

1 **Blood, sweat and tears: a review of non-invasive DNA sampling**

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33 **SUMMARY**

34 The use of DNA data is ubiquitous across animal sciences. DNA may be obtained from an
35 organism for a myriad of reasons including identification and distinction between cryptic
36 species, sex identification, comparisons of different morphocryptic genotypes or
37 assessments of relatedness between organisms prior to a behavioural study. DNA should be
38 obtained while minimizing the impact on the fitness, behaviour or welfare of the subject
39 being tested, as this can bias experimental results and cause long-lasting effects on wild
40 animals. Furthermore, minimizing impact on experimental animals is a key Refinement
41 principle within the “3Rs” framework which aims to ensure that animal welfare during
42 experimentation is optimised. The term ‘non-invasive DNA sampling’ has been defined to
43 indicate collection methods that do not require capture or cause disturbance to the animal,

44 including any effects on the behaviour or fitness. In practice this is not always the case, as
45 the term ‘non-invasive’ is commonly used in the literature to describe studies where animals
46 are restrained or subjected to aversive procedures. We reviewed the non-invasive DNA
47 sampling literature for the past six years (346 papers published in 2013-2018) and uncovered
48 the existence of a significant gap between the current use of this terminology (i.e. ‘non-
49 invasive DNA sampling’) and its original definition. We show that 58% of the reviewed
50 papers did not comply with the original definition. We discuss the main experimental and
51 ethical issues surrounding the potential confusion or misuse of the phrase ‘non-invasive DNA
52 sampling’ in the current literature and provide potential solutions. In addition, we introduce
53 the terms ‘non-disruptive’ and ‘minimally disruptive’ DNA sampling, to indicate methods
54 that eliminate or minimise impacts not on the physical integrity/structure of the animal, but
55 on its behaviour, fitness and welfare, which in the literature reviewed corresponds to the
56 situation for which an accurate term is clearly missing. Furthermore, we outline when these
57 methods are appropriate to use.

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60 KEYWORDS: eDNA, animal behaviour, fitness, refinement, animal welfare

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63 **1. DNA COLLECTION AND THE NON-INVASIVE MISNOMER**

64

65 DNA data are becoming increasingly important in animal biology ¹, both for experimental
66 and observational studies. This is partially driven by the progressively cheaper and more
67 user-friendly ways of accessing genomic information ². Analysis of genetic material provides
68 data for myriad uses. In addition to analysis of phylogenetic relationships or population
69 genetics, DNA analysis is required to determine basic information about individuals of many
70 species ³. When DNA analysis is required for purposes such as sexing, kinship and
71 differentiation between cryptic species prior to experimentation, the DNA sampling
72 procedure could bias the results of the subsequent experiment. It is therefore essential to
73 minimise the effect that DNA sampling can have on the fitness or behaviour of the subject
74 being tested. Furthermore, ethical use of animals in experimentation is guided by the ‘3Rs’
75 framework of Refinement, Replacement and Reduction (e.g. ⁴). The impact of DNA collection
76 is particularly relevant to the principle of Refinement where techniques with the lowest

77 impact on the animal model should be used whenever possible. Refinement of
78 experimentation is only possible when impact on the animal is accurately identified.

79

80 Methods of DNA collection were originally defined as ‘non-invasive’ if “the source of the
81 DNA is left behind by the animal and can be collected without having to catch or disturb the
82 animal”^{5,6}, for example when genetic material was left behind in traces or scats (i.e. *sensu*
83 environmental DNA (eDNA)), implicitly avoiding any impact on animal welfare. However,
84 DNA collection is not restricted to that of eDNA, and the term non-invasive has often been
85 subsequently misapplied in the literature⁷. In practice, so-called ‘non-invasive’ methods
86 have often encompassed DNA collection techniques that preserve the physical integrity of
87 an organism but have an unmeasured, and potentially significant, impact on the fitness,
88 behaviour or welfare of the subject being studied. For example, the following DNA collection
89 methods were all defined as ‘non-invasive’ by the respective authors: gentle pressure
90 applied to the thorax and abdomen of carabid beetles (*Poecilus cupreus*) to trigger
91 regurgitation⁸; flushing of sage-grouses (*Centrocercus urophasianus*) from their roost sites
92 to collect fresh faecal pellets⁹; and trapping, handling and cloacal swabbing of lizards
93 (*Phrynosoma cornutum*)¹⁰. Misleading use of terminology in biology and ecology is a
94 longstanding concern¹¹⁻¹³. As with many other terms in biology the phrase “non-invasive
95 DNA sampling” has been used in many different and inconsistent ways by various authors.
96 This is problematic for assessing impact on animals, identifying opportunities for refinement,
97 and for ensuring validity and quality of the data collected. To demonstrate the extent of the
98 issue, we conducted a systematic review of the recent literature (2013-2018) and evaluated
99 how well papers using the term “non-invasive DNA sampling” complied with the original
100 definition by Taberlet et al.⁶.

101 2. METHOD

102 We conducted a keyword-based search on the Web Of Science core collection using the
103 keywords DNA and non-invasive or DNA and noninvasive (as both spellings were originally
104 proposed and are in common use^{5,6}). We restricted our search to articles published in
105 relevant disciplines and between 2013 and 2018. The search command used was the
106 following:

107 (TS=((dna AND non-invasive) OR (dna AND noninvasive)) AND SU=(ecology OR zoology OR
108 ornithology OR ecology OR environmental sciences OR entomology OR fisheries OR
109 behavioural science OR Biodiversity & Conservation) AND PY=(2013 OR 2015 OR 2017 OR
110 2014 OR 2016 OR 2018))

111 Results were then refined to experimental papers written in English. On the 9th of July 2018,
112 this search yielded 377 articles. We screened these articles retaining those in which animal
113 DNA samples were actually collected, leading to 355 articles, and removed articles with
114 insufficient methodological information. A total of 346 papers were retained in our final
115 dataset (see list in supplementary file 1). Although this dataset may not be exhaustive; it is
116 taken to be representative of the current literature on non-invasive DNA sampling.

117 During the same time period and in the same fields as above, we estimated the total number
118 of articles focusing on invertebrates versus vertebrates using the following commands:

- 119 • (TS=(mammal) OR TS=(vertebrate) OR TS=(bird) OR TS=(amphibian) OR TS=(reptile)
120 OR TS=(fish) NOT (TS=(insect) OR TS=(invertebrate) OR TS=(crustaceans) OR
121 TS=(annelid) OR TS=(echinoderm) OR TS=(nematelminth) OR TS=(arachnids) OR
122 TS=(arthropod) OR TS=(plathelminth)) AND SU=(ecology OR zoology OR ornithology
123 OR ecology OR environmental sciences OR entomology OR fisheries OR behavioural
124 science OR Biodiversity & Conservation) AND PY=(2013 OR 2015 OR 2017 OR 2014
125 OR 2016 OR 2018))
- 126 • (TS=(insect) OR TS=(invertebrate) OR TS=(crustaceans) OR TS=(annelid) OR
127 TS=(echinoderm) OR TS=(nematelminth) OR TS=(arachnids) OR TS=(arthropod) OR
128 TS=(plathelminth) NOT (TS=(mammal) OR TS=(vertebrate) OR TS=(bird) OR
129 TS=(amphibian) OR TS=(reptile) OR TS=(fish)) AND SU=(ecology OR zoology OR
130 ornithology OR ecology OR environmental sciences OR entomology OR fisheries OR
131 behavioural science OR Biodiversity & Conservation) AND PY=(2013 OR 2015 OR
132 2017 OR 2014 OR 2016 OR 2018))

133 The results from these searches were used as non-exhaustive but comparable numeric
134 estimates only, and were therefore not further curated.

135

136 **3. THE SEVEN DEADLY SINS OF NON-INVASIVE DNA SAMPLING**

137

138 Our systematic review revealed that 58% of papers using the phrase “non-invasive” or
139 “noninvasive” did not comply with the original definition given by Taberlet et al. ⁶ (Fig 1a).

140 This was the case even when this phrase was present in the title of the article (58% of non-
141 complying articles). We summarise below the main issues exposed by our literature search
142 in a list of seven sins.

143

144 **3.1. Sin 1: Taxonomic bias**

145 One conspicuous result from our review was that only 18 studies (~5% of the reviewed
146 papers) focused on invertebrates compared to 324 focusing on vertebrates (Fig 1b). This
147 striking imbalance implies that non-invasive methods are rarely considered for sampling
148 invertebrate DNA. Even when authors claimed to use non-invasive DNA sampling on
149 invertebrates, they used methods that alter the physical integrity of the organism in 40% of
150 the cases (Fig 1d). For example, Rorat et al.¹⁴ collected individual earthworms, which they
151 then electrified “lightly” to induce coelomic secretion. Yet, truly non-invasive methods exist
152 for invertebrates, for example through field collection of insect exuviae¹⁵, pupal cases¹⁶,
153 empty mummies¹⁷, dust¹⁸ or water samples¹⁹.

154
155 The use of non-invasive DNA sampling and the misuse of the term also varies in relation to
156 the taxonomic group of interest within vertebrates (Fig.1c) ($\chi^2 = 165.17$, $df = 30$, $p < 2.2e-$
157 16). For example, 43% of the studies on fish involved alteration of the physical integrity of
158 the organism. These included fin clipping in eels (*Anguilla anguilla*)²⁰ and sting amputation
159 in rays (*Aetobatus narinari*)²¹ which were both considered non-invasive because these body
160 parts can regenerate and despite the fact that fin clipping is known to be painful for fish²². It
161 is difficult to imagine employing such sampling methods on mammals and still calling them
162 non-invasive. Of the reviewed studies focused on mammals, only 3% involved biopsies.

163

164 **3.2. Sin 2: Misclassification of faeces as non-invasive DNA samples**

165 The majority of the literature on non-invasive DNA sampling included the collection of faecal
166 samples (58% of all studies reviewed here). Faecal collection is so prevalent in the field that
167 it seems almost automatically considered non-invasive by most authors. However, our
168 analysis shows that 47 % of the studies focusing solely on faecal sampling did not comply
169 with the original definition of non-invasive DNA sampling. This included detection of animals
170 and collection of faecal samples using aircraft (e.g.²³), which may increase stress in animals
171 (e.g.²⁴) or cases where the animal were captured or even killed to obtain faecal samples. For
172 example, Kierepka et al.²⁵ obtained faecal samples from feral pigs (*Sus scrofa*) by culling the
173 animals and squeezing faecal pellets out of the pigs’ rectum shortly after death. Such
174 procedures clearly violate the definition proposed by Taberlet et al.⁶ (see also Sin 6). Rather
175 than the type of sample, it is the method of sampling that needs to be scrutinized for its
176 invasiveness. Another key issue with faecal sampling is that many animals mark their

177 territory using faeces to dissuade potential intruders (e.g. in wolf communities, see ²⁶) and
178 also use such marks to recognise individuals from neighbouring territories, avoid
179 unnecessary conflict and promote non-agonistic social encounter such as mating. Therefore,
180 even when collected opportunistically after the animal has left, faecal sampling can in some
181 cases affect the marking behaviour of territorial species (e.g. ²⁷) (Fig 1a). Such effect will
182 likely vary with the ecology of the taxa studied but can be particularly significant for small
183 animals when the entire scat is collected, or if undertaking repeated sampling (e.g. ²⁸). The
184 collection of samples from territory boundaries must therefore aim to preserve territory
185 delineation and socially relevant information. Unless the species is known to be non-
186 territorial or marks its territory with cues other than those collected (e.g. maned wolves
187 (*Chrysocyon brachyurus*) mark their territories with urine ²⁹), precautions should be taken to
188 avoid impacts on marking and other social behaviours. These risks could be easily alleviated
189 by only collecting a small portion of a faecal sample. We recorded six studies where this
190 issue was clearly addressed either by swabbing faeces without removal ³⁰ or by only
191 collecting scat subsamples ³¹⁻³⁵.

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193

194 **3.3. Sin 3: Baiting DNA traps**

195 In most studies using a DNA trapping strategy (89%), researchers employed bait or lures to
196 increase the yield of their traps. Very few studies used non-lured DNA traps, for example,
197 barb wire placed at sites that were known to be used by brown bears (*Ursus arctos*) ^{36,37} or
198 modified body snares at otter (*Lontra canadensis*) latrine sites to collect hair ³⁸.
199 Although it seems perfectly legitimate (and often essential) to increase the attractiveness of
200 DNA traps with food ³⁹, scent marks from other individuals ⁴⁰ or other attractants (e.g.
201 Valerian essence for cats) ⁴¹, the animal's behaviour will obviously be disturbed as a
202 consequence and therefore, these methods cannot be considered fully non-invasive sensu
203 Taberlet et al. ⁶.

204
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206 **3.4. Sin 4: Combining invasive and non-invasive methods**

207 In a few examples the impact of the sampling strategy on the animal behaviour is obvious
208 from the article's title itself, for example when baited traps are mentioned (e.g. ⁴¹).
209 However, in many more papers (n=31) confusion arises from authors who used the phrase

210 "non-invasive sampling" while a variety of sampling techniques were actually applied, some
211 of which non-invasive and some of which were invasive sensu Taberlet et al. ⁶. This lack of
212 clarity about what is non-invasive and what is not can be misleading for the reader. Some
213 authors clearly stated the invasiveness of the different methods used (e.g. ⁴²⁻⁴⁵), however,
214 most papers (71%) where mixed DNA sampling strategies were applied did not specify which
215 of these methods were considered non-invasive.

216 Another facet of this issue arises when tools (e.g. new primers, extraction protocols, DNA
217 conservation methods) are developed specifically for analysing samples collected non-
218 invasively but are tested only (or partly) on samples that were collected invasively (n=18) for
219 example by capturing animals to perform the sampling (e.g. ^{46,47}).

220

221 **3.5. Sin 5: A bird in the hand is no better than two in the bush**

222 Trapping and restraint of wild animals is recognised as a significant stressor that can result in
223 distress, injury, and death (e.g. ⁴⁸). Capturing and/or handling animals for DNA sampling was
224 observed in 26% of all articles reviewed here (Fig 1c), despite the clear definition given by
225 Taberlet et al. ⁶ that non-invasive DNA is "collected without having to catch or disturb the
226 animal". Indeed, capture and/or handling of individuals to obtain DNA samples (e.g. saliva
227 swabbing) can induce long-lasting stress effects ^{49,50}, and there are very few cases where
228 capturing an animal might have no effects on its future behaviour. Therefore, when animals
229 must be held captive, transported or restrained in order to perform DNA sampling, the
230 method cannot meet the definition of non-invasive DNA sampling sensu stricto ⁶. Skin
231 swabbing of octopus (*Enteroctopus dofleini*) for example ⁵¹, is unlikely to be possible in the
232 wild without disturbing the animal and the potential negative impacts on animal welfare
233 (see ⁵² for a review) must still be recognised.

234 Another common scenario where the animals are held for DNA sampling relates to the use
235 of museum specimens or killed for other purposes (n=6). Whether they were legally hunted
236 or poached and confiscated (e.g. ⁵³, this type of sampling does not qualify as non-invasive
237 due to the disturbance and/or death of the animal through human activity. Often, a better
238 term for such sampling is "non-destructive", which that does not damage the specimen ^{54,55}
239 (Table 1). On the other hand, tissue sampling from animals that were found dead of natural
240 causes is analogous to eDNA left behind by a free ranging animal and can be considered non-
241 invasive (e.g. ⁵⁶). It should be noted, however, that opportunistic sampling from animals
242 already killed for other purposes (e.g. culling, museum samples) may be an ethical option

243 because it reduces the need to otherwise target living animals and conforms to the principle
244 of Reduction (reducing the number of affected animals) under the 3Rs framework.

245

246 **3.6. Sin 6: All or nothing**

247 Only 42% of the reviewed studies fully met the criteria of the original definition of non-
248 invasive DNA sampling. In most cases, however, authors tried to minimise the impact of
249 sampling, but the nature of the definition proposed by Taberlet et al. ⁶ leaves no middle
250 ground between invasive and non-invasive sampling methods. One potential solution to this
251 is to use the term “minimally-invasive DNA sampling”, which can be defined as obtaining
252 DNA with minimised effects on the animal’s structural/physical integrity, and potential
253 impact on the behaviour and welfare of the organism (Table 1). In our dataset, this term was
254 used in six studies to qualify skin swabbing of fish ⁵⁷, amphibians ⁵⁸ and bats ⁵⁹, feather
255 plucking of gulls ⁶⁰, cloacal swabbing in rattlesnakes ⁶¹ and ear biopsies in rodents ⁶². A
256 broader use of this term would lead to more accurate reporting, for which potential impacts
257 of the sampling are acknowledged, while still emphasising the aspiration of the authors to
258 minimise those impacts. The challenge associated with the use of such a term would be to
259 define where ambiguities fall between minimally-invasive and invasive sampling methods.

260

261 **3.7. Sin 7: Using the common signification of non-invasive sampling**

262 The lack of perceived stress or pain experienced by an animal is often used as a criterion to
263 support the non-invasive classification of the method used. For example, du Toit et al. ⁶³
264 stated that “Pangolin scales consist of non-living keratin, therefore taking scale clippings is
265 considered to be non-invasive”. This statement relates to the common definition of a “non-
266 invasive” medical or veterinary procedure, i.e. one that does not involve puncture of the skin
267 or other entry into the body ⁶⁴. This definition (rather than the one by Taberlet et al. ⁶)
268 seems to be the one adopted by most authors as 92.5% of the reviewed papers complied
269 with this definition (Fig 1d). This was also the case for several articles at the frontier
270 between medical/veterinary fields. Kauffman et al. ⁶⁵ for example, called the sampling of
271 vaginal swabs and urine from captive dogs non-invasive. Similarly, Reinardy et al. ⁶⁶
272 designated as ‘non-invasive’ a procedure consisting of “lightly anaesthetizing fish and
273 applying a slight pressure on their abdomen to expel sperm”, which was then used for DNA
274 analysis. These examples were rare in our dataset (n=3) probably because of our strict
275 selection of articles from non-medical and non-veterinary domains (see selected fields in

276 section 2). Nonetheless, as science becomes increasingly transdisciplinary and genetic
277 methods developed in neighbouring fields are used in ecology, this type of confusion is likely
278 to become more prevalent in the future. The discrepancy with the common definition of a
279 non-invasive procedure comprises a significant limitation of the phrase non-invasive DNA
280 sampling as defined by Taberlet et al. ⁶, and importantly, could minimise the perceived
281 impacts of sampling methods on animal welfare, even if these impacts are significant in
282 reality. Although this issue was first highlighted in 2006 by Garshelis who stated that: “the
283 term noninvasive has 2 distinct meanings, 1 biological and 1 generic, which have become
284 intertwined in the wildlife literature” ⁷, the confusion continues to riddle the current
285 literature.

286

287 **4. INTRODUCING THE TERMS NON-DISRUPTIVE AND MINIMALLY DISRUPTIVE DNA** 288 **SAMPLING**

289 In order to clarify some of the existing discrepancies exposed by our literature review, we
290 propose the introduction of the term, ‘non-disruptive DNA sampling’, that emphasises the
291 effects of the sampling method not on the physical integrity/structure, but on the fitness
292 and behaviour of the organism from which the sample is obtained. We define ‘non-
293 disruptive DNA sampling’ as obtaining DNA from an organism without affecting its fitness, or
294 causing any behaviour or welfare impact that may last longer than the duration of the
295 sampling (Table 1). We define ‘minimally disruptive DNA sampling’ as any sampling method
296 that minimises impacts on fitness, behaviour and welfare. Non-disruptive DNA sampling can
297 be differentiated from ‘non-invasive DNA sampling’ which in the current literature, largely
298 focuses on whether the method of sampling impacts physical structures of the animal (Fig
299 1d). The introduction of ‘non-disruptive DNA sampling’ terminology provides a functional
300 term that appropriately focuses on the impact to the individual and not on a specific quality
301 of the methodology (e.g. whether a physical structure is altered). We acknowledge that very
302 few current DNA sampling methods may be entirely non-disruptive, and recommend that
303 researchers aim at minimising disruption through protocol Refinement. This could be
304 achieved by testing the potential effects of different DNA sampling methods on 1) survival,
305 2) stress, 3) behaviour and 4) reproduction success as a proxy for fitness. In order to make
306 our intended meaning clear, we overlaid existing DNA sampling terms in relation to non-
307 disruptive DNA sampling methods in the following paragraphs and in Figure 2. Rather than
308 debating and refining existing terms, the essential point of Figure 2 is to distinguish between

309 disruptive methods, which are likely to cause lasting effects on the behaviour, welfare or
310 fitness of an organism, and non-disruptive ones, which may not.

311

312 **4.1. Impact of DNA sampling on behaviour, fitness and welfare**

313 Studies examining the effect of DNA sampling on behaviour, fitness and welfare are rare and
314 their results are not always predictable. For example, the fitness consequences of DNA
315 sampling methods, often measured using individual survival as a proxy for fitness (e.g. ⁶⁷⁻⁶⁹),
316 depends on the taxa sampled. Responses may vary strongly between species ⁷⁰ and even
317 between males and females of the same species. For instance, Vila *et al.* ⁷¹ showed that the
318 non-lethal but invasive DNA sampling through leg or hind wing clipping had an effect on
319 survivorship and reproductive behaviour of adult males of the protected moth *Graellsia*
320 *isabellae*, while mid leg clipping had a negative impact on female mating success. In
321 particular cases, DNA sampling can also increase the fitness of animals. For example,
322 supplementary feeding can have a direct positive impact on the fitness of birds ⁷², and this
323 may occur when animals are attracted to DNA traps baited with food or feeding cages where
324 animals are caught for DNA sampling (e.g. ⁷³). In mammals, remote DNA sampling using
325 biopsy darts is known to cause little reaction from marine mammals when conducted
326 correctly and is unlikely to produce long-term deleterious effects ⁷⁴. Gemmell and Majluf ⁷⁵
327 found that in most cases New Zealand fur seals (*Arctocephalus forsteri*) recoiled from the
328 impact and searched briefly for the assailant, but never abandoned their territory following
329 the darting. Another study found that bottlenose dolphins (*Tursiops* spp) reacted similarly to
330 the darting process regardless of being hit or not, suggesting that the reaction is mainly
331 caused by 'unexpected disturbance' rather than biopsy ⁷⁶. No sign of long term altered-
332 behaviours was observed, including probability of recapture. Despite this, all biopsy
333 sampling involves some level of risk ⁷⁴, and different individuals from the same species may
334 react differently to similar stressful situations depending on gender ⁷⁷ or individual
335 physiological and psychological factors ^{78,79}. With regards to animal welfare, Paris *et al.* ⁸⁰
336 assessed the impact of different DNA sampling methods on individual welfare in frogs. They
337 concluded that capture and toe clipping was significantly worse than capture and buccal
338 swabbing in terms of the level of suffering experienced by an animal, and the level of
339 suffering combined with shortened lifespan. These examples illustrate that the level of
340 disruptiveness of DNA sampling methods should not be presumed and studies assessing
341 their impact on fitness, behaviour and welfare should be encouraged prior to use.

342

343

344 **4.2. Examples of non-disruptive or minimally disruptive DNA sampling**

345 Non-disruptive DNA sampling comprises all non-invasive DNA sampling *sensu stricto* i.e.
346 when the DNA is collected without the subjects being aware of the researcher's presence or
347 experiencing any detrimental effects (as suggested in Taberlet & Luikart⁵). For example,
348 most eDNA sampling and DNA trapping methods do not require researcher and subject to be
349 present at the same time and place. An important point of difference between these two
350 methods is that eDNA is often collected somewhat opportunistically, while DNA trapping
351 allows for strategic spatial distribution of sampling.

352 Examples of DNA trapping that are non-disruptive include remote plucking or hair trapping
353 by means of unbaited hair snag traps^{81,82} or tape^{83,84} placed at well-used runs.
354 Environmental DNA sampling includes field collection of faeces (e.g.²⁹) as long as these do
355 not affect territory marking (see section 3.2), DNA collection from footprints in the snow,
356 such as those from the Swedish Arctic fox (*Vulpes lagopus*)⁸⁵, and from saliva on twigs, such
357 as from ungulate browsing⁸⁶. When DNA is collected in the presence of the animal, the
358 effects of sampling can be minimised by avoiding or drastically limiting handling. For
359 example, the swabbing of animals directly in the field with little⁸⁷ or no handling⁸⁸.

360 Sampling methods that are non-disruptive have many benefits for wildlife conservation,
361 because they are unlikely to introduce bias or experimental effect or impact on animal
362 welfare. However, they may be limited in their applicability. The main limitations associated
363 with eDNA and DNA trapping include low DNA quantity and quality⁸⁹, as well as potential
364 contamination from non-target species⁹⁰. Another limitation of DNA trapping might be the
365 mixture of DNA from several different target individuals. In such instances, next-generation
366 sequencing (NGS) or other post-PCR analysis (e.g. cloning, single stranded conformation
367 polymorphism, high resolution melting, denaturing gradient gel electrophoresis) might be
368 required to differentiate and identify the DNA of each individual.

369 A shift in focus from sampling methods that aim at avoiding breaches to physical structures
370 of an organism, to non-disruptive or minimally disruptive methods, (avoiding impact on
371 behaviour, fitness or welfare), means in some cases the most appropriate method may be
372 invasive but results in a lower impact on the animal. For example, invertebrate antenna
373 clipping in the natural environment breaches a physical structure but may result in no effect
374 on survival (e.g.⁶⁹) and may have lower impacts than collecting and removing specimen to
375 captivity for faecal sampling or forced regurgitation.

376 Similarly, remote dart biopsy or flipper notching of marine mammals are often a preferred
377 choice over stressful captures for DNA sampling because they only cause short term effect (if
378 any) on the behaviour of the animal^{91,92}. Under our definitions, hair collection from the
379 environment, unbaited DNA traps, skin swabbing in the field or remote darting on wild sea
380 mammals could be considered non- or minimally disruptive (Figure 2).

381

382 **5. WHEN IS NON-DISRUPTIVE DNA REQUIRED OR PREFERRED?**

383 Non-disruptive DNA sampling provides a compromise between minimising welfare and
384 ethical costs, and obtaining a quality DNA sample. DNA sampling methods where the
385 specimen is in hand generally results in fresher and better-quality DNA, despite the
386 potentially higher impact on animal behaviour or welfare. While the welfare of all
387 experimental animals should be considered, when the subject is endangered or afforded
388 legal protections there may be additional welfare and/or ethical issues surrounding the use
389 of invasive DNA sampling techniques^{67,93}. Additionally, the test subject may be required to
390 be alive for further testing or return to their natural habitat. If further tests involve capturing
391 an animal for a laboratory experiment⁹⁴ or for translocation⁹⁵, then the effects of capturing
392 and holding the organisms for DNA sampling are of less concern as individuals will need to
393 be captured for these experiments anyway. However, stressful events can have a cumulative
394 effect⁹⁶ therefore the potential further exacerbation of stress by DNA sampling should be
395 carefully considered.

396 The importance of considering non-disruptive DNA sampling also depends on the type of
397 study undertaken. Below we describe experimental studies, field behavioural studies, and
398 capture mark recapture (CMR) research, as three types of situations in which collection and
399 use of non-disruptive DNA samples may be essential.

400

401 **5.1. Laboratory-based experimentations**

402 Non-disruptive DNA sampling is necessary for species identification, sexing or genotyping of
403 individuals prior to laboratory-based experimentation where fitness and/or behavioural
404 traits are to be assessed. For example, many species of birds are monomorphic, and can only
405 be sexed using molecular analysis⁹⁷. Similarly, many cryptic species complexes can only be
406 elucidated genetically⁹⁸. Laboratory-based behavioural or fitness studies involving cryptic or
407 monomorphic species may therefore require DNA sexing or species identification of
408 individuals before conducting research on them^{94,99} to ensure a balance of sex or species

409 across different treatments. Even when species identification is not an issue, the organisms
410 being studied may comprise different morphocryptic genotypes⁹⁹ that need to be
411 determined prior to experimentation in a way that does not affect their fitness or behaviour.
412

413 **5.2. Behavioural studies in the field**

414 The second major use of non-disruptive DNA sampling is when relatedness between
415 individual subjects needs to be determined prior to a behavioural study conducted in the
416 field. For example, social interactions in mammals are often linked to kinship and can be
417 mediated by the physiological state of individuals¹⁰⁰. The capture and handling of animals
418 can modify their physiology¹⁰¹, thereby affecting their social behaviour. Recent studies also
419 suggest that although behaviours observed shortly after release may appear ‘normal’, stress
420 levels may still be high and impact activity budgets¹⁰². Such effects may remain undetected
421 but have significant implications for subsequent data reliability and validity.

422

423 **5.3. Capture Mark Recapture**

424 The effects of DNA sampling on animal behaviour may also affect the results of studies that
425 are not directly examining behaviour or fitness. The third case when non-disruptive DNA
426 sampling is recommended is when doing Capture Mark Recapture (CMR) studies. CMR
427 studies using DNA tagging are often conducted to estimate population size (e.g.¹⁰³), with the
428 additional benefit of enabling population genetic analysis on the samples collected. Invasive
429 or disruptive DNA sampling techniques may affect the survival rate of marked individuals, or
430 introduce avoidance behaviours, which may cause trap avoidance, and the population size
431 to be overestimated. For example, toe clipping combined with CMR is commonly used to
432 estimate population abundance of amphibians¹⁰⁴, but toe clipping has been shown to
433 decrease chances of frog recapture by 4 to 11 % for each toe removed⁶⁷. Similarly, sampling
434 methods that may increase the fitness of animals (e.g. feeding cages or baited DNA traps)
435 could lead to previously sampled animals to be more attracted than naïve ones (Boulanger
436 et al. 2004, Gashelis 2006), thereby biasing the CMR results towards underestimating
437 population size.

438

439 Such biases can be limited by the use of non-disruptive DNA sampling methods. Although
440 eDNA has been used in CMR studies and is in most cases non-disruptive, it can have some
441 limitations. The presence of mixed DNA samples and the lower quality of the collected DNA
442 can lead to false positives where animals not captured previously are believed to be

443 recaptured due to their DNA profile being an indistinguishable shadow of previously
444 captured animals ¹⁰⁵. Because of this, non-disruptive DNA sampling may provide an
445 appropriate balance between sample quality, data quality and impact on animals.

446

447

448 **6. TAKE-HOME MESSAGES**

449 1. In practice, most papers using the phrase “non-invasive DNA sampling” only comply
450 to the medical definition of the term non-invasive, which is broader than the original
451 definition proposed by Taberlet et al. ⁶ and is concerned only with the preservation of the
452 physical integrity of the organism being sampled. We urge scientists using non-invasive DNA
453 sampling methods to always state whether they refer to the definition by Taberlet et al. ⁶
454 *sensu stricto* or the medical definition of a non-invasive procedure (*sensu lato*).

455 2. We propose the new terms, “non-disruptive” and “minimally-disruptive” DNA
456 sampling, to more appropriately address the potential behaviour, welfare and/or fitness
457 effects of DNA sampling methods, as opposed to physical integrity (invasiveness in the
458 medical sense). We can envisage situations in which the research aims are not impacted by
459 the sampling approach to obtaining DNA. However, researchers have an ethical obligation
460 to minimise the impacts on the animals. Therefore, whenever possible, non-disruptive or
461 minimally disruptive DNA sampling methods should be selected, in particular prior to
462 experimental or observational studies measuring fitness or behaviour, as well as studies
463 using techniques such as CMR where fitness or behaviour may affect results.

464 3. It may in some cases be better to use a physically invasive method (e.g. remote
465 biopsy) that is minimally disruptive rather than a method that does not involve puncturing
466 the skin but causes severe stress and has long-lasting effects (e.g. stressful capture for saliva
467 swabbing).

468 4. More research is required to better understand the consequences of different live
469 DNA sampling methods on behaviour, welfare and fitness in a variety of animal species and
470 contexts.

471

472

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478

479

480 **AUTHOR CONTRIBUTIONS**

481 Conceptualised the idea: MCL, SB, RHC ; recruited co-authors and organised literature
482 review and writing workshops: MCL ; conducted the systematic review: MCL, SB ; prepared
483 the figures: SB ; drafted and revised the manuscript MCL, RHC, NA, AB, KD, AK, JR, VRS, RS,
484 WB, SB. The overall author percentage contributions are as follow: MCL²⁵, RHC¹⁰, KD⁸, NA⁵,
485 AB⁵, AK⁵, JR⁵, VRS⁵, RS⁵, BW², SB²⁵.

486

487

488 **DATA AVAILABILITY**

489 The list of publications used for the review are available as Supplementary material 1.

490

491

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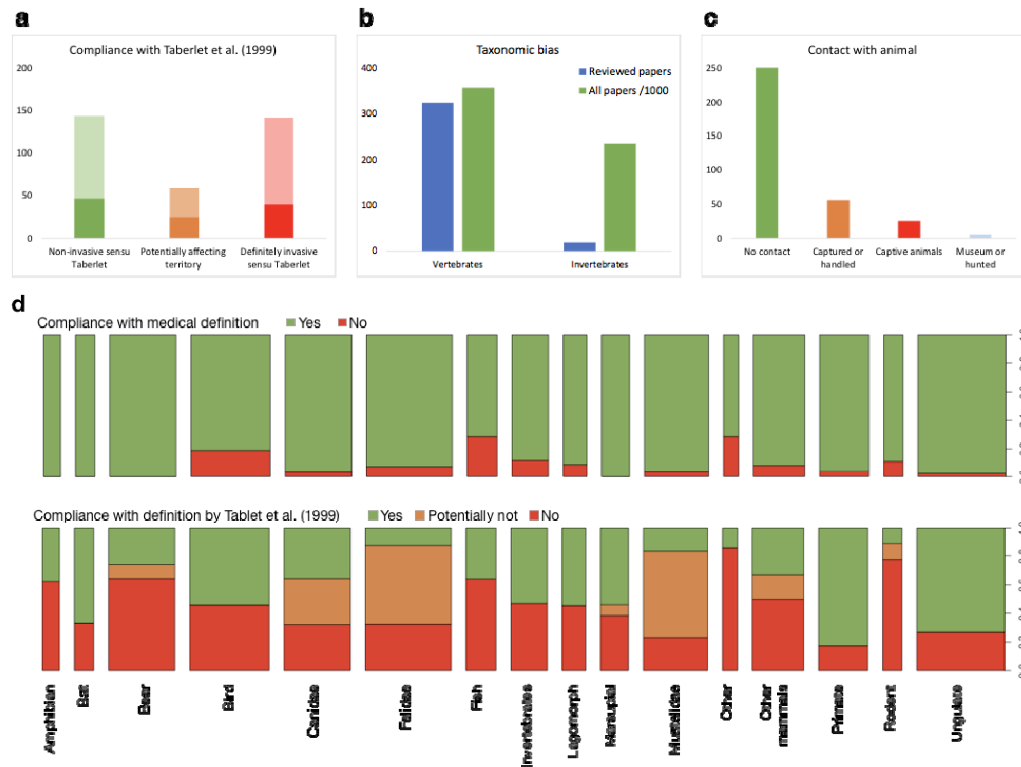
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768 Figure 1. Summary statistics of the literature review on the use of “non-invasive DNA
 769 sampling” between January 2013 and May 2018. For a, b, and c, y-axis is number of
 770 papers. For d, y axis is the proportion of papers and the width of the bars represents
 771 the number of papers for each taxonomic group.
 772 **a:** Compliance of papers with the original definition proposed by Taberlet et al.
 773 (1999). Dark colours correspond to papers where the phrase “non-invasive” was
 774 present in the title, lighter colours correspond to papers where the phrase “non-
 775 invasive” was not present in the title.
 776 **b:** Taxonomic bias in the non-invasive DNA sampling literature. Number of papers
 777 reviewed that focus on invertebrates or vertebrates compared to all papers on
 778 invertebrate or vertebrate (see Method section for search command).
 779 **c:** Number of papers complying or not complying with the no contact criteria
 780 proposed by Taberlet et al. (1999)
 781 **d:** Proportion of papers complying with different definitions of non-invasive sampling
 782 in relation to the taxonomic group studied. Top: compliance with the common
 783 definition of a non-invasive medical or veterinary procedure, (i.e. one not involving
 784 puncture of the skin or other entry into the body (Miller-Keane Encyclopedia and
 785 Dictionary of Medicine). Bottom: compliance with the definition of non-invasive DNA
 786 sampling proposed by Taberlet et al. (1999). Orange boxes correspond to cases
 787 where territory marking and social interactions may have been affected by the
 788 removal of faecal samples.

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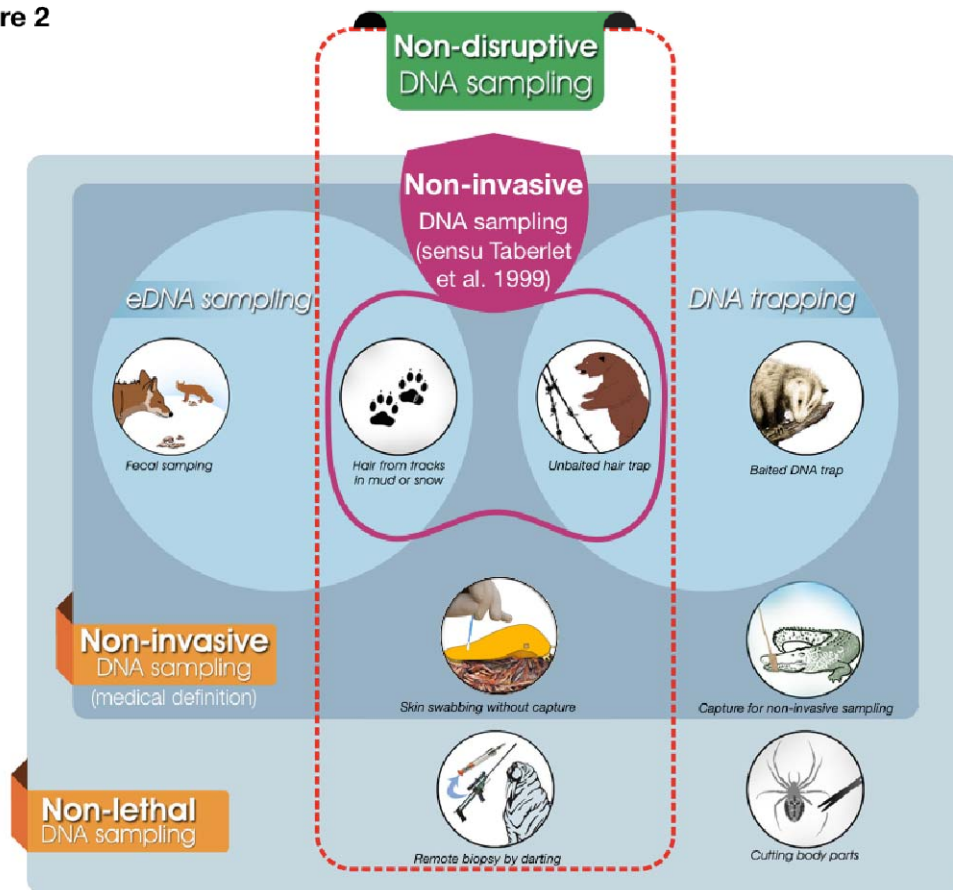
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Figure 2



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795 Figure 2. The relationship between non-disruptive, non-invasive and non-lethal DNA
796 sampling methods.

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799 **Table 1. Glossary of terms as used in this review.**

Term	Definition
DNA trapping	Remotely obtaining DNA from one or more unknown individual organisms by taking a sample while they are present. This usually involves some sort of trap or device, which may or may not be disruptive.
eDNA sampling	Obtaining trace DNA left behind by one or more unknown organisms, by sampling the environment when those organisms are no longer present at the point of sampling.
Minimally disruptive DNA sampling	Obtaining DNA with minimised effects on the animal's fitness, behaviour and welfare. To a minimised extent, such method may affect the structural/physical integrity of the organism.
Minimally invasive DNA sampling	Obtaining DNA with minimised effects on the animal's structural/physical integrity. To a minimised extent, such method may affect the behaviour and welfare of the organism.
Non-destructive DNA sampling	Obtaining DNA from a known individual organism in such a way that the organism may be killed, but not destroyed, so that it can be preserved as a voucher specimen.
Non-disruptive DNA sampling	Obtaining DNA without affecting the animal's fitness, behaviour and welfare.
Non-invasive DNA sampling <i>sensu lato</i>	Obtaining DNA without affecting the physical integrity of the animal's through puncturing the skin or other entry into the body (derived from the medical definition of a non-invasive procedure).
Non-invasive DNA sampling <i>sensu stricto</i>	Obtaining DNA that was left behind by the animal and can be collected without having to catch or disturb the animal (from Taberlet et al. 1999)
Non-invasive procedure	A procedure that does not involve puncture of the skin or other entry into the body (such as use of an endoscopic device).

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