calamera *et al.*, 2003; Buffone *et al.*, 2004) and it would be of interest to  
355 determine the extent to which such groupings are coincident with groupings identified by assessment  
356 of morphology.

357



358 Given that automated approaches to identify sub-fertile individuals would be of value in pig  
359 production, we sought to determine if our measures of morphology could be used to predict fertility.  
360 It did not prove possible to completely separate the fertile and sub-fertile animals in our test group  
361 (see Figure 5 for an example of one approach). There are several possible reasons for this. Firstly, our  
362 analyses indicate that the fertility status does not explain all of the variation between individuals (see  
363 Figure 2B), but do not allow us to determine if this represents biological or technical variation as only  
364 one preparation was made of a single semen sample from each individual. Given that the samples  
365 used in this study were obtained from several different breeds of pig, Large White, Landrace, White  
366 Duroc, Hampshire and Pietrain, it would therefore, be of interest to determine how sperm head  
367 morphology differs between breeds. Secondly, the assessment of animals as either fertile or sub-  
368 fertile means that more quantitative differences between samples cannot be factored into the  
369 analysis. For example, a sample with a morphology score of 70% and a motility score of 4 would be  
370 classified as fertile whilst one with a morphology score of 80% and a motility score of 3 would be  
371 classified as sub-fertile.

372

373 In conclusion, here we have shown that high-throughput morphometric analysis of pig sperm reveals  
374 morphologically distinct populations and that there are differences in sperm head morphology  
375 between animals assessed as fertile and those assessed as sub-fertile. That variability exists between  
376 individual animals is, given the biological nature of the question asked here, unsurprising, but does  
377 suggest several ways that this work can be extended to look at the variation within individuals over  
378 time and between both individuals and breeds. As some studies have also suggested that routinely  
379 assessed sperm parameters (morphology, motility and concentration) are not entirely indicative of  
380 fertility or prolificacy (Gadea, 2005; O'Connor *et al.*, 2017), it is becoming apparent that more detailed  
381 investigation of chromatin organisation is necessary. We aim to extend our analyses to determine  
382 whether chromatin compaction or chromosome position in pig sperm varies between fertile and sub-  
383 fertile samples.

384

385 **Declaration of interest**

386 None of the authors of this paper has a financial or personal relationship with other people or  
387 organisations that could inappropriately influence or bias the content of the paper.

388

389 **Funding**

390 BMS was supported by the Leverhulme Trust (grant RPG337) and the Biotechnology and Biological  
391 Sciences Research Council (BBSRC, grant BB/N000129/1).

392

393 **Acknowledgments**

394 We thank Canterbury Christ Church University for supporting AM, SH and KF. We would also like to  
395 thank JSR Genetics Ltd. for supplying samples used in this study.

396

397 **Authors' contributions**

398 Conceptualisation, BMS and KF; Methodology, BMS, KF, SH; Software and Validation, BMS;  
399 Investigation, AM; Data Curation and Formal Analysis, AM, SH; Visualisation, SH, BMS; Supervision and  
400 Project Administration, KF, SH; Writing - Original Draft, AM, KF; Writing - Review and Editing, BMS, KF,  
401 AM, SH; Resources, KF, GW. All authors gave final approval for publication.

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403

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532  
533

534 **Figure legends**

535 **Figure 1:** A representative summary of analysis workflow. (A) DAPI stained nucleus from a fertile boar  
536 sample, captured using an Olympus IX83 fluorescence microscope with pre-installed CellSens software  
537 at 1000x magnification. The software measures the interior angles along the perimeter of the nucleus  
538 as represented by point 1-6. (B) Schematic showing how these angles generate a profile. The figure  
539 shows the median and interquartile ranges for one fertile sperm sample, and has been segmented at  
540 local maxima and minima. (C) The consensus fertile pig sperm head shape, showing positions of profile  
541 segments in the nucleus.

542

543 **Figure 2:** Comparison of sperm head area between 50 fertile (blue) and 50 sub-fertile (red) boars. (A)  
544 Area of 11,534 sperm heads from fertile animals (blue) and 11,326 sperm heads from sub-fertile  
545 animals (red). (B) Individual Tuft boxplots of sperm head area for 50 fertile (blue) and 50 sub-fertile  
546 (red) boars.

547

548 **Figure 3:** Analysis of the training group identifies distinct morphological clusters. (A) Cluster analysis  
549 of sperm from 30 fertile and 30 sub-fertile individuals using measures of Area, Circularity, Variability,  
550 Height and Width by Ward linkage using squared Euclidean distance and standardised variables. This  
551 identifies three distinct clusters. Shown below each cluster is the percentage of sperm heads within  
552 that cluster that are from fertile animals and the difference in representation from that expected by  
553 chance (*i.e.* a positive value indicates that fertile nuclei are overrepresented in a cluster and *vice versa*  
554 for a negative value). (B) The consensus shapes of the three clusters demonstrate the separation of  
555 nuclei on size and shape. Cluster 1 contains the majority of the fertile sperm; cluster 2 has equal  
556 representation of fertile and sub-fertile sperm; cluster 3 predominantly contains sub-fertile sperm.  
557 The increasing compaction of the nuclei is readily apparent overlaying consensus nuclei from clusters  
558 1 and 3.

559



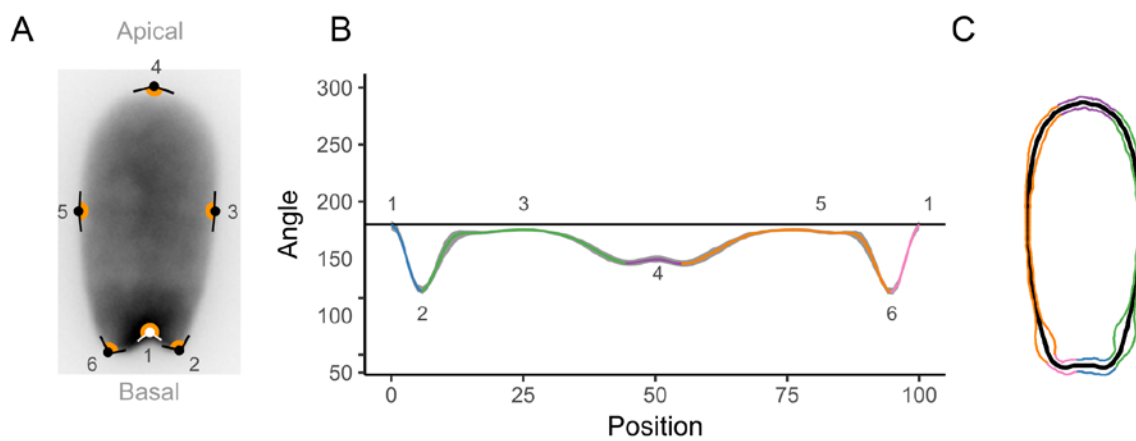
560 **Figure 4:** Sperm heads from the three clusters detected are morphologically distinct. Comparison of  
561 (A) Area, with  $1>2>3$  ( $p < 0.001$  by pairwise *post hoc* test), (B) Circularity, with  $3>1>2$ , (C) Variability,  
562 with  $3>2>1$ , (D) Maximum Height, with  $2>1>3$ , and (E) Maximum width, with  $1>3>2$ , for the three  
563 clusters.

564

565 **Figure 5:** Cluster membership can predict fertility for some individuals. Shown are the proportion of  
566 sperm heads from each individual in the test group that are grouped into (A) cluster 1 and (B) cluster  
567 3. Dark shaded rectangles highlight the 95% confidence intervals from cluster representation in the  
568 training set. Light shaded rectangles highlight areas between these confidence intervals and either 0  
569 or 1. Red points falling into the region defined by the blue rectangles therefore, represent sub-fertile  
570 animals that would be classified as fertile and *vice versa* for blue points falling inside the regions  
571 defined by red rectangles.

572

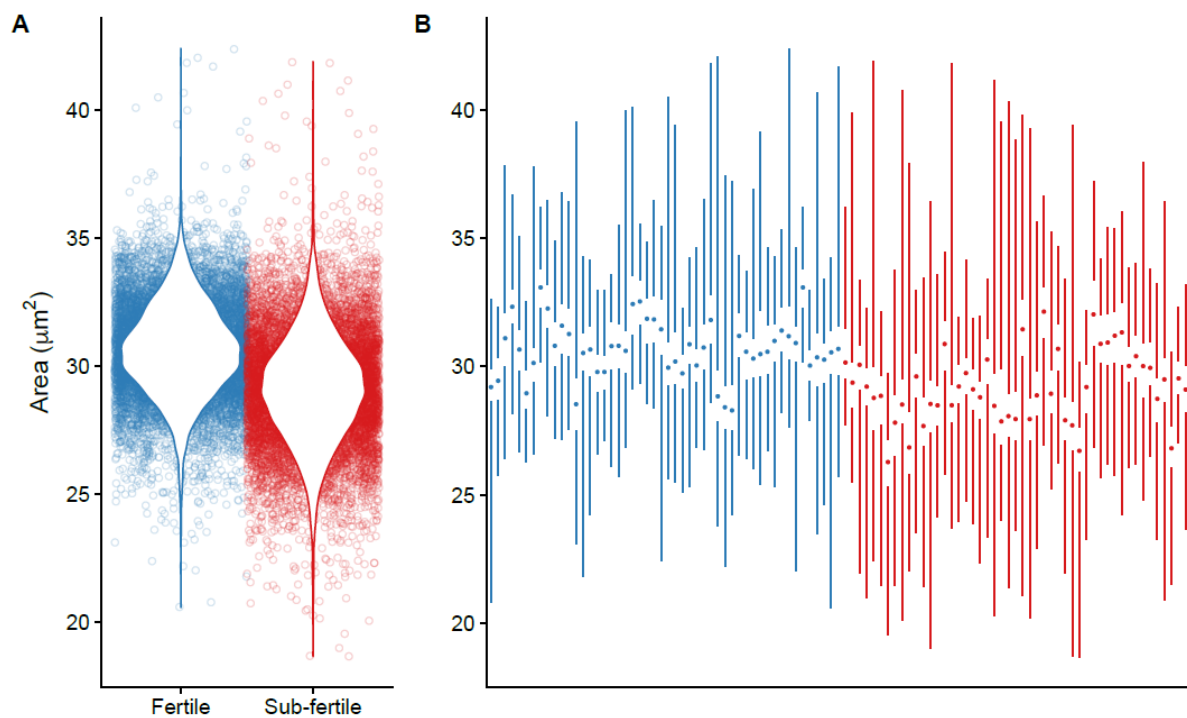
573 **Figures**



574

575 Figure 1

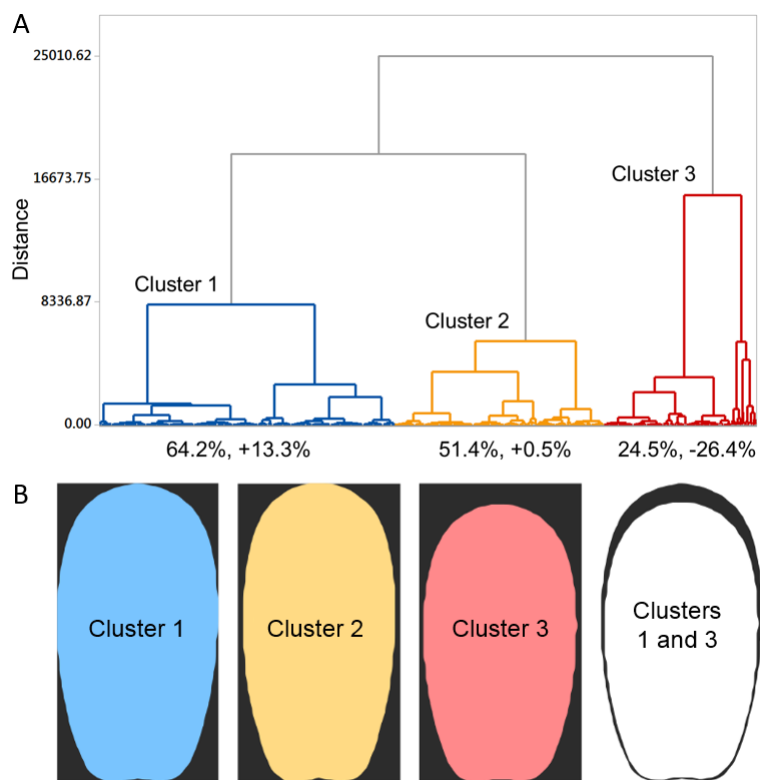
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578 Figure 2

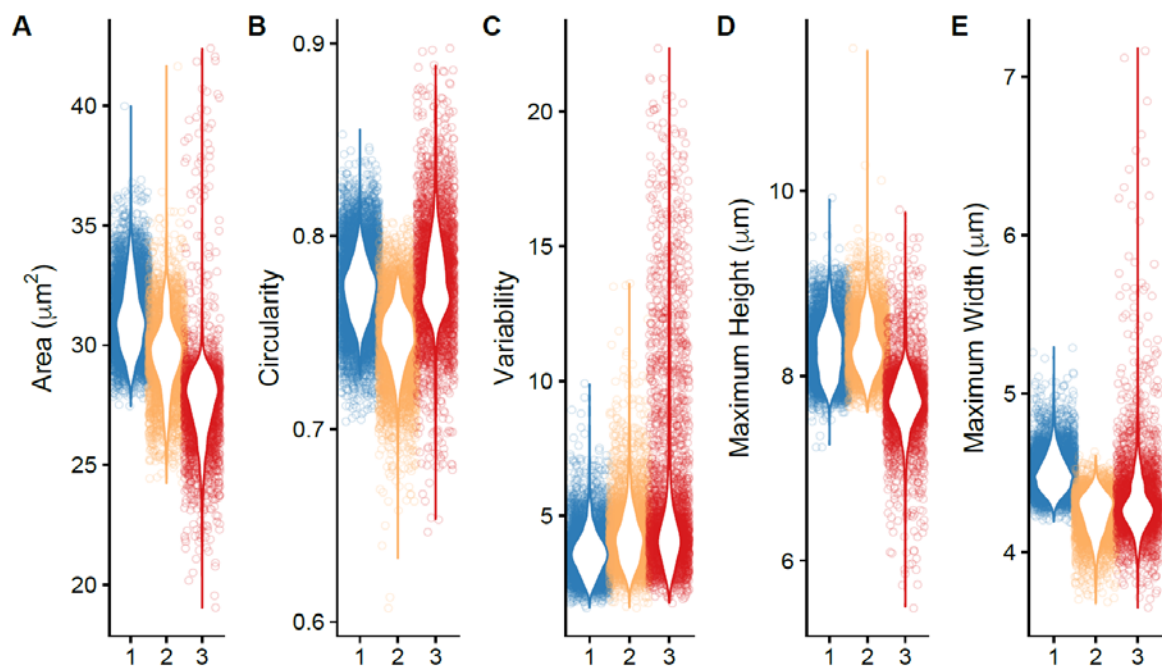
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581 Figure 3

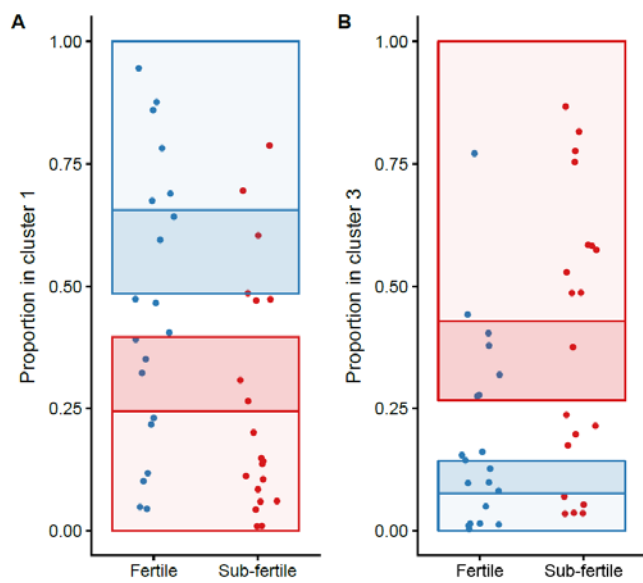
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583

584 Figure 4

585



586

587 Figure 5

588