1 Integrative Network and Brain Expression Analysis reveals Mechanistic Modules

2 in Ataxia

3

4 Ilse Eidhof, ¹ Bart P van de Warrenburg, ^{2,*} and An	nette Schenck ^{1,*}
---	------------------------------

5

- 6 1. Department of Human Genetics, Donders Institute for Brain, Cognition, and Behavior, Radboud
- 7 university medical centre, Nijmegen, The Netherlands.
- 8 2. Department of Neurology, Donders Institute for Brain, Cognition, and Behavior, Radboud
- 9 university medical centre, Nijmegen, The Netherlands.

10

11 Corresponding authors:

- 12 Bart P van de Warrenburg
- 13 Department of Neurology, Radboudumc
- 14 Reinier Postlaan 4
- 15 6525GC Nijmegen, the Netherlands
- 16 Tel: 0031243616600
- 17 E-mail address: <u>Bart.vandeWarrenburg@radboudumc.nl</u>

18

- 19 Annette Schenck
- 20 Department of Human Genetics, Radboudumc
- 21 Geert Grooteplein Zuid 10
- 22 6525GA Nijmegen, the Netherlands
- 23 Tel: 0031243610868

24 E-mail address: <u>Annette.Schenck@radboudumc.nl</u>

25 Abstract

Background: Genetic forms of ataxia are a heterogenous group of degenerative diseases of the
cerebellum. Many causative genes have been identified, but a systematic investigation of these genes
to understand ataxia pathophysiology has not been performed.

Methods: A manually curated catalogue of 71 genes involved in disorders with progressive ataxias
as a major clinical feature was subjected to an integrated gene ontology (GO), protein network, and
brain gene expression profiling analysis.

Results: We found that ataxia genes operate in networks with significantly enriched protein 32 connectivity, demonstrating coherence on a global level, independent of inheritance mode. 33 Moreover, elevated expression specifically in the cerebellum predisposes to ataxia. Genes expressed 34 in this pattern are significantly overrepresented among ataxia genes and are enriched for ion 35 homeostasis/synaptic functions. The majority of ataxia genes, however, does not show elevated 36 cerebellar expression that could account for region-specific degeneration. For these, we identified 37 defective cellular stress responses as a major common biological theme, suggesting that the defense 38 pathways against stress are more critical to maintain cerebellar integrity than integrity of other brain 39 40 regions. Approximately half of the ataxia genes, mostly part of the stress module, show higher expression at embryonic stages, which argues for a developmental predisposition. 41

42 Conclusion: Genetic defects in ataxia predominantly affect neuronal homeostasis, to which the 43 cerebellum appears to be excessively susceptible. Based on the identified modules, it is conceivable 44 to propose common therapeutic interventions that target deregulated calcium and ROS levels, or 45 mechanisms that can decrease the harmful downstream effects of these deleterious insults.

46

47 Introduction

Genetic cerebellar ataxias are a group of disabling disorders that share progressive incoordination of 48 movement due to dysfunction and degeneration of the cerebellum as their main hallmark¹. The 49 advent of next-generation sequencing technologies greatly advanced the identification of genes 50 involved in ataxia². However, despite the increasing number of genes identified, treatment attempts 51 are still limited to relieving symptoms and do not target the underlying biological mechanisms. 52 53 Development of effective therapies is hampered by an enormous genetic heterogeneity, the rarity of some the subtypes, and the limited knowledge of biological processes in which ataxia genes exert 54 their function. Identification of shared biological modules between ataxia genes would provide a 55 basis for therapeutic strategies that could be applied to larger cohorts of ataxia patients, in spite of 56 their heterogeneous genotypic background. 57

In recent years, efforts have been made to identify common denominators of genetic ataxias. A number of ataxia genes were found to share interaction partners at protein level and to be involved in processes such as RNA splicing, regulation of transcription, and cell cycle²⁻⁵. When impaired in animal models, these processes lead to neurodegeneration, suggesting that these shared biological pathways maintain the integrity of the cerebellum and its connections³. Nevertheless, previous studies focused primarily on protein networks among specific ataxia genes and subtypes, and they did not systematically probe the influence of gene expression on cerebellar pathology²⁻⁵.

In this study, we systematically analyze the genes to date implicated in cerebellar ataxia, their functional biological pathways, and their expression in the developing human brain. Our integrative study identifies common denominators that underlie progressive cerebellar degeneration and ataxia, including a cerebellum-specific mechanism affected in a subgroup of ataxia disorders that may account for region-specific degeneration and defective stress defense pathways as underlying
 mechanism to the large majority of ataxia disorders to which the cerebellum is in particular sensitive.

71 Materials and methods

72 Cerebellar Ataxia gene selection and classification

The Human Phenotype Ontology database⁶ (download 11/2015) was used to search for genes associated with ataxia. A list of 347 genes was obtained and manually curated using PubMed and OMIM. Genes associated with progressive cerebellar ataxia as prominent clinical manifestation, either in isolation or as part of a more complex phenotype, were included. Primary metabolic disorders, genes associated with cerebellar hypoplasia, and genes inconsistently associated with cerebellar ataxia were excluded.

79 Gene Ontology analysis

The webtool G-profiler⁷ (rev 1536, build 02/2016) was used to perform Gene-Ontology (GO) 80 analysis of 4 categories of ataxia genes (all genes, dominant genes, recessive genes, polyQ genes; the 81 latter refers to the group of dominant ataxias genes that, when mutated, carry a coding CAG repeat 82 expansion that leads to polyglutamine expansion in the protein). For this study, we only considered 83 GO terms (Biological Process, Molecular Function and Cellular Component) that were significantly 84 enriched after correction for multiple testing (Bonferroni test, p<0.05). GO analysis for the 85 86 developmental transcriptome data of BrainSpan was performed using the filtered gene-list (average RPKM > 0.05 over all developmental stages) as background. 87

88 Enrichment analysis

Enrichment scores for GO-terms were calculated as followed: (a/b)/[(c - a)/(d - b)], where a is the 89 number of genes in the ataxia category associated with that GO-term, b is the total number of genes 90 in the ataxia category, c is the total number of genes in the genome associated with that GO-term or, 91 for GO analysis of brainspan data, the total number of genes associated with that GO-term remaining 92 after filtering out low expressed genes from BrainSpan data and d is the total number of annotated 93 human genes present in Ensembl (20,313 genes) or, for GO analysis of brainspan data, the total 94 number of genes remaining after filtering out low expressed genes from BrainSpan data (16,956 95 genes). 96

97 **Protein-protein interaction network**

Protein-protein interactions (PPI) between CA genes were obtained from GeneMANIA, HPRD and
BioGrid and included physical interactions, predicted interactions, shared protein domains, and
pathways⁸⁻¹⁰. All interactions were combined and assembled in a reference network using
Cytoscape¹¹ (v3.1.1.) and duplicates were removed from the reference network.

102 **Physical interaction enrichment (PIE) score**

We used the PIE algorithm to account for biases in the number of reported protein interactions for disease-associated genes in the generated reference PPI network¹². PIE scores and associated pvalues were calculated against 10,000 random protein groups obtained by number-matched subsamplings selected from the reference PPI network for all four ataxia gene categories, as previously described^{13 14}.

108 BrainSpan developmental transcriptome analysis

The publically available developmental transcriptome RNA sequencing (RNA seq) data from the 109 Human BrainSpan atlas was used for ataxia gene expression analysis. BrainSpan provides RNA seq 110 count data represented as reads per kilobase per million mapped reads (RPKM) of 11 targeted 111 neocortical human brain regions and 5 targeted non-neocortical brain regions. Details on samples, 112 sequencing protocols and RNA expression analysis can be found at the brainspan website 113 (http://www.brainspan.org). First, low expressed genes, with an average expression <0.05 RPKM 114 over brain developmental stages and regions, were filtered out. Data was then binned into nine 115 stages, spanning important developmental milestones of the prenatal and postnatal human brain. 116 $EdgeR^{15}$ (version 3.16.5) and Limma¹⁶ (version 3.30.7), provided by the online service 117 Bioconductor, were used to identify the differentially expressed genes between the 16 brain regions 118 separately over the developmental stages. Genes were considered to be differentially expressed 119 between two brain regions if the adjusted P-value passed the <0.05 threshold. Graphpad Prism 5.0 120 contigency tables and Chi-square with Yates correction (two-tailed) tests were used to calculate 121 whether ataxia gene expression was significantly enriched in the cerebellum compared to the rest of 122 the brain. GENE-E (version 3.0.215, www.broadinstitute.org) was utilized to hierarchically cluster 123 average RPKM values of ataxia genes in the cerebellum over the nine defined developmental stages. 124

125 **Results**

126 A systematic catalogue of genes associated with progressive Cerebellar Ataxia

We generated a manually curated systematic catalogue of 103 disorders consistently associated with progressive cerebellar ataxia, corresponding to 71 annotated protein-coding genes (**Table S1**). Of the 71 genes that met our criteria, mutations in 42 of the genes follow recessive inheritance and mutations in 31 of the genes follow dominant inheritance. Two genes, *SPTBN2* and *AFG3L2*, have been described in both dominant and recessive ataxia. Generally, mutations associated with recessive ataxia are loss of function mutations, whereas dominant ataxias can be caused by a combination of gain and/or loss of function mutations. Several genetic ataxias are caused by unstable repeat expansions that can occur in noncoding and coding regions of the genome. Eight of these (*ATXN1*, *ATXN2*, *ATXN3*, *ATXN7*, *ATXN8*, *CACNA1A*, *TBP* and *ATN1*) contain/represent translated trinucleotide repeats that encode for polyglutamine (polyQ) residues and follow dominant inheritance.

138 Genes involved in ataxia function in common biological processes

Differences in the type of mutations and phenotypes between recessive and dominant ataxias suggest 139 that in spite of shared clinical features, different biological mechanisms might underlie these 140 disorders²⁵. To examine whether recessive, dominant and polyQ ataxia genes are associated with 141 142 distinct biological functions, GO analysis was performed on the three gene categories. Recessive ataxia genes were significantly enriched for DNA metabolic processes (p=0.017), DNA-dependent 143 DNA replication (p=0.038), DNA repair ($p=4.2e^{-4}$), cellular response to stress (p=0.002), and 144 mitochondrion (p=0.025) (Fig 1A). Dominant ataxia genes were significantly enriched for nuclear 145 matrix (p=0.023), somatodendritic compartment (p=0.008) and dendrite (p=0.009) (Fig 1B). Ataxia 146 genes encoding PolyQ expansions were significantly enriched for regulation of cellular biosynthetic 147 process (p=0.047), nuclear periphery (p= $8.57e^{-5}$), nuclear matrix (p= $3.81e^{-5}$) and nuclear inclusion 148 body (p=0.012) (Fig 1C). Despite these differences, the shared clinical hallmarks of genetic ataxias 149 150 suggest that ataxia genes/proteins might affect common biological pathways or processes. To identify such common biological themes, we also analyzed the combined ataxia gene catalogue. We 151 found a significant enrichment for most of the GO terms revealed by the separate analyses of genes 152 153 underlying ataxia subtypes, including cellular response to stress (p=0.003) and DNA repair

(p=0.001) (recessive), dendrite (p=0.003) (dominant), and nuclear inclusion body (p=0.029) (PolyO) 154 (Fig 1D). Interestingly, analysis of the contribution of recessive and dominantly inherited ataxia 155 genes to the identified GO terms revealed a shared contribution to nearly all processes (Fig 1D), 156 supporting an overlapping molecular pathology underlying both dominant and recessive ataxias. 157 Moreover, several GO terms, such as those linked to calcium ion transmembrane transport 158 (p=0.020), neuron projection (p=0.004), and adult walking behavior (p=0.014), were only 159 highlighted if the analysis was applied to the complete catalogue of ataxia genes and might be more 160 representative of the shared hallmarks between recessive and dominant progressive cerebellar 161 ataxias. 162

163 Genes involved in ataxia show high connectivity on the protein level

Physical and functional interactions of proteins provide the basis of biological pathways and are 164 crucial to understand cellular function. We evaluated whether our catalogue of ataxia proteins shows 165 significant molecular connectivity. For this, we collected PPI data from three large databases and 166 combined these into a reference network (Fig 2A). We found that 46 of the proteins physically 167 interacted with other proteins present in the ataxia catalogue. Of these 46 proteins, 17 proteins were 168 connected in small modules (pairs, tri- and hexamers), whereas 29 proteins formed a single major 169 network with 30 interactions (Fig 2A). Interestingly, within these modules and networks, proteins 170 associated with recessive, dominant and polyQ progressive ataxia are jointly represented, 171 demonstrating a biological overlap regardless of inheritance type or mutational mechanism (Fig 2A 172

To assess the significance of the identified connectivity, PIE scores and associated p-values were calculated for all, and separately for recessive, dominant and polyQ ataxia proteins. This analysis revealed that ataxia proteins as a whole group interact 2-fold more than randomly expected (P<0.001) (**Fig 2B**). Recessive ataxia proteins interacted 2.1-fold more (p<0.001), dominant ataxia

proteins interacted 2.8-fold more (p<0.001), and polyO-associated ataxia proteins interacted 3-fold 177 more (p<0.05) (Fig 2B). Thus, ataxia proteins are significantly interconnected. 178

Since interactions between proteins underlie biological processes and pathways, we 179 continued examining whether these represent specific biological processes. Individual small protein 180 modules were significantly enriched for processes such as calcium ion homeostasis (p=0.001) and 181 calcium ion transmembrane transport (p=0.024), ATP-dependent peptidase activity, m-AAA 182 complex ($p=4.27e^{-6}$), unfolded protein binding (p=0.024), potassium ion transport (p=0.024), and 183 potassium channel activity (p=0.007) (Fig 2A, left side). The large module within the ataxia 184 interactome was significantly enriched for DNA repair (p=3.23e⁻⁵), cellular response to stress 185 (p=0.020), and nuclear inclusion body (p=0.001) (Fig 2A, right side). Together, these results 186 illustrate that ataxia proteins function in common biological networks and processes. 187

A subset of ataxia genes shows high relative expression specifically in the cerebellum 188

The basis for the preferential regional vulnerability of neurons in the cerebellum in genetic ataxias is 189 mostly unknown. Temporal and spatial patterns of ataxia gene expression in the brain may 190 significantly contribute to specific or preferential cerebellar degeneration when disturbed. To address 191 this, we turned to the publicly available BrainSpan Transcriptional Atlas of the Developing Human 192 Brain, as resource. After exclusion of low expressed genes, a transcription matrix of 16,956 genes 193 representing the 16 brain regions was left that was binned into nine different developmental stages 194 (Fig 3A). We then calculated for each of the 16,956 genes per developmental period whether it was 195 196 differentially expressed in the cerebellum, compared pairwise to any of the other 15 brain regions. From the resulting matrix, the ataxia genes were extracted and the percentage of ataxia genes 197 differentially expressed (adj. p < 0.05) in the cerebellum compared to one or more other brain regions 198 199 was calculated and visualized in a heatmap (Fig 3B). Of note, the expression levels of three ataxia 200 genes, *TGM6*, *PIK3R5* and *MTPAP*, did not pass the threshold of very low expressed genes and were 201 therefore excluded from further analyses. The expression of most ataxia genes in the cerebellum was 202 not different from any of the other 15 brain regions. However, the vast majority of those ataxia genes 203 that did show differential expression to other brain regions, did so to all 15 of them. Their high 204 relative expression level was specific to the cerebellum, suggesting their distinct requirement in this 205 region of the brain. Interestingly, this pattern showed a sharp onset at birth, suggesting that these 206 genes serve cerebellar function specifically at postnatal stages (**Fig 3B**).

207 Elevated cerebellar-specific expression predisposes to ataxia

208 We continued determining whether elevated relative expression in the cerebellum predisposes to ataxia. To address this, we calculated whether the amount of ataxia genes that were specifically 209 elevated in the cerebellum for each developmental stage was higher than randomly expected, 210 211 considering the genome-wide frequency of cerebellar elevated genes. Ataxia genes were more frequently elevated in the cerebellum during the postnatal stages 5-9 (infancy: p<0.001, early 212 childhood: p<0.001, late childhood: p<0.001 and teenager: p<0.01) than randomly expected (Fig 213 **3C**). The following genes were specifically elevated in the cerebellum during one or more of the 214 analyzed developmental stages: ADCK3, ATM, ATN1, CACNA1A, DNMT1, GRID2, GRM1, ITPR1, 215 KCNC3, KCND3, SPTBN2, SYNE1 and TRPC3 (Table S1). To further examine whether a specific 216 mode of inheritance or mutational mechanism was underlying this group of genes, the analysis was 217 repeated for the recessive, dominant and polyQ ataxia gene categories. Also here, the expression of 218 219 genes involved in either of these three categories was enriched in the cerebellum during postnatal development stages (Fig 3D-F), suggesting that all of them contribute to the finding of cerebellum-220 221 specific postnatal enrichment of ataxia gene expression.

222

Finally, we asked whether the genes that were not specifically elevated in the cerebellum

during one of the nine developmental stages, were specifically elevated in one of the other 15 brain regions. We found that a small group of ataxia genes was specifically elevated in either the thalamus (*GBA2*, *UCHL1*, *PRICKLE1* and *ANO10*) or striatum (*CCDC88C* and *PDYN*) during certain developmental stages compared to rest of brain. Not a single ataxia gene was significantly elevated in one of the remaining 13 specific brain regions.

In summary, systematic analyses of brain expression across brain regions revealed that the expression of a subgroup of ataxia genes is specifically enriched in the postnatal cerebellum, likely driving the pathological features of these disorders.

Cerebellar-specific expression patterns separate ataxia genes in distinct biological modules

We next asked which functional biological modules are underlying the identified group of genes 233 with cerebellar-specific expression. GO term analysis was performed on the lists of ataxia genes that 234 showed enriched cerebellar expression during one or more of the developmental stages and ataxia 235 genes that did not (Table S1). This revealed that ataxia genes that were specifically elevated in the 236 cerebellum during one of the developmental stages were significantly enriched for neuronal and ion 237 238 related processes such as: neuron apoptotic process (p=0.046), hindbrain morphogenesis (p=0.008), regulation of cytosolic calcium ion concentration (p=0.040), synapse part (p=0.023), and passive 239 transmembrane transporter activity ($p=3.53e^{-4}$) (Fig 3G). Ataxia genes that were not specifically 240 elevated in the cerebellum during one of the developmental stages were significantly enriched for 241 processes such as: DNA repair (p=0.004), cellular response to stress (p=0.023) and nuclear inclusion 242 body (p=0.035) (**Fig 3G**). 243

Temporal gene expression patterns in the cerebellum cluster genes involved in progressive ataxia into two groups

Finally, we also explored developmental expression profiles of ataxia genes in the cerebellum over 246 247 time, to examine whether this provides further clues about dependence of the cerebellum on certain biological processes during specific stages of development (Fig 4A, B). We hierarchically clustered 248 the temporal expression patterns of ataxia genes in the cerebellum over the nine developmental 249 stages, which unbiasedly separated the genes in two distinct clusters (Fig 4B). Genes present in 250 cluster 1 showed significant higher expression during prenatal stages compared to genes in cluster 2, 251 and genes present in cluster 2 showed significant higher expression during postnatal stages 252 compared to genes present in cluster 1 (Fig 4A). Recessive, dominant and polyQ associated ataxia 253 genes contributed randomly to the two clusters (data not shown). However, genes expressed higher 254 during prenatal stages (cluster 1) were enriched for DNA repair (p=0.031), whereas genes with 255 higher postnatal expression (cluster 2) were enriched for processes related to the cellular component 256 synapse (p=0.019), calcium ion transmembrane transport (p=0.012), and metal ion homeostasis 257 (p=0.042) (Fig 4C). Ataxia genes can thus be distinguished based on their temporal expression 258 profiles in the cerebellum, which links to specific biological processes. 259

260 **Discussion**

We have here systematically mapped shared molecular pathways, processes and expression characteristics among ataxia causing genes, to increase our understanding of the biology of genetic ataxias and to identify mechanistic hubs that can serve as targets for therapeutic interventions. In comparison to previous studies²⁻⁵; we generated a manually curated catalogue of genes involved in genetic ataxia and performed analyses across dominant and recessive forms of the disorder. Furthermore, we applied a strategy that integrated ataxia gene expression in the developing human brain, gene ontology, and protein interaction network analysis, to get a comprehensive understanding of the vulnerability of the cerebellum and the molecular modules and processes affected in genetic ataxias.

Data in this study highlight the different biological processes that are implicated in recessive, 270 dominant and polyO ataxias, with recessive ataxias linked to cellular response to stress and DNA 271 repair related processes; dominant ataxias to dendrite related processes; and polyO ataxias to nuclear 272 inclusion body. The deleterious effect of the type of mutation on the protein, the expression timing 273 profile, specificity and levels of the affected protein in the cerebellum, and the sensitivity of the 274 cerebellum to disruption of these processes might explain these different findings. Despite of this, 275 we found a shared contribution of recessive and dominant ataxia genes to nearly all biological 276 processes, while processes such as calcium ion transmembrane transport were only enriched when 277 278 applying GO analysis to the complete ataxia gene panel.

We found that ataxia genes operate in networks with significantly enriched protein 279 connectivity, demonstrating global coherence independent of inheritance mode or mutational 280 mechanism. Notably, polyQ proteins interacted directly with other non-polyQ dominant and 281 recessive ataxia proteins. This indicates that, in addition to common toxic gain-of-function 282 mechanisms¹⁷ such as the formation of nuclear inclusion bodies, the disruption of the biological 283 processes that these genes operate in likely contributes to the disease pathogenesis. This notion 284 derived from our systematic analysis is supported by gene-focused studies, e.g. of mice models of 285 SCA1, where ATXN1 loss-of-function phenotypes were very similar to ATXN1 gain-of-function 286 phenotypes, and of SCA17 models demonstrating that impaired transcriptional activity of polyQ-287 expanded TBP contributes to disease pathogenesis¹⁸⁻²⁰. Together, our findings strongly support an 288

overlapping molecular pathology between recessive and dominant ataxia subtypes.

The identified protein modules represent different biological processes and ataxia proteins can broadly be separated in two themes: a large stress module and smaller ion homeostasis/synapse modules. The common end-point of these modules is progressive degeneration of the cerebellum, and analysis of ataxia gene expression in the developing human brain suggested that these two modules might contribute differently to cerebellar vulnerability, depending on their specific and temporal cerebellar expression pattern (**Fig 5**).

Brain expression analysis demonstrated that elevated gene expression specifically in the 296 postnatal cerebellum predisposes to ataxia. Genes with this pattern of expression are significantly 297 overrepresented among ataxia genes. The normally high gene activity levels may explain the 298 specific vulnerability of the cerebellum to deleterious mutations in these genes. Interestingly, the 299 corresponding genes encode ion channels, calcium receptors, calcium-activated proteins enriched 300 for transmembrane transporter activity, and regulators of cytosolic calcium ion concentration. Most 301 of them are part of the smaller ion homeostasis/synapse module we identified (Fig 3). The 302 cerebellum contains a unique neuronal cell type, the Purkinje cell (PC), that is central to ataxia 303 pathobiology. PCs might be particularly vulnerable for alterations in ion homeostasis due to their 304 extensive dendritic arbor that exhibit intense and highly regulated firing properties²¹⁻²³. The striking 305 complexity of PC firing regulates sensorimotor integration and is highly dependent on calcium 306 channels and calcium-activated potassium channels²¹. In ataxia models, PCs show reduced firing 307 rate and loose intracellular calcium buffers, even before the onset of clinical symptoms and PC 308 degeneration^{17 24}. Reduced PC excitation enhances the excitability of efferent deep cerebellar nuclei 309 neurons and this is sufficient to cause cerebellar ataxia even in the absence of PC degeneration²⁵. 310 311 This shows that calcium homeostasis in PCs is crucial for proper sensorimotor integration and

disruption of these processes likely affects PC firing properties, eventually leading to cerebellar degeneration and the onset of ataxia.

Calcium is also an important messenger in intracellular signaling pathways, proteostasis 314 mechanisms at ER and Golgi membranes, and mitochondrial activity^{26 27}. The metabolic activity of 315 PCs is high²⁸. Deficits in neuronal energy production and intracellular organelle systems that 316 influence ion fluxes may thus be further factors that account for increased vulnerability of PCs. The 317 energy provided for metabolism is provided by mitochondrial oxidative phosphorylation in the form 318 of adenosine triphosphate. Increased calcium uptake by mitochondria leads to extensive oxidative 319 phosphorylation and overproduction of Reactive Oxygen Species (ROS)^{26 27}. ROS overproduction in 320 turn can lead to detrimental oxidative modifications of lipids, proteins and nucleic acids^{29 30}. 321 Interestingly, the large identified protein module is enriched for the cellular stress response, and 322 proteins present in this module are among others involved in mitochondrial maintenance, DNA 323 repair, unfolded protein response, and regulation of apoptotic and autophagic processes. We found 324 that overall, these genes were not more elevated in the cerebellum during any of the analyzed 325 developmental stages. Thus, elevated cerebellar gene expression cannot account for preferential 326 cerebellar degeneration. This suggests that, compared to other neuronal cell types, the PCs might be 327 more vulnerable to insults related to a distorted cellular stress response. Interestingly, approximately 328 half of the cellular stress response genes show higher expression during embryonic stages, arguing 329 for a developmental predisposition in these cases. 330

Ataxia genes with a function in DNA repair were abundantly represented in the stress module (**Fig 3**). DNA breaks arising from oxidative damage are a major threat for the genome stability of mature post-mitotic neurons. They are usually repaired by base excision repair and single strand break repair (SSBR)³¹. Interestingly, genetic ataxias such as SCAN1 and AOA1, are associated with

DNA SSBR deficiencies, and animal models of these show increased sensitivity to ROS^{28 31}. The 335 genes mutated in these disorders, TDP1 and APTX, do not show enriched cerebellar expression 336 during any of the nine analyzed developmental stages, which may indicate that SSBR is a key 337 homeostatic process required in PCs for reasons unrelated to expression, such as their high 338 metabolic activity, oxidative load, and intrinsic firing properties. There is also a distinct subset of 339 genes implicated in recessive ataxias that are involved in Double Strand Break (DSB) repair. Double 340 stranded DNA breaks commonly occur during rapid proliferation of CNS development and are less 341 likely to occur in the matured nervous system³². The cerebellum might be in particular vulnerable to 342 disruption of DSB repair due to its development up until the first postnatal years, that will lead to 343 the formation of the most abundant cell type in the central nervous system: the cerebellar granule 344 neurons²⁸. This postnatal period of rapid and massive cell proliferation may generate replication 345 stress-associated DNA damage that might affect the cerebellar granule neurons, and indirectly other 346 cerebellar cell types such as the PCs to which they signal²⁸. Interestingly, ATM, a kinase that is 347 involved in detection of DSBs and is mutated in ataxia telangiectasia (AT), shows enriched 348 expression in the cerebellum exactly during this postnatal developmental period. Since ATM is 349 involved in DSB-induced apoptotic signaling, dysfunctional neurons may fail to be efficiently 350 eliminated in the early AT cerebellum, and degenerate only later on^{31 33 34}. This is in agreement with 351 the early neurological problems and loss of the cerebellar granule neurons, the molecular cell layer, 352 and PCs in AT^{31 35 36}. Nonetheless, since ROS-induced DNA damage can also include DSBs and 353 antioxidant treatment can promote the survival of cultured ATM-deficient PCs, DSB repair might 354 also be required for cerebellar maintenance^{31 37}. Therefore, DSB repair is likely a key homeostatic 355 process that maintains PC integrity. 356

In conclusion, while a number of molecular processes are involved in progressive cerebellar ataxia 357 pathology, these intersect and form a common end-point: disrupted neuronal homeostasis, to which 358 the cerebellum is either exclusively susceptible, or more than other brain regions. More experimental 359 data are required to understand the dependence of the cerebellum on different aspects of neuronal 360 homeostasis, such as calcium signaling, ROS, and DNA repair, particularly in absence of region-361 specific expression levels. However, based on the here identified biological themes, it seems 362 conceivable to propose therapeutic interventions that target deregulated calcium and ROS levels, or 363 mechanisms that can decrease the harmful downstream effects of these deleterious insults. 364

365 Acknowledgements

We thank P. Cizek for the PIE score script and C. Gilissen for advice on the expression analysis. This research was supported by the E-RARE-3 Joint Transnational Call grant "Preparing therapies for autosomal recessive ataxias" (PREPARE; ZonMW 9003037604 to B.v.d.W. and A.S.) and by a Radboud university medical centre junior researcher grant.

370 **References**

- I. Jayadev S, Bird TD. Hereditary ataxias: overview. *Genet Med* 2013;15(9):673-83. doi:
 10.1038/gim.2013.28
- 2. Didonna A, Opal P. Advances in Sequencing Technologies for Understanding Hereditary Ataxias:
 A Review. *JAMA Neurol* 2016;73(12):1485-90. doi: 10.1001/jamaneurol.2016.3097
- 375 3. Lim J, Hao T, Shaw C, et al. A protein-protein interaction network for human inherited ataxias
 376 and disorders of Purkinje cell degeneration. *Cell* 2006;125(4):801-14. doi:
 377 10.1016/j.cell.2006.03.032

378	4. Bettencourt C, Ryten M, Forabosco P, et al. Insights from cerebellar transcriptomic analysis into
379	the pathogenesis of ataxia. JAMA Neurol 2014;71(7):831-9. doi:
380	10.1001/jamaneurol.2014.756
381	5. Smeets CJ, Verbeek DS. Cerebellar ataxia and functional genomics: Identifying the routes to
382	cerebellar neurodegeneration. Biochim Biophys Acta 2014;1842(10):2030-38. doi:
383	10.1016/j.bbadis.2014.04.004
384	6. Kohler S, Vasilevsky NA, Engelstad M, et al. The Human Phenotype Ontology in 2017. Nucleic
385	Acids Res 2017;45(D1):D865-D76. doi: 10.1093/nar/gkw1039
386	7. Reimand J, Arak T, Vilo J. g:Profilera web server for functional interpretation of gene lists
387	(2011 update). Nucleic Acids Res 2011;39(Web Server issue):W307-15. doi:
388	10.1093/nar/gkr378
389	8. Montojo J, Zuberi K, Rodriguez H, et al. GeneMANIA: Fast gene network construction and
390	function prediction for Cytoscape. F1000Res 2014;3:153. doi:
391	10.12688/f1000research.4572.1
392	9. Keshava Prasad TS, Goel R, Kandasamy K, et al. Human Protein Reference Database2009
393	update. Nucleic Acids Res 2009;37(Database issue):D767-72. doi: 10.1093/nar/gkn892
394	10. Chatr-Aryamontri A, Oughtred R, Boucher L, et al. The BioGRID interaction database: 2017
395	update. Nucleic Acids Res 2017;45(D1):D369-D79. doi: 10.1093/nar/gkw1102
396	11. Killcoyne S, Carter GW, Smith J, et al. Cytoscape: a community-based framework for network
397	modeling. Methods Mol Biol 2009;563:219-39. doi: 10.1007/978-1-60761-175-2_12
398	12. Sama IE, Huynen MA. Measuring the physical cohesiveness of proteins using physical

interaction enrichment. *Bioinformatics* 2010;26(21):2737-43. doi:
10.1093/bioinformatics/btq474

401	13. Oortveld MA, Keerthikumar S, Oti M, et al. Human intellectual disability genes form conserved
402	functional modules in Drosophila. PLoS Genet 2013;9(10):e1003911. doi:
403	10.1371/journal.pgen.1003911
404	14. Kochinke K, Zweier C, Nijhof B, et al. Systematic Phenomics Analysis Deconvolutes Genes
405	Mutated in Intellectual Disability into Biologically Coherent Modules. Am J Hum Genet
406	2016;98(1):149-64. doi: 10.1016/j.ajhg.2015.11.024
407	15. Robinson MD, McCarthy DJ, Smyth GK. edgeR: a Bioconductor package for differential
408	expression analysis of digital gene expression data. Bioinformatics 2010;26(1):139-40. doi:
409	10.1093/bioinformatics/btp616
410	16. Ritchie ME, Phipson B, Wu D, et al. limma powers differential expression analyses for RNA-
411	sequencing and microarray studies. Nucleic Acids Res 2015;43(7):e47. doi:
412	10.1093/nar/gkv007
413	17. Matilla-Duenas A, Sanchez I, Corral-Juan M, et al. Cellular and molecular pathways triggering
414	neurodegeneration in the spinocerebellar ataxias. Cerebellum 2010;9(2):148-66. doi:
415	10.1007/s12311-009-0144-2
416	18. Crespo-Barreto J, Fryer JD, Shaw CA, et al. Partial loss of ataxin-1 function contributes to
417	transcriptional dysregulation in spinocerebellar ataxia type 1 pathogenesis. PLoS Genet
418	2010;6(7):e1001021. doi: 10.1371/journal.pgen.1001021
419	19. Huang S, Yang S, Guo J, et al. Large Polyglutamine Repeats Cause Muscle Degeneration in
420	SCA17 Mice. Cell Rep 2015;13(1):196-208. doi: 10.1016/j.celrep.2015.08.060
421	20. Huang S, Ling JJ, Yang S, et al. Neuronal expression of TATA box-binding protein containing
422	expanded polyglutamine in knock-in mice reduces chaperone protein response by impairing

- the function of nuclear factor-Y transcription factor. *Brain* 2011;134(Pt 7):1943-58. doi:
 10.1093/brain/awr146
- 21. Meera P, Pulst SM, Otis TS. Cellular and circuit mechanisms underlying spinocerebellar ataxias.
- 426 *J Physiol* 2016;594(16):4653-60. doi: 10.1113/JP271897
- 427 22. Raman IM, Bean BP. Properties of sodium currents and action potential firing in isolated
 428 cerebellar Purkinje neurons. *Ann N Y Acad Sci* 1999;868:93-6.
- 23. Burroughs A, Wise AK, Xiao J, et al. The dynamic relationship between cerebellar Purkinje cell
 simple spikes and the spikelet number of complex spikes. *J Physiol* 2017;595(1):283-99. doi:
- 431 10.1113/JP272259
- 432 24. Mark MD, Schwitalla JC, Groemmke M, et al. Keeping Our Calcium in Balance to Maintain Our
 433 Balance. *Biochem Biophys Res Commun* 2017;483(4):1040-50. doi:
 434 10.1016/j.bbrc.2016.07.020
- 25. Shakkottai VG, Chou CH, Oddo S, et al. Enhanced neuronal excitability in the absence of
 neurodegeneration induces cerebellar ataxia. *J Clin Invest* 2004;113(4):582-90. doi:
 10.1172/JCI20216
- 438 26. Kaufman RJ, Malhotra JD. Calcium trafficking integrates endoplasmic reticulum function with
 439 mitochondrial bioenergetics. *Biochim Biophys Acta* 2014;1843(10):2233-9. doi:
 440 10.1016/j.bbamcr.2014.03.022
- 27. Gleichmann M, Mattson MP. Neuronal calcium homeostasis and dysregulation. *Antioxid Redox Signal* 2011;14(7):1261-73. doi: 10.1089/ars.2010.3386
- 28. Jeppesen DK, Bohr VA, Stevnsner T. DNA repair deficiency in neurodegeneration. *Prog Neurobiol* 2011;94(2):166-200. doi: 10.1016/j.pneurobio.2011.04.013

445	29. Duan Y, Gross RA, Sheu SS. Ca2+-dependent generation of mitochondrial reactive oxygen
446	species serves as a signal for poly(ADP-ribose) polymerase-1 activation during glutamate
447	excitotoxicity. J Physiol 2007;585(Pt 3):741-58. doi: 10.1113/jphysiol.2007.145409
448	30. Narciso L, Parlanti E, Racaniello M, et al. The Response to Oxidative DNA Damage in Neurons:
449	Mechanisms and Disease. Neural Plast 2016;2016:3619274. doi: 10.1155/2016/3619274
450	31. Akbari M, Morevati M, Croteau D, et al. The role of DNA base excision repair in brain
451	homeostasis and disease. DNA Repair (Amst) 2015;32:172-9. doi:
452	10.1016/j.dnarep.2015.04.029
453	32. Rulten SL, Caldecott KW. DNA strand break repair and neurodegeneration. DNA Repair (Amst)
454	2013;12(8):558-67. doi: 10.1016/j.dnarep.2013.04.008
455	33. Gilmore EC. DNA repair abnormalities leading to ataxia: shared neurological phenotypes and
456	risk factors. Neurogenetics 2014;15(4):217-28. doi: 10.1007/s10048-014-0415-z
457	34. Madabhushi R, Pan L, Tsai LH. DNA damage and its links to neurodegeneration. Neuron
458	2014;83(2):266-82. doi: 10.1016/j.neuron.2014.06.034
459	35. Shiloh Y, Ziv Y. The ATM protein kinase: regulating the cellular response to genotoxic stress,
460	and more. Nat Rev Mol Cell Biol 2013;14(4):197-210.
461	36. Bottini AR, Gatti RA, Wirenfeldt M, et al. Heterotopic Purkinje cells in ataxia-telangiectasia.
462	Neuropathology 2012;32(1):23-9. doi: 10.1111/j.1440-1789.2011.01210.x
463	37. Guo Z, Kozlov S, Lavin MF, et al. ATM activation by oxidative stress. Science
464	2010;330(6003):517-21. doi: 10.1126/science.1192912
465	Supporting Information

Table S1: Processed expression data used for analysis of ataxia gene expression in the developinghuman brain.

468 **Figure Legends**

Figure 1. Cerebellar Ataxia genes function in common biological processes. GO-terms
significantly enriched among (A) all ataxia genes, (B) Recessive ataxia genes. (C) Dominant ataxia
genes, (D) Dominant ataxia genes with PolyQ expansion. (All GO-terms passed Bonferoni
correction for multiple testing, p<0.05)

Figure 2. Cerebellar Ataxia proteins show high connectivity on protein level and function in
common processes. (A) Interaction network of ataxia proteins. (black solid lines: direct protein
interaction, grey solid lines: proteins with similar domains, dotted lines: interaction of protein with
GO-term) (B) PIE Score of All, Recessive (R), Dominant (D) and PolyQ (PQ) ataxia proteins. (***
P<0.001, ** P<0.01, * P<0.05, based on 10,000 random repetitions)

478

Figure 3. Cerebellar Ataxia gene expression is enriched in the postnatal cerebellum. (A) 9 479 developmental stages were used for analysis of developmental human BrainSpan expression data. 480 (B) Heatmap displaying % of ataxia genes differentially expressed in the cerebellum compared to 481 indicated amount of other non-cerebellar brain regions for developmental stage 1-9. (C-F) % genes 482 483 that show significant enriched expression in the cerebellum compared to 15 other brain regions for described developmental stages ((C) All ataxia genes, (D) Recessive ataxia genes, (E) Dominant 484 ataxia genes, (F) Dominant ataxia genes with PolyQ expansion, * P<0.05, ** P<0.01, ***P<0.001). 485 (G) Significantly enriched GO-terms for ataxia genes elevated in the cerebellum (red) and ataxia 486 genes not elevated in the cerebellum (blue). 487

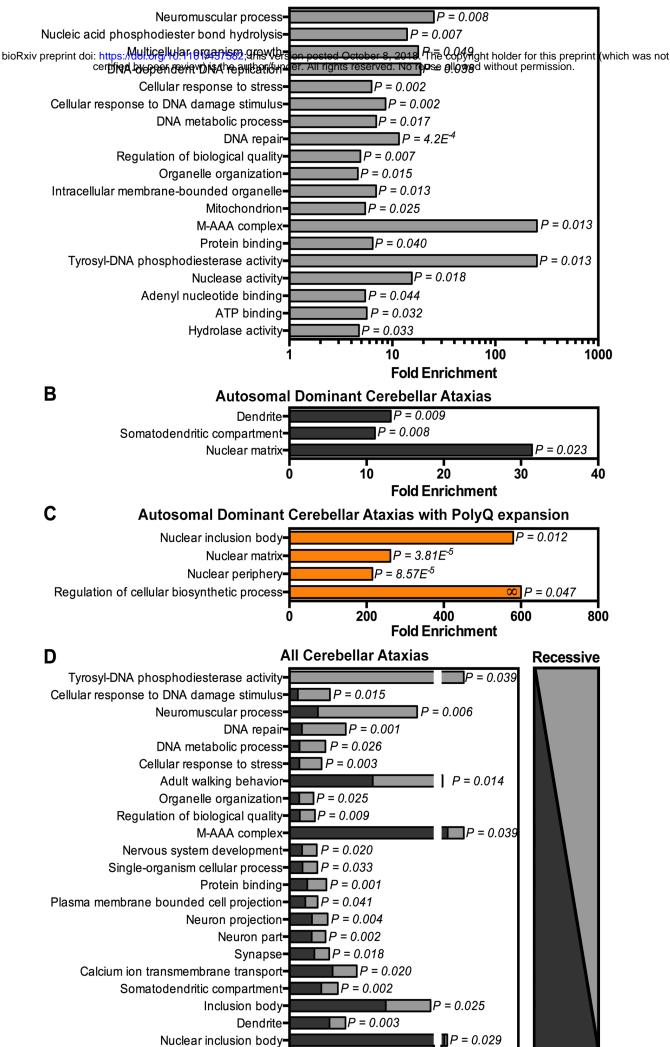
488

Figure 4. Ataxia genes can be separated in two distinct clusters based on their temporal
expression levels in the cerebellum. (A) Average RPKM value for ataxia genes present in cluster 1

and cluster 2 of Fig 4B, for the indicated developmental stages. (pink: cluster 1, blue: cluster 2, error 491 bars represent standard deviation) (B) Hierarchical clustering of ataxia gene expression levels during 492 cerebellar development using Spearman correlation. Data was obtained from BrainSpan and mean 493 RPKM values were calculated for the indicated developmental stages. Heatmap color-codes are 494 based on median RPKM value per row (developmental stage), divided by the row standard deviation 495 (blue: low expression in cerebellum compared to median, red: high expression in cerebellum 496 compared to median). (C) Significantly enriched GO-terms for Cluster 1 and Cluster 2 from Fig 5B 497 (All GO-terms passed Bonferoni correction for multiple testing, p < 0.05). 498

499

Figure 5. Ataxia genes can broadly be divided in two themes that affect neuronal homeostasis 500 and when disrupted predispose to progressive cerebellar ataxia. Module 1: 13 Genes are 501 specifically elevated in the cerebellum during one or more developmental stages. Of these 13 genes, 502 7 are linked to Ion/Synapse function and 5 out of these 7 genes showed increased expression during 503 postnatal cerebellar development compared to prenatal cerebellar development. Module 2: 55 genes 504 do not show increased expression in the cerebellum. Of these 55 genes, 23 genes are linked to 505 cellular response to stress and 12 out of these 23 genes show increased expression during prenatal 506 cerebellar development compared to postnatal cerebellar development (data displayed in Fig 2-4). 507



10

0

20

Fold Enrichment

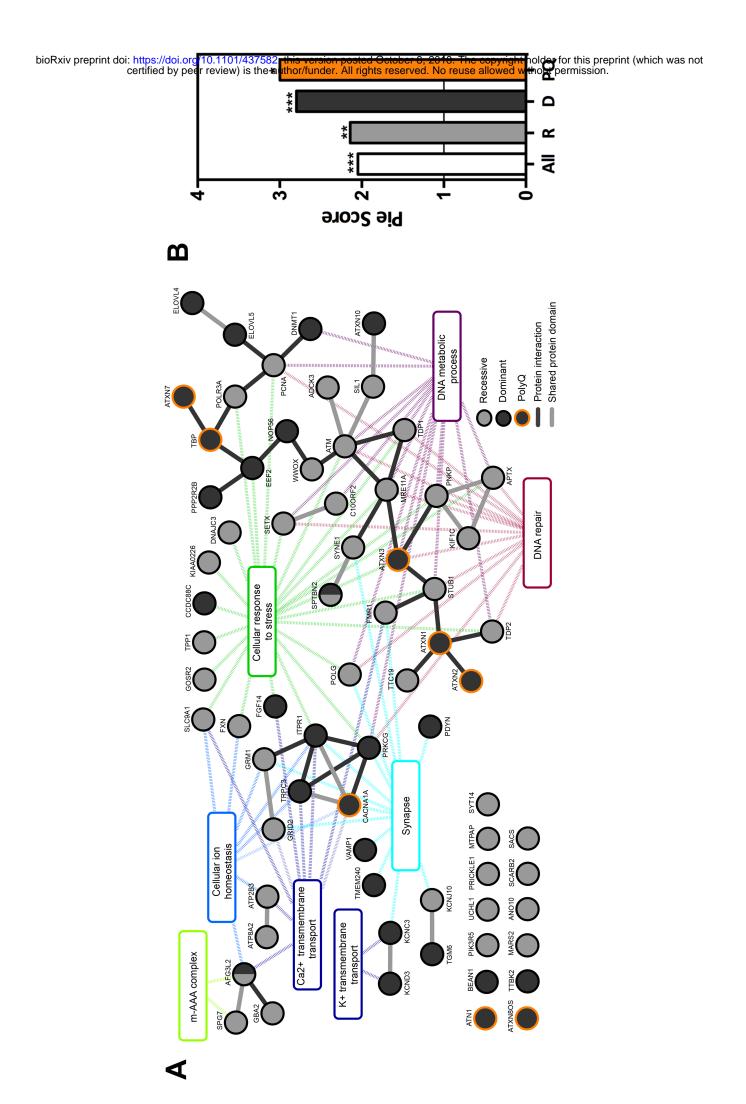
200

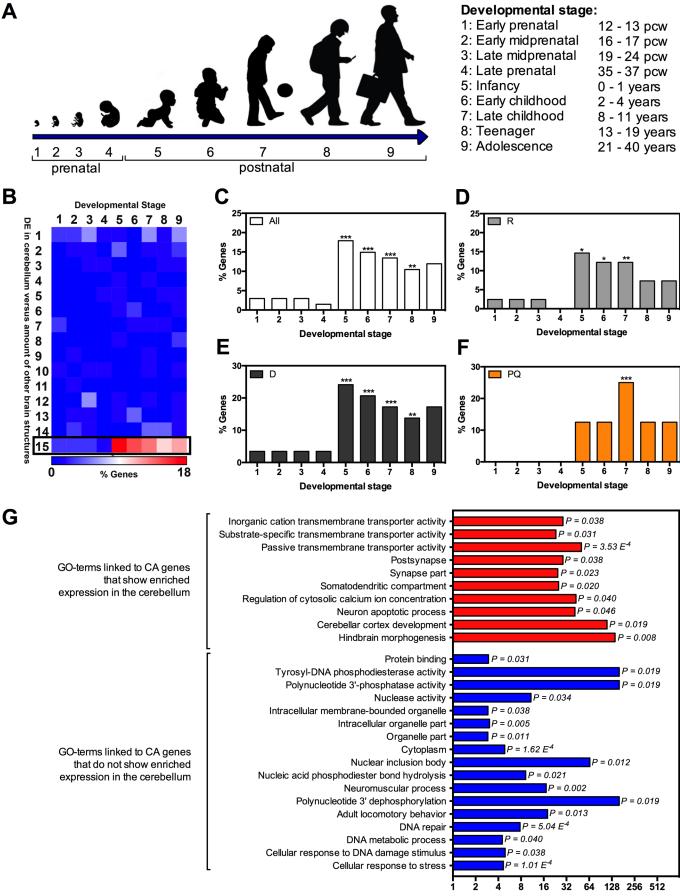
400

Dominant

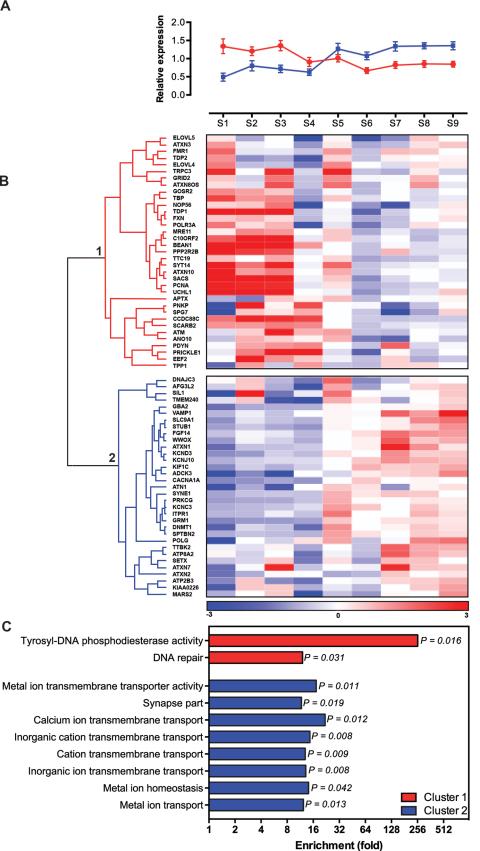
Recessive Cerebellar Ataxias

Α





Enrichment (fold)



В

Α



