

1 **Automated identification of Cell Types in Single Cell RNA Sequencing**

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24 **Abstract**

25 Cell type identification is one of the major goals in single cell RNA sequencing (scRNA-seq).
26 Current methods for assigning cell types typically involve the use of unsupervised clustering, the
27 identification of signature genes in each cluster, followed by a manual lookup of these genes in
28 the literature and databases to assign cell types. However, there are several limitations associated
29 with these approaches, such as unwanted sources of variation that influence clustering and a lack
30 of canonical markers for certain cell types. Here, we present ACTINN (Automated Cell Type
31 Identification using Neural Networks), which employs a neural network with 3 hidden layers,
32 trains on datasets with predefined cell types, and predicts cell types for other datasets based on
33 the trained parameters. We trained the neural network on a mouse cell type atlas (Tabula Muris
34 Atlas) and a human immune cell dataset, and used it to predict cell types for mouse leukocytes,
35 human PBMCs and human T cell sub types. The results showed that our neural network is fast
36 and accurate, and should therefore be a useful tool to complement existing scRNA-seq pipelines.

37

38 **Author Summary**

39 Single cell RNA sequencing (scRNA-seq) provides high resolution profiling of the
40 transcriptomes of individual cells, which inevitably results in high volumes of data that require
41 complex data processing pipelines. Usually, one of the first steps in the analysis of scRNA-seq is
42 to assign individual cells to known cell types. To accomplish this, traditional methods first group
43 the cells into different clusters, then find marker genes, and finally use these to manually assign
44 cell types for each cluster. Thus these methods require prior knowledge of cell type canonical
45 markers, and some level of subjectivity to make the cell type assignments. As a result, the
46 process is often laborious and requires domain specific expertise, which is a barrier for

47 inexperienced users. By contrast, our neural network ACTINN automatically learns the features
48 for each predefined cell type and uses these features to predict cell types for individual cells.
49 This approach is computationally efficient and requires no domain expertise of the tissues being
50 studied. We believe ACTINN allows users to rapidly identify cell types in their datasets, thus
51 rendering the analysis of their scRNA-seq datasets more efficient.

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54

55 **Introduction**

56 Single cell RNA sequencing (scRNA-seq) enables the profiling of the transcriptomes of
57 individual cells, thus characterizing the heterogeneity of samples in manner that was not possible
58 using traditional bulk RNA-Seq^[1]. However, scRNA-seq experiments typically yield high
59 volumes of data, especially when the number of cells is large (often many thousands). Thus, fast
60 and efficient computational methods are essential for scRNA-seq analyses.

61

62 One common goal of scRNA-seq analyses is to identify the cell type of each individual cell that
63 has been profiled. To accomplish this, typically cells are first grouped into different clusters in an
64 unsupervised way, and the number of clusters allows us to approximately determine how many
65 distinct cell types are present in the sample. Each cluster should contain cells with similar
66 expression profiles, and so the aggregated profile of a cluster increases the signal to noise of the
67 expression estimates. To attempt to interpret the identity of each cluster, marker genes are found
68 as those that are uniquely highly expressed in a cluster, compared to all the other clusters. These
69 canonical markers are then used to assign the cell types for the clusters, by cross referencing the

70 markers with lists of previously characterized cell type specific markers. While this process is
71 able to identify cell types, there are some limitations: 1. Since the clustering method is
72 unsupervised, all sources of variation influence the formation clusters, including effects that are
73 not directly related to cell types such as differential expression induced by cell cycles. 2. It is
74 often difficult to find an optimal match between the marker genes associated with each cluster
75 and the canonical markers for specific cell types. Moreover, depending on the clustering
76 parameters used, one cluster might contain multiple cell types, or one cell type could be split
77 into multiple clusters. 3. Using canonical markers to assign cell types requires background
78 knowledge of cell type specific markers, and sometimes these are not well characterized or
79 difficult to find in the literature. Moreover, some canonical markers may be expressed by more
80 than one cell type, and some cell types may have no known markers. 4. The same types of cells
81 processed by two distinct scRNA-seq techniques tend to cluster separately due to technical batch
82 effects, which complicates cell type identification in composite datasets. 5. Cell subtypes are
83 often very similar to each other, which limits efforts to separate them accurately into different
84 clusters. To overcome many of the limitations of existing approaches, new methods need to be
85 developed.

86
87 Neural networks provide a popular framework for machine learning algorithms which can be
88 used to interpret complex datasets. As a result, neural networks have been widely used in many
89 fields, including for the analysis of scRNA-seq data^[2-5]. Since the output data from scRNA-seq is
90 feature-enriched and well-structured, it is well suited as an input for neural networks. Here, we
91 present ACTINN (Automated Cell Type Identification using Neural Networks) for scRNA-seq
92 cell type identification. To overcome many of the limitations of traditional cell type identification

93 approaches described above, we used a neural network with 3 hidden layers, trained it on
94 scRNA-seq datasets with predefined cell types, and predicted cell types in other datasets based
95 on the trained parameters. We tested our neural network with several published datasets and
96 show that it is fast, efficient and accurate.

97

98 **Results**

99 **Overview of the neural network**

100 We used a neural network with 3 hidden layers, each containing 100, 50 and 25 nodes,
101 respectively (Fig 1). For the activation functions, we used the softmax function for the output
102 layer and the rectified linear unit (ReLU) function for the other layers. We used the cross-entropy
103 function as the loss function. The neural network model was implemented using TensorFlow
104 (<https://www.tensorflow.org/>), and the code was written in python. We trained the neural
105 network on 6 Intel(R) Xeon(R) CPU E5-2660 v3, and the training process took 0.5 minute to
106 complete with 1000 cells, 11 minutes with 32,000 cells and 21 minutes with 56,000 cells. The
107 maximum memory used in training with 56,000 cells was 18 GB. The code and datasets used in
108 this study are available at <https://github.com/mafeiyang/ACTINN>.

109

110 **ACTINN model for murine cell types**

111 We used 2 datasets from the Tabula Muris Consortium (The Tabula Muris Consortium. 2018) to
112 train and test our neural network. The datasets contain 100,605 cells from 20 mouse organs, and
113 were sequenced by two distinct techniques, 10X Genomics (10X) and Smart-seq2 (SS2). To
114 ensure we are using cells with high quality, we filtered out cells with less than 300 detected
115 genes, clustered the cells, and identified marker genes for each cluster using Seurat^[6]. The details

116 of the Seurat analysis can be found in the methods section. We manually assigned cell types for
117 each cluster based on canonical markers (Fig 2A). We focused on 12 cell types and selected cells
118 that have the same labels between our analyses and the Tabula Muris Consortium's. This process
119 resulted in 56,112 cells (Fig 2B). Cells processed by 10X have a median of 4,787 unique
120 molecular identifiers (UMIs) and 1,558 genes detected, and cells processed by SS2 have a
121 median of 623,799 UMIs and 2,448 genes detected.

122
123 To test the robustness of our neural network's performance, we first trained and tested it on cells
124 processed by each scRNA-seq platform separately. To this end, we randomly sampled 3000 cells
125 for testing, and used the remainder of cells for training. We repeated this process 10 times, and
126 the average training accuracies for the 10X dataset and the SS2 dataset were 99.997% and
127 99.963%, respectively, and the average testing accuracies were 99.883% and 99.660%,
128 respectively (Fig 2D). These results show that our neural network can achieve very high
129 accuracy when training and testing on datasets generated by the same technique.

130

131 **ACTINN overcomes batch effects introduced by different techniques**

132 Different scRNA-seq techniques can introduce significant batch effects^[7] with the same cell
133 types clustering separately due to technical artifacts (Fig 2C). To test our neural network's
134 performance accounting for the batch effects introduced by different techniques, we trained it on
135 cells processed by one platform and tested it on cells processed by the other. We first trained the
136 neural network on all the 10X cells and tested it on all the SS2 cells. The training accuracy was
137 99.997% and the testing accuracy was 98.625%. Among the 288 incorrectly predicted cells, 118
138 monocytes were predicted as B cells, 64 monocytes were predicted as epithelial cells, 47 NK

139 cells were predicted T cells (Supplementary File 1). We then trained the neural network on the
140 SS2 dataset and tested it on the 10X dataset. The training accuracy was 100% and the testing
141 accuracy was 99.195%. Among the 283 incorrectly predicted cells, 150 endothelial cells were
142 predicted as epidermis, 46 T cells were predicted as NK cells, and there were several other
143 mispredictions (Supplementary File 1).

144

145 **Early stopping prevents overfitting of the training set**

146 To prevent overfitting the parameters on the training set, we randomly sampled 5,000 cells from
147 the 10X dataset and 5,000 cells from the SS2 dataset. We trained the neural network on the 10X
148 cells and tested it on the SS2 cells. During the training process, we recorded the accuracy and the
149 cost after each epoch. The accuracy was defined as the percentage of cells whose cell type was
150 correctly predicted, and the cost was the output of the cost function after each epoch. We found
151 that the training accuracy saturated early (5 epochs), and the testing accuracy saturated at around
152 50 epochs (Fig 2E), and the cost decreased very slowly after 50 epochs (Fig 2F). These results
153 indicate that early stopping can be used to reduce training time and prevent overfitting.

154

155 **Cell type prediction using the mouse cell atlas**

156 Since the cell types from the two mouse cell atlas datasets can be accurately predicted, we
157 combined the two datasets and used the combined dataset as the reference to predict cell types
158 for other datasets. We first tried to predict cell types for a dataset that contains flow cytometry
159 sorted leukocytes from mouse aorta^[8]. All cells were predicted as leukocytes except for 1
160 erythrocyte, which we think is a doublet of an erythrocyte and B cell as high expression of
161 hemoglobin genes was detected (Fig 3A). We also carried out unsupervised analysis on the

162 dataset and clustered the cells using Seurat. Then we used the canonical markers to assign the
163 cell types for each cluster (Fig 3B). Most cells had the same cell type assignment by the two
164 methods. However, our neural network detected some natural killer (NK) cells, which were in
165 the same cluster with the T cells, and were assigned as T cells in the unsupervised clustering. We
166 checked the expression of CD3D, CD8A and GZMA (Fig 3C), and found no expression of
167 CD3D and CD8A, but high expression of GZMA in the NK cells, which suggests that these are
168 likely NK cells.

169

170 It is generally thought that human and mouse share similar cell types, and the same cell type
171 from human and mouse share similar expression profiles. To test this, we trained our neural
172 network on the mouse cell atlas datasets and used the parameters to predict the cell types for a
173 human peripheral blood mononuclear cell (PBMC) dataset. We found 4 main populations in the
174 PBMC dataset, namely, B cells, monocytes, NK cells and T cells (Fig 3D). We plotted the
175 canonical markers for these 4 populations (Fig 3E) and found that the predicted cell types
176 matched the expected marker expression. These results suggest that the mouse cell atlas datasets
177 can be used as a reference to identify cell types for both human and mouse cells.

178

179 **ACTINN accurately predicts cell subtypes**

180 Although it is relatively easy to distinguish different cell types in scRNA-seq using the
181 unsupervised clustering methods, it is more difficult to further divide one cell type into cell
182 subtypes. Here, we collected 5 publicly available datasets^[9], each containing one flow cytometry
183 sorted T cell subtype. We merged these datasets and selected the cells that have the same labels
184 between our analyses and the flow cytometry sorting, and then used these cells as a reference for

185 the neural network. We then clustered the selected cells and identified markers (Fig 4A and 4B)
186 for each sub cell type using Seurat. For the test set, we used the T cells from the human PBMC
187 datasets mentioned above.

188
189 To test our neural network's ability to predict cell subtypes, we trained it on the T cell subtype
190 reference, and predicted the subtypes for the T cells from the PBMC dataset (Fig 4D). We then
191 identified marker genes for each predicted subtype. As expected, the marker genes matched the
192 ones from the reference (Fig 4E). These results show that our neural network can be used to
193 accurately identify cell subtypes. We found that the subtypes predicted by the neural network did
194 not perfectly match the cell types associated with the Seurat clusters (Fig 4C). Some clusters
195 contained different subtypes and some subtypes were composed of several clusters. We think the
196 difference was influenced by two factors: 1. Unsupervised clustering considers all variance in the
197 data, while the neural network is trained to find the difference between the subtypes; 2. It is
198 difficult to set the parameters optimally for the unsupervised analysis, which can result in
199 multiple cell types in one cluster or multiple clusters for one cell type.

200

201 **Discussion**

202 scRNA-seq provides high resolution profiling of the transcriptomes of single cells. Typically, the
203 first step in scRNA-seq analysis is to assign each cell a cell type based on our prior knowledge of
204 marker genes. Current methods for cell type assignment first cluster the cells in an unsupervised
205 manner and rely on the canonical markers to identify the cell types for each cluster. However,
206 this approach has several limitations, including the fact that the clusters may not optimally
207 segregate single cell types, and certain cell types may not have previously characterized markers.

208 Moreover, these methods are computationally intensive, especially when the number of cells
209 becomes large. To render cell type identification in scRNA-seq more efficient, we employed a
210 neural network, trained it on cells with predefined cell types, and used it to predict cell types for
211 new datasets.

212

213 We first obtained and cleaned two datasets from the Tabula Muris Consortium, then trained and
214 tested our neural network on these datasets with or without batch effect introduced by different
215 scRNA-seq platforms. The training accuracy always approached 100%, and the testing accuracy
216 was around 99.8% within a platform and 99.0% when testing and training are performed across
217 different platforms. As the cell types in the two Tabula muris atlas datasets can be mutually
218 predicted using our neural network, we merged them and used the combined datasets as the
219 reference to predict cell types for other datasets. The predicted cell types were well matched with
220 the cell types assigned using the canonical markers for both the mouse and human datasets. We
221 also trained and tested the neural network on 5 T cell subtypes and found that the predicted
222 subtypes showed the same markers as the reference subtypes, which suggests that our neural
223 network can be used to predict sub cell types as well.

224

225 Compared to the traditional unsupervised methods used for cell type identification, our neural
226 network has the following advantages: 1. It uses all the genes to capture the features for each cell
227 type instead of relying on a limited number of canonical markers. 2. It focuses the analysis on the
228 signal associated with the variance between cell types, while unsupervised clustering tends to be
229 affected by other sources of cell type independent variation (i.e. platform or cell cycle). 3. It
230 requires no background knowledge of cell type markers, while the unsupervised method requires

231 users to have prior knowledge of canonical markers for each cell type in their data. 4. It is much
232 more computationally efficient than the traditional approach. Moreover, users can subsample the
233 reference cells to make the computation of the neural network less compute intensive and more
234 memory efficient.

235
236 There are some aspects of our approach that could be improved in the future. As the neural
237 network is supervised, the quantity and quality of the reference data are critical. We anticipate
238 that with time more cell types from larger atlases should be used to train a more comprehensive
239 neural network. Also, better pairing of reference and test sets will undoubtedly improve
240 performance. For example, the soon to be developed human cell atlas should be used to predict
241 human cell types instead of the mouse cell atlas. Nonetheless, we showed that even with the
242 current reference data our neural network is computationally efficient and accurate, and should
243 improve cell type identification pipelines.

244

245 **Materials and Methods**

246 **Data normalization**

247 We used several publicly available datasets in our analyses. The mouse cell atlas datasets were
248 collected from <https://tabula-muris.ds.czbiohub.org/>. The CD45 sorted leukocyte datasets were
249 published in Winkels et al^[8]. The T cell subtypes and PBMC datasets were collected from
250 <https://support.10xgenomics.com/single-cell-gene-expression/datasets>.

251 To filter and normalize the data, we first identified genes that were detected in both training set
252 and test set. The training set and the test set were then merged into one matrix based on the
253 common genes. Next, each cell's expression value was normalized to its total expression value

254 and multiplied by a scale factor of 10,000. The counts were increased by 1, and the log2 value
255 was calculated. To filter out outlier genes, the genes with the highest 1% and lowest 1%
256 expression were removed. The gene with the highest 1% and the lowest 1% standard deviation
257 were also removed. Finally, the matrix was split into the training set and the test set.

258

259 **Neural network configuration**

260 We used a neural network that contains an input layer, 3 hidden layers, and an output layer. The
261 input layer had a number of nodes equal to the number of genes in the training set. The 3 hidden
262 layers had 100, 50 and 25 nodes, respectively. The output layer had a number of nodes equal to
263 the number of cell types in the training set. Forward propagation was implemented as:

$$x^{[i]} = g(W^{[i]}x^{[i-1]} + b^{[i-1]})$$

264 Where $x^{[i]}$ represents the output of the i th layer ($x^{[0]}$ represents the input layer), $b^{[i]}$ represents
265 the intercept of the i th layer, $W^{[i]}$ represents the weight matrix of the i th layer, and g represents
266 the activation function used in the neural network. Specifically, for the activation function, a
267 rectified linear unit (ReLU) function was used for the input and hidden layers, which is defined
268 as:

$$ReLU(x) = \max(0, x)$$

269 For the output layer, the softmax function was used, which is defined as:

$$softmax(x_{[j]}) = \frac{\exp(x_{[j]})}{\sum_{j=1}^k \exp(x_{[j]})}$$

270 Where $x_{[j]}$ represents the j th element of the input vector for the output layer, which has k
271 elements, representing a total of k cell types in the training set.

272 For the loss function, we used the cross-entropy function, which is defined as:

$$H(y', y) = \sum_{j=1}^k (y_{[j]} \log(y'_{[j]}) + (1 - y_{[j]}) \log(1 - y'_{[j]}))$$

273 Where vector y represents the true label for the cell, $y_{[j]}$ is defined to be 1 if the cell is the j th
274 cell type, and the other elements in y are defined to be 0. y' represents the output of the output
275 layer, and $y'_{[j]}$ represents the posterior probability that the cell is the j th cell type. L2
276 regularization was added to the loss function.

277

278 **Parameters used in the neural network**

279 The neural network model was implemented using TensorFlow (<https://www.tensorflow.org/>),
280 and the code was written in python. The parameters were initialized with Xavier initializer^[10].
281 The starting learning rate was set to 0.0001 with staircase exponential decay, the decay rate was
282 set to 0.95, and the decay step was set to 1000. This means that after every 1000 global steps, the
283 learning rate would be the original learning rate multiplied by 0.95. 50 epochs were used to train
284 the neural network with a mini batch size of 128, which is the number of samples used in
285 training at every global step. The L2 regularization rate was set to 0.005.

286

287 **Unsupervised single cell analysis**

288 To identify different cell types and find signature genes for each cell type, Seurat^[6] was used to
289 analyze the digital expression matrix generated by scRNA-seq. Specifically, in Seurat, cells with
290 less than 1000 unique molecular identifiers (UMIs) and genes detected in less than 10 cells were
291 first filtered out. Second, highly variable genes were detected and used for further analysis. Third,
292 the data was scaled for sequencing depth of each cell. Fourth, principle component analysis
293 (PCA) and t-distributed stochastic neighbor embedding (tSNE) were used to reduce the

294 dimension and plot the data on a two-dimensional graph. Lastly, a graph-based clustering
295 approach was used to cluster the cells, then signature genes were found and used to define cell
296 type for each cluster.

297

298 **Acknowledgments**

299 We thank Dr. Shawn Cokus for his suggestions on early stopping of the neural network. We also
300 thank Dr. Andrew Ng for his Deep Learning class on Coursera.

301

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340

341 **Figures and Tables**

342 **Fig 1. Neural network configuration.**

343

344 **Fig 2. Training and testing of the neural network on the Tabula Muris Atlas.** (a) Cell types
345 obtained from the TMA. (b) Number of cells obtained for each cell type from each technique. (c)
346 The same cell type tends to cluster separately by techniques. (d) Training and testing accuracy of
347 the neural network when trained and tested using cells processed by the same technique. (e)
348 Training and testing accuracy after each epoch when trained with 5,000 10X cells and tested with
349 5,000 SS2 cells. (f) Cost after each epoch when trained with 5,000 10X cells and tested with
350 5,000 SS2 cells.

351

352 **Fig 3. Neural network predicts cell types for human and mouse datasets.** (a) Cell types
353 predicted by the neural network for the mouse leukocyte dataset. (b) Cell types identified by
354 unsupervised clustering and canonical markers for the mouse leukocyte dataset. (c) Violin plots
355 showing 3 genes' expression level in the NK and T cells from the mouse leukocytes. (d) Cell
356 types predicted by the neural network for the human PBMC dataset. (e) TSNE plots showing 4
357 marker genes' expression for the human PBMC dataset.

358

359 **Fig 4. Neural network predicts sub cell types.** (a) TSNE plots showing 6 marker genes'
360 expression for the reference T cell subtypes. (b) T cell subtypes obtained to train the neural
361 network. (c) T cells from the human PBMC were grouped into 7 clusters by the unsupervised

362 method. (d) Subtypes predicted for the T cells from the human PBMC. (e) Dot plot showing the
363 expression of 6 genes for the predicted subtypes, dot size represents the percentage of cells
364 expressing the gene, color scale represents the expression level of the gene.

365

366 **Supporting Information**

367 **Supplementary File 1.** This file contains 4 tables that tells the number of accurately and
368 inaccurately predicted cells when the neural network was trained and test across the 10X and
369 SS2 datasets.







