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2 3	Universal metabolic constraints on the thermal tolerance of marine phytoplankton
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#### 17 Abstract

Marine phytoplankton are responsible for over 45% of annual global net primary 18 production. Ocean warming is expected to drive massive reorganisation of 19 phytoplankton communities, resulting in pole-ward range shifts and sharp declines in 20 species diversity, particularly in the tropics. The impacts of warming on phytoplankton 21 species depend critically on their physiological sensitivity to temperature change, 22 characterised by thermal tolerance curves. Local extinctions arise when temperatures 23 exceed species' thermal tolerance limits. The mechanisms that determine the 24 25 characteristics of thermal tolerance curves (e.g. optimal and maximal temperatures) and their variability among the broad physiological diversity of marine phytoplankton 26 27 are however poorly understood. Here we show that differences in the temperature responses of photosynthesis and respiration establish physiological trade-offs that 28 29 constrain the thermal tolerance of 18 species of marine phytoplankton, spanning cyanobacteria as well as the red and green super-families. Across all species we found 30 31 that rates of respiration were more sensitive to increasing temperature and typically had higher optimal temperatures than photosynthesis. Consequently, the fraction of 32 photosynthetic energy available for allocation to growth (carbon-use efficiency) declined 33 exponentially with rising temperatures with a sensitivity that was invariant among the 34 18 species. Furthermore, the optimal temperature of growth was generally lower than 35 that of photosynthesis and as a result, supra-optimal declines in growth rate were 36 associated with temperature ranges where the carbon-use efficiency exhibited 37 accelerated declines. These highly conserved patterns demonstrate that the limits of 38 39 thermal tolerance in marine phytoplankton are underpinned by common metabolic constraints linked to the differential temperature responses of photosynthesis and 40 respiration. 41

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### 43 Significance Statement

The impacts of warming on marine phytoplankton depend on their sensitivity to rising temperatures, yet there is currently limited understanding of the mechanisms that limit thermal tolerance among the diversity of marine phytoplankton. Using a comparative study on the dominant, ecologically important lineages of marine phytoplankton – Bacillariophyceae, Dinophyceae, Cyanophyceae, Prasinophyceae, Prymnesiophyceae – we 49 show that rates of respiration are consistently more sensitive to increasing temperature than 50 photosynthesis. Consequently, the fraction of photosynthetic energy available for growth 51 declines with rising temperatures with a sensitivity that is invariant among species. Our 52 results suggest that declines in phytoplankton performance at high temperatures are driven by 53 universal metabolic constrains linked to rising respiratory costs eventually exceeding the 54 supply of reduced carbon from photosynthesis.

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#### 56 <u>Introduction</u>

The planet's oceans are changing at an unprecedented rate (1); over the past half-57 century average sea surface temperatures have been increasing by 0.1 °C per decade (2) and 58 are projected to rise by a further 3°C or more by the end of the century (3). Ocean warming is 59 thought to be a key driver of recent declines in phytoplankton productivity (4–6), and models 60 of marine biogeochemistry predict further reductions in productivity over the 21st century as 61 temperatures exceed limits of thermal tolerance and nutrient limitation increases in warmer, 62 more stratified oceans (7). Thermal tolerance curves of marine phytoplankton (like all 63 64 ectotherms) exhibit characteristic unimodality and left-skew, meaning that fitness declines more sharply above the optimum temperature than below (8). Marine phytoplankton species 65 66 exhibit substantial variability in their thermal tolerance. Optimal temperatures for growth range between approximately 2 to 38°C and are positively correlated with the average 67 68 temperature of the environment, indicating a global pattern of thermal adaptation (8, 9). Ocean warming is expected to result in major reorganisation of marine phytoplankton 69 70 communities as temperatures exceed the thermal optima of some species but not others. In 71 particular, tropical and sub-tropical regions are projected to experience pronounced declines 72 in species diversity and productivity (8, 9) because many of the taxa in these areas already exist close to their limits of thermal tolerance. Despite its importance for predicting the 73 impacts of global warming on marine phytoplankton communities, we currently understand 74 75 very little about the physiological processes that determine the limits of thermal tolerance in marine phytoplankton. 76

To address this fundamental knowledge gap we carried out a large-scale experiment to investigate the physiological mechanisms that set the limits of thermal tolerance in marine phytoplankton. Our experiments span a representative sample of the broad physiological and phylogenetic diversity of the marine phytoplankton; including 18 species belonging to ecologically important functional groups – Cyanobacteria, Diatoms, Dinoflagellates,
Coccolithophores, Rhodophytes, Chlorophytes and Prasinophytes (Table 1, SI). These
species were chosen to encompass the putative primary and secondary endosymbionts of both
the red and green super-families, and thus reflect the complex evolutionary histories of
marine phytoplankton (10, 11). This allowed for us to investigate whether, in spite of such
physiological diversity in plastid evolution, similar physiological constraints underpin the
limits of thermal tolerance.

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## 89 <u>Results & Discussion</u>

We first characterised variability in thermal tolerance curves among taxa by 90 measuring growth rates for each species across a temperature gradient spanning 15 to 37°C 91 and fitting the Sharpe-Schoolfield equation for high temperature inactivation to the data using 92 non-linear mixed effects modelling (12, 13) (Fig.1). The upper limits of thermal tolerance 93 varied across the taxa, with  $T_{max}^{\mu}$  (maximum temperature of observed growth), ranging from 94 27°C to 37°C. The optimal temperature of growth,  $T_{opt}^{\mu}$ , ranged from 23.8°C to 34.0°C and 95 the activation energy,  $E_a^{\mu}$  – which characterises the increase in rate up to  $T_{opt}^{\mu}$  – ranged from 96 0.40 eV to 1.46 eV, with an average  $E_a^{\mu}$  of 0.77eV (95% CI: 0.58 to 0.97) (Fig.1, and Table 97 2, SI). These  $E_a^{\mu}$  values highlight that the temperature dependence of growth at the species 98 level is significantly higher than previously reported temperature dependence parameters, 99 such as the canonical Eppley coefficient (equivalent to  $E_a^{\mu} \approx 0.3$  eV), that are derived by 100 comparing maximum growth rates across many species and are the standard way in which the 101 impacts of warming on phytoplankton productivity are represented in models of marine 102 biogeochemistry (14-16). These findings suggest that the Eppley coefficient (and other 103 values from similar analyses (16)), which capture the broad-scale, macroecological impacts 104 of temperature along geographic gradients, might significantly under estimate the impacts of 105 temperature fluctuations on phytoplankton growth at local to regional scales (see 106 107 Supplementary Information : additional text, S1, for further discussion).

To determine the physiological processes that shape the thermal tolerance curves, in particular those that determine the optimum temperature and supra-optimal declines in growth rate, it is essential to understand how the key metabolic pathways that drive biomass synthesis respond to warming. Despite having diverse evolutionary histories, all unicellular 112 phytoplankton share common, key metabolic pathways (17) and their ability to sequester carbon, and therefore grow, is ultimately determined by photosynthesis and respiration (18, 113 19). The light-dependent reactions of photosynthesis account both for the processes that 114 convert inorganic carbon to organic carbon stores and those that facilitate the production of 115 ATP and reductant used to fuel biomass synthesis (20). The dark reactions in respiration can 116 be conceptually divided into 'growth' and 'maintenance' components (18-21). 'Growth-117 respiration' provides the ATP, reductant and carbon skeletons required for producing new 118 biomass and is expected to be proportional to the rate of growth. By contrast, 'maintenance-119 120 respiration' provides the ATP for macromolecular turnover and the maintenance of solute gradients, and is proportional to cell biomass (20). Whilst dark respiration clearly plays an 121 important role in photolithotrophic growth in microalgae, the majority of the energy used to 122 fuel biosynthesis (between 60 - 90%) is thought to derive from photosynthesis (20, 21). To 123 understand the physiological constraints that shape the variability in phytoplankton thermal 124 tolerance, we quantified temperature-dependent variation in rates of photosynthesis and dark 125 respiration in the 18 species of marine phytoplankton. 126

For each species, we measured the acute responses of gross photosynthesis and dark 127 respiration across a temperature gradient spanning 7°C to 49°C, and quantified the resultant 128 thermal response curves by fitting the Sharpe-Schoolfield equation for high temperature 129 inactivation to the data using non-linear mixed effects modelling (see Methods). We found 130 consistent differences in the parameters characterising the thermal responses of 131 photosynthesis and respiration across all the species in this study despite their diverse 132 evolutionary histories (Fig.2, Fig.3 and 4, SI). The activation energy for respiration was 133 greater than that of photosynthesis (i.e.  $E_a^R > E_a^P$ ; Fig. 3B, Fig. 3 and 4, SI) in all 18 134 species. Pooling the parameters across species yielded an average activation energy for 135 photosynthesis of  $E_a^{P} = 0.74$  eV (95% CI: 0.69 to 0.79), whilst the average for respiration was 136  $E_a^R = 1.07$  eV (95% CI: 0.98 to 1.15). Critically, the average activation energy for 137 photosynthesis was statistically indistinguishable from that of growth rate ( $E_a^{\mu} = 0.77 \text{eV}, 95\%$ 138 CI: 0.58 to 0.97). These results demonstrate that respiratory costs become an increasingly 139 140 large proportion of photosynthetic carbon fixation and biomass synthesis as temperatures rise toward the peak of the thermal response curves. We also found that for most species, the 141 optimum temperature for respiration was higher than that of photosynthesis (i.e.  $T_{opt}^{R} >$ 142  $T_{opt}^{P}$ , with the average thermal optimum for photosynthesis,  $T_{opt}^{P} = 31.18^{\circ}\text{C} \pm 0.83$  (s.e.m.) 143 and respiration,  $T_{ont}^{R} = 32.91^{\circ}\text{C} \pm 0.48$  (s.e.m.) (Fig. 3C, Fig. 3 and 4, SI). Furthermore, in 144

145 all species, the deactivation energy, which characterises the speed that rates decline past the optimum, was lower for respiration relative to photosynthesis (i.e.  $E_h^P > E_h^R$ ), with the 146 average across species for photosynthesis  $E_h^P = 6.08$  (95% CI: 5.04 to 7.12) and respiration 147  $E_h^R = 2.62$  (95% CI: 2.31 to 2.93) (Fig. 3D, Fig. 3 and 4, SI). Thus, as temperatures rise 148 beyond T<sub>opt</sub>, rates of photosynthesis decline faster than rates of respiration. Overall these 149 findings show remarkable consistency across diverse taxa (Fig. 3 and 4, SI) in how 150 differences in the parameters that characterise the thermal responses of photosynthesis and 151 152 respiration result in increasing respiratory expenditure of carbon fixed by photosynthesis as temperatures rise. 153

The carbon-use efficiency (CUE = 1-R/P), is an estimate of the fraction of 154 photosynthetic energy (P) that can be allocated to growth after accounting for respiration (R). 155 156 Recent work on both marine and freshwater phytoplankton species suggests that declines in CUE at high temperature may be linked to impaired performance at supra-optimal 157 temperature (22, 23). Furthermore, observations that the evolution of elevated thermal 158 tolerance are coupled with adaptive shifts in metabolic traits that increase CUE at high 159 temperature (22–24), imply an important role for CUE in constraining thermal tolerance that 160 could provide a general explanation for high-temperature impairment of growth across the 161 diversity of the phytoplankton. To determine whether the differential thermal responses of 162 photosynthesis and respiration can help explain the physiological processes that constrain the 163 thermal tolerance curves of diverse phytoplankton, we quantified how the CUE varied as a 164 function of temperature. Consistent with previous work, we found that the CUE decreased 165 166 with increasing temperature in all 18 species. Declines in the CUE with rising temperature were however highly non-linear, with the fall in CUE dramatically accelerating at high 167 temperatures. Because  $T_{opt}^{R} > T_{opt}^{P}$  and  $E_{h}^{P} > E_{h}^{R}$  for most species, as temperature rose 168 beyond  $T_{opt}^{P}$  the CUE exhibited an accelerated decline at high temperatures. To quantify this 169 non-linear response and the location of the inflection point where declines in CUE become 170 accelerated, we fitted a break-point model to the thermal responses of the CUE. We found a 171 significant break-point in the thermal response of the CUE for all 18 species that was tightly 172 coupled with  $T_{opt}^{P}$  (Fig.3). As  $E_{a}^{R} > E_{a}^{P}$  for all species, temperature dependent declines in 173 CUE up to the break-point were universal across the species (Fig.4) with an average 174 activation energy,  $E_a^{CUE}$ , of -0.12eV (95% CI: -0.16 to -0.08). Furthermore, in all 18 species 175 the optimum temperature for growth  $(T_{opt}^{\mu})$  either coincided with the CUE break-point (i.e. 176

the 95% CIs of the CUE break-point included  $T_{ont}^{\mu}$ ), or was lower than the CUE break-point 177 (Fig.3). This finding suggests that temperature-driven declines in the CUE, linked to 178 179 fundamental differences in the intrinsic thermal responses of photosynthesis and respiration, could play an important role in constraining the thermal tolerance of diverse marine 180 phytoplankton. Because the metabolic costs for repair and maintenance are largely accounted 181 for by dark respiration (20, 21) the temperature-driven declines in the CUE likely reflect 182 increases in the costs associated with maintenance and repair of heat-induced cellular damage 183 that eventually exceed the rate of substrate supply by photosynthesis, causing rates of growth 184 185 to decline at supra-optimal temperatures.

It is important to note that our experiments were conducted under nutrient replete 186 187 conditions. A recent study has suggested that the temperature sensitivities of photosynthesis and respiration (25) in some marine phytoplankton may decline under nutrient limitation and 188 189 that the differential temperature sensitivities of photosynthesis and respiration may be negligible under limited conditions. This work however quantified the temperature 190 191 sensitivities of photosynthesis and respiration at only 3 or 4 temperatures leading to estimates of thermal sensitivities with large error margins and a high probability of generating type II 192 errors (i.e. accepting the null hypothesis of no difference in the thermal sensitivity of 193 photosynthesis and respiration). Furthermore, measurements were made only under resource 194 195 limited conditions precluding a quantitative comparison with nutrient replete conditions via 196 the same methodology. Whilst we expect that the absolute values of the thermal sensitivities 197 of photosynthesis and respiration are likely to decline under resource limitation, it is highly improbable that the intrinsic differences between photosynthesis and respiration documented 198 in this study under nutrient replete conditions will be erased under nutrient limitation. Indeed, 199 our analyses demonstrate that light limitation had a negligible impact on the temperature 200 sensitivity of photosynthesis and in particular, the fundamental differences in the impacts of 201 temperature on photosynthesis and respiration were preserved under light limited conditions 202 (see Fig.2 and Table 6, SI). We therefore anticipate that the supra-optimal declines in growth 203 204 linked to temperature-driven decoupling between photosynthesis, respiration and biomass 205 synthesis that we have shown here, apply equally under nutrient replete and limited conditions. Whilst large areas of the global ocean are under nutrient limited conditions for 206 long periods (26), understanding the impacts of temperature under nutrient replete conditions 207 (as we have done here) remains critically important because a large proportion of marine 208 primary productivity occurs during episodic bloom events driven by short periods of 209

increased nutrient concentrations (27–29). Clearly, significant further work is required to
understand the interplay between temperature and nutrient availability on phytoplankton
physiology and to assess whether the patterns we have shown here apply to conditions of
nutrient limitation, given that current experimental evidence (25) is not sufficient to draw
meaningful conclusions.

215

#### 216 <u>Conclusions</u>

Overall, our findings highlight marked similarities in the temperature dependence of 217 photosynthesis and respiration across diverse taxonomic groups, spanning the cyanobacteria 218 and red and green super families and suggest that common physiological trade-offs underpin 219 the thermal tolerance of marine phytoplankton. We found that rates of respiration were more 220 sensitive to temperature, had higher thermal optima and declined less abruptly past the 221 optimum than those of photosynthesis. Consequently, the fraction of photosynthetic energy 222 available for allocation to growth (the CUE) exhibited an accelerated decline with rising 223 224 temperatures in a manner that was highly conserved among the 18 species investigated. We 225 also found that the optimal temperature for growth coincided with, or was lower than, an inflection point in the temperature dependence of the CUE, which marked a transition that led 226 227 to accelerated declines at high temperatures. These patterns suggest that universal metabolic constraints driven by the differential temperature sensitivity of photosynthesis and respiration 228 229 play a key role in setting the limits of thermal tolerance of diverse marine phytoplankton. Our results therefore help pave the way for improving representations of phytoplankton 230 231 biodiversity in models of ocean biogeochemistry by providing a process-based understanding of the factors that shape the limits of temperature tolerance for diverse species of marine 232 233 phytoplankton, which can be used to aid predictions of immigration and local extinctions 234 driven by global warming.

235

#### 236 Methods

## 237 Culturing of marine phytoplankton strains

18 marine phytoplankton strains were obtained from CCAP (The Culture Collection of Algae
and Protozoa) and RCC (Roscoff Culture Collection) between autumn 2015 and spring 2016.

240 Strains of eukaryotic phytoplankton were selected from phylogenetic groups of both the red and green superfamilies (10, 11), in addition to two strains of cyanobacteria. We tried to 241 work with organisms that had been well studied in the literature, were known to be globally 242 abundant and play crucial roles for marine ecology and global carbon cycling. The strains 243 were originally isolated from a range of latitudes and some have been in culture for up to 65 244 years (Table 1, SI). Stocks of each of the strains were cultured on their previous culture 245 collection medium (Table 1, SI) using artificial sea water. The following media were used: 246 Guillard's F/2 and F/2 + Si, Keller's K, K + Si and K/2, and PCR-S11 Red Sea medium (with 247 Red Sea salts). All stock cultures were incubated in Infors HT incubators at 20°C, under a 248 12:12 hour light-dark cycle with a PAR intensity of 45-50  $\mu$ mol m<sup>2</sup> s<sup>-1</sup> and shaken at 65RPM. 249 Where possible we tried to obtain strains from the culture collections that matched, or were 250 close to, these conditions. The red alga Porphyridium purpureum was an exception, which we 251 cultured at 20-25  $\mu$ mol m<sup>2</sup> s<sup>-1</sup>. Cultures were kept under exponential, nutrient replete, growth 252 conditions for ~ 2 months before any physiological data was collected. 253

254

## 255 Measuring the thermal tolerance curve

For each species, a minimum of 3 technical replicates were inoculated with the same starting 256 density into fresh growth medium across a range of temperatures (15°C - 37°C). Cell counts 257 were made daily using flow cytometry (Accuri C6 flow cytometer, BD Scientific), and 258 population density was tracked until cultures reached carrying capacity. Per capita growth 259 rates ( $\mu$ ) were quantified from a modified Baranyi growth model without the lag phase(30), 260 using non-linear least squares regression via the 'nlsMicrobio' package in R statistical 261 software (v3.3.1). Models were fitted using the 'nlsLoop' function in the R github package 262 'nlsLoop'. This draws on the 'nlsLM' function in the 'minpack.lm' R package, which uses a 263 264 modified Levenberg-Marquardt optimisation algorithm. Model parameters were determined by running 2000 random combinations of estimated starting parameters, which were then 265 selected using the Akaike Information Criterion (AIC) to determine the set of parameters that 266 best characterised the data. Growth rates derived for each technical replicate at each growth 267 temperature were then used to determine the thermal tolerance curves (Fig.1A). 268

#### 269 Estimates of Cell Carbon and Nitrogen

For each species, an exponentially growing culture from the 20°C stock was divided into 3 technical replicates and centrifuged at 3500RPM, at 4°C for 30 minutes. The resultant pellets 272 were rinsed with deionised water and re-spun 3 times to remove any artificial sea water residue. For the calcifying organisms (Emiliania huxleyi, Gephyrocapsa oceanica, 273 Thoracosphaera heimii i.e. those with a calcium carbonate coccoliths) it was necessary to 274 dissolve the extra-cellular inorganic carbon (31, 32). This was achieved by treating these 275 pellets with 0.5 mL of 3M HCl for 1 hour before being rinsed with deionised water and re-276 pelleted. All pellets were freeze-dried using a CoolSafe (95-15 PRO, ScanVac) over 24 hours 277 and then weighed to obtain dry weight. Samples were placed in tin cups and sent to Elemtex 278 (Elemtex Ltd, Cornwall, UK, PL17 8QS) for elemental analysis of %C and %N using a 279 280 SerCon Isotope Ratio Mass Spectrometer (CF-IRMS) system (continuous flow mode). For each technical replicate we then calculated the C:N ratio as well as  $\mu$ g C cell<sup>-1</sup> (Table 3, SI). 281

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## 283 Measuring the metabolic thermal response curves

Measurements of photosynthesis and dark respiration were collected across a range of assay 284 temperatures (7°C to 49°C) for a minimum of 3 biological replicates per species. We used a 285 clark-type oxygen electrode as part of a Chlorolab 2 system (Hansatech Ltd, King's Lynn, 286 287 UK) to measure net rates of oxygen evolution in the light (net primary production, NP) and oxygen consumption in the dark (dark respiration); both in units of  $\mu$ mol O<sub>2</sub> mL<sup>-1</sup> s<sup>-1</sup>. All 288 biological replicates were sampled from the stock cultures, which had all been growing at 289 20°C and were taken at the mid-logarithmic growth phase to ensure that the samples were not 290 291 substrate limited. To improve the signal to noise ratio when measuring rates, all biological replicate samples were concentrated by centrifugation at 1500rpm, 20°C, for 15 minutes and 292 293 re-suspended into an adequate volume of fresh growth medium. Prior to running a sample at each assay temperature, all samples were given  $\sim 15$  minutes to pre-acclimate to the assay 294 295 temperature in the dark before any data was collected. This also gave the electrode system 296 sufficient time to stabilise before metabolic rates were measured. This was necessary for two 297 reasons, i) as the sample adjusts to the assay temperature this will naturally cause changes in 298 the dissolved oxygen concentration, ii) the electrode system results in oxygen signal drift, and 299 this too is temperature dependent. We measured rates of oxygen depletion from 21 sterilised artificial seawater samples across a range of temperatures 4°C - 44°C and found that the 300 impact of drift was minimised after ~15 minutes of stabilisation time. Nevertheless, signal 301 drift was linearly temperature dependent after this time. To account for drift in our dataset we 302 corrected all our raw data using the following empirically derived relationship: 303

$$304 \quad drift = (-0.392 \times T) - 6.51$$
[1]

Where T is assay temperature (°C), and *drift* is the non-biological depletion in oxygen 305 concentration measured in units  $\mu$ molO<sub>2</sub> mL<sup>-1</sup> s<sup>-1</sup> after approximately 15 minutes of 306 stabilisation. The raw O<sub>2</sub> flux data was then corrected by subtracting the estimated drift. 307 Rates of net photosynthesis, measured as O<sub>2</sub> evolution, were collected across a range of light 308 intensities from 0 to 1800  $\mu$ mol m<sup>2</sup> s<sup>-1</sup> with increments of 50  $\mu$ mol m<sup>2</sup> s<sup>-1</sup> between 0 to 200 309  $\mu$ mol m<sup>2</sup> s<sup>-1</sup>, 100  $\mu$ mol m<sup>2</sup> s<sup>-1</sup> between 200 and 1000  $\mu$ mol m<sup>2</sup> s<sup>-1</sup>, followed by 1200  $\mu$ mol m<sup>2</sup> s<sup>-1</sup> 310 <sup>1</sup>, 1500  $\mu$ mol m<sup>2</sup> s<sup>-1</sup> and finally 1800  $\mu$ mol m<sup>2</sup> s<sup>-1</sup>. This enabled us to model a photosynthesis-311 irradiance (PI) curve for each assay temperature, and therefore obtain an estimate of light 312 saturated net photosynthesis,  $NP_{max}$ , see Eq. 2. Respiration (R) was measured as oxygen 313 consumption in the dark, over a 3-minute period directly following the light response outlined 314 above. The photosynthesis-irradiance curve was then quantified by fitting Eiler's 315 photoinhibiton model to the data using non-linear least squares regression (as described 316 above) (33, 34): 317

318 
$$NP(I) = \frac{NP_{max}I}{\frac{NP_{max}}{\alpha I_{opt}}^2 I^2 + \left(1 - 2\frac{NP_{max}}{\alpha I_{opt}}\right)I + \frac{NP_{max}}{\alpha}}$$
[2]

319 Where NP(I) is the rate of net primary production at light intensity, I,  $NP_{max}$  is the 320 maximum rate of NP at the optimal light intensity,  $I_{opt}$ , and  $\alpha$  is the rate in which NP321 increases up to  $NP_{max}$ .

322 Light saturated gross primary production (*P*) was then calculated for each assay temperature323 as:

$$324 \quad P = NP_{max} + R \qquad [3]$$

To investigate the effect of light limitation on the temperature dependence of photosynthesis we used Eq. 2 to determine the predicted *NP* at half the light saturated irradiance (0.5 ×  $I_{opt}$ ). Thus replacing in  $NP_{max}$  in Eq. 3 with this prediction we derived  $P_{0.5}$ , a light limited value of gross primary production at half the saturating irradiance for each assay temperature response.

330 Metabolic rates were then converted from units  $\mu$ mol O<sub>2</sub> mL<sup>-1</sup> s<sup>-1</sup> to  $\mu$ g C  $\mu$ g C<sup>-1</sup> hour<sup>-1</sup>. We 331 achieved this using the following equation:

332 
$$b(\mu g C \mu g C^{-1} h^{-1}) = \frac{b(\mu mol O_2 cell^{-1} h^{-1}) \times 32 \times M \times (\frac{12}{44})}{\mu g C cell^{-1}}$$
 [4]

Where b is the metabolic rate (either P or R), 32 is the molecular weight of  $O_2$ , M is a species 333 specific assimilation quotient for CO<sub>2</sub>:O<sub>2</sub> (35) which is used to describe consumption or 334 fixation of C in the cell per unit of  $O_2$ , and 12/44 is the ratio of molecular weight of C to  $CO_2$ , 335 thus  $32 \times M \times \frac{12}{44}$  converts from  $\mu mol O_2$  to  $\mu gC$ . Samples from each strain were analysed 336 to determine species-specific  $\mu g C cell^{-1}$  values and the number of cells mL<sup>-1</sup> was 337 measured for each biological replicate using flow cytometry. The calculation of M is based 338 on the assumption that  $NO_3^-$  is the main nitrogen source in the growth medium and that there 339 is a balanced growth equation, where: 340

341 
$$nCO_2 + (n+1)H_2O + HNO_3 \rightarrow (CH_2O)_n NH_3 + (n+2)O_2$$
 [5]

If the *C:N* ratio (*n*) of the phytoplankton is calculated in moles then the ratio of CO<sub>2</sub>:O<sub>2</sub>, or *M*, will be equal to n/n+2 (35). Our calculated values of *M* ranged from ~0.71 to ~0.89 (Table 3, SI).

## 345 Quantifying the thermal response curves

The thermal response curves for rates of growth, photosynthesis (at both saturated and half saturated irradiance) and respiration were quantified using a modified version of the Sharpe-Schoolfield equation (12, 13):

349 
$$\ln(b(T)) = E_a\left(\frac{1}{kT_c} - \frac{1}{kT}\right) + \ln(b(T_c)) - \ln\left(1 + e^{E_h\left(\frac{1}{kT_h} - \frac{1}{kT}\right)}\right)$$
 [6]

where b is either the rate of growth ( $d^{-1}$ ), photosynthesis or respiration ( $\mu g C \mu g C^{-1} h^{-1}$ ), k is 350 Boltzmann's constant (8.62×10<sup>-5</sup> eV K<sup>-1</sup>),  $E_a$  is the activation energy (eV), indicative of the 351 steepness of the slope leading up to the thermal optima, T is temperature in Kelvin (K),  $E_h$  is 352 the deactivation energy which characterizes temperature-induced decrease in rates above  $T_h$ 353 where half the enzymes have become non-functional and  $b(T_c)$  is rate normalized to an 354 355 arbitrary reference temperature, here  $T_c = 20^{\circ}$ C (+ 273.15), where no low or high temperature inactivation is experienced. Eq. 6 can be used to derive an optimum temperature where the 356 maximum rate is predicted: 357

358 
$$T_{opt} = \frac{E_h T_h}{E_h + k T_h \ln\left(\frac{E_h}{E_a} - 1\right)}$$
[7]

The parameters  $b(T_c)$ ,  $E_a$ ,  $E_h$ ,  $T_h$ , and  $T_{opt}$ , can be considered as traits that characterise the 359 360 unimodal response of biological rates to temperature change. We expect these traits to differ across the diverse taxa analysed in this study, owing to their diverse evolutionary histories 361 and ancestral temperature regimes (given that they have been isolated from different 362 latitudes/oceans). To test this assumption, we fitted the data for growth, photosynthesis and 363 respiration across all species to Eq. 6 using non-linear mixed effects modelling with the 364 'nlme' package in R. We used separate analyses to assess the thermal responses of growth, 365 photosynthesis and respiration. All models included each of the parameters in Eq. 6 as fixed 366 effects, which quantify the average value of the parameter across all species and replicates. 367 368 For the analysis of the thermal response of growth rate, we included 'species' as a random effect on each parameter, which quantifies species-specific deviations from the average 369 across all species (i.e. the fixed effect) that are assumed to be normally distributed with a 370 mean of zero. For the analyses of photosynthesis and respiration, we included 'replicate' 371 nested within 'species' to account for the fact that we measured a minimum 3 replicate 372 thermal response curves for each species. Here the random effect quantifies species-specific 373 deviations from the fixed effects as well as those attributable to variance among the replicates 374 375 of each species.

Because the Sharpe-Schoolfield equation can only take non-zero and positive rate values, in instances where either no observed growth rate, or a negative growth rate were measured (typically the highest and lowest temperature) we set the rate to the minimum value measured for the species in order to fit the model.

380

## 381 Quantifying the carbon-use efficiency and modelling the break-point temperature

382 The carbon-use efficiency (CUE) was calculated as:

383 CUE = 1 - R/P

384 Due to the non-linear temperature response of the CUE, with accelerated declines at high-385 temperatures, we fitted a segmented linear regression model to estimate the break-point in the 386 temperature response after which the CUE exhibited an accelerated decline. We fitted the 387 segmented linear regression model to CUE values derived from the fitted Sharpe-Schoolfield 388 curves for each species enabling us to derive an estimate of CUE at every 1°C increment 389 across the range of assay temperatures where metabolic rates were measured for each species

[8]

390 (Fig 2. Main text). We fitted the break-point model to the CUE values using the 'segmented' package in R, where the breakpoint estimate is defined in the segmented model as the 391 intersection where there is significant difference in slopes (36), determined by the Davies test 392 for performing hypothesis (37). It is for this reason that it was necessary to use the predicted 393 394 values of respiration and photosynthesis to derive the break-point, as the measured data in most cases only provided one or two data points beyond the inflection point, and this would 395 not have been sufficient to accurately model the second slope beyond this point (Fig.3, Main 396 text). The model returned an estimate of the CUE break-point temperature and the 95% 397 398 confidence intervals surrounding this value for each species (Table 7, SI).

#### **399 Determining the temperature dependence of the CUE**

We characterized the temperature dependence of the CUE up to the CUE breakpointtemperature for each species using the Arrhenius equation,

402 
$$\ln \text{CUE}(T) = E_a^{CUE}\left(\frac{1}{kT_c} - \frac{1}{kT}\right) + \ln \text{CUE}(T_c)$$
 [9]

where ln CUE(T) is the natural logarithm of the CUE at temperature T (in Kelvin),  $E_a^{CUE}$  is 403 the apparent activation energy characterising the temperature dependence of CUE. We 404 centred the temperature data using an arbitrary reference temperature  $T_c = 283$  K = 20°C, so 405 that  $\ln \text{CUE}(T_c)$  is the CUE at  $T_c$ . We fitted Eq. 9 to all the measurements of CUE, up to the 406 CUE break-point temperature identified for each species (Fig.3 Main text, Table 7, SI) using 407 a linear mixed effects model. This allowed us to derive an average value for  $E_a^{CUE}$  and 408  $\ln \text{CUE}(T_c)$  across the 18 species. We also included random effects of 'replicate' nested 409 within 'species' in the model to account for the fact we measured a minimum of 3 replicate 410 responses of respiration and photosynthesis for each species. This allowed us to capture the 411 species-specific and replicate specific estimates  $E_a^{CUE}$  and  $\ln \text{CUE}(T_c)$ . 412

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### 416 Author contributions

- 417 S.B. and G.Y.-D conceived the study. S.B., G.Y.-D, A.B. and N.S. designed the experimental
- 418 work. S.B., J.J. and C.-E.S. conducted the experimental work. S.B. and G.Y.-D analysed the
- data. S.B. and G.Y.-D wrote the manuscript and all authors contributed to revisions.

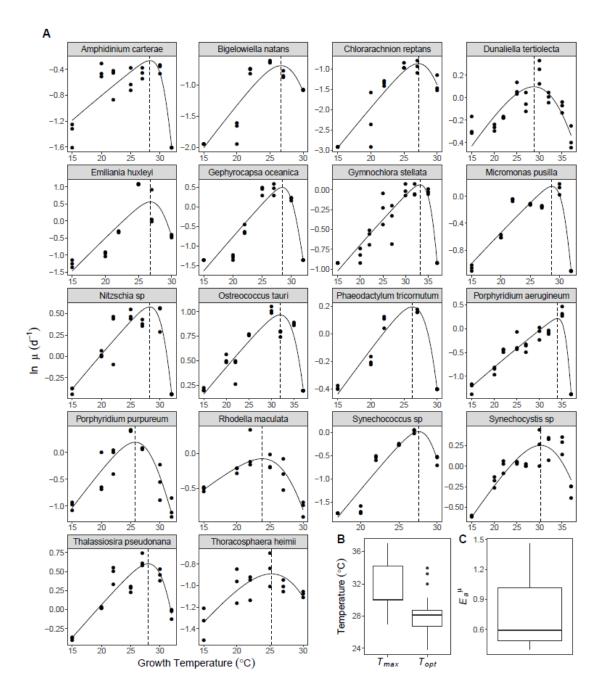
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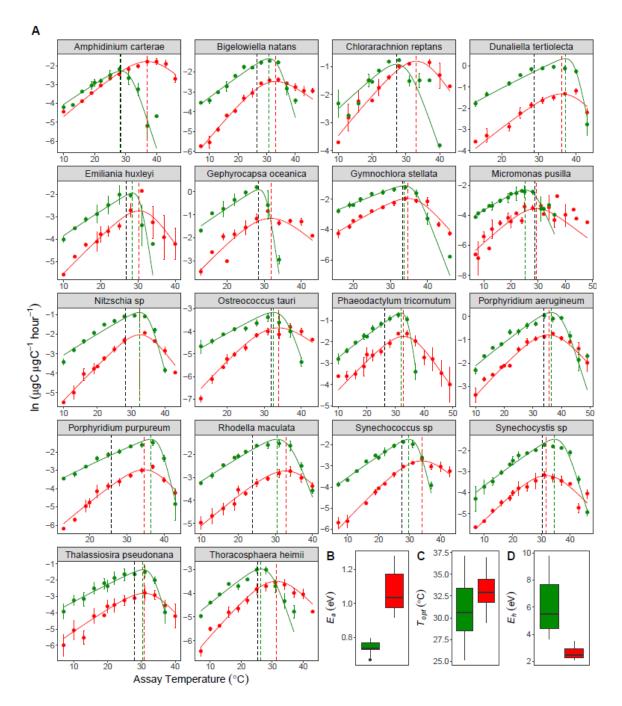
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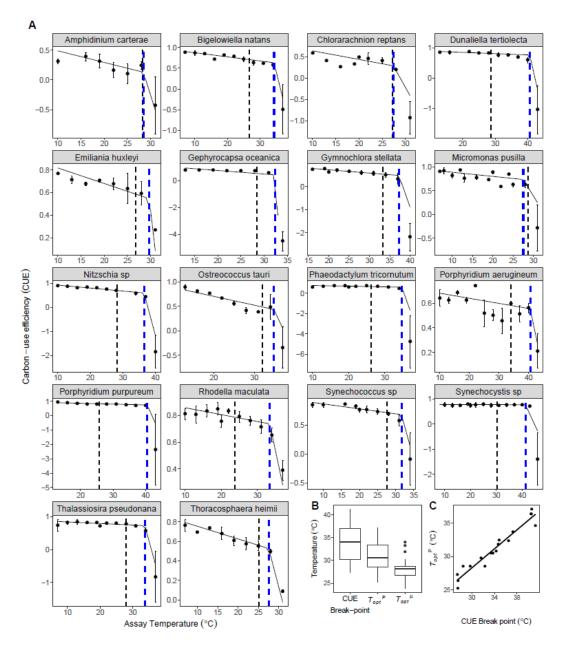
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Fig. 1. Thermal tolerance curves for 18 species of marine phytoplankton. (A) Thermal reaction 509 norms for all 18 species used in this study. The data points presented are the natural logarithm of per 510 capita growth rate,  $\mu$ , for each replicate (n= minimum of 3 technical replicates per assay temperature 511 512 for each species). The fitted lines are from the predicted random effect of species derived from nonlinear mixed effects modelling with a Sharpe-Schoolfield model. The vertical dashed lines correspond 513 with the optimal temperatures of growth. (B) Boxplot distributions of optimal growth temperatures 514  $(T_{opt})$  and maximum temperatures of growth  $(T_{max})$  across all 18 species. (C) Boxplot distribution of 515 growth activation energy, or temperature dependence  $(E_a^{\mu})$ , across all 18 species (Table 2, SI). The 516 517 bold horizontal line corresponds to the median value, the top and bottom of the box correspond to the 75<sup>th</sup> and 25<sup>th</sup> percentiles and the whiskers extend to the largest and smallest values no greater or less 518 than  $1.5 \times$  the interquartile range, beyond which the points are plotted as outliers. 519





521 Fig. 2. Thermal performance curves for respiration and gross photosynthesis in 18 species of marine phytoplankton. (A) Metabolic thermal performance curves for all 18 species used in this 522 523 study. Green colouring denotes gross photosynthesis, red colouring denotes respiration. The data points presented are the natural logarithm of mean metabolic, with error bars denoting  $\pm$  s.e.m (n =524 minimum of 3 biological replicates per response for each species). The fitted lines for each species are 525 from the random effects of a non-linear mixed effects model fitted to the rate data using the Sharpe-526 Schoolfield equation (see Methods). The vertical dashed lines correspond with the optimal 527 528 temperatures for each metabolic flux, with the black dashed line added to show optimal growth 529 temperature. (B, C and D) Boxplots showing the distribution of the estimated values for activation energy  $(E_a)$ , optimal temperature  $(T_{opt})$  and deactivation energy  $(E_h)$  for photosynthesis and respiration across the 18 species (Tables 4 and 5, SI). The bold horizontal line corresponds to the 530 531 median value, the top and bottom of the box correspond to the 75<sup>th</sup> and 25<sup>th</sup> percentiles and the 532 whiskers extend to the largest and smallest values no greater or less than  $1.5 \times$  the interquartile 533 534 range, beyond which the points are plotted as outliers.



535

536 Figure. 3. Carbon-use efficiency breakpoints constrain the optimal temperature of growth. (A) Segmented linear regression models fitted to the predicted carbon use efficiency (CUE), derived from 537 the thermal performance parameters of respiration and photosynthesis for each species (Fig 2). The 538 modelled response is presented here alongside the calculated mean CUE at each assay temperature, 539 540 with with error bars denoting  $\pm$  s.e.m (n = minimum of 3 biological replicates per response for each species). The dashed vertical dashed blue line represents the predicted break-point in the model, 541 where there was a significant change in the slope of the CUE thermal response. The dashed vertical 542 black line represents the estimate optimal temperature of growth (Fig.1). In most cases this either 543 coincides with the break-point, falling within the 95% CIs of the break-point, or was lower than the 544 break-point. (B) Boxplots showing the distribution of the estimated values for the CUE break-point 545 temperature, optimal temperature of gross photosynthesis  $(T_{opt}^{P})$  and optimal temperature of growth 546  $(T_{opt}^{\mu})$  across the 18 species (Tables 2, 4 and 5, SI). The bold horizontal line corresponds to the 547 median value, the top and bottom of the box correspond to the 75<sup>th</sup> and 25<sup>th</sup> percentiles and the 548 whiskers extend to the largest and smallest values no greater or less than  $1.5 \times$  the interquartile 549 range, beyond which the points are plotted as outliers. (C) The significant coupling between the CUE 550 and  $T_{opt}^{P}$ , illustrating that the sharp declines in CUE are determined by the universal metabolic 551 552 constrains identified in Fig.2.

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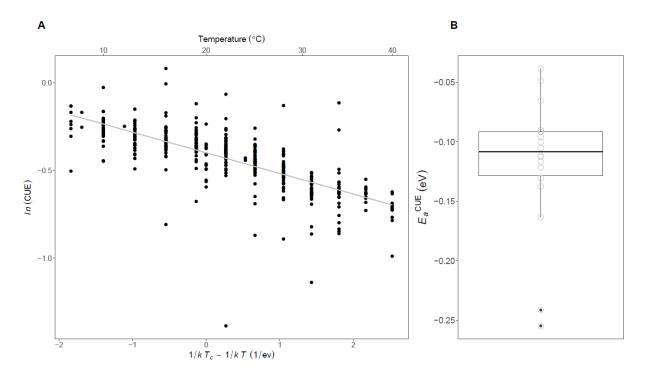




Figure. 4. The temperature dependence of the carbon-use efficiency. (A) A scatterplot showing 554 the relationship between the natural logarithm of the carbon-use efficiency (CUE) and standardised 555 Boltzmann temperature up to the CUE break-point (Fig.3) for the pooled dataset of 18 species, where 556  $T_c = 20^{\circ}$ C and k is the Boltzmann constant (8.62 × 10<sup>-5</sup> eV). The fitted line represents the fixed 557 effect of a linear mixed effects model fitted to the data using the Boltzmann-Arrhenius equation (see 558 Methods). Values of ln(CUE) have been standardised by dividing by the species-specific intercept 559 560 derived from the random effects of the mixed effects model. This standardisation was for visualisation The plot demonstrates that the CUE decreases up to the CUE break-point of the data only. 561 temperature with a consistent temperature dependence, equating to an average activation energy ( 562  $E_a^{CUE}$ ) of -0.12eV. (**B**) Boxplot of the species-specific  $E_a^{CUE}$  values derived from the linear mixed effects model. The bold horizontal line corresponds to the median value, the top and bottom of the 563 564 box correspond to the 75<sup>th</sup> and 25<sup>th</sup> percentiles and the whiskers extend to the largest and smallest 565 values no greater or less than  $1.5 \times$  the interquartile range, beyond which the points are plotted as 566 567 outliers.

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