Plant-soil feedbacks trigger tannin evolution by niche construction: a spatial stoichiometric model

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Abstract

2 Among plant traits, plant secondary metabolites such as tannins mediate plant-herbivore
interactions but also have after-life effects on litter decomposition and nutrient cycling,
4 which could influence their evolution. By modeling the flow of nitrogen and carbon
through plants and soil in a spatially explicit context, we explored the relative contribu-
6 tion of herbivory and plant-soil feedbacks as drivers of tannin evolution. We assumed
soil nitrogen to be composed of labile and recalcitrant compartments, the latter made of
8 tannin-protein complexes accessible by plants via associations with mycorrhizal fungi.
In unproductive environments and for plants with low biomass turnover rates, we show
10 that when tannins modify soil properties locally, plant-soil feedbacks alone can drive
their evolution. We further predict the existence of positive coevolutionary feedbacks be-
12 tween associations with mycorrhizae and tannins, possibly triggered by the evolution of
the latter as protection against herbivores. In line with our theoretical results, tannins are
14 mostly present in conservative plants, associated with mycorrhizae and inhabiting un-
productive environments. Our work suggests that plant-soil feedbacks can enable niche
16 construction mechanisms which can be key to the evolution of plant traits.

Introduction

18 Through their impact on litter, soil and nutrient dynamics, plant traits are key drivers
of ecosystem functioning. Many components of a plant's environment are determined
20 by the plant itself, each plant genotype shaping its own environment (Schweitzer et al.,
2004; Whitham et al., 2006). In turn, these altered environmental conditions will affect the
22 selective pressures acting on plant traits, thereby constituting an ecological-evolutionary

feedback loop named *niche construction* (Lewontin, 2001; Odling-Smee et al., 2003). Many
 24 plant traits may have evolved because of their niche construction abilities, including
 flammability (Schwilk, 2003), germination timing (Donohue et al., 2005) and plant-soil
 26 feedbacks (Schweitzer et al., 2014). At the same time, environmental factors may interact
 with niche-constructing traits, either preventing or enhancing their evolution. For in-
 28 stance, flammability will more likely evolve in dry rather than wet environments. Most
 models of niche construction, however, do not include the interplay between environ-
 30 mental factors and niche constructing traits (Kylafis and Loreau, 2008; Laland et al.,
 1999; Lehmann, 2008; Schweitzer et al., 2014). Here, we propose that the evolution of
 32 tannin production in plants is a useful case study to investigate the evolutionary inter-
 play between environment and niche constructing traits. Tannins can trigger plant-soil
 34 feedback loops because they complex organic nitrogen; and the importance of such feed-
 backs should depend on the fertility of the environment.

36 Among the large variety of plant's defensive structures and compounds, tannins are
 a widely distributed class of plant secondary metabolites (Mole, 1993), with a wide array
 38 of intra- and interspecific variation in their production (Kraus et al., 2003). Although tan-
 nins have been extensively studied as anti-herbivore defense (Bergvall and Leimar, 2005;
 40 Feeny, 1970), they also affect nutrient cycling. After leaf senescence or plant death, they
 enter the soil as a component of litter, where they can greatly impact nutrient dynam-
 42 ics (Hättenschwiler and Vitousek, 2000; Joannis et al., 2009; Kraus et al., 2003; Northup
 et al., 1995), limiting leaching (Jordan et al., 1979; Northup et al., 1995) and slowing-
 44 down decomposition and microbial activities (Benoit and Starkey, 1968; Coq et al., 2010;
 Joannis et al., 2007; Schweitzer et al., 2004). Tannins complex macromolecules such as
 46 proteins which triggers the formation of recalcitrant organic matter, from which nutrient

accessibility is reduced. This reduction of resource availability, however, may not only
 48 be a detrimental side-effect of an anti-herbivore strategy. Tannins promote local nutrient
 retention, which could be advantageous if plants can retrieve nutrients directly from re-
 50 calcitrant organic matter, thereby circumventing mineralization by free microorganisms
 (Aerts and Chapin, 2000; Northup et al., 1995).

52 Mycorrhizal associations with symbiotic fungi may grant this ability to plants. Sev-
 eral studies have assessed the ability of the different groups of mycorrhizae to take up
 54 nutrients from organic matter (Read and Perez-Moreno, 2003), which was demonstrated
 for plants associated with ericoid mycorrhizae (Bending and Read, 1996; Joannis et al.,
 56 2009; Wurzbarger and Hendrick, 2009), which often abound in heathland and peatlands
 (we review results on the ability of different types of mycorrhizae to access recalcitrant
 58 organic matter in the Discussion).

For those mycorrhizal associations that permit N-accessibility from tannin-protein
 60 complexes, tannin production could therefore be a major process by which plants control
 their own resources, retaining nutrients in the local environment of the plant. This may
 62 affect the outcome of plant-microbe competition in favor of plants, and of tannin-rich
vs tannin-less plants in favor of tannin-rich plants. This raises the question of the role
 64 plant-soil feedbacks in the evolution of tannins production, and the ecological conditions
 that could promote this role.

66 The observed correlation between nutrient limitation and tannin production (Endara
 and Coley, 2011; McKey et al., 1978) could therefore result from plants limiting nutrient
 68 losses through the formation of protein-tannin complexes, as a strategy to cope with an
 unfertile environment. Yet, one would expect the opposite correlation if the reduction
 70 of nutrient cycling by tannins is detrimental to plants (Vitousek, 1982). In fact, based

on an eco-evolutionary model, and in apparent contradiction with empirical findings
 72 (Ordoñez et al., 2009), Barot et al. (2014) suggested that plants should evolve fast decom-
 posing litter in nutrient-poor environments. Since tannins slow down mineralization,
 74 the question of whether the afterlife effects of tannins are beneficial or detrimental to
 plants in unfertile ecosystems is thus still open. If beneficial, tannin evolution could be
 76 driven by plant-soil feedbacks without additional selective pressure as an anti-herbivore
 defense. If detrimental, tannin evolution would be the outcome of a trade-off between
 78 anti-herbivore defense and the reduction of nutrient cycling.

Here we address this question and reconcile observations and theoretical predictions.
 80 To do so, we developed and analyzed a mechanistic evolutionary model, which includes
 the effects of tannins on both herbivory and plant-soil feedbacks. Because tannins are
 82 carbon (C) based compounds, and because their afterlife effect notably affects nitrogen
 (N) cycling, our model explicitly accounts for plants C:N stoichiometry. Because tannin-
 84 protein complexes are insoluble, immobile and remain near the tannin-producing plants,
 our model also accounts for spatial structure. Plants associations with specific mycor-
 86 rhizae will be able to reabsorb N from tannin-protein complexes, in addition to labile
 N. Our model thus describes the ecological dynamics of plants growing in an N-limited
 88 substrate, plus the evolutionary dynamics of tannin production and symbiotic associa-
 tions with mycorrhizae, in a stoichiometric and spatial context. We address the following
 90 questions:

- 1) Can plant-soil feedbacks trigger tannin evolution, even in the absence of herbivory?
- 92 2) What is the influence of ecosystem fertility on tannin evolution?
- 3) Can there be coevolution of symbiotic mycorrhizae and tannin production?
- 94 Our results suggest that for plants with low biomass turnover rates inhabiting un-

Figure 1: Scheme of the mean-field model. On the left, the three plant compartments. Carbon (c) and nutrient (n) stocks are used by the plant for the growth of its effective biomass (B_τ), which includes tannins. Following senescence, nutrients enter one of the two soil compartments, on the right. Nutrients complexed by tannins enter the recalcitrant nutrient pool (n_r), the remaining nutrients enter the labile nutrient pool (n_l). Nutrients are then absorbed by plants, at the expense of carbon costs.

fertile environments, spatial structure can promote the coevolution of tannin production and mycorrhizal associations. This can occur without selective pressure on tannins as a anti-herbivore defense, following a niche construction mechanism.

Methods

Our results are based on the analysis of a model describing N and C fluxes through the plant-soil system. In the model, C is acquired by plants by photosynthesis, and N is acquired in the soil from either labile or recalcitrant N. Recalcitrant nitrogen is composed

of tannin-protein complexes, and enters the soil system as litter produced by plants that produce tannins. Labile nitrogen can be absorbed by all plants. In contrast, recalcitrant nitrogen can only be absorbed if plants are associated with mycorrhizae, which has a carbon cost. Finally, herbivores can remove biomass (and therefore C and N) from the plants. Herbivory is weaker when plants produce tannins. Two parameters are evolving: the proportion of tannins in plant biomass, τ , and the ability to associate with mycorrhizae that can use recalcitrant N, thereafter referred to as symbiotic capacity and denoted e .

We implemented the model at two levels of spatial complexity: a mean-field model assuming plants and soil to be well mixed in the landscape, and a spatially explicit model using a cellular automaton, with stochastic birth and death events.

Meanfield model

Plant growth

Plant biomass is modeled by its amount of C and N content. We denote B_τ the C+N content of plant biomass, with C:N ratio α_τ . A fraction τ of plant biomass is used to produce tannins, whereas the rest is involved in all other physiological processes, like growth, nutrient absorption or C exchange with mycorrhizae. Biomass is therefore constituted by a proportion τB_τ of tannin-C, and by the remaining biomass, $B = (1 - \tau)B_\tau$, referred to as the effective biomass with C:N ratio α . We denote γ and γ_τ the proportion of N in B and B_τ , respectively, with $1 - \gamma$ and $1 - \gamma_\tau$, representing the complementary proportions¹ of C in those compartments. At any given time, biomass growth is controlled by the limiting factor among C and N stocks (denoted n and c), i.e. the minimum

¹ α_τ and γ_τ are simple functions of τ and α , $\gamma_\tau = (1 - \tau)\gamma$, and $\alpha_\tau = \frac{\alpha + \tau}{1 - \tau}$.

between $\frac{n}{\gamma_\tau}$ and $\frac{c}{1-\gamma_\tau}$ (Danger et al., 2008; Odum, 1971). Under these assumptions, and a

124 turnover rate h , the dynamics of plant biomass B_τ follow

$$\frac{1}{\mu} \frac{dB_\tau}{dt} = \underbrace{\min\left\{\frac{n}{\gamma_\tau}, \frac{c}{1-\gamma_\tau}\right\}}_{\text{growth}} - \underbrace{hB_\tau}_{\text{turnover and herbivory}} \quad (1)$$

Here μ is a rate, which sets plants' ecological timescale. We also model the effect of
126 herbivory, that decreases plant biomass, as a part of the global turnover rate h . h is a decreasing function of τ when herbivores are present:

$$h(\tau) = h(1) + (1 - \tau)H$$

128 where $(1 - \tau)H$ is the relative rate of herbivory, reduced by tannins and reflecting the fact that they act as anti-herbivore compounds.

130 Resource acquisition by plants

In the soil, N is divided in two compartments: labile n_l , and recalcitrant n_r . Recalcitrant N is produced by plants themselves when tannins complex N-containing proteins during leaf senescence (Northup et al., 1995). Recalcitrant N is less subject to leaching and volatilization but is more difficult to absorb than labile N (Jordan et al., 1979).
132 A critical assumption of our model is that symbiotic associations with mycorrhizae are necessary to absorb recalcitrant N (Pritsch and Garbaye, 2011; Read and Perez-Moreno, 2003; Wurzbürger and Hendrick, 2009). We model this requirement by writing labile and
136 recalcitrant absorption efficiencies (resp. $\mathcal{E}_l$ and $\mathcal{E}_r$) as explicit functions of e :
138

$$\mathcal{E}_l(e) = \mathcal{E}_l^- + e(\mathcal{E}_l^+ - \mathcal{E}_l^-) \text{ and } \mathcal{E}_r(e) = e\mathcal{E}_r^+$$

where $\mathcal{E}_i^-$ and $\mathcal{E}_i^+$ denote the extreme efficiency values. Thus, in our model, symbiotic
140 capacity enhances the absorption capacity of both labile and recalcitrant nitrogen, but is

necessary to absorb recalcitrant N. Being associated with mycorrhizae comes at a cost of
 142 maintenance m , linked to symbiotic capacity by

$$m(e) = \frac{m_0}{1 - e}$$

where m_0 is the baseline maintenance cost. We note ξ the rate at which the absorp-
 144 tion process is running, generating a cost ξm . On the other hand, plants access C by
 photosynthesis, at a rate φ which saturates, due to competition for light, as B_τ grows:

$$\varphi(B_\tau) = \frac{\varphi_0}{1 + B_\tau}$$

With this equation, B_τ is restricted to values smaller than one, thus defining a mass scale
 in our model. Under these assumptions, C and N stocks follow², in physiological time
 scales t' , (which we will assume much shorter than the ecological time scale)

$$\begin{aligned} \frac{dn}{dt'} &= \underbrace{\xi \sum_{i=l,r} \mathcal{E}_i n_i B}_{\text{absorption}} - \underbrace{\gamma_\tau \min\left\{\frac{n}{\gamma_\tau}, \frac{c}{1 - \gamma_\tau}\right\}}_{\text{growth}} - \underbrace{hn}_{\text{turnover}} \\ \frac{dc}{dt'} &= \underbrace{\varphi \gamma B}_{\text{photosynthesis}} - \underbrace{\xi m B}_{\text{maintenance}} - \underbrace{(1 - \gamma_\tau) \min\left\{\frac{n}{\gamma_\tau}, \frac{c}{1 - \gamma_\tau}\right\}}_{\text{growth}} - \underbrace{hc}_{\text{turnover}} \end{aligned}$$

146 Physiological dynamics are best expressed in terms of concentrations $p = n/B$ and $q =$
 c/B (Lemesle and Mailleret, 2008). Using $\frac{dp}{dt'} = \frac{dn}{dt'} \frac{1}{B} - \frac{dB}{dt'} \frac{n}{B^2}$ the above system becomes:

$$\begin{cases} \frac{dp}{dt'} = \xi \sum_{i=l,r} \mathcal{E}_i n_i - (p + \gamma) \kappa(p, q) \\ \frac{dq}{dt'} = \gamma \varphi - m \xi - (q + 1 - \gamma) \kappa(p, q) \end{cases} \quad (2)$$

148 where $\kappa(p, q) = (1 - \gamma) \min\left\{\frac{p}{\gamma_\tau}, \frac{q}{1 - \gamma_\tau}\right\}$. We assume physiological dynamics to rapidly
 reach a moving equilibrium (p_*, q_*) defined by $\frac{dp}{dt'}|_{(p_*, q_*)} = \frac{dq}{dt'}|_{(p_*, q_*)} = 0$. This equilib-
 150 rium gives the plants stocks concentrations and depends on the pace of absorption ξ . We

²The presence of γB in the photosynthesis term accounts for the fact that it is mostly N-compounds of
 biomass that perform photosynthesis.

assume ξ to be plastic allowing plants to remain at stoichiometric balance, implying that

152 $p_*/q_* = \alpha_\tau$. This assumption and eq. (2) together fix the value of the absorption rate $\xi \sum_{i=l,r} \mathcal{E}_i n_i$. It will be convenient to write the latter as $\gamma \mathcal{A}$. With this notation:

$$\mathcal{A} = \varphi \sum_{i=l,r} \frac{\frac{\mathcal{E}_i n_i}{m}}{1 + \alpha_\tau \sum_i \frac{\mathcal{E}_i n_i}{m}} \quad (3)$$

154 This expression proposes a natural decomposition $\mathcal{A} = \mathcal{A}_l + \mathcal{A}_r$, representing the sum of absorption rate of labile and recalcitrant N, respectively. Stoichiometric balance also
156 implies that $\kappa(p_*, q_*) = p_*/\gamma$. We define $P = p_*/\gamma$, which corresponds to the ratio of N-stocks over the N-content of effective biomass, γB . From eqs. (2-3) it holds that

$$P^2 + P = \mathcal{A} \quad (4)$$

158 Eq. (1) defining plant growth can finally be rewritten:

$$\frac{dB_\tau}{dt} = \mu(P - h)B_\tau \quad (5)$$

Productivity (rate of biomass creation) equals μP , therefore P is the effective nutrient
160 stock which drives plant productivity in our model. That P satisfies eq. (4) implies that productivity follows a type-II functional response to soil N content.

162 Nutrient absorption and recycling

In the soil, we model two variables: the amounts of labile and recalcitrant nutrients
164 (n_l and n_r , respectively). The dynamics of labile nutrients is controlled by three fluxes: absorption by plants, input by litter fall, and exogenous nutrient flows.

166 Absorption by plants is modeled as described in the previous section on plant physiological dynamics removing N from the soil at a rate $\mu \mathcal{A}_l \times \gamma B$. Litter input depends (i)
168 on the amount of litter entering the soil and (ii) on the proportion of litter N that is in

a labile form. The amount of litter entering the soil is proportional to turnover rate and
 170 the N parts of biomass, γB , plus that of stocks, $n = P\gamma B$. The proportion in labile form is
 a negative function of plant tannins, because tannins will complex part of nitrogen and
 172 turn it into its recalcitrant form. If one unit of tannins complexes Ω units of N, then we
 get a recycling term $\mu \mathcal{R}_l \times \gamma B$, with

$$\mathcal{R}_l = \bar{\ell}h(P + 1 - \Omega_\tau)$$

174 where $\bar{\ell} = 1 - \ell$ models N losses during decomposition (e.g. volatilization) and $\Omega_\tau =$
 $\Omega\tau/\gamma_\tau$ so that $\Omega_\tau\gamma B$ is the amount of N biomass being complexed by tannins. The dy-
 namics of labile soil nitrogen are completed by an exogenous flow, representing natural
 176 input and output of nitrogen, in particular through leaching. We write this term as

$$L(n_w - n_l)$$

Where n_w is the bare soil nutrient content (Droop, 1968; Lemesle and Mailleret, 2008) and
 L is the leaching rate of labile N. We will take this rate to set a reference ecological time
 scale, so that henceforth $L = 1$. As a result, the global equation modeling the dynamics
 of labile N in the soil is:

$$\frac{dn_l}{dt} = \mu \left(\underbrace{\mathcal{R}_l}_{\text{recycling}} - \underbrace{\mathcal{A}_l}_{\text{absorption}} \right) \gamma B + \underbrace{n_w - n_l}_{\text{in and out flows}} \quad (6)$$

178 What N is complexed by tannins flows into the recalcitrant compartment n_r . This is the
 only inflow in n_r . Similarly as the one of labile N, the dynamics of n_r thus read

$$\frac{dn_r}{dt} = +\mu \left(\underbrace{\mathcal{R}_r}_{\text{recycling}} - \underbrace{\mathcal{A}_r}_{\text{absorption}} \right) \gamma B - \underbrace{\mathcal{L}n_r}_{\text{leaching}} \quad (7)$$

180 $\mathcal{R}_r = \Omega_\tau$. Note that symbiotic capacity e only enters in the absorption terms, via the
 benefit to ratio $\mathcal{E}_i/m$. The mean-field model is determined by the system of ODEs (5-6-7)
 182 complemented by eqs. 3 and 4.

The cellular automaton and the inclusion of explicit space

184 Because plant-soil feedbacks act at a local scale, we implemented a spatialized model
using a cellular automaton. Space was represented as a landscape of S patches, which
186 can be either empty or occupied by an individual plant.

Individuals grow according to the above model, absorbing and recycling N locally. But, in contrast to the mean-field model, N diffuses continuously across patches. For a general topology of connected patches the diffusion term affecting nutrients in patch k , either labile $n_l^{(k)}$ or recalcitrant $n_r^{(k)}$, reads,

$$\mathcal{D}_i \left(\sum_{p \in \text{Nei}(k)} n_i^{(p)} - \# \text{Nei}(k) n_i^{(k)} \right)$$

where $\text{Nei}(k)$ denotes the set of neighbors of patch k and $\mathcal{D}_i, i = l, r$, are diffusion rates. The first term (the sum) represents in-flow from neighboring patches of patch k while the second represents out-flows from patch k towards its neighbors. In simulations we considered the simplest possible landscape: a one-dimensional periodic lattice, so that $\text{Nei}(k) = \{k - 1, k + 1\} \bmod(S)$. We will typically assume $\mathcal{D}_l$ to be much larger than $\mathcal{D}_r$, making recalcitrant N less mobile. We then modeled population dynamics. This was implemented by allowing individuals to randomly die and/or reproduce in an empty patch. We assume that larger individuals are less likely to die than smaller ones and, similarly, that production of seedlings increases with biomass. Concretely, we set

$$r_{\text{death}}(B_\tau) = r_{\text{death}}^+ e^{-\lambda B_\tau} ; r_{\text{birth}}(B_\tau) = r_{\text{birth}}^+ (1 - e^{-\lambda B_\tau})$$

Newly produced plants colonize empty patches. The probability of establishment of a seedling is higher in patches located near the parent tree and lower for distant patches.

Concretely, we write the recruitment rate in an empty patch k as

$$r_{\text{recruitment}}(k) = \sum_{d>0} F(d) \sum_{p \in \text{Nei}^{(d)}(k)} \frac{r_{\text{birth}}(x_{\tau}(p))}{\#\text{Nei}^{(d)}(p)}$$

where $F(d)$ is the fraction of a plant reproductive effort that will result in colonizing patches located at distance d from this parent plant ($\text{Nei}^{(d)}(k)$ is the set of patches at distance d from patch k). In simulations we will superpose a geometrically decreasing kernel up to $d = 3$ to a uniform contribution of the remaining effort to all other patches, representing the fraction of seeds transported by the wind or by animals to arbitrarily distant locations. Concretely, for some $\delta, K < 1$, $F(1) = (1 - \delta)K$, $F(2) = (1 - \delta)K(1 - K)$, $F(3) = (1 - \delta)(1 - K)^2$ to which we add a mean-field contribution $\frac{\delta}{S} \sum_p r_{\text{birth}}(x_{\tau}(p))$.

When a new plant is recruited in a patch, the plant starts growing from a small initial biomass. Generally, the demographic parameters were tuned to always have a densely populated landscape. Indeed, if many patches are empty at any given time, the dynamics become neutral and we cannot expect to exhibit selective pressures (see Table 1).

Model analyses

Invasibility of the tannin phenotype

We study the conditions for tannin producing plants to invade a resident population of tannin-less plants. We suppose for now that all plants can absorb recalcitrant N, with equal and constant symbiotic capacity (this assumption will be relaxed for the study of tannin - mycorrhize coevolution). In simulations we will assume that $\mathcal{E}_l = \mathcal{E}_r$ but our analysis allows for any choice of non zero efficiencies. We focus on the influence of two key parameters, the effective bare soil N content and the plants' relative turnover rate h .

Figure 2: The cellular automaton (snapshot). Each green or red bar represent the biomass of an individual plant. Inverted bars represent soil N-content in its labile and recalcitrant form (blue and brown respectively). We follow here the invasion attempt of tannin producing plants (in red) in a landscape populated by a tannin-less resident population (in green).

Parameter	interpretation	value
α	C:N ratio of effective biomass	0.5
μ	metabolic rate	5
h	relative turnover rate	0.6
H	relative herbivory rate	0 or 0.01
$\mathcal{E}_l^-$	min labile absorption efficiency	1
$\mathcal{E}_l^+$	max labile absorption efficiency	2 or 2.1
$\mathcal{E}_r^+$	max recalcitrant absorption efficiency	1.1
m_0	min maintenance cost	1
φ_0	max photosynthesis rate	10
ℓ	N volatilization	0.2
n_w	bare soil N content	1
$\mathcal{N}$	effective bare soil N content	0.6
$\mathcal{L}$	recalcitrant leaching rate	0.1
Ω	Tannins complexation power	1
$\mathcal{D}_l$	labile diffusion rate	10
$\mathcal{D}_r$	recalcitrant diffusion rate	0.1
r_{birth}^+	max birth rate	tuned to
r_{death}^+	max death rate	avoid
λ	demographic effect of biomass	neutrality
K	seed dispersal kernel	0.6
δ	fraction of seeds uniformly dispersed	0.2
z	phenomenological local mass parameter	0 or 0.03

Table 1: Model parameters (in order of appearance). Rates are expressed relatively to labile leaching rate. Similarly, the biomass density of plants that saturate photosynthesis sets the mass scale. Recall that the other stoichiometric parameters γ , α_τ and γ_τ are explicit functions of α and τ .

206 The effective bare soil N content, $\mathcal{N}$, is a nondimensional combination of parameters:

$$\mathcal{N} = \frac{\mathcal{E}_l n_w}{m}$$

It is a proxy for soil fertility, as perceived by plants, encompassing any choice of efficiencies $\mathcal{E}_i$ and maintenance function m . Changing $\mathcal{N}$ will allow us to test if the model predicts that unfertile ecosystems favor tannin production, as observed in nature. Plants relative turnover h is a proxy for plant life strategy, high h plants will enhance nutrient cycling while low h plants will slow it down, relative to their growth (here controlled by the rate μ). Our reasoning relies on the analysis of the invasion fitness function (Geritz et al., 1997), i.e. the initial growth rate of a mutant population in the presence of a resident population at equilibrium. In some simulations we will directly access the outcome of an invasion attempt, but the basic idea is the same. A resident population sets the state of soil labile N and available light that a rare mutant population B_τ will experience. The mutant's fitness reads

$$W(\tau, 0) = \frac{1}{B_\tau} \frac{dB_\tau}{dt} \Big|_{B_\tau=0; B_0=B_*}$$

where by definition $W(0, 0) = 0$. Its gradient $\partial_\tau W(\tau, 0)$ determines invasibility: if positive, a mutation leading to a small change in the phenotype τ can invade and the phenotype is consequently selected. In our model:

$$\partial_\tau W \approx \mu (\partial_\tau \mathcal{A} - \partial_\tau h) \quad (8)$$

210 If the phenotypic change increases the absorption rate $\mathcal{A}$ and/or decreases the turnover rate h , mutants will invade. In our model, tannins can be selected as a protection against herbivores because they decrease turnover ($\partial_\tau h < 0$).

In the absence of herbivores the selective advantage of tannins can only come through an increase of absorption ($\partial_\tau \mathcal{A} > 0$). However, an increase of absorption would imply

that mutants, by producing tannins, have transformed the soil composition to their advantage, so that the cost of tannin production is worth the benefits of an increase of the recalcitrant nutrient pool. Because mutants are rare by definition, this cannot happen if they are well mixed in the landscape: locally, the recalcitrant pool would be negligible. However, spatial structure may allow mutants to modify their local N-environment.

In a first step we will assume that mutants, via some spatial mechanisms such as aggregation, *do* modify their local N-environment. We call this approach spatially implicit, as it does not explicitly address the origins of the local modification of the resource environment by mutants, but assume this modification to take place (we detail this reasoning below). Using this approach, we consider three evolutionary scenarios, the first setting a baseline by neglecting spatial structure:

(i) Tannin phenotype evolving in the presence of herbivores, without taking into account spatial structure.

(ii) Tannin phenotype evolving without herbivory pressure but implicitly taking into account spatial structure.

(iii) Tannin phenotype evolving in the presence of herbivores, implicitly taking into account spatial structure.

In a second step we will test the predictions of the first step using simulations of the cellular automaton. We will then use the cellular automaton to analyze the “social” effects allowed by spatial structure, such as altruism (van Baalen and Rand, 1998) and ecological inheritance (Odling-Smee et al., 2003). In other words, after analyzing the consequences of a local modification of the environment by tannins (as in the implicit approach), we explored the underlying spatial mechanisms.

238 **First step: implicit spatial structure**

Suppose that tannins affect the soil composition surrounding one or a clustered group
 240 of mutants. We account for this by defining a parameter z , an implicit function of spatial
 processes controlling aggregation of mutants and the diffusion of the recalcitrant N that
 242 they produce. To define z , we start from n_r^T , the amount of recalcitrant N formed in the
 vicinity of a mutant individual, or group, with biomass B_{mut} . This N content should
 244 be proportional to τB_{mut} , the C-amount of tannins produced by the mutants. This will
 indeed induce an inflow into the local pool n_r^T proportional to $\tau B_{\text{mut}} \times \mu h$, where μh is
 246 the absolute biomass turnover rate. At the same time, this pool will suffer a loss at a rate
 proportional to leaching and diffusion. Keeping track of relative turnover h , and tannins
 248 τ , we define z so that $n_r^T = zh\tau$. This phenomenological mass parameter will enable us
 to tune the direct effect that mutants, by changing their N-environment, have on their
 250 absorption effort $\mathcal{A}$. Concretely we evaluate eq. 8 at $\tau = 0$, with a change in absorption
 computed as

$$\partial_\tau|_{\tau=0}\mathcal{A} = \partial_\tau \left\{ \varphi \frac{\frac{\varepsilon_l n_l}{m} + h\tau \frac{\varepsilon_r z}{m}}{1 + \alpha_\tau \left(\frac{\varepsilon_l n_l}{m} + h\tau \frac{\varepsilon_r z}{m} \right)} \right\} \Big|_{\tau=0} \quad (9)$$

252 and evaluated at the equilibrium values of the resident. Note that setting $z = 0$ corre-
 sponds to the mean-field model in which there is no spatial structure.

254 This analysis answers the question: – Given that mutants modify their local envi-
 ronment (as measured by z), under which conditions will this allow them to invade a
 256 resident population, and thus lead to the selection of the tannin phenotype?

Second step: explicit spatial structure

258 We used the cellular automaton to test the results of the implicit approach described
 above. We simulated invasion attempts of tannin-producing plants (with $\tau = 0.2$) in

260 a landscape populated by tannin-less residents. The landscape was a periodic lattice
comprised of $S = 250$ cells. Invasions started from 5 spatially aggregated individuals.
262 We monitored the ultimate fraction of mutants after 400 time steps. A snapshot of a run
of the automaton is presented in Fig 2, where we can see the impact of tannin producing
264 individuals on soil variables surrounding their respective patches.

We also used the cellular automaton to focus on social effects allowed by spatial struc-
266 ture. 1) To relate tannin evolution to the evolution of altruism in a spatial context (van
Baalen and Rand, 1998), we ran invasion attempts along a gradient of values of recalci-
268 trant diffusion rate $\mathcal{D}_r$. We deduced the probability of a successful invasion as a function
of $\mathcal{D}_r$. The idea was that, for low diffusion, mutant individuals are effectively “selfish”:
270 they do not share their complexed N-pool with neighboring plants. By contrast they
share it with all plants if diffusion is large. In this latter case we expect a “tragedy of the
272 commons”, where the resident plants, seen as “cheaters”, benefit without costs from the
complexed N pool so that tannins are not selected. For intermediate diffusion, a tannin
274 producing individual shares his complexed N pool with a few other individuals that are
likely to be of his kin. If invasion is more likely than at $\mathcal{D}_r = 0$, this would demonstrate
276 the contribution of group selection, a mechanism that can allow the selection of pure
altruism (van Baalen and Rand, 1998; Wilson, 1980). 2) The death of a tannin producing
278 individual leaves a N-rich patch available for recruitment from neighbors that are also
likely to be of his kin. In this case individuals inherit the environment transformed by
280 their ancestors, a property termed ecological inheritance (Odling-Smee et al., 2003). To
test if ecological inheritance played a role in our system, we devised an arbitrary way
282 to turn it off: following the death of a tannin producing plant, we set to zero the recal-
citrant N pool that it had created (i.e. N pools vanish before the establishment of new

seedlings). This requires diffusion of recalcitrant N to be negligible, otherwise it becomes impossible to determine the part of n_r that is associated to the individual plant that died. Thus, setting $\mathcal{D}_r = 0$ we monitored the ultimate relative abundance of mutants, with and without ecological inheritance.

Coevolution of tannins and symbiotic capacity

For any resident phenotype (e, τ) , we computed the ecological equilibrium of the mean-field model. We then determined the fitness gradient at (e, τ) by evaluating the initial growth rate of slightly different mutant phenotypes $(e + \delta e, \tau + \delta \tau)$. The fitness gradient has now two components, one in the direction of symbiotic capacity and one in the direction of tannins:

$$\nabla W|_{e,\tau} = (\partial_e W|_{e,\tau} ; \partial_\tau W|_{e,\tau}) \quad (10)$$

Coevolutionary trajectories follow the direction of the fitness gradient until it vanishes and a steady state is reached (Leimar, 2009). For the evolution of single traits, such steady states are called CCS, Continuously Stable Strategies (Eshel, 1983; Geritz et al., 1998); in a coevolutionary context we will refer to co-CSS.

Similarly as in eq. 8 from the invasibility analysis, the first component of the fitness gradient is proportional to $\partial_e \mathcal{A}$, the change of N-absorption as e is varied. In our model, this change is driven by nondimensional ratios representing the effective fertility of the soil as perceived by the plants, which read:

$$\begin{aligned} \frac{\mathcal{E}_l n_l}{m} &= ((1-e) \frac{\mathcal{E}_l^-}{\mathcal{E}_l^+} + e)(1-e) \frac{\mathcal{E}_l^+ n_l}{m_0} \\ \frac{\mathcal{E}_r n_r}{m} &= e(1-e) \frac{\mathcal{E}_r^+ n_r}{m_0} \end{aligned}$$

298 They are non monotonous functions of e , meaning that there is a trade-off between in-
creasing efficiency and reducing C-cost.

300 The second component of the fitness gradient is proportional to $\partial_\tau \mathcal{A} - \partial_\tau h$, where
 $\partial_\tau h$ is non zero only in the presence of herbivores. The absorption term depends, in
302 particular, on the way mutants change their local N-environment. To model the outcome
of this change, we used eq. 9 which implicitly accounted for spatial structure via the
304 phenomenological mass parameter z . We slightly generalized the approach to allow for
a tannin producing resident. We simply wrote the recalcitrant N-content in the vicinity
306 of an aggregated group of mutants as

$$n_r^{\tau+\delta\tau} = n_r^* + zh\delta\tau$$

where n_r^* is recalcitrant content produced by the tannins of the resident plants. From
308 there we applied the same reasoning as in eq. 9 to compute $\partial_\tau \mathcal{A}$.

The co-CSS will correspond to an intersection between the isocline of symbiotic ca-
310 pacity $\{(e, \tau) \mid \partial_e W|_{e,\tau} = 0\}$ and the one of tannin content $\{(e, \tau) \mid \partial_\tau W|_{e,\tau} = 0\}$. We first
chose $\mathcal{E}_l^+ > 2\mathcal{E}_l^-$ so that symbiotic capacity would be favored in the absence of tannins
312 by enhancing labile absorption. We asked whether this could then initiate the evolution
of tannins, and if so, if this would further promote symbiotic capacity. By contrast, we
314 considered the case where herbivory could favor tannin production but where symbi-
otic capacity could not evolve without tannins (i.e. $\mathcal{E}_l^+ \leq 2\mathcal{E}_l^-$). We asked whether the
316 evolution of tannins as an anti-herbivore defense could then promote the evolution of
symbiotic capacity and then further promote tannin production.

Figure 3: Role of turnover rate h and effective soil fertility $\mathcal{N}$ on the invasibility of tannins. We plotted the fitness gradient at $\tau = 0$, a positive value predicts that tannin-producing plants should be selected for. In all panels, the facts that the limits of viability (shaded gray) increases in steps is a numerical artifact. Left panel: non spatial model ($z = 0$) with herbivory ($H = 10^{-2}$). In this case, recalcitrant N play no role in the invasion fitness of tannins. middle panel: Spatially implicit context without herbivory ($z = 0.03$). Right panel: spatial structure and herbivory.

Results

The spatially implicit approach predicts that unfertile environment and intermediate turnover favor tannin evolution

The relationship between tannin invasibility, soil fertility and plants life strategies under the three scenarios is illustrated in Fig. 3, in which we numerically computed the fitness gradient (eq. 8) as a function of h and $\mathcal{N}$. In the leftmost panel – herbivores and no spatial structure – we observe selective pressure towards protection against herbivores by tannin production at low turnover rates h and relatively low fertility $\mathcal{N}$. Note that as h grows (or $\mathcal{N}$ decreases) plants eventually reach the limits of viability (in gray in Fig 3). In the middle panel – no herbivores but spatial structure – we observe that local recycling of recalcitrant N by tannin-producing mutants should strongly promote their settlement at low fertility and intermediate values of turnover rate. Finally, the rightmost – herbivores

330 and spatial structure – combines the previously described behaviors, showing that, for
low soil fertility, tannin production can be beneficial at both low and intermediate values
332 of turn over rates, but for essentially different reasons. At low turn over rate tannins are
mostly selected for their herbivore deterrent properties, whereas at intermediate turnover
334 they mostly favor plants by forming a local N pool less subject to leaching.

336 **Simulations of the cellular automaton confirm the predictions of the implicit approach and demonstrate the importance of social effects**

In Fig. 4 we confronted the qualitative predictions of the implicit approach to simulations
338 of the cellular automaton, for scenario (ii) i.e. no herbivory. For the same values of pa-
rameters as in Fig 3 we ran simulations of invasions attempts of a tannin producing pop-
340 ulation ($\tau = 0.2$), starting from a few aggregated individuals in a landscape populated
by tannin-less plants. We monitored the ultimate fraction of mutants and compared the
342 outcome of these simulations to the invasibility domain from the middle panel of Fig 3.
Except near the inviable domain where less successful invasions were recorded than ex-
344 pected, we see that simulations of the cellular automaton are in qualitative agreement
with the invasibility predictions.

346 Beyond corroborating the analysis from the implicit approach, simulations of the
cellular automaton allowed us to relate tannin evolution to the evolution of altruism by
348 group selection (van Baalen and Rand, 1998). In the left panel of Fig 5 we plotted the
probability of a successful invasion as a function of recalcitrant diffusion rate $\mathcal{D}_r$. At
350 the individual level, in terms of survival and birth rate, the least diffusion of recalcitrant
N the better –a selfish “behavior”. Yet at the group level, in terms of probability of
352 invasion, we observe that intermediate values of diffusion – altruistic behaviors – are

Figure 4: Confrontation of the qualitative predictions of fig.(3) to simulations of the cellular automaton. Each cell represents the ultimate fraction of mutants (with $\tau = 0.2$) after 400 time steps. The landscape is a periodic lattice comprised of $S = 250$ cells. We start from 5 spatially aggregated mutants in a landscape populated by tannin-less plants. New-born individuals have an initial biomass 10^{-3} smaller than the one of an average resident. N-diffusion rates are set to $\mathcal{D}_l = 5$ and $\mathcal{D}_r = 0.1$. The invasibility domain from the middle panel of Fig. 3 is reported as a black line ($z = 0.03$). Except near the inviable domain where less successful invasions are observed than expected, we observe a good qualitative agreement between the prediction of the implicit approach and simulations.

Figure 5: Social effects promoting tannins (simulations of the cellular automaton) Left: Altruism. We varied the recalitrant diffusion rate $\mathcal{D}_r$ from 0 to 2 and estimated the invasion probability function from the histogram of diffusion rates for which the ultimate proportion of mutant biomass was larger than one. At the individual level, in terms of survival and birth rate, the least diffusion of recalitrant N the better. Yet at the population level, in terms of probability of invasion, intermediate values of diffusion appear more beneficial. For strong diffusion we recover a “tragedy of the commons” scenario: “cheaters” benefit without costs from complexed N. Right: ecological inheritance. A: the box plot represents the outcome of simulation runs (100 runs up to $t=200$), starting from a few tannin producing-mutants in a landscape populated by a tannin-less resident. B: when an individual died, the recalitrant pool that it formed during its lifetime is set to zero, thus removing ecological inheritance. The comparison between case A and B demonstrates a positive effect of ecological inheritance on the selection of tannins.

more beneficial. For larger values of nutrient diffusion “cheaters” benefit without costs
 354 from the complexed N pool, and individuals contributing to this pool, having no extra
 benefits, are eventually excluded.

356 The death of a tannin producing individual leaves a N-rich patch available for re-
 cruitment from neighbors that are likely to be of his kin, a property termed ecological
 358 inheritance (Odling-Smee et al., 2003). As illustrated in Fig 5, this effect was positive
 in our model: without ecological inheritance (case B in Fig 5) the invasion of tannin
 360 producing mutants is substantially slower.

Positive coevolutionary feedbacks between tannins and symbiotic capacity can 362 be triggered by herbivores

In Fig. 6, using the implicit account of spatial structure, we could sketch the coevolution-
 364 ary dynamics in trait space (e, τ) , generated by the fitness gradient eq. 10 (Leimar, 2009).
 The fitness gradient has two components and the co-CSS corresponds to an intersection
 366 between the fitness gradient isocline of symbiotic capacity (in gray in Fig. 6) and the one
 of tannin content (in black).

368 In the first panel, labile absorption is sufficiently inefficient that symbiotic capacity
 can be selected even in the absence of recalcitrant N (the fitness gradient along e is
 370 positive at $e, \tau = 0$). We observe a positive coevolutionary feedback: if symbiotic capacity
 increases, complexed N can be absorbed so that tannins can become beneficial, which in
 372 turn enhances the selective pressure on symbiotic capacity, and so on until the co-CSS is
 reached.

374 In the middle panel, symbiotic capacity is too costly to evolve in the absence of tan-
 nins, and no coevolution is possible. However, in the rightmost panel, herbivory allows

Figure 6: Coevolution of tannins and symbiotic capacity. In gray is the fitness gradient isocline of symbiotic capacity, In black the fitness gradient isocline of the tannin phenotype. The coevolutionary Continuously Stable Strategy (co-CSS) is at the intersection of the two. Leftmost panel: we chose parameters of absorption efficiencies so that that symbiotic capacity would be favored even in the absence of tannins. In this case, we predict a coevolutionary positive feedback, with tannin evolution increasing the selective advantage of symbiotic associations and vice versa, until a co-CSS is reached. Middle panel: here we chose absorption efficiencies so that symbiotic capacity is not favored in the absence of tannins. In this case, we predict no evolution of tannin production nor symbiotic capacity. Rightmost panel: Additional pressure from herbivory, however, can trigger a coevolutionary positive feedback.

the evolution of tannins as an anti-herbivore defense. This triggers a positive coevolutionary feedback: symbiotic capacity evolves which can enhance tannins until the co-CSS is reached. This demonstrates the potential complementary of the two hypothesis concerning tannin evolution in plants (i.e. anti-herbivore defense and niche construction): the fact that tannins form anti-herbivore compounds can be at the origin of the plant-soil feedbacks that can, in turn, promote tannin production and mycorrhizal associations.

Discussion

Plant soil feedbacks alone can trigger tannin evolution

Our results are in line with the "litter perspective" proposed by Hättenschwiler et al. (2010) as an alternative to the "green foliage perspective" for the evolution of tannins. Plants benefit from the afterlife effects of tannins, instead of suffering from a reduction of nutrient cycling (Barot et al., 2014). In line with empirical findings (Joanisse et al., 2009; Kraus et al., 2004), our model assumes that the main mechanism explaining the impact of tannins on the soil is their ability to complex proteins during leaf senescence, thus forming a recalcitrant N pool that can be reabsorbed by mycorrhizae.

This can confer a selective advantage to tannin-producing plants with intermediate biomass turnover rates (Figs 3-4). If turnover is too slow, plant biomass accumulates, photosynthesis becomes limited by intraspecific competition and the carbon stock is too low for plants to invest in tannins. By contrast, if turnover is too fast, labile nutrients abound and the recalcitrant pool formed by tannins becomes useless. Importantly, tannin-producing plants must have a preferential access to the recalcitrant pool. The mechanisms underlying such exclusivity may be spatial (as in our model), or biological.

cal, if mycorrhizae are better adapted to reabsorb the recalcitrant nutrients formed by their host (Joanisse et al., 2009; Wurzburger and Hendrick, 2009). Tannin production cannot evolve when the recalcitrant pool is shared by all plants, because tannin producing plants must be protected from the invasion of cheaters able to absorb recalcitrant N without paying the cost of tannin production.

Our model is in this sense similar to those focused on the evolution of altruism (Le Galliard et al., 2003), facilitation (Kéfi et al., 2008) and shared chemical resources among microorganisms (Allen et al., 2013). Yet our model is not a mere illustration of altruism evolution. Indeed, in models of altruism evolution (Le Galliard et al., 2003; van Baalen and Rand, 1998; Wilson, 1980), the altruistic trait is beneficial to any phenotype. Our model is one of competition for resources in which no individual is strictly altruistic. In principle, tannin production could both lead to the competitive exclusion of non-symbiotic plants (Joanisse et al., 2009) and to the facilitation of plants associated with mycorrhizae. Finally, we considered here a whole ecosystem including abiotic compartments, instead of a single monospecific population. Hence, selection does not operate at the group-level (van Baalen and Rand, 1998), but at the ecosystem-level (see *Tannin evolution under a niche-construction perspective* below).

Nutrient-poor ecosystems favor tannin evolution

Because they slow down the mineralization of recycled nutrients, one could have expected tannins to be detrimental to plants in unfertile ecosystems (Barot et al., 2014). This prediction, however, is not verified empirically, both at the inter and intraspecific level: tannin producing species are mostly found in unfertile environments (Endara and Coley, 2011; McKey et al., 1978), and plant populations of a single species contain more

tannin in nutrient poor environments (Hofland-Zijlstra and Berendse, 2009; Kouki and
 422 Manetas, 2002; Kraus et al., 2004; Northup et al., 1995). Our model resolves this apparent
 paradox (Fig. 3, middle panel). Tannins can be beneficial to plants growing in unfertile
 424 ecosystems because they allow the formation of recalcitrant nutrient pools less prone
 to leaching, which can counter-balance the negative effect of a slower mineralization
 426 (Schweitzer et al., 2008).

In the model of Barot et al. (2014), the nutrients present in dead organic matter could
 428 not be reabsorbed by plants directly, and had to be first decomposed into mineral nutri-
 ents, leading to the conclusion that plants must evolve fast mineralization strategies in
 430 unfertile ecosystems. Instead, in our model plants can have direct access to the recalci-
 trant pool and could thus benefit plants in unfertile ecosystems. In fact, it is more likely
 432 that fertile ecosystems *prevent* tannin evolution. In our model, plant-soil feedbacks ini-
 tiated by tannins were inefficient at high fertility. Furthermore, fertile ecosystems favor
 434 fast growing species with high turn over rates (Endara and Coley, 2011). In this case we
 found that the selective pressure on tannins is weak (leftmost panel of Fig. 3).

436 **The importance of symbiosis with mycorrhizae**

In our framework, tannin production and symbiotic associations with mycorrhizal fungi
 438 are two strongly interacting plant traits, are are thus likely to have co-evolved. Our
 model indeed predicts non-trivial coevolutionary dynamics that can lead to a coevolu-
 440 tionary equilibrium in which tannin production favors the association with mycorrhizal
 fungi and vice-versa (Fig 6). If plants benefit from a symbiotic association even when
 442 recalcitrant nutrient are absent, this coevolutionary equilibrium can be reached without
 any defensive role of tannins against herbivory (Fig 6, leftmost panel). If plants do not re-

444 quire symbiotic associations to absorb labile nutrients, a defensive role of tannins against
herbivory, causing a build-up of recalcitrant nutrients inaccessible without symbiotic
446 associations, can trigger the coevolutionary dynamics (Fig 6 rightmost panels).

These co-evolutionary dynamics rely on the ability of mycorrhizae to access nitrogen
448 from tannin-protein complexes. This constitutes a central assumption in our work, which
we now discuss.

450 Within functional groups of mycorrhizae, the mycorrhizal strategy may have evolved
several times from free ancestors, as it is the case for ectomycorrhizae (Pellitier and Zak,
452 2018). Consequently, the different groups of mycorrhizae are not phylogenetically related
and have distinct enzymatic capacity (Phillips et al., 2013). Their decomposer capacities
454 are thus expected to depend on their type – ecto-, ericoid- or endomycorrhizae (for short:
ECM, ERM, AMF) and of the clade within a given type.

456 That mycorrhizae can access recalcitrant complexes is still in debate for ECM (Lindahl
and Tunlid, 2015; Pellitier and Zak, 2018), the dominant mycorrhizal type in temperate
458 and boreal forests. The loss of genes associated with saprotrophic ability during the
transition from a free to a mycorrhizal strategy, as well as certain experimental evidence
460 (Bending and Read, 1996), suggest a low ability for complex organic matter decomposi-
tion. Simultaneously, an increasing body of literature suggest the opposite, arguing that
462 ECM selectively mine complex organic nitrogen (Averill and Hawkes, 2016; Shah et al.,
2016; Trap et al., 2017). Madritch and Lindroth (2015) specifically demonstrated an higher
464 N acquisition from high-tannin litter but without exploring the underlying mechanisms,
in particular the potential implication of ECM fungi. Again, part of the controversy may
466 arise from the fact that distinct ECM species might have distinct enzymatic abilities.

On the other hand, Wurzburger and Hendrick (2009), using ¹⁵N-enriched protein-

468 tannin complexes from leaf litter extracts, convincingly demonstrated the ability to ac-
 quire directly nitrogen from tannin-protein complexes for ERM, which often abound in
 470 heathland and peatlands, and are often very rich in tannins. AMF, which dominate in
 grasslands and tropical forests, are usually poor decomposers, and may therefore not
 472 be able to acquire nitrogen from tannin-protein complexes. However, as pointed by
 Hättenschwiler et al. (2010), this ability has so far not been tested for tropical AM fungi.
 474 In short, if our results should be relevant for ERM dominated ecosystems (e.g. heathland
 and peatlands), more studies are needed to clarify the decomposer ability of ECM and
 476 tropical AM fungi, and thus establish the precise level of generality of our results.

Incidentally, our study sheds light on the mycorrhizal-associated nutrient economy
 478 framework proposed by Phillips et al. (2013). This framework posits that the contrasting
 modes of nutrient acquisition of different mycorrhizal fungi can explain contrasting C
 480 and N cycling patterns observed in ecosystems dominated by ECM, ERM or AM plants.
 By modeling together the formation of recalcitrant N through tannin production and
 482 the ability of mycorrhizae to access it, our study refines this framework and places the
 patterns observed by Phillips et al. (2013) in an evolutionary perspective.

484 **Tannin evolution under a niche-construction perspective**

The evolution of tannins through plant soil feedbacks can be understood as a case of
 486 niche construction, i.e. organisms' ability to modify their environment for their own
 benefit, or for the benefit of related organisms which will occupy the improved envi-
 488 ronment after their death (Kylafis and Loreau, 2008; Lehmann, 2008; Odling-Smee et al.,
 2003). In our model tannins initiate an eco-evolutionary loop between organisms and
 490 their environments. The death of a tannin producing individual leaves a N-rich patch

available for recruitment from neighbors that are likely to be of his kin. Individuals could
 492 inherit the environment transformed by their ancestors, a property termed ecological inheritance (Odling-Smee et al., 2003). As illustrated in Fig 5, this effect was substantial
 494 and positive in our model. Most evolutionary models consider the evolution of phenotypes whose fitness can be fully understood at the organismic level. In the case of
 496 tannin evolution, the fitness of this phenotype can be understood at the organismic level in the presence of herbivores, but not in the presence of the plant-soil feedbacks. The
 498 feedback involves plants, nutrient compartments, and mycorrhizae within the soil, and competition occurs between ecosystems having contrasted material cycles (Loreau, 1998,
 500 2010). A whole ecosystem therefore persists through time via genetic and ecological inheritance. Within the framework of multi-level selection (Okasha, 2006), we therefore
 502 propose to interpret tannin evolution as the emergence of a new unit of selection at the ecosystem-level (Goodnight, 2000; Swenson et al., 2000).

504 **Conclusion**

Our model shows that the protective role of tannins against herbivores reinforces their
 506 evolution, but is not a necessary condition. Plant-soil feedbacks can be strong enough to trigger alone tannin evolution, provided that the pool of recalcitrant nutrients complexed
 508 by tannins is, at least in part, reabsorbed by the mycorrhizae of the same plant, or by its neighboring relatives. Niche construction is here a central aspect of tannin evolution
 510 through plant-soil feedbacks, modulated by ecological conditions. Tannin evolution requires plants to have intermediate biomass turnover rates and inhabit relatively infertile
 512 ecosystems, thus highlighting the fact that niche construction is more effective in harsh environments. Such ecological conditions are generally fulfilled in ecosystems harboring

514 tannin producing plants (Endara and Coley, 2011; McKey et al., 1978). Our model there-
fore suggests that plant-soil feedbacks could have played a decisive role in the evolution
516 of tannin production. More generally our work provides a detailed example of how plant
traits, via their impact on a plant's environment, can be selected via niche construction
518 mechanisms implying the transformation of a whole local ecosystem.

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