Identifiability In Connectome Based Neural Mass Models

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Abstract

Local dynamic activity within canonical micro-circuits in the brain can be described mathematically by neural mass models with parameters that introduce a variety of oscillatory behavior in local neuron populations. Advances in medical imaging have enabled quantification of the white matter connections that constitute whole brain networks or the "connectome". Recently, connectome-derived coupling terms have been introduced within an array of neural mass models to capture the long-range interactions between local neuronal populations. Although such network-coupled oscillator models are capable of producing steady-state power spectra similar to the brains empirical activity, it's unclear if the connectome's anatomical information is enough to recapitulate the spatial distribution of power spectra across brain regions. Furthermore, these models inherently comprise of hundreds of parameters whose choices have impact on model derived predictions of brain activity. Here we employ a Wilson-Cowan oscillator neural mass model coupled by a structural connectome network to observe the effect of introducing a connectivity and transmission delay to the frequency profile of the brain. We observe that inference of the many parameters of the high dimensional network model produces non-unique results. Parameter optimization of simulated power spectra to better match source localized EEG spectra showed that introducing structural information to neural mass models does not improve model performance. A combinatorial approach to optimizing local and

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global parameters outperforms other model variations. We demonstrate the inherent identifiability problem in network models that pose challenges for the use of such high dimensional models as diagnostic tools for neurological diseases.

Keywords: Identifiability, Neural Mass Model, Connectome, Power Spectrum

1. Introduction

With the advancement of neuronal dynamics modeling, single-neuron models of spiking activity have given way to more granular neural field and neural mass models. One such established approach is modeling neuronal dynamics with the mean-field approach, i.e., modeling the average activity with a small number of state variables to summarize the behavior of a neural ensemble [1, 2, 3, 4]. A neural ensemble is a set of locally interacting neurons [5], and the properties of these neurons can be described in terms of their mean firing rate and mean postsynaptic potential, therefore a neural mass model can represent the lumped activity of a specific neuronal cell type or a particular functional area in the cortex [6, 7].

Several of these neural masses, located at different brain regions, may then be connected to yield whole-brain macroscopic models of brain activity. Recent connectome studies have reproduced networks in both healthy [8, 9, 10] and diseased [11, 12, 13] human brains. Analysis using such connectomic [14] approaches focuses on generative simulation models to relate structural connectomes to their functional correlates [15, 16, 17]. Recent extensions of neural mass models have introduced realistic neuroanatomical information from diffusion tensor imaging paired with coupling parameters regulating the connectivity strength to explore and simulate the spatiotemporal dynamics of the brain [18]. In such models, various parameters reflecting differences in axonal and dendritic properties between neuronal populations are defined based on general assumptions made about the microscopic properties of neurons. However, the addition of a global coupling parameter and a transmission delay based on anatomical axonal distances are an estimated abstraction of the brain's anatomical connections, it is unclear whether the addition of these parameters to a network is actually beneficial to the parameter inference problem.

While it is encouraging that connectome-coupled oscillator models are

30 capable of displaying expected frequency behavior [4, 6, 7, 19] and can repro-
31 duce functional connectivity to a limited extent [17, 20, 21], the current state
32 of research leave open several important questions. It is still unclear if the
33 network models of brain dynamics can recapitulate the spatial distribution
34 of a brains frequency spectra with the help of a connectome. The observable
35 alpha, beta, gamma, theta, and delta rhythms follow a spatially distributed
36 pattern [22, 23, 24, 25, 26]. For example, the alpha range is distinctively
37 shown in the occipital lobe and posterior temporal cortex [27, 28, 29, 30],
38 while beta activity is present in the anterior brain regions and around the
39 postcentral gyri [27]. Neural mass models are able to produce oscillations at
40 each of these rhythms via variations of its local parameters at each neural
41 ensemble, however, it is unclear if neural masses oscillating at the nodes of
42 a structural connectivity network can recapitulate the spatial distribution of
43 neuronal activity. In particular, brain regions display heterogenous patterns
44 of connectivity, as well as widely varying local oscillatory behavior. Most
45 likely, the combination of these factors affect the observable power spectra
46 at each region due to the interconnected nature of the brain. Unfortunately,
47 connectome-coupled neural mass models can have a very large number of
48 local parameters in addition to the global parameters. This presents a po-
49 tential challenge of over-fitting model parameters to empirical activity data.
50 Thus, the key question of whether global coupling or local parameter diver-
51 sity is responsible for observed activity patterns is not straight forward to
52 evaluate. These are important issues, as much of the emerging computa-
53 tional paradigm requires that connectivity-coupled NMMs be inferred from
54 observed recordings, and assumes that the inferred model parameters are
55 diagnostic of neurological disease, e.g. the Virtual Brain [30, 31, 32, 33].

56 The first challenge to addressing these questions is obtaining neuronal
57 activity on the whole brain. While encephalography techniques can record
58 at a high sampling rate, the detected signals are limited to whats observable
59 via electrodes placed on the scalp. Fortunately, source localization tech-
60 niques have been developed to estimate the dipole source activity inside the
61 brain that generate the encephalography data to produce datasets with high
62 spatiotemporal resolution. These source localized time series can provide av-
63 erage activity for individual brain regions of interest (ROIs), which can be
64 viewed as nodes on a network, to allow further investigation of functional
65 and structural connectivity in a three-dimensional space.

66 In this article, we use an oscillating neural mass model (Wilson-Cowan[3])
67 to recapitulate resting-state human electroencephalography data and repro-

3

⁶⁸ duce the spatially distributed patterns of neuronal activity. The chosen
⁶⁹ model has a set of local parameters to simulate activity of a single node,
⁷⁰ but when a global coupling parameter and transmission delay is introduced,
⁷¹ as governed by the subjects structural connectivity matrix, the model is able
⁷² to simulate activity at all interconnected regions that are ndoes of the con-
⁷³ nectome. We propose a careful simulated annealing algorithm for parameter
⁷⁴ fitting, using information theoretic measures of model performance. Our goal
⁷⁵ is to assess whether it is possible to distinguish between the three scenarios:
⁷⁶ 1) individual oscillators at each brain region without structural connectivity,
⁷⁷ 2) individual oscillators at each brain region with structural connectivity, and
⁷⁸ 3) identical oscillators at each brain region with structural connectivity. We
⁷⁹ believe the addition of a connectome will improve the models ability to repro-
⁸⁰ duce empirical power spectra and the spatial patterns. Accurate inference
⁸¹ of the model parameters in a complex network of interacting brain regions
⁸² is incredibly difficult for any optimization method, the over-specification of
⁸³ the model results in identical solutions with various sets of inferred parame-
⁸⁴ ters. We will specifically test the hypothesis that the addition of long-range
⁸⁵ connectivity to the coupled NMM will improve model performance, in com-
⁸⁶ parison to an alternate model that has no inter-regional interactions via net-
⁸⁷ work connectivity. Consequently, we also want to determine if the higher
⁸⁸ dimensional model with connectivity provide uniquely identifiable solutions
⁸⁹ to the parameter inference problem. These issues are very important for the
⁹⁰ potential utility of network-coupled neural mass models as diagnostic tools
⁹¹ for neurological diseases, as previously proposed [30, 31, 32, 33].

2. Methods

2.1. Subjects and Data Collection

⁹⁴ All experiments were conducted after obtaining written informed consent
⁹⁵ from the subjects and approval by The Institutional Review Board of Weill
⁹⁶ Cornell Medical College. T1-weighted anatomical MRI and diffusion-MRI
⁹⁷ scans were collected from 11 out of the 13 healthy individuals (8 male, 35.2
⁹⁸ +/- 12.25 years) on a 3.0 Tesla General Electric Signa Excite HDx (GE
⁹⁹ Healthcare, Waukesha, WI) clinical MRI system with an eight-channel head
¹⁰⁰ receive-only coil. DMRI scans were obtained using a spin-echo diffusion
¹⁰¹ tensor pulse sequence with one T2-weighted image, 33 diffusion-weighted
¹⁰² images (one subject is an exception with 55 directions) evenly distributed on a
¹⁰³ sphere with b = 1000 s/mm2, TE = 76.7 ms, TR = 9000 ms, field of view = 22

4

104 cm, 28 slices of 5.0 mm thickness, matrix size = 128 x 128, reconstructed with
105 zero filling to 256 x 256. An axial 3D IR-prepped, fast SPGR with parameters
106 tuned to optimize brain tissue contrast sequence (BRAVO sequence) was used
107 for anatomical imaging with inversion time = 400 ms, TR = 8.9 ms, TE = 3.5
108 ms, flip angle = 13 degrees, axial field of view = 24 cm, 136 slices of 1.2 mm
109 thickness, matrix size = 256 x 256, parallel imaging acceleration factor = 2.
110 Additionally, eyes-open (EO) and eyes-closed (EC) Resting-state EEG data
111 was collected for 9 out of the 13 healthy subjects. Recordings for a minimum
112 of 110 seconds were performed with a 129-channel HydroCel Geodesic EEG
113 Sensor Net (Electrical Geodesics, Eugene, Oregon). The impedance of all
114 electrodes was $< 75k\Omega$ at the beginning of the recording, the EEG signals
115 were sampled at 250 Hz sampling frequency and filtered from DC to 100Hz.
116 Datasets were chosen for analysis only if all data modalities were present
117 without unacceptable levels of noise or artifacts.

118 *2.2. Structural Connectivity Networks*

119 Structural and diffusion MR volumes were co-registered and pre-processed
120 in the manner previously described [34]. Segmentation of gray matter, white
121 matter, and cerebrospinal fluid was performed after slice-timing correction,
122 realignment, co-registration and/or normalization, and spatial smoothing
123 was performed using SPM8 (Statistical Parametric Mapping tool). The gray
124 matter was further parcellated into 86 anatomical regions of interest (ROIs)
125 based on the Desikan-Killany atlas using the established FreeSurfer package
126 [35]. The parcellated regions were used to seed tractography nodes in co-
127 registered diffusion MRI volumes. The connectivity between any two regions
128 was given by a weighted sum of tracts going between them as described by
129 [36]. The algorithm traces likely white matter fiber tracts by taking into
130 account tissue probability maps as well as diffusion orientation in a Bayesian
131 manner, the tracing stopped when the track angle between steps exceeded
132 $pi/3$ or when encountering a voxel that is outside of the white matter mask.

133 *2.3. Source Localization*

134 Source localization of the EEG signals was performed with Brainstorm
135 [37], which is documented and freely available for download online under the
136 GNU general public license (http://neuroimage.usc.edu/brainstorm). Prior
137 to source localization, the raw EEG data were band-pass filtered between 2
138 and 45 Hz, transience time segments and unusable channels were manually
139 removed after inspecting the time series and its power spectrum. We then

5

applied an average reference followed by independent component analysis to remove artifacts such as eye blinks and heart beats that are picked up by the EEG electrodes, removal of additional noisy time segments was performed manually after inspection.

Source localization was performed with a "warped" Colin27 template head model to remove variations due to noise level, head position, and starting/ending slices for MRI acquisition runs. The Colin27 template is a stereotaxic average of 27 T1-weighted MRI scans of a single individuals head [38]. To incorporate individual subject's anatomical information, we created pseudo-individual anatomies using Brainstorm's warp anatomy functions to deform and scale the high resolution Colin27 head shapes to match each sub-ject's individual head shapes. Surface meshes of the brain, skull, and scalp were extracted from the template MRIs using 1922 vertices per layer. To obtain an analytical approximation of the lead field for the conductive brain volume, we chose to use the three-shell spherical harmonics expansion meth-ods as discussed by [39]. Specifically, an initial grid of 4000 source points was generated from the cortex surface and samples the brain volume in an adaptive manner towards the center of the brain, each grid layer is down-sampled by a factor of 3 for a maximum of 17 layers, resulting in a total of 11151 to 16442 dipole sources depending on individual head anatomy. A representative visualization of the dipole sources is shown in Fig. S1.

To obtain the inverse solution, a noise covariance matrix was calculated over the EEG recordings to model the noise contaminating our data; only the diagonal elements were kept for the inverse solution to estimate the vari-ance of each sensor. For all subjects, the activity at each dipole source was estimated using a linearly constrained minimum variance (LCMV) spa-tial filter [40]. Three-dimensional dipole sources yielded a 4D time series ($x \times y \times z \times time$) for each set of EEG recordings. The norm of the 3 spatial coordinates ($\sqrt{x^2 + y^2 + z^2}$) at each time point was taken to produce a 1D time series of estimated activation over the entire dipole. An average time series was obtained for all sources belonging to each of the same 86 ROIs as defined previously (See Fig. S1 for visualization of the dipoles), and the source localized time series were used as empirical data for modeling training.

2.4. Wilson & Cowan Neural Mass Model

To model neurophysiological activity from anatomical architecture for each ROI, we adopt the Wilson-Cowan coupled oscillators [3]. This model assumes that a local circuit consists of two lumped masses of excitatory

6

and inhibitory neural populations interacting with each other, whole brain regional dynamics are achieved by coupling local masses via structural connectivity A_{jk}, global coupling parameter c_5, and a transmission delay $\tau_d^{k,j}$. The simulated average activity at the jth brain region is:

$$\tau_e \frac{dE_j}{dt} = -E_j(t) + (S_{e_{max}} - E_j(t))S_e(c_1 E_j(t) - c_2 I_j(t)$$
$$+ c_5 \sum_k A_{jk} E_k(t - \tau_d^{k,j}) + P_j(t)) + \sigma w_j(t) \quad (1)$$

$$\tau_i \frac{dI_j}{dt} = -I_j(t) + (S_{i_{max}} - I_j(t))S_i(c_3 E_j(t) - c_4 I_j(t)) + \sigma v_j(t) \quad (2)$$

Where $E(t)$ and $I(t)$ represent the firing rate of the excitatory and inhibitory neuronal populations respectively, τ is a time constant and $w_j(t)$ and $v_j(t)$ are random normally distributed noise with standard deviation σ. $P(t)$ is an external input parameter to the excitatory neural ensemble that controls oscillatory activity, local parameters c_1, c_2, c_3, and c_4 represent the average number of excitatory and inhibitory synapses within a neuronal ensemble. S_e and S_i are transfer functions characterized by the sigmoidal function capturing the non-linear response of a cell generating an action potential based on summed synaptic input:

$$S_{\frac{e}{i}}(x) = \frac{1}{1 + e^{-a_{\frac{e}{i}}(x - \theta_{\frac{e}{i}})}} - \frac{1}{1 + e^{a_{\frac{e}{i}}\theta_{\frac{e}{i}}}} \quad (3)$$

Different variations of this model (Fig. 1) can simulate average neuronal activity at each region in the brain. Here, we will compare three models (1) the varying oscillator (VO) model that consists of varying local neuronal ensemble with only locally defined parameters and no inter-connectivity between nodes, (2) the varying oscillator plus connectome (VOC) model that consists of local neuronal ensembles with varying local parameters, plus a global coupling parameter, structural connectivity, and transmission delay, and (3) the identical oscillators plus connectome (IOC) model that consists of local neuronal ensembles with uniform local parameters, plus a global coupling parameter, structural connectivity, and transmission delay.
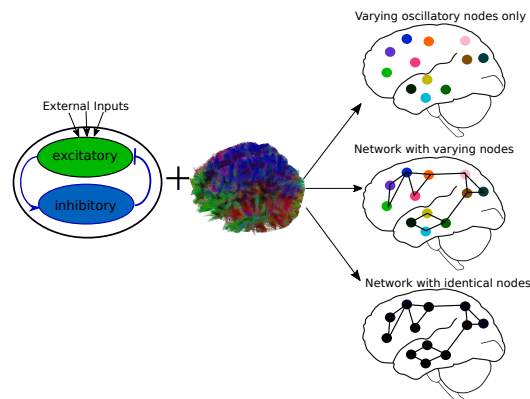
Figure 1: Variations of the Wilson-Cowan model. Varying oscillators (VO) at each node without connectivity, varying oscillators at each node plus connectome (VOC), or identical oscillators at each node plus connectome (IOC)

2.5. Evaluating Oscillatory Abilities of the Neural Mass Model

To assess if the neural mass models are able to produce a frequency profile that covers all signature physiological frequency bands, we performed 2-seconds simulations with varying parameters. Firstly, simulations at a single node with no connectivity were performed with varying excitatory and inhibitory time constant parameters (τ_e, τ_i) operating in the range $1ms - 40ms$ with a step size of $1ms$ and an external driving parameter of $P(t) = 2.5$. When the structural connectivity matrix is introduced, the global coupling parameter c_5 and transmission velocity also dictate oscillatory activity. For the 86-region network model, we varied the global coupling parameter from 0 to 3 with a step size of 0.2. Upon identifying the value of c_5 for which the network model transitioned to oscillatory behavior (as done previously in [18]), additional 1-second simulations were performed with varying transmission velocity from $5m/s$ to $50m/s$ with a step size of $5m/s$. The power spectra of each simulation were computed to select the peak oscillatory frequency. All power spectra calculations were performed with MATLAB's multi-taper power spectral density destimate function *PMTM*. Simulations were performed with default local parameters as illustrated in [18]: $c_1 = 16, c_2 = 12, c_3 = 15, c_4 = 3$, and sigmoidal function parameters: $a_e = 1.3, a_i = 2, \theta_e = 4, \theta_i = 3.7$.

₂₂₀ *2.6. Model Optimization*

₂₂₁   The model was implemented using simulation runs of 3 seconds, using a
₂₂₂ numerical integration time step of $\triangle \text{t} = 0.004$ sec or $250Hz$ with MATLAB's
₂₂₃ *ode45* function. The noise term in the model was removed to maintain an
₂₂₄ unchanging parameter space during optimization. To improve the odds that
₂₂₅ we capture the global minimum of a suitably defined goodness of fit (GOF)
₂₂₆ criterion in our parameter space, we chose to implement the probabilistic
₂₂₇ approach of simulated annealing [41]. The algorithm samples a very large
₂₂₈ set of parameters within a set of boundaries by generating an initial trial
₂₂₉ point and choosing the next trial point from the current point by a probability
₂₃₀ distribution with a scale depending on the current "temperature" parameter.
₂₃₁ While the algorithm alwats accepts new trial points that map to cost-function
₂₃₂ values lower than the previous cost-function values, it will also accept trial
₂₃₃ points that have cost-functions with greater values than the previous point
₂₃₄ to move out of local minima. The acceptance probability function is $1/(1 +$
₂₃₅ $e^{\overline{\frac{\triangle}{max(T)}}})$, where T is the current temperature and $\triangle$ is the difference of the
₂₃₆ new minus old cost-function values.

₂₃₇   Our cost-function was defined as the two-sample Kolmogorov-Smirnov
₂₃₈ (KS) statistic between the empirical source localized spectra and simulated
₂₃₉ spectra from each model variation. The initial parameter value and boundary
₂₄₀ constraints for each parameter are given in Table 1; these had the same values
₂₄₁ regardless of model variation.

	Initial Value	Lower/Upper Boundary
Time constants $\{\tau_e, \tau_i\}$	$20ms$	$[5ms, 30ms]$
Local Parameters $\{C_1, C_2, C_3, C_4\}$	$16, 12, 15, 3$ respectively	$[1, 20]$
Global Coupling C_5	1.5	$[0, 10]$
Transmission Velocity	$10m/s$	$[5m/s, 30m/s]$
External Input $P(t)$	2.5	$[2.0, 3.0]$

Table 1: Initial values and boundary constraints for all model parameters in the simulated annealing optimization

₂₄₂   All simulated annealing runs were allowed to iterate over the parameter
₂₄₃ space for a maximum of $N_p \times 500$, where N_p is the number of parameters
₂₄₄ in the model. To ensure the optimization algorithm thoroughly scanned
₂₄₅ the parameter space and arrived at a global minimum within the bound-
₂₄₆ ary constraints, the initial temperature was raised to 200 (default = 100)

9

247 for all parameters, and the cooling schedule was set to the average of the
248 quotient between initial temperature and the iteration number for each pa-
249 rameter. Such a cooling schedule ensures that the temperature is low at
250 high iteration counts, so that the optimization algorithm only travels along
251 the downward slope of the current minimum. The VO model was optimized
252 first to obtain parameters for time constants, local parameters, and the ex-
253 ternal drive parameter. Then these local parameters were fixed in the VOC
254 model optimization that focused on the global parameters of global coupling
255 and transmission velocity. The IOC model's optimization was performed to
256 identify global parmaeters and one set of local parameters for all 86 brain
257 regions. To ensure that we reached the optimal parameters for the VOC
258 model, we performed an additional optimization where the local parameters
259 were allowed to vary. A conditional minimization algorithm was employed
260 where simulated annealing was performed alternatively for local parameters
261 and global parameters over 10 iterations (VOC-CM). Upon the 10th itera-
262 tion, four subjects showed slight decreases in cost-function evaluation from
263 the 9th iteration. Upon further inspection, their changes in cost-function
264 was smaller than 0.5% from the previous iteration. To ensure convergence,
265 we continued their optimization to 15 iterations to avoid local minima.

266 *2.7. Model Performance and Analysis of Simulated Power Distribution*

267 Simulated power spectra were obtained after reintroducing the Gaussian
268 noise term ($\sigma = 0.00001$) back into the model and allowing it to run for
269 the duration of the simulations. We calculated the average spectra over
270 10 different model simulations to account for noise for each set of optimized
271 parameters. Each brain region's source localized and simulated power spectra
272 were split into alpha ($8 - 12Hz$) and beta ($12 - 25Hz$) bands, the total
273 power in each band were computed by summing the normalized power after
274 subtracting the mean at each frequency bin. Visualization of regional alpha
275 and beta band power are displayed on glassbrains generated with an open-
276 source tool "Brainography" developed by our group [42].
277 We also computed the Kolmogorov-Smirnov statistic between the source
278 localized spectra and each model variations simulated spectra for each brain
279 region. Due to the non-Gaussian distribution in the Kolmogorov-Smirnov
280 statistic at the end of all simulations, a Wilcoxon rank-sum test was used
281 to compare the distribution of Kolmogoriv-Smirnov statistics between the
282 three model versions. All parameters that fell within $\pm 1\%$ of the median op-
283 timized Kolmogorov-Smirnov statistics in VO and VOC-CM were extracted

10

284 for visualization of their distribution.

285 3. Results

286 Only 7 subjects had complete sets of usable EEG, MRI, and DTI data,
287 so we proceeded with analyses using only those subjects.

288 *3.1. Model parameters produce oscillations in all frequency ranges*

289 To ensure that our proposed model variations can produce oscillations in
290 most physiological frequencies, we repeatedly simulated single node dynam-
291 ics without any connectivity for 2-seconds while systematically varying the
292 excitatory and inhibitory time constants. For each combination of the time
293 constants, we examined whether the model produced an oscillatory wave
294 form, and the peak frequency of the oscillations was extracted and assigned
295 to a defined frequency band. Figure 2 clearly shows that the model is able to
296 produce all frequencies up to $45Hz$. More importantly, the entire frequency
297 range is covered by time constants ranging from $0 - 40ms$, which is consistent
298 with most models [4, 6, 19, 43, 44]. For each frequency band, a characteris-
299 tic waveform is shown with its corresponding power spectra. External input
300 $P(t)$ was set to $P(t) = 2.5$ to ensure the uncoupled model is in a limited cycle
301 regime within the normal biological range for neuronal activity. The effect
302 of the external drive parameter is shown in Figure S3, where the simulations
303 show oscillatory behavior near $P(t) = 2.5$.

304 Using the same set of local parameters, we simulated the network dynam-
305 ics of 86 interconnected regions using one structural connectivity matrix,
306 a transmission velocity of ten meters per second, and varying global cou-
307 pling parameter c_5 ranging from 0 to 3. A representative subject's structural
308 connectome i.e. weighted connectivity matrix whose elements represent the
309 amount of fiber tracts connecting different regions, is given in Fig. S2. The
310 external input parameter was lowered to $P(t) = 1.5$ for these simulations
311 to make sure that global coupling and connectivity was the main driver of
312 oscillations (see Fig S3). The specific external input parameter value was cho-
313 sen because [18] showed default model parameters injected with $P(t) = 1.5$
314 shifted the model from a low oscillatory state to a high oscillatory state.

315 *3.2. Optimized neural mass models*

316 Most optimizations terminated upon reaching the maximum number of it-
317 erations allowed, which is $N_{parameters} \times 500$ iterations. However, the minimum
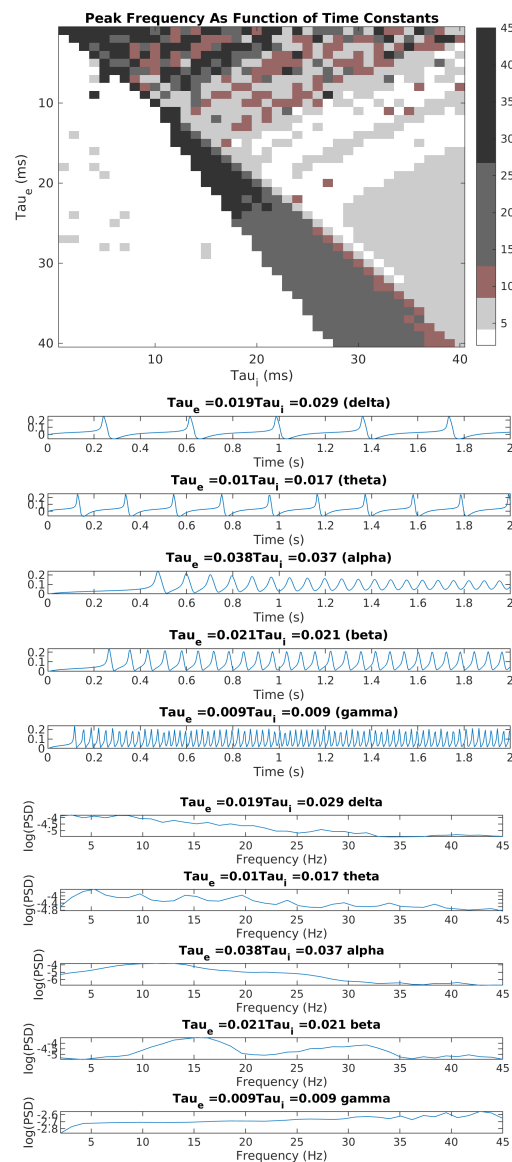
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Figure 2: Peak frequency depends on time contants. Top: Heat map of models peak frequency (Hz) as a function of the excitatory and inhibitory time constants. Middle: oscillatory time course showing different peak frequencies, their corresponding power spectra is shown to the bottom.
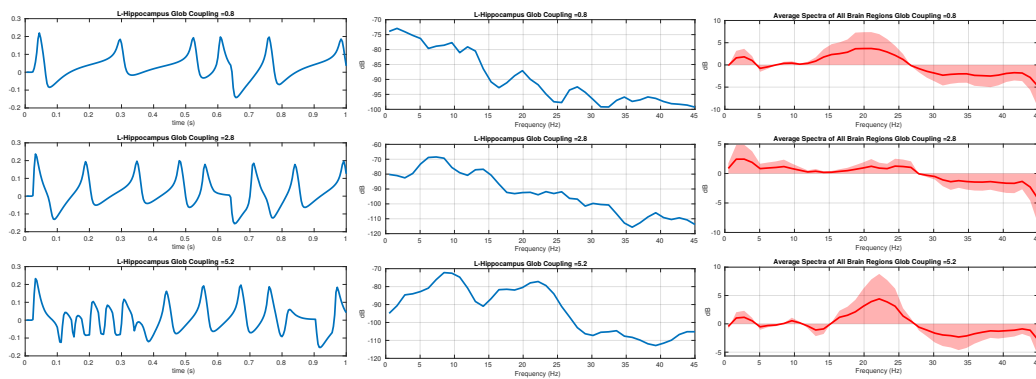
Figure 3: Global coupling controls oscillations. As the global coupling parameter increases, the simulated time series of a particular region is oscillating at higher frequencies as shown on the left column, each time series' corresponding power spectra is shown in the middle. The right column shows the average spectra of all 86 brain regions after removing the mean. Transmission velocity between brain regions was held to a constant $(10m/s)$ for all simulations.
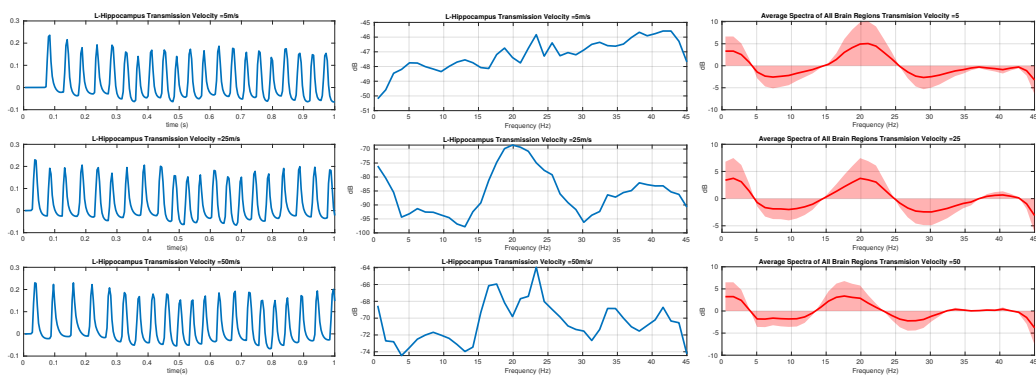
Figure 4: Transmission velocity and oscillatory behavior. In the network model, increasing the transmission velocity causes a time shift of the incoming signal; the left column shows the effect of the delay on 1 second simulated time course. The middle column shows the effect of transmission velocity on the corresponding power spectra. The right column shows the average spectra of all 86 brain regions after removing the mean. Global coupling was held to $c_5 = 1.5$ for all simulations.

13

318 within the boundary constraints was acquired before reaching the maximum
319 iteration, the simulated annealing algorithm accepts additional function eval-
320 uations after acquiring a minimum to scan the rest of the parameter space,
321 none of the optimization runs terminated while the cost-function evaluations
322 were decreasing. None of the optimized parameters were reported to be equal
323 to the upper or lower boundary, thus the specified range was not overly nar-
324 row, and a minimum was found within the bounds in all cases. The mean and
325 standard deviation of all parameters are reported in Table 2. Recall that the
326 three models we evaluated were: regionally varying oscillators (VO), region-
327 ally identical oscillators coupled by structural connectivity (IOC) and, region-
328 ally varying oscillators coupled by connectivity (VOC). We also evaluated the
329 VOC model with iterative optimization of local and global parameters (de-
330 noted (VOC-CM). We observe that there is a difference between excitatory
331 and inhibitory local parameters (c_1, c_3 and c_2, c_4 respectively), with the ex-
332 citatory constants being consistently larger than inhibitory constants across
333 all model variations. This slight variation between excitatory and inhibitory
334 parameters in network models reflect physiological conditions and is crucial
335 in producing functional neuronal activity. In terms of time constants, we see
336 the excitatory term being slightly lower than the inhibitory term. Similarly,
337 global coupling parameters are relatively low in VOC models compared to
338 IOC, however, we see that IOC model parameters have high optimal values as
339 well as high variation across all subjects, suggesting that higher connectome
340 coupling is required to optimize the IOC model.

341 Figure 5 shows the cost-function values for the conditional minimization
342 iterations over the global and local parameters in the VOC-CM optimization
343 task. We see that the local parameter optimization iterations always result
344 in a lower cost-function value than when optimizing over global parameters.
345 However if we compare all of the global cost-function values and all the local
346 cost-function values we see a downward trend in both that begins to flatten
347 around iteration 7. Further iterations do not materially improve the fits, as
348 it appears that the CM optimization has converged. The jaggedness of the
349 curve also shows the importance of allowing an increase in the cost-function
350 between the local- and global-steps, since otherwise no global step would
351 improve upon the initial solution involving only local optimization. The CM
352 performance for all all subjects is shown in Fig. S4.

353 Figure 6 shows the boxplots of the Kolmogorov-Smirnov (KS) statistic
354 between the source localized power spectra and its corresponding simulated
355 power spectra from each model variation over each of the 86 brain regions

14

	VO VOC	VOC-CM	IOC
Time constants (ms)	$\tau_e = 15.2(3.0)$	$\tau_e = 15.7(2.6)$	$\tau_e = 18.1(9.0)$
	$\tau_i = 19.4(2.9)$	$\tau_i = 18.2(2.7)$	$\tau_i = 24.8(8.8)$
Local Parameters	$c_1 = 14.38(1.502)$	$c_1 = 16.23$	$c_1 = 17.09(3.465)$
	$c_2 = 9.989(2.166)$	$c_2 = 7.497(1.541)$	$c_2 = 5.032(3.743)$
	$c_3 = 15.19(1.534)$	$c_3 = 16.63(0.955)$	$c_3 = 19.13(1.000)$
	$c_4 = 6.117(1.794)$	$c_4 = 4.633(1.153)$	$c_4 = 4.082(2.711)$
External Input	$P(t) = 2.664(0.094)$	$P(t) = 2.660(0.013)$	$P(t) = 2.607(0.409)$
Global Coupling	$c_5 = 0.018(0.043)$	$c_5 = 0.003(0.0075)$	$c_5 = 5.093(3.697)$
Transmission Velocity (m/s)	$v = 8.714(4.455)$	$v = 11.24(3.56)$	$v = 11.75(5.506)$

Table 2: Mean (standard deviation) of model parameters for all model variations. VO = Varying Oscillators, VOC = Varying Oscillators with Connectome, VOC-CM = Varying Oscillators with Connectome and optimized by CM, IOC = Identical Oscillators with Connectome.

in each of the 7 subjects. The best performing model was the individual oscillators fitted to the source localized spectra at each node (VO). VO and VOC-CM was able to minimize the KS-statistic by optimizing for each individual ROI, whereas IOC and VOC required minimizing for the average KS-statistic of all 86 ROIs, therefore a high variance around the median is shown in their box-plots. Contrary to our belief that connectivity improves fitting, introducing a connectome and global coupling to optimized oscillators resulted in higher cost-function evaluations (VOC). Using one set of local parameters for all brain regions in IOC produced similar results to VOC ($P = 0.1899$). On the other hand, optimizing the VOC model variation with the CM algorithm resulted in a much better model performance; the model fit of VOC-CM was significantly better than IOC and VOC ($P < 0.0001$).

To determine the effect of global coupling on model performance, we gradually increased the global coupling parameter in the VOC model while holding transmission velocity constant. We had hypothesized that introducing global coupling, structural connectivity, and transmission delay would improve the parameter space and yield a lower cost-function, but our results show the exact opposite. Figure 7 shows that introducing global coupling is an uphill move in terms of cost-function evaluations and the corresponding changes in parameter space does not improve model performance. Alongside Fig 5 and 6, we see that re-optimizing for the global coupling and transmission velocity parameters in VOC cannot return the cost-function evaluations
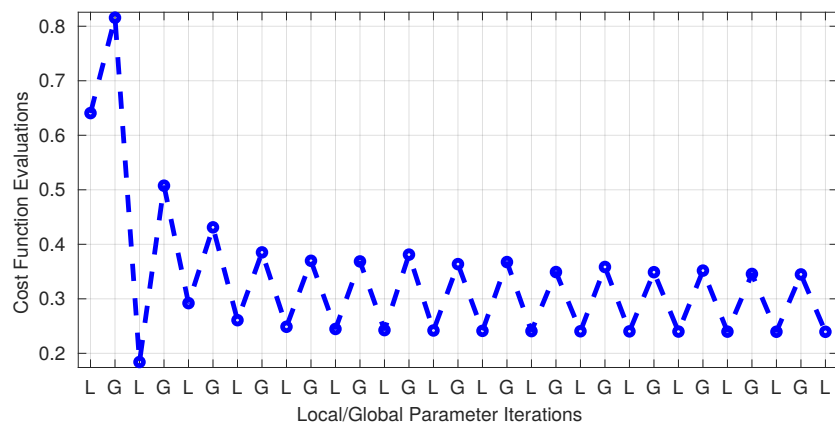
Figure 5: Conditional minimization performance. The CM algorithm alternatively optimized local parameters and global parameters of the VCN model for 15 iterations. The optimized local parameters consistently resulted in lower cost-function evaluations than global parameters over all iterations. The final iteration was used as the set of optimized parameter for further analysis.

378 to the minimum achieved by local parameters only (VO).

379   The source localized power spectra of all regions and their corresponding
380 simulated power spectra for each model variation are visualized in Fig. 8.
381 The source localized spectra show a clear alpha peak at $8 - 12Hz$ and a beta
382 peak with lower power at near $20Hz$, which is characteristic of normal neu-
383 rophysiological frequency profiles. Consistent with our KS-statistic results in
384 Fig. 6, we see that the average IOC spectra does not show these characteris-
385 tic peaks while other model variations do to a limited degree. The optimized
386 parameters in Table 2 show relatively high variances in IOC compared to
387 other models, and the parameter means between excitatory and inhibitory
388 time constants differ by a small amount, suggesting the optimization algo-
389 rithm had trouble converging onto a parameter range that is suitable for this
390 mode lvariation. The consequence of having identical parameters for each
391 node and small differences between excitatory and inhibitory parameters for
392 IOC is shown in Fig. 8, where each region's spectra are less likely to have
393 various peaks and troughs. Despite the VO and VOC-CM spectra having a
394 lower KS-statistic than other spectra in Fig. 6, their beta activity is not as
395 distinct as what's shown in the source localized spectra in Fig. 8. Finally,
396 with the exception of IOC, the remaining model variations recapitulates the
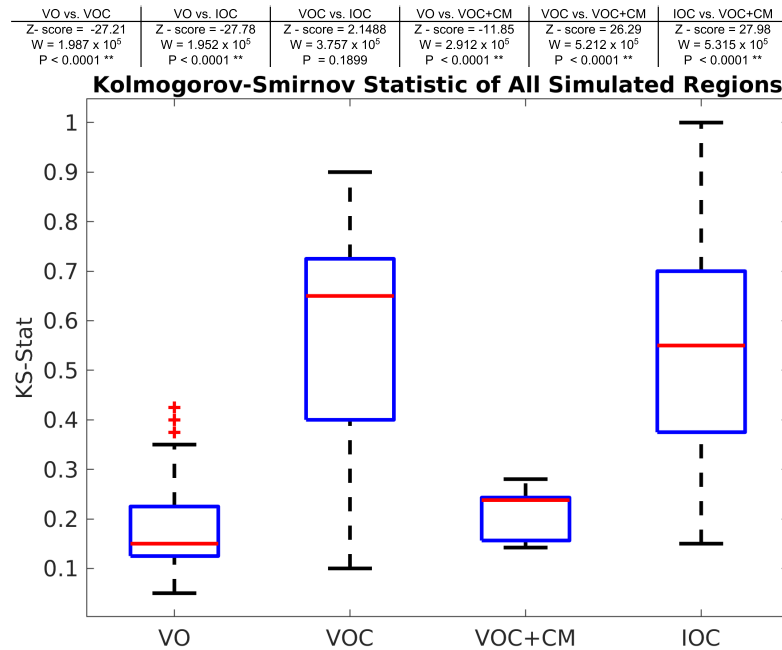397 observed alpha peaks in the source localized spectra to a limited degree.

16

VO vs. VOC	VO vs. IOC	VOC vs. IOC	VO vs. VOC+CM	VOC vs. VOC+CM	IOC vs. VOC+CM
Z- score = -27.21	Z - score = -27.78	Z - score = 2.1488	Z - score = -11.85	Z - score = 26.29	Z - score = 27.98
W = 1.987 x 10^5	W = 1.952 x 10^5	W = 3.757 x 10^5	W = 2.912 x 10^5	W = 5.212 x 10^5	W = 5.315 x 10^5
P < 0.0001 **	P < 0.0001 **	P = 0.1899	P < 0.0001 **	P < 0.0001 **	P < 0.0001 **

Figure 6: Comparison of model performance. Summary of Kolmogorov-Smirnov statistics between different model variations (VO = varying oscillators, VOC = varying oscillators with connectome, VOC+CM = varying oscillators with connectome, optimized via CM, IOC = identical oscillators with connectome) over all 86 ROIs and all 7 subjects. A Wilcoxon rank-sum test was used to compare the different model values (shown in the top table). All p-values reported were adjusted for multiple comparisons (Bonferoni).

3.3. Spatially distributed patterns of power spectra

Figure 9 illustrates via surface-plots the alpha band power $(8 - 12Hz)$ over the entire brain for the observed and simulated spectra averaged from all subjects. Each of the cortical regions are colored by the intensity of that region's alpha power scaled by the mean alpha power over the entire brain. As expected, the source localized spectra (top row) shows relatively larger spheres in the posterior regions of the brain. The VO, VOC, and VOC-CM models show the same trend, although they are distributed more laterally than the observed alpha distribution. The IOC model did not match the alpha spectra spatial pattern at all, with only a small number of regions that contain alpha powers significantly above the mean. The Pearson's correlation coefficients are displayed on top of each glass-brain plot, and as expected, VO and VOC-CM had the highest correlation when comparing the 86 brain region's alpha powers.
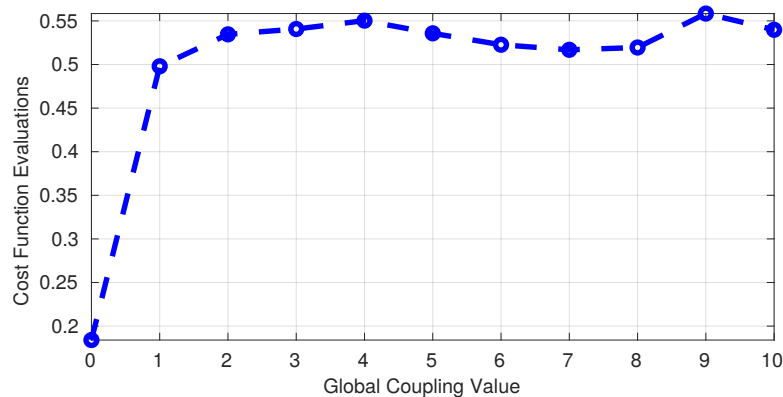
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Figure 7: Global coupling parameter drastically changes the parameter space. Introducing a structural connectivity matrix with increasing global coupling parameter increases the cost-function evaluation, but does not continuously increase the evaluations as global coupling increases.

From the optimization results in Figure 5, we already see a change of less than 1% in cost function evaluations as the conditional minimization algorithm approached the 10th iteration, suggesting any of the solutions along the end of the conditional minimization algorithm could be a plausible solution. We selected parameter sets that computed cost-function evaluations within $\pm1\%$ range of the final cost-function evaluation. The probability distribution of these optimized parameters are shown in Figure 10. The majority of the parameters from varying oscillators (VO) model shows a bimodal distribution, with many peaks in the histogram suggesting different viable solutions that satisfies our goodness-of-fit criteria. On the other hand, the parameters chosen from the final iteration of the VOC-CM model shows a less obvious bimodal distribution with the exception of τ_i. Additionally, the histogram peaks suggest that there are at least two highly probable parameter values for each parameter in both cases. Despite conditional minization converging to a low cost-function evaluation that drop less than 1% after the 10th iteration, the parameters were still unable to converge to a single value, further emphasizing the difficulty of finding unique solutions to an over-specified model.
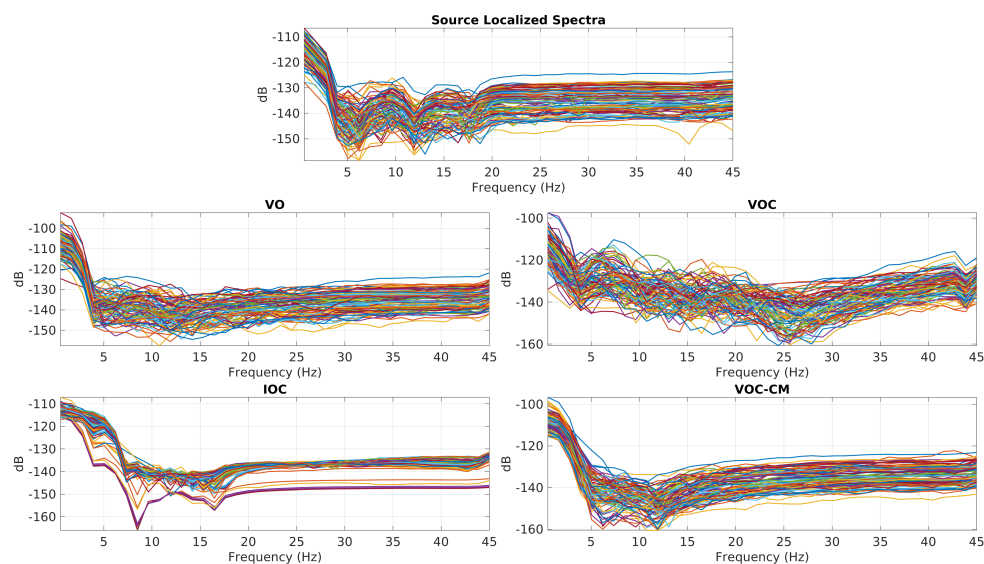
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Figure 8: Optimized power spectra. (1) Source localized power spectrum for all 86 regions averaged over all subjects is shown at the top. Below the source localized spectra, going clockwise: (2) simulated varying oscillators (VO) model, (3) simulated network model with varying local parameters at each node (VOC), (4) simulated network model with identical local parameters at each node (IOC), and (5) simulated network model with varying local parameters optimized with conditional minimization (VOC-CM).

4. Discussion

A challenge for emerging models of brain activity is that in a complex dynamical system such as the brain, it is difficult to predict function even if the underlying architecture, local cortical dynamics, and cortical-cortical interactions are known. In the present article, we studied the role of local and global parameters in a system of coupled oscillating neural mass (Wilson-Cowan) models, either unconnected or connected via white matter fibers as measured from diffusion-MRI. As described in previous network modeling efforts, coupled dynamical systems have a collective behavior that depends on the network structure, the local dynamics of each node, and the coupling function for the transfer of information [20, 45, 46]. Using different implementations of the Wilson-Cowan oscillator model, we reproduced to varying degrees of success spatially varying spectral features of human source localized EEG at rest. Our results show that 1) introduction of the connectome to the oscillator model does not improve model fitting to source localized
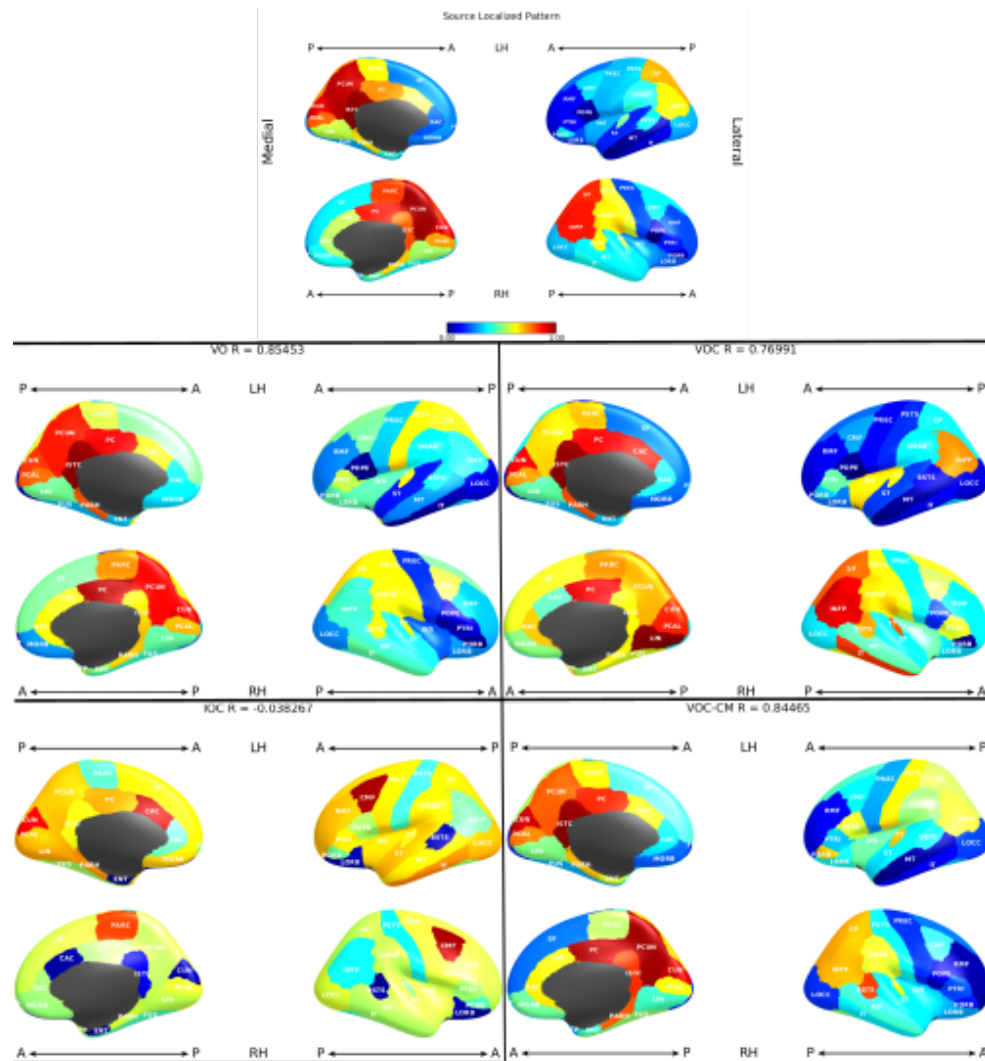
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Figure 9: Spatial distribution of alpha band. Glass-brain showing the power in the alpha band averaged across all subjects. From top to bottom: (1) empirical data, (2) varying oscillators (VO) model, (3) varying oscillators with connectivity (VOC), (4) identical oscillators with connectivity (IOC), and (5) varying oscillators with connectivity, optimized using conditional minimization (VOC-CM). The radius of each spheres indicates the amount of power within the $8 - 12Hz$ range in the frequency domain, scaled by the mean of the alpha power over each region. Regions close or below the mean are shown by smaller spheres or not shown at all. Different lobes of the brain are color-coded for clarity.
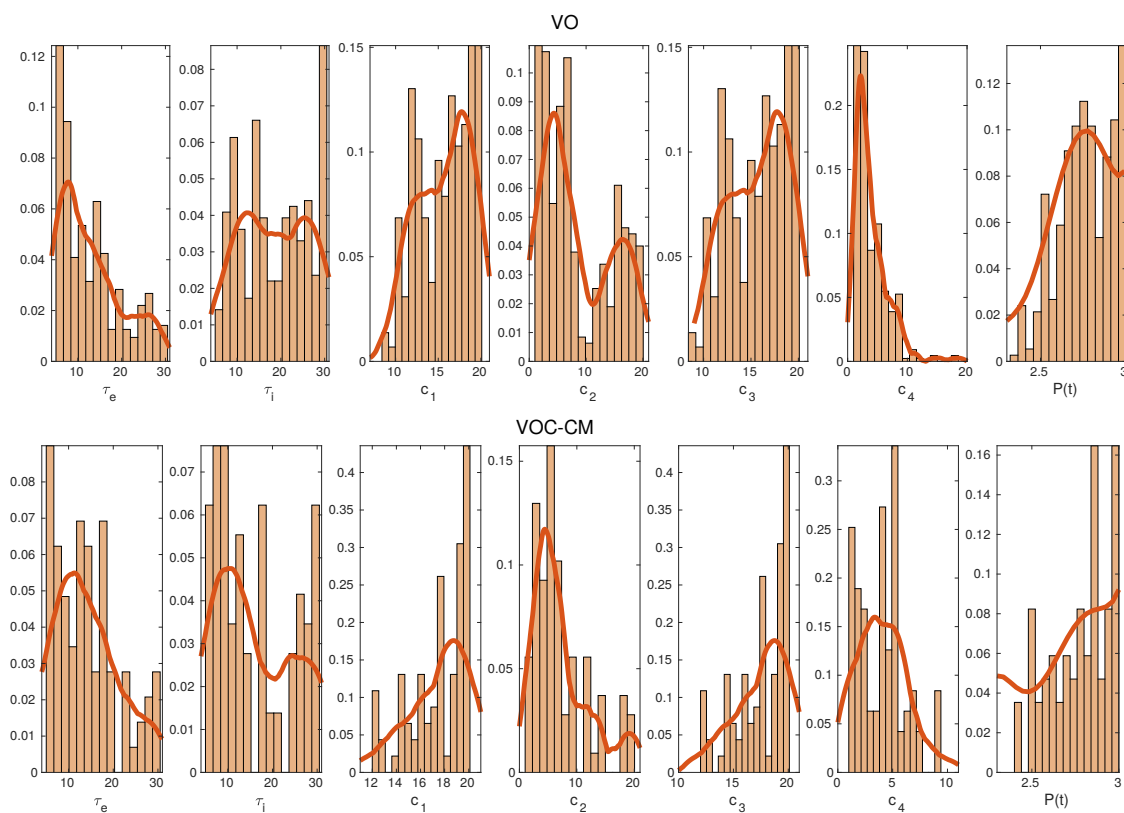
Figure 10: Best Fitting Model Parameters. Histograms showing the probability distribution of parameters chosen from $\pm 1\%$ of the best fitting solution for the varying oscillators (VO, top) model and varying oscillators with connectivity, optimized using conditional minimization (VOC-CM, bottom).

EEG, 2) the identifiability problem manifests itself in the model's parameter space as well as the spatial distribution of the modeled frequency profile.

First, we aimed to determine which configuration of our chosen neural mass model best reproduces source localized EEG data. From our simulations, it is clear that a model of individual oscillators at each brain region (VO) is capable of reproducing the spatial and spectral patterns of EEG data. While the absence of network topology in the VO model does not correctly depict the interconnected brain regions, the one pair of oscillator model per brain region fitting criteria is a much easier parameter inference problem than inferring network model parameters. VOs simulations produced a mean KS-stat of around 0.15, which is lowest out of all model variations. On the other

21

hand, network models (VOC, VOC-CM) were also able to produce the alpha and beta spatial patterns that closely matched our source localized EEG data. The Jansen and Rit model [43] utilized realistic ratios of excitatory and inhibitory connections in a neuronal ensemble to arrive at their parameter values, David and Friston [4] expanded on this idea and established a neural mass model with similar differences between excitatory and inhibitory parameters. Interestingly, IOC parameters exhibiting this difference between excitatory and inhibitory parameter values were not able to produce a satisfactory spectra or a posteriorly distributed alpha pattern, indicating the importance of allowing spatially varying local parameters in order to produce characteristic neuronal patterns. In the IOC model, the only terms driving regional differences in the brain were the connectivity matrix, global coupling, and tramission velocity, which is an indirect way of determining the effect of introducing a connectome to an optimized network. Surprisingly, despite it's anatomical relevance, the structural connectivity does not improve the model performance, but drastically alters the parameter space instead.

Our results show a simple addition of network connectivity to individual oscillators optimized independently at all brain regions does not improve the performance of the model. As shown in Figure 6 and 7, no amount of connectome coupling, while keeping the VO local parameters, improves model performance; in fact, it makes is substantially worse, with the KS-statistic cost function plateauing around 0.5-0.55 as global coupling increased gradually, compared to the KS cost of the VO model of less than 0.2. We conjecture that the one-to-one fitting without any connectivity and transmission velocity influences may have provided a simpler optimization problem than the network models. Because we used optimized local parameters from the VO model in VOC model, we expected similar or better model performance with the addition of a more physiological, interconnected brain network. However, despite optimized local dynamics at each node, the interconnected regions introduced an uphill move for the optimization algorithm instead of a downhill move, suggesting the feedback from adjacent regions may be changing local dynamics that are not explainable by just a global coupling parameter and transmission velocity. Our conditional minimization algorithm was able to optimize our local and global parameters iteratively until we obtained a set of parameters that outperformed VOC. As described above, despite IOC having identical nodes, meaning only one set of local parameters for the entire network, the inferred parameters are high in variance and do not reflect

22

neurophysiological conditions. This is consistent with the findings by [47], suggesting that network dynamics do not only depend on anatomical connectivity, but also on "state-dependent dynamical regimes of the brain regions" and on the heterogeneity of node degrees.

The surface-plots displaying the spatial distribution of each model variation's alpha pattern highlight the identifiability problem of network neural mass models. Despite the differences in parameterization, all models show spatial alpha patterns that are identical to each other with the exception of IOC. In the frequency domain, there are recognizable differences in the power spectra produced by each model, however, the minor differences do not necessarily capture the neurophysiological oscillations that translates to function. Additionally, the histograms in 10 shows there are many probable solutions that provide satisfactory spectra according to our goodness-of-fit criterion.

To capture function deteriorations in a diseased brain by mathematical models, there has been many recent attemps to correlate neural mass model parameters with stroke recovery [31, 48, 49], Alzheimer's disease [50], and epilepsy [51]. However, all these efforts neglect the over-parameterization of the models by expanding neural masses to networks in order to maximize a fit to functional connectivity. Correlating a set of parameters with a change in functional connectivity does not mean such parameter shifts are meaningful enough to diagnose disease, as another set of parameters may capture the same functional connectivity just as well. Our results show the manifestation of identifiability problems in neural mass models as a challenge to diagnosing disease via mathematical models, as network models need to capture both functional and spatial information in order to fully capture disease spread. During parameter inference, careful inspection of the parameter distribution and model behavior is needed to obtain parameters that converged to a uniform distribution. We believe low dimensional models with parameter constraints may avoid the identifiability problem and provide more meaningful model parameters.

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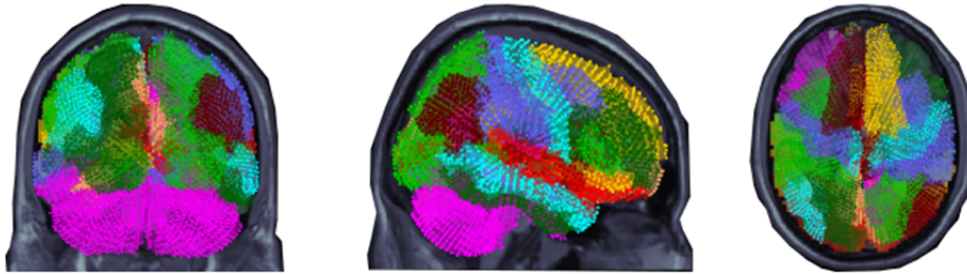# 719 6. Supplementary Material

Figure S1: Dots representing volumetric source locations mapped to their respective regions of interest (ROI) viewed from the back, right, and top. Different colors represent the 86 segmented regions in the FreeSurfer Desikan-Killany atlas, each ROI is viewed as a node on the connectome.
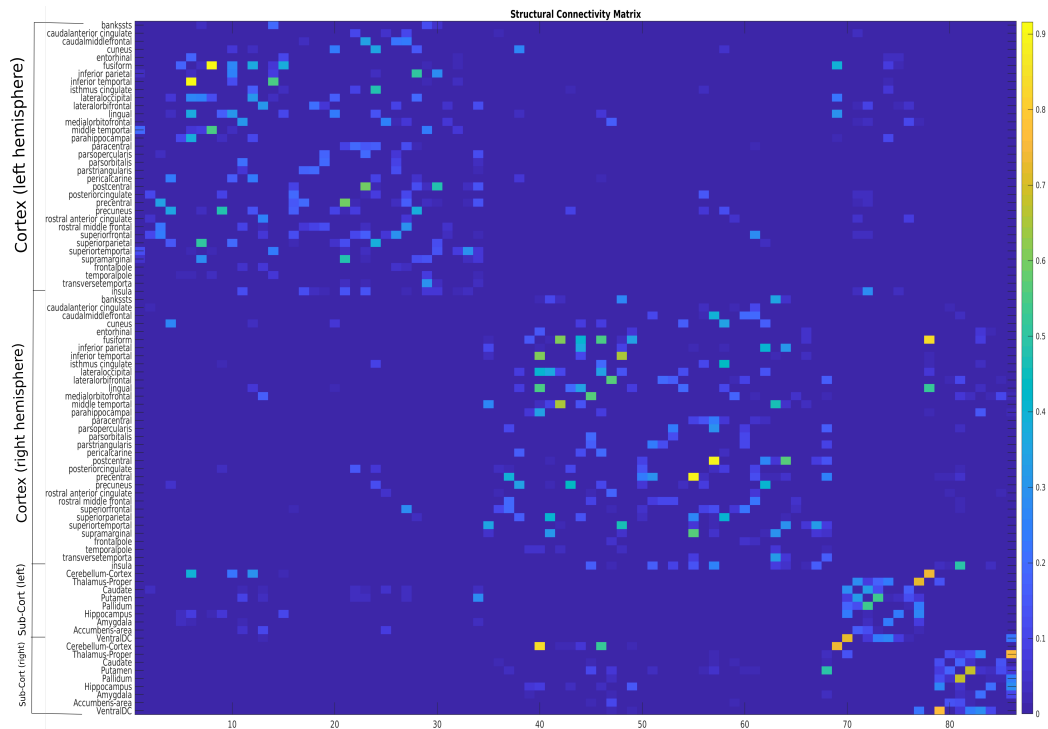
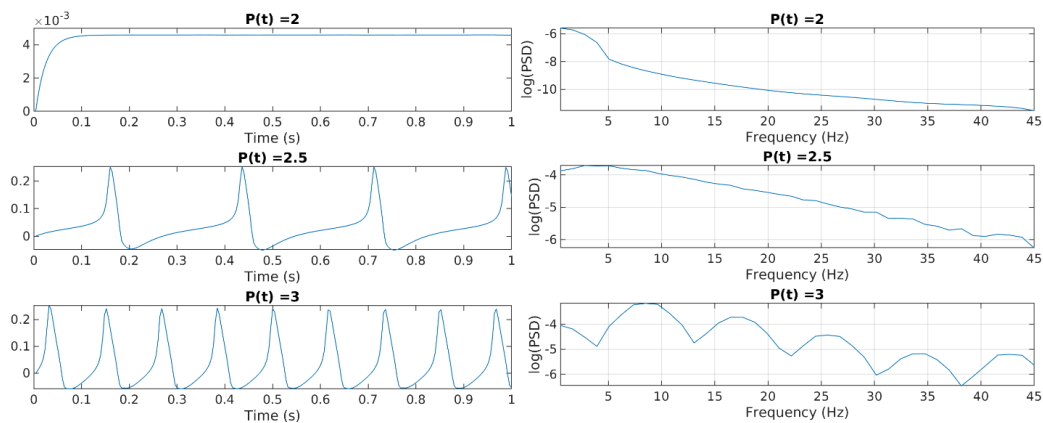Figure S2: Structural connectivity matrix of one representative subject.

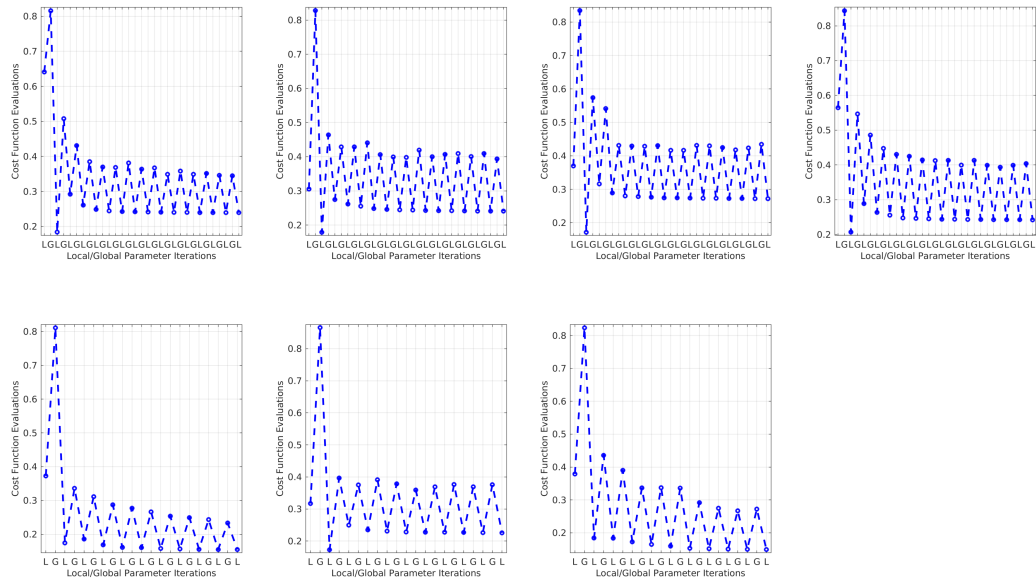Figure S3: Neural mass model's oscillatory activity changes as external drive parameter $P(t)$ is gradually increased at one node.

31

Figure S4: Conditional minimization performance for all subjects.