1 Sensory feedback independent pre-song vocalizations correlate with current state of motor

- 2 preparation
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- 9 **Running Head:** Preparatory pre-song vocalizations
- 10 **Key words:** Bird song, preparatory vocalizations, sensory feedback, motor preparation, sequence
- 11 initiation

12 SUMMARY STATEMENT

- 13 The number and progression of introductory notes to song in the zebra finch are not affected by
- 14 removal of sensory feedback.

ABSTRACT

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Many self-initiated, learned, motor sequences begin by repeating a simple movement, like ballbouncing before a tennis serve, and this repetition is thought to represent motor preparation. Do these simple movements provide real-time sensory feedback used by the brain for getting ready or do they simply reflect internal neural preparatory processes? Here, we addressed this question by examining the introductory notes (INs) that zebra finches repeat before starting their learned song sequence. INs progress from a variable initial state to a stereotyped final state before each song and are thought to represent motor preparation before song. Here, we found that the mean number of INs before song and the progression of INs to song were not affected by removal of two sensory feedback pathways (auditory and proprioceptive). In both feedback-intact and feedback-deprived birds, the presence of calls (other non-song vocalizations), just before the first IN, was correlated with fewer INs before song and an initial state closer to song. Finally, the initial IN state correlated with the time to song initiation. Overall, these results show that INs do not provide real-time sensory feedback for preparing the motor system. Rather, repetition of INs, and possibly, other such simple movements, may reflect the "current" state of internal neural preparatory processes involved in getting the brain ready to initiate a learned movement sequence.

INTRODUCTION

All movements are believed to be planned in the brain before execution (Shenov et al., 2011; Shenoy et al., 2013; Svoboda and Li, 2018; Wong et al., 2015). Such planning is characterized by changes in neural activity seconds to hundreds of milliseconds before the start of the movement. Such changes in activity have been observed in many different brain areas in humans (Fried et al., 2011) and in other model organisms (Chen et al., 2017; Churchland et al., 2006a; Churchland et al., 2006b; Gao et al., 2018; Lee and Assad, 2003; Li et al., 2015; Maimon and Assad, 2006; Murakami and Mainen, 2015; Murakami et al., 2014; Romo and Schultz, 1987; Tanji and Evarts, 1976). While all of these studies have focused on simple movements, a number of human and animal movements consist of learned sequences of simple movements – for eg. the serve of a tennis player or the song of a bird. Such movement sequences are often preceded by the repetition of a simple movement, like the repeated bouncing of a ball before the tennis serve, and this repetition of a simple movement is thought to represent motor preparation (Cotterill, 2010). How do these simple movements help prepare the brain for the execution of a more complex motor sequence? Do they provide sensory feedback that is used by the brain for preparation or do these simple movements simply represent internal neural preparatory processes? Here, we addressed this question using the song of the adult male zebra finch as our model system.

The song motif (referred to as song) of the adult male zebra finch, consisting of a stereotyped sequence of sounds (syllables) interleaved with silent gaps (Fig 1A), is a well-established model for understanding movement sequences (Fee and Scharff, 2010). Song is learned by young birds from a conspecific tutor during a critical period (Fee and Scharff, 2010). While song is typically part of a courtship ritual for mate attraction, birds also sing when they are alone (undirected song) (Sossinka and Böhner, 1980; Zann, 1996), making this an excellent model system to study motor preparation before self-initiated, learned, movement sequences.

Song, like other movement sequences, is preceded by the bird repeating a short vocalization called an Introductory Note (IN – 'i's in Fig. 1A) (Price, 1979; Sossinka and Böhner, 1980). Each song bout consists of a variable number of such INs followed by multiple repeats of the song. We have previously shown that intervals between successive INs and the acoustic properties of successive INs progress from a variable initial state (first IN in each song bout) to a more consistent "ready" state (last IN in each song bout) just before the start of each song (Rajan and

Doupe, 2013). Given the similarity to the reduction in variability associated with neural preparatory activity before the onset of simple movements (Churchland et al., 2006c), INs may represent vocalizations that help prepare the zebra finch brain to produce song. However, what INs represent and what role they play in song initiation remains unclear.

To understand what INs represent, it is important to first determine whether each IN provides sensory feedback that is used by the brain to produce an "improved" next IN that is closer to the "ready" state (Fig. 1B). Sensory feedback could also induce adaptation that drives the system forward to the song as has been proposed for the transition from repeating syllables within Bengalese finch song (Wittenbach et al., 2015). Consistent with this hypothesis, previous studies disrupting proprioceptive feedback or auditory feedback have reported changes in the number of INs before song in some birds (Bottjer and Arnold, 1984). However, these changes have not been quantified rigorously and the specificity of these changes to removal of feedback has not been determined.

In contrast to both these hypotheses that require sensory feedback, it is also possible that INs and the progression of INs to song reflect a passive readout of internal preparatory processes (Fig. 1C). Internal preparatory activity has been shown to occur in a number of song control nuclei in the zebra finch brain, hundreds of milliseconds before the start of the first IN of an undirected song bout (Hessler and Doupe, 1999; Kao et al., 2008; Rajan, 2018; Woolley et al., 2014). Further, premotor activity is also present, in premotor and motor nuclei, before the start of each IN (Danish et al., 2017; Rajan and Doupe, 2013; Vyssotski et al., 2016; Yu and Margoliash, 1996). In premotor nucleus HVC, IN-related neural activity becomes distinct before the last IN acting as an early indicator that song is about to start (Rajan and Doupe, 2013). This IN-related activity could also represent a continuation of internal preparatory activity, albeit, associated with overt preparatory vocalizations (Fig. 1C).

To distinguish between these two possibilities (Fig. 1B and Fig. 1C), we analyzed the number and properties of INs soon after removal of two important forms of sensory feedback, namely proprioceptive feedback from the syringeal muscles (Bottjer and Arnold, 1984; Vicario, 1991; Williams and McKibben, 1992) or auditory feedback (Konishi, 1965). We found that mean IN number before song and progression of INs to song were not affected by removal of either form

of feedback. Further, the progression of INs to song was not affected by removal of neural input to the syringeal muscles. Finally, we found fewer INs and a quicker transition to song when the first IN was produced soon after calls (non-song vocalizations that are different from INs and song syllables). These data demonstrate that INs do not provide sensory feedback. Rather, INs may reflect the "current" state of ongoing internal neural preparatory processes involved in getting the zebra finch brain "ready" to produce the learned song sequence.

MATERIALS AND METHODS

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Experimental procedures performed at IISER Pune were approved by the Institute Animal Ethical Committee in accordance with the guidelines of the Committee for the Purpose of Control and Supervision of Experiments on Animals (CPCSEA, New Delhi). Experiments performed at UCSF, San Francisco were approved by the UCSF Institutional Animal Care and Use Committee in accordance with NIH guidelines.

Birds and song recording

All birds (n=42) used in this study were > 100 days post hatch at the time of the experiment and were either purchased from an outside vendor (n=13), bred at IISER Pune (n=17) or bred at UCSF (n=12). Birds were kept in separate sound isolation boxes (Newtech Engineering Systems, Bangalore, India or Acoustic Systems, Austin, TX) for the duration of the experiment. All songs were recorded by placing a microphone (AKG Acoustics C417PP omnidirectional condenser microphone or B3 lavalier microphone, Countryman Associates, CA) at the top of the cage. For the ts-cut and sham-surgery birds, we kept the position of the microphone the same for recording songs before and after surgical manipulations. Signals from the microphone were amplified using a mixer (Behringer XENYX 802) and then digitized on a computer at a sampling rate of 44100 Hz using custom software. Songs were recorded in "triggered" mode before and after surgery, where data was saved when the microphone signal crossed a preset threshold. Along with the data that crossed the threshold, 1-3 s of data before and after threshold crossing were also saved. For a subset of birds, data was saved in "continuous" mode where all of the data for the entire recording period was saved. All songs were recorded in the "undirected" condition. Songs of 3 of the birds used for the analysis of calls and their influence on song initiation have been used in a previous study for analysis of INs before song (Rajan and Doupe, 2013). The influence of calls on song initiation was not considered in the previous study. For the analysis of day-to-day

changes in IN number and properties, we used data from 14 birds that were recorded on two different days (range: 1-3 days apart). Of these 14 birds, 1 bird was used at a later time-point for ts-cut surgery with a new set of pre and post surgery recordings and 10 birds were used for analysis of the influence of calls on INs. Pre-surgery recordings for 18/21 birds (n=5 ts-cut, n=6 sham surgery and n=7 deaf) were done 0-2 days before surgery. For the remaining 3 birds (n=3 ts-cut), pre-surgery recordings were done 18, 15 and 5 days before surgery respectively.

ts nerve cut and sham surgery

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Tracheosyringeal nerves were surgically cut using previously described protocols (Bottjer and Arnold, 1984; Vicario, 1991; Williams and McKibben, 1992). Briefly, birds (n=9) were deeply anesthetized by intramuscular injection of ketamine (30mg/kg), xylazine (3 mg/kg) and diazepam (7 mg/kg). Absence of a response to toe pinch was used to assess depth of anesthesia. Birds were then placed on a platform with the ventral side facing up. A rolled tissue under the neck served to stretch and give easy access to the throat. Feathers were plucked and an incision ~10 mm was made. The trachea was exposed by removal of fat tissue. Using fine forceps the tracheosyringeal (ts) nerve bundle on either side of the trachea was pulled away from the trachea and part of the nerve (n=9 birds, median length cut = 4mm; range - 2mm to 7mm) was cut out on both sides using spring scissors (Fine Science Tools, CA, USA). The skin was then glued using tissue adhesive (Vetbond, 3M). For sham surgeries (n=6), the same procedure was followed but the ts nerves were not cut. In two of the sham surgery birds, some cuts were made on the thick membrane enclosing the oesophagus. Birds typically resumed singing within 10 days of surgery. We considered songs produced on the second day of singing after surgery (sham: 2-5 days and tscut: 3-10 days after surgery) for analysis because of higher number of songs. For one bird, we did not have pre-surgery songs in the undirected condition, so we excluded this bird for analyses involving comparison to pre-surgery (Fig. 2, 3, 4, 5, 6). Data from this bird was included only for analysis of influence of calls on the number and properties of INs (Fig. 7, 8).

Deafening

Deafening was done by bilateral removal of the cochlea under equithesin anesthesia using previously described protocols (Kojima et al., 2013; Konishi, 1965). All of the deaf birds (n=7) were also used in a previous study that examined the effects of deafening on song (Kojima et al., 2013). Here we only analyzed the effects of deafening on introductory note (IN) number and

properties. Since, we were interested in the role of real-time auditory feedback on progression 159 160 from INs to song, we only analyzed IN number and properties for songs recorded 1 day post-161 deafening. 162 163 **Data Analysis** All analysis was done using custom-written scripts in MATLAB. 164 165 166 Song analysis Audio files were segmented into syllables based on a user-defined amplitude threshold. Syllables 167 with less than 5ms between them were merged and syllables with duration shorter than 10ms 168 169 were discarded. Individual syllables were given labels in a semi-automatic manner. They were 170 first assigned labels based on a modified template matching procedure (Glaze and Troyer, 2006) or clustering based on acoustic features calculated using Sound Analysis Pro. Clustering was 171 172 done using KlustaKwik (https://sourceforge.net/p/klustakwik/wiki/Home/). Labels were then 173 manually checked for all files. 174 175 The repetitive sequence or song motif for each bird was identified. Song bouts were defined as 176 groups of vocalizations with atleast one motif syllable that were separated from other such 177 groups by more than 2s of silence (Sossinka and Böhner, 1980). For a subset of birds (n=7 deaf 178 birds; n=6 birds for analysis of call-song bouts and n=7 birds for analysis of day-to-day changes in IN number and properties) with triggered recordings, a number of files did not have 2s of 179 180 silence before the first vocalization in the file. However, since these were triggered recordings, 181 we assumed that there was silence before the start of the file too and so we considered files with 182 > 0.5s silence at the beginning of file as valid bouts. For a given bird, we used the same criterion 183 before and after surgery to ensure that the criterion did not affect our results. Syllables that were produced in isolation outside of song bouts were identified as calls. All kinds of calls (distance 184 185 calls, short calls and intermediate calls) (Zann, 1996) were combined together. 186 187 As described earlier (Price, 1979; Rajan and Doupe, 2013; Sossinka and Böhner, 1980), syllables

were not considered as INs. As described earlier (Zann, 1993), 76.2% of our birds (n=32/42) produced only one IN type. The rest of the birds produced 2 IN types (n=10/42). For all the

that were repeated at the beginning of a bout were considered as introductory notes (INs). Calls

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analysis described, we combined the multiple types of INs together.

- For ts-cut birds, syllables and INs lost their characteristic acoustic structure and were reduced to
- 194 harmonic stacks without any modulation (Fig. 2A middle). However, durations of individual
- syllables and INs remained same as pre-surgery (Fig. 2A middle, 2B middle, Fig. 5, Supp. Fig.
- 196 3). In these birds, syllables were labelled using cluster analysis as described above and INs and
- 197 motif syllables were matched to pre-surgery INs and motif syllables by examining plots for
- 198 duration vs. mean frequency for all syllables.
- 200 On average, we analyzed 124 song bouts per bird (median = 98 song bouts per bird; range = 11-
- 201 428 song bouts per bird).

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Temporal and spectral similarity

- We quantified changes in song after removal of sensory feedback using temporal and spectral
- 205 similarity. Temporal similarity was calculated as the maximum of the cross-correlation function
- between the normalized amplitude envelopes of a pre-surgery template motif and other pre/post
- 207 surgery motifs (n=9 randomly chosen motifs from pre-surgery and n=10 randomly chosen motifs
- from post surgery) (Roy and Mooney, 2007). The template motif was proportionally stretched \pm
- 209 20% to account for differences in duration of the entire motif. As a measure of random temporal
- 210 similarity between any two zebra finches, we calculated temporal similarity for motifs from 10
- 211 random pairs of birds (n=10 motifs each). Spectral similarity (% similarity) was calculated using
- 212 Sound Analysis Pro (five motifs pre-surgery were compared to each other and to 5 motifs post-
- 213 surgery) (Tchernichovski et al., 2000). Random spectral similarity was measured for ten random
- 214 pairs of birds (n=5 motifs each). Song spectral and temporal similarity was calculated only for
- 215 5/6 sham surgery birds.

Characterization of Introductory note (IN) progression

- 218 In each bout, the last set of consecutive INs with inter-IN intervals < 500ms, before the first
- 219 motif syllable were considered for counting IN number in each song bout (Rajan and Doupe,
- 220 2013; Sossinka and Böhner, 1980). All of our analysis was restricted to such sequence of INs
- 221 present at the beginning of each bout.

Intervals between INs were measured as the duration between the end of an IN to the start of the next IN. The first interval was the interval between the first two INs satisfying the above criteria. The last interval was measured as the interval between the last IN and the first motif syllable. As a measure of the progression of IN timing, we quantified the ratio between successive IN-intervals across all IN sequences. Ratios were averaged across bouts to obtain a mean ratio for each bird. A ratio < 1 indicated a speeding up of successive intervals as shown earlier (Rajan and Doupe, 2013). CV was measured as the standard deviation divided by the mean.

To characterize acoustic properties of INs and their progression to song, we used the acoustic distance to the last IN and the ratio of distance of successive INs respectively. The acoustic distance is an inverse measure of similarity in acoustic properties between an IN and all last INs (Rajan and Doupe, 2013). We calculated 4 acoustic features, namely, duration, log amplitude, entropy and mean frequency for each IN using the matlab code for Sound Analysis Pro (http://soundanalysispro.com/matlab-library). For each day, we randomly chose 50% of the last INs as the reference. The distance of each first IN and the remaining last INs were measured as the Mahalanobis distance of the IN from the reference last INs in the 4-dimensional space formed by the 4 acoustic features. As a measure of acoustic progression of INs, we calculated the ratio of distances of successive INs from the last IN for each IN sequence at the beginning of a bout (50% of the bouts were excluded as the last INs from these bouts were chosen as the reference). A ratio < 1 indicated that successive INs became closer in distance (or more similar) to the last IN, as seen in intact birds (Rajan and Doupe, 2013).

Analysis of the influence of calls on number and properties of INs

The influence of calls on IN number and properties was analyzed in 16 normal, unmanipulated birds. Bouts where the first IN began < 2000ms after the end of a call were considered as call-song bouts. Bouts with only INs at the beginning were considered as IN song bouts. Birds with a minimum of 5 IN song bouts and 5 call-song bouts were considered for this analysis. For each bird, the number of INs in IN song bouts was subtracted from the number of INs in each call-song bout. For each bird, the change in IN number in call-song bouts was then binned at 100ms resolution starting at 40ms after the end of the call to 1940ms after the end of the call. Across all birds, we fitted an exponential function (matlab fit function) to characterize the dependence of this change in IN number on time between the end of the call and the start of the first IN.

Similarly, we also fit exponential functions to the change in the interval between the first two INs and change in acoustic properties of the first IN (Supp. Fig. 4).

For many of the feedback-deprived birds, we did not have enough call-song bouts to carry out a similar analysis. Instead, we divided call-song bouts into two categories: (1) bouts where the first IN started < 200ms after end of the call and (2) bouts where the first IN started > 200ms after the end of the call. 200ms was chosen based on the exponential fit (Fig. 7B) and data availability in the feedback-deprived birds. We calculated IN number, mean and variability of the interval between the first two INs, acoustic distance of the first IN for both these bout categories and compared it with the corresponding properties for IN song bouts (Fig. 7, 8). Only birds with > 3 call song bouts in both of these categories were considered for analysis. Further, we combined ts-cut and deaf birds as our previous results showed that both manipulations had no effect on IN number and properties.

Statistics

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- 270 Wilcoxon signed rank test was used for paired comparisons of temporal similarity (Fig. 2C),
- spectral similarity (Fig. 2D), changes in IN/motif syllable acoustic features (Fig. 3 and Supp. Fig.
- 272 1), mean IN number (Fig. 4D), IN number CV (Fig. 4E) and progression in IN features (Supp.
- 273 Fig. 3). For comparing progression in IN timing and IN acoustic structure after removal of
- 274 feedback (Fig. 5D, 5E and Fig. 6D), we used Repeated Measures 2-way ANOVA using IN
- position (First vs. Last) as one factor and Time (Pre surgery vs. Post surgery) as the second factor
- 276 (Matlab code from https://in.mathworks.com/matlabcentral/fileexchange/6874-two-way-
- 277 repeated-measures-anova). For comparing IN number and properties in bouts where calls
- 278 preceded the first IN, we used repeated measures 1-way ANOVA (Fig. 7C, 7D and Fig. 8). If the
- 279 ANOVA p-value was < 0.05, we used a post-hoc Tukey Kramer test to identify groups that were
- 280 significantly different (Fig. 7C, 7D and Fig. 8). For comparing changes in IN number and
- properties after surgery with day-to-day changes, we used Kruskal-Wallis ANOVA (Supp. Fig.
- 282 2). Pearson's correlation coefficient was used to assess the correlation between first IN properties
- and time-to-song initiation (Fig. 9).
- All of the tests used and the associated p-values are provided in Supp. Table 1. A significance
- level of p = 0.05 was used throughout.

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RESULTS To see if INs provide sensory feedback for song initiation (Fig. 1B), we analyzed the number and progression of INs after removal of either proprioceptive (n=8 birds) or auditory feedback (n=7 birds). As a control, sham surgeries were performed in a separate group of birds (n=6). Since, we were interested in self-initiated movement sequences, we focused on undirected songs produced when the bird was alone. Song temporal structure was not affected after removal of auditory or proprioceptive feedback but song spectral structure was disrupted after removal of proprioceptive feedback Proprioceptive feedback was removed by bilaterally cutting the tracheosyringeal nerve (Bottjer and Arnold, 1984; Vicario, 1991; Williams and McKibben, 1992) (ts-cut; n=8 birds, see Methods) and auditory feedback was eliminated by bilateral removal of the cochlea (Konishi, 1965) (deaf; n=7 birds, see Methods). While our main focus was on INs, we first compared both the spectral and temporal structure of songs produced soon after surgery (1-10 days post surgery - see Methods) with songs produced just before surgery. Songs of birds subjected to sham surgery remained similar to pre-surgery songs in both spectral and temporal structure (Fig. 2A, 2B, 2C, 2D). Birds subjected to either deafening or ts-cut showed changes in both spectral structure and temporal structure (Fig. 2A, 2B, 2C, 2D; p < 0.05, Wilcoxon sign-rank test). Similar to earlier studies (Konishi, 1965; Price, 1979), these changes were small in deaf birds. Both the spectral (Fig. 2D) and temporal structure (Fig. 2C) of songs produced soon after deafening remained more similar than expected by chance to pre-deafening songs. In ts-cut birds, song spectral structure was completely disrupted after nerve cut and songs were no longer similar to pre-surgery songs (Fig. 2D). The tracheosyringeal nerve contains both efferent and afferent nerves carrying motor input to the syringeal muscles and proprioceptive feedback from the syringeal muscles respectively (Bottjer and Arnold, 1984). Since the nerve cut also affected efferent nerves carrying neural input to the syringeal muscles, spectral structure of song was disrupted as reported earlier (Roy and Mooney, 2007; Vicario, 1991; Williams and McKibben, 1992). Specifically, all syllables lost their characteristic acoustic structure and were reduced to harmonic stacks (Fig. 2A middle). However, the temporal structure remained more similar, than expected by chance, to songs produced before nerve cut (Fig. 2B middle and Fig. 2C) since

- 319 motor input to the respiratory muscles was not affected in ts-cut birds (Bottjer and Arnold, 1984;
- Roy and Mooney, 2007; Vallentin and Long, 2015; Vicario, 1991; Williams and McKibben, 1992).
- 321 Thus, consistent with earlier studies (Bottjer and Arnold, 1984; Roy and Mooney, 2007; Vallentin
- and Long, 2015; Vicario, 1991; Williams and McKibben, 1992), we also found that ts-cut altered
- 323 both proprioceptive and auditory feedback, while deafening disrupted only auditory feedback.

IN acoustic structure, not duration, is affected by removal of proprioceptive feedback

- We next quantified changes to the acoustic structure of INs after removal of either proprioceptive
- or auditory feedback. Similar to changes in song syllable structure (Fig. 2, Supp. Fig. 1, middle
- 328 column), INs also became harmonic stacks after surgery in ts-cut birds as seen by increased pitch
- 329 goodness and decreased frequency modulation (Fig. 2A middle, Fig. 3, p < 0.05, Wilcoxon sign-
- rank test). Despite this change, we could identify INs because IN duration, mean frequency and
- amplitude did not change significantly after surgery (Fig. 3A middle see Methods for details of
- 332 IN identification procedure in ts-cut birds). The position of INs at the beginning of the bout was
- 333 also maintained (Fig. 2A middle). Post-deafening, INs were softer and had reduced mean
- frequency (Fig. 3B, 3D right, p < 0.05, Wilcoxon sign-rank test). However, song syllables were
- 335 also softer after deafening (Supp. Fig. 1, right column, p < 0.05, Wilcoxon sign-rank test)
- 336 suggesting that these changes could have been a result of a change in microphone position after
- 337 surgery. No significant changes in IN acoustic structure were seen after sham surgery (Fig. 3,
- 338 left, p > 0.05, Wilcoxon sign-rank test).

Mean IN number before song was not affected by removal of proprioceptive or auditory

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- We next analyzed the mean and variability of IN number before each song (see Methods). Mean
- number of INs before song (Fig. 4A, 4B, 4C, 4D) and the variability in IN number (measured by
- 344 the CV Fig. 4E) did not change significantly soon after surgery in sham-surgery, ts-cut and deaf
- birds (Fig. 4D and 4C, p > 0.05, Wilcoxon sign-rank test). Infact, changes in mean IN number
- 346 post-surgery for feedback-deprived birds were not different from day-to-day changes in IN
- 347 number seen in normal, unmanipulated, birds (Supp. Fig. 2A). This further strengthened our
- 348 conclusion that IN number was unaffected by removal of proprioceptive or auditory feedback.

Progression of IN timing to song is not affected by removal of proprioceptive of auditory

feedback

We have previously shown that progression of INs to song is accompanied by changes in both timing and acoustic structure of INs within a bout (Rajan and Doupe, 2013). We first considered IN timing. Specifically, intervals between successive INs progress from a longer, more variable, first interval to a shorter, more stereotyped, interval between the last IN and song (Rajan and Doupe, 2013). This progression in IN timing was unchanged after surgery in sham surgery, ts-cut and deaf birds (Fig. 5A, 5B, 5C). After surgery, the interval between the first two INs remained longer and more variable than the interval between the last IN and song in ts-cut, deaf and sham surgery birds (Fig. 5D, 5E; p < 0.05 for First vs. Last, Repeated Measures 2-way ANOVA). Importantly, removal of feedback did not alter either the mean or variability of both the first interval and the last interval (Fig. 5D, 5E; p > 0.05 for Pre vs. Post, Repeated Measures 2-way ANOVA). A number of other aspects of IN timing were also not affected by removal of auditory or proprioceptive feedback and changes in IN timing post-surgery were similar to day-to-day changes seen in unmanipulated birds (Supp. Fig. 2B, 2C, 2D, Supp. Fig. 3A – see Methods). These results showed that the timing of INs and their progression did not depend on intact sensory feedback.

Progression of IN acoustic features to song is not affected by removal of proprioceptive of auditory feedback

Similar to IN timing, the acoustic structure of INs has also been shown to progress to a consistent last-IN state just before song (Rajan and Doupe, 2013). Although individual INs in each bout look very similar (Fig. 6A, 6B, 6C - top), we have previously shown that the first IN is less similar to the last IN across bouts. We quantified this by calculating the similarity between the first IN and the last IN before and after surgery (acoustic distance to last IN - smaller the distance, greater the similarity and vice versa; see Methods; see Fig. 6A, 6B, 6C for representative examples for sham surgery, ts-cut and deaf birds). Since we were interested in the progression, we calculated similarity to the last IN on the same day (pre-surgery last IN for presurgery and post-surgery last IN for post-surgery; see Methods). For each day, half of the last INs across all bouts were randomly chosen as a reference. The rest of the last INs and all of the first INs were then compared to this reference using the acoustic distance as an inverse measure of similarity (see Methods). The first IN was significantly different from the last IN (larger distance - Fig. 6D, p = 0.0544 for First vs. Last in ts-cut and p < 0.05 for First vs. Last in deaf birds,

Repeated Measures 2-way ANOVA) before and after surgery in ts-cut and deaf birds. However, this difference was smaller in sham-surgery birds both before and after surgery and did not reach significance (Fig. 6D left, p = 0.2469 for First vs. Last in sham-surgery birds, Repeated Measures 2-way ANOVA). Importantly, in all groups of birds, removal of feedback did not affect any of the measures of progression (p > 0.05, Pre vs. Post, Repeated Measures 2-way ANOVA). These results showed that INs still progressed from a first IN that was significantly different from the last IN to a more consistent last IN even in the absence of auditory or proprioceptive feedback. A number of other aspects of IN acoustic structure progression to song were also not affected by removal of auditory or proprioceptive feedback and remained similar to day-to-day changes seen in unmanipulated birds (Supp. Fig. 2E, 2F, 2G and Supp. Fig. 3B – see Methods). As mentioned earlier, ts-cut birds lacked neural input to the syringeal muscles in addition to the loss of proprioceptive feedback from the syringeal muscles. The continued progression of IN acoustic features suggested that this progression is a result of changing respiratory drive, since neural input to the respiratory muscles remained intact in these birds.

Overall, these results show that IN number and progression of IN timing are not dependent on intact sensory feedback (auditory and proprioceptive) and suggest that INs reflect an internal neural preparatory process (Fig. 1C).

IN number was reduced when calls preceded the first IN of a song bout

We have previously shown changes in neural activity in premotor nucleus HVC, starting hundreds of milliseconds before the start of the first IN of an undirected song bout (Rajan, 2018). While the origin of these changes is not known, these changes are thought to represent internal preparatory activity. Our current results suggested that INs also reflected internal neural preparatory processes, possibly a continuation of preparatory activity that began well before the first IN. If this was the case, we predicted a correlation between the number of INs and the levels of preparatory activity that preceded it. In our current study, we did not record neural activity to directly test this prediction. However, we behaviorally tested this prediction, in a separate set of unmanipulated birds (n=16), by examining the number of INs in song bouts where calls (other non-song vocalizations) preceded the first IN (call-song bouts – see Methods).

Calls are partially learned or unlearned vocalizations that are acoustically distinct from song and

are initiated by separate neural pathways (Simpson and Vicario, 1990; Vicario, 2004; Zann, 1996). Many aspects of calls are controlled by song motor nuclei and increased neural activity is seen in many of the song motor nuclei before and during calls (Benichov et al., 2016; Danish et al., 2017; Hahnloser et al., 2002; Kozhevnikov and Fee, 2007; Long and Fee, 2008; Rajan, 2018; Simpson and Vicario, 1990; Vyssotski et al., 2016; Yu and Margoliash, 1996). Further, we have previously shown the presence of higher levels of preparatory activity in HVC before the first IN when calls precede the first IN of a song bout (Rajan, 2018). Therefore, we expected fewer INs when the first IN of a song bout was preceded by calls.

Calls occurred at variable times before the first IN in a small fraction of bouts (Fig. 7A; mean +/- s.e.m. of interval between end of call and start of first IN = 471.2 + /- 48 ms; mean +/- s.e.m. of CV of interval between end of call and start of first IN = 0.86 + /- 0.07; n=16 birds). Consistent with our prediction of fewer INs in call song bouts, we observed fewer INs when calls occurred before the first IN (n=16 birds; mean +/- s.e.m. for IN song bouts = 3.7 + /- 0.24, mean +/- s.e.m. for call song bouts = 3.4 + /- 0.25, p = 0.03, Wilcoxon sign-rank test). This reduction was dependent on the time between the end of the call and the start of the IN; shorter the time, greater the reduction (Fig. 7B, p < 0.05, adjusted R² = 0.32 for an exponential fit, see Methods). In both feedback-intact and feedback-deprived birds, song bouts where the first IN began < 200ms after the end of a call, had fewer INs when compared to song bouts with only INs at the beginning or song bouts where the first IN began > 200ms after the end of the call (Fig. 7C, 7D, p < 0.05, repeated measures 1-way ANOVA and post-hoc Tukey Kramer test). These results showed that the presence of calls just before the first IN of a song bout correlated with fewer INs in both feedback-intact and feedback-deprived birds and suggest that INs could represent a continuation of on-going neural preparatory activity that begins well before the first IN (Rajan, 2018).

Calls just before the first IN of a song bout correlated with altered "initial" state

Given that both IN timing and acoustic features progress towards a consistent "ready" state just before song, we hypothesized that calls might reduce IN number by speeding up this progression. Consistent with this idea, song bouts where the first IN began < 200ms after the end of call had a significantly shorter interval between the first 2 INs when compared to song bouts with only INs or song bouts where the first IN began > 200ms after the end of the call (Fig. 8A, 8B; p < 0.05, Repeated Measures 1-way ANOVA followed by post-hoc Tukey-Kramer test). This was true both

in feedback-intact (Fig. 8A) and feedback-deprived birds (Fig. 8B). In feedback-intact birds, the decrease in interval between the first two INs in bouts with calls was correlated with the time between the end of the call and the start of the first IN, though the strength of the correlation was moderate (Supp. Fig. 4A, Adjusted R^2 for exponential fit = 0.15). In contrast to the changes in IN timing, neither the variability of the interval between the first two INs nor the acoustic structure of the first IN showed any differences based on whether calls were present before the first IN or not (feedback-intact birds - Fig. 8C, 8E; feedback-deprived birds - 8D, 8F; p > 0.05, Repeated Measures 1-way ANOVA). However, in feedback-intact birds, relative to bouts with only INs, the acoustic structure of the first IN after a call was more similar to the last IN (Supp. Fig. 4B). The change in acoustic structure was correlated with the time between the end of the call and the start of the first IN, but the strength of the correlation was weak (Supp. Fig. 4B, Adjusted R^2 for exponential fit = 0.09). Overall, these results showed that the presence of calls correlated with a change in IN timing (shorter interval between the first two INs) potentially causing the reduction in IN number before song.

"Initial" state of IN progression correlated with time to song initiation

Our results suggested that INs and the progression of IN timing and acoustic features represent on-going neural preparation. In other systems, neural preparatory activity is strongly correlated with the time to movement initiation – greater the progress of preparation, shorter the time to movement initiation (Churchland et al., 2006a; Shenoy et al., 2011; Shenoy et al., 2013). Similar to this, we found a significant correlation between the length of the interval between the first two INs and the time to song initiation in all birds (Fig. 9A, one bird; n=16/16 birds; mean r=0.77; range = 0.57-0.90). How similar the first IN was to the last IN was also correlated with the time to song initiation, albeit to a weaker extent in 14/16 birds (Fig. 9B, one bird; n=14/16 birds; mean r=0.32; range = -0.39-0.62). These data strengthened our conclusions that INs and their progression reflect on-going internal neural preparatory processes and suggested that IN timing and acoustic features reflect the "current" state of neural preparation.

DISCUSSION

In this study, we show that real-time auditory and/or proprioceptive feedback is not required for initiation of adult zebra finch song. We also show that the progression of INs, the repeated presong vocalizations, from a variable initial state to a more stereotyped final state is also

independent of real-time sensory (auditory and/or proprioceptive) feedback. Further, we show, in both feedback-intact and feedback-deprived birds, that fewer INs are present when the first IN of a song bout occurs within 200ms of the end of a call (other non-song vocalization). In such cases, IN timing was closer to the final state. Finally, the "initial" state of IN progression was correlated with the time to song initiation. Overall, these results demonstrate that INs do not provide real-time sensory feedback for the motor system to prepare for song initation. Rather, INs might simply reflect a continuation of internal neural preparatory process with timing and acoustic properties of INs reflecting the "current" state of this preparation.

Contributions of respiratory feedback to song initiation

One feedback that we did not alter is respiratory feedback from the air sacs (Méndez et al., 2010). However, previous work strongly suggests that respiratory feedback may not contribute to IN initiation. First, one earlier study showed that disrupting respiratory pressure during short syllables (of the order of 60ms) did not disrupt song progression (Amador et al., 2013) Given that INs are short syllables of the order of 60ms, INs may not require real-time respiratory feedback for progression to the next syllable (or song). Second, unilateral disruptions of vagal feedback mostly affected syllables at the end of song (Méndez et al., 2010). Finally, sparse, patterned neural activity of one class of neurons in premotor nucleus HVC during singing was also not affected by removal of sensory feedback including respiratory feedback (Vallentin and Long, 2015). All of these data suggest that respiratory feedback does not play a role in IN progression.

Long-term requirement for sensory feedback

Song production in adult birds does not depend on real-time sensory feedback (Bottjer and Arnold, 1984; Konishi, 1965) and our results show that song initiation also does not depend on real-time sensory feedback. However, long-term song maintenance does require intact sensory feedback as shown by song degradation starting many weeks after deafening (Horita et al., 2008; Nordeen and Nordeen, 1992; Williams and McKibben, 1992). Similarly, it is possible that sensory feedback could be necessary in the longer term for maintenance of IN progression to song (our study focused on songs produced within 10 days of removal of feedback). It would also be interesting to see if song degradation seen at later time-points after deafening is linked to (or caused by) a change in IN progression to song. If INs represent preparatory vocalizations,

such a link would be expected as small changes in the neural preparatory state in primates is correlated with changes in features of the upcoming movement (Afshar et al., 2011; Churchland et al., 2006b).

Comparison of INs to motor preparation in other systems

Preparatory neural activity has been described as a slow change in neural activity, starting as early as 1 second before the start of a movement (Chen et al., 2017; Churchland et al., 2006b; Gao et al., 2018; Lee and Assad, 2003; Li et al., 2015; Maimon and Assad, 2006; Murakami et al., 2014; Romo and Schultz, 1987; Tanji and Evarts, 1976). One important characteristic of this preparatory activity appears to be a decrease in variability across trials (Churchland et al., 2006a; Churchland et al., 2006c). The decrease in variability as INs progress to song (Rajan and Doupe, 2013) is very similar to the decrease in variability in neural activity seen before the start of a movement. Together with our current data showing that sensory feedback is not important for progression of INs to song, these results suggest that INs may represent preparatory activity. Additionally, earlier studies have shown the presence of preparatory neural activity in song control areas well before the first IN of undirected song bouts (Hessler and Doupe, 1999; Kao et al., 2008; Rajan, 2018; Woolley et al., 2014) and directed song bouts (Daliparthi et al., 2018 preprint). Thus, INs may reflect a continuation of this preparatory activity that begins hundreds of milliseconds before the first IN.

Overt movements in other systems as motor preparation

Our results suggest that overt vocalizations (INs) serve as preparatory activity. Previous studies describing neural preparatory activity, in primates and rodents, before the onset of a movement have not described similar overt movements as motor preparation (Chen et al., 2017; Churchland et al., 2006a; Gao et al., 2018; Murakami et al., 2014; Romo and Schultz, 1987; Tanji and Evarts, 1976). However, all of these studies have involved training animals to perform a task and animals are rewarded for maintaining stable posture without movements until a "GO" signal is provided for movement initiation. Therefore, overt preparatory movements, if present during the early stages of learning, would not be reinforced. This raises two interesting predictions for further experiments. (1) Are overt movements present at early stages of learning in primates and rodents too? (2) Songbirds learn their song with internal reinforcement cues that only reinforce similarity to the tutor song (or tutor song memory) (Fee and Scharff, 2010). It would be

interesting to see if INs are learned similar to song learning. Additionally, there are human studies showing the presence of small eye movements (microsaccades) and small limb movements while waiting for a "GO" cue to perform an eye or limb movement (Betta and Turatto, 2006; Cohen and Rosenbaum, 2007; Corneil and Munoz, 2014). Changes in pupil size have also been shown to correlate with preparatory activity (Wang et al., 2015). This suggests that overt movements like INs may be more common before the start of naturally learned movements and may reflect motor preparation.

Mechanisms for IN progression to song

Our results show that sensory feedback is not essential for IN progression to song. Rather, the properties of INs correlate with "time to song initiation" and may reflect the "current" state of motor preparation. How do the properties of INs change to progress to song? In our current study, we showed that the presence of calls prior to the first IN was correlated with shorter intervals between the first two INs and fewer INs before song. Similarly, shorter intervals between the first two INs has also been observed when neural preparatory activity in premotor nucleus HVC, precedes the first IN (Rajan, 2018). Since calls are also associated with increased neural activity in many song control areas (Benichov et al., 2016; Danish et al., 2017; Hahnloser et al., 2002; Kozhevnikov and Fee, 2007; Vyssotski et al., 2016; Yu and Margoliash, 1996), the intervals between successive INs may reflect a history of increased activity within these interconnected motor regions. The shorter interval might also lead to short-term plasticity that might facilitate song initiation by speeding up IN progression. Such short-term plasticity has been observed in the inputs to premotor nucleus HVC (Coleman et al., 2007). Further experiments disrupting short-term plasticity or disrupting activity in motor control regions during IN production could help understand the mechanisms of IN progression to song.

Independent of the mechanisms, our results suggest that simple movements, like INs, that precede the initiation of learned motor sequences, like song, reflect ongoing internal neural preparatory processes.

Acknowledgements

We would like to thank Prakash Raut for help with bird colony maintenance. We would like to thank Michael Long, Hamish Mehaffey, Anand Krishnan, Deepa Subramanyam, Girish

- 575 Deshpande and members of the Rajan and Krishnan Labs for useful discussions and comments
- 576 on the manuscript.

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- 578 **Competing Interests**
- 579 The authors declare no competing or financial interests.
- 581 Author contributions
- 582 DR and RR designed the experiments. DR performed the experiments. SK performed the
- deafening experiments. DR and RR analyzed the data. DR and RR wrote the paper with inputs
- 584 from SK.
- 586 Funding
- 587 This work was supported by a Department of Biotechnology (DBT) Ramalingaswami Fellowship
- 588 (BT/HRD/35/02/2006) and a grant from the Department of Science and Technology (EMR/2015/000829)
- 589 to RR. We would also like to acknowledge travel support from DBT, CTEP and the Infosys Foundation to
- 590 DR. The deafening experiments, carried out at UCSF, San Francisco, were supported by an NIH
- 591 R01 (MH55987) to Allison Doupe.

593 SUPPLEMENTAL INFORMATION

594 Supplemental information includes 4 supplemental figures and 1 supplemental table.

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FIGURE LEGENDS

598 Figure 1 Zebra finch song and possible hypothesis about the role of INs in song initiation

- 599 (A) A male zebra finch and spectrogram of the song of an adult male zebra finch. The picture
- also shows two important sensory feedback sources, namely, auditory feedback from the cochlea
- and proprioceptive feedback from the syringeal muscles. In the spectrogram, 'i' denotes
- introductory notes (INs) and 'a', 'b', 'c' and 'd' represent the syllables of the song motif (gray
- 603 shading).

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- 604 (B), (C) Hypotheses for the role of INs in song initiation. 'i' represents INs and the colour, size
- and distance between INs represent the changing acoustic and timing features of successive INs.
- 606 Each IN provides sensory feedback to the brain to drive the next improved IN until song
- 607 initiation (B) OR Each improved IN reflects changes in ongoing internal preparatory activity (C).

609 Figure 2 Song structure after sham surgery, tracheosyringeal nerve cut or deafening

- 610 (A) Representative spectrograms of song for individual birds before and after sham surgery
- 611 (left), tracheosyringeal nerve cut (middle) or deafening (right). Scale bar 200ms and 1000Hz. 'i's
- represent INs and 'a', 'b', 'c' and 'd' represent song motif syllables.
- 613 (B) Syllable duration histograms for individual birds before (red) and after (blue) sham surgery
- 614 (left), ts-cut (middle) or deafening (right).
- 615 (C) and (D) Temporal similarity (C) and spectral similarity (D) to pre surgery song for songs
- 616 produced after sham surgery, ts-cut or deafening. Each circle represents one bird and lines
- connect data from the same bird before and after surgery. Bars and whiskers represent means
- 618 across birds. Dashed line and shading represents mean and 95% confidence intervals for
- 619 similarity between random birds. Filled circles represent values for the birds shown in (A) and
- 620 (B).

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Figure 3 Changes in IN acoustic features after removal of sensory feedback

- 623 (A), (B), (C), (D), (E) and (F) Acoustic properties of INs pre and post sham surgery (left
- 624 column), ts-cut surgery (middle column) or deafening (right column). Circles represent
- 625 individual birds and lines connect data from the same bird. Squares and whiskers represent mean
- and s.e.m. across birds. Acoustic features plotted are duration (A), mean frequency (B), entropy
- 627 (C), log amplitude (D), pitch goodness (E) and frequency modulation (F). * represents p < 0.05,
- ** represents p < 0.01, Wilcoxon sign-rank test.

Figure 4 Mean IN number and variability are not affected by removal of sensory feedback

- 630 (A), (B) and (C) Distribution of number of INs for a representative bird before (red) and after
- 631 (blue) sham-surgery (A), ts-cut (B) and deafening (C). Squares and whiskers represent mean and
- 632 s.d. of the distributions pre (red) and post (blue) surgery.
- 633 (D) and (E) Mean IN number (D) and CV of IN number (E) before and after surgery for all
- 634 sham-surgery, ts-cut and deaf birds. Circles represent individual birds and lines connect data
- 635 from the same bird. Bars and whiskers represent mean and s.e.m. across birds. Filled circles
- 636 represent the birds shown in (A), (B) and (C)

Figure 5 Progression of intervals between successive INs is independent of sensory feedback

- 639 (A), (B) and (C) Top spectrograms of a sequence of INs before the first motif syllable before
- and after surgery. The position of each IN interval relative to the motif onset and the first and last
- 641 interval are marked. Bottom Interval between successive INs vs position of the interval relative
- to motif onset for 3 example birds before (red) and after (blue) sham surgery (A), ts-cut surgery
- (B) or deafening (C). Squares and whiskers represent mean and standard deviation.
- 644 (D) Mean interval between the first two INs in a bout and mean interval between the last IN and
- song across all birds before and after sham surgery (left), ts-cut surgery (middle) or deafening
- 646 (right). Circles represent individual birds and lines connect data from the same bird before and
- after surgery. Bars and whiskers represent mean and s.e.m. across all birds.
- 648 (E) CV (standard deviation / mean) of the interval between the first two INs in a bout and CV of
- 649 the interval between the last IN and song across all birds before and after sham surgery (left), ts-
- 650 cut surgery (middle) or deafening (right). Circles represent individual birds and lines connect
- data from the same bird before and after surgery. Bars and whiskers represent mean and s.e.m.
- across all birds. * represents p < 0.05, ** represents p < 0.01, *** represents p < 0.001, Repeated
- 653 Measures 2-way ANOVA.

Figure 6 Progression of acoustic properties of successive INs is independent of sensory

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- 657 (A), (B) and (C) Top spectrograms of a sequence of INs before the first motif syllable before
- and after surgery. The position of each IN relative to motif onset and the first and last INs are
- 659 marked. Bottom Acoustic distance of successive INs from the last IN for 3 example birds
- 660 before (red) and after (blue) sham surgery (A), ts-cut surgery (B) or deafening (C). Squares and

27

- whiskers represent mean and standard deviation.
- 662 (D) Mean acoustic distance of the first IN and the last IN from the last IN in a bout across all
- birds before and after sham surgery (left), ts-cut surgery (middle) or deafening (right). Circles
- represent individual birds and lines connect data from the same bird before and after surgery.
- Bars and whiskers represent mean and s.e.m. across all birds. * represents p < 0.05, ** represents
- 666 p < 0.01, *** represents p < 0.001, Repeated Measures 2-way ANOVA.

Figure 7 Calls just before the first IN correlate with fewer INs at the start of the bout

- 669 (A) Spectrograms of the start of an IN song bout (top) and two call song bouts with the call
- occuring well before the first IN (middle) or just before the first IN (bottom). 'i' and 'y' represent
- INs, 'a' and 'b' represent motif syllables and 'x' represents a call. Scale bar 200ms and 1000 Hz.
- 672 (B) Silence between the end of the call and the beginning of the first IN vs. change in IN number
- 673 relative to the mean IN number in IN song bouts. Each circle represents one bird. Bars represent
- mean across birds and the line represent an exponential fit to the data ($y=-1.642e^{-0.007x}$, adjusted
- 675 $R^2 = 0.31$).

667

- 676 (C) and (D) Mean IN number in IN song bouts, call bouts with calls occurring \leq 200ms before
- 677 the first IN and call bouts with calls occuring > 200ms before the first IN for unmanipulated,
- 678 feedback-intact birds (C), and for feedback-deprived birds (D; red post ts-cut; green post
- 679 deafening). Circles represent individual birds and lines connect data from the same bird. Bars
- and whiskers represent mean and s.e.m. across birds.
- * represents p < 0.05, ** represents p < 0.01, *** represents p < 0.001, Repeated Measures 1-
- 682 way ANOVA.

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684 Figure 8 Calls just before the first IN correlate with a shorter interval between first two INs

- (A), (B) Mean duration of the interval between the first two INs in IN song bouts, call bouts with
- calls occurring \leq 200ms before the first IN and call bouts with calls occurring \geq 200ms before the
- 687 first IN for unmanipulated, feedback-intact birds (A), and for feedback-deprived birds (B; red –
- 688 post ts-cut; green post deafening). Circles represent individual birds and lines connect data
- from the same bird. Bars and whiskers represent mean and s.e.m. across birds.
- 690 (C), (D) Variability of the interval between the first two INs in IN song bouts, call bouts with
- calls occurring \leq 200ms before the first IN and call bouts with calls occurring \geq 200ms before the
- 692 first IN for unmanipulated, feedback-intact birds (C), and for feedback-deprived birds (D; red –

- 693 post ts-cut; green post deafening). Circles represent individual birds and lines connect data
- from the same bird. Bars and whiskers represent mean and s.e.m. across birds.
- 695 (E), (F) Mean acoustic distance of the first IN from the last IN in IN song bouts, call bouts with
- 696 calls occuring ≤ 200ms before the first IN and call bouts with calls occuring > 200ms before the
- 697 first IN for unmanipulated, feedback-intact birds (E), and for feedback-deprived birds (F; red –
- 698 post ts-cut; green post deafening). Circles represent individual birds and lines connect data
- from the same bird. Bars and whiskers represent mean and s.e.m. across birds.
- * represents p < 0.05, ** represents p < 0.01, *** represents p < 0.001, Repeated Measures 1-
- 701 way ANOVA.

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718

703 Figure 9 "Initial" state of IN progresison correlates with time to song initiation

- 704 (A) and (B) Correlation between the interval between the first two INs (A) or the acoustic
- 705 distance of the first IN to the last IN (B) and the time to start of song (onset of the first motif
- 706 syllable) for one bird. Circles represent data from individual bouts.

708 SUPPLEMENTARY INFORMATION

709 SUPPLEMENTARY FIGURE LEGENDS

710 Supplementary Figure 1 Changes in motif syllable acoustic features after removal of

- 711 sensory feedback
- 712 (A), (B), (C), (D), (E) and (F) Acoustic properties of motif syllables pre and post sham surgery
- 713 (left column), ts-cut surgery (middle column) or deafening (right column). Circles represent
- 714 average across all motif syllables for individual birds and lines connect data from the same bird.
- 715 Squares and whiskers represent mean and s.e.m. across birds. Acoustic features plotted are
- 716 duration (A), mean frequency (B), entropy (C), log amplitude (D), pitch goodness (E) and
- frequency modulation (F). * represents p < 0.05, ** represents p < 0.01, Wilcoxon sign-rank test.

719 Supplementary Figure 2 Comparison of day-to-day changes in IN properties with changes

- 720 observed after surgery for sham-surgery, ts-cut and deaf birds
- 721 (A), (B), (C), (D), (E), (F) and (G) Comparison of change in IN properties before and after sham-
- 722 surgery, ts-cut surgery or deafening with day-to-day changes in IN properties. Changes in IN
- 723 number (A), Last IN interval (B), Ratio of successive intervals (C), first IN interval (D), last IN
- 724 acoustic distance to last IN (E), ratio of acoustic distances of successive INs to the last IN (F)

- and first IN acoustic distance to the last IN (G) are plotted. Circles represent individual birds.
- 726 Squares and whiskers represent median and inter-quartile range across all birds.
- 728 Supplementary Figure 3 Progression of IN timing and acoustic features is not altered by
- 729 removal of auditory or proprioceptive feedback
- 730 (A), (B) Ratio of successive inter-IN intervals (A) and ratio of acoustic distances of successive
- 731 INs from the last IN (B) for all birds before and after sham-surgery, ts-cut surgery or deafening.
- 732 Each circle represents data from an individual bird and lines connect data from the same bird.
- 733 Bars and whiskers represent mean and s.e.m. across birds
- 735 Supplementary Figure 4 Changes in IN "initial" state in bouts with calls before the first IN
- 736 also depend on the time between the end of the call and the start of the first IN
- 737 (A) Silence between the end of the call and the beginning of the first IN vs. change in interval
- 738 between the first two INs in call song bouts relative to the interval between the first two INs in
- 739 IN song bouts. Each circle represents one bird. Bars represent mean across birds and the line
- represent an exponential fit to the data (y=-41.969 $e^{-0.002x}$, adjusted R² = 0.16).
- 741 (B) Silence between the end of the call and the beginning of the first IN vs. change in acoustic
- 742 distance of the first IN to the last IN in call song bouts relative to acoustic distance of the first IN
- 743 from the last IN in IN song bouts. Each circle represents one bird. Bars represent mean across
- birds and the line represent an exponential fit to the data (y=-1.873e $^{-0.002x}$, adjusted R² = 0.09).
- 746 Supplementary Table 1 Details of statistical tests and the associated p-values for all
- 747 analyses

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B INs provide real-time sensory feedback C INs reflect internal preparatory processes

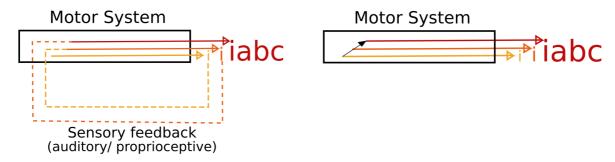


Figure 1 Possible hypothesis about the role of introdutory notes (INs) in song initiation

Figure 2 Song structure after sham surgery, tracheosyringeal nerve cut or deafening

Figure 3 Changes in IN acoustic features after removal of sensory feedback

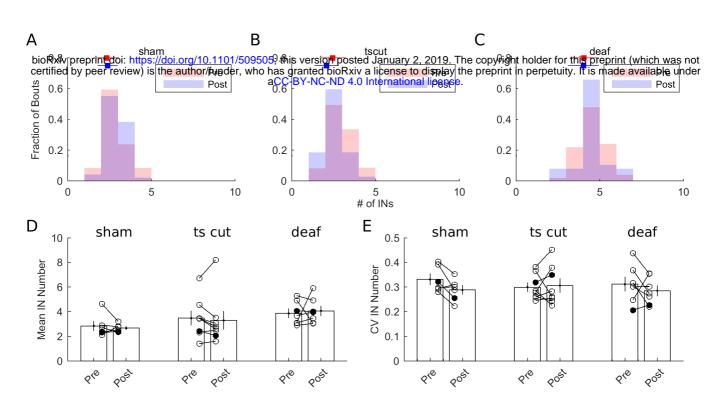


Figure 4 Mean IN number and variability are not affected by removal of sensory feedback

Figure 5 Progression of intervals between successive INs is independent of sensory feedback

Figure 6 Progression of acoustic properties of successive INs is independent of sensory feedback

Figure 7 Calls just before the first IN correlate with fewer INs at the start of the bout

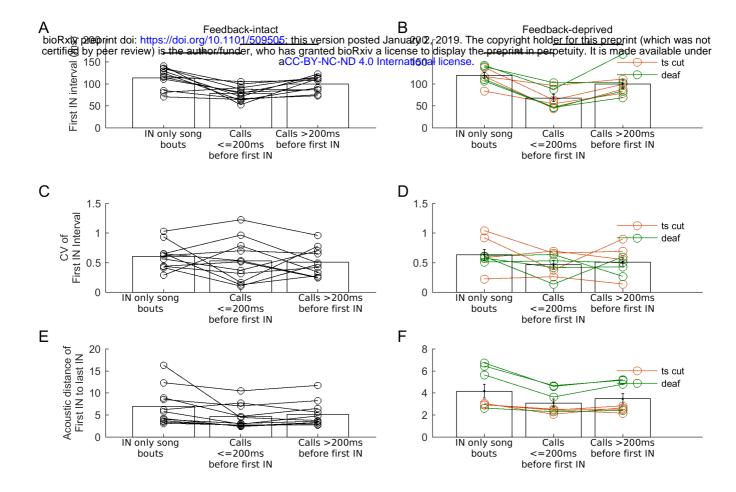
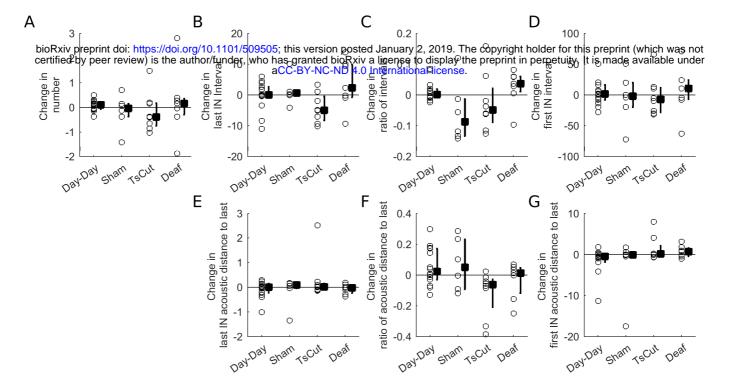


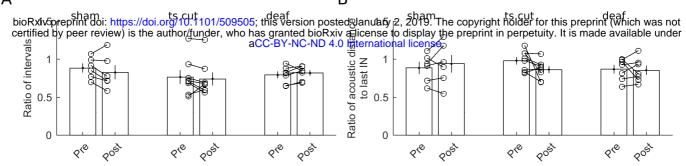
Figure 8 Calls just before the first IN correlate with a shorter interval between first two INs

Figure 9 "Initial" state of IN progresison correlates with time to song initiation

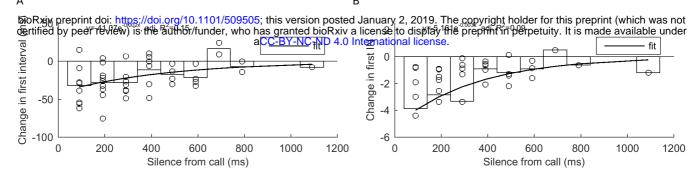
Supplementary Figure 1 Changes in motif syllable acoustic features after removal of sensory feedback



Supplementary Figure 2 Comparison of day-to-day changes in IN properties with changes observed after surgery for sham-surgery, ts-cut and deaf birds



Supplementary Figure 3 Progression of IN timing and acoustic features is not altered by removal of auditory or proprioceptive feedback



Supplementary Figure 4 Changes in IN "initial" state in bouts with calls before the first IN also depend on the time between the end of the call and the start of the first IN

S.No	Figure No.	Paremeters	Groups Compared	Test			RES	SULTS		
			Sham: Pre-Post	signrank	p-value	0.0625				
			Ts cut: Pre-Post	signrank	p-value	0.0078				
1	2C	Temporal Similarity	Deaf: Pre-Post	signrank	p-value	0.0156				
			Random birds-Ts cut Post	kruskal-wallis ANOVA	p-value	0.0004				
			Random birds-Deaf Post	kruskal-wallis ANOVA	p-value	0.0006				
			Sham: Pre-Post	signrank	p-value	0.1875				
	2D		Ts cut: Pre-Post	signrank	p-value	0.0039				
2		Spectral Similarity	Deaf: Pre-Post	signrank	p-value	0.0156				
			Random birds-Ts cut Post	kruskal-wallis ANOVA	p-value	0.3272				
			Random birds-Deaf Post	kruskal-wallis ANOVA	p-value	0.0006				
			Sham: Pre-Post	signrank	p-value	0.8438				
3	4D	Mean Number of INs	Ts cut: Pre-Post	signrank	p-value	0.3828				
			Deaf: Pre-Post	signrank	p-value	0.6875				
			Sham: Pre-Post	signrank	p-value	0.4042				
4	4E	CV Number of INs	Ts cut: Pre-Post	signrank	p-value	0.7422				
		OV Number of five	Deaf: Pre-Post	signrank	p-value	0.5781				
			Sham: Pre-Post	signrank	p-value	1				
5	3A	Duration of INs	Ts cut: Pre-Post	signrank	p-value	0.1953				
_			Deaf: Pre-Post	signrank	p-value	0.5781				
			Sham: Pre-Post	signrank	p-value	0.3125				
6	3B	Mean frequency of INs	Ts cut: Pre-Post	signrank	p-value	0.3125				
ŭ	02		Deaf: Pre-Post	signrank	p-value	0.0469				
			Sham: Pre-Post	signrank	p-value	1				
7	3C	Entropy of INs	Ts cut: Pre-Post	signrank	p-value	0.3828				
			Deaf: Pre-Post	signrank	p-value	0.2188				
			Sham: Pre-Post	signrank	p-value	0.4375				
8	3D	Log Amplitude of INs	Ts cut: Pre-Post	signrank	p-value	0.8438				
Ü			Deaf: Pre-Post	signrank	p-value	0.0156				
			Sham: Pre-Post	signrank	p-value	0.5625				
۵	3E	Pitch Goodness of INs	Ts cut: Pre-Post	signrank	p-value	0.0156				
3	OL.		Deaf: Pre-Post	signrank	p-value	0.2188				
			Sham: Pre-Post	signrank	p-value	0.5625				
10	3F	Frequency modulation of INs	Ts cut: Pre-Post	signrank	p-value	0.0078				
10			Deaf: Pre-Post	signrank	p-value p-value	0.375				
11	5D	Mean IN interval	Sham: first and last interval on pre and post	Repeated Measures	Source'	SS'	df'	MS'	F'	p-value
			day	two-wayANOVA						·
					Pre-Post'	9.7247	1	9.7247	0.0215	0.8891
					First-Last'	16433.383	1	16433.383	6.6752	0.0492
					Pre-Post x First- Last'	43.1520	1	43.1520	0.1068	0.7571
		Mean IN interval	Ts cut: first and last interval on pre and post day	Repeated Measures two-wayANOVA	Source'	SS'	df	MS'	F'	p-value
			-		Pre-Post'	61.7874	1	61.7874	0.2585	0.6268
					First-Last'	44717.540	1	44717.540	91.7874	0.0000
					Pre-Post x First- Last'	16.4337	1	16.4337	0.0558	0.8200
		Mean IN interval	Deaf: first and last interval on pre and post day	Repeated Measures two-wayANOVA	Source'	SS'	df'	MS'	F'	p-value
					Pre-Post'	236.1533	1	236.1533	0.6847	0.4397
					First-Last'	29916.057	1	29916.057	94.9916	0.0001
					Pre-Post x First- Last'	32.9580	1	32.9580	0.0646	0.8078

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			day aCC-B	Y-NC-ND 4.0 Interna	tional license. Pre-Post'	0.0024	1	0.0024	0.1551	0.7099
					First-Last'	1.6773	1	1.6773	19.2118	0.7099
					Pre-Post x First- Last'	0.0080	1	0.0080	0.3355	0.5875
			Ts cut: first and last interval on pre and post day	Repeated Measures two-wayANOVA	Source'	SS'	df	MS'	F'	p-value
			day		Pre-Post'	0.0155	1	0.0155	1.3947	0.2762
					First-Last'	1.8026	1	1.8026	35.8553	0.0005
					Pre-Post x First- Last'	0.0014	1	0.0014	0.1947	0.6723
			Deaf: first and last interval on pre and post day	Repeated Measures two-wayANOVA	Source'	SS'	df	MS'	F'	p-value
			on pro and poor day	ine may/ are //	Pre-Post'	0.0343	1	0.0343	0.6613	0.4472
					First-Last'	0.3953	1	0.3953	6.9461	0.0388
					Pre-Post x First- Last'	0.0752	1	0.0752	2.2303	0.1859
13	6D	Acoustic distance to last IN	Sham: first and last IN on pre and post day	Repeated Measures two-wayANOVA	Source'	SS'	df'	MS'	F'	p-value
		last IIV	pre and post day	two way/avov/	Pre-Post'	11.1136	1	11.1136	0.7358	0.4302
					First-Last'	65.5787	1	65.5787	1.7185	0.2469
					Pre-Post x First- Last'	8.4402	1	8.4402	0.8231	0.4059
			Ts cut: first and last IN on pre and post day	Repeated Measures two-wayANOVA	Source'	SS'	df	MS'	F'	p-value
			pro una post day	ino may/ into v/t	Pre-Post'	4.5421	1	4.5421	1.5773	0.2494
					First-Last'	74.5198	1	74.5198	5.3249	0.0544
					Pre-Post x First- Last'	2.2832	1	2.2832	1.1463	0.3198
			Deaf: first and last IN on pre and post day	Repeated Measures two-wayANOVA	Source'	SS'	df	MS'	F'	p-value
			pro ana post aay	ine may/ are //	Pre-Post'	0.9207	1	0.9207	2.7493	0.1484
					First-Last'	67.7705	1	67.7705	24.9848	0.0025
					Pre-Post x First- Last'	1.3146	1	1.3146	3.5509	0.1085
14	7C	Number of INs	Feedback-intact: IN song bouts, calls <=200ms	Repeated Measures one-wayANOVA		SumSq	df	MeanSq	F	p-value
					(Intercept): BoutType	1.8531	2	0.9265	8.4239	0.0026
			before the first IN, calls >200ms before the first IN		Bird:BoutType	0.2121	2	0.1061	0.9642	0.4001
					Error (BoutType)	1.9798	18	0.1100	1.0000	0.5000
15	7D	Number of INs	Feedback-deprived: IN song bouts, calls <=200ms before the first	Repeated Measures one-wayANOVA		SumSq	df	MeanSq	F	p-value
					(Intercept): BoutType	2.3666	2	1.1833	7.8697	0.0066
			IN, calls >200ms before		Bird:BoutType	0.7949	2	0.3974	2.6431	0.1119
			the first IN		Error (BoutType)	1.8043	12	0.1504	1.0000	0.5000
16	8A	Fisrt IN interval mean		Repeated Measures one-wayANOVA		SumSq	df	MeanSq	F	p-value
			Feedback-intact: IN song bouts, calls <=200ms		(Intercept): BoutType	3920.8465	2	1960.4233	7.3664	0.0046
			before the first IN, calls >200ms before the first IN		Bird:BoutType	859.2263	2	429.6131	1.6143	0.2265
					Error (BoutType)	4790.3390	18	266.1299	1.0000	0.5000
17	8C	Fisrt IN interval CV		Repeated Measures one-wayANOVA	'	SumSq	df	MeanSq	F	p-value
			Feedback-intact: IN song bouts, calls <=200ms		(Intercept): BoutType	0.1652	2	0.0826	1.7204	0.2071
			before the first IN, calls >200ms before the first IN		Bird:BoutType	0.1335	2	0.0668	1.3907	0.2744
					Error (BoutType)	0.8639	18	0.0480	1.0000	0.5000
18	8E	First IN distance to last IN		Repeated Measures one-wayANOVA	. 21 /	SumSq	df	MeanSq	F	p-value
			Feedback-intact: IN song bouts, calls <=200ms		(Intercept):	7.8653	2	3.9327	0.8080	0.4613
			before the first IN, calls		BoutType Bird:BoutType	0.3238	2	0.1619	0.0333	0.9673
			>200ms before the first IN		Error					
			I		(BoutType)	87.6102	18	4.8672	1.0000	0.5000

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31 UIIE	tu by pe	releasiview) is the au	thor/funder, who has gra feedback-deprived a CC-B song bouts, calls	Y-NC-ND 4.0 Interna	tippel lippinse. BoutType	2217.5005	11 pe	1108.7503	is made 3 4.1573	0.0425
			<=200ms before the first IN, calls >200ms before		Bird:BoutType	253.0544	2	126.5272	0 4744	0.6334
			the first IN		Error (BoutType)	3200.3707		266.6976		0.5000
20 8	8D	Fisrt IN interval CV	Feedback-deprived: IN song bouts, calls <=200ms before the first	Repeated Measures one-wayANOVA	, ,,	SumSq	df	MeanSq	F	p-value
					(Intercept): BoutType	0.0287	2	0.0144	0.4083	0.6737
			IN, calls >200ms before		Bird:BoutType	0.0016	2	0.0008	0.0224	0.9779
			the first IN		Error (BoutType)	0.4225	12	0.0352	1.0000	0.5000
21	8F	First IN distance to last IN	Feedback-deprived: IN song bouts, calls <=200ms before the first	Repeated Measures one-wayANOVA		SumSq	df	MeanSq	F	p-value
					(Intercept): BoutType	0.2693	2	0.1347	0.7387	0.4983
			IN, calls >200ms before		Bird:BoutType	0.2794	2	0.1397	0.7663	0.4862
			the first IN		Error (BoutType)	2.1878	12	0.1823	1.0000	0.5000
22	S2A	Change in IN Number	Intact (da-day), Sham (pre-post), Ts cut (pre- post), Deaf (pre-post)	kruskal-wallis ANOVA	p-value	0.4539				
23	S2B	Change in last IN interval	Intact (da-day), Sham (pre-post), Ts cut (pre- post), Deaf (pre-post)	kruskal-wallis ANOVA	p-value	0.1543				
24	S2C	Change in ratio of IN intervals	Intact (da-day), Sham (pre-post), Ts cut (pre- post), Deaf (pre-post)	kruskal-wallis ANOVA	p-value	0.0376				
25	S2D	Change in first IN interval	Intact (da-day), Sham (pre-post), Ts cut (pre- post), Deaf (pre-post)	kruskal-wallis ANOVA	p-value	0.6982				
26	S2E	Change in last IN distance to last IN	Intact (da-day), Sham (pre-post), Ts cut (pre- post), Deaf (pre-post)	kruskal-wallis ANOVA	p-value	0.8035				
27	S2F	Change in ratio of distance to last IN	Intact (da-day), Sham (pre-post), Ts cut (pre- post), Deaf (pre-post)	kruskal-wallis ANOVA	p-value	0.0708				
28	S2G	Change in first IN distance to last IN	Intact (da-day), Sham (pre-post), Ts cut (pre- post), Deaf (pre-post)	kruskal-wallis ANOVA	p-value	0.2122				
29	S3A	Ratio of intervals	Sham: Pre-Post	signrank	p-value	0.2188				
			Ts cut: Pre-Post	signrank	p-value	0.5468				
			Deaf: Pre-Post	signrank	p-value	0.2969				
30	S3B	Ratio of distance to last IN	Sham: Pre-Post	signrank	p-value	0.5625				
			Ts cut: Pre-Post	signrank	p-value	0.0781				
			Deaf: Pre-Post	signrank	p-value	0.9375				
31	S3A	First IN: duration	Ts cut: Pre-Post	signrank	p-value	0.2500				
		Last IN: duration	Ts cut: Pre-Post	signrank	p-value	0.1484				
		Ratio: duration	Ts cut: Pre-Post	signrank	p-value	0.9790				
32	S3B	First IN: mean frequency	Ts cut: Pre-Post	signrank	p-value	0.8438				
		Last IN: mean frequency	Ts cut: Pre-Post	signrank	p-value	0.1484				
		Ratio: mean frquency	Ts cut: Pre-Post	signrank	p-value	1.0000				

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	' '	,	Ts cut: Pre-Post aCC-B	Ysighrand 4.0 Interna	tional license.	0.8438
			Deaf: Pre-Post	signrank	p-value	0.0781
34	S1B	Mean frequency of motif syllables	Sham: Pre-Post	signrank	p-value	0.3125
			Ts cut: Pre-Post	signrank	p-value	0.0547
			Deaf: Pre-Post	signrank	p-value	0.6875
35	S1C	Entropy of motif syllables	Sham: Pre-Post	signrank	p-value	0.6875
			Ts cut: Pre-Post	signrank	p-value	0.0156
			Deaf: Pre-Post	signrank	p-value	0.2188
36	S1D	Log amplitude of motif syllables	Sham: Pre-Post	signrank	p-value	0.4375
			Ts cut: Pre-Post	signrank	p-value	0.1484
			Deaf: Pre-Post	signrank	p-value	0.0156
47	S1E	Pitch goodness of motif syllables	Sham: Pre-Post	signrank	p-value	0.2188
			Ts cut: Pre-Post	signrank	p-value	0.0156
			Deaf: Pre-Post	signrank	p-value	0.2969
38	S1F	Frequency modulation of motif syllables	Sham: Pre-Post	signrank	p-value	0.5625
			Ts cut: Pre-Post	signrank	p-value	0.0078
			Deaf: Pre-Post	signrank	p-value	0.5625