

# A pharmacokinetic-pharmacodynamic assessment of the hepatic and bone-marrow toxicities of the new trypanoside fexinidazole

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## **1 Abstract**

**2** Fexinidazole is a novel oral treatment for *Trypanosoma brucei gambiense* human African trypanoso-  
**3** miasis: *g*-HAT. Fexinidazole is also active against other kinetoplastid parasites, notably *T. cruzi*  
**4** the causative agent of Chagas disease. During the course of a dose ranging assessment in chronic in-  
**5** determinate Chagas disease, delayed neutropenia and significant increases in hepatic transaminases  
**6** were observed and clinical investigations were suspended. We retrospectively analyzed all available  
**7** pharmacokinetic and pharmacodynamic data on fexinidazole in normal healthy volunteers and in  
**8** patients with chronic Chagas disease and *g*-HAT, in order to assess the determinants of toxicity.

**9** A population pharmacokinetic model was fitted to plasma concentration data on the bioactive  
**10** fexinidazole sulfone metabolite from three phase 1 studies, two *g*-HAT phase 2/3 field trials and one  
**11** Chagas phase 2 field trial (462 individuals in total). Bayesian exposure-response models were then  
**12** fitted to hematological and liver related pharmacodynamic outcomes in chronic Chagas patients.

**13** Neutropenia, reductions in platelet counts, and elevations in liver transaminases were all found  
**14** to be exposure and thus dose dependent in patients with chronic Chagas disease. Clinically insignif-  
**15** icant transient reductions in neutrophil and platelet counts consistent with these exposure-response  
**16** relationships were observed in the *g*-HAT trials. In contrast, no evidence of hepatotoxicity was  
**17** observed in the *g*-HAT trials.

**18** Fexinidazole treatment results in a dose dependent liver toxicity and transient bone marrow  
**19** suppression in Chagas disease. Regimens of shorter duration should be trialled for Chagas. The  
**20** currently recommended regimen for sleeping sickness provides exposures within a satisfactory safety  
**21** margin for bone marrow suppression and does not cause hepatotoxicity.

## 22 Abbreviations

23 **ALT** alanine aminotransferase

24 **AST** aspartate aminotransferase

25 **AUlogC** area under the log plasma concentration time curve

26 **CNS** central nervous system

27 **CSF** cerebrospinal fluid

28 **DBS** dry blood spot

29 ***g*-HAT** *Trypanosoma brucei gambiense* human African trypanosomiasis

30 **M2** fexinidazole sulfone metabolite

31 **NECT** Nifurtimox-Eflornithine combination therapy

32 **NHV** normal healthy volunteers

33 **OVL** overlapping coefficient

34 **PK-PD** pharmacokinetics-pharmacodynamics

## 35 Introduction

36 The nitroimidazole fexinidazole is a promising new treatment for sleeping sickness (human African  
37 trypanosomiasis caused by *Trypanosoma brucei gambiense: g-HAT*). Fexinidazole has the potential  
38 to replace current first-line therapies (1; 2; 3) as it is an oral treatment for both the blood stage and  
39 the central nervous system (CNS) stages of the disease. Fexinidazole is metabolized extensively *in*  
40 *vivo* to two biologically active metabolites, a sulfoxide (M1) and a more slowly eliminated sulfone  
41 (M2) (4; 5). The sulfone metabolite accounts for the majority of bioactive exposure during the ten  
42 days of fexinidazole treatment currently recommended in *g-HAT* (6). Extensive phase 2 & 3 studies  
43 of fexinidazole in *g-HAT* infections have shown excellent efficacy and good tolerability. This class  
44 of drugs also has good *in vitro* and *in vivo* (murine model) activity against other kinetoplastid  
45 parasites: *T. cruzi* (5), *T. lewisi*(7) and *Leishmania donovani* (8). Clinical studies have been  
46 performed in both Chagas disease and visceral leishmaniasis. In the course of an extended dose  
47 finding study in Chagas disease, increases in hepatic transaminases and a delayed and transient  
48 fall in neutrophil counts were noted. In response to these findings, clinical studies in Chagas  
49 disease were halted temporarily and additional hematology and “liver function” investigations were  
50 added to ongoing studies in *g-HAT*. As the prospective studies had not anticipated this toxicity, a  
51 retrospective pharmacokinetic-pharmacodynamic assessment was conducted using all the available  
52 clinical data to characterize the relationships between drug and metabolite exposure and potential  
53 adverse effects, and to provide predictions for the future safety of the ten day fexinidazole regimen  
54 developed for the treatment of *g-HAT*.

	Trial name	Clinical trial ID	N	Males	Females	Samples per person (median, range)
Phase 1	FEX001	NCT00982904	71	71	0	17 (17-20)
	FEX002	NCT01340157	12	12	0	15
	FEX003	NCT01483170	22	22	0	17 (11-17)
<i>g</i> -HAT	FEX004	NCT01685827	203	125	78	6 (3-6)
	FEX006	NCT02184689	114	64	50	5 (4-5)
Chagas	CH-FEX001	NCT02498782	40	12	28	3 (1-6)

Table 1: **Summary of the available pharmacokinetic data.** These relate only to the quantification of the metabolite M2. The phase 1 data were used to evaluate the best structural model, and the full dataset was used in the pharmacokinetic analyses in order to estimate the drug exposures in fexinidazole treated chronic Chagas patients and fexinidazole treated *g*-HAT patients.

## 55 Results

### 56 Population pharmacokinetic model of fexinidazole sulfone (M2)

57 Pharmacokinetic data quantifying concentrations of the metabolite M2 in 462 individuals over  
58 more than 4500 time points were analyzed jointly. The overall summary of the six datasets used  
59 in this analysis is shown in Table 1.

60 The formation of the M2 fexinidazole sulfone metabolite was modeled as a first-order “absorp-  
61 tion” process. A one-compartment disposition model without inter-individual random variability  
62 and with additive error (on the log scale) provided the base model. Inclusion of inter-individual  
63 random variability in pharmacokinetic parameters provided a significant improvement. Inclusion  
64 of 1 and 2 transit compartments for the formation of the metabolite M2 also provided signif-  
65 icant improvement to model fits as evidenced by the conditionally weighted residual plots. A  
66 two-compartment disposition model did not provide an improved fit to the data as quantified by  
67 a non-significant improvement in OFV. The final model was thus a one-compartment disposition  
68 model with two transit compartments for the formation of the metabolite. This structural model  
69 estimated that the metabolite M2 had a relative “absorption” (i.e. formation) rate of  $0.04h^{-1}/F$   
70 (IIV of 26%), a volume of distribution of  $80L/F$  (IIV of 20%) and a clearance of  $3L/h/F$  (IIV of  
71 14%).

### 72 Liver toxicity in fexinidazole treated asymptomatic Chagas disease pa- 73 tients

74 In the dose ranging study of fexinidazole for the treatment of chronic asymptomatic Chagas disease,  
75 dose dependent elevations in AST and ALT were observed in a subset of patients. The time-series  
76 data of the liver transaminases elevations over the first 150 days following start of treatment  
77 show considerable heteroscedasticity but clear separation between patients with high and low  
78 M2 exposures (Fig 2). Some Chagas disease patients with exposures in the ranges seen in *g*-HAT  
79 (green lines) had liver transaminases elevated above 3 times the upper limit of normal (ULN). Peak

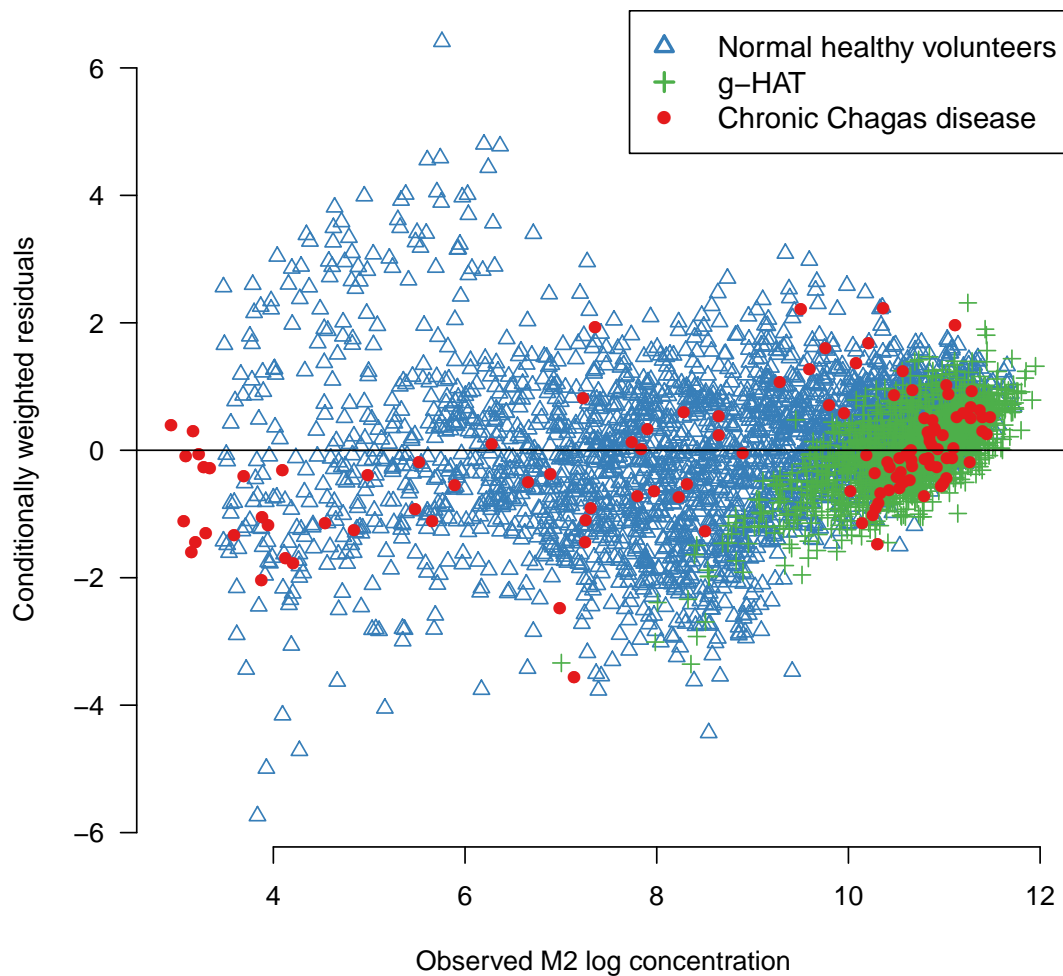


Figure 1: **Visual pharmacokinetics model check.** Comparison between the observed concentrations of the fexinidazole sulfone metabolite M2 and the conditionally weighted residuals of the final pharmacokinetic model fit to all M2 data from phase 1 trials (blue triangles), *g*-HAT sleeping sickness trials (green crosses) and asymptomatic Chagas disease trials (red circles).

80 elevations of ALT and AST were observed between 50 and 100 days after the start of treatment.  
81 For the patients whose levels rose above 3 times the ULN (for males: 53 and 46 units/L for ALT and  
82 AST, respectively; for females: 40 and 39 units/L for ALT and AST, respectively), the duration  
83 of elevated transaminases varied between 3 to 168 days for ALT and 8 to 40 days for AST. The  
84 duration of elevation was explained partially by total drug exposure (S2 Fig). All patients' values  
85 eventually returned to normal.

#### 86 **Exposure dependent effect of fexinidazole on hepatic transaminases**

87 For both ALT and AST, the posterior model fits indicate clear exposure-response relationships  
88 when the PD outcome is both measured as absolute peak increases (Fig 3, left column) and

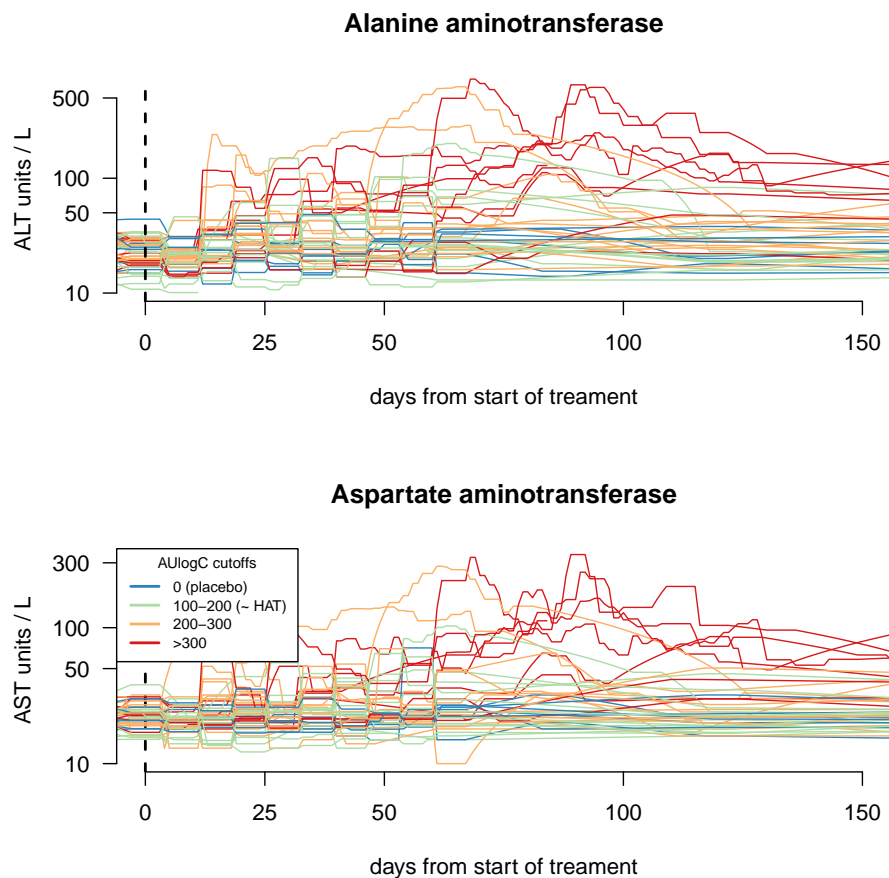


Figure 2: **Time series data on the liver transaminases in all enrolled chronic Chagas patients.** Top panel: ALT; bottom panel: AST. This shows data for all patients (n=47) enrolled in the trial of oral fexinidazole for the treatment of chronic Chagas disease. The absolute concentrations are shown on a  $\log_{10}$  scale. In both panels, the colors correspond to individual total drug exposure as quantified by cumulative AULogC of the M2 (sulfone) metabolite. Blue: placebo (no fexinidazole exposure); green: ranges of fexinidazole exposure corresponding to *g*-HAT regimen or less; orange: high ranges of AULogC from 200-300; red: very high fexinidazole exposure with AULogC above 300.

89 as relative fold increases (Fig 3, right column). As quantified by the *overlapping coefficient*, the  
90 posterior distributions give negligible probability (less than 5%) to the “null models” of no exposure  
91 dependent outcome in all four models (S4 Fig).

92 For ALT, the 80% credible intervals of the marginal posterior distribution over the  $ED_{50}$  overlap  
93 with the exposure intervals in the *g*-HAT regimen (Table 2). For AST, the 80% credible intervals  
94 of the marginal posterior distribution over the  $ED_{50}$  are above the exposure intervals in the *g*-HAT  
95 regimen (Table 2). The relationship between AULogC and mg/kg dose in the Chagas trial is shown  
96 in Fig S1.

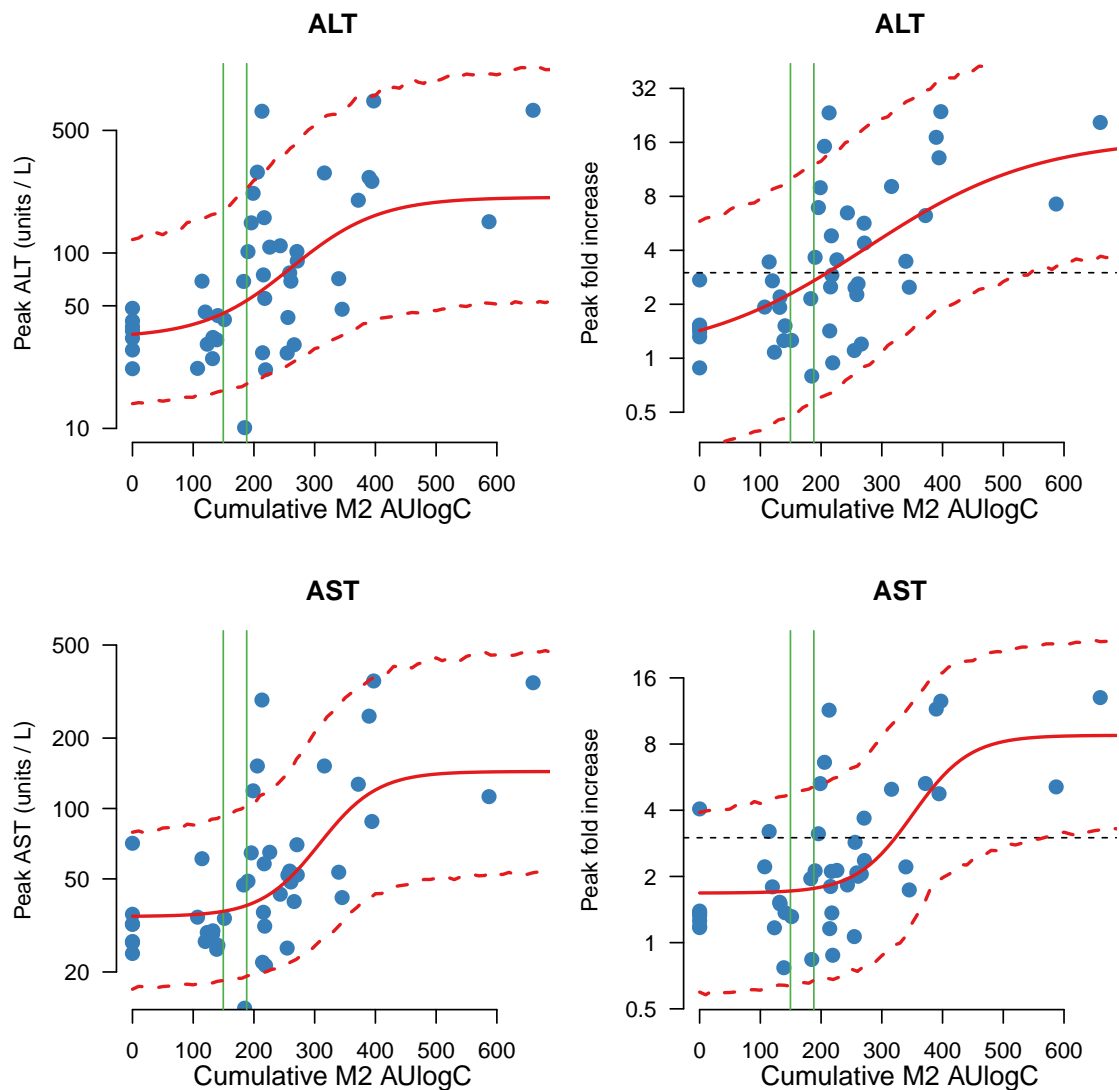


Figure 3: **The exposure-response relationships for the peak liver transaminase values in fexinidazole treated chronic Chagas disease patients.** Top row: ALT; bottom row: AST. The left column gives the relationship between fitted M2 AUlogC values and peak observed transaminase concentrations (y-axis is on the  $\log_{10}$  scale). The right column gives the relationship between fitted sulfone metabolite (M2) AUlogC values and peak observed fold changes from baseline (y-axis is on the  $\log_2$  scale). Individual data points are shown by the blue dots, sigmoid model mean fits along with 90% posterior prediction intervals are shown in red, and the range of AUlogC exposures with the *g*-HAT regimen is shown by vertical green lines. In the right column, the dashed black line shows the threshold value of 3 x baseline.

### 97 Predicting liver toxicity in the *g*-HAT treatment regimen

98 The *relative* models fitted to data from asymptomatic Chagas disease predict that 50% of indi-  
 99 viduals with exposures (AUlogC) distributed as observed for the *g*-HAT regimen would have ALT  
 100 elevations more than 2.8 times of baseline (more than a 180% increase), and AST elevations more  
 101 than 2 times the baseline. However, no AST or ALT elevations greater than 2 times baseline were  
 102 observed in any of the patients in the three field trials. Indeed, for ALT, the distribution of late  
 103 measurements (all measurements taken between days 20-100) was identical to that of the early

		<i>Absolute Model</i>		<i>Relative Model</i>	
		$EC_{50}$	$CI_{80}^{(a)}$	$EC_{50}$	$CI_{80}^{(a)}$
		OVL <sup>(b)</sup>		OVL <sup>(b)</sup>	
Hematology parameters	Neutrophils	262-342	<b>0</b>	208-352	<b>1</b>
	Platelets	146-240	<b>3</b>	199-240	<b>0</b>
	Lymphocytes	74-1304	69	163-396	11
	Hemoglobin	73-1383	75	137-842	29
Liver function	ALT	164-362	<b>2</b>	119-371	<b>0</b>
	AST	244-371	<b>0</b>	256-396	<b>0</b>

a: 80% credible intervals over the  $EC_{50}$  values estimated by the PK-PD models; b: the overlapping coefficient (0: no overlap implying a definite exposure-response relationship; 1: complete overlap implying no exposure-response relationship).

Table 2: **Summary of results from the Bayesian exposure-response models.** Absolute models evaluate changes to the absolute values of the outcomes, and relative models evaluate fold changes from baseline in the values of the outcomes. Numbers in bold correspond to those with reasonable evidence of an exposure (dose) effect.

104 measurements ( $p=1$ , before and during treatment, Fig 4 bottom left panel). For AST, a significant  
 105 difference was observed between early and late measurements ( $p < 0.01$ ), but none had a fold  
 106 change greater than 2 (Fig 4 bottom right). Therefore the model based on Chagas disease patients  
 107 over-predicted liver toxicity substantially for both transaminases in *g*-HAT, indicating an effect  
 108 specific to the Chagas disease population.

## 109 Hematology

### 110 Hematological variables in fexinidazole treated chronic Chagas patients

111 In the dose-finding study of fexinidazole in chronic Chagas disease, 8 out of 40 patients who were  
 112 assigned fexinidazole had reductions in neutrophil counts falling below  $1000/\mu\text{L}$  (compared to none  
 113 in the placebo group). The day of the nadir value in this subgroup was day 65 (range: day 63 to  
 114 day 71). These events were temporary with rapid recoveries. The median estimated duration of  
 115 neutropenia (below  $1000/\mu\text{L}$ ) calculated using linear interpolation between adjacent time points  
 116 was 8.5 days (range: 7 to 21 days). All these patients had fexinidazole exposures as quantified by  
 117 the AUlogC values above 300 (i.e. considerably greater exposures than seen in *g*-HAT, S1 Fig).

118 In addition to these more extreme variations, there was a consistent decrease in median neu-  
 119 trophil counts over the course of study. This trend began from the start of treatment up until the  
 120 population nadir on day 70 in all patients not receiving placebo treatment (Fig 5, top left panel).  
 121 The overall profile was one of a steady decrease with a greater reduction around two months after  
 122 starting treatment. Day 57 was the median day of observed nadir in fexinidazole treated individ-  
 123 uals and day 70 was the population median nadir value. These decreases were transient and a  
 124 gradual recovery in neutrophil counts was observed in the follow-up visits.

125 The time series data for platelet counts show a similar temporal trend, albeit with a less

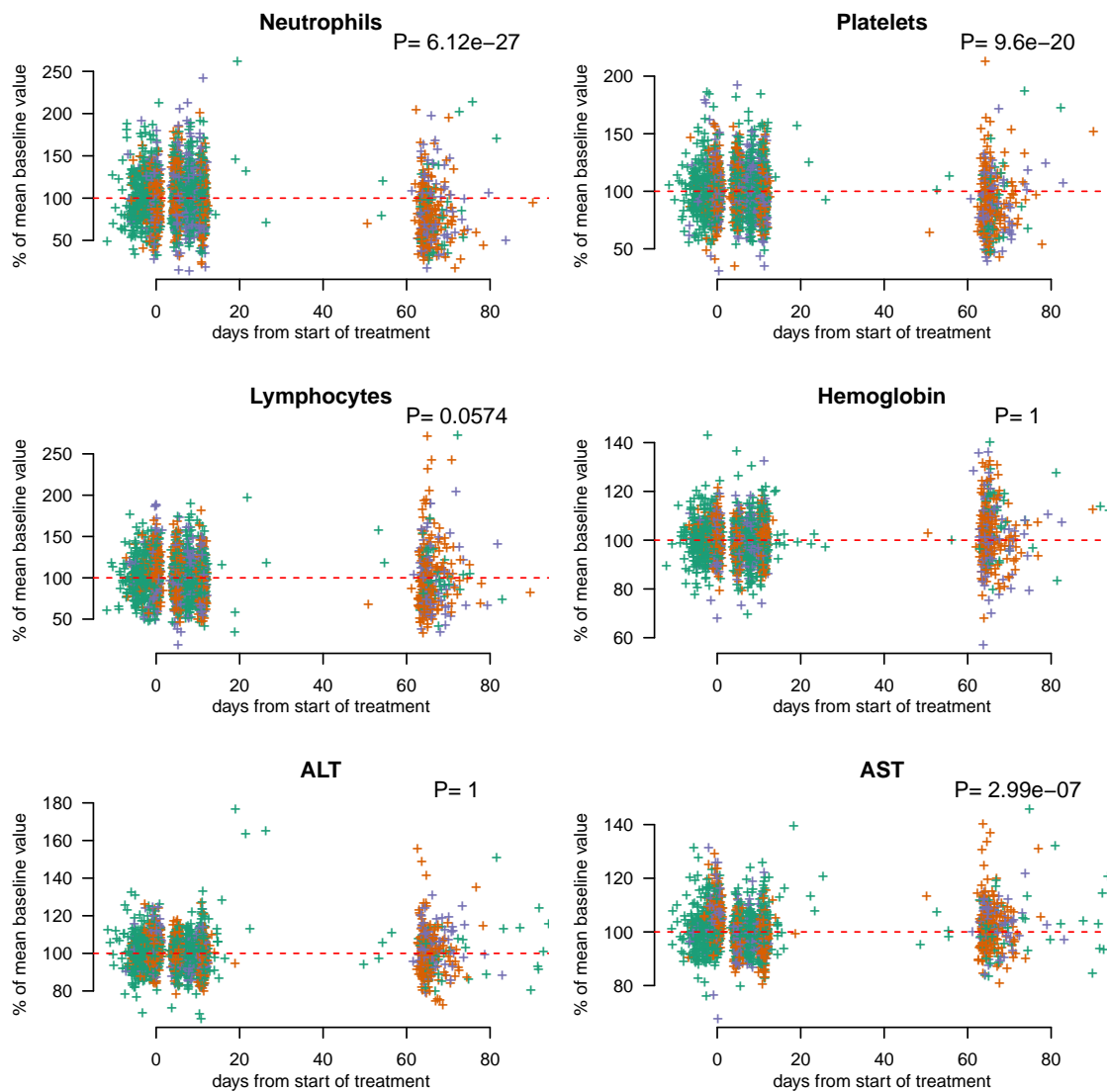


Figure 4: **Pharmacodynamic outcomes in the *g*-HAT field trials.** Each panel is a scatter plot of pharmacodynamic outcomes shown as % relative change from ‘baseline’ mean value as a function of time from start of treatment for all three field trials of fexinidazole for the treatment of *g*-HAT (*T. gambiense*). ‘Baseline’ is estimated as the average of all values taken up to day 20 post start of treatment. Colors correspond to trial ID. Green: randomized trial in adults with stage 2 *g*-HAT (FEX004), only fexinidazole treated individuals; orange: adults with stage 1 or 2 *g*-HAT (FEX005); purple: children with stage 1 or 2 *g*-HAT (FEX006). P-values are computed from a Mann-Whitney U test between the early (before day 20) and late (after day 20) groups of measurements.

ALT: alanine aminotransferase concentration; AST: aspartate aminotransferase concentration.

126 marked initial reduction and a more marked later reduction (Fig 5, top right panel). All platelet  
 127 counts remained above 50,000/ $\mu$ L but 9 fexinidazole treated individuals had nadir counts below  
 128 150,000/ $\mu$ L, 5 of whom were also in the neutropenia subgroup. The nadirs of neutrophil and  
 129 platelet counts were correlated significantly;  $\rho = 0.5$  (95%CI 0.2 to 0.7),  $p = 0.003$ . Nadir platelet  
 130 counts were seen approximately two weeks before nadir neutrophil counts (median observed day  
 131 of nadir in fexinidazole treated patients occurred on day 44; median population value was on



132 day 53). In patients with platelets counts below  $150,000/\mu\text{L}$ , the median estimated duration of  
133 thrombocytopenia calculated using linear interpolation between adjacent time points was 9 days  
134 (range: 5 to 23 days).

135 Median hemoglobin counts had also dropped by 1.3 g/dL by day 67, the population median  
136 nadir value (Fig 5, bottom right panel). In contrast, lymphocyte counts showed no clear trend  
137 (Fig 5, bottom left panel).

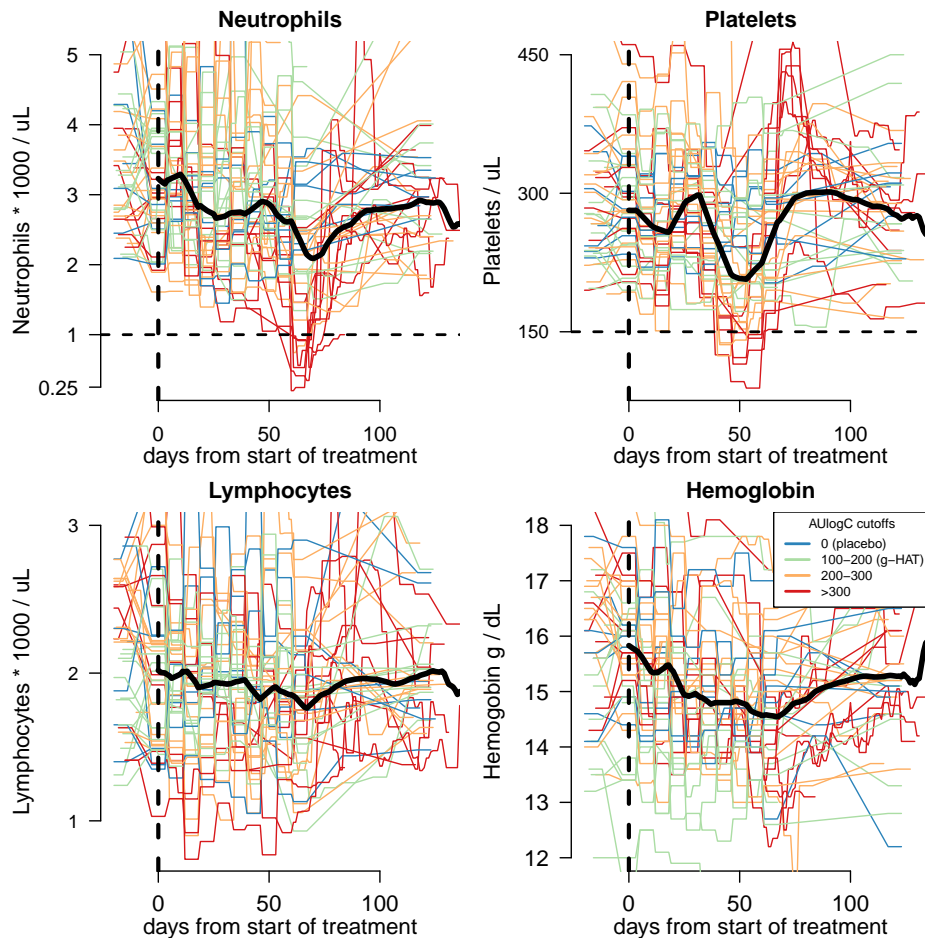


Figure 5: **Time series data on hematological variables for all enrolled chronic Chagas disease patients.** Start of treatment (day 0) is shown by the vertical black dashed line. Colors correspond to individual total fexinidazole exposure (AULogC). The median trend for each hematological variable is shown by a thick black line. The threshold values of 1000 neutrophils per  $\mu\text{L}$  and 150 000 platelets per  $\mu\text{L}$  are shown by the horizontal black dashed lines.

### 138 Hematological exposure-response effects of fexinidazole

139 For both neutrophil and platelet counts the models indicate clear exposure-response relationships  
140 when the PD outcome is measured both as absolute peak increases (Fig 6, left column) or as relative  
141 fold increases (Fig 6, right column). As quantified by the *overlapping coefficient*, the posterior  
142 distributions give negligible probability (less than 5%) to the “null model” of no exposure dependent  
143 outcome in all four models (S4 Fig. For lymphocyte counts and hemoglobin concentrations, there

144 is not clear evidence of an exposure-response effect, with OVL coefficients varying between 11 and  
145 75%.

146 For reductions in neutrophil counts, the credible intervals over the  $EC_{50}$  parameters in both  
147 the *absolute* and *relative* models are above  $g$ -HAT exposures (Table 2). However for the reductions  
148 in platelet counts, the absolute model suggests an  $EC_{50}$  within the  $g$ -HAT exposures (Table 2).

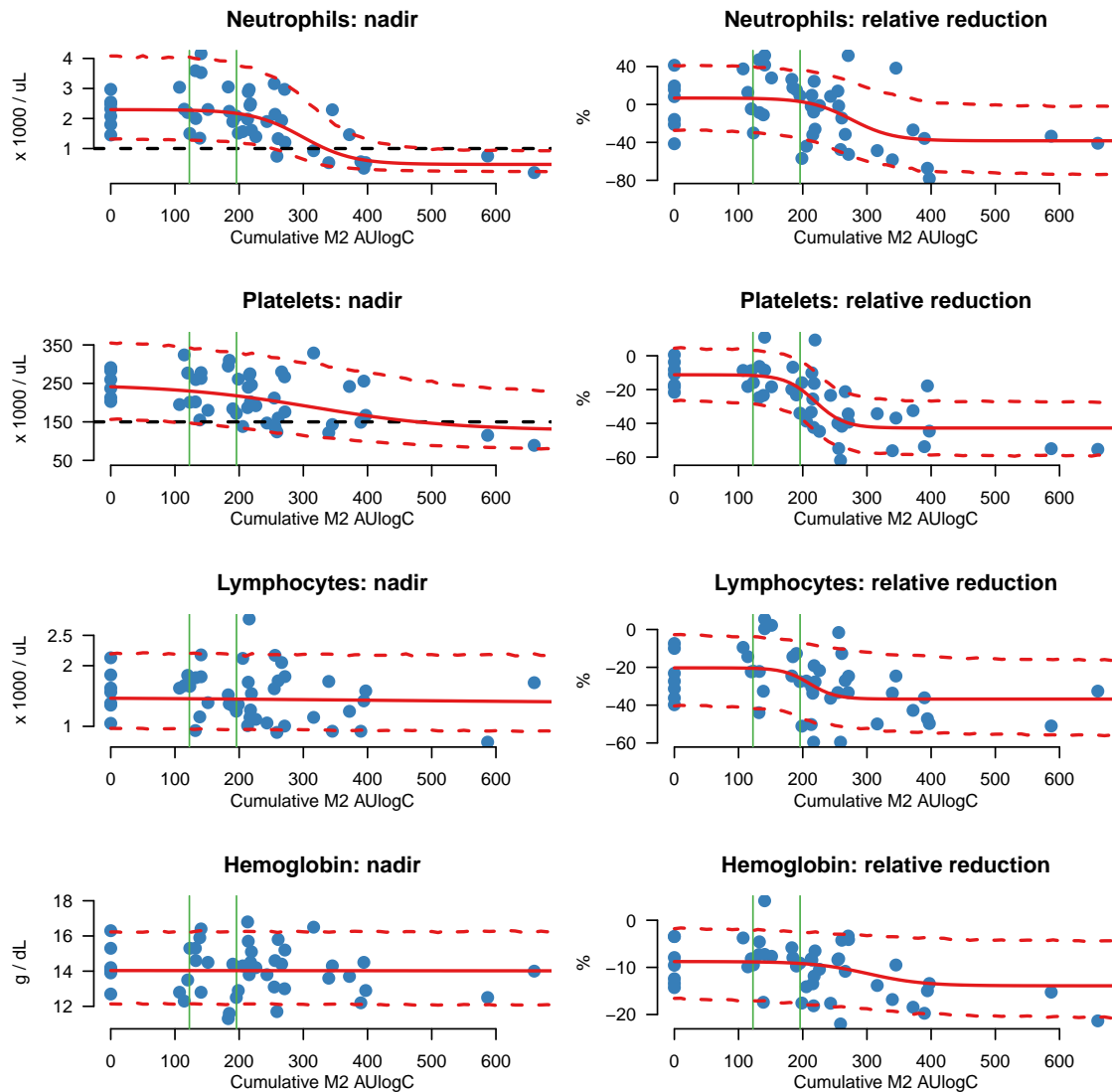


Figure 6: **The exposure-response relationships for the main hematological variables of interest in the dose finding assessment of fexinidazole in asymptomatic Chagas disease.** Exposure is quantified by the total cumulative M2 metabolite AUlogC. The left column shows the scatter plots between fitted exposures and nadir observed values (blue dots). The right column shows the scatter plots between M2 AUlogC exposure and the maximum observed relative % decrease with respect to the individual baseline value. Sigmoid model mean fits along with 90% posterior prediction intervals are shown in red. The range of fitted drug exposures after the  $g$ -HAT regimen are shown by the vertical green lines.

## 149 Predicting drug effects on hematological variables in *g*-HAT

150 For exposures distributed according to those observed in the *g*-HAT regimen, the *relative* models  
151 trained on the data from the chronic Chagas patients predicted a median relative decrease in  
152 neutrophil counts of 0%, with 10% of patients predicted to have relative reductions of approximately  
153 35%. For platelet counts, the predictions were for a median decrease of 20% with 10% experiencing  
154 decreases of more than 35%.

155 Statistically significant but clinically insignificant decreases from baseline were observed in  
156 both neutrophil and platelet counts in *g*-HAT patients ( $p < 0.001$  for both; Figure 4: top two  
157 panels). The median decreases were 20% and 10% for neutrophils and platelets, respectively. The  
158 nintieth percentiles were 60% & 40%, respectively. The timing of the late full blood counts done  
159 in the *g*-HAT trials coincided with the timing of the population nadir for neutrophil counts in the  
160 Chagas trial but not the population nadir for platelet counts (two weeks earlier). This difference  
161 in the timing of hematological changes was not known at the time of the *g*-HAT trial protocol  
162 amendments.

163 These observed reductions in neutrophil and platelet counts could be confounded. Patients  
164 in the Chagas study were asymptomatic, whereas *g*-HAT patients were ill and thus may have  
165 had higher neutrophil and platelet counts on admission. This possible confounding effect can be  
166 approximated by comparing the observed decreases in the *g*-HAT patients receiving fexinidazole  
167 and those receiving NECT in the FEX004 study (randomized assignment). For both platelet and  
168 neutrophil counts, there were no significant reductions for the NECT group whereas there were in  
169 the fexinidazole group (Figure 7).

170 Although the numbers were small ( $N = 20$  late counts in the NECT arm), this suggests that  
171 the fexinidazole regimen for *g*-HAT treatment results in mild but predictable delayed reversible  
172 decreases in neutrophil and platelet counts.

## 173 Discussion

174 Fexinidazole has the potential to replace nifurtimox-eflornithine as the treatment of choice for  
175 human African trypanosomiasis (*g*-HAT) (1; 2; 3; 15). In large, well controlled studies, it has proved  
176 well tolerated and effective (findings from FEX004 are reported in (9) and findings for FEX005 &  
177 FEX006 are as yet unpublished). A safe, once daily, oral treatment would substantially improve the  
178 prospects for elimination of this major tropical neglected disease. These excellent clinical results  
179 in *g*-HAT and the significant *in vitro* activity of fexinidazole against other kinetoplastid parasites  
180 prompted investigations in leishmaniasis and Chagas disease, but the preliminary investigations  
181 in chronic indeterminate Chagas disease were interrupted when some patients developed severe

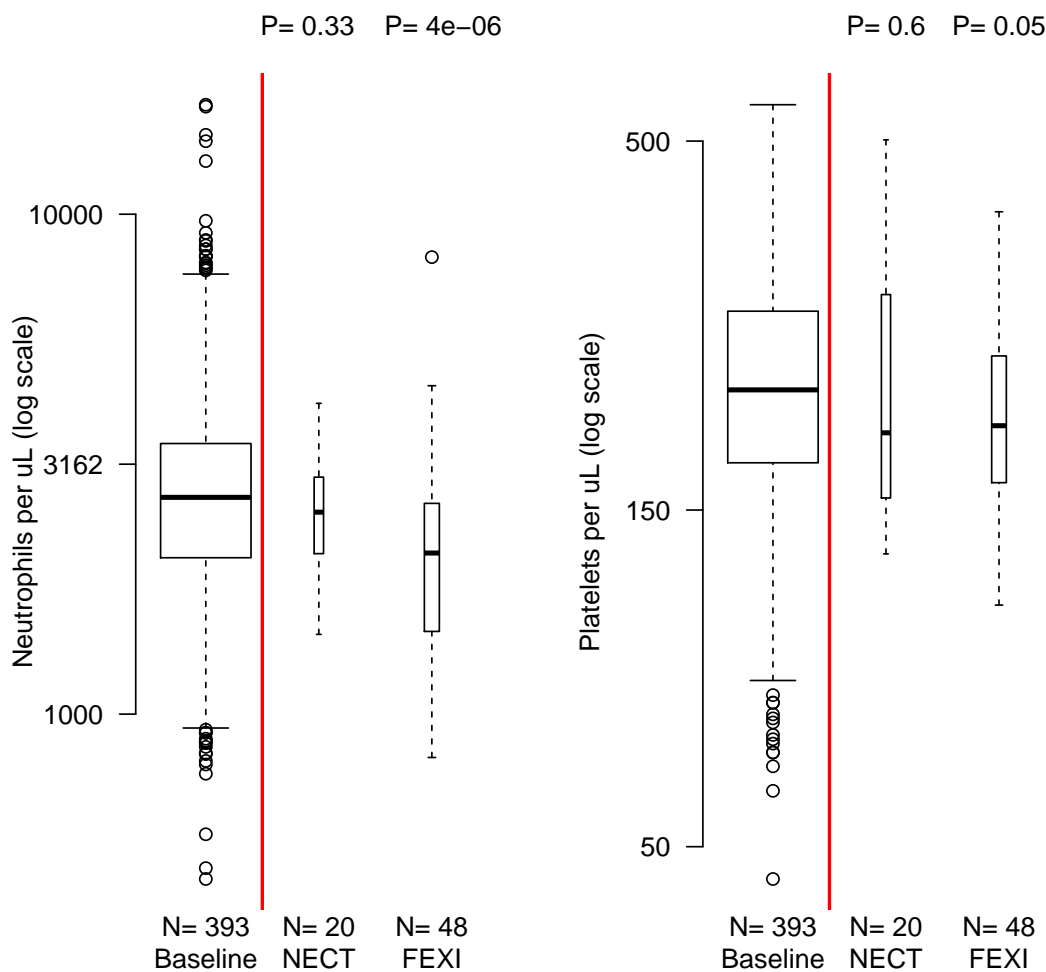


Figure 7: Comparison between early (baseline and during treatment) and late (circa day 70) neutrophil (left panel) and platelet counts (right panel), grouped by randomised treatment in trial FEX004 (late stage adults with *g*-HAT). P-values are computed from a Mann-Whitney U test between early (all patients) and late measurements. The width of each box-and-whisker plot is proportional to the square root of the number of observations.

182 but transient delayed neutropenia. In addition significant elevations in aspartate and alanine  
183 aminotransferases were noted suggesting liver toxicity. These adverse reactions were unusual in  
184 that they occurred sometimes up to two months after starting the fexinidazole treatment, often  
185 well after the drug had stopped and the bioactive parent and metabolites would have been cleared.  
186 Fexinidazole is converted *in vivo* to sulfoxide and sulfone metabolites which retain biological  
187 activity, but the majority of bioactive exposure *in vivo* is to the sulfone (M2) metabolite. It was  
188 not possible to dissociate toxicity relationships of the parent compound and metabolites and so the  
189 only associations explored here are with the sulfone metabolite. The mechanisms of fexinidazole  
190 toxicity are not known but appear to be class effects, although why neutrophil and platelet counts

191 fall approximately nine and seven weeks after starting, respectively, and in many cases weeks  
192 after completing fexinidazole treatment, is not known. The sequential timing of neutrophil and  
193 platelet reductions and their correlation without coincidental lymphopenia suggests bone marrow  
194 suppression. The transient nature of these reductions (lasting approximately one week) suggests a  
195 transient inhibition or suppression of a bone marrow precursor.

196 This pharmacokinetic-pharmacodynamic assessment used total cumulative log concentrations  
197 (total AUlogC) of the metabolite M2 as a proxy measurement of drug exposure. This is justified for  
198 several reasons. First, the ease of estimation as M2 is formed slowly and has the most predictable  
199 pharmacokinetic behavior with the least inter-individual variability. Thus in the dose assessment  
200 trial of fexinidazole in Chagas disease which provides the large majority of the pharmacodynamic  
201 information, sparse drug measurements taken over 8 weeks can be summarized reliably by model  
202 estimates of M2 AUlogC. Secondly, M2 concentrations have been shown to be the best predictor  
203 of electrocardiograph QT prolongation (unpublished observations) and vomiting. The relationship  
204 between the total mg/kg dose of fexinidazole and the pharmacokinetic exposure is imprecise for  
205 higher doses but gives an approximate threshold dose of 400 mg/kg for a threshold AUlogC exposure  
206 of 300 (S1 Fig).

207 The nitroimidazoles are a class of drugs with known potential for both neutropenia and liver  
208 toxicity (16; 17; 18; 19; 20; 21), although cholestatic hepatitis is the usual manifestation (22). One  
209 patient in the phase 1 studies of fexinidazole showed evidence of hepatotoxicity on day 15 after  
210 14 daily doses of 3600mg. Values returned spontaneously to normal (6). No case meeting Hy's  
211 Law criteria was reported. However, follow-up in the phase 1 studies was short (a maximum of 28  
212 days post start of regimen) so later asymptomatic hepatotoxicity following these studies cannot  
213 be excluded. The duration of the dose regimens of fexinidazole evaluated in Chagas disease were  
214 based on the regimen of benznidazole, another nitroimidazole which is the currently recommended  
215 treatment of Chagas disease and which also has been associated with both hepatotoxicity and  
216 neutropenia (23). Benznidazole is usually given for eight weeks, and so in some patients total  
217 mg/kg dosing of fexinidazole was substantially higher than in the 10 day *g*-HAT regimen (in one  
218 arm it was 7 times higher). The principal findings of this retrospective PK-PD study are that  
219 the risks of both increased transaminases, delayed neutropenia, and delayed platelet reductions  
220 are proportional to drug exposure, but that exposures in *g*-HAT are below those associated with  
221 clinically significant toxicity. The estimated EC50 quantified in terms of total M2 AUlogC for  
222 hepatotoxicity, and reductions in neutrophil and platelet counts are considerably higher than the  
223 maximum observed M2 AUlogC values in patients treated for *g*-HAT.

224 The observed reductions in neutrophil and platelet counts in the *g*-HAT field trials of fex-  
225 inidazole match predictions from the PK-PD model built using the data from the dose assessment

226 trial in Chagas disease. These were mild and clinically insignificant reductions in neutrophil and  
227 platelet counts which are very unlikely to pose a threat to *g*-HAT patients. On the other hand,  
228 the predicted dose-dependent hepatotoxicity based on the Chagas disease data was not observed in  
229 the treatment of *g*-HAT by fexinidazole. This suggests an additional disease effect which is specific  
230 to Chagas disease, or to the Bolivian population studied. Future studies of fexinidazole for the  
231 treatment of Chagas disease need to have long follow-up (more than six months) in order to assess  
232 potential iatrogenic changes to hematological variables and liver transaminases.

## 233 Conclusion

234 Taken together these data suggest that there is a satisfactory margin of safety for dose related toxic-  
235 ity with the current fexinidazole regimen for the treatment of *g*-HAT. Future trials of fexinidazole  
236 in Chagas disease should assess liver function over a period of at least six months following the  
237 start of treatment. Shorter regimens of fexinidazole (less than ten days) should be safe for the  
238 treatment of chronic Chagas disease. Transient but clinically significant neutrophil decreases are  
239 to be expected in individuals taking a total dose of more than 400mg/kg of oral fexinidazole.

## 240 Materials and methods

### 241 Clinical trials

#### 242 Phase 1 studies in normal healthy volunteers

243 Three separate phase 1 studies were carried out in 116 normal healthy male volunteers (NHV) to  
244 assess the tolerability of oral fexinidazole and to characterize its pharmacokinetics. Full details of  
245 these phase 1 studies and results from non-compartmental pharmacokinetic analyses are reported  
246 elsewhere (6). Electrocardiographic recordings were collected in all trials, but these data are being  
247 analyzed separately.

248 **FEX001** This was a randomized, double-blind, placebo controlled study of the tolerability and  
249 the pharmacokinetics of oral fexinidazole given in single and repeated doses (clinical trial ID:  
250 NCT00982904). This study also included a comparative bioavailability study of an oral suspension  
251 versus the tablet formulation, and an exploratory assessment of food effects. All subjects were  
252 healthy male volunteers of sub-Saharan origin. The pharmacokinetics of oral fexinidazole were  
253 characterized in three separate sub-studies. Dense pharmacokinetic sampling was performed in all  
254 sub-studies at the following time points: pre-dose, 0.5, 1, 2, 3, 4, 6, 9, 12, 16, 24, 48, 72, 96, 120,  
255 144 & 168 hours post-dose.

- 256 • Part 1: single doses ranging from 100 to 3600mg (n=54).
- 257 • Part 2: testing for bioequivalence between the oral tablet form and the oral suspension form  
258 which was not included in this analysis (n=11).
- 259 • Part 3: daily dosing for 14 consecutive days with doses of 1200, 2400 & 3600mg (n=17).

260 **FEX002** This was a randomized, open label study to assess the effect of two different types of  
261 food versus fasted conditions on the relative bioavailability of a single dose of oral fexinidazole  
262 in healthy males (n=12) (clinical trial ID: NCT01340157). Free fraction plasma concentration  
263 measurements were taken at 5 nominal time-points (1, 4, 12, 24, & 72 hours post-dose). Frequent  
264 venous sampling was done at the same time-points as for the FEX001 study.

265 **FEX003** This was a randomized, double blind, placebo controlled comparison of two 10 day  
266 regimens of fexinidazole (clinical trial ID: NCT0148370) both including a 4 day loading dose.  
267 Regimen 1 was administered as 1800mg for 4 days, followed by 1200mg for 6 days. Regimen 2 was  
268 administered as 2400mg for 4 days followed by 1200mg for 6 days. Each dose was taken after a  
269 meal. All subjects ( $n = 22$  completed the study out of 30 included subjects) were male healthy  
270 volunteers with both parents of sub-Saharan African origin. Pharmacokinetic samples were taken  
271 on days 1, 4 & 7 at the following nominal time-points: pre-dose and then 0.5, 1, 2, 3, 4, 6, 9, 12,  
272 16 & 24 hours post-dose. On day 10 (last dose), pharmacokinetic samples were taken pre-dose and  
273 then 0.5, 1, 2, 3, 4, 6, 9, 12, 16, 24, 48, 72, 96, 120, 144 & 168 hours post-dose.

#### 274 **Treatment trials in *T.b. gambiense* sleeping sickness (*g*-HAT)**

275 Phase 2/3 trials were conducted in the Democratic Republic of Congo (DRC) and Central African  
276 Republic (CAR). The pivotal study was conducted in 394 adult stage 2 *g*-HAT patients (i.e. in  
277 patients with CNS involvement). Two additional cohort studies were conducted in 230 patients  
278 with stage 1 *g*-HAT (i.e. no CNS involvement) and 125 children with both stages of *g*-HAT who  
279 were aged 6-14 and weighed more than 20kg. All patients or their guardians provided full informed  
280 consent. All patients had parasitologically confirmed *g*-HAT and a Karnovsky score > 50 in order  
281 to be eligible for enrollment. Adult patients and children weighing more than 35kg were treated  
282 with fexinidazole 1800mg once daily for 4 days followed by 1200 mg once daily for 6 days. Children  
283 older than 6 years and weighing between 20 and 35kg were given an adapted regimen: 1200mg for  
284 4 days followed by 600mg for 6 days. All field trials administered fexinidazole as 600mg tablets in  
285 blister packaging.

286 **FEX004** This was the pivotal Phase 2/3 study assessing the safety and efficacy of fexinidazole in  
287 the treatment of *g*-HAT (clinical trial ID: NCT01685827) (9). It was an open label randomized trial

288 of oral fexinidazole compared to the current standard-of-care regimen of Nifurtimox-Eflornithine  
289 Combination Therapy (NECT) in adult patients (> 15 years old) with late-stage *g*-HAT. Late stage  
290 sleeping sickness is defined as confirmed parasites in the cerebrospinal fluid (CSF) or confirmed  
291 parasites in blood and a CSF white cell count greater than 20/ $\mu$ L. The randomization ratio was 2:1  
292 with 264 patients enrolled into the fexinidazole arm and 130 patients enrolled into the NECT arm.  
293 The NECT regimen was a combination of oral nifurtimox tablets, 5 mg/kg three times daily for  
294 10 days (D1 to D10); and eflornithine 200 mg/kg administered twice daily as a 2-hour IV infusion  
295 for 7 days. The fexinidazole adult regimen was 4 daily doses of 1800mg followed by 6 daily doses  
296 of 1200mg. This is referred to as the *g*-HAT regimen. The study took place at 10 sites in the  
297 Democratic Republic of Congo and the Central African Republic.

298 Pharmacokinetic samples were taken in 203 fexinidazole treated patients. The field sites were  
299 very remote and it was not possible to store frozen samples on-site. Capillary whole blood was  
300 therefore collected and aliquoted onto filter paper to produce dry blood spots (DBS: 300 $\mu$ L (10))  
301 from a finger-prick sample at the following time points: day 8: 3 hours after dose; day 9: 3 hours  
302 after dose; day 10: 3 hours and 7 hours after dose; days 11 and 12: 24 and 48 hours after the  
303 last dose. A lumbar puncture was performed on day 11 (24 hours after the final dose) for the  
304 efficacy assessment. In some patients an aliquoted (300 $\mu$ L) CSF sample from the follow up lumbar  
305 puncture was allowed to dry on filter paper and stored for later drug measurement (n=82). Full  
306 blood counts and biochemistry were taken at enrollment and then on days 5 and 11 after the  
307 start of treatment. In response to the hematology and biochemistry abnormalities recorded during  
308 the asymptomatic Chagas disease treatment trial, the protocol was modified to allow for a small  
309 subgroup of patients ( $n = 68$ ) to have full blood counts and biochemistry checked 9 weeks after  
310 the start of treatment.

311 **FEX005** This was a first “plug-in” study which included stage 1 and early stage 2 adult *g*-HAT  
312 patients receiving the same fexinidazole regimen as FEX004 (clinical trial ID: NCT02169557). It  
313 was an open label single-group study which enrolled 230 patients. No pharmacokinetic sampling  
314 was carried out, but full blood counts and biochemistry were taken at enrollment and then on days  
315 5 and 11 and at 9 weeks following the start of treatment.

316 **FEX006** This was a second plug-in study of fexinidazole in children older than 6 years old and  
317 weighing more than 20kg with stage 1 and 2 *g*-HAT (clinical trial ID: NCT02184689). Children  
318 weighing between 20 and 35kg were given 1200mg of fexinidazole daily on days 1-4 (2/3 of the  
319 adult dose) and then 600mg daily on days 5-10 (half the adult dose). Children weighing more  
320 than 35kg were given the adult regimen. This analysis included pharmacokinetic data from 114  
321 patients. A series of pharmacokinetic measurements using DBS were taken on days 10 (3 and 7.25



322 hours after the final dose), 11 (24 hours post final dose) and 12 (48 hours post final dose). Drug  
323 measurement was also performed on dried CSF on filter paper (as in FEX004) from the day 11  
324 lumbar puncture in the first 30 patients.

### 325 **Treatment trial in chronic Chagas disease**

326 A dose finding study (clinical trial ID: NCT02498782) in adult Bolivian patients with chronic  
327 indeterminate Chagas disease (referred to as chronic Chagas) began in July 2014. Non-pregnant  
328 adult patients were enrolled if they were positive for a validated *T. cruzi* PCR test, but had no  
329 clinical evidence of end organ damage. The duration of treatment was structured around currently  
330 recommended regimens for the treatment of *T. cruzi* infections with benznidazole. All patients were  
331 outpatients. Patients were advised to take the treatment as a single daily dose and with a meal.  
332 Each week they were given enough medication until the next scheduled weekly visit. Treatment  
333 was thus unobserved and drug adherence was checked weekly by pill counting. Benznidazole rescue  
334 treatment at the end of study was offered for non-responders.

335 Patients were randomized to one of seven once-daily dosing regimens:

- 336 1. Fexinidazole 1200mg daily for 2 weeks followed by matching placebos for 6 weeks.
- 337 2. Fexinidazole 1200mg daily for 4 weeks followed by matching placebos for 4 weeks.
- 338 3. Fexinidazole 1200mg daily for 8 weeks.
- 339 4. Fexinidazole 1800mg daily for 2 weeks followed by matching placebos for 6 weeks.
- 340 5. Fexinidazole 1800mg daily for 4 weeks followed by matching placebos for 4 weeks.
- 341 6. Fexinidazole 1800mg daily for 8 weeks.
- 342 7. Placebos for 8 weeks.

343 After completion, patients were followed for 12 months. Pharmacokinetic samples were taken on  
344 day 0 (pre-dosing), on day 1, and then weekly for weeks 2-5 and then on weeks 9 & 10. Laboratory  
345 hematology and biochemistry samples were taken on day 0, weekly for weeks 2-10. The study was  
346 interrupted approximately three months later after the enrollment of 47 subjects due to toxicity  
347 concerns.

### 348 **Drug measurements**

349 Blood samples from the phase 1 studies were taken into lithium heparin tubes, centrifuged im-  
350 mediately and plasma was separated and stored at -70°C until bioanalysis. Fexinidazole and its  
351 sulfoxide (M1) and sulfone (M2) metabolites were analyzed on a Supelco Ascentis Express C18,

352 2.7 $\mu$ m, 50  $\times$  4.6mm I.D. column using a validated liquid chromatography–tandem mass spectrom-  
353 etry (LC-MS/MS) method. The plasma lower limit of quantification for fexinidazole, M1 and M2  
354 was 0.5, 10 and 10ng/mL, respectively. For clinical sampling, Whatman #903 Protsaver 5 spot  
355 paper purchased from GE Healthcare Bio-Sciences (France) was used. Upon arrival of the DBS  
356 samples in the bioanalytical laboratory and before analysis, a visual inspection of the quality of  
357 the spot was performed. A blood spot was considered valid if the following criteria were met: (i)  
358 spot diameter was equal or greater than 7mm; (ii) spot was spread symmetrically on both sides  
359 of the sampling paper; and (iii) spot was made from a single drop of blood and was dark red in  
360 color. The blood volume deposited onto the filter paper and position of the punch had no effect  
361 on the quantitation of the test compound. Hematocrit values between 30% and 50% were shown  
362 not to affect the accuracy of drug measurement.

363 The ratio of capillary blood fexinidazole, M1 and M2 metabolites to simultaneous plasma  
364 concentrations stayed constant over time at 0.59, 1.00 and 0.97, respectively, regardless of the  
365 plasma concentration of fexinidazole, M1, M2 and sampling time.

## 366 Pharmacokinetic analysis

367 The majority of the pharmacodynamic events of interest (evidence of hematological and liver re-  
368 lated toxicities) occurred in the dose finding assessment of fexinidazole in chronic Chagas disease  
369 patients. This trial had only sparse pharmacokinetic sampling. Thus the primary goal of the phar-  
370 macokinetic modeling exercise was to impute as reliably as possible the pharmacokinetic profiles  
371 of these patients. Previous work (in press) has shown that the metabolite M2 is the primary de-  
372 terminant of both efficacy and adverse events (QT interval prolongation). For this reason we used  
373 the pharmacokinetic profile of the slowly eliminated sulfone metabolite (M2) as the determinant  
374 of the exposure related adverse events. This metabolite is also the most stable amongst the three  
375 compounds, exhibiting the least inter-individual variability (6).

376 The pharmacokinetic data analysis was done using NONMEM V.7.4 (ICON Development So-  
377 lutions, Ellicott City). Molar units of the metabolite M2 concentrations were transformed into  
378 their natural logarithms and modeled using both 1 and 2 compartment disposition models with  
379 first order formation and elimination. Multiple candidate structural models were evaluated for the  
380 formation of the drug, using 0, 1, 2, or 3 transit compartments. The different structural models  
381 were evaluated on the dense data from the phase 1 studies. Scaling of parameters by weight was  
382 evaluated using the allometric relationship:  $(\frac{\text{weight}}{\text{median weight}})^{0.75}$  for clearances and a linear rela-  
383 tionship (ratio of weight to median weight) for volumes. A food-effect was also introduced as a  
384 covariate for volume of distribution (in some phase 1 studies the drug was given to fasting subjects  
385 whereas in all field trials the drugs were administered after food). The final estimation of the

386 model parameters used all data from Phase 1, *g*-HAT and Chagas field trials. Variation between  
387 these phase 1 and treatment trials (study and disease effects) were considered only as changes to  
388 the relative “absorption” parameter *F* (scaling parameter on *F*). The data from both the *g*-HAT  
389 and Chagas field trials are too sparse to estimate changes in absorption rate, clearance, or volume  
390 accurately. Trial specific effects were introduced in the model as categorical covariates with a linear  
391 effect in terms of percentage reduction for both clearance and volume parameters. Three categories  
392 were defined (NHVs, *g*-HAT trials, and Chagas trial). The final NONMEM model code is provided  
393 in the supplementary materials ([S5 Code](#)).

## 394 **Pharmacodynamic and statistical analyses**

395 All the pharmacodynamic and statistical analyses were performed using R software (R Core Team  
396 2016).

### 397 **AUlogC to quantify drug exposure**

398 From the dose finding study in Chagas disease the main pharmacodynamic events identified were  
399 delayed reductions in neutrophil counts and rises in plasma concentrations of liver transaminases.  
400 In pre-clinical and clinical studies there was no evidence for very slowly eliminated metabolites.  
401 Therefore, because of the long interval between drug exposure and these pharmacodynamic out-  
402 comes (i.e. after almost complete elimination of the drug and its active metabolites), the phar-  
403 macokinetic driver cannot be the concentrations at the time of the adverse effects but an overall  
404 summary of exposure with hysteresis in the concentration-effect relationship. In this work we use  
405 the total cumulative area under the log concentration curve (AUlogC) as the pharmacokinetic  
406 proxy for drug exposure.

### 407 **Estimating exposure-response curves**

408 This was a retrospective exploration of the relationships between total drug exposure and the  
409 main observed adverse events. All PK-PD models were fitted in a Bayesian framework using *stan*  
410 (11) with weakly informative priors for all parameters. Posterior distributions are given in the  
411 supplementary materials [S3 Fig](#) & [S4 Fig](#). Model code and exact prior specification are provided  
412 in the supplementary materials ([S6 Code](#)).

413 Six pharmacodynamic outcomes were examined, four hematological (blood neutrophil counts,  
414 platelet counts, lymphocyte counts, and hemoglobin), and two related to liver toxicity (serum  
415 AST and ALT fold changes). The “steady state” dynamics of these four hematological parameters  
416 are substantially different. In the absence of biological perturbations, the red cell count and thus  
417 the blood concentration of hemoglobin is a very stable process within an individual, with daily

418 variations of around  $\pm 5$  g/dL. For individuals in the Chagas study, this corresponds to variations  
419 of approximately 3% around baseline. Neutrophil, platelet and lymphocyte counts exhibit much  
420 larger variations at steady state, with baseline intra-individual variation of up to 50% in this  
421 dataset. For this reason, quantifying time dependent changes to these four processes necessitates  
422 different methodologies. For example, large variations of  $\pm 50\%$  in neutrophil counts above a lower  
423 threshold count of  $1000/\mu L$  are considered normal, whereas a decrease of 10% in hemoglobin could  
424 be considered clinically relevant even when staying within the “normal range” bounds. In order to  
425 account for these differences in temporal dynamics, we analyzed both the absolute values (nadir  
426 values for hematology and peak values for liver transaminases observed after start of treatment) and  
427 the relative values (maximum relative decreases or increases from baseline, respectively). Individual  
428 baseline values were calculated as the mean value observed in the pre-treatment visit and the day  
429 0 visit (start of fexinidazole treatment), i.e. two values per person. For transparency we present  
430 results from both analyses for all pharmacodynamic outcomes. Throughout, models based on the  
431 absolute PD values are denoted the *absolute models*, and those based on the relative changes are  
432 denoted the *relative models*.

433 To estimate the exposure-response curves for the hematological parameters and the liver toxicity  
434 outcomes, we fitted four parameter sigmoid functions, defined as:

$$f(x) = E_{\min} + \frac{E_{\max} - E_{\min}}{1 + e^{k(x-EC_{50})}}, \quad (1)$$

435 where  $f$  is the pharmacodynamic outcome of interest modeled as a function of drug exposure  $x$ ;  
436  $E_{\min}$  is the baseline mean outcome under no or negligible drug exposures;  $E_{\max}$  is the asymptotic  
437 maximal effect for high drug exposures;  $EC_{50}$  is the drug exposure corresponding to  $\frac{E_{\max} + E_{\min}}{2}$   
438 (half-maximal effect concentration); and  $k$  parameterizes the slope of this sigmoid relationship. In  
439 the *stan* specification of the model, the slope parameter  $k$  was explored in log space to improve  
440 convergence.

441 The *relative models* (which can have both positive and negative outcome values) use an normal  
442 additive error term parameterized by its standard deviation  $\sigma_{add}$ . The *absolute models* (only  
443 positive outcomes) use a proportional normal error term  $\sigma_{prop}$ :  $\log(y) \sim N(f(x), \sigma_{prop})$ , where  $y$   
444 is the observed outcome and  $f(x)$  is the sigmoid regression mean prediction.

#### 445 **Post-hoc evaluation of an exposure-response relationship**

446 This was a post-hoc PK-PD analysis and as such was prone to data dependent analyses and false  
447 positive results (12). We attempted to minimize this danger by avoiding an analysis contingent on  
448 significant p-values of a null hypothesis that there is no exposure-response relationship (which can  
449 be difficult to control properly for multiple comparisons) and instead summarized the posterior

450 evidence for exposure dependent PD outcomes.

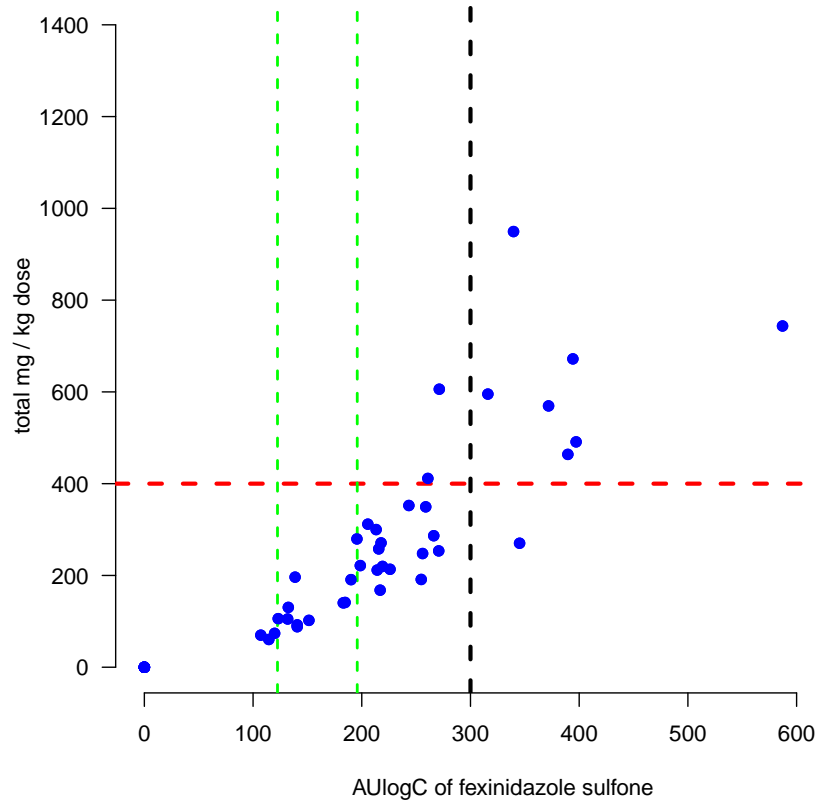
451 The “null model” for equation 1 is one for which there is no exposure dependent PD outcome,  
452 and can be defined as the model for which  $E_{\min} = E_{\max}$ . Thus the model collapses to a simple zero-  
453 order linear trend (slope coefficient of zero). The posterior evidence of the “null model” is quantified  
454 by the overlap between the marginal posterior distributions over  $E_{\max}$  and  $E_{\min}$ . This overlap is  
455 quantified by the *overlapping coefficient*, defined as a distance metric between two densities  $f$  and  
456  $g$  with the same support (13):

$$OVL(f, g) = \int_X \min(f(x), g(x)) dx \quad (2)$$

457 This is an intuitive measure of the overlap between two arbitrary densities of same support  $f$   
458 and  $g$ , with values varying between 1 (complete agreement) and 0 (complete disagreement).

#### 459 **Predicting toxicity in the fexinidazole regimen recommended for $g$ -HAT**

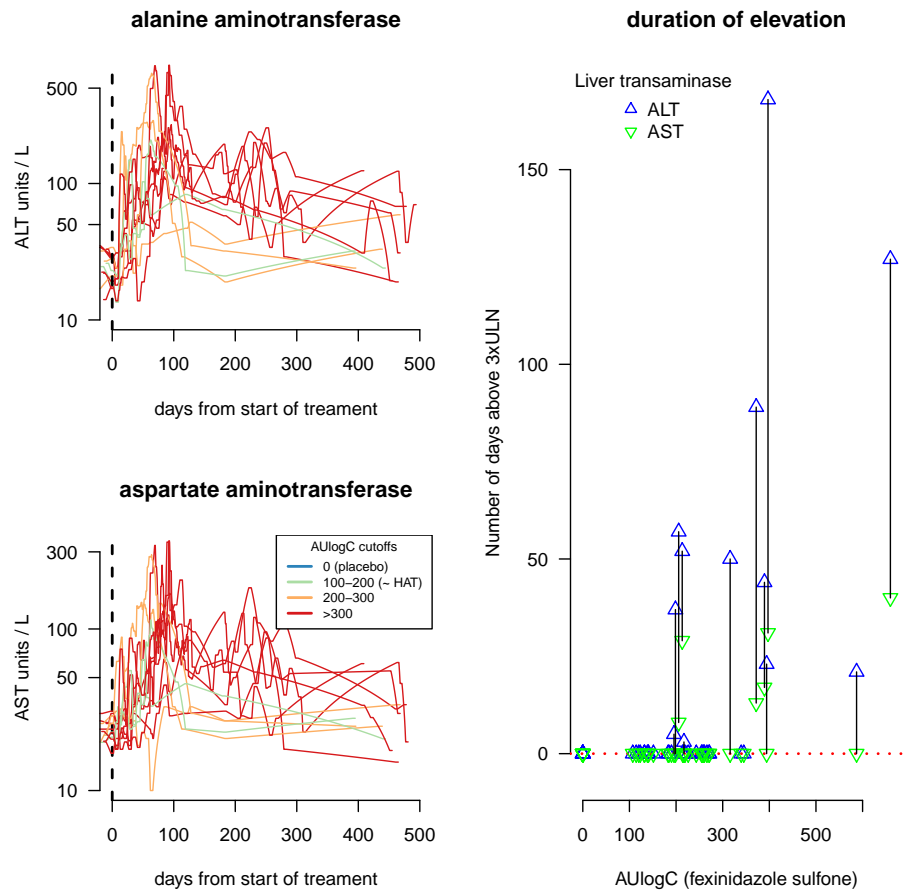
460 The trial of fexinidazole in chronic Chagas disease had regular full blood counts (weekly up to  
461 week 10, then monthly) providing reasonable confidence that the observed time-series patterns  
462 for hematological parameters and liver function are indicative of the true underlying patterns.  
463 For the three  $g$ -HAT field trials, weekly blood counts in the weeks following treatment were not  
464 performed. Some late measurements were taken in FEX004 (late stage adults), and most patients in  
465 the FEX005 and FEX006 trials had one late measurement (around week 10). Thus the distribution  
466 of nadir relative decreases for neutrophil counts is expected to be different between the two studies  
467 because of the trial design. Because of the biases introduced by these different trial designs and the  
468 differences in the two populations (for example, individuals of sub-Saharan African descent have  
469 lower neutrophil counts due to different genetic variants of the Duffy gene (14)) we cross predicted  
470 using only the *relative* models (nadir or peak values divided by baseline value).

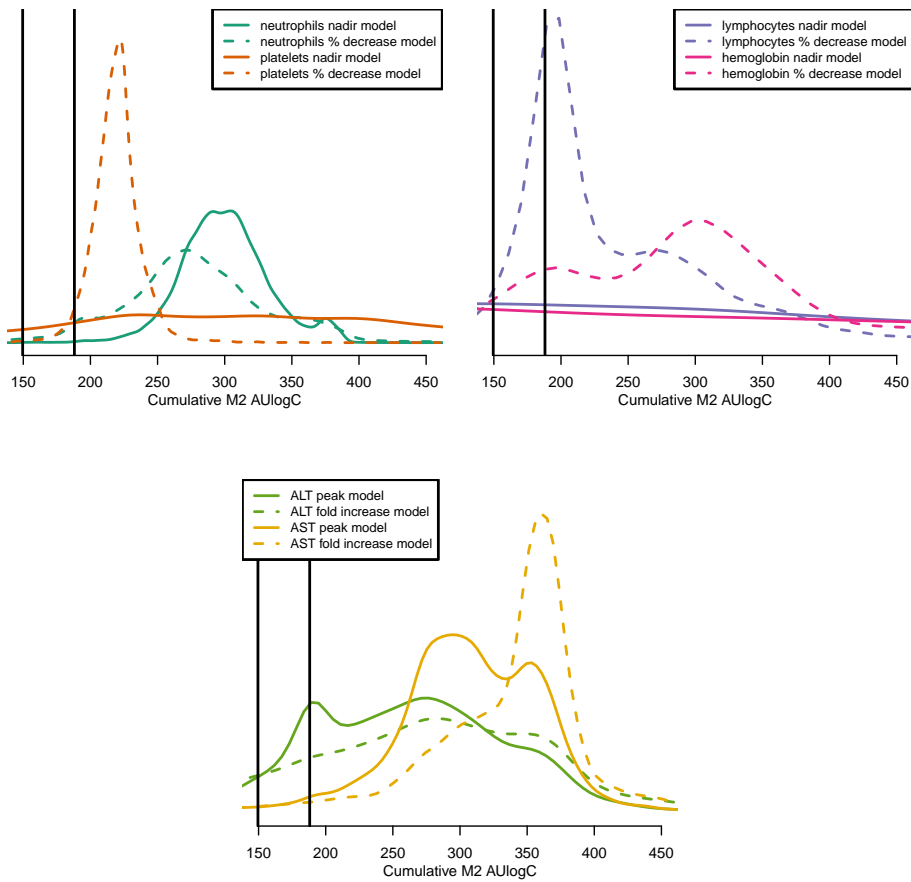


## 471 Supporting information

472 **S1 Fig. Relationship between the mg/kg dose and the total exposure to fexinidazole**  
473 **sulfone in Chagas disease patients.** The vertical green lines show the upper and lower ranges  
474 of fitted AUlogC exposures from plasma concentration data in *g*-HAT fexinidazole treated patients.  
475 The blue dots show the relationship between mg/kg total dose received and the AUlogC exposure.  
476 The black vertical line shows the estimated EC50 for the neutropenia drug effect. The horizontal  
477 red line shows our proposed safety cutoff threshold.

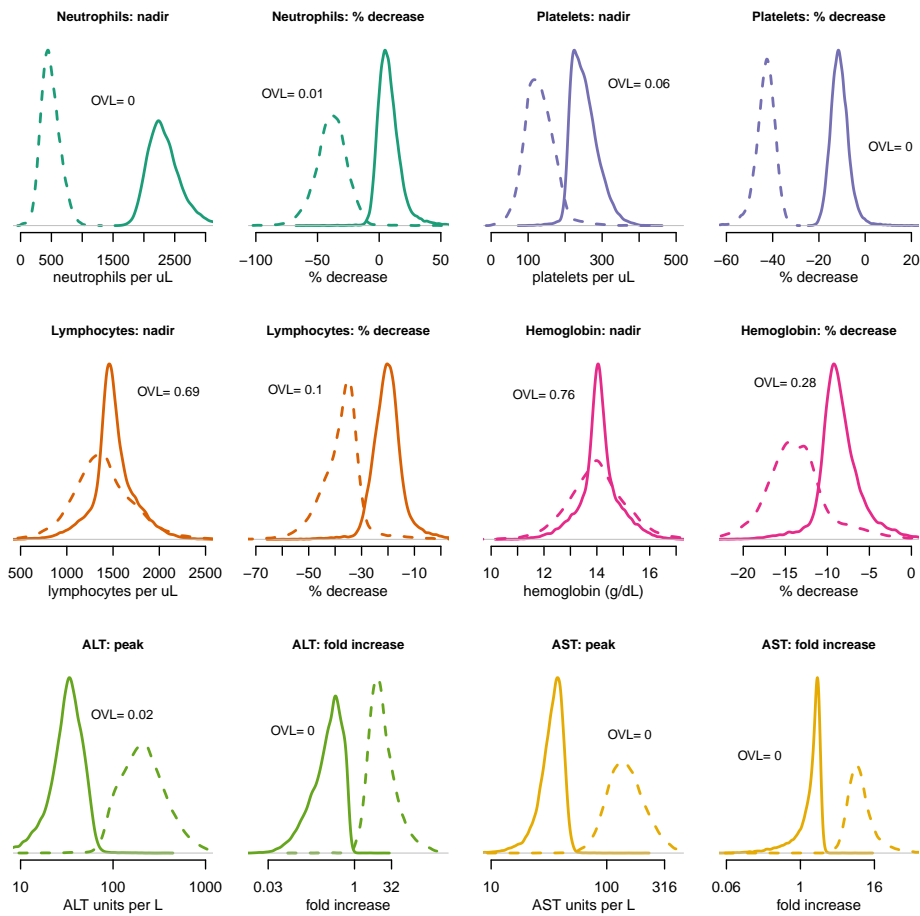
478 **S2 Fig. The duration of chronic elevated liver transaminases.** The two left panels show  
479 the time-series data for ALT (top) and AST (bottom) over the 500 days of follow-up post start of  
480 treatment. The right panel shows the relationship between pharmacokinetic exposure and duration  
481 of chronic elevation defined as the number of days above 3 x upper limit of normal (ULN). Black  
482 lines connect distinct durations of elevations for AST and ALT within the same individuals.





483 **S3 Fig. Posterior distribution of  $ED_{50}$  values for the fitted PK-PD relationships.** The  
484 vertical black lines show the ranges of exposures observed in the HAT regimen. The colored lines  
485 show the posterior distributions for each model. Dashed lines show the posterior fits for the *relative*  
486 models and the thick lines for the *absolute* models.





487 **S4 Fig.** Posterior distributions over the  $E_{\min}$  (thick lines) and  $E_{\max}$  (dashed lines)  
 488 values for all models and for all pharmacodynamic outcomes of interest. The overlap  
 489 coefficients (see equation 2) are given in text for each pair of marginal posterior distributions.

490 **S5 Code** NONMEM code used to fit the final structural model to all M2 data.

491 **S6 Code** Stan model code used to fit the exposure response relationships. This gives  
 492 the full prior distributions used to fit these PK-PD models.

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