

## RUNNING HEAD: META-ANALYSIS OF EXECUTIVE FUNCTIONS IN FRONTAL CORTEX

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### A Meta-Analysis of Executive Functions in Frontal Cortex: Comparing Healthy and Neuropsychiatric Groups

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## META-ANALYSIS OF EXECUTIVE FUNCTIONS IN FRONTAL CORTEX

### 1 **Abstract**

2 *Background:* The neural architecture of executive functions remains a topic of considerable  
3 clinical and academic interest in the clinical neurosciences, given its strength as a transdiagnostic  
4 predictor of adaptive functioning with high heritability. In recent years, meta-analyses have  
5 shown a consistent relationship between prefrontal cortex size and executive functioning task  
6 performance in healthy adults and lesion patients, with increases in measures of cortical size (i.e.,  
7 volume or thickness) associated with better executive functioning performance. There is a gap in  
8 meta-analytic literature assessing these relationships in neuropsychiatric populations, their  
9 effects relative to healthy controls, and differential contributions of brains regions and  
10 neuropsychological paradigms. *Methods:* We conducted a meta-analysis of published studies ( $k =$   
11 30) that assessed the relationship between executive functions and frontal regions *in vivo* ( $N =$   
12 1935) for both healthy (20 samples) and neuropsychiatric (21 samples) adults. Random effects  
13 modeling was used to calculate mean effect sizes and CIs. *Results:* Larger volumes and thickness  
14 were associated with better executive functioning in both healthy ( $r = .35$ , 95% CI = .29 - .39)  
15 and neuropsychiatric populations ( $r = .47$ , 95% CI = .40 - .51), with the effect size for  
16 neuropsychiatric populations being significantly larger compared to healthy controls. While there  
17 was variability between tasks, there were no significant differences in effect size between  
18 neuropsychological paradigms or brain region classification. *Conclusions:* These results indicate  
19 the relationship between healthy adult performance on neuropsychological testing is less  
20 associated with cortical size compared to neuropsychiatric adults.

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## META-ANALYSIS OF EXECUTIVE FUNCTIONS IN FRONTAL CORTEX

### 1 **Introduction**

2           The neural architecture of executive functions (EF) remains a topic of considerable  
3 clinical and academic interest in the clinical neurosciences. Poor EF, as measured by  
4 neuropsychological testing, has been associated with lowered instrumental activities of daily  
5 living(1), general cognitive decline(2), and increased mortality(3), validating its strength as a  
6 transdiagnostic predictor of clinical outcomes of interest. These tests have been historically  
7 labelled “frontal tests” as validated by lesion studies(4) and have been correlated with measures  
8 of cortical size and health in the frontal lobe(5, 6). These EF are influenced by a highly heritable  
9 general factor, not related to intelligence or processing speed(7), and as the effect of genes on  
10 cognitive phenotype is not directly observable, the cerebral cortex is a considerable mediator of  
11 that relationship. However, given high variability in constructural understanding of EF and  
12 sample populations, meta-analytic review of findings are necessary to consolidate and interpret  
13 the range of results.

14           There is considerable debate about the functional and cortical organization of EF.  
15 EF are broadly characterized as the set of cognitive processes needed to regulate, coordinate, and  
16 plan behavior(8). In previous research, the construct has been variously defined as a set of  
17 distinct, separate skills(9), a more general unitary factor(10), or reflecting both unitary and  
18 diverse influences(11). There is support in the literature for both of these positions from factor  
19 analytic and imaging studies(12, 13). The debate regarding the functional organization of EF  
20 parallels that on functional heterogeneity of the prefrontal cortex(14). However, it is generally  
21 agreed upon that these adaptive functions are often used in conjunction with one another and  
22 exist as part of a larger system of cognitive control.

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1           In both healthy and patient populations, EF is commonly assessed with  
2 neuropsychological paradigms, such as the Wisconsin Card Sort (WCST), Stroop Color Word  
3 Interference Test (CWI), the Trail Making Test (TMT), Verbal Fluency (VF), and working  
4 memory (i.e., span) tasks (WM). There has been consistent evidence that EF tasks are sensitive  
5 to the presence of brain damage, but the degree to which these abilities can be localized to the  
6 prefrontal cortex in the context of lesion studies is less clear(15). Although early lesion studies  
7 emphasized focalization of EF tasks to dorsolateral, orbitofrontal, and ventromedial regions in  
8 the frontal lobe, more contemporary reviews of lesion studies have not supported a one-to-one  
9 relationship between any task and region(4). Integrating previous findings that have shown the  
10 WCST associated with dorsolateral damage specifically(16), and the TMT with inferior medial  
11 regions(17), another interpretation emphasizes that executive deficits observed in patients are  
12 the result of multiple attentional circuits connecting both frontal and posterior regions, which are  
13 adaptive to multiple contexts(15).

14           Although lesion studies have been instrumental in mapping the functional organization of  
15 EF in patient populations, investigations of these relationships in non-clinical populations are  
16 important for understanding normal neurocognitive functions as a baseline of comparison. While  
17 often studies compare patients with healthy controls in terms of performance, it is imperative to  
18 examine whether the degree to which performance is influenced by brain size varies by group.  
19 Like in clinical populations, tasks of EF have been linked with specific subregions of prefrontal  
20 cortex in healthy adults. However, meta-analysis in healthy populations shows that while some  
21 tasks are more associated with volume than others, there is little evidence to support focalized  
22 organization of the prefrontal cortex for executive functions as measured by clinical  
23 neuropsychological tasks(14). A 2014 meta-analysis(6), examined the relationship between

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1 prefrontal cortex volume and thickness with EF in healthy adults. Larger volume and cortical  
2 thickness were associated with better performance on tasks of EF. However, significant brain-  
3 behavior associations were only found in the lateral and medial, and not orbital, regions of the  
4 prefrontal cortex. It is possible that these variable findings result from smaller contributions of  
5 brain size for healthy populations, relative to protective factors like education or cognitive  
6 reserve. Examining these relationships in the context of both community dwelling and  
7 neuropsychiatric populations via meta-analysis can further clarify how these tasks and regions  
8 are linked, and the comparative contribution of cortical size for tasks of EF for these two groups.  
9 *Research Question 1.* Is there a significant positive association between cortical volume and  
10 thickness and performance on tasks of EF?

11 *Research Question 2.* Does the magnitude of that association vary as a function of task or brain  
12 region distribution?

13 *Research Question 3.* Is there a difference in the magnitude of effect sizes between healthy and  
14 neuropsychiatric groups?

15 *Research Question 4.* Are there differences in the magnitude of effect sizes between  
16 neuropsychiatric groups?

### 17 **Methods and Materials**

#### 18 **Selection of Studies**

19 A literature search of the computerized database PubMed was conducted in January 2017  
20 using the following key words: (frontal OR prefrontal) AND (volume OR volumetric OR  
21 atrophy OR cortical thickness OR cortical thinning OR morphometry OR FreeSurfer) AND  
22 (Executive OR WCST OR Wisconsin Card OR Stroop OR Trail Making Test OR TMT OR  
23 Verbal Fluency OR Working Memory OR Iowa Gambling Task OR IGT).

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### 1 **Inclusion Criteria**

2           The search items described above produced a list of 1,404 research items (see Figure 1).  
3           Titles and abstracts were examined to determine their appropriateness for the current study, and  
4           248 studies were retained for further consideration. Case studies, research of non-human  
5           subjects, and human participants under age 18 were excluded.

6           Studies were selected if they measured frontal cortical volume or thickness, included at  
7           least one measure of EF, and contained usable statistics (e.g., correlation) relating variables of  
8           interest in human adults. 30 studies were retained in the final selection of meta-analyses. Of the  
9           30 studies, 3 examined cortical thickness and 27 examined cortical volume (Table 1). Cortical  
10          thickness and volume were collapsed into a single indicator for analyses, as previous meta-  
11          analysis has shown their effect sizes are equivalent(6). Multiple samples were pulled from 9  
12          studies; however, all 41 effect sizes represent independent observations. The final sample ( $N =$   
13          1935) included data for both healthy (20 samples) and neuropsychiatric (21 samples) adults.

14          In studies with both healthy controls and neuropsychiatric participants, data from all  
15          available groups were utilized, coded for subject type, and separated by group. Effect sizes were  
16          calculated for independent samples, for controls and neuropsychiatric patients separately. Due to  
17          between-study variability in the operationalization of brain regions, specific ROIs (i.e.,  
18          orbitofrontal cortex) were not investigated in the present study. The existing level of detail was  
19          adequate to support creation of a useful dichotomy: studies were categorized according to  
20          whether broad (i.e., diffuse) or specific (i.e., focal) aspects of the prefrontal cortex were  
21          operationalized.

### 22 **Coding of Variables**

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1           For each sample, the following variables were extracted and coded: year, sample type  
2 (i.e., healthy or neuropsychiatric), sample size, mean age, standard deviation of age, age range,  
3 percentage female, percentage left handed, country of origin, EF task, correlation coefficient, and  
4 frontal region. Brain regions were categorized into three non-mutually exclusive groups: medial,  
5 lateral, and/or ventral. Brain regions that included volume or thickness from medial, lateral, *and*  
6 ventral surfaces were determined to be “broad”. Brain regions that included volume or thickness  
7 from *either* medial, lateral, *or* ventral surfaces were determined to be “specific”. This was done  
8 to account for between-study variability in the operationalization of regions of interest. EF tasks  
9 were coded as one of the following: CWI, VF, WM, TMT, WCST, or an EF Composite score  
10 (EFC). Studies with multiple cognitive tasks or multiple brain regions were collapsed under a  
11 single effect size, by averaging Fisher’s *z*-scores, for Hypotheses 1 and 4. To compare effect  
12 sizes between tasks and brain regions (Hypotheses 2 and 3), these effect sizes were also  
13 considered separately. EF measures were reverse coded as needed, so that all positive effect sizes  
14 indicated that better performance on EF tasks was associated with larger volume or thickness in  
15 frontal brain regions.

16           **Data Extraction.** Data extraction procedure was designed by senior authors in  
17 collaboration with primary coders. Titles were reviewed and excluded by senior author (DG) and  
18 reviewed by author AW, with 99.3% agreement. Abstracts were then coded as “excluded”,  
19 “included”, and “unsure” by author AW, with senior authors serving as secondary reviewers as  
20 needed. Abstracts were coded as “unsure” when there was insufficient information in the abstract  
21 to determine if a study should be excluded, and the full article was pulled for review. 248 articles  
22 were retained for further examination and data extraction. A primary coder at the undergraduate  
23 level was trained by author AW to determine eligibility and extract coded variables. The 248

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1 studies were randomly divided between coder and author AW, and independently coded. To  
2 assess interrater reliability, both raters independently coded approximately 10% ( $N = 25$ ) of the  
3 248 remaining studies. After training, the kappa coefficient for eligibility determination was .92.  
4 The kappa coefficients and interclass correlation coefficients for extracted variables was 1.0.  
5 Author AW reviewed every 10th article to maintain quality control, and reviewed more difficult  
6 cases as needed (i.e., determination of statistics eligibility).

### 7 **Effect Size Calculation**

8 All correlation coefficients (i.e.,  $r$ ) were transformed into  $Z_r$  to determine the effect size  
9 for each sample. Mean effect size ( $M_{ES}$ ) was computed for groups by weighting ( $w$ ) each effect  
10 size by its sample size (See appendix for formulas). For each  $M_{ES}$ , a standard error was  
11 calculated and a  $z$  statistic was generated to determine if the association between executive  
12 functioning and brain regions was significant. 95% CIs were calculated using standard errors.

13 In order to draw more accurate conclusions about the population mean and generalize  
14 findings beyond these specific samples, random effects modeling was used. Effect sizes were  
15 tested for homogeneity (Hedges' homogeneity test) to confirm that random effects modelling  
16 would be appropriate. Both the healthy samples,  $\chi^2(19) = 165.20, p < .001$ , and the  
17 neuropsychiatric samples,  $\chi^2(20) = 52.47, p < .001$ , were determined to be heterogeneous, which  
18 supports the use of random effects modelling. However, because the estimation procedure of  
19 random effects modelling can result in bias for samples of interest with less than 10 studies, both  
20 fixed and random effects models are reported for the comparison of cognitive tasks, region  
21 diffusivity, and specific neuropsychiatric group.

### 22 **Random Effects Modelling**



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1 For the random effects model ( $T_i = \mu + \zeta_i + \varepsilon_i$ ),  $\tau^2$  (between study variance) was calculated  
2 to equal the variance of  $\zeta_i$  across the population of studies(18). The between-study variance and  
3 subject-sampling variance estimate ( $SE$ ) were used to compute new weights for random-effects  
4 analyses. These new weights were used to determine the mean effect sizes ( $M_{ES}^*$ ) for the  
5 heterogeneous population.

6 A  $z$  statistic was generated to determine if the association between EF and brain regions  
7 was significant in the random effects model. Standard errors using these new weights were used  
8 to calculate 95% CIs. Mean effect sizes generated from random effects modelling were  
9 compared using the observed  $z$  test statistic and determining if CIs overlapped.

### 10 **Fail-Safe $N$**

11 There is a chance in meta-analyses that results are biased by the “file-drawer effect”,  
12 where non-significant results are not generally reported. Although this study included non-  
13 significant effects as part of these analyses, it is still possible that the selected studies do not  
14 effectively represent the true population statistic. A “fail-safe  $N$ ” statistic was computed as an  
15 estimate of the number of studies with null results (i.e., calculated effect sizes equal to zero) that  
16 would need to be included in analyses to reduce the effect size to a small effect size(19, 20) ( $r =$   
17 0.10).

## 18 **Results**

### 19 **Question 1**

20 Larger volumes and thickness were significantly associated with better EF,  $z = 12.53$ ,  $p <$   
21 .001, in the full sample ( $r = .41$ , 95% CI = .33 - .48), when effect sizes are collapsed across EF  
22 tasks and brain regions (See Figure 2). A fail-safe  $N$  statistic, determined that an additional 144  
23 studies would be needed to reduce this effect to non-significant levels ( $r = .10$ ).

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### 1 **Question 2**

2 **Cognitive tasks.** Effect sizes specific to cognitive tasks varied, with the largest difference  
3 between the CWI and EFC ( $Z_{observed} = 2.12, p < .05$ ). However, there were no significant  
4 differences in effect size between specific executive functioning tasks, as evidenced by  
5 overlapping CIs. Due to the limited sample studies, both fixed and random effects are reported.  
6 Fixed Effects can be found in Table 2 and Random Effects in Table 3.

7 **Strength of the association in specific brain regions.** Specific brain regions (single  
8 brain surfaces, i.e., ROIs that contained either medial, lateral, or ventral areas) had similar effects  
9 ( $r = .47, 95\% \text{ CI } [.34-.59]$ ) compared to more diffuse brain regions (three brain surfaces, i.e.,  
10 ROIs that contained medial, lateral, and ventral areas;  $r = .35, 95\% \text{ CI } [.27-.44]$ ).

### 11 **Question 3**

#### 12 **Healthy and Neuropsychiatric Groups.**

13 Separated by sample type, larger volumes and thickness were associated with better  
14 executive functioning in both healthy ( $r = .35, 95\% \text{ CI } = .29 - .39$ ) and neuropsychiatric  
15 populations ( $r = .47, 95\% \text{ CI } = .40 - .51$ ). The mean effect size was significantly larger for the  
16 neuropsychiatric populations ( $Z_{observed} = 3.01, p < .001$ ), which is consistent with the CIs, which  
17 do not overlap. The mean effect sizes for healthy and neuropsychiatric groups can be found in  
18 Figure 3.

### 19 **Question 4**

20 **Neuropsychiatric Groups.** In order to investigate the variability in the neuropsychiatric  
21 group, these were coded as Psychiatric (i.e., Schizophrenia, Bipolar), MCI/Alzheimer's, or  
22 Progressive/Chronic Illness (i.e., progressive supranuclear palsy, temporal lobe epilepsy). Larger  
23 volumes and thickness were associated with better EF in Psychiatric ( $r = .56, 95\% \text{ CI } = .36-.71$ ),

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1 MCI/Alzheimer's ( $r = .38$ , 95% CI = .12-.59), and Progressive/Chronic Illness ( $r = .38$ , 95% CI  
2 = .10-.60) groups. Although the mean effect size for the Psychiatric group trended toward being  
3 larger than the MCI/Alzheimer ( $Z_{observed} = 2.56$ ,  $p < .05$ ) and Progressive/Chronic Illness  
4 groups ( $Z_{observed} = 2.03$ ,  $p < .05$ ), the overlapping CIs imply that the effect sizes are not  
5 significantly different.

### 6 Discussion

7 The principal findings of our study were that: (1) there is a significant positive  
8 association between EF and cortical size in frontal cortex, and (2) while the magnitude of this  
9 effect does not vary as a function of neuropsychological measurement paradigm or specificity of  
10 brain region, (3) neuropsychiatric samples have significantly stronger associations between EF  
11 and cortical size compared to healthy samples, with volume accounting for 22 and 12%,  
12 respectively of EF individual variability.

13 Our findings regarding the positive association between EF and cortical size confirm  
14 findings from a large body of literature examining this relationship. Although the effect size is  
15 moderate, the magnitude of the effect is lower than those found in meta-analysis comparing  
16 healthy controls and lesion patients(4) ( $d = -.78$ ) and relatively higher than meta-analysis of  
17 healthy samples alone(6) ( $r = .15$ ,  $d = .31$ ), both of which were classified as moderate effect  
18 sizes. However, these studies generally support a “larger is more powerful” framework(21) to  
19 explain relationships between EF and the brain. Our findings regarding this positive relationship  
20 between prefrontal cortex structure and EF are also consistent with a meta-analysis of fMRI  
21 which found support for a superordinate cognitive control network found in the prefrontal  
22 cortex(22), validating structural associations with functional findings. Given the high Fail-safe  $N$ ,  
23 it is likely that although the true population effect size is lower than the one estimated in our

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1 meta-analysis, it is still of clinically relevant strength. As neuroimaging research continues to  
2 move toward “big data” approaches to brain behavior relationships, we will likely see more  
3 conservative effect sizes, that may be the target of future meta-analysis. Although this study  
4 investigates EF in the context of structural frontal regions, future research should further  
5 examine the interaction between functional and structural brain measures as they relate to EF.

6         The null findings concerning differences between neuropsychological paradigms are not  
7 inconsistent with emerging literature support for a robust common EF principle and high  
8 heritability of common EF(7). The relationship between volume and any one measure of EF is a  
9 function of sensitivity, and these results suggest that these tasks do not vary in their sensitivity to  
10 frontal volumes in healthy controls or non-lesion patient samples. Although specific factors of  
11 EF have been extracted via factor analysis(23) , and meta-analytic review of individual  
12 neuropsychological paradigms show specific associations(16, 17), our findings are consistent  
13 with lesion studies(4) that show limited comparative differences between task and brain regions  
14 as a function of magnitude and effect size (i.e., no one task is more associated with brain volume  
15 than another). Although this lack of regionally specific sensitivity does not prove that each  
16 paradigm is measuring the same construct, it does suggest that there is a common contribution  
17 among paradigms associated with frontal volume.

18         Of note, the magnitude of the effect size for EFC, while not significantly lower than  
19 specific neuropsychological paradigms, did rank last in both the fixed and random effects model.  
20 This may, in part, be due to the variability in creation of composite scores. While many of the  
21 EFC included in this meta-analysis reported correlations from the overall score of the Frontal  
22 Assessment Battery, many contemporary studies utilize a common EF factor derived from factor  
23 analysis(24, 25). Aggregate scores, like those found in the Frontal Assessment Battery, aggregate

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1 multiple modalities in stimulus presentation and response modality in tasks (i.e., task impurity),  
2 as well as some specific executive functioning aspects. Factor analysis extracts those aspects that  
3 are common to all tasks, effectively dealing better with task impurity. However, these factor-  
4 analytic composites are underrepresented in meta-analyses due to the lack of reported zero-order  
5 correlations. The use of composite scores has increased due, in part, to assist in clarity of data  
6 interpretation and transdisciplinary communication. As the use of factor-derived composite  
7 scores becomes more common place, it is imperative that investigators report their results in  
8 ways that facilitate the use of meta-analysis.

9         Results did not identify either specific or diffuse brain regions as more related to EF.  
10 While the random effects model suggests that the magnitude of effects is larger for EF tasks  
11 associated with more specific regions, we conclude that the differences are not significant  
12 because of large overlap in CIs. Given that there is support in the lesion literature showing that  
13 more diffuse damage is associated with larger deficits in EF(9), it would follow that a more  
14 diffuse network of regions could also be more strongly associated with EF. It is likely that the  
15 effect of the extent of involved tissue is less robust when the pathogenic mechanism is atrophy  
16 rather than frank lesion. It is also possible differences between regions are not manifested  
17 because of how this study defined the ROIs in order to account for between study variability in  
18 cortical regions.

19         The most salient finding to clinical neuroscientists in this study, is the observed  
20 difference in effect size magnitude between healthy and neuropsychiatric populations. This  
21 difference is robust enough that despite conservative estimates of confidence intervals as part of  
22 random effects modelling, there was no overlap of effects. Because EF tests were originally  
23 validated in clinical settings, discrepancies emerge in either the nature or the degree of predictors

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1 of performance between populations. These results suggest the relationship between healthy  
2 adult performance on neuropsychological testing is less associated with cortical size compared to  
3 neuropsychiatric adults. Task performance in healthy controls could be less influenced by  
4 properties of the cerebral cortex and more influenced by other factors, such as level of  
5 motivation and cognitive reserve, while in neuropsychiatric samples some measured property of  
6 the cerebral cortex exerts more important influence. For example, education has been shown in  
7 meta-analytic review(26) to significantly predict performance on the TMT ( $\beta = -1.31, se = 0.44$ )  
8 and VF ( $\beta = 0.50, se = 0.20$ ) tasks in healthy samples, while it does not significantly predict  
9 performance on the Stroop ( $\beta = 0.77, se = 1.31$ ). Comparisons of cortical integrity between  
10 groups, as measured by neuropsychological test performance, should be interpreted with  
11 additional caution.

12         It is also notable that while the neuropsychiatric groups were not significantly different  
13 given the presence of overlapping confidence intervals, the effect sizes for the Psychiatric group,  
14 which consisted primarily of samples of individuals diagnosed with schizophrenia, trended more  
15 robustly than the MCI/Alzheimer's or Progressive/Chronic Illness groups. The effects for the  
16 Progressive/Chronic Illness group may be related to the heterogeneity of this category, which  
17 included multiple disease categories. However, longitudinal findings show that treatment  
18 resistant patients with schizophrenia have faster rates of age-related cognitive decline than  
19 similarly aged patients with Alzheimer's(27) . This may mean that this population is more  
20 sensitive to age-related changes in brain structures, particularly in the frontal lobes, which is  
21 consistent with differences found here between Psychiatric and MCI/Alzheimer's groups. These  
22 two disease processes have been associated with a number of unique(28)(29) and shared(30)  
23 genetic factors, which may be contributing to a disparate metabolic processes affecting different

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1 brain structures. Although the research regarding specific neural mechanisms of change in  
2 schizophrenia is varied, there is meta-analytic support for age-based progressive decreases in  
3 grey matter volume(31)and increases in ventricular volume(32). The frontal lobes show the  
4 larger decreases relative to global cerebral volume, and the frontal horns of the ventricles show  
5 the smallest relative increases(32). This discrepancy suggests that while changes in neural  
6 structures related to schizophrenia play a role, comorbid risk factors like substance abuse(33),  
7 poor medication compliance(34), or fewer protective factors(35) may also be contributing to  
8 reductions in frontal lobe volume, which are more salient for poor outcome patients. Given the  
9 transdiagnostic predictive validity of EF, early onset and changes in frontal regions may lead to  
10 decreased functional status and its associated risk factors. This may help account for more robust  
11 effects in serious mental illness compared to other illness categories, like Alzheimer's.

12         There are several limitations to consider regarding the implications of this study. First,  
13 for results regarding neuropsychological paradigms, the measurement of effect sizes for the  
14 CWI, VF, WCST, and EFC is best represented by the fixed effects given limited sample size (<  
15 10). For samples with fixed effects, conclusions can only be drawn for the studies included, and  
16 should be generalized to other samples with caution. Secondly, like all meta-analyses, there is  
17 the possibility that our results are influenced by the file drawer effect. Although we identified a  
18 moderately large fail-safe N to validate our findings, it is still possible that the generally small  
19 sample sizes in imaging studies have contributed to inflated effects. Therefore, it is important to  
20 consider group differences as relative to one another, rather than as absolute values of effects.  
21 Further research into the variability between neuropsychiatric groups, particularly groups with  
22 volumetric deterioration outside of the frontal lobes, will help to determine the specificity of EF  
23 tasks as “frontal batteries”. Future use of traditional EF tasks may be oriented more toward

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1 validation of biomarkers for future functional decline, and cognitive neuroscience tasks used for  
2 localization of function. This may help to clarify specific mechanisms contributing to these  
3 group differences, and their relationship to traditional neuropsychological paradigms.

4 In summary, these findings have clinical implications regarding the interpretability of  
5 neuropsychological paradigms as an index of frontal lobe size. Although these tasks have  
6 predictive validity for many significant outcomes of interest, it is important to note their  
7 limitations in healthy samples, where protective factors such as education may be more  
8 predictive than age-related cortical changes(26). This study contributes to meta-analytic findings  
9 regarding these brain-behavior relationships and has shown that there are significant changes in  
10 effect size magnitude between healthy and neuropsychiatric groups, relative to each other.

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### 6 **Conflicts of Interest**

7 The authors declare that they have no conflicting interests. This research did not receive any  
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## META-ANALYSIS OF EXECUTIVE FUNCTIONS IN FRONTAL CORTEX

- 1 **Figure and Table Legends**
- 2 **Figure 1.** Flow chart describing identification and screening of articles and studies.
- 3 **Figure 2.** Effect sizes ( $Z_r$ ) for associations of cortical volume and executive functions,  $z = 12.53$ ,
- 4  $p < .001$ , in the full sample ( $r = .41$ , 95% CI = .33 - .48)
- 5 **Figure 3.** Effect sizes ( $Z_r$ ) for associations of cortical volume and executive functions, separated
- 6 by group.
- 7 **Table 1.** Coded variables for independent samples.
- 8 **Table 2.** Fixed effects for associations between cognitive tests and cortical volume.
- 9 **Table 3.** Random effects for associations between cognitive tests and cortical volume.

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RUNNING HEAD: META-ANALYSIS OF EXECUTIVE FUNCTIONS IN FRONTAL CORTEX

1 Table 1. Coded variables for independent samples.

<i>Study</i>	<i>Sample Type</i>	<i>Sample Size</i>	<i>Age</i>	<i>% Female</i>	<i>Surface</i>	<i>Cognitive Test</i>
<i>Peter et al., 2016</i>	MCI	20	71.8 (4.8)	45	Lateral, Ventral	VF
<i>Peter et al., 2016</i>	Healthy	30	69.8 (4.9)	63.33	Lateral, Ventral	VF
<i>Knöchel et al., 2016<sup>a</sup></i>	Schizophrenia	32	39.56 (10.9)	50	Lateral	TMT
<i>Knöchel et al., 2016<sup>a</sup></i>	Bipolar Disorder	34	43.93 (10.87)	44.12	Lateral	TMT
<i>Knöchel et al., 2016<sup>a</sup></i>	Healthy	38	40.86 (11.91)	39.47	Lateral	TMT
<i>Knöchel et al., 2016<sup>b</sup></i>	Schizophrenia	57	38.02 (8.49)	49.12	Lateral	WCST
<i>Knöchel et al., 2016<sup>b</sup></i>	Healthy	47	37.22 (11.13)	42.55	Lateral	WCST
<i>Lei et al., 2016</i>	MCI	43	43.5 (14.1)	48.8	Lateral	TMT, CWI
<i>Nestor et al., 2015</i>	Healthy	143	40.83 (9.06)		Lateral, Ventral	TMT, WCST
<i>García-Casares et al., 2014</i>	Diabetic	25	60 (4.6)	32	Ventral	CWI
<i>Nakamura &amp; Palacios et al., 2014</i>	Alcoholism	60	47.23 (10.4)	13.33	Medial, Lateral	EFC
<i>Pujal et al., 2013</i>	Schizophrenia	14	29.92 (7.17)	21.43	Lateral	WM
<i>Wright et al., 2013</i>	Traumatic Brain Injury	14	28.93 (11.71)	21.4	Lateral, Ventral, Medial	TMT
<i>Giordano et al., 2013</i>	Progressive supranuclear palsy	15	68.91 (1.2)	46.67	Lateral, Ventral, Medial	EFC
<i>Chen et al., 2013</i>	Acute ischemic stroke	30	73.3 (7.2)	100	Lateral, Ventral, Medial	VF, EFC

META-ANALYSIS OF EXECUTIVE FUNCTIONS IN FRONTAL CORTEX

<i>Chen et al., 2013</i>	Acute ischemic stroke	30	72.1 (6.9)	0	Lateral, Ventral, Medial	VF, EFC
<i>Zierhut et al., 2013</i>	Schizophrenia	34	34.59 (8.84)	35.29	Lateral, Ventral	WM
<i>Arlt et al., 2013</i>	MCI/Alzheimer's	39	71.7 (5.35)	61.91	Lateral, Ventral, Medial	TMT
<i>Bender et al., 2012</i>	Healthy	72	49.96 (14.26)	69.4	Lateral	WM
<i>Heflin et al., 2011</i>	MCI/Dementia	112	65.4 (8.6)		Lateral, Ventral, Medial	CWI
<i>Goldstein et al., 2011</i>	Healthy	16	30.63 (8.11)	43.8	Lateral, Ventral	WM
<i>Koutsouleris et al., 2010</i>	At Risk Mental State for Psychosis	27	23.8 (5.05)	24.75	Medial, Ventral	TMT
<i>Nestor et al., 2010</i>	Schizophrenia	16	39.1 (9.11)		Lateral, Ventral	WM
<i>Nestor et al., 2010</i>	Healthy	12	41.1 (8.67)		Lateral, Ventral	WM
<i>Keller et al., 2009</i>	Temporal Lobe Epilepsy	43	32.7 (9.05)	65.15	Lateral, Ventral, Medial	WM
<i>Kochunov et al., 2008</i>	Healthy	38	30-90	60.5	Medial, Lateral	WM
<i>Kochunov et al., 2008</i>	Healthy	33	19-29	63.6	Medial, Lateral	WM
<i>Nakamura et al., 2008</i>	Healthy	21	41.1 (9.1)	23.8	Ventral	TMT
<i>Gianaros et al., 2006</i>	Healthy	76	61.3 (5.0)	0	Medial, Lateral	TMT
<i>Gianaros et al., 2006</i>	Healthy	58	59.9 (5.1)	100	Medial, Lateral	TMT
<i>Tullberg et al., 2004</i>	Dementia	26	76.5 (8.7)	19.2	Lateral, Ventral, Medial	EFC
<i>Tullberg et al.,</i>	Healthy	52	77.5	42.3	Lateral, Ventral, Medial	EFC



META-ANALYSIS OF EXECUTIVE FUNCTIONS IN FRONTAL CORTEX

2004 <i>Pantel et al., 2004</i>	Alzheimer's	50	72.7 (9.3)	64	Lateral, Ventral, Medial	VF
<i>Gunning-Dixon &amp; Raz, 2003</i>	Healthy	139	63.71 (7.97)	59.7	Lateral, Ventral, Medial	WM
<i>MacLulich et al., 2002</i>	Healthy	100	67.8 (1.3)	0	Lateral, Ventral, Medial	VF
<i>Salat et al., 2002</i>	Healthy	20	29.9	50	Lateral, Ventral, Medial	WM
<i>Schretlen et al., 2000</i>	Healthy	112	54 (19.0)	57.1	Lateral, Ventral, Medial	WCST
<i>Baaré et al., 1999</i>	Schizophrenia	26	28.5	5.7	Lateral, Ventral, Medial	VF
<i>Baaré et al., 1999</i>	Healthy	26	26.9	5.9	Lateral, Ventral, Medial	VF
<i>Raz et al., 1998</i>	Healthy	95	44.02 (16.35)	56.8	Lateral, Ventral, Medial	WCST
<i>Hänninen et al., 1997</i>	Age-Associated Memory Impairment	43	69.9	5.4	Medial, Lateral	CWI
<i>Hänninen et al., 1997</i>	Healthy	47	71.1	4	Lateral, Ventral, Medial	CWI

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2 *Note.* Wisconsin Card Sort (WCST), Stroop Color Word Interference Test (CWI), the Trail Making Test (TMT), Verbal Fluency (VF),  
 3 Working Memory (i.e., span) Tasks (WM), and Executive Functioning Composite (EFC)

META-ANALYSIS OF EXECUTIVE FUNCTIONS IN FRONTAL CORTEX

1 Table 2. Fixed effects for associations between cognitive tests and cortical volume.

	<i>k</i>	<i>N</i>	<i>Weighted Effect Size</i>			95% CI for <i>M<sub>ES</sub></i>	
			<i>M<sub>ES</sub></i>	<i>se</i>	<i>p</i>		
Color Word Interference	5	313	0.52	.12	<.001	0.40	0.63
Trails	10	525	0.48	.09	<.001	0.39	0.57
Wisconsin Card Sort	5	593	0.43	.08	<.001	0.35	0.52
Working Memory	10	437	0.39	.10	<.001	0.29	0.49
Verbal Fluency	8	351	0.37	.11	<.001	0.26	0.48
Composite	5	213	0.33	.14	<.001	0.19	0.47

2 *Note.* Overall fixed effect size ( $r = .38$ , 95% CI = .33 - .45)

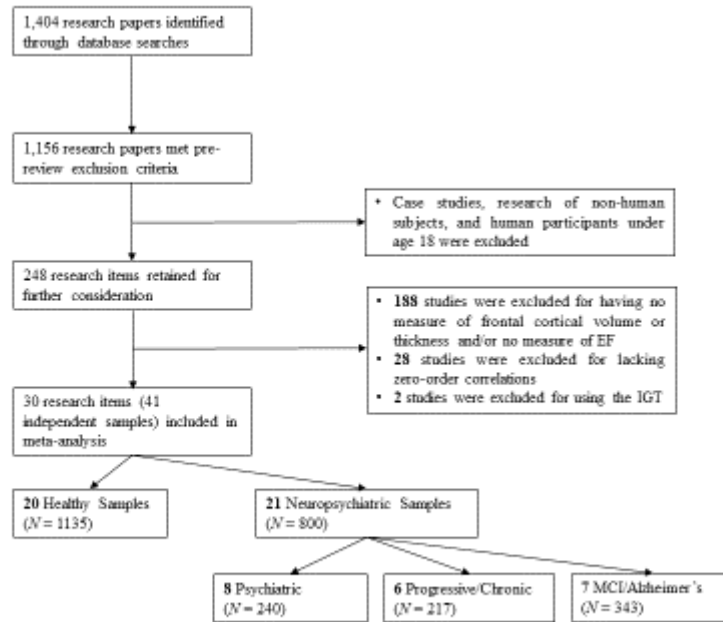
3 Table 3. Random effects for associations between cognitive tests and cortical volume.

	<i>k</i>	<i>N</i>	<i>Weighted Effect Size</i>				<i>Homogeneity</i>			
			<i>M<sub>ES</sub></i> *	<i>se</i>	<i>p</i>	95% CI for <i>M<sub>ES</sub></i> *		<i>Q<sub>w</sub></i>	<i>df</i>	<i>p</i>
Trails	10	313	0.48	.18	<.001	0.30	0.62	7.50	5	.196
Wisconsin Card Sort	5	525	0.45	.15	<.001	0.30	0.57	47.09	10	<.001
Verbal Fluency	8	593	0.42	.17	<.001	0.25	0.56	20.88	5	<.001
Color Word Interference	5	437	0.37	.15	<.001	0.22	0.51	28.60	10	.002
Working Memory	10	351	0.35	.16	<.001	0.19	0.51	20.29	8	.001
Composite	5	213	0.32	.14	<.001	0.18	0.44	2.47	5	.781

4 *Note.* Overall random effect size ( $r = .41$ , 95% CI = .33 - .48)

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# META-ANALYSIS OF EXECUTIVE FUNCTIONS IN FRONTAL CORTEX

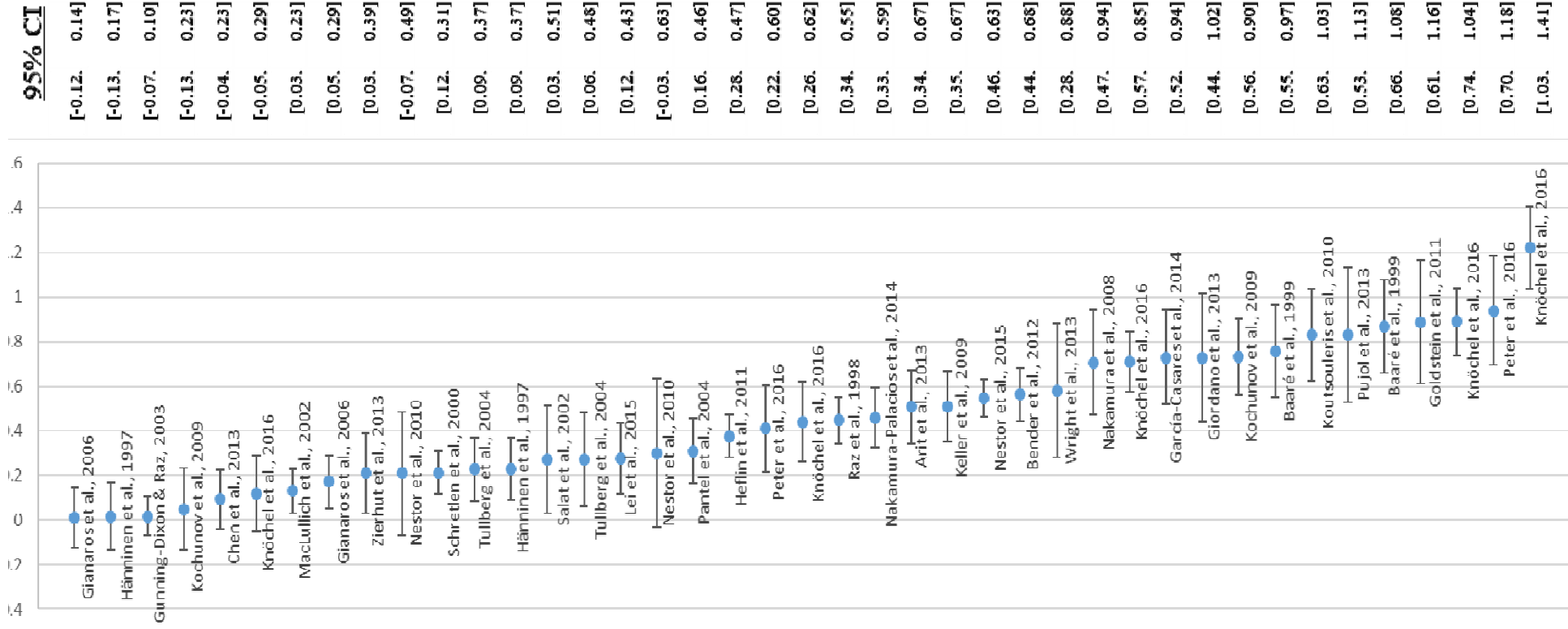


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**Figure 1.** Flow chart describing identification and screening of articles and studies.

# META-ANALYSIS OF EXECUTIVE FUNCTIONS IN FRONTAL CORTEX

- 1 **Figure 2.** Effect sizes ( $Z_T$ ) for associations of cortical volume and executive functions,  $z = 12.53$ ,  $p < .001$ , in the full sample ( $r = .41$ ,
- 2 95% CI = .33 - .48)
- 3



META-ANALYSIS OF EXECUTIVE FUNCTIONS IN FRONTAL CORTEX

1 **Figure 3.** Effect sizes ( $Z_r$ ) for associations of cortical volume and executive functions, separated by group.

