

1 **Dynamics of microbial contaminants is driven by selection during ethanol**  
2 **production**

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12 Abstract

13 Brazil is the second largest ethanol producer in the World and largest using sugarcane  
14 feedstock. Bacteria contamination is one the most important issues faced by ethanol  
15 producers that seek to increase production efficiency. Each step of production is a  
16 selection event due to the environmental and biological changes that occur. Therefore,  
17 we evaluated the influence of the selection arising from the ethanol production process  
18 on diversity and composition of Bacteria. Our objectives were to test two hypothesis,  
19 (1) that species richness will decrease during the production process and (2) that Lactic  
20 Acid Bacteria will become dominant with the advance of ethanol production due to  
21 selection. Bacterial community assemblage was accessed using 16S rRNA gene  
22 sequencing from 19 sequential samples. Temperature is of great importance in shaping  
23 microbial communities. Species richness increased between the Decanter and Must  
24 steps of the process. Low Simpson index values were recorded at the fermentation step,  
25 indicating a high dominance of *Lactobacillus*. Interactions between *Lactobacillus* and  
26 yeast may be impairing the efficiency of industrial ethanol production.

27 Keywords: ethanolic fermentation, sugarcane, distillery, selection, microbial ecology

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## 30 1. Introduction

31 Ethanol is produced in industries worldwide by the microbial fermentation of the  
32 sugars. The yeast *Saccharomyces cerevisiae* is the main species used in ethanol  
33 industries, due to their ability of converting carbohydrates into ethanol, energy, cellular  
34 biomass, CO<sub>2</sub>, and other products [1]. Brazil is the second largest ethanol producer in  
35 the world, and has sugarcane as the main feedstock [2]. Since 1970, the use of ethanol  
36 as fuel for automotive vehicles has increased in Brazil, and decreased in 1990's due the  
37 reduction of subsidies aimed at ethanol industries. A new increase was recorded in the  
38 years 2000's with the advance of flex-fuel cars. Today most cars circulating in Brazil  
39 are flex-fuel [3].

40 There are two types of distilleries used in ethanol production plants in Brazil, fed-batch  
41 and continuous fermentation processes. At fed-batch fermentations, tanks are filled one  
42 at a time, and tank management and cleaning occur individually, as discrete events.  
43 However, in continuous fermentations all tanks are connected in a row and the process  
44 occurs simultaneously. The sugarcane juice, after undergoing consecutive treatments  
45 steps, is used as substrate for yeast growth and ethanol production [4]. In general,  
46 ethanol production consists of cane preparation, juice extraction, juice clarification,  
47 juice evaporation, must production, fermentation using yeasts and wine production. The  
48 wine follows to other distillation steps and yeast recycling [1].

49 Maintaining the equipment used in ethanol production within the industry clean is very  
50 important to avoid contamination by other microorganisms. Despite the caution in  
51 avoiding contamination, it happens and is tolerated [4]. Sugarcane juice has its own  
52 associated microbiome and, these microorganisms will be present in some steps of  
53 ethanol production. In addition, the soil from sugarcane roots also an important  
54 contamination source [1]. Microbial contaminant species may interfere directly in  
55 ethanol production competing with the yeast for nutrients during the fermentation  
56 process, producing components that may be toxic to the yeast (e.g., acetic and lactic  
57 acid)[5], and reducing the carbohydrate consumption and its conversion to ethanol by  
58 the yeast. Consequently, high costs in clean up the equipment arise, reducing the  
59 productivity in ethanol production [4–6]. The main contaminant taxon is a  
60 *Lactobacillus*, specifically a Lactic Acid Bacteria (LAB) found in high proportion  
61 during the fermentation procedure [7, 8].

62 Microbial communities are shaped by four main process: selection, ecological drift,  
63 dispersal and speciation [9] (or mutation [10]). Selection is the ability of species to  
64 survive and reproduce in different habitats influenced by physical, chemical and biotic  
65 factors. Ecological drift depicts the frequencies of species at a given location as a  
66 consequence of demographic fluctuations changes. Dispersal is the ability of species to  
67 reach and colonize a new location, and, speciation is the origin of new species by  
68 speciation events [9, 10]. We believe that the microorganism community structure in the  
69 ethanol production process will be determined by selection and ecological drift due the  
70 spatial isolation among production steps, which hinder dispersal. In addition, the time

71 from one ethanol production stage to the next is too short for speciation to occur, even  
72 in organisms of high reproduction rates [11]. Therefore, each step of production may be  
73 considered a selection event due the environmental and biological changes inherent to  
74 each stage. In this study we investigated the influence of selection in diversity and  
75 composition of Bacteria during ethanol production process.

76 Our objectives in this study were test two hypothesis (1) species richness will decrease  
77 during the process and (2) Lactic Acid Bacteria will become dominant with advance of  
78 the ethanol production by selection. Bacterial community assemblage was accessed  
79 using 16S rRNA gene sequencing from 19 samples. Microbial contamination is of great  
80 importance in the ethanol plant factory. This study can shed light as to how these  
81 microbial communities interact and how species succession occurs with advancing of  
82 ethanol production.

## 83 2. Material and Methods

### 84 2.1. Sampling and ethanol production process

85 Samples were aseptically collected from each step of the ethanol production process in  
86 August 2016 at the Bambuí Bioenergia SA distillery localized in rural area of Bambuí,  
87 state of Minas Gerais. We collected one sample from each production step. All samples  
88 were stored at  $-20^{\circ}\text{C}$  until further processing.

89 The consecutive steps of ethanol production (Figure 1) are sugarcane input, washing,  
90 and preparation, followed by sugarcane mill. A same sugarcane sample will pass  
91 through six sequential mills. The sugarcane juice is stored in different tanks in each  
92 mill, and is latter mixed in one tank. We collected seven samples in this stage: JuiceI,  
93 JuiceII, JuiceIII, JuiceIV, JuiceV, JuiceVI, and Mixed Juice. The juice was mixed from  
94 sample JuiceVI to Juice II, one by one, resulting JuiceI and a mixture of Juices (II – VI).  
95 JuiceI and the previous mixture of Juices (II – VI) were then mixed resulting in the  
96 Mixed Juice (Figure 1 – Mixed juice). After the milling step, mixed juice was sent to a  
97 heat exchanger (increasing temperature up to  $\approx 50^{\circ}\text{C}$ ), where liming was conducted.  
98 The liming process was carried out to regulate the pH of the solution to 6.2-6.6. The  
99 limed juice passed through two other heater exchange processes simultaneously,  
100 reaching the temperature of  $\approx 105^{\circ}\text{C}$ . In this stage we collected the samples HeaterI and  
101 HeaterII.

102 The high-temperature juice was sent to the evaporation step, where the non-condensable  
103 gases were removed from the juice and acrylamide was added as it promotes the  
104 agglomeration of flakes, increases sedimentation speed, etc. These treatments improve  
105 the efficiency of the next step – decantation. We collected four decantation samples,  
106 two before the material entered the decanter, and two after (DecanterI input, DecanterII  
107 input, DecanterI output, and Decanter II output). After decantation, the product was  
108 sifted and filtered, and the must (juice treated and evaporated) was sent to the heat  
109 exchanger to reduce temperature from  $\approx 85^{\circ}\text{C}$  to  $33\text{-}35^{\circ}\text{C}$ , temperature needed for  
110 yeast growth in fermentation tanks. We collected samples before and after the must

111 passed through the heat exchanger (MustI input, MustI output and MustII output). We  
112 were unable to collect must samples before it entered the heat exchanger II.

113 The low-temperature must was transferred to the first fermentation tank which was  
114 filled with yeast solution to up to 25% of its volume capacity. The volume of the tanks  
115 is then completed with must (3-4 hours), and the fermentation process takes place for  
116 the next 4-5 hours. The must runs through three sequential fermentation tanks, each of  
117 which were sampled: FermentationI, FermentationII and FermentationIII.

## 118 2.2. DNA extraction, library construction and sequencing

119 Total DNA was extracted from 250  $\mu$ l of homogenized juice using an E.Z.N.A.® Soil  
120 DNA Kit (Omega Bio-tek, Inc., Norcross, GA, USA) following the manufacturer's  
121 instructions with adaptations. DNA was quantified by measuring the absorbance of the  
122 sample at 260 nm using a NanoDrop ND-1000 spectrophotometer (Thermo Scientific,  
123 Waltham, MA, EUA) and Qubit® dsDNA HS (High Sensitivity) Assay (Life  
124 Technologies).

125 The primer set 341F (5'- CCTACGGGNGGCWGCAG-3') and 785R (5'-  
126 GACTACHVGG-GTATCTAATCC-3') [12] was used to amplify the hypervariable  
127 regions V3 and V4 of gene 16S. The Illumina adapter was used to build the 16S  
128 sequence library following the protocol provided by Illumina (Illumina, 2013). PCR  
129 mixtures contained 0.5  $\mu$ M each primer, 0.7U of Taq DNA Polymerase (Life  
130 Technologies, Carlsbad, CA., EUA), 1X Buffer, 4mM MgCl<sub>2</sub>, 0.2 mM dNTP, 0.3 mg/ml  
131 BSA (Bovine Serum Albumin) and 20 ng of the DNA template. 16S rDNA amplification  
132 began with a denaturation time of 3 min at 95 °C, followed by 35 cycles of 45 s  
133 denaturing at 95 °C, 1 min primer annealing at 57 °C, 45 s extension at 72 °C and final  
134 extension of 10 min at 72 °C. Amplifications were conducted in a Thermal cycler  
135 GeneAmp PCR System 9700 (Applied Biosystems, Foster City, CA). All 19 samples  
136 were sequenced on the MiSeq platform (Illumina, Inc., USA), using 2  $\times$  250 bp  
137 MiSeq V2 reagent kit.

## 138 2.4. Bioinformatics

139 We performed a bioinformatics analysis using the Brazilian Microbiome Project (BMP)  
140 pipeline [13]. BMP pipeline is a combination of the VSEARCH [14] and QIIME [15]  
141 software. The software VSEARCH was used to remove barcodes and primer sequences  
142 from a *fastq* file, filter sequences by length (*fastq\_trunclen* 400) and quality  
143 (*fastq\_maxee* 0.5), sort by abundance and remove singletons. After that, OTU was  
144 clustered and chimeras were removed. Two files were generated through a *fastq* file  
145 with filtered sequences and an OTU table in .txt. We assigned the taxonomy to OTU  
146 using the *uclust* method in QIIME version 1.9.0 and the SILVA 16S Database (version  
147 n128) as reference sequences [16]. The OTU table file was converted to BIOM and  
148 taxonomy metadata was added. Sequences were aligned, filtered and the phylogenetic  
149 tree constructed. Then, alpha and beta diversity were calculated using QIIME

150 commands. The nucleotide sequence data reported are available in the NCBI under  
151 BioProject PRJNA508648.

## 152 2.5. Statistical analyses

153 The mean Observed richness, Shannon, Simpson and Equitability values were  
154 compared among sampling groups using the Wilcoxon-Mann Whitney test [17]. A  
155 heatmap was built with the 20 most abundant OTUs using Ward's hierarchical  
156 clustering method (ward.d2) [18]. Moreover, we used a Principal Coordinates Analysis  
157 (PCoA) to compare similarities among samples and tested differences using a  
158 Permutational analysis of variance (PERMANOVA) [19]. All analyses were carried out  
159 using the statistical software R [20] (*qiimer*, *ggplot2* [21], *phyloseq* [22], and *vegan* [23]  
160 packages).

## 161 3. Results

### 162 3.1. Bacterial community diversity

163 A total of 51,047 sequences and 222 OTU<sub>0.03</sub> were obtained after bioinformatics  
164 analysis. The number of sequences in each sample had a high variability, ranging from  
165 951 sequences in SugarV to 11,159 in MustII-output (mean=2,687±2,338). The last step  
166 of the fermentation process had the highest richness (100 species), followed by MustI-  
167 input (82), and FermentationI (67). SugarIV was the sample with lowest richness (37).  
168 Shannon diversity index (H') showed values between 0.865 and 2.990. Simpson and  
169 Equitability values were similar among samples, considering the similar assumptions of  
170 the analysis. Simpson values ranged from 0.288 to 0.905 and Equitability from 0.238 to  
171 0.679 (Table 1).

172 Species richness was consistent in the first three steps (Sugar, Heater, and Evaporation),  
173 but we observed that richness increased in the Must step, followed by a low richness  
174 increase during Fermentation. Richness of the Evaporation and Must groups differed  
175 (Wilcoxon-Mann Whitney test,  $p = 0.044$ ) (Figure 2). The diversity indices measured  
176 (Shannon, Simpson and Equitability) exhibited similar results as regards abundance  
177 distribution among samples and groups of ethanol production steps. Diversity index  
178 values were high in the Sugar, Heater, Evaporation, and Must steps with mean values of  
179 1.977±0.546 (Shannon), 0.711±0.182 (Simpson), and 0.506±0.123 (Equitability). When  
180 must was transferred to the fermentation tanks, these values decreased (mean values  
181 1.208±0.102, 0.423±0.033, and 0.287±0.411, respectively; Suppl. figures 1-3 for  
182 diversity indices). These differences were tested by Wilcoxon-Mann Whitney test and  
183 no significant difference was found.

### 184 3.2. Bacterial community composition

185 The community composition of all samples was comprised mostly Firmicutes (76.43%),  
186 Proteobacteria (19.46%), and Cyanobacteria (3.71%) (Suppl. Figure 4). The Firmicutes  
187 phylum was the most abundant in several samples and the sequences from this phylum  
188 were in the Bacilli class (75.31%; Suppl. Figure 5). Over 90% of the sequences in all

189 Juice samples were Bacilli, except in JuiceI, where 53.58% of the sequences were  
190 Bacilli, 21.83% were Alphaproteobacteria (Proteobacteria) and 13.56% of Chloroplast  
191 (Cyanobacteria). Bacilli were highly dominant in Heater samples (on average 94.62% of  
192 the sequences), and the mean was 81.45% in Fermentation samples. The relative  
193 abundance for the Evaporation and Must samples was a similar to that recorded for  
194 JuiceI.

195 The relative genera abundance showed a great variability among the ethanol production  
196 steps (Figure 3). The production steps are linear starting with sugarcane mill and juice  
197 production. The first juice obtained was JuiceI and comprised the genera *Weissella*  
198 (27.3%), *Leuconostoc* (22.01%), and *Oryza mayeriana* (rice; 19.67%). The abundance  
199 of *Lactobacillus* increased slightly from JuiceII to JuiceIV (11.65%), *Weissella* showed  
200 a high increase to 79.27%, and *Leuconostoc* a high decrease to 0.55%. JuiceV had a  
201 high abundance of *Lactobacillus* (55.31%), followed by *Weissella* (28.18%) and  
202 *Streptococcus* (9.57%). JuiceVI had different proportions of these genera, specifically a  
203 *Lactobacillus* reduction to 29.61%, *Weissella* reduction to 8.67% and *Streptococcus*  
204 increase to 32.7%. The last step of juice production is mixing all juices in a same tank.  
205 The Mixed Juice sample had a high abundance of *Streptococcus* (43.54%), followed by  
206 *Lactobacillus* (37.34%) and a high decrease of *Weissella* abundance (0.37%). These  
207 patterns may also be observed in the heatmap figure (Figure 4).

208 The next step of ethanol production is the Heater step, in which the Mixed Juice passes  
209 through two heaters simultaneously (HeaterI and II). The *Lactobacillus* abundance in  
210 the juice after it passed through HeaterI was 49.55%, followed by *Anoxybacillus* with  
211 17.03% and *Streptococcus* with 12.63%. After HeaterII, *Lactobacillus* abundance  
212 increased to 61.91%, *Streptococcus* to 24.95% and *Anoxybacillus* decreased to 6.41%.  
213 The Juice passed through the heaters was sent to the decantation tank for the  
214 evaporation step (two tanks). We collected samples from the evaporation step before  
215 juice was directed to the tanks (Evaporation I input and Evaporation II input) and after  
216 the end of the evaporation process (Evaporation I output and Evaporation II output).  
217 Evaporation I input and Evaporation II input had a similar genera pattern, specifically,  
218 *Anoxybacillus* was the most abundant (34.38% and 42.19%, respectively), followed by  
219 *Oryza mayeriana* (19.64% and 28.86%, respectively). We observed a dominance of the  
220 genus *Bacillus* after evaporation processes, comprising nearly 80% of the sequenced  
221 genes Evaporation I output and 50% in Evaporation II output. *Acinetobacter* and  
222 *Geobacillus* were also abundant in Evaporation II output sample (21.48 and 14.32%,  
223 respectively).

224 The must produced after evaporation was sent to the heat exchanger to reduced  
225 temperature to the reach optimum temperature for the yeast. The most abundant genus  
226 in MustI input was *Acinetobacter* (31.44%), followed by *Lactobacillus* (17.43%) and  
227 *Streptococcus* (4.06%). The pattern changed in MustI output, with an increased  
228 *Acinetobacter* (46.43%) and *Streptococcus* abundance (24.09%) and decreased  
229 *Lactobacillus* abundance (2.01%). MustII output is a mixture of MostI and II output,

230 and showed a high abundance of *Zymomonas* (37.34%), followed by *Lactobacillus*  
231 (10.45%), *Streptococcus* (9.71%), and prominent reduction of *Acinetobacter* (0.95%).

232 The fermentation process occurs in three different and sequential tanks, FermentationI,  
233 II and III. *Lactobacillus* was the most abundant genus in all fermentation steps,  
234 specifically accounting for 80.39% of the sequences in FermentationI, 77.95% in  
235 FermentationII, and 79.83% in FermentationIII, followed by *Acinetobacter* and  
236 *Zymomonas*.

237 A Principal Coordinates Analysis (PCoA) was conducted with four distance matrices:  
238 Bray-Curtis (Figure 5), Jaccard, and Weighted and Unweighted UniFrac (Suppl. Figure  
239 6). The samples were sorted into five groups according to the step of production.  
240 Microbial communities present in samples from the same group, when using Bray-  
241 Curtis similarity matrix, were highly similar (PERMANOVA,  $F = 4.667$ ,  $R^2 = 0.55$ ,  $p =$   
242  $0.001$ ). We observed a high proximity between samples from Fermentation step (blue  
243 dots, figure 5). Juice samples were grouped in left side of the ordination plot (near the -  
244 0.2 position of Axis 1), and Must and Decanter samples were grouped in the center.

## 245 4. Discussion

### 246 4.1. Bacterial richness increase even after selection events

247 We observed that bacterial richness increased, instead decreasing as we expected in our  
248 first hypothesis. In this study, each step in the ethanol production process was  
249 considered a selection event for microbial interactions and/or environmental changes.  
250 Bacterial species richness was constant during first three steps (Juice, Heater, and  
251 Decanter). However, richness increased from the Decanter to the Must steps (Figure 2).  
252 This result may be explained by a contamination with microorganisms after the  
253 decantation process.

254 Industrial ethanol production is not a sterile process, microbial contamination is  
255 common and identified as an important problem regarding ethanol production efficiency  
256 [4, 24]. The increase of microbial richness after the decantation process, as observed in  
257 this study, showed that selection events that occur before decantation may be efficient in  
258 controlling microbial communities. The samples may become contaminated during the  
259 transfer of decanted juice to must tanks, when the juice passes the rotary sieves, or  
260 during the evaporation system.

261 The species richness in Must samples was constant during the Fermentation step.  
262 However, evenness decreased in Fermentation samples, as we observed in the diversity  
263 indices values. Relative abundance is altered by selection, such that the environmental  
264 and biological condition may be ideal for the survival and reproduction of a species at a  
265 given time, and not at another [10]. Diversity indices based on observed richness and  
266 relative abundance, such as the Shannon, Simpson, and Equitability indexes, may be  
267 used to understand certain species and their contribution in abundance [25, 26].

268 However, the differences in diversity index values between the Must and Fermentation  
269 stages were not significant (Suppl. Figure 1-3).

#### 270 4.2. Selection process shaping microbial community

271 The high variance of the relative abundance of OTUs among the ethanol production  
272 steps may be influenced by temperature [4] and negative interactions with other taxon.  
273 The importance of these two variables for selection may be noted when observing the  
274 relative abundance of *Weissella*. *Weissella* exhibited a high abundance in Juice samples.  
275 However, its abundance was lower in the juice mixture, possibly due to a negative  
276 interaction with *Lactobacillus* and *Streptococcus*. This negative interaction may have  
277 reduced *Weissella* while increasing *Lactobacillus* and *Streptococcus* abundance values.  
278 The sequences rated as *Weissella* almost disappeared after the Heater steps (Figure 3). A  
279 recent study found lower abundances of *Weissella* in fermentation tanks,[8] as recorded  
280 in this study.

281 All of our sequences of *Weissella* were rated at species level as *Weissella confuse*.  
282 *Weissella* species are non-spore and gram-positive coccobacilli [27]. *W. confuse* is  
283 found in fermentation foods, but have also been associated to human diseases (sepsis  
284 and bacteremia) as an opportunistic pathogen [28]. These bacteria are  
285 heterofermentative, belong to the Lactic Acid Bacteria (LAB) group, some strain are  
286 able to growth at 45 °C and consume several types of carbohydrates (Fructose,  
287 Galactose, Maltose) [29]. *W. confuse* has been found in sugarcane samples [24, 30]. The  
288 reduced abundance of *Weissella* may be explained by a low ability to uptake  
289 carbohydrates from the environment when compared with other lactic acid bacteria,  
290 such as *Lactobacillus* and *Streptococcus*.

291 On the next steps, temperature increased twice to approximately 50 °C and to 105 °C.  
292 We observed that *Lactobacillus* and *Streptococcus* were the most abundant species in  
293 Heater samples, even under high temperatures. The relative abundance of *Lactobacillus*  
294 and *Streptococcus* decreased abruptly, while the abundance of *Anoxybacillus* and  
295 *Geobacillus* increased, occupying the habitat when juice samples are stored in decanter  
296 tanks, after undergoing the acrylamide treatment and being kept under constant  
297 temperature at 105 °C (Decanter input samples).

298 *Anoxybacillus* and *Geobacillus* are gram-positive Bacillaceae family, and have the  
299 morphology of rod or coccus [31, 32]. Both are moderately thermophilic, with optimal  
300 growth temperature of 50-62 °C and 55-65 °C for *Anoxybacillus* and *Geobacillus*  
301 respectively. These genera are found in several types of habitats, for example  
302 geothermal springs, manure, and milk-processing plants. An interesting observation  
303 about these two genera is their ability to form endospores. *Geobacillus* have a  
304 widespread distribution in world, even in cold habitats [33]. *Anoxybacillus spp.* are  
305 alkaliphilic or alkalitolerant, but are able to grow under neutral pH [34]. The high  
306 abundance of *Anoxybacillus* and *Geobacillus* in the decantation step may be explained



307 by their capacity of tolerating high temperatures, unlike others species. Thus, they  
308 dominated habitat due to the high temperature and absence of competitors.

309 The juice passed through rotary sieves and another evaporation system during the  
310 transportation of the juice from Decanter to Must tanks. The temperature of the  
311 decanted juice before entering Must tanks ranged from 85-90 °C, and its microbial  
312 communities were dominated by *Bacillus*. This temperature reduction was enough to  
313 create conditions for *Acinetobacter* growth, as confirmed on the next step with a high  
314 increase of its relative abundance. *Acinetobacter* is gram-negative Protobacteria and has  
315 been found in soil environments [35]. These bacteria may have come with sugarcane  
316 roots, despite the washing steps.

317 When the temperature of the MustI output sample was reduced to 33-35 °C, the  
318 abundance of *Acinetobacter* remained high, but abundance of *Streptococcus* began to  
319 increase. This sample was mixed with MustII output, and presented a high increase of  
320 *Zymomonas* abundance and decrease of *Acinetobacter* under mesophilic conditions. The  
321 genus *Zymomonas* has only one species, *Zymomonas mobilis*. *Z. mobilis* is a gram-  
322 negative Alphaproteobacteria, facultative anaerobic and rod-shaped, it can produce  
323 bioethanol by the Entner–Doudoroff pathway [36]. This species has been studied for  
324 industrial ethanol production, and it has advantages over *Saccharomyces cerevisiae*,  
325 such as the high ethanol tolerance [37]. The high abundance at this moment may be  
326 explained by optimal temperature conditions and the absence of other fermentative  
327 microorganisms in high abundance. *Lactobacillus* became dominant and *Zymomonas*  
328 abundance reduced to a stable proportion when Must was sent to fermentation tanks and  
329 yeast was added.

330 We also observed a high similarity in the composition of the microbial communities  
331 within samples from the same group (i.e., fermentation step; Figure 5). Samples from  
332 Juice group had low within-group similarity values (yellow-mustard dots). However,  
333 when Mixed Juice was submitted to the first step of juice preparation (HeaterI and II),  
334 the communities present became highly similar (red dots). Therefore, communities were  
335 selected by the increased temperature and pH regulation with liming. These results may  
336 be explained by the different environmental variables and inter-specific interactions  
337 present at each community, in each production step.

#### 338 4.3. High abundance of *Lactobacillus* during fermentation

339 Our second hypothesis was that Lactic Acid Bacteria (LAB) would become with the  
340 advance of ethanol production, due to selection. *Lactobacillus* was the most abundant  
341 bacteria in the Fermentation stage. Other LAB, such as *Weissella*, showed a lower  
342 abundance and *Leuconostoc* was not present at this ethanol production step. Several  
343 studies have recorded *Lactobacillus* as dominant species during the fermentation of  
344 sugarcane juice. Costa et al. (2015) reported that almost 100% of the sequences were of  
345 *Lactobacillus* species, while Bonatelli et al. (2017) reported a prevalence of 92 to 99%.

346 The main selection factors present during the fermentation step were temperature (30-32  
347 °C), high abundance of yeast competing for carbohydrates, and ethanol produced during  
348 carbohydrate fermentation [4, 7]. Ethanol is a toxic compound for most of the  
349 microorganisms present in sugarcane juice during fermentation [24, 38]. *Lactobacillus*,  
350 *Zymomonas*, *Acinetobacter*, *Enterococcus* and *Weissella* were resistant, but  
351 *Lactobacillus* was a better competitor in carbohydrate uptake than the others and  
352 became dominant because of its fast growth [39].

#### 353 4.4. Conclusions and remarks

354 Microbial communities varied greatly among the ethanol production process, with main  
355 changes occurring due to the influence of temperature. Thus, temperature was identified  
356 as an important selection factor. Our first hypothesis was rejected, in contrast with our  
357 expectations, given that species richness increased between Decanter and Must samples.  
358 Our second hypothesis was corroborated by the compositional data, which show a high  
359 abundance of *Lactobacillus* sequences in the Fermentation step. However, no  
360 significant differences were recorded among the diversity indices of the production  
361 steps. The effort made to avoid contamination in ethanol industries and the  
362 identification of temperature as important selection factor, is not enough to avoid the  
363 prevalence of *Lactobacillus*, a major competitor with yeast for resources in this system.

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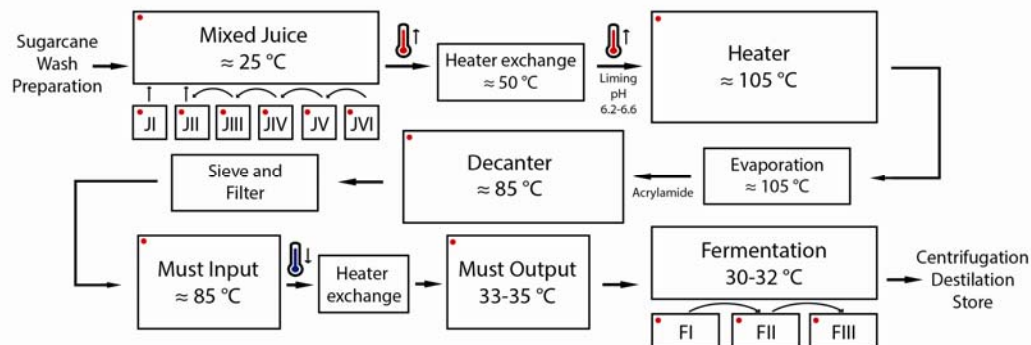
482 Tables

483 Table 1. Richness and diversity for in each step of ethanol production.

Samples	Richness (S)	Shannon (H')	Simpson	Equitability (J')
SugarI	46	2.162	0.831	0.565
SugarII	50	1.650	0.564	0.422
SugarIII	38	0.865	0.288	0.238
SugarIV	37	1.039	0.338	0.288
SugarV	41	2.073	0.801	0.558
SugarVI	65	2.510	0.852	0.601
Mixed Sugar	45	2.165	0.776	0.569
HeaterI	44	2.237	0.798	0.591
HeaterII	36	1.744	0.698	0.487
DecanterI input	42	2.038	0.802	0.545
DecanterII input	58	1.618	0.715	0.399
DecanterI ouput	42	1.569	0.565	0.420
DecanterII ouput	42	2.258	0.823	0.604
MustI input	82	2.990	0.905	0.679
MustI output	63	2.151	0.800	0.519
MustII output	60	2.561	0.835	0.626
FermentationI	67	1.098	0.389	0.261
FermentationII	49	1.302	0.455	0.335
FermentationIII	100	1.224	0.428	0.266

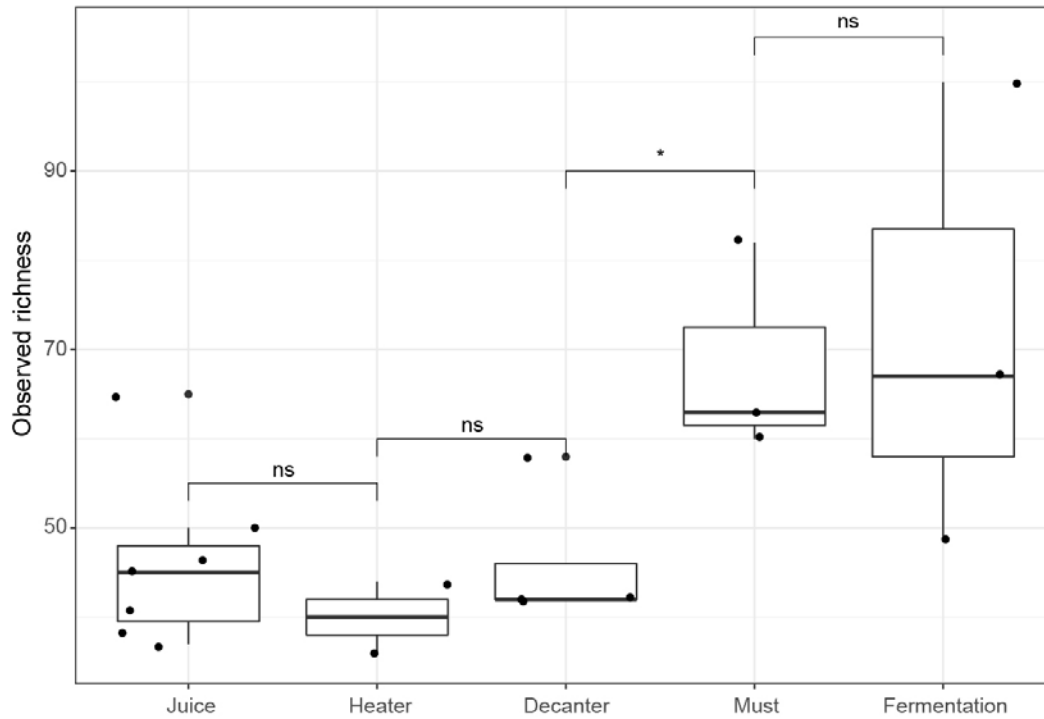
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485 Figures



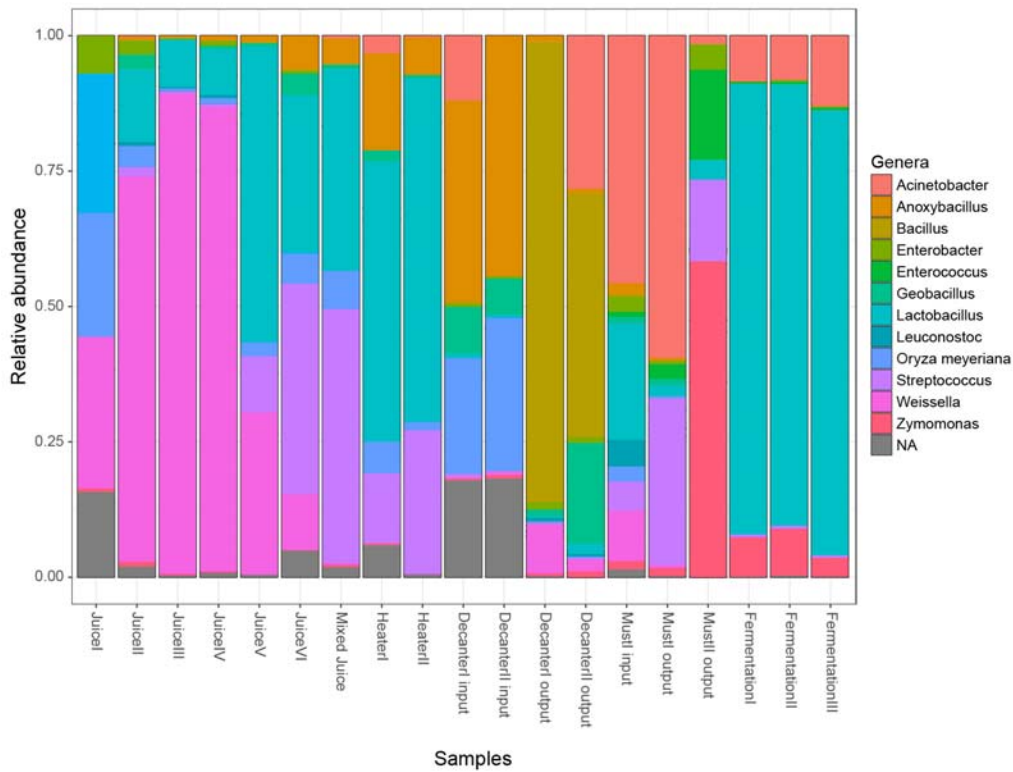
486

487 Figure 1. Arrangement of the main ethanol production steps. Red dots show the steps  
 488 sampled.



489

490 Figure 2. Species observed in each step of ethanol production.

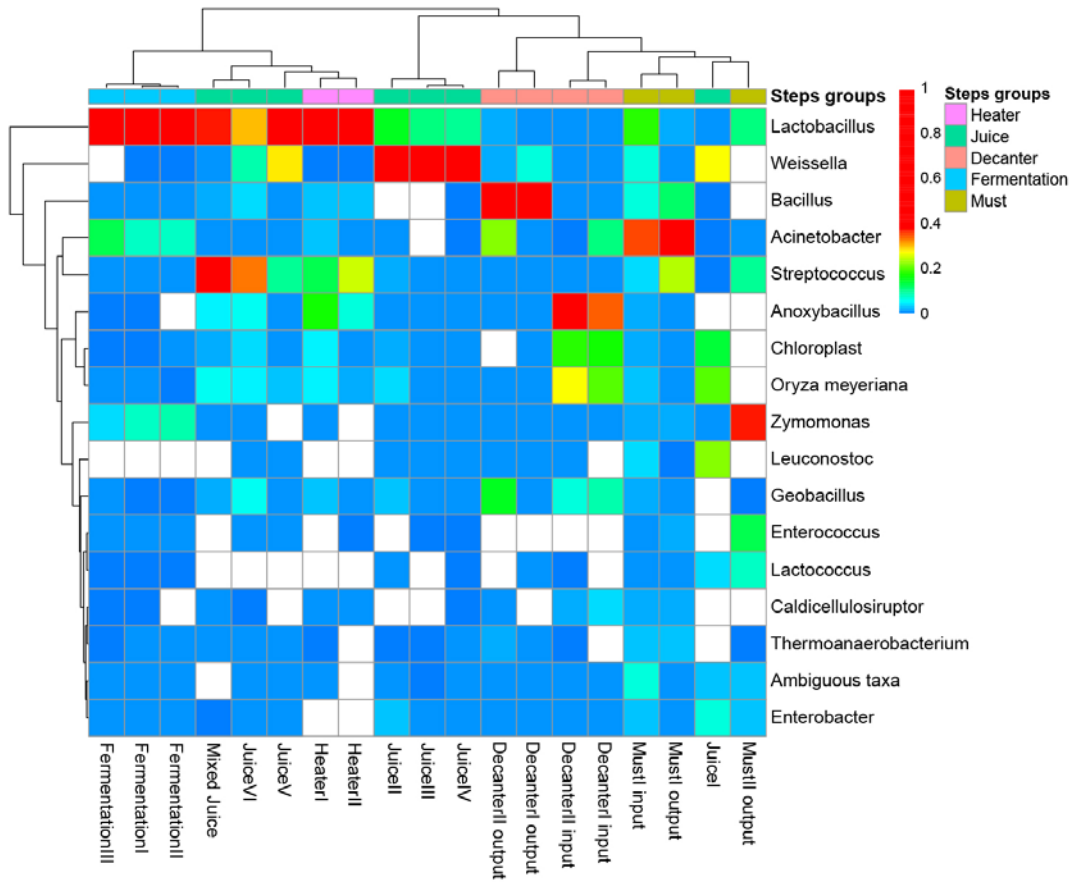


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493 Figure 3. Relative abundance of the main genera found in each step of ethanol

494 production.

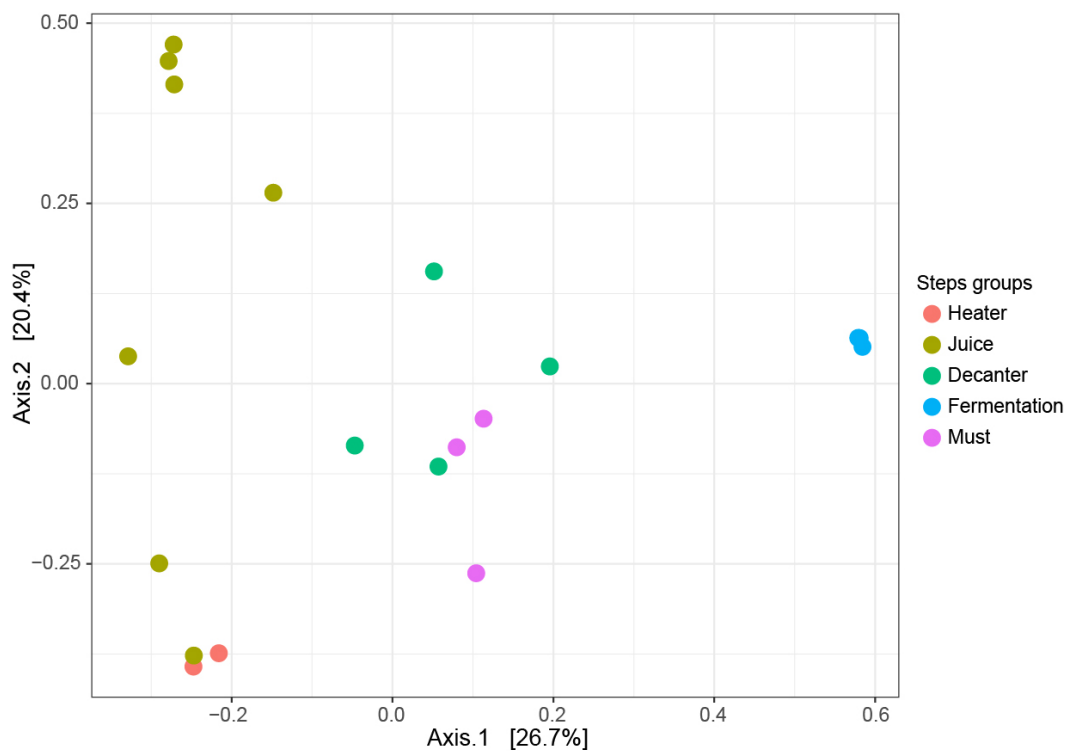


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496 Figure 4. Heatmap of the 20 most abundant OTU<sub>0.03</sub> found in each step of ethanol

497 production.





498

499 Figure 5. Principal Coordinates Analysis (PCoA) using Bray-Curtis dissimilarity matrix

500 for the samples of ethanol production process.