

1 Salivary proteins of a gall-inducing aphid and their impact on early gene responses of susceptible and  
2 resistant host-plant genotypes

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19  
20 Abstract  
21 Plant manipulation by herbivores requires fine-tuned reprogramming of host metabolism, mediated by  
22 effector molecules delivered by the parasite into its host. While plant galls may represent the epitome of  
23 plant manipulation, secretomes of gall-inducers and their impact on host-plants have been rarely  
24 studied. We characterized, with transcriptomic and enzymatic approaches, salivary glands and saliva of a

25 gall-inducing aphid, *Phloeomyzus passerinii*. Early responses to aphid saliva of plant genes belonging to  
26 different metabolic and signaling pathways were assessed *in vivo*, with poplar protoplasts, and *in planta*,  
27 in a heterologous *Arabidopsis* system. Several effectors potentially interfering with plant signaling have  
28 been identified, including binding proteins, oxidoreductases, and phosphatidylinositol phosphate  
29 kinases. Compatible interactions between protoplasts of a susceptible poplar genotype and the saliva of  
30 *P. passerinii* led to an overall downregulation of defense-related genes while an upregulation was  
31 observed during both incompatible interactions, with a resistant poplar genotype, and non-host  
32 interactions, with the saliva of *Myzus persicae*, an aphid which does not feed on poplars. Compatible  
33 interactions affected both auxin transport and homeostasis potentially leading to an intracellular  
34 accumulation of auxin, which was further supported by *in planta* assays. Our results support the  
35 hypothesis that effectors interfere with downstream signaling and phytohormone pathways.

36

37 Keywords

38 Effector, *Myzus persicae*, *Phloeomyzus passerinii*, plant-insect interaction, *Populus*, resistance, RT-qPCR

39

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42 1 Introduction

43

44 Nutritional imbalance and defense mechanisms are major hurdles hampering plant resources  
45 exploitation by animals (Schoonhoven, Van Loon, & Dicke, 2005). To cope with this, some herbivores  
46 have evolved strategies consisting in remodeling plant tissues to turn them into optimal substrates for  
47 their development and fitness (Lieutier et al., 2017). The epitome of such plant manipulation is certainly  
48 gall induction, triggering sometimes spectacular and complex tissue reorganization resulting in new plant  
49 organs, within which parasites feed and grow (Stone & Schönrogge, 2003; Shorthouse, Wool & Raman,  
50 2005; Giron, Huguët, Stone & Body, 2016). To adapt plant tissues to nutritional requirements of parasites  
51 and to circumvent plant defenses, gall development implies fine-tuned metabolism reprogramming, and  
52 direct modulations of both primary and secondary metabolisms of host plants have been reported for  
53 gall-inducing organisms (Giron et al., 2016). More specifically, since they are important regulators of  
54 plant growth, differentiation and defense, phytohormones are classical targets of plant-manipulating  
55 organisms, and considered key factors intimately involved in the success or failure of gall differentiation  
56 (Tooker & Helms, 2014; Giron et al., 2016).

57 Host metabolism hijacking by plant-manipulating organisms is considered to be mediated by effector  
58 molecules delivered into the host-plant (Hogehout & Bos, 2011; Giron et al., 2016). Effector proteins  
59 secreted by herbivores in general have various functions, including suppression of plant defense,  
60 alteration of plant development, and manipulation of plant resources (Hogehout & Bos, 2011; Giron et  
61 al., 2016). For gall-inducing organisms, similar functions are expected, especially disruption or diversion  
62 of hormone-dependent pathways (Tooker & Helms, 2014) and inactivation of second messengers  
63 involved in stress signaling like  $Ca^{2+}$ , reactive oxygen species (ROS) and extracellular ATP for instance  
64 (Will, Tjallingii, Thönnessen & van Bel, 2007; Guiguët et al., 2016). While there is a growing literature  
65 dealing with the identification of these effectors in herbivores, like aphids (e.g. Harmel et al., 2008;

66 Nicholson, Hartson & Puterka, 2012; Vandermoten et al., 2014; Boulain et al., 2018), few investigations  
67 have been performed on secretions of gall-inducing organisms, with notable exceptions of the Hessian  
68 fly (*Mayetiola destructor*, Say) and root-knot nematodes (*Meloidogyne* spp.) for instance (e.g. Zhao et al.,  
69 2015; Favery, Quentin, Jaubert-Possamai & Abad, 2016). So far, the Hessian fly is the only gall-inducing  
70 insect with a sequenced genome, and whose salivary gland transcriptome and proteome have been  
71 studied (Stuart, Chen, Shukle & Harris, 2012; Zhao et al., 2015). Candidate genes with effector functions  
72 have been detected and gene-for-gene interaction between insect strains and host-plant genotypes has  
73 been demonstrated (Stuart et al., 2012; Zhao et al., 2015; 2016). How these effectors contribute to gall  
74 induction is still unclear, but it is hypothesized that they interfere with downstream signaling and  
75 phytohormone pathways (Zhao et al., 2016).

76 To validate the relevance of candidate effectors for plant-insect interactions, several approaches have  
77 been used. *In planta* modulation of candidate effectors expression allowed to highlight impacts of  
78 effector proteins on physiological traits and behavior of insects and plant responses (e.g. Mutti et al.,  
79 2008; Atamian et al., 2013; Zhao et al., 2016). Alternatively, direct applications of oral secretions and  
80 saliva infiltration into host plants also gave conclusive results (e.g. De Vos & Jander, 2009; Chaudhary,  
81 Atamian, Shen, Briggs & Kaloshian, 2014). Nonetheless, probably because of their complex life cycles and  
82 generally concealed endophytic development, very few functional validation experiments have been  
83 performed with gall-inducing insects (e.g. Zhao et al., 2016). In-depth functional molecular approaches  
84 would allow to unravel the mechanisms that contribute to plant manipulation by these organisms and  
85 could possibly lead to identification of convergent mechanisms among them (Giron et al., 2016).

86 Here, we report the characterization of salivary proteins of *Phloeomyzus passerinii* Sign., a gall-inducing  
87 aphid colonizing poplars (Sallé, Pointeau, Bankhead-Dronnet, Bastien & Lieutier, 2017). As this insect can  
88 be easily handled under laboratory conditions, and its saliva can be collected on artificial medium, we  
89 performed innovative functional validation assays to address the impact of the saliva of a gall-inducing

90 organism on gene responses of its host-plant. Our objectives were (i) to characterize with transcriptomic  
91 and enzymatic approaches the salivary glands and saliva, respectively, of *P. passerinii*, (ii) to assess *in*  
92 *vivo*, with a RT-qPCR approach, early responses of poplar genes belonging to different metabolic and  
93 signaling pathways using protoplasts exposed to aphid saliva, and (iii) to investigate the impact of  
94 salivary extracts on specific gene expression *in planta*, in a heterologous *Arabidopsis* system. For all these  
95 steps comparative approaches have been used. The determined salivary proteins of *P. passerinii* were  
96 compared with those of a sap-feeding aphid *Myzus persicae* (Sulzer) (Harmel et al., 2008; De Vos &  
97 Jander, 2009) in order to identify proteins common to both aphid species as well as proteins specific to *P.*  
98 *passerinii*. To get insight into how *P. passerinii* manipulates host metabolism and stress responses to  
99 perform gall-induction, the impact of salivary extracts on gene expression has been assessed with poplar  
100 genotypes either susceptible or resistant to *P. passerinii*, i.e. during compatible and incompatible  
101 interactions, respectively. These poplar genotypes have also been exposed to salivary extracts of *M.*  
102 *persicae*, which led to non-host interactions since *M. persicae* does not feed on poplar.

103

## 104 2 Materials and Methods

105

### 106 2.1 Plant and insect material

107 *Phloeomyzus passerinii* is a specialist of poplars, inducing open galls in the cortical parenchyma of its  
108 host-trees and feeding on cell contents (Pointeau et al., 2012; Dardeau et al., 2014; Sallé et al., 2017).  
109 During gall induction in susceptible hosts, cell multiplication in cortical parenchyma is visible one week  
110 after the onset of aphid probing, and three weeks later thin-walled hypertrophied cells differentiate  
111 while vacuolar phenolic compounds disappear from the galled tissues (Dardeau et al., 2014). In resistant  
112 host genotypes, lignin, tannins and flavanols accumulate at the probing site one week after the onset of  
113 probing and no gall differentiation occurs subsequently. As a consequence, *P. passerinii* cannot develop

114 on these genotypes (Dardeau et al., 2014). All individuals of *P. passerinii* used either for transcriptomic  
115 analyses of salivary glands or saliva collection originated from the same monoclonal colony established  
116 from an apterous parthenogenetic female, collected in 2013 in Brézé (France). The colony was  
117 maintained in the laboratory on potted stem cuttings of I-214, a *Populus x canadensis* Moench.  
118 genotype, under  $20 \pm 1$  °C,  $70 \pm 10\%$  relative humidity and 16/8 h light/dark cycles. The green peach  
119 aphid, *Myzus persicae* is a sap-feeder and a generalist aphid. Nonetheless, its host range does not  
120 include poplars, and preliminary establishment attempts confirmed that it cannot settle and develop on  
121 poplars (data not shown). Therefore non-host interactions are expected between this aphid species and  
122 poplar genotypes. Individuals of *M. persicae* originated from a monoclonal colony established from an  
123 apterous parthenogenetic female collected in 1999 on a potato plant in Loos-en-Gohelle (France). The  
124 colony was maintained under the same controlled conditions as *P. passerinii*, on turnips (Vilmorin).

125  
126 For the protoplasts production, stem-cuttings of two *P. x canadensis* genotypes commonly planted in  
127 France, I-214 and Koster, were used for the experiments. I-214 is highly susceptible to *P. passerinii*,  
128 whereas aphids cannot settle on Koster which is consequently considered to be highly resistant to *P.*  
129 *passerinii* (Sallé et al., 2017). Consequently, compatible and incompatible interactions are expected  
130 between *P. passerinii* and I-214 and Koster, respectively, and non-host interactions should take place  
131 between *M. persicae* and both poplar genotypes. Stem cuttings (ca. 25 cm long, 2 cm diameter) were  
132 provided by the experimental nursery of Guéméné-Penfao (France). They were collected in the autumn  
133 of 2016, and kept at 2°C, in dry conditions until use. In January 2017, the stem-cuttings were removed  
134 from storage and planted in 0.4 L pots, filled with a sterile sand-compost (50:50) mixture (Klasmann  
135 substrate 4 no. 267). The cuttings were then transferred to a growth chamber ( $20 \pm 1$ °C,  $70 \pm 10\%$   
136 relative humidity, 16/8 h light/dark photoperiod, 2.65 kLx, and watered three times a week).

137 For *in planta* functional validation of the effects of salivary proteins, two *Arabidopsis thaliana* (L.) Heynh.  
138 transgenic lines were used, the auxin-responsive reporter *pAA2::GUS* (Bishopp et al., 2011) and the  
139 cytokinins-responsive reporter *pARR16::GUS* (European Arabidopsis Stock Centre). Seeds were sterilized  
140 with chloral gas, sown in Petri dishes on 0.8% (w/v) agar with 1% (w/v) sucrose-containing 0.5 Murashige  
141 and Skoog medium (MS), stored for 2 days at 4°C, and grown on vertically oriented plates in growth  
142 chambers under a 16/8 h light/dark photoperiod at 18°C.

143

## 144 2.2 Salivary transcriptome

145

### 146 2.2.1 Sample collection, RNA isolation and *de novo* transcriptome assembly

147 About 500 adults of apterous parthenogenetic *P. passerinii* aphids were dissected to collect pairs of  
148 salivary glands. Total RNA was extracted using the GeneJET RNA Purification kit (Thermo Fischer  
149 Scientific), according to manufacturer's instructions. RNA was DNase treated using RNase-Free DNase Set  
150 (Qiagen). RNA concentration was measured using the Qubit® RNA Assay Kit (Life Technologies) and a  
151 Qubit® 2.0 Fluorometer (Invitrogen). Construction of cDNA-library and sequencing were performed by  
152 Eurofins® Genomics using a MiSeq v3 Reagent Kit (600 Cycles PE, Illumina, USA) and a MiSeq sequencer  
153 (Illumina). For the *de novo* transcriptome assembly, 15,453,942 pair-ended reads were sequenced and  
154 assembled using Velvet (v1.2.10; Zerbino & Birney, 2008) and Oases (v0.2.8; Schulz, Zerbino, Vingron &  
155 Birney, 2012) software tools (table S1). A multi-kmer approach was applied. Separate assemblies with  
156 different kmer lengths have been conducted and the individual assemblies have been merged to a final  
157 assembly. Kmer lengths of 69, 89, 109 and 129 were used. The separate assemblies were merged  
158 following the filter1-CD-HIT-EST procedure proposed in Yang & Smith (2013). This Transcriptome  
159 Shotgun Assembly project has been deposited at DDBJ/EMBL/GenBank under the accession  
160 GHDF00000000. The version described in this paper is the first version, GHDF01000000.

161

## 162 2.2.2 Annotation, secreted proteins detection and identification

163 To perform comparisons with *M. persicae* the transcriptome of this aphid was retrieved on NCBI

164 (<http://www.ncbi.nlm.nih.gov/genbank/>, accession numbers: [DW010205](#) - [DW015017](#), [EC387039](#) -

165 [EC390992](#), [EE570018](#) - [EE572264](#), [EE260858](#) - [EE265165](#), [ES444641](#) - [ES444705](#), [ES217505](#) - [ES226848](#),

166 and [ES449829](#) - [ES451794](#)). Salivary transcriptomes have been annotated using the pipeline described in

167 figure S1. Transcripts were first translated into amino acid sequences using Prodigal (v2.5; Hyatt et al.

168 2010). We then used the SignalP 4.0 Server (v4.1) to predict the presence of signal peptides and cleavage

169 sites in the amino acid sequences (Petersen, Brunak, von Heijne & Nielsen, 2011). To predict

170 transmembrane domains, we submitted each amino acid sequence with a signal peptide to the TMHMM

171 Server (v. 2.0; Ji et al., 2013). Putative proteins with a signal peptide and no transmembrane domain

172 were considered to be potential secreted proteins. The sequences of complete ORFs without signal

173 peptide were analyzed again with SecretomeP (v2.0; Bendtsen, Jensen, Blom, von Heijne & Brunak,

174 2004). To remove mitochondrial proteins with a signal peptide, which are not secreted in the saliva,

175 sequences were analyzed with TargetP (v1.1; Emanuelsson, Nielsen, Brunak, & von Heijne, 2000).

176 Likewise, to remove proteins of the endoplasmic reticulum with a signal peptide, sequences were

177 analyzed with PS-scan (Prosite pattern: PS00014), and with PredGPI (Pierleoni, Martelli & Casadio, 2008)

178 for glycosylphosphatidylinositol-anchor signals.

179 The remaining proteins were first mapped against the non-redundant protein sequences (nr) using

180 Blastp (v2.3.0, NCBI, accessed on 03/30/2016), with an E-value cutoff at  $10^{-3}$ . Protein domains were

181 annotated with Blast2Go (v3.3; Conesa et al., 2005), and InterProScan (v5.30-69; Jones et al., 2014).

182 Whenever possible, protein sequences were assigned to Gene ontology (GO) terms with an E-value

183 cutoff at  $10^{-6}$ , enzyme codes (EC) and KEGG pathways.



184 OrthoVenn (<http://aegilops.wheat.ucdavis.edu/OrthoVenn>; Wang et al., 2015) has been used to identify  
185 orthologous proteins within and between salivary transcriptomes of the two aphids. Intraspecific  
186 orthologous proteins are first grouped into clusters, which are then compared between species. Each  
187 cluster has been annotated with the Uniprot database (<http://www.uniprot.org>; Pundir, Martin &  
188 O'Donovan, 2017) and the nr peptide sequence database (NCBI, accessed on 03/30/2016).

189 To detect proteins orthologous to salivary effectors of aphids, protein sequences of known aphid  
190 effectors, i.e. C002, ACE1, ACE2, ACYPI39568, ACYPI00346, MpC002, Mp1, Mp2, Mp42, Mp55, Me10,  
191 Me23 (Guo et al., 2014; Jaouannet et al., 2014; Pan, Zhu, Luo, Kang & Cui, 2015), have been compared to  
192 the salivary transcriptome of *P. passerinii* with Blastp (E-value  $\leq 1^{e-3}$ ).

193

## 194 2.3 Functional validation assays

195

### 196 2.3.1 Aphid saliva collection

197 Aphids secrete two types of saliva within their host-plants, liquid and solid saliva (Will, Steckbauer, Hardt  
198 & van Bel, 2012). The solid saliva is secreted during probing. It hardens rapidly and forms a solid sheath  
199 encasing aphid stylets within the host-plant, while the liquid saliva is secreted within cells and sieve  
200 tubes (Will et al., 2012). Both types of saliva contain effectors (e.g. Will et al., 2012; Elzinga & Jander,  
201 2013), and have been collected in our experiments. Because of the particular trophic substrates of *M.*  
202 *persicae* and *P. passerinii* (i.e. sap and galled tissues, respectively), a special protocol has been used for  
203 each species. The saliva of *P. passerinii* was collected after incubation of 30 to 40 individuals of 2<sup>nd</sup> and  
204 3<sup>rd</sup> instars aphids on sachets of Parafilm<sup>®</sup> membranes containing an artificial diet (Cherqui & Tjallingii,  
205 2000). The artificial diets were constituted by a disc of 0.5% (w/v) agar completed with 150  $\mu$ L of 15%  
206 (w/v) sucrose. The saliva of *M. persicae* was collected after incubation of 30 to 40 individuals, of 3<sup>rd</sup> and  
207 4<sup>th</sup> instars, on artificial diet containing 120  $\mu$ L of a 15% (w/v) sucrose as previously described by Cherqui

208 & Tjallingii (2000). Aphids were deposited in a feeding chamber during 24 h at 20°C, 60 ± 1% relative  
209 humidity and a 16/8 h light/dark period with 2.65 kLx. Feeding chambers containing the artificial diets,  
210 incubated in the absence of aphids, were used as control samples.

211 For *P. passerinii*, after 24 h aphid salivation, artificial diet discs were collected and transferred into 100  
212 µL of TE buffer (10 mM Tris, 1 mM EDTA, pH 8). The salivary proteins were released from the artificial  
213 diet according to Yang et al. (2010), with slight modifications. The tubes containing artificial diet discs  
214 were frozen in liquid nitrogen for 1 min, immediately thawed at 70°C for 3 min and then centrifuged at  
215 11,000 x *g* for 20 sec. To discard the excess of agar, salivary extracts were centrifuged in Sartorius tubes  
216 with filters of 0.22 µm. The supernatant containing salivary proteins of *P. passerinii* were collected,  
217 pooled and then stored at -20°C. For *M. persicae*, after 24 h salivation, aphid saliva was collected  
218 according to Harmel et al. (2008). The artificial diet is collected containing soluble saliva. The solid saliva  
219 was collected during the rinsing of each lower Parafilm membrane with TE buffer containing 0.1% (w/v)  
220 of Tween 20 (TE/Tween). The extracts were centrifuged at 10,000 x *g* for 15 min. The salivary proteins in  
221 the pellet were collected, pooled with the soluble saliva and then stored at -20°C.

222 The sample containing protein saliva extracts were concentrated using 2 mL Vivaspin<sup>®</sup> tube (Sartorius)  
223 with 3kDa cut-off. The tubes were then centrifuged at 5,000 x *g* for 70 to 120 min according to sample  
224 volumes, and proteins adhering to membranes were recovered by 100 µL of TE/Tween buffer. Control  
225 samples were prepared with artificial diets from feeding chambers without aphids. The protein  
226 quantification was performed by measuring absorbance at 280 nm with the NanoDrop<sup>®</sup> 1000  
227 (ThermoScientific).

228

### 229 2.3.2 Enzyme activities

230 Several enzyme substrates were added to the previously described artificial diets with or without  
231 agarose to detect enzymatic activities present in saliva excreted from the aphid. To visualize proteins in

232 the salivary sheaths, the lower Parafilm<sup>®</sup> membranes were stained by adding a drop of 0.01% (w/v)  
233 Coomassie blue in 10% (v/v) glycerol for 2 h. Dihydroxyphenylalanine (DOPA), 0.1% (w/v) was added to  
234 identify phenoloxidase activity (PO; catechol oxidase, EC 1.10.3.1). The enzymatic product, melanin,  
235 should stain salivary sheaths and halos around the sheaths. To detect peroxidase (EC 1.11.1.7) activity,  
236 artificial diets were immersed for some minutes in 0.1% (w/v) diaminobenzidine (DAB, Sigma) in 50 mM  
237 Tris (pH 7.5) containing 0.1% (v/v) H<sub>2</sub>O<sub>2</sub> (Sigma). The enzymatic product should induce reddish staining of  
238 salivary sheaths and halos. For identification of pectinase activity, 0.1% (w/v) of pectin (Sigma) was  
239 added to the medium. After exposure to aphids, the gel was transferred for 3 h into a Petri dish  
240 containing 50 mM citrate-phosphate buffer, at pH 5.0 to detect pectin (methyl) esterase (PME, EC  
241 3.1.1.11) and at pH 6.4 to detect polygalacturonase (PG, EC 3.1.1.15). The gel was then stained with a  
242 solution of 0.01% (w/v) ruthenium red (Sigma) for 1 h, and then washed several times with distilled  
243 water. At pH 6.4, red halos around the salivary sheaths indicate PME activity, while non-staining halos at  
244 pH 5 in the pink pectin indicate PG activity. Finally, for proteinase activity (EC 3.4.99), 0.5% (w/v) of  
245 gelatin (Sigma) was added to the medium. After exposure to aphids, the medium was incubated  
246 overnight in a solution of 50 mM Tris (pH 8) containing 100 mM NaCl and 10 mM CaCl<sub>2</sub>, then stained with  
247 Coomassie blue. An absence of blue staining shows proteinase activity. All observations of proteins and  
248 enzymatic activities were performed by light microscopy (Axioplan 2, Zeiss, Jena, Germany).

249

### 250 2.3.3 Poplar protoplast preparations and treatments

251 Mesophyll protoplasts of the two poplar genotypes were obtained from young leaves as described in Wu  
252 et al. (2009). Leaves were cut into 1–2 mm fine strips in 0.3 M sorbitol and 66.67 mM CaCl<sub>2</sub> (pH 5.6) and  
253 lysed in an enzyme solution (0.6 M mannitol, 0.25% (w/v) cellulase Onozuka R-10, 0.05% (w/v)  
254 macerozyme R-10) in the dark for 16 h with gentle shaking (30 rpm) at room temperature. Protoplasts  
255 were collected by filtering the lysis solution through a 70 µm cell strainer (Falcon<sup>®</sup>) and concentrated by

256 spinning down at  $\approx 800 \times g$  for 10 min at 4 °C. The pellet was washed twice with W5 buffer (154 mM  
257 NaCl, 125 mM CaCl<sub>2</sub>, 5 mM KCl, 5 mM glucose, 0.03% (w/v) MES, pH 5.8) and then resuspended in 0.6 M  
258 mannitol to a final concentration of  $1 \times 10^6$  protoplasts per mL. Protoplasts ( $1.10^6$ ) were incubated at  
259 20°C with gentle shaking (40 rpm) for 3 h with aphid salivary proteins or with protein extraction buffer  
260 (control). Preliminary experiments investigating expression of 10 poplar genes, and conducted with 1,  
261 10, 20, 40 or 80  $\mu\text{g}$  of salivary proteins, indicated that the optimal response (i.e. the maximum fold  
262 change) was observed with 1 and 10  $\mu\text{g}$  of salivary proteins of *P. passerinii* and *M. persicae*, respectively.  
263 Protoplast viability, before and after treatment with aphid saliva, was assessed using 0.005% (w/v)  
264 fluorescein diacetate (FDA). After 5 min of incubation protoplasts were observed under blue light  
265 epifluorescence, and cell viability was estimated as the percentage of fluorescent cells. Most protoplasts  
266 were intact and viable after enzymatic digestion with cellulase and macerozyme (98%), as well as after  
267 incubation with salivary proteins (95%; Fig. S2).

268

#### 269 2.3.4 Quantitative RT-PCR

270 After the aphid saliva treatments, protoplasts were centrifuged at  $\approx 800 \times g$  for 2 min. Total RNAs were  
271 extracted with the RNeasy® Plant Kit Mini Kit (Qiagen). A DNase treatment with the RNase-free DNase  
272 Set (Qiagen) was carried out for 15 min at 25°C. Total RNA concentration was determined using a  
273 Nanodrop ND-1000 spectrophotometer. All RNA samples were rejected if they did not reach a minimum  
274 concentration of  $100 \text{ ng } \mu\text{L}^{-1}$ , a 260 nm/280 nm ratio between 1.8 and 2.0. Poly(dT) cDNA was prepared  
275 from 1  $\mu\text{g}$  total RNA using the iScript™ cDNA Synthesis Kit (Bio-Rad) and quantified with a LightCycler  
276 480 (Roche) and SYBR GREEN I Master (Roche), according to the manufacturer's instructions. PCR was  
277 carried out in 384-well optical reaction plates heated for 10 min to 95°C to activate hot start Taq DNA  
278 polymerase, followed by 40 cycles of denaturation for 60 sec at 95°C and annealing/extension for 60 sec  
279 at 58°C. The distribution of the quantitative RT-PCR mix containing SYBR Green I Master (Roche), cDNAs

280 and primers was performed using the EVO150<sup>®</sup> (Tecan) pipetting robot in a 384-well plate. The  
281 expression of 43 genes, belonging to eight different physiological processes or metabolic pathways (i.e.  
282 auxin, cytokinins, jasmonates, ethylene, salicylic acid, phenolic compounds, reactive oxygen species  
283 (ROS), cell cycle), was quantified with specific primer pairs designed by Quant-Prime (Arvidsson,  
284 Kwasniewski, Riaño-Pachón & Mueller-Roeber, 2008) based on the *Populus trichocarpa* sequence (v3.0)  
285 from Phytozome (<https://phytozome.jgi.doe.gov/pz/portal.html>; Table S2). Expression levels were  
286 normalized to the levels of *PtUBIQUITIN10* (*PtUBQ10*), commonly used as a reference gene in plants (e.g.  
287 Tong, Gao, Wang, Zhou & Zhang, 2009). All RT-qPCR experiments were done with three independent  
288 biological replicates, with two technical replicates each. One of the biological replicates of the *M.*  
289 *persicae* – Koster interaction has been excluded from the analyses because of technical issues during  
290 quantification. Relative gene expression was calculated according to the  $\Delta\Delta C_p$  method, with protoplasts  
291 incubated with protein extraction buffer as controls. Primers used for gene expression analysis are listed  
292 in Table S2.

293

### 294 2.3.5 Histochemical analysis of GUS activity

295 Transgenic seedlings of *A. thaliana* (five-day-old and eight-day-old for *pIAA2::GUS* and *pARR16::GUS*,  
296 respectively) were incubated with 2 mL of liquid MS containing 1  $\mu$ g and 10  $\mu$ g of aphid salivary proteins  
297 (in TE/Tween buffer) of either *P. passerinii* or *M. persicae* for 3 h and 4 h for *pIAA2::GUS* and  
298 *pARR16::GUS*, respectively. Positive controls were incubated with 20  $\mu$ M of indole acetic acid (IAA)  
299 (Sigma-Aldrich), and 20  $\mu$ M of 6-benzylaminopurine (BAP) (Sigma-Aldrich). Negative controls were  
300 incubated in liquid MS and corresponding volumes of TE/Tween buffer. Five seedlings were used for  
301 each modality. Seedlings were then incubated in reaction buffer containing 0.1M sodium phosphate  
302 buffer (pH 7), 2mM ferricyanide, 2mM ferrocyanide, 0.1% (v/v) Triton X-100 and 1mgml<sup>-1</sup> X-Gluc for  
303 1 up to 24 h in dark at 37°C. Afterwards, chlorophyll was removed by destaining in 70% ethanol and

304 seedlings were cleared as described by Malamy and Benfey (1997). GUS expression was monitored by  
305 differential interference contrast microscopy.

306

#### 307 2.4. Data analysis

308 All tests were carried out with the statistical software R 2.11.0 (R Development Core Team, 2013). RT-  
309 qPCR results have been expressed as fold-changes in gene expression compared to the reference gene  
310 *PtUBQ10*. Fold-changes have been  $\log_2$ -transformed. Following this transformation, fold-changes varied  
311 between  $-\infty$  and  $+\infty$ , with negative values corresponding to gene underexpression, positive values to  
312 overexpression and zero, to no change in gene expression. Permutational multivariate anovas  
313 (permanovas), using 1000 permutations, were computed to test the effect of aphid species and host  
314 plant genotype on the simultaneous fold-changes in gene expression of the 43 considered poplar genes  
315 using the R package *vegan* (Oksanen et al. 2013). To visualize similarities and differences in fold-changes  
316 a heatmap was built, with genes in columns and modalities in lines. The heatmap was built with a Z-  
317 score, i.e.  $\log_2$ -transformed fold changes which have been normalized and centered per column.  
318 Hierarchical clustering with Euclidean distances was added to the heatmap to visualize the proximity  
319 among genes in columns and among modalities in lines.

320 A univariate model has also been used to analyze the effect of modalities (i.e. aphid species and host  
321 genotype) on fold-changes of each gene. The model equation was:

$$\log_{10}(y_{ij}) = \alpha_i + \varepsilon_{ij}$$

322 where  $y_{ij}$  is the fold-change for the modality  $i$  and the biological replicate  $j$ , and  $\alpha_i$  is the effect of the  
323 modality  $i$  on relative gene expression. The model error follows a normal distribution, with a null mean  
324 and a variance  $\sigma^2$ . There is no intercept in this model and 4 independent  $\alpha_i$  parameters were estimated,  
325 corresponding to each aphid – poplar genotype combination. These parameters were estimated with a  
326 Bayesian approach, with  $\alpha_i \sim N(0, 0.5^2)$  for prior. The parameters have been estimated with a Markov

327 Chain Monte Carlo algorithm and the package R brms (Buerkner, 2016). The maxima *a posteriori* and  
328 95% credibility intervals were calculated, with downregulation and upregulation probabilities for each  
329 gene. A credibility interval excluding 1 (i.e. the constitutive expression of genes) indicates a significant  
330 effect of aphid saliva on gene expression. This approach was selected because it allows to perform  
331 statistical analyses with a limited number of biological replications.

332

### 333 3 Results

334

#### 335 3.1 Annotation, secreted proteins detection and identification

336 From 36,312 and 3,233 transcripts, 1,243 and 221 transcripts were predicted to encode for secreted  
337 salivary proteins in *P. passerinii* and *M. persicae*, respectively. About half of them (604) have been  
338 annotated for *P. passerinii* and 190 for *M. persicae*. Using OrthoVenn, 121 and 58 protein clusters have  
339 been identified for *P. passerinii* and *M. persicae*, respectively. About 17% of these clusters were common  
340 between the two aphids (Table S3).

341 Blast2GO determined that *P. passerinii* salivary proteins were predominantly binding proteins and  
342 enzymes (Fig. 1, table S4). The most common enzymes were peptidases (especially serine-type and  
343 cysteine-type endopeptidases), kinases (especially phosphatidylinositol phosphate (PIP) kinases) and  
344 hydrolases. Several enzymes involved in the degradation of carbohydrates (i.e. cellulase, trehalase,  $\beta$ -  
345 glucuronidase, mannosidase and glucosylceramidase), and of phenolic compounds (i.e. peroxidase and  
346 oxidoreductase) were also identified (Fig. 1, table S4). Among binding proteins, dimerization protein,  
347 nucleic acids binding (especially DNA binding), nucleotide binding (especially ATP binding) and cation-  
348 binding (mostly calcium ion-binding and zinc-binding proteins) were the most commonly found (Fig. 1,  
349 table S4). Proteins related to hormone activity were also identified. Glucose dehydrogenases were also

350 detected with OrthoVenn (table S3). Among the 12 aphid salivary effectors considered, five were  
351 identified in *P. passerinii*, with low E-values ( $< 7 \times 10^{-71}$ ): Mp10, ARMET, ACE 1, ACE2 and ACE3.

352

### 353 3.2 Enzyme activities

354 Staining with Coomassie blue confirmed the protein nature of the salivary sheath material (Fig. 2A) and  
355 DOPA staining indicated a phenoloxidase activity in the sheaths (Fig. 2B). Black halos were also observed  
356 around some sheaths (Fig. 2B). Likewise, peroxidase activity was found in salivary sheaths and halos  
357 around sheaths (Fig. 2C). However, no pectinesterase, polygalacturonase and proteinase activity was  
358 detected.

359

### 360 3.3 Quantitative RT-PCR

361 The multivariate analysis based on the expression of the 43 genes showed a highly significant effect of  
362 aphid species (pseudo- $F_{1, 10} = 12.07$ ,  $P < 0.001$ ), no effect of poplar genotype, but a significant aphid x  
363 poplar genotype interaction (pseudo- $F_{1, 10} = 3.51$ ,  $P = 0.038$ ). This indicated that the salivary proteins  
364 effect differed depending on aphid x poplar genotypes combinations. Fold changes across modalities are  
365 presented in figure 3. The hierarchical clustering of modalities (left dendrogram) shows an arrangement  
366 of modalities into two groups. In the upper group, most poplar genes were upregulated following  
367 incubation with salivary proteins, while in lower group most genes were downregulated (Fig. 3). The  
368 upper group gathered most biological replicates of incompatible (i.e. *P. passerinii* – Koster) and non-host  
369 (i.e. *M. persicae* – Koster, *M. persicae* – I-214) interactions. The lower group gathered all replicates of  
370 compatible interactions (i.e. *P. passerinii* – I-214) and an incompatible one. The hierarchical clustering of  
371 genes (upper dendrogram) showed that genes could also be arranged into two groups. The group on the  
372 left allowed to separate the effect of salivary proteins of *M. persicae*, with a general downregulation of  
373 genes, from those of *P. passerinii*, with a general upregulation (Fig. 3). The group on the right allowed to



374 separate compatible interactions, with a general downregulation of genes, from other interactions  
375 during which genes were generally upregulated (Fig. 3). There was no clustering of genes according to  
376 physiological process or metabolic pathway.

377 For the auxin pathway, the compatible interaction was characterized by a downregulation of genes  
378 related to auxin transport (i.e. *PtAUX1* and *PtPIN1* with downregulation probabilities of 62% and 86%,  
379 respectively) and homeostasis (i.e. *GH3* with a downregulation probability >99%; Fig. 4A). However,  
380 there was no effect on genes involved in auxin biosynthesis (i.e. *PtNIT1* and *PtYUCCA*). Reverse variations  
381 were observed for non-host and incompatible interactions which led to an upregulation of *PtGH3*, with  
382 upregulation probabilities >99% for *M. persicae* and 86% for *P. passerinii*, and upregulations of genes  
383 related to auxin transport with probabilities always higher than 86% (Fig. 4A).

384 For the cytokinin pathway, the compatible interaction did not affect the expression of genes related to  
385 cytokinin metabolism (i.e. *PtLOG5* and *PtIPT*), but downregulated cytokinin signaling genes (*PtAHK4* and  
386 *PtARR2*), with downregulation probabilities >99% (Fig. 4B). Non-host interactions led to upregulations of  
387 all genes but *PtLOG5*, with probabilities >95% (Fig. 4B). Response of genes to the incompatible  
388 interaction followed a similar trend, with upregulation of all genes, including *PtLOG5*, with probabilities  
389 >95%.

390 Regarding biotic stress signaling (i.e. jasmonates, salicylic acid, ethylene and ROS), the compatible  
391 interaction was characterized by null or downregulation responses for all the considered genes (Fig. S3).

392 For ROS genes weak downregulations were also observed for non-host interactions (>85% and >64%  
393 downregulation probabilities for *PtSOD* and *PtCAT*, respectively) and a weak upregulation for the  
394 incompatible interaction (91% and 77% upregulation probabilities for *PtSOD* and *PtCAT*, respectively).

395 For salicylic acid, jasmonates and ethylene, non-host interactions induced a strong upregulation of most  
396 genes (>99% upregulation probabilities in most cases), except *PtPR5* for salicylic acid which was  
397 downregulated (>98% downregulation probabilities), *PtAOS* for jasmonates and *PtEIN2* for ethylene

398 which did not respond. The incompatible interaction resulted in an upregulation of all genes involved in  
399 jasmonates and ethylene pathways but to a lesser extent than non-host interactions (>81% upregulation  
400 probabilities), except *PtJAZ1* which was downregulated (73% downregulation probability) and *PtJAR1*,  
401 *PtERF1* which were not affected. For salicylic acid, weak upregulations were observed for *PtNPR1*, *PtPR5*  
402 and *PtNDR1* (>62% upregulation probabilities), and no effect was detected for *PtPR1* and *PtEDS1*.  
403 For genes involved in the phenolic compounds pathway, *PtF5H* and *PtANT* were downregulated during  
404 the compatible interaction (>85% downregulation probability), while all genes were upregulated during  
405 non-host and incompatible interactions (>89% upregulation probability in all cases; Fig. S3). Finally,  
406 regarding genes involved in cell cycle, *PtCYCD5*, *PtMCM2* and *PtRBR* tended to be downregulated during  
407 compatible interactions (>72% downregulation probability). Conversely, most genes, except *PtCAK1* and  
408 *PtMCM2*, were upregulated during non-host interactions (>94% upregulation probabilities in most  
409 cases), and most genes were not affected during incompatible interactions, except *PtCDK5*, *PtCDK20* and  
410 *PtCAK1* which were upregulated (>95% upregulation probabilities; Fig. S3).

411

#### 412 3.4 Histochemical analysis of GUS activity

413 Salivary proteins of *P. passerinii* increased *pLAA2::GUS* signals (Fig. 5E, 5F, 5I, 5J), which were similar to  
414 those caused by an exogenous application of auxin (Fig. 5A and 5B). Incubation with salivary proteins of  
415 *M. persicae* resulted in faint colorations (Fig. 5G, 5H, 5K, 5L), similar to those of negative controls (Fig. 5C  
416 and 5D).

417 Positive controls of *pARR16::GUS* were characterized by a strong staining in the middle part of root  
418 central cylinder (Fig. 6A and 6B), which was weak in negative controls as well as with *M. persicae* salivary  
419 proteins (Fig. 6G, 6H, 6K, and 6L). No coloration was visible in the roots of seedlings incubated with  
420 salivary proteins of *P. passerinii* (Fig. 6E, 6F, 6I and 6J).

421

422 4 Discussion

423

424 Plant manipulation by parasites requires a finely tuned reprogramming of host metabolism, achieved  
425 through secretion of effectors into the host plant (Hogenhout & Bos, 2011; Giron et al., 2016). Using  
426 both *in vivo* and *in planta* approaches, we confirmed that salivary proteins impact gene transcription of  
427 its host tree, strongly suggesting they mediate the interactions between *P. passerinii* and its host-tree.  
428 Salivary extracts of *P. passerinii* significantly affected gene expression of the two host-plant genotypes  
429 considered, in a markedly different way. Compatible interactions were characterized by a general trend  
430 of gene downregulation in all the metabolic pathways and physiological processes investigated, including  
431 genes involved in biotic stress signaling. This supports the hypothesis that the saliva of *P. passerinii*  
432 includes effectors proteins affecting plant signaling and defense mechanisms.

433

434 Several of the detected salivary proteins may interfere with plant signaling. PIP kinases were quite  
435 common in the saliva of *P. passerinii*, but have not been previously reported from any aphid saliva. These  
436 enzymes catalyze phosphorylation of phosphatidyl-inositol into phosphatidylinositol-4-5-biphosphate  
437 (PIP<sub>2</sub>). Hydrolysis of PIP<sub>2</sub> produces secondary messengers like diacylglycerol and inositol-1-4-5-  
438 triphosphate (IP<sub>3</sub>), which can in turn be hydrolyzed into phosphatidic acid (PA), considered an important  
439 signaling molecule in plants, triggered in response to various biotic and abiotic stresses (Testerink &  
440 Munnik, 2005). Likewise, PIP<sub>2</sub> and IP<sub>3</sub> can affect cellular oscillations of Ca<sup>2+</sup> and are involved in multiple  
441 processes including cell cycle and phytohormone regulation (Xue, Chen & Mei, 2009). Other proteins,  
442 frequently detected in the saliva of *P. passerinii*, may also interfere with secondary messengers like  
443 calcium-binding, ATP-binding, and GTP-binding proteins or with hormone signaling like hormone-binding  
444 proteins (Vandermoten et al., 2014; Giron et al., 2016). Likewise, it has been hypothesized that trehalase  
445 may interfere with trehalose-based defense responses in *A. thaliana* (Nicholson et al., 2012), and

446 cellulases may also contribute to the degradation of oligogalacturonides involved in damage signaling  
447 pathways (Cherqui & Tjallingii, 2000). Nucleic acid-binding proteins and protein-binding proteins could  
448 also participate in the manipulation of host-plant metabolism (Vandermoten et al., 2014). Finally,  
449 oxidoreductases, especially peroxidases and phenoloxidases could degrade phenolic compounds of the  
450 host plant (Cherqui & Tjallingii, 2000; Carolan, Fitzroy, Ashton, Douglas & Wilkinson, 2009). Gall  
451 induction by *P. passerinii* in susceptible host genotypes is characterized by transient accumulation of  
452 phenolic compounds (Dardeau et al., 2014), and oxidoreductases could help aphids to cope with these  
453 secondary metabolites. Glucose dehydrogenases may similarly help aphids to detoxify defensive  
454 compounds of the host-plant (Carolan et al., 2011; Nicholson et al., 2012).

455 Several proteins orthologous to salivary effectors of *M. persicae* and *A. pisum* have been identified.  
456 Likewise, many of the proteins detected in our study (e.g. calcium-binding, DNA-binding, ATP-binding,  
457 GTP-binding proteins, glucose dehydrogenases, oxidoreductases, trehalases and phosphatases) have also  
458 been previously identified in the saliva of different aphid species, which were not gall-inducers (Harmel  
459 et al., 2008; Nicholson et al., 2012; Elzinga & Jander, 2013; Vandermoten et al., 2014). Therefore,  
460 although these proteins probably contribute to plant manipulation by aphids (Elzinga & Jander, 2013),  
461 they are probably not specifically involved in gall induction. Interestingly, calcium-binding proteins are  
462 supposedly key components of sap-feeding aphids' saliva, preventing the plugging of sieve tubes (Will et  
463 al., 2007). Since *P. passerinii* does not feed on sap (Pointeau et al., 2012), it suggests that these proteins  
464 also play other crucial roles during aphid-plant interactions. Several proteins detected in the saliva of *P.*  
465 *passerinii* such as serine proteases, acid phosphatases, lipases and metalloproteases have been proposed  
466 as potential effectors involved in formation and/or maintenance of fig wasps galls (Martinson, Hackett,  
467 Machado & Arnold, 2015).

468 *In situ* biochemical assays confirmed the presence of peroxidases in both solid and soluble saliva of *P.*  
469 *passerinii*. The phenoloxidase activity is also congruent with the numerous oxidoreductase sequences

470 identified in the salivary transcriptome. Likewise, the absence of pectin (methyl) esterase and  
471 polygalacturonase activity during *in situ* bioassays is consistent with their absence in the salivary  
472 transcriptome. However, while numerous proteases were identified among the salivary proteins of *P.*  
473 *passerinii*, no activity was observed during *in situ* bioassays. Gelatin was probably not the adequate  
474 substrate to detect the protease activity of *P. passerinii*. Additional *in situ* assays could be conducted to  
475 detect, in *P. passerinii* saliva, the activity of the proteases and possibly other enzymes like cellulases  
476 (Cherqui & Tjallingii, 2000). A proteomic analysis of salivary extracts should also confirm and  
477 complement the predictions of our transcriptomic approach (Carolan et al., 2011; Boulain et al., 2018).

478  
479 To knock-down or divert stress signaling and/or ensure finely-tuned reprogramming of host metabolism  
480 and anatomical transformation of host tissues, plant manipulation by herbivores or pathogens generally  
481 requires reconfiguration of phytohormone pathways and signaling (Giron, Frago, Glevarec, Pieterse &  
482 Dicke, 2013; Tooker & Helms, 2014). Both RT-qPCR experiments and histochemical assays confirmed that  
483 *P. passerinii* can actively manipulate phytohormone pathways during compatible interactions. This is in  
484 line with the numerous proteins potentially interfering with plant signaling and metabolism found in the  
485 aphid saliva. Salivary extracts of *P. passerinii* did not affect the auxin biosynthesis during compatible  
486 interactions, but downregulated auxin transporter genes such as *PtPIN1* and *PtAUX1*, as well as *PtGH3*,  
487 which is involved in the homeostasis of auxin active forms (Park et al., 2007). These downregulations  
488 could lead to intracellular accumulation of active auxin forms, and result in the targeted cell hypertrophy  
489 and multiplication commonly observed during gall differentiation by *P. passerinii* (Dardeau et al., 2014).  
490 The activation of the auxin-responsive promoter *IAA2* during *in planta* assays with transgenic seedlings  
491 of *A. thaliana* further supports this hypothesis of an intracellular accumulation of auxin during gall  
492 initiation by *P. passerinii*. Similar auxin accumulation, as a result of a reduction in both *PtGH3* activity and  
493 auxin transport, is also probably involved in the initiation and development of root galls by cyst and root-

494 knot nematodes (Karczmarek, Overmars, Helder & Goverse, 2004), suggesting a potentially convergent  
495 manipulation strategy between these organisms. As genes related to cytokinin biosynthesis (*PtIPT*) and  
496 activation (*PtLOG5*) were not affected by salivary proteins of *P. passerinii*, the strong downregulation of  
497 cytokinin signaling genes (*PtAHK4*, *PtARR2* and *PtARR16*) upon treatment could correspond to an auxin  
498 accumulation-induced regulation loop (Jones et al., 2010; Schaller, Bishopp, & Kieber, 2015). In this  
499 aspect, *P. passerinii* could manipulate auxin and cytokinin plant responses to promote the host division  
500 cycle leading to the formation of galls (Giron et al., 2013), which provide to the insects food and shelter  
501 at the expense of the host plant (Tooker & De Moraes, 2008).

502  
503 Apart from the Mp10 effector, the saliva of *P. passerinii* and *M. persicae* shared few similarities. The  
504 transcriptomes of *P. passerinii* and *M. persicae* have been obtained with a different sequencing and  
505 assembly methods (Ramsay et al., 2007). This probably explains the difference in transcripts amounts  
506 gathered for both aphids and several salivary proteins of *M. persicae* might be missing in its  
507 transcriptome, leading to an apparently low similarity between secretomes. Previous comparisons  
508 among salivary proteins of aphid species also indicated that aphids with different host species and / or  
509 feeding strategies exhibited very different salivary protein profiles (Cooper, Dillwith & Puterka, 2011;  
510 Vandermoten et al., 2014). This is in agreement with the highly significant effect of aphid species on  
511 gene expression profiles in both poplar genotypes. Nonetheless, gene expression profiles of poplar  
512 protoplasts during incompatible interactions shared many similarities with those observed during non-  
513 host interactions. Both types of interactions were characterized by an overall upregulation of host genes,  
514 which was generally more important during non-host interactions than during incompatible ones,  
515 probably because one of the three biological replicates of incompatible interactions shared similarities  
516 with compatible interactions. During both non-host and incompatible interactions, most genes involved  
517 in jasmonates, ethylene and salicylic acid pathways were upregulated. All of these pathways can be

518 activated following aphid feeding, together or separately, depending on the aphid – plant interaction  
519 system considered (Morkunas, Mai & Gabryś, 2011; Kerchev, Fenton, Foyer & Hancock, 2012; Louis &  
520 Shah, 2013). Due to the salicylic acid - jasmonates cross-talk, a differential gene expression between the  
521 two pathways could be expected (Zarate, Kempema & Walling, 2007), but was not observed here.  
522 However, this may occur later during the interaction, since in our experiments we only considered early  
523 gene responses (Kerchev et al., 2012). As a consequence of activation of plant defense signaling, genes  
524 related to secondary metabolism, i.e. *PtF3'5'H*, *PtANT* and *PtF5H* were also upregulated during both  
525 incompatible and non-host interactions, while they were unaffected or downregulated during  
526 compatible interactions. This is in agreement with previous histochemical analyses showing a light and  
527 transient accumulation of phenolic compounds followed by their marked disappearance in galled tissues  
528 during a compatible interaction, while their accumulation was strong and continuous during an  
529 incompatible interaction (Dardeau et al., 2014).

530 Interestingly, most of the few genes that were differentially expressed during non-host and incompatible  
531 interactions were related to biotic stress signaling, and all genes but *PtJAZ1* were upregulated during  
532 incompatible interactions while they were unaffected or downregulated during non-host interactions.  
533 These genes included ROS-related genes, *PtSOD* and *PtCAT*, the expression of which was slightly  
534 downregulated during non-host interactions. A similar repression of *SOD* and *CAT* genes, leading to an  
535 increase in H<sub>2</sub>O<sub>2</sub> content, together with an upregulation of *JAZ1* and *PR1*, has been reported in potato  
536 leaves infested by *M. persicae* (Kerchev et al., 2012). Some phytohormones-related genes were also  
537 upregulated during incompatible interactions only, like *PtAOS* for jasmonates, *PtPR5* for salicylic acid,  
538 *PtLOG5* for cytokinins, *PtNIT1* for auxin, and finally *PtEIN2* for ethylene. This latter gene is involved in  
539 transduction of ethylene signaling and can be up- or downregulated during both compatible and  
540 incompatible interactions in different plant-aphid systems and plays equivocal roles during host-plant  
541 resistance to *M. persicae* (Morkunas et al., 2011; Louis & Shah, 2013). Moreover, the putative

542 intracellular accumulation of auxin during compatible interactions could also interfere with salicylic acid  
543 signaling and defense responses (Park et al., 2007), which is congruent with the overall downregulation  
544 of salicylic acid pathway related genes. The downregulation of *PtAHK4* and *PtARR2* could also interfere  
545 with stress signaling by preventing both accumulation of jasmonates and activation of *PtPR1* (O'Brien &  
546 Benkova, 2013), which is consistent with our observations during compatible interactions. As a result,  
547 the effectors of *P. passerinii* saliva, in addition to modifying phytohormonal contents, down-regulate the  
548 plant defenses to allow gall formation.

549  
550 In conclusion, our transcriptomic analysis of the saliva of *P. passerinii* and *M. persicae* showed that the  
551 gall-inducing aphid probably secretes a highly peculiar saliva, filled with potential effectors that may  
552 interfere with several plant secondary messengers and signaling pathways. Our *in vivo* and *in planta*  
553 approaches confirmed the ability of salivary extracts of the gall-inducing insects to manipulate host  
554 response during compatible interactions. As expected phytohormones pathways were strongly affected,  
555 probably to impair biotic stress signaling but also to reconfigure host metabolism and anatomy. Although  
556 the saliva of *P. passerinii* and *M. persicae* were very different, incompatible and non-host interactions led  
557 to similar host responses, with a different intensity however, and few differences in biotic stress  
558 signaling. Additional modalities including different populations of *P. passerinii*, different poplar  
559 genotypes with intermediate resistance levels, leading for instance to semi-compatible interactions, and  
560 additional host metabolic pathways could be considered in future experiments and give further insights  
561 on the molecular processes underpinning failed and successful host manipulation by a gall-inducer.

562  
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723

724 Figure captions

725 Figure 1: Gene Ontology treemap for the salivary transcriptome of *Phloeomyzus passerinii*. The box size  
726 correlates to the number of sequences isolated. Numbers between brackets indicate the number of  
727 sequences identified. Green boxes indicate binding proteins, purple boxes indicate enzymes, red boxes  
728 indicate structural constituents, the blue box indicates transporters and the brown box molecular  
729 transducers. A Detailed list of the proteins can be found in the table S4.

730  
731 Figure 2: Representative salivary sheaths secreted in artificial diets by *Phloeomyzus passerinii*. Sheaths  
732 stained and observed after 24 h probing in an agarose diet: (A) sheath stained with Coomassie blue; (B)  
733 black stained sheaths in diet containing 0.1% DOPA, indicating a phenoloxidase activity, note the dark  
734 halo surrounding the upper sheath; (C) reddish stained sheath in diet immersed with 0.1% DAB and 0.1%  
735 H<sub>2</sub>O<sub>2</sub>, indicating a peroxidase activity. Black bars represent 10 µm.

736  
737 Figure 3: Heatmap of log<sub>2</sub>-fold changes of 43 poplar genes belonging to eight different physiological  
738 processes or metabolic pathways (lower left box), after incubation of poplar protoplasts of two poplar  
739 genotypes (Koster and I-214) with salivary proteins of two aphids (*Myzus persicae* (*M. p.*) and  
740 *Phloeomyzus passerinii* (*P. p.*)). Non-host interactions are expected between both poplar genotypes and  
741 *M. persicae*, while incompatible interactions are expected between *P. passerinii* and Koster and  
742 compatible interactions between *P. passerinii* and I-214. Downregulation appears in blue and  
743 upregulation in red. Gene code is presented below the heatmap, modalities (i.e. aphid x poplar genotype  
744 combinations are presented on the right of the heatmap). Hierarchical clustering has been built with  
745 Euclidean distances.

746 Figure 4: Fold changes of genes involved in auxin (left) and cytokinin (right) pathways of poplar  
747 protoplasts collected from two poplar genotypes (I-214 and Koster), after incubation with salivary



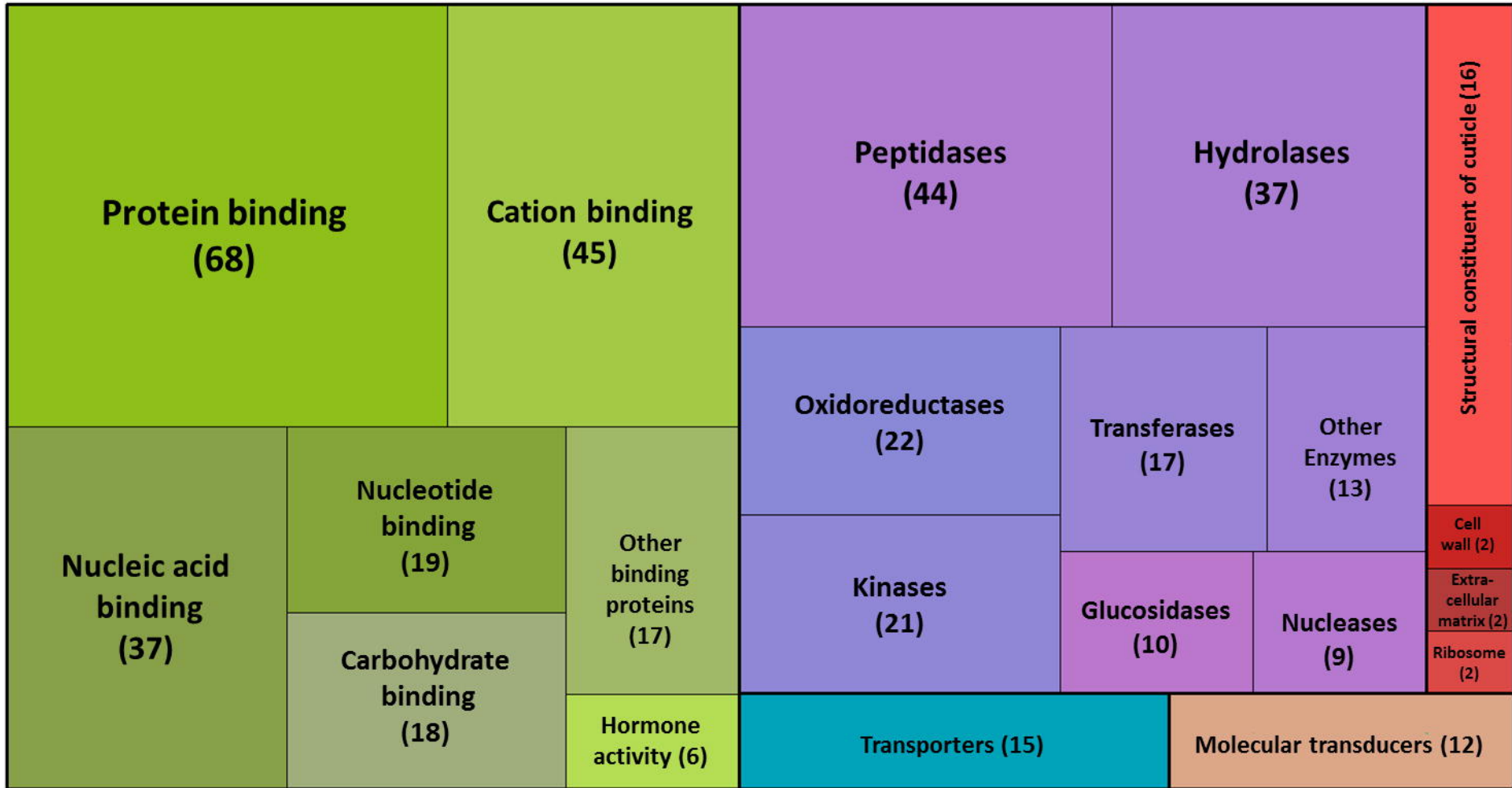
748 proteins of two aphids (*Myzus persicae* and *Phloeomyzus passerinii*). Dots represent the average fold  
749 change value, and bars represent credibility intervals.

750  
751 Figure 5: Representative GUS assays of transgenic seedlings of *Arabidopsis thaliana* pIAA2::GUS, showing  
752 whole plants (A, C, E, G, I and K), and root tips (B, D, F, H, J and L), after 3 h of incubation in 20  $\mu$ M of IAA  
753 (A and B), TE/Tween buffer (C and D), and 1 and 10  $\mu$ g of salivary proteins of *Phloeomyzus passerinii* (E, F,  
754 I and J) or *Myzus persicae* (G, H, K and L). Black bars represent 1 mm for whole plants (A, C, E, G, I and K)  
755 and 10  $\mu$ m for root tips (B, D, F, H, J and L). Five seedlings were used for each modality.

756  
757 Figure 6: Representative GUS assays of transgenic seedlings of *Arabidopsis thaliana* pARR16::GUS,  
758 showing whole plants (A, C, E, G, I and K), and root tips (B, D, F, H, J and L), after 4 h of incubation in 20  
759  $\mu$ M of BAP (A and B), TE/Tween buffer (C and D), and 1 and 10  $\mu$ g of salivary proteins of *Phloeomyzus*  
760 *passerinii* (E, F, I and J) or *Myzus persicae* (G, H, K and L). Black bars represent 1 mm for whole plants (A,  
761 C, E, G, I and K) and 10  $\mu$ m for root (B, D, F, H, J and L). Five seedlings were used for each modality.

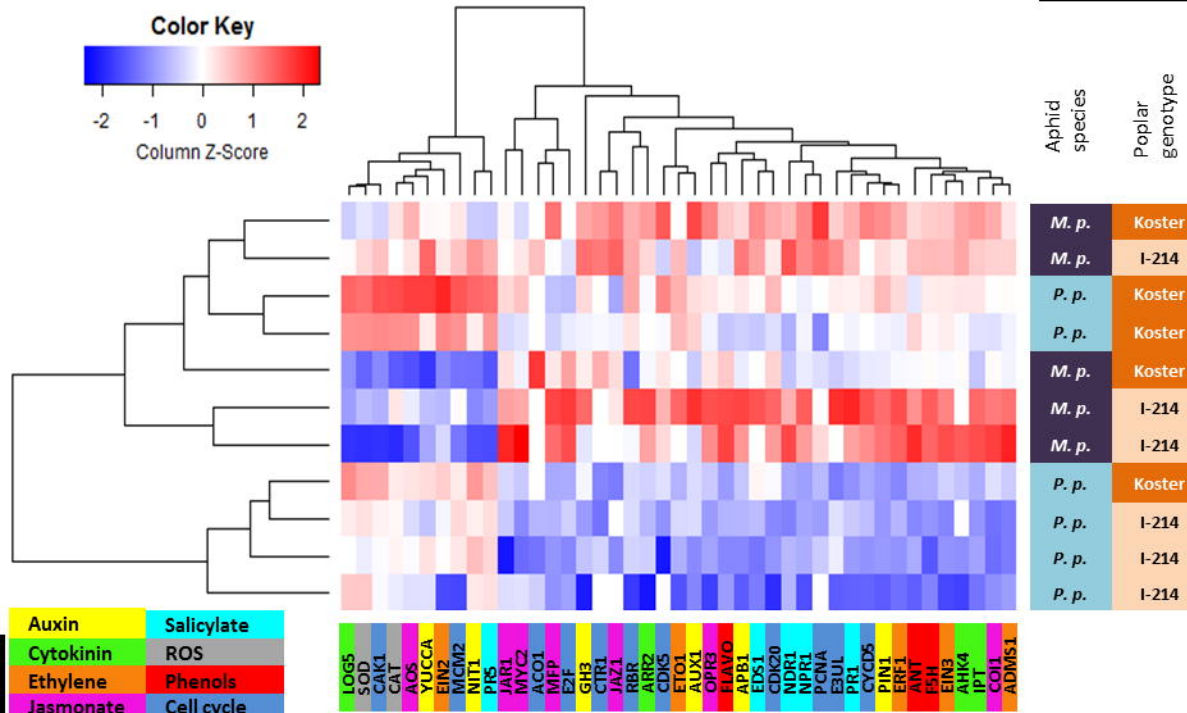
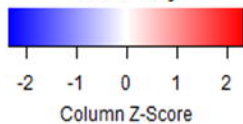
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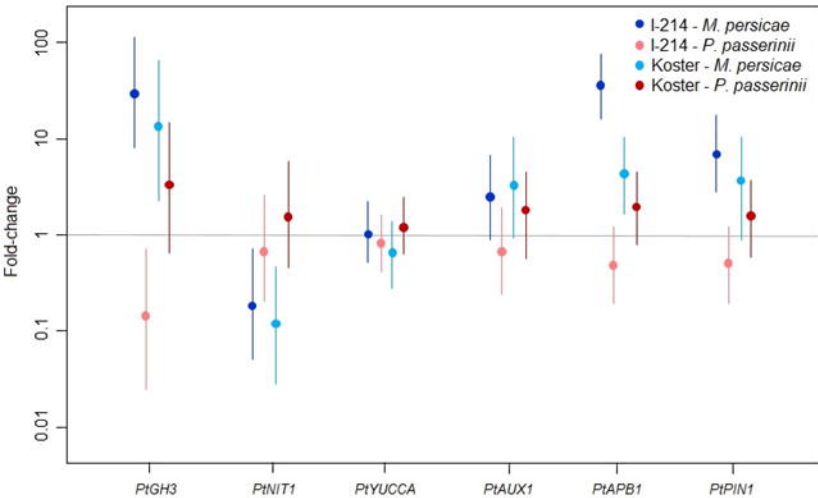


**A****B****C**

### Color Key



Auxin pathway



Cytokinin pathway

