

1 **Use of a corneal impression membrane for the detection of Herpes Simplex Virus**
2 **type-1**

3

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23

24 **Abstract**

25 **Purpose**

26 To investigate the use of a corneal impression membrane (CIM) for the detection of Herpes
27 Simplex Virus type 1 (HSV-1) in suspected Herpes Simplex Keratitis (HSK).

28 **Materials and Methods**

29 In the laboratory study, swabs and CIMs made from polytetrafluoroethylene were spiked with
30 different concentrations of HSV-1. DNA was extracted and real time PCR undertaken using 2
31 sets of primers. In the clinical study consecutive patients presenting with suspected HSK
32 were included. For each patient, samples were collected from corneal lesions with a swab
33 and a CIM in random order. Clinical details were collected using a standardised clinical form
34 and patients were categorized into probable, presumed and possible HSK.

35 **Results**

36 There was no difference in the performance of both primer sets for all HSV-1 dilutions
37 ($p=0.83$) or between a CIM and a swab ($p=0.18$). 110 patients were included. Seventy-three
38 patients (66.4%) had probable, 20 patients (18.2%) presumed, and 17 patients (15.5%)
39 possible HSV-1 keratitis. The HSV-1 detection rate was significantly higher using a CIM
40 (40/110, 36.4%) than a swab (28/110, 25.5%) ($p=0.004$). In the probable HSV keratitis group,
41 the detection rate using a CIM was 43.8% compared to 27.4% for a swab ($p=0.004$). The C_p
42 values obtained for the conjunctival swabs were higher than those obtained for the CIMs
43 ($p<0.001$).

44 **Conclusions**

45 In suspected HSK, a CIM is a useful alternative to a swab and more likely to detect the
46 presence of HSV-1.

47

48 **Keywords**

49 Herpes simplex keratitis, corneal impression membrane, detection of HSV-1 DNA, real time

50 PCR

51 **Introduction**

52 Herpes Simplex Virus Type 1 (HSV-1) keratitis is a leading cause of visual impairment.(1)
53 The annual incidence of HSV-1 keratitis (HSK) in the United States and France has been
54 estimated at 8.4 and 31.5 per 100,000.(2) HSK most commonly presents as an epithelial
55 keratitis with virus replicating in, and destroying, epithelial cells.(3) The lesions start as
56 punctuate vesicular eruptions in the corneal epithelium which coalesce into dendritiform
57 lesions and occasionally into larger non-linear geographic lesions.(4) HSK is prone to
58 recurrence, usually manifesting as a dendritiform keratitis and or an interstitial stromal
59 keratitis.

60

61 Although some of the clinical features of HSK keratitis are characteristic, there are other
62 diseases and infections with similar features such as acanthamoeba keratitis. There has also
63 been an increase in HSV-1 resistance to topical and systemic antiviral agents.(5-7) It is
64 important, therefore, to identify and if possible, isolate HSV-1 for clinical management.
65 Laboratory tests are aimed at cell cytology, viral antigen detection (immunoassays), viral
66 DNA detection (polymerase chain reaction) and virus isolation (tissue culture).(8) Cytology
67 depends on detecting the presence of intranuclear inclusions and multinucleated giant cells.
68 It is seldom used as it has a low specificity and sensitivity (57%).(9) Isolation of HSV-1 by
69 culture has a low sensitivity but is the standard for diagnostic specificity, potential strain
70 identification and epidemiological tracing.(9) Enzyme or fluorescence based
71 immunohistochemical (IHC) techniques have good sensitivity but can be difficult to interpret.
72 The polymerase chain reaction (PCR) carries a high sensitivity but is prone to contamination
73 and false positives. This has been addressed in part by quantification of the amount of viral
74 DNA present using real-time PCR, which is also useful for evaluating the efficacy of antiviral
75 medications.(10) Real-time PCRs have been developed for the detection of HSV-1 and 2
76 from clinical samples including genital swabs and CSF.(11-14)

77

78 Collection of samples from corneal lesions in HSK is conventionally undertaken using a swab
79 (cotton tipped) or less commonly a blade or a needle to scrape the edges of the ulcer. Swabs,
80 however, are cumbersome, may be difficult to localise to the ulcer using slit lamp
81 biomicroscopy and may come into contact with the conjunctiva and or the eyelids. It is
82 unclear whether in clinical practice the majority of specimens are collected from the
83 conjunctiva and tear film rather than from the ulcer itself. Sharp instruments, such as a blade
84 or needle, more commonly used in suspected bacterial or fungal ulcers, are seldom used for
85 the detection of HSV-1, particularly because they require expertise and may lead to further
86 corneal injury.

87

88 Corneal impression membranes (CIM) made for example, from cellulose acetate or
89 polytetrafluoroethylene (PTFE) have been used to collect samples from the cornea and or
90 the conjunctiva. This method, called impression cytology (IC), has been shown to reliably
91 remove epithelial surface cells from the ocular surface for diagnostic purposes in a variety of
92 ocular surface disease.(15-18) As has been shown in cases of suspected bacterial,
93 acanthamoeba and fungal keratitis, use of a CIM has several practical advantages over
94 conventional methods using swabs or sharp instruments with good isolation rates.(16) This
95 technique is easy to perform, less traumatic and invasive for the patient and if needed, can
96 be sized to cover the entire ulcer.(16)

97

98 To date, there are no clinical data available on the comparison of detection rates for HSV-1
99 using the above mentioned collection techniques. The aim of this study was to investigate
100 and compare *in vitro* and *in vivo*, the ability of a CIM and a swab to detect the presence of
101 HSV-1.

102

103 **Materials and Methods**

104 **HSV-1 PCR**

105 The performance of two HSV-1 primers in PCRs were evaluated, Bennett et al¹³ and Dupuis
106 et al(13, 14). Master mixes for both primers comprised Roche Lightcycler 480 Probes Master
107 Mix (Roche, Risch-Rotkreuz, Switzerland) and oligonucleotides (Eurogentec) with amplicons
108 detected using an FAM labelled fluorescent probe (Eurogentec). Human RNaseP gene and
109 GAPDH oligonucleotides (Eurogentec, Liège, Belgium) were used as internal amplification
110 controls. (19, 20) Ten microlitre (μ L) aliquot of eluted nucleic acid was added to 15 μ L master
111 mix in a 96 well reaction plate. The parameters using a real-time PCR LC480 analyser
112 (Roche) were 95°C for 5 mins, 45 cycles of 95 C for 10 secs, 60 C for 45 secs and 72 C for 1
113 sec and a final cooling step of 40 C for 30 secs. A crossing point (Cp) value for the maximum
114 number of cycles required for HSV-1 DNA amplification was set at less than or equal to 38.7
115 based on the work of Bennett et al (2013).(14)

116

117 **Laboratory Study**

118 **Recovery of HSV-1 DNA from CIM and a swab**

119 Sterile CIM (Biopore filter paper, diameter 4mm, pore size 0.4 μ m; Millicell-CM 0.4 μ m PICM
120 01250, Millipore Corp, Bedford, MA, USA) and cotton tipped swabs (Sigma) were used.
121 HSV-1 virus stocks of 10^4 , 10^3 , 10^2 and 10 genome copies/mL were made by diluting
122 cultured virus from a clinical isolate in buffer containing detergent (Hologic Apitima, Hologic,
123 Massachusetts, USA). The number of virus genomes (copies/mL) was determined using a
124 commercial quantitative HSV-1/2 PCR kit (QIAGEN, Hilden, Germany). To mimic clinical
125 samples, human genomic DNA (Roche Diagnostics, Burgess Hill, UK) was diluted 10,000-
126 fold and 1 μ L added to each CIM and swab. This dilution resulted in a Cp value of 29 to 31
127 which was comparable to that obtained from a clinical sample.

128

129 Five μ L of titrated HSV-1 cultured virus stock was applied to CIMs and allowed to soak into
130 the material. 400 μ L buffer containing detergent (Hologic) was added to one set of each
131 duplicated sample and vortexed for 5 seconds before transfer of the liquid into a secondary
132 tube for automated DNA extraction using the Roche MagNA Pure Compact and the Nucleic

133 Acid Isolation Kit I DNA (Roche) with an elution volume of 50 μ L. The CIM was left in the
134 primary tube as it would have blocked the pipette tip on the extraction instrument if
135 transferred. The second set of each duplicant was stored at ambient temperature for 24
136 hours before the addition of 400 μ L buffer containing detergent and DNA extraction. For
137 comparison, simulated corneal swabs were similarly inoculated. Five μ L HSV-1 at each
138 dilution was applied to a cotton swab which was then added to a tube of 3mL Sigma Virocult
139 viral transport medium (MWE, Wiltshire, UK) and vortexed for five seconds. A 400 μ L aliquot
140 of viral transport medium was transferred to a secondary tube before nucleic acid extraction
141 as before.

142

143 Topical anaesthetic is usually applied to the eye prior to collection of samples from the
144 cornea. To investigate possible inhibitory effects on the PCR and HSV-1 recovery(21), after
145 adding 5 μ L HSV-1 at 10² or 10 virus copies/mL to each CIM, 1 μ L of undiluted and diluted (1
146 in 10² and 10³) proxymethocaine (Bausch & Lomb UK Limited, Kingston-upon-Thamas,
147 Surrey, UK) was added to each CIM before the addition of buffer containing detergent
148 (Hologic) and DNA extraction.

149

150 **Clinical Study**

151 **Patient selection**

152 Consecutive patients presenting to The Royal Liverpool University Hospital with suspected
153 epithelial HSK were prospectively recruited between June 2016 and December 2017. Patient
154 demographics and clinical details including previous ophthalmic history, best-corrected visual
155 acuity (BCVA), characteristics of lesions, extraocular manifestations and treatment, were
156 collected using a standardised clinical form. Patients were categorised into probable,
157 presumed and possible HSK by two independent observers. Probable HSK was defined as
158 the presence of a dendritic or geographic ulcer with or without an associated corneal stromal
159 keratitis. Presumed HSK was defined as an atypical keratitis (non-dendritiform or non-
160 geographic ulcers) with or without stromal lesions in a patient with a history of a previous and

161 or recurrent HSK. Possible HSK was defined as clinical microbial keratitis in which HSV-1
162 was a consideration, but for which there were no typical HSK features and no history of HSK.
163 Patients below age 18 years, with incomplete data or no matching were excluded. All
164 included patients provided informed consent. The study received Institutional Review Board
165 approval from the ethical committee of The Royal Liverpool and Broadgreen University
166 Hospital and was conducted according to the ethical standards set out in the 1964
167 Declaration of Helsinki, as revised in 2000.

168

169 **Sample Collection**

170 Two samples (corneal swab and CIM) were collected from the corneal lesion at presentation.
171 The order of collection was randomised. Following instillation of a topical anaesthetic (one
172 drop of 0.5% proxymethacaine) to the lower conjunctival fornix, a sample was collected. The
173 swab was rolled across the corneal lesion and placed in 3 mL Sigma Virocult viral transport
174 medium. This was followed or preceded by application of a CIM (4mm diameter millipore
175 filter paper, pore size 0.4 μm), to the surface of the lesion for 5 seconds using a sterilised
176 forceps. The filter paper was then transferred to a sterile tube and transported to the
177 laboratory for DNA extraction and PCR.

178

179 **Statistical Methods**

180 A sample size of 100 patients was based on alpha of 0.05, sensitivity 0.85, specificity 0.90,
181 precision 0.1 and an assumed viral detection rate of 30%-35% with corneal swabs.(22)
182 Statistical analysis was performed using SPSS (version 22). Independent t-tests were used
183 to compare recovery of HSV-1 DNA between CIMs extracted at 0h and 24h and between the
184 CIMs and swabs. Chi-square tests were used to compare the differences in HSV-1 detection
185 rate between the CIM and conjunctival swab. One-way ANOVA was used to test for
186 differences between the Dupuis and Bennett primer Cp values for the conjunctival swabs and
187 CIMs. Post hoc analysis was carried out using Bonferroni post hoc test.

188

189 Results

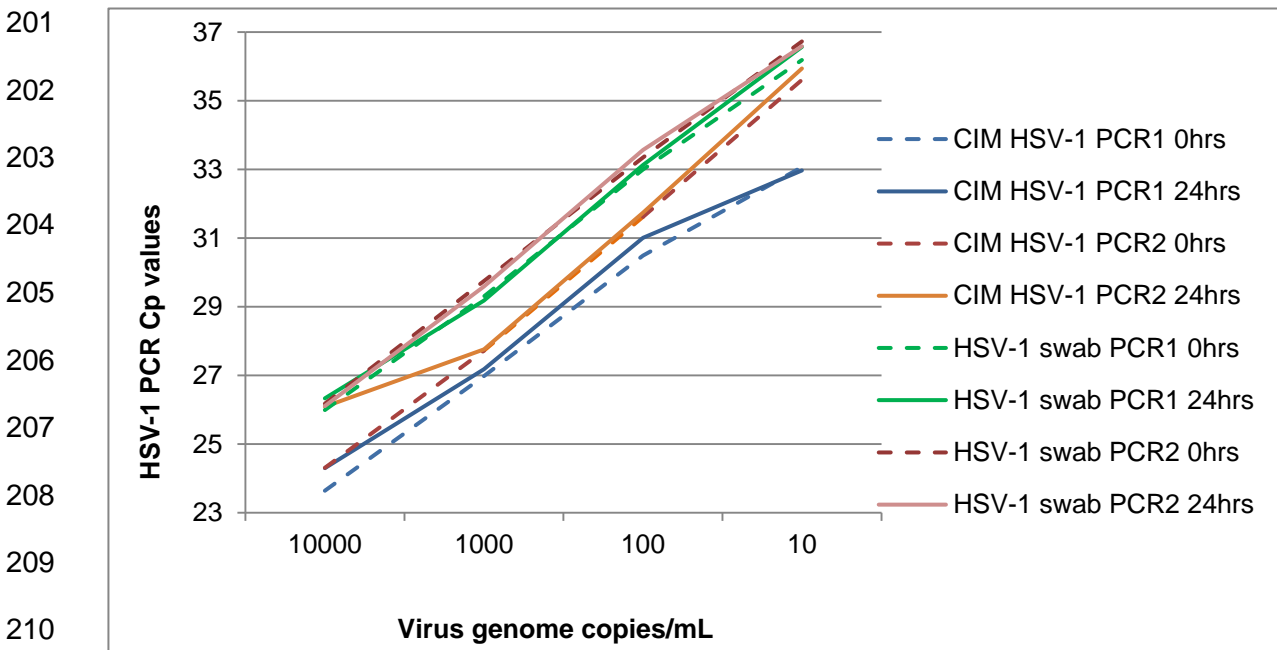
190 There was no evidence of inhibition of the HSV-1 PCR using CIM inoculated with 10 and 100
191 HSV-1 copies/mL in the presence or absence of different concentrations of eye drops
192 ($p=0.91$, Table 1).

193

194 DNA extracted immediately after HSV-1 inoculation (wet) or 24 hours after HSV-1 inoculation
195 with dry storage yielded similar Cp values for both PCRs for all HSV-1 dilutions (Figure 1).
196 ($p=0.83$). The Cp PCR values obtained following inoculation with a CIM were approximately
197 3-PCR cycles lower than the corresponding Cp values from a swab but this was not
198 significant ($p=0.18$).

199

200 **Figure 1. Comparative sensitivities of HSV-1 PCRs with CIM and eye swabs**



211 Amplification of HSV-1 DNA from swabs and corneal impression membranes using Dupois and Bennet
212 primers.(13, 14) HSV-1 DNA was extracted immediately (0hrs) and 24hrs after inoculation. Cp values plotted for
213 serial 10-fold dilutions of virus genome copies/mL.

214

215 Clinical study

216 In total, 110 consecutive patients (56 males and 54 females) were included (mean age 55.4
217 years, SD 17.2). As determined by two independent observers; 73 patients had probable, 20

218 patients presumed and 17 patients possible HSV-1 keratitis. Forty-five patients (40.9%) had
219 a history of recurrent disease with previous episodes of HSK of whom 31 patients had pre-
220 existing corneal scarring and 27 of these had associated corneal neovascularisation. Fifty-
221 eight patients (52.7%) had best corrected visual acuity of worse than 6/12 at presentation.

222

223 The HSV-1 detection rate was significantly higher using a CIM (40/110, 36.4%) than a swab
224 (28/110, 25.5%) ($p=0.004$). In the probable HSV keratitis group, the detection rate using a
225 CIM was 43.8% compared to 27.4% for a swab ($p=0.004$). No significant difference was
226 found between the HSV-1 detection rates between the CIM and the conjunctival swab in the
227 presumed and possible HSK groups (Tables 2 and 3). The C_p values obtained for the
228 conjunctival swabs were higher than those obtained for the CIMs ($p<0.001$, table 3).
229 Comparing both sets of HSV-1 PCR primers, there was one sample out of 110 where the
230 Dupuis PCR was borderline positive (37.02) and the Bennett negative. There was one
231 sample which was inhibitory with the Dupuis PCR but was positive using the Bennett primers
232 for both the CIM and swab. Post hoc analysis demonstrated no difference between the two
233 sets of HSV-1 primers C_p values (table 3).

234

235 **Discussion**

236 In suspected microbial keratitis, rapid and accurate diagnosis with immediate treatment are
237 important to optimise clinical outcome. Although clinical features of HSK are essential to the
238 diagnosis, reliance only on clinical features alone, may be misleading due to overlapping
239 clinical findings caused by different conditions and infections, and excludes the ability to
240 detect resistance mutations and or contact tracing.

241

242 Cell culture has been the traditional method for the detection of HSV-1, but has been largely
243 replaced by PCR, due to its high sensitivity and shorter processing time. PCR has been
244 optimised for the detection of HSV-1 from ophthalmic samples (12, 23-25) using swabs.(14)
245 There is very little data, however, on the detection rates of HSV-1 from corneal and or

246 conjunctival swabs in clinical practice and although anecdotal, many ophthalmologists do not
247 collect samples in cases of suspected HSV-1 keratitis possibly due to the low yield and
248 cumbersome nature of a swab. It is also unclear whether in clinical practice the majority of
249 specimens are collected from the conjunctiva and tear film rather than from the corneal ulcer
250 itself. It is not known whether a swab collects corneal epithelial cells, which is important for
251 intracellular infections such as HSV-1. Impression cytology using a CIM, however, removes
252 epithelial cells thus enabling the detection of intracellular microorganisms such as HSV-1. In
253 addition, impression cytology has been shown to be useful for the diagnosis of a variety of
254 infectious and non-infectious corneal conditions, including viral (15), fungal (26),
255 acanthamoeba (27) and microbial keratitis (16), as well as for ocular surface neoplasia (17),
256 keratoconjunctivitis sicca (18), vitamin A deficiency (28), and atopic keratoconjunctivitis.(29)
257 In cases of suspected bacterial and acanthamoebic keratitis, a CIM has been shown to have
258 higher sensitivity than more invasive methods and to be easy to use.(16) Importantly, the
259 detection of human DNA extracted from corneal epithelial cells using a CIM and then
260 amplified by the internal amplification control PCR, may be used as an indicator of sample
261 quality.

262
263 HSV-1 also appears to be stable on CIM. Somerville et al. (30) recently demonstrated the
264 stability of HSV-1 DNA recovery following inoculation of HSV-1 onto PTFE CIMs and storage
265 at +4°C, -20°C and -70°C for up to 10 months. In this study, we obtained similar HSV-1 DNA
266 Cp values to that demonstrated by Somerville et al. (30) both from CIMs extracted
267 immediately following sample collection and those extracted 24 hours after collection and
268 storage at +4°C. This suggests there is no significant reduction in HSV-1 DNA recovery
269 should there be a 24 hour delay in sample processing. This is reflective of clinical settings in
270 which samples often do not reach the laboratory until the following day after the sample has
271 been collected.

272

273 We compared two sets of HSV-1 primers as one had been used for the testing of cases of
274 encephalitis and the other had been optimised for the testing of eye swabs but no clinical
275 sample testing was reported.(13) Both showed good and comparable sensitivity. In clinical
276 practice, because topical anaesthetic is applied to the eye prior to a corneal sample (either a
277 swab or a CIM) being collected, it was important therefore to demonstrate that there were no
278 inhibitory effects on the PCR. Our *in vitro* data demonstrated good detection of HSV-1 DNA
279 by PCR from CIM using both sets of primers with an end point of ≤ 10 virus genome
280 copies/mL, which would be suitable for testing clinical samples.

281

282 A CIM is easy to use and may therefore be suitable for use by non-ophthalmologists or
283 where less sophisticated biomicroscopes are available, such as in resource poor settings. In
284 a recently published study (16) we compared the microbial detection rates of a corneal
285 scrape to that using a CIM made from PTFE. The results using a CIM were significantly
286 better than using a blade to detect bacteria and acanthamoeba from corneal ulcers in cases
287 of suspected microbial keratitis.(16) The results of this study would suggest that a CIM may
288 additionally be a simple and good alternative to using sharp instruments and swabs for the
289 identification of HSV-1 in cases of suspected Herpes Simplex keratitis.

290

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- 372

373 **TABLES**

374 **Table 1. Effect of eye drop concentration on HSV-1 PCR detection**

HSV genome copies/mL	Eye drops concentration /dilution	HSV-1 PCR ¹⁴ Cp	Internal control PCR ¹⁹ Cp
10	none	35.7	31.3
10	undiluted	35.7	31.5
10	1:100	34.9	31.6
10	1:1000	35.6	31.6
100	none	33.0	30.8
100	undiluted	33.2	32.0
100	1:100	32.5	31.2
100	1:1000	32.5	32.0

375

376 **Table 2. HSV-1 detection rates using Dupuis real-time HSV-1 PCR**

HSK	n	Swab positive (%)	CIM positive (%)	P value
Overall	110	28 (25.5)	40 (36.4)	0.004
Probable*	73	20 (27.4)	32 (43.8)	0.004
Presumed*	20	6 (30.0)	6 (30.0)	1
Possible*	17	2 (11.8)	2 (11.8)	1

377 *As determined by two independent observers.

378

379 **Table 3. HSV-1 PCR Cp values using Dupuis and Bennett primers**

HSK	n	Swab Dupuis Primers Cp Mean (SD)	CIM Dupuis Primers Cp Mean (SD)	CIM Bennett Primers Cp Mean (SD)	P value [#]	P value ⁺
Overall	107	33.1 (4.2)	28.7 (4.3)	27.1 (3.9)	<0.001	0.25
Probable*	84	33.1 (3.9)	29.1 (4.0)	27.6 (3.5)	<0.001	0.37
Presumed*	17	33.3 (5.4)	27.8 (6.0)	23.7 (4.9)	0.037	0.73
Possible*	6	33.1 (6.6)	25.6 (1.5)	27.4 (6.2)	0.44	1
P value [±]		0.99	0.48	0.12		

380 *As determined by two independent observers.

381 [#]Overall comparison of Cp values between swab and both CIM groups (One-way ANOVA).

382 ⁺Compares CIM Dupuis Cp and CIM Bennett Cp.

383 [±]Overall comparison between observer groups

384

385