# Evaluation of redundancy analysis to identify signatures of local adaptation

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### 1 **ABSTRACT**

Ordination is a common tool in ecology that aims at representing complex 2 3 biological information in a reduced space. In landscape genetics, ordination methods 4 such as principal component analysis (PCA) have been used to detect adaptive 5 variation based on genomic data. Taking advantage of environmental data in addition to genotype data, redundancy analysis (RDA) is another ordination 6 approach that is useful to detect adaptive variation. This paper aims at proposing a 7 8 test statistic based on RDA to search for loci under selection. We compare 9 redundancy analysis to pcadapt, which is a nonconstrained ordination method, and 10 to a latent factor mixed model (LFMM), which is a univariate genotype-environment 11 association method. Individual-based simulations identify evolutionary scenarios where RDA genome scans have a greater statistical power than genome scans based 12 13 on PCA. By constraining the analysis with environmental variables, RDA performs better than PCA in identifying adaptive variation when selection gradients are 14 weakly correlated with population structure. Additionally, we show that if RDA and 15 LFMM have a similar power to identify genetic markers associated with 16 environmental variables, the RDA-based procedure has the advantage to identify the 17 18 main selective gradients as a combination of environmental variables. To give a 19 concrete illustration of RDA in population genomics, we apply this method to the 20 detection of outliers and selective gradients on an SNP data set of Populus *trichocarpa* (Geraldes *et al.*, 2013). The RDA-based approach identifies the main
selective gradient contrasting southern and coastal populations to northern and
continental populations in the northwestern American coast.

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KEYWORDS: Genome scans - Multivariate analysis - Redundancy analysis Biological adaptation - Selection - Environmental variables

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### 28 INTRODUCTION

Natural selection results from environmental pressures. The environment acts 29 30 simultaneously on several characteristics, and these adaptive characteristics can be 31 determined by a large number of alleles of small effects (Pritchard and Di Rienzo, 32 2010). Patterns of adaptive variation within species are usually studied through physiological, morphometric and fitness comparisons in common garden 33 34 experiments. However, this is a difficult and constraining task, which can even be 35 unrealistic to achieve in species with a long generation time. Recently, the 36 development of next-generation sequencing (NGS) technologies has opened the 37 possibility to access to a large amount of genetic variation across the genome. Therefore, relationships between genetic polymorphism, phenotypic variation and 38 39 environmental variables can now be studied and quantified by in situ approaches 40 (Steane *et al.*, 2014, Vangestel *et al.*, 2018).

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By looking for loci that are either excessively differentiated between
populations or significantly associated with environmental gradients, adaptive
variation can be detected (Foll and Gaggiotti 2008, Frichot *et al.*, 2013, Vatsiou *et al.*,
2015, Duforet-Frebourg *et al.*, 2016, Hoban *et al.*, 2016).

In many genome scan procedures, outlier loci are identified based on genetic 46 47 differentiation, assuming that adaptive alleles have increased genetic differentiation. A common statistic to evaluate genetic differentiation is F<sub>st</sub> (Lewontin and Krakauer, 48 49 1973) and many likelihood or Bayesian methods use F<sub>st</sub>-related statistics to scan genomes for local adaptation (Bazin *et al.*, 2010, De Villemereuil and Gaggiotti, 2015, 50 Foll and Gaggiotti, 2008, Whitlock and Lotterhos, 2015). One limitation of F<sub>st</sub>-based 51 52 approaches is that they require defining discrete populations, whereas many species 53 are not structured in different populations but display continuous genetic gradients (Martins *et al.* 2016). In these cases, defining populations can be challenging and can 54 55 directly interfere with the result of the analysis (Yang et al., 2012).

A solution to avoid such an issue is to use individual-based multivariate methods such as PCA that ascertain population structure. Duforet-Frebourg and colleagues (2016) proposed a genome scan method based on PCA (pcadapt), where outlier loci are the ones excessively correlated with one or more ordination axes (Duforet-Frebourg *et al.*, 2016). Simulations show that pcadapt has a similar power 61 to model-based methods under island models and perform better when the 62 simulated demographic model drifts from the island model, for instance under 63 hierarchical population structure or isolation by distance patterns (Luu *et al.*, 2017). Nevertheless, one conundrum of such approaches is the difficulty to interpret 64 ordination axes in terms of ecological meanings. These are usually tight to 65 geographical axes (latitudinal or longitudinal), but they are not necessarily linked to 66 67 an environmental variable such as temperature, drought, diet habit, etc. When environmental information exists, it has to be used, a posteriori, as a means of 68 interpretation, but it is not involved in the inference process. 69

70 Genotype-environment association (GEA) methods are based on a different 71 principle than methods based on genetic differentiation; they assume that adaptive 72 loci are significantly associated with environmental variation. These methods aim at 73 detecting alleles that are associated with environmental variables (e.g., temperature and drought) with the idea that these alleles may confer a selective advantage in 74 75 some environment (Coop et al., 2010, Frichot et al., 2013). Most of these methods 76 only use one predictor variable at a time, which can be problematic when the main 77 selective gradient is unknown or when we want to disentangle multifactorial 78 associations between genetic and environmental variation.

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Some authors have proposed to use a constrained ordination procedure such

81 as RDA (redundancy analysis) or CAPs (canonical analysis of principal coordinates) 82 to combine the advantages of both multivariate methods and genotype-environment 83 association procedures (Lasky et al., 2012, DeKort et al., 2014; Steane et al., 2014, 84 Hecht *et al.*, 2015). This family of methods subsequently aligns adaptive variation 85 with environmental data in order to be able to identify the environmental gradients 86 that are the most correlated with adaptive variation. In Arabidopsis thaliana, loci 87 involved in adaptation to climate have been found using RDA (Lasky *et al.*, 2012). 88 Outliers were identified as SNPs with the greatest squared loadings along the first 89 RDA axes (i.e., those in the 0.5% tail). RDA can also be used to derive an adaptive index that predicts the performance of individuals in different environmental 90 conditions. Steane *et al.* (2014) applied a similar approach (using canonical analysis 91 92 of principal coordinates) to *Eucalyptus tricarpa* populations and showed that both 93 detection of adaptive variation with RDA and common garden experiments provided 94 evidence for local adaptation. Finally, in two recent studies, Forester and colleagues 95 (2015 and 2017) tested the power of an RDA-based method for detecting signatures 96 of local adaptation in a heterogeneous landscape (Forester *et al.* 2015) and in 97 comparison with other constrained ordination methods (Forester *et al*, 2017). Using 98 simulations, they found that an RDA-based method has a superior combination of low false positive and high true positive rates when compared to generalized linear 99 100 models (GLM) or latent factor mixed models (LFMM).

101 In this paper, we build on these previous applications of RDA to develop a 102 statistical test for searching loci under selection. We use simulations and real data 103 sets to shed light on the conditions within which RDA is the most efficient and to 104 document the possibilities given by RDA when studying landscape genomics. We 105 also compare results of a genome scan based on RDA with genome scans obtained 106 with *pcadapt* and LFMM (Frichot et al. 2013, Luu *et al.*, 2017). This investigation 107 gives the opportunity to show the advantages of a constrained ordination method such as RDA in comparison with nonconstrained ordination method (PCA) and 108 109 univariate genotype-environment association method (LFMM). Finally, to illustrate 110 the pertinence of such a method in a concrete example, we apply it to a *Populus* 111 trichocarpa SNP dataset obtained from Geraldes et al. (2013). We found a large 112 overlap between the outlier loci detected with RDA and the loci previously detected 113 with *Fst* based methods (Geraldes *et al.*, 2013). In addition, RDA revealed what the 114 main selective gradients are along the natural populations of *Populus trichocarpa* in 115 the northwestern coast of North America.

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### 117 MATERIALS AND METHODS

### 118 **1.** Genome scan using an RDA-based approach

119 Redundancy analysis (RDA) was first introduced by Rao (1964). It is the 120 extension of multiple regressions to the modeling of multivariate response data

(Legendre and Legendre 2012, section 11.1). The data are separated in two sets, a 121 122 response matrix Y of the variable to be explained (e.g., species abundance in a set of 123 sites; m sites and n species) and an explanatory matrix X (e.g., a set of environmental 124 variables within each site; m sites and p environment). In the following analysis, loci 125 replace species abundances and individuals replace sites. RDA seeks to project the 126 genetic variation between individuals that is explained by environmental data on a 127 reduced space. RDA assumes that there are linear relationships between the 128 response (Y) and explanatory (X) variables.

The analysis starts using a classical RDA procedure performed with the 129 function rda of the R package "vegan" (Oksanen et al., 2015). An individual genetic 130 dataset is used as the response matrix Y, and a set of environmental variables is used 131 132 as explanatory matrix X. We assume in the following that genotypes are coded using 133 the values 0,1, or 2 that correspond to homozygote for the most frequent allele, 134 heterozygote and homozygote for the less frequent allele. RDA amounts at 135 constructing a matrix Y' of fitted genetic values estimated from the regression of 136 each locus by the environmental variables and at performing principal component 137 analysis on the matrix Y' (Legendre and Legendre 2012, section 11.1). After this 138 constrained ordination step, we follow the methodology implemented in *pcadapt* to find outlier loci (Luu et al., 2017). First, we recover the loci loadings from the RDA 139 140 analysis. Only the loadings of the most informative ordination axis are kept for the 141 rest of the procedure. The number of axes used (K) is determined by looking at the 142 amount of information retained on the different axes of the RDA. A Mahalanobis 143 distance D is then computed for each locus to identify loci showing extreme D values 144 compared to the rest of the SNPs. A Mahalanobis distance is a multidimensional 145 generalization of the idea of measuring how many standard deviations is a point 146 from an average point. Computation of the Mahalanobis distance uses the *covRob* 147 function of the "robust" R package (Wang et al., 2014). Mahalanobis distances are distributed as chi-squared distribution with K degrees of freedom after correcting 148 with the genomic inflation factor (Luu et al., 2017). Inflation factors are constant 149 150 values that are used to rescale chi-square statistics in order to limit inflation due to 151 diverse confounding factors (François et al 2016). We then adjust the resulting p-152 values for the false discovery rate (FDR) by computing q-values with the "qvalue" R 153 package (Dabney and Storey, 2011). A locus is considered as an outlier if its q-value 154 is less than 10%, meaning that 10% or less of the identified outliers could be false positives. The complete procedure is described in Fig. 1 and our R script is available 155 156 in the supplementary material (Script S1).

To evaluate the capacity of the RDA-based approach to detect loci underlying environmental selective pressure, we first test the method with simulated datasets. A total of 100 independent runs of simulations were used (see below for details). We evaluate the power of the procedure based on RDA to detect positive loci (loci 161 simulated under selection) and to return a controlled number of false positives 162 (neutral loci detected as outliers by the analysis). Then, to emphasize the utility of 163 this method, we compared it to the PCA-based procedure implemented in *pcadapt* 164 that does not use environmental variables (Luu et al., 2017). In the same vein, we 165 compare the multivariate RDA-based procedure to LFMM, which is an univariate 166 genotype-environment association method (Frichot et al., 2013). This method 167 processes one environmental variable at a time, whereas we wanted to evaluate to what extent different techniques can disentangle covariation between multiple 168 environmental gradients and multilocus genetic variation. To tackle this issue, we 169 170 performed the LFMM analysis with a set of composite environmental variables 171 corresponding to the first two PCs of a PCA performed on the environmental 172 simulated variables (Frichot *et al.*, 2013). It gave us orthogonal composite 173 environmental variables for which we tested covariation with genetic variability 174 through the LFMM procedure. The LFMM analysis was launched with only one latent 175 factor (K = 1), taking into account that simulations have been made without any 176 population divergence.

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### 178 **2.** Simulated data

We used simulations performed with the simuPop python library (Peng *et al.*,
2005). A lattice of 8x8 populations is simulated (i.e., 64 populations in total). Each

181 population is initialized with 200 diploid individuals with random genotypes. 182 Migration is set to 0.1, resulting in an isolation-by-distance pattern across the 183 species range. Loci are assumed to be biallelic SNPs. The allele frequency of the 184 whole population is initialized at 0.5. 1000 loci are simulated in total and they are 185 separated in 200 chunks of 5 SNPs in physical linkage with the recombination rate 186 between adjacent loci fixed at 0.1. Three different quantitative traits are coded by a 187 group of 10 different loci (quantitative trait locus, OTL). The first quantitative trait is coded by the loci 1, 11, 21,..., 91. The trait value is simply the sum of the genotype 188 values and therefore can take values between 0 and 20. We add to each trait a 189 190 random noise (nonheritable variation) drawn from a normal distribution N(0,2). The 191 second quantitative trait is coded by loci 101, 111,..., 191 and the third is coded by 192 loci 201, 211,..., 291. Each quantitative trait is therefore coded by 10 independent 193 SNPs (OTL), resulting in a total of 30 causal SNPs among the 1000. Selection can 194 have an effect on linked loci, for instance, loci 2, 3, 4 and 5 can be impacted by 195 selection on locus 1. However, recombination is high enough (0.1) to expect a limited 196 linkage effect. We have defined 10 different environmental variables. The first one 197 determines the selective pressure on trait 1, the second one on trait 2 and the third 198 one on trait 3. The first environment variable is a quadratic gradient coded by 199 function  $env1 = -(\cos(\theta)^*(i-3.5))^2 - (\sin \theta)^*(j-3.5)^2 + 18, \theta = \pi/2$ , with i and j being 200 the population indicator on the 8x8 lattice. The second one is a linear plan gradient 201 coded by function  $env2 = h^*\cos(\theta)^*(i-1) + h^*\sin(\theta)^*(j-1) + k$  with  $h = 2, \theta = \pi/4$  and k=3. The third environment variable simulates a coarse environment with value 202 203 env3 = 2 for all populations except population (i,i) = (2,2), (2,3), (3,2), (3,3), (6,2), (6,3), (7,2), (7,3), (2,6), (2,7), (3,6), (3,7), (6,6), (6,7), (7,6), (7,7), for which*env*= 18.204 205 Env4, env5 and env6 have exactly the same equation as env1, env2 and env3, 206 respectively, except for a noise term explained below. The remaining 4 environment variables are similar to env2 but with different values of h and  $\theta$ . Env7 has  $h = 2, \theta =$ 207 208 0 and k = 3. Env8 has h = 2,  $\theta = \pi/4$  and k = 0. Env9 has h = 1,  $\theta = \pi/4$  and k=4. Env10 209 has h=0.5,  $\theta = \pi/4$  and k = 8. Graphical representation of the mean environmental 210 value is given in Fig. 2. Environmental variables 4, 5 and 6 have, respectively, the 211 same mean value spatial distribution as environmental variables 1, 2 and 3. The 212 environmental equation gives a mean value of the environment variable. To avoid 213 collinearity between environmental variables, we added noise by drawing an 214 environment value within a normal distribution N( $\mu$  = env,  $\sigma$  = 1). The fitness for each trait is set to be  $-\exp(x-\exp)^2/(2^*\omega^2)$ , with x being the quantitative trait 215 216 value, env the environmental value and  $\omega$  is the defining selection strength and has been set to 20, which was found to be enough for loci to be often detected. To get the 217 218 overall fitness for a given individual, the fitness associated with each trait is 219 multiplied. Fitness values are used to determine the number of offspring during the 220 simulations, which have been launched for 500 generations. At the end of the

simulation, we sampled 10 individuals per population resulting in 640 individualswith 1000 SNP-like loci.

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### 224 **3.** Real dataset

225 The *Populus trichocarpa* dataset is a sample of 424 individuals genotyped on 226 33,070 SNPs from 25 drainages (i.e., topographic units separated by watershed 227 barriers) (Geraldes *et al.*, 2014). Genotyping of each accession was performed with a 228 34K Populus SNP array targeting 34,131 SNPs mostly within (plus 2 kb upstream) 229 and downstream) 3543 genes (Geraldes *et al.*, 2013). A total of 21 climatic variables 230 are available on each sampling site (Table S1). From the 33,070 SNPs, we removed 231 the SNPs with missing values and a minor allele frequency below 5% resulting in 232 17,224 SNPs.

233 We explored the adaptive genetic variability of the *Populus trichocarpa* 234 dataset using PCA-based (pcadapt) and RDA-based method using the 21 235 environmental variables as explanatory variables (Table S2). Similar to the 236 simulations above, we created two environmental composite variables by keeping 237 the two first axes of a PCA on the 21 environmental variables. We then used the 238 latent factor mixed model (LFMM) procedure on these composite variables and with 239 one latent factor (K=1). We finally compared the list of outliers found with these 240 three approaches to the ones found using *Fst* based methods (Geraldes *et al.*, 2014).

241 We then performed a second RDA with only the loci previously found as 242 outliers (q-values < 0.1). This set of outliers gives an "adaptively enriched genetic 243 space" (Steane *et al.*, 2014) and using a second RDA on these specific loci, we can 244 identify the environmental variables that are the most correlated with "putatively" 245 adaptive variation. Using only outlier loci avoids potential interferences brought by 246 the "neutral" genetic variation during the alignment between genetic and 247 environmental variation performed by RDA. We then used the scores of the different *Populus trichocarpa* populations along the first two RDA axes to build composite 248 249 indexes, which correspond to the main environmental pressures driving adaptive genetic variation. The individuals' scores on the axes of the adaptively enriched 250 genetic RDA can be interpreted as cursors of individual genetic adaptation to the 251 252 environmental variables associated with these axes. We thus represented the mean 253 RDA1 and RDA2 individual score for each of the 133 populations on a map. It allows 254 visualizing the adaptive landscape across the sampling area.

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### 256 **RESULTS**

## 257 1. Genome scan on simulated dataset using RDA, pcadapt and LFMM 258 methods

The procedure was first performed on one simulation (sim1) with pcadapt, RDA and LFMM approaches. To perform RDA, we considered environmental variables 1-10 as explanatory variables. For pcadapt and RDA, we retained the first four ordination axes to compute Mahalanobis distances as indicated by the scree plots (Fig. S1). In parallel, we independently launched LFMM analysis with the first two PCs resulting from a PCA applied to environmental variables (Fig. S2). We then estimate that a locus is considered as an outlier by LFMM if there is a significant correlation with at least one of the two composite variables.

267 The software package *pcadapt* is successful at detecting OTL2 SNPs (Fig. 3) topleft) but fails entirely at detecting QTL1 and QTL3 SNPs. The RDA-based 268 269 approach also detects QTL2 SNPS and most of the QTL1 SNPs in contrast to *pcadapt* 270 (Fig. 3 topright). The LFMM method is also able to detect most of the QTL1 and all the QTL2 SNPs. These SNPs are detected when respectively regressing the genetic 271 272 dataset with the first and the second environmental composite variables (Fig. 3) 273 bottom). For the SNPs associated with QTL3, the three methods have negligible 274 power to detect them.

When looking at the projection of each SNP in the RDA space, we can detect links between QTL SNPs and environmental variables (Fig. 4). RDA1 is strongly correlated to env2 and env5 and the QTL2 SNP show extreme scores on this axis compared to the other SNPs, especially the neutral ones (Fig. 4A). RDA2 is also associated with env7, env8, env9 and env10, which had not been used as direct selective drivers during the simulations. RDA3 is correlated to env1 and env4

corresponding to QTL1 SNPs and there is also a weak association between RDA4
(env3 and env6) and the SNPs associated with QTL3 (Fig. 4B & 3C).

283 When looking at the percentage of environmental variance explained by the 284 RDA and PCA axes, we find that the first four RDA axes explain more variance of each 285 environmental variable than the first four axes of the PCA (Fig. 5). The difference is 286 especially true for env1 and env4, which are strongly associated with the first four 287 axes of the RDA, whereas PCA axes show an almost null correlation. The variables env7, env8, env9 and env10 are also correlated to the first four axes of the RDA, 288 whereas PCs show far less correlation with these environments. Finally, RDA axes 289 show more correlation with the env3 and env6 variables than PCs ( $R^2 = -0.30$  vs  $R^2$ ) 290  $= \sim 0$ ). Furthermore, each RDA axis, from the first one to the fourth one, is associated 291 292 with more than one environmental variable, whereas only one PC (PC1) is 293 associated with env2 and env5 (Fig. S3).

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Finally, over the 100 simulations, we measured the average FDR and power for *pcadapt*, LFMM and RDA (Fig. 6). The three methods correctly identify almost all of the QTL2 SNPs (Fig. 6A). RDA-based analysis and LFMM are more powerful than *pcadapt* to identify QTL1 SNPs with, respectively, 75%, 48% and less than 10% of true identification. For QTL3, *pcadapt* is not able to find any of the SNPs under selection, whereas RDA reaches 24% of true identification and LFMM reaches 22%. The three methods properly control for the false discovery rate because the proportion of false discoveries obtained with *pcadapt*, LFMM and the RDA-based methods are, respectively, 5%, 2.3% and 2.3% when controlling for a nominal error rate of 5%.

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306 2. Populus trichocarpa

For the RDA and *pcadapt* analysis on the *P. trichocarpa* dataset, we retained respectively *K*=3 and *K*=6 axes as indicated by the scree plots (Fig. S4) and we used the two first axes of a PCA on environmental variables as composite variables for the LFMM procedure (Fig. S5). Analysis with an FDR of 0.1 provides a list of 151 outliers for RDA, 117 for *pcadapt* and 36 for LFMM. Interestingly, the three methods have only 9 outliers in common and 117, 77 and 11 SNPs are outliers, respectively, specific to RDA, *pcadapt* and LFMM.

When we compared RDA genome scan results with the outliers found by Geraldes *et al.* (2014) based on Fdist, BayeScan and bayenv methods, we found a substantial overlap between them (Fig. 7). Among the 151 outliers found with the RDA-based method, 69 have already been picked up by *Fst*-based methods (see Table S3). Interestingly, the loci showing the lowest p-values with the RDA analysis are also pointed out as outliers by the *Fst* and *pcadapt* methods, but not by the LFMM procedure (Fig. 7). However, the RDA-based genome scan found 72 SNPs that

321 no other methods, including *pcadapt*, detected as outliers.

322 When we performed a new RDA on the set of 151 outlier loci as detected by 323 the RDA-based genome scan method (adaptive enriched genetic space), we found 324 that the two first axes keep most (respectively 40% and 18%) of the adaptive 325 genetic variance among the populations (Fig. 8A). These two axes correspond to the 326 two principal selective pressures in the sampling area. RDA1 is correlated with 327 several environmental variables used in the analysis (Fig. 8A), including DD 0, DD18, MAT, MCMT, and EMT, which correspond to temperature indexes. RDA1 is also 328 329 correlated to moisture variables (e.g., AHM or MAP), continentality (TD) and 330 flowering period length (bFFP and eFFP). This axis summarizes a gradient of global climatic variation encountered in the sampling area with southern and coastal 331 332 populations opposed to northern and continental populations (Fig. 8B). On the other 333 side, RDA2 also correlates with moisture and temperature variables such as AHM, 334 MAP, TD, MCMT, and eFFP and contrasts individuals from coastal locations to 335 individuals from inland areas (Fig. 8C).

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#### 337 **DISCUSSION**

338 Genome scans based on genetic differentiation, as implemented in *pcadapt*, 339 detect true positives when environmental gradients related to adaption are 340 correlated to population structure. For instance, QTL2 SNPs are adaptive along an 341 environmental gradient that is correlated with population structure (see Fig. 2) and 342 they are detected by *pcadapt* (Fig. 6). By contrast, when the environmental gradient 343 is not correlated to population structure (OTL3 and OTL1 to a lesser extent) it fails 344 to detect adaptive SNPs. In this case, PCA ordination is not capable of orienting 345 genetic variation into the direction of environment 1 or 3. Fig. 6 shows that RDA has a larger statistical power than *pcadapt* to detect QTL1 SNPs by taking advantage of 346 347 information from environmental local conditions. It can be attributed to a better alignment between the genetic space and the environmental variable improving the 348 349 power to detect true positives. This better alignment is easily visible when looking at 350 the PCA and RDA correlation with environmental variables (Fig. 5 & Fig. S3). PCA is only capable of identifying axes, which correspond to maximum genetic 351 352 differentiation (PC1 and PC2), whereas RDA is also able to capture the genetic 353 variability associated with other environmental variables, such as env1 (RDA3) and 354 to a lesser extent env3 (RDA4).

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Genotype-environment approaches (GEA) explicitly take into account the relationship between genotypes and the environment (Joost *et al.* 2007, de Villemereuil *et al.* 2014, Lotterhos and Whitlock 2015). We showed that univariate GEA, implemented in LFMM, and multivariate GEA, based on RDA, can show similar results in identifying adaptive variation although RDA is more powerful than LFMM

361 for QTL1 SNPs (Fig. 6). As suggested in the literature, we limited the number of 362 environmental predictors during the LFMM procedure by using environmental PCA 363 axes as composite variables (Frichot et al., 2013). We then only used the two first 364 PCs, but adding the third and fourth ones could potentially increase the capacity of 365 LFMM to detect OTL1 and OTL3 SNPs. It points out one of the advantages of a multivariate procedure (e.g., RDA) compared to univariate GEA because multivariate 366 367 methods take all environmental variation into account at the same time and can 368 simultaneously detect associations between different sets of loci and different sets of 369 environmental variables (Forester et al., 2017).

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Both RDA and LFMM fail to detect QTL3 outliers in, respectively, 75% and 371 372 80% of the simulations (Fig. 6), possibly because of the additional difficulty in 373 detecting adaptive SNPs on a coarse environment compared to a smooth environmental gradient as in environment 1 and 2. LFMM and the RDA-based 374 375 genome scan rely on linear association between the environment and allelic 376 abundance (0, 1 or 2). Patchy selective pressure, such as env3, is difficult to identify 377 with a correlative approach. Forester and colleagues (2015) already found that 378 heterogeneous environmental selection decreases the capacity of RDA to identify the 379 loci under selection. The strength of selection and the level of allelic dispersion 380 during the simulations strongly influence the statistical power of RDA; weak selective strength and strong dispersion are the less favorable conditions (Forester *et al.*, 2015). Nonetheless, our simulations plead in favor of using a constrained ordination method when relevant environmental variables are available in order to both orientate the ordination axis in the direction of environmental gradients and to account for most of the environmental variation. This result confirms that constrained ordination shows a superior combination of low false positive and high true positives rates across all levels of selection (Forester *et al.*, 2017).

388 During our RDA-based procedure, we use Mahalanobis distances as a test 389 statistic to identify genetic variation significantly associated with environmental gradients. This distance is computed based on the scores of more than one RDA axis 390 391 and can thus condense the information of several RDA axes in one statistic. Some 392 other studies have used different RDA-based statistics to identify the loci under 393 putative selection. They often used a value estimated independently for the different 394 RDA axes, such as the loadings of the loci along the axis (e.g., extreme squared 395 loadings for Latsky *et al.*, 2012) and considering a threshold such as ± 3 SD (Forester 396 et al., 2017). Mahalanobis distances can capture complex selection patterns where 397 allelic variation depends on more than one environmental gradient. This statistic is 398 appropriate to identify selective pressures on natural populations, which usually 399 encompass multifactorial gradients of selection (Salmela, 2014).

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401 One application of ordination methods is to detect selective gradients. The 402 RDA-based approach succeeded very well in decomposing the QTL SNPs-403 environments associations along the different axes, at least in our simulations. In our 404 example, we succeeded in dissociating the outliers linked to the different 405 environmental variables, and thus to precisely identify which set of variables drives 406 each part of the adaptive genetic variability (Fig. 4). RDA1 is strongly associated with 407 env2, RDA2 with env7 (collinear with isolation by distance pattern in the grid), 408 RDA3 with env1 and RDA4 with env3. As expected, the correlated environments are 409 also strongly associated with these respective axes. This is reflecting the fact that it is difficult to distinguish among several collinear variables which environmental 410 variable has a causal effect on the individual fitness. However, it is often sufficient to 411 412 identify the combination of environment variables having a strong association with 413 adaptive variation without precisely knowing the underlying mechanical process. 414 These simulations serve as a proof of concept to show that ordination axes can 415 provide holistic measures of genomic adaptation (Steane *et al.*, 2014). Under this 416 idea, scores of individuals on one RDA axis reflect their degree of adaptation along 417 this axis. RDA produces useful visualization of gradients of genetic adaptation (Fig. 418 8).

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From the analysis of *Populus trichocarpa*, RDA found numerous outlier loci

421 (72) that are not detected by any other genome scan method, including *pcadapt* and 7 and Table S3). These results support the simulation analysis 422 LFMM (Fig. 423 conclusions that the RDA-based genome scan is able to pick up more genetic 424 markers in relation to environmental gradients than *pcadapt*. It is, however, 425 noticeable that a proportion of outliers are shared between PCA and RDA-based 426 methods (29), highlighting the fact that both approaches are partially similar. The 427 difficulty in interpreting the PCA axes is compensated by the fact that it is a blind 428 method in regard to environmental data, so it can pick up genes that we will miss using RDA because some crucial environmental data are lacking. In the same vein, 429 430 half of the outlier loci found with the LFMM procedure (14 over 33 loci) are common with RDA results (Fig. 7 and Table S3). The two methods rely on linear regression 431 432 between environmental and genetic variability, and by using PCs as composite 433 environmental variables in the LFMM procedure, we even add some similarity 434 between the two approaches.

After focusing the RDA analysis on the loci showing significant association with environmental variables (151 outliers), we identified the predominant environmental gradients structuring the genetic adaptation of *Populus trichocarpa*. The main one is a composite climatic variation (RDA1 in Fig. 8), gathering precipitation, temperature and seasonality variations. It clearly contrasts the populations coming from the extreme northern part of the sampling area (Alaska) 441 and the population coming from the inland locations (higher altitudes) to the 442 populations close to the coast in British Columbia (Canada) and the southern 443 populations, near Portland, Oregon (USA). This result is in accord with the 444 conclusions of Geraldes et al. (2014). In their study, they point out the need of 445 adaptation to seasonality, photoperiod and frost events for *Populus trichocarpa* 446 along its continuous distribution from 44 to almost 60 degrees of latitude. RDA2 is 447 associated with moisture regimes and points out a gradient of adaptation between 448 coastal locations, strongly watered, and inland populations, receiving less precipitation (Fig. 8). These two environmental gradients are the strongest selective 449 pressures driving *Populus trichocarpa* climatic adaptation in northwest America, 450 considering the set of environmental variables available and the scale of the analysis. 451 452 To go further, these composite indexes could also serve to predict (i) provenances 453 that would perform well in common gardens; (ii) patterns of adaptation to local 454 climate across the geographic range; or (iii) future adaptive landscapes in the 455 context of climate change.

456

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### 556 **DATA ACCESSIBILITY STATEMENT**

557	٠	Simulations datasets are available at the Dryad Digital Repository at the
558		following address:
559 560		https://datadryad.org/review?doi=doi:10.5061/dryad.1s7v5
561	٠	Scripts used for simulations and <i>Populus trichocarpa</i> analysis are available on
562 563		Github: <u>https://github.com/Capblancq/RDA-genome-scan</u>
564	٠	Populus trichocarpa SNP data are available on Dryad:
565		http://datadryad.org/resource/doi:10.5061/dryad.1051d
566		

### 567 **AUTHOR CONTRIBUTIONS**

E. Bazin designed the study. E.B. and T. Capblancq performed the analysis and treatments. M. Blum, E.B. and T.C. wrote the manuscript, and all authors contributed substantially to revisions.

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### 572 **TABLES AND FIGURES**

Figure 1: Schematic representation of the RDA-based procedure for searching lociunder selection.

575 **Figure 2:** Graphical representations of mean environmental values for 576 environments 1 to 10. Equation determining the environment is given in the main 577 manuscript. Mean environmental values for environments 4, 5 and 6 are, 578 respectively, equivalent to environments 1, 2 and 3.

Figure 3: Manhattan plots of the results obtained with *pcadapt* (upper left panel),
RDA (upper right panel), and LFMM (lower panels) for one simulated dataset.

**Figure 4:** Projection of SNPs and environmental variables into the RDA space.

**Figure 5:** Proportion of environmental variance explained by the first four ordination axes using RDA or PCA. The values correspond to the r-squared of the regression between the environmental variable and the first four axes of the multivariate analysis.

**Figure 6:** Statistical power obtained with RDA, *pcadapt*, and LFMM. Estimate of power corresponds to an average over 100 simulated datasets (error bars are displayed). Power (A) is given separately for loci coding for quantitative traits 1, 2 and 3. False Discovery Rate (FDR) is given for all methods (B).

Figure 7: Scatter plot of the p-values returned by RDA and *pcadapt* procedures (A) and the p-values returned by RDA and LFMM procedure (B) for the *Populus trichocarpa* dataset. Black dots correspond to loci identified as outliers by *Fst* methods in Geraldes *et al.* (2014). The dashed lines indicate a 10-3 p-value with RDA and *pcadapt* or LFMM.

**Figure 8:** Projection of SNPs and environmental variables in the RDA performed on the adaptively enriched genetic space (151 loci) of *P. trichocarpa* dataset (A). The first two axes of the RDA projection represent 40% (RDA1) and 18% (RDA2) of the explained variance. (B) and (C) show respective spatial representations of RDA1 and RDA2 axes scores in the sampling area. The points represent the 133 sampled populations and their color depends on the mean of individual RDA1 (B) and RDA2 (C) scores in the populations.

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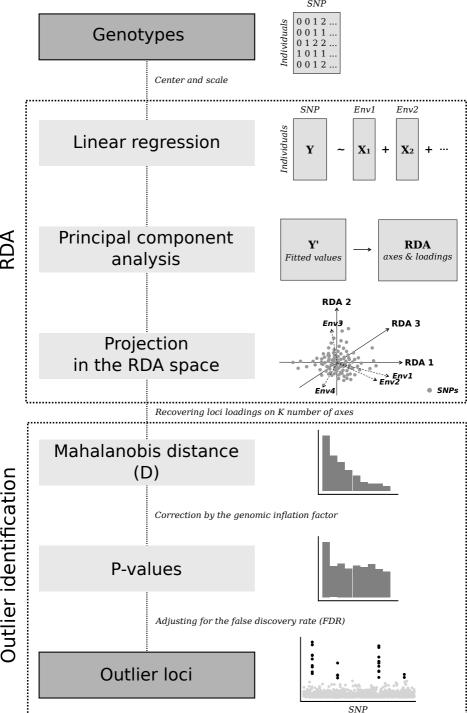
### 603 SUPPORTING INFORMATION

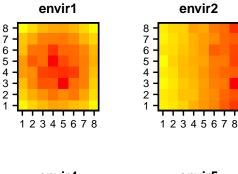
604 **Script S1:** Detailed script of the RDA-based genome scan analysis.

605 **Table S1:** Environmental variables used in the *Populus trichocarpa* analysis.

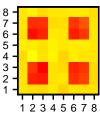
- Table S2: Climatic variable values for the 133 *Populus trichocarpa* sampled
  populations.
- 608 **Table S3:** Comparison between RDA, *pcadapt*, *fdist* and *bayescan* outlier loci.
- 609 **Figure S1:** Scree plot for PCA and RDA analysis of the simulation 1 dataset.
- Figure S2: PCA and scree plot of PCA on environmental variables of the firstsimulation.
- Figure S3: Heatmap of the R<sup>2</sup> resulting from linear regression between the four first
  axes of PCA and RDA analysis and the environmental variables.
- 614 **Figure S4:** Scree plot for PCA and RDA analysis of *Populus trichocarpa* dataset.
- Figure S5: PCA and scree plot of PCA on environmental variables of the *Populus trichocarpa* dataset.

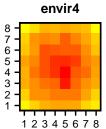
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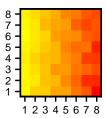






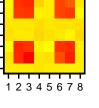


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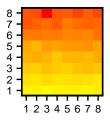
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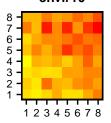


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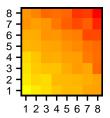
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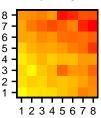
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Selected Loci • QTL1 • QTL2 • QTL3

