Supplementary Material: Full-length de novo viral quasispecies assembly through variation graph construction

Jasmijn A. Baaijens[*], Bastiaan Van der Roest[†], Johannes Köster[‡§], Leen Stougie[*¶‖], Alexander Schönhuth[**‖††]

Contents

[*]Centrum Wiskunde & Informatica, Amsterdam, Netherlands
[†]University Medical Center Utrecht, Utrecht, Netherlands
[‡]University of Duisberg-Essen, Essen, Germany
[§]Dana Farber Cancer Institute, Harvard Medical School, Boston, United States
[¶]Vrije Universiteit, Amsterdam, Netherlands
[‖]INRIA-Erable
[**]Utrecht University, Utrecht, Netherlands
[††]Corresponding author (alexander.schoenhuth@cwi.nl)

1 Parameters

Our method requires to manually set three parameters, the minimal node abundance α, the minimal haplotype abundance γ, and the maximal trim length τ. The minimal node abundance α refers to removing mismatches when concatenating paths, see 'Correcting errors in paths $p \in P'$' in the main manuscript. As a general guideline, increasing α leads to increasing numbers of candidate paths, hence an increasing number of variables in the minimization problem. The greater the number of candidate paths, the greater the chance that the true haplotypes are present and while at the same time the greater the risk to pick up haplotype artifacts.

The minimal haplotype abundance γ refers to selecting haplotypes after having solved the minimization problem in Section 2.2.2. Any haplotype $p \in P$ where $a(p) < \gamma$ will be discarded from the output. The reasoning for this threshold is that in general, de novo assemblers are unable to reconstruct contigs below a certain abundance threshold. Therefore, any haplotypes with an abundance below this threshold are likely the results of sequencing artifacts or assembly errors. Increasing γ leads to a higher accuracy but also a loss of low abundance haplotypes; it is a common trade-off in quasispecies assembly. We recommend setting α and γ to 0.5% and 1.0% of the total sequencing depth of the original data set, respectively. These default settings were chosen according to the quality of the input contigs (Baaijens *et al.*, 2017). Given that the full-length data sets considered here have a total sequencing depth of 20,000x, these experiments were run with $\alpha = 100$ and $\gamma = 200$. For data sets with lower coverage, α and γ were set to 0.5% and 1.0% of the total sequencing depth sequencing depth, respectively.

The trim length τ refers to 'Trimming paths $p \in P'$' in Section 2.2.1. Due to issues in contig computation, uncorrected sequencing errors are often located on the extremities of the contig. Since contigs have large overlaps in general, we shorten the contig paths by removing their extremities for at most τ bases. Increasing τ leads to less concatenations of paths from P', hence to less candidate paths in general, at the risk of not concatenating correctly joining contigs. Because of this risk, we recommend setting τ to small values only; its default value is 10bp and this value was used in all experiments.

2 Removing concatenations lacking physical evidence

We observed that during the de novo assembly process, coincidentally, situations like the one depicted in Figure 1 can occur. In this situation, the contig q_2 provides physical evidence for the concatenation of contigs $q_1 \rightarrow_c p_2$, while there is no such evidence for the concatenation $p_1 \rightarrow_c p_2$. At the same time, p_1 concatenates well with q_4, so we can safely remove $p_1 \rightarrow_c p2$ without turning p_1 into a dead end. This procedure reduces the number of spurious haplotypes, thus speeding up the candidate path generation and optimization steps.

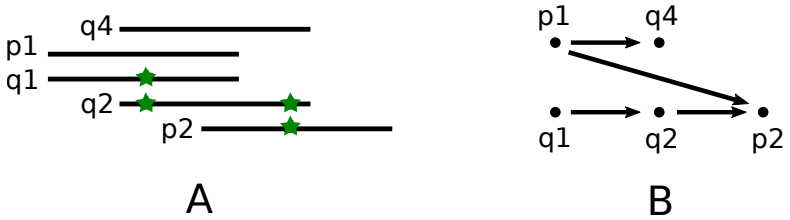

Figure 1: An illustration of concatenations lacking physical evidence. (A) Contigs p_1, p_2, q_1, q_2, q_4, with sequence variation indicated by a green star. (B) Possible contig concatenations are shown in the form of a graph: $p_1 \rightarrow_c q_4$, $q_1 \rightarrow_c p_2$, $q_1 \rightarrow_c q_2$, $q_2 \rightarrow_c p_2$. There is no contig q_3 in the assembly such that $p_1 \rightarrow_c q_3$ and $q_3 \rightarrow_c p_2$.

3 Data sets

3.1 HCV and ZIKV

The HCV and ZIKV simulated data sets were presented by (Baaijens *et al.*, 2017) and are publicly available at `https://bitbucket.org/jbaaijens/savage-benchmarks`.

3.2 Poliovirus

We extracted sequences for 6 closely related poliovirus strains from the NCBI nucleotide database, accession numbers MG212475.1, MG212489.1, MG212484.1, MG21469.1, MG212490.1, and MG212491.1. Two of these sequences (MG212476.1 and MG21484.1) show a big deletion (larger than 1000bp) compared to the Sabin2 reference strain. We used SimSeq[1] to simulate 2x250bp Illumina Miseq reads (using the MiSeq error profiles) – see Section 6. Strain frequencies increase exponentially (1.6%, 3.2%, 6.3%, 12.7%, 25.4%, 50.8%) with a total coverage of 20.000x.

3.3 HIV pol region

We downloaded a sequence for the HIV-1 pol region from the NCBI nucleotide database (accession number D86068.1) and generated 7 mutated strains by introducing random mutations (mostly substitutions, some small indels) at a rate of 0.5%. Thus, each pair of strains has a pairwise divergence of approximately 1%. For these 7 strains we simulated 2x250bp Illumina Miseq reads using SimSeq, with relative strain frequencies of 0.5%, 1%, 2%, 5%, 10%, 20%, 61.5%. We built 3 such data sets with varying total coverage: 500x, 1000x, and 5000x, respectively. For each of these data sets, simulations were performed 10 times and assembly tools were run on all 10 samples to ensure robustness; average results are presented for each data set.

3.4 Labmix

The labmix data set consists of 5 well-known HIV-1 strains that were mixed in a lab and then sequenced using Illumina MiSeq technology (Di Giallonardo *et al.*, 2014). This is real sequencing data, so before further processing we the raw reads were trimmed using CutAdapt (Martin, 2011) (removing adapter sequences) and we checked for overlaps between forward and reverse reads using PEAR (Zhang *et al.*, 2014) – see Section 6 for the corresponding shell commands. The overall sequencing depth of this data set is approximately 20.000x.

Long terminal repeats

The HIV-1 sequence has repeats on the suffix and prefix of its genome, the so-called Long Terminal Repeats (LTR). When constructing a "consensus reference" from the labmix data set to use for reference-guided assembly tools, VICUNA (Yang *et al.*, 2012) gets confused by the repeats (LTR) and produces a reference genome that is much longer than the HIV genome. This, of course, has a great impact on the assemblies obtained with reference-guided methods, as these depend highly on the quality of the given reference genome. Moreover, many methods assume genomes to be repeat-free, or to have only small repeats (shorter than the read length); see e.g. Malhotra *et al.* (2016), Yang *et al.* (2012), Di Giallonardo *et al.* (2014), Astrovskaya *et al.* (2011). Therefore, we decided to benchmark on the labmix after excluding the terminal repeats, similar to Di Giallonardo *et al.* (2014).

In order to exclude the terminal repeats, we aligned the data to the HIV-1 reference sequence and removed all reads mapping to the long terminal repeats.

[1] `https://github.com/jstjohn/SimSeq`

Pol region

For studying assembly quality on shorter regions, we extracted the HIV pol region from the labmix data. We aligned all reads to the ground truth haplotypes, and selected reads belonging to the pol gene for each haplotype using samtools. In addition, to evaluate assembly quality on lower coverage data sets, we applied subsampling using the samtools -s option. We created data sets of 100x and 1000x coverage, 10 samples each to ensure robustness. Methods were run on all 10 samples and average results are presented for each data set.

4 Runtime and memory usage

4.1 Detailed Virus-VG runtimes

The Virus-VG pipeline consists of two major steps, each of which can be divided into multiple smaller steps. First, we have graph construction, which requires contig alignment (MSA), graph indexing, and read mapping. Then, we have haplotype reconstruction, which consists of candidate path generation and path selection through optimization. We analyze Virus-VG runtimes for each of these steps to achieve a better understanding of the algorithmic bottlenecks. We also report the sizes (number of nodes and edges) of the corresponding variation graphs, as well as the number of candidate paths. All numbers are presented in Tables 1 and 2.

We observe that in general read mapping is the most expensive step in our workflow. However, as the assemblies become more complex, the number of candidate paths increases and hence candidate path generation becomes quite expensive. In practice, the complete Virus-VG workflow is still much faster than the de novo assembly step with SAVAGE (see section 4.2).

4.2 Comparison to the state-of-the-art

We present runtime results (CPU hours and wall clock time) and peak memory usage for all methods on all data sets in Tables 3–5. Some methods (SAVAGE, Virus-VG, PEHaplo, and ShoRAH) can use multithreading; these methods were allowed to use 8 threads. All experiments were performed on a 24-core (Intel-Xeon 2.0GHz) Linux machine.

	HCV	ZIKV	Poliovirus
graph construction	21182	44894	12569
contig alignment	112	577	133
graph indexing	1.0	2.2	0.9
read mapping	19222	41930	11480
haplotype reconstruction	42	133	224
candidate path generation	14	60	200
path selection	9.5	26	13
# nodes	4547	6667	2065
# edges	6192	9179	2785
# candidate paths	17	93	889

Table 1: Detailed runtimes (CPU seconds) and graph statistics for Virus-VG on simulated full-length viral quasispecies data.

	simulated HIV pol			real HIV pol (labmix)		
	500x	1000x	5000x	100x	1000x	20.000x
graph construction	52	113	576	170	517	19212
contig alignment	4.5	7.0	14	38	89	820
graph indexing	0.6	0.6	0.7	1.3	2.0	6.3
read mapping	36	87	507	118	383	17942
haplotype reconstruction	4.1	3.1	3.3	6.5	14	33843
candidate path generation	0.2	0.3	0.6	0.4	2.3	33216
path selection	0.1	0.1	0.1	0.4	0.7	329
# nodes	139	166	181	374	677	731
# edges	187	223	244	494	911	985
# candidate paths	5	8	12	23	51	65558

Table 2: Detailed runtimes (CPU seconds) and graph statistics for Virus-VG on simulated and real data for the HIV pol region.

	CPU hours	Wall time	Peak memory usage (GB)
HCV			
SAVAGE	38.6	6h19	26
Virus-VG	5.9	1h00	0.9
aBayesQR	-	$>$ 500h	-
PEHaplo	-	-	-
PredictHaplo	2.7	2h43	1.1
ShoRAH	509	56h48	8.9
ZIKV			
SAVAGE	30.6	5h13	13
Virus-VG	12.5	1h17	0.8
aBayesQR	-	$>$ 500h	-
PEHaplo	-	-	-
PredictHaplo	7.4	7h40	1.1
ShoRAH	814	104h35	10
Polio			
SAVAGE	60.2	10h04	3.3
Virus-VG	3.6	40m	0.6
aBayesQR	-	$>$ 500h	-
PEHaplo	-	$>$ 500h	-
PredictHaplo	2.0	2h00	0.8
ShoRAH	-	-	-

Table 3: Runtime and -space comparison on simulated data sets for full length viral genomes (HCV, ZIKV, Polio) at 20.000x coverage. PEHaplo crashed on HCV and ZIKV, ShoRAH crashed on Polio and aBayesQR could not finish within 500h on any of these data sets.

	CPU minutes	Wall time	Peak memory usage (GB)
HIV pol 500x			
SAVAGE	15	2m52	1.1
Virus-VG	0.9	35s	0.1
aBayesQR	1.5	2m41	0.1
PEHaplo	1.8	1m18	0.5
PredictHaplo	0.5	27s	0.01
ShoRAH	26	4m42	0.07
HIV pol 1000x			
SAVAGE	144	18m00	2.3
Virus-VG	1.9	1m03	0.1
aBayesQR	0.9	1m16	0.2
PEHaplo	38	7m54	0.9
PredictHaplo	2.8	2m50	0.03
ShoRAH	95	21m55	0.1
HIV pol 5000x			
SAVAGE	849	2h42	2.6
Virus-VG	9.7	2m34	0.2
aBayesQR	27	43m47	1.0
PEHaplo	11869	27h37	12.4
PredictHaplo	3.5	3m31	0.1
ShoRAH	1749	3h44	0.5

Table 4: Runtime and -space comparison on simulated data for the HIV pol region ($\sim$3kb) at a coverage of 500x, 1000x, and 5000x, respectively.

	CPU hours	Wall time	Peak memory usage (GB)
Labmix pol 100x			
SAVAGE	0.01	15s	0.4
Virus-VG	0.05	1m47	0.1
aBayesQR	1.94	1h58	0.2
PEHaplo	0.02	45s	0.08
PredictHaplo	0.02	1m24	0.02
ShoRAH	0.10	37s	0.04
Labmix pol 1000x			
SAVAGE	2.3	16m49	2.4
Virus-VG	0.1	3m27	0.2
aBayesQR	1.7	1h45	2.1
PEHaplo	50	7h59	10.8
PredictHaplo	0.05	3m06	0.03
ShoRAH	5.3	33m02	0.3
Labmix pol 20.000x			
SAVAGE	97	10h56	3.9
Virus-VG	15	12h27	6.3
aBayesQR	411	488h06	10.3
PEHaplo	6.6	2h58	8.2
PredictHaplo	0.9	52m34	0.5
ShoRAH	192	22h41	8.5
Labmix* 20.000x			
SAVAGE	276	31h34	7.2
Virus-VG	10	2h19	0.6
aBayesQR	-	> 500h	-
PEHaplo	-	> 500h	-
PredictHaplo	4.9	5h00	1.0
ShoRAH	351	40h36	10.7

Table 5: Runtime and -space comparison on real data (labmix) at various sequencing depths (100x, 1000x, 20.000x). The HIV pol-region constitutes approximately 3kb. *Full genome excluding long terminal repeats (LTR), constitutes approximately 9kb.

5 Detailed assembly statistics

All assembly statistics per data set for each of the methods (SAVAGE, Virus-VG, aBayesQR, PEHaplo, PredictHaplo, and ShoRAH) can be found in Tables 6–9. Assembly statistics were computed using QUAST (Gurevich *et al.*, 2013). To cite the QUAST manual (`http://quast.bioinf.spbau.ru/manual.html`), these measures are computed as follows:

> **Genome fraction (%)** is the percentage of aligned bases in the reference genome. A base in the reference genome is aligned if there is at least one contig with at least one alignment to this base. Contigs from repetitive regions may map to multiple places, and thus may be counted multiple times.
> **N50** is the length for which the collection of all contigs of that length or longer covers at least half an assembly.
> **NG50** is the length for which the collection of all contigs of that length or longer covers at least half the reference genome.
> **NA50, NGA50** ("A" stands for "aligned") are similar to the corresponding metrics without "A", but in this case aligned blocks instead of contigs are considered. Aligned blocks are obtained by breaking contigs at misassembly events and removing all unaligned bases.
> **# N's per 100 kbp** is the average number of uncalled bases (N's) per 100000 assembly bases.
> **# mismatches per 100 kbp** is the average number of mismatches per 100000 aligned bases.
> **# indels per 100 kbp** is the average number of indels per 100000 aligned bases. Several consecutive single nucleotide indels are counted as one indel.

We refer to "Genome fraction (%)" as "target (%)" and by "Error Rate" we refer to the overall error rate, which equals the sum of N-rate, mismatch rate, and indel rate. This measure reflects how much one can trust a given contig. False discovery affects error rate and target (%) if contigs can be aligned, which is the case here. In other words, incorrect contigs do lead to a higher target (%), but also to an increased error rate. Together this gives an impression of assembly accuracy.

Tables 9 and 8 show results on simulated and real data, respectively, for the HIV pol region ($\sim$ 3kb). Note that the primary intention of Virus-VG is to reconstruct full-length genomes and the method, by its nature, profits from full-length genomes. Reconstructing isolated regions is not necessarily the point for a de novo approach; if isolated well-known regions are addressed, reference-based methods might be a good option. However, it is interesting here because it allows us to compare also to aBayesQR and PEHaplo.

For the labmix pol data at 100x coverage, we observe that SAVAGE can only reconstruct 9.8% of the target genomes (Table 8. Since these contigs are used as input for Virus-VG, our method cannot be expected to yield a complete assembly, but target coverage does increase to 21.9% after applying Virus-VG.

We observe that PredictHaplo performs well on the labmix at 20.000x, full-length genome and pol region, but it misses many haplotypes on all other data sets, with only 14–64% of the target genomes reconstructed. It seems that PredictHaplo was overfitted towards the 20.000x labmix data; in fact, this data set comes together with the PredictHaplo software. Even on the labmix data at lower coverage of 100x and 1000x, PredictHaplo reconstructs only 1 and 3 strains, respectively.

	# contigs*	target (%)	N50	NGA50	ER(%)	recall	precision
SAVAGE	26	99.4	8964	8964	0.001	1.0	0.92
Virus-VG	10	99.3	9281	9203	0.001	0.90	0.90
PredictHaplo	9	73.8	7636	7608	0.059	0.10	0.11
ShoRAH	639	56.9	7570	7570	4.294	0	0

(a) 10-strain HCV mixture (simulated Illumina MiSeq)

	# contigs*	target (%)	N50	NGA50	ER(%)	recall	precision
SAVAGE	100	98.8	2954	3801	0.023	1.0	0.77
Virus-VG	20	92.8	10202	10210	0.115	0.53	0.40
PredictHaplo	8	53.3	10270	10267	0.126	0.20	0.38
ShoRAH	493	26.3	10117	10117	4.392	0	0

(b) 15-strain ZIKV mixture (simulated Illumina MiSeq)

	# contigs*	target (%)	N50	NGA50	ER(%)	recall	precision
SAVAGE	59	83.7	1089	1643	0.019	1.0	0.88
Virus-VG	14	80.7	7316	7428	0.064	0.17	0.10
PredictHaplo	3	16.6	7461	-	1.825	0	0

(c) 6-strain Poliovirus mixture (simulated Illumina MiSeq)

Table 6: Assembly results for simulated deep sequencing data. ER = Error Rate, computed as the sum of the fraction of 'N's (ambiguous bases) and the mismatch- and indel rates. ShoRAH could not process the Poliovirus data. PEHaplo and aBayesQR could not process any of these data sets. *If contigs are full-length, this number reflects the estimated number of strains in the quasispecies.

	# contigs*	target (%)	N50	NGA50	ER(%)	recall	precision
SAVAGE	68	97.9	1026	1450	0.066	1.0	0.25
Virus-VG	23	90.6	2130	4642	0.324	0.80	0.22
PredictHaplo	6	100.0	8825	8825	1.066	0	0
ShoRAH	250	100.0	8775	8775	3.910	0	0

Table 7: Labmix whole genome (excluding LTR) at full coverage (20.000x). ER = Error Rate, computed as the sum of the fraction of 'N's (ambiguous bases) and the mismatch- and indel rates. Note that PEHaplo and aBayesQR could not process this data set. *If contigs are full-length, this number reflects the estimated number of strains in the quasispecies.

	# contigs*	target (%)	N50	NGA50	ER(%)	recall	precision
100x coverage							
SAVAGE	2	9.8	667	-	0.039	1.000	0.848
Virus-VG	5	21.9	924	-	0.478	0.960	0.586
aBayesQR	8	95.4	3454	3000	1.220	0.000	0.000
PEHaplo	6	46.3	2869	-	1.180	0.000	0.000
PredictHaplo	1	19.8	3450	-	1.490	0.000	0.000
ShoRAH	52	99.2	3406	3000	1.533	0.000	0.000
1000x coverage							
SAVAGE	15	91.2	1378	1420	0.063	1.000	0.243
Virus-VG	9	93.0	3071	2888	0.297	0.400	0.151
aBayesQR	7	84.0	3520	3023	1.332	0.000	0.000
PEHaplo	358	99.8	3488	3023	1.768	0.060	0.001
PredictHaplo	3	64.0	3517	3023	0.611	0.000	0.000
ShoRAH	122	100.0	3474	3023	1.825	0.000	0.000
20.000x coverage							
SAVAGE	45	95.5	704	897	0.014	1.000	0.270
Virus-VG	32	95.4	1674	3011	0.770	0.200	0.030
aBayesQR	7	40.0	3522	3023	1.760	0.000	0.000
PEHaplo	1667	100.0	1620	3023	1.979	0.000	0.000
PredictHaplo	5	100.0	3523	3023	0.276	0.000	0.000
ShoRAH	200	100.0	3484	3023	1.661	0.000	0.000

Table 8: Labmix pol region at coverage 100x, 1000x and 20.000x. ER = Error Rate, computed as the sum of the fraction of 'N's (ambiguous bases) and the mismatch- and indel rates. Note that reconstructing isolated regions is not the primary intention of Virus-VG, as it is a de novo approach. *If contigs are full-length, this number reflects the estimated number of strains in the quasispecies.

	# contigs*	target (%)	N50	NGA50	ER(%)	recall	precision
500x							
SAVAGE	7	51.0	2291	930	0.005	0.584	0.941
Virus-VG	4	52.7	2974	2330	0.057	0.416	0.660
aBayesQR	6	55.7	3069	3069	0.249	0.000	0.000
PEHaplo	64	55.7	3048	3062	0.459	0.000	0.000
PredictHaplo	1	14.3	3068	-	0.392	0.000	0.000
ShoRAH	27	61.0	2680	2680	1.322	0.000	0.000
1000x							
SAVAGE	12	57.2	1416	986	0.012	0.710	0.966
Virus-VG	6	61.3	2977	2907	0.116	0.400	0.443
aBayesQR	6	62.8	3070	3070	0.258	0.000	0.000
PEHaplo	59	61.7	3045	3063	0.449	0.014	0.004
PredictHaplo	2	21.4	3070	-	0.514	0.000	0.000
ShoRAH	27	59.8	2680	2680	1.304	0.000	0.000
5000x							
SAVAGE	17	66.4	1612	1596	0.005	0.755	0.937
Virus-VG	7	64.7	2853	2864	0.089	0.528	0.579
aBayesQR	7	61.4	3074	3074	0.283	0.000	0.000
PEHaplo	469	97.0	3045	3072	0.519	0.000	0.000
PredictHaplo	2	28.5	3070	-	0.587	0.000	0.000
ShoRAH	32	51.4	2766	2766	1.404	0.000	0.000

Table 9: Simulated HIV pol region at coverage 500x, 1000x and 5000x. ER = Error Rate, computed as the sum of the fraction of 'N's (ambiguous bases) and the mismatch- and indel rates. Note that reconstructing isolated regions is not the primary intention of Virus-VG, as it is a de novo approach. *If contigs are full-length, this number reflects the estimated number of strains in the quasispecies.

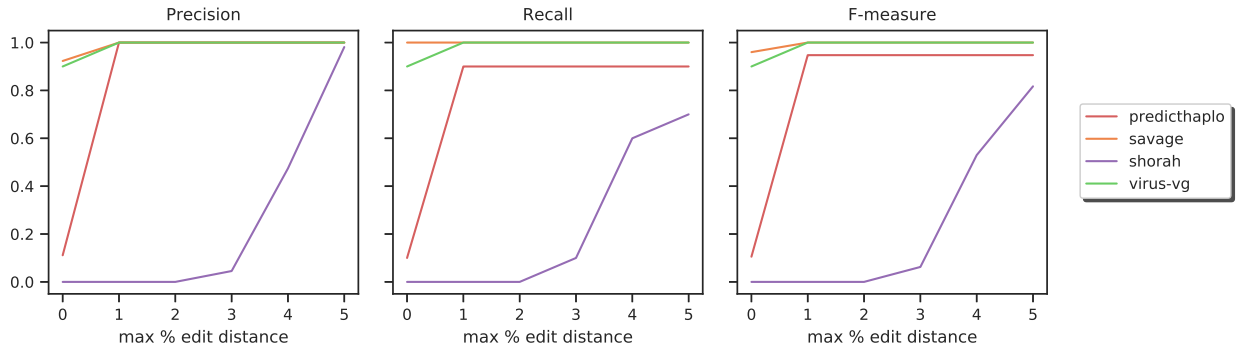

Figure 2: 10-strain HCV mixture (simulated Illumina MiSeq).

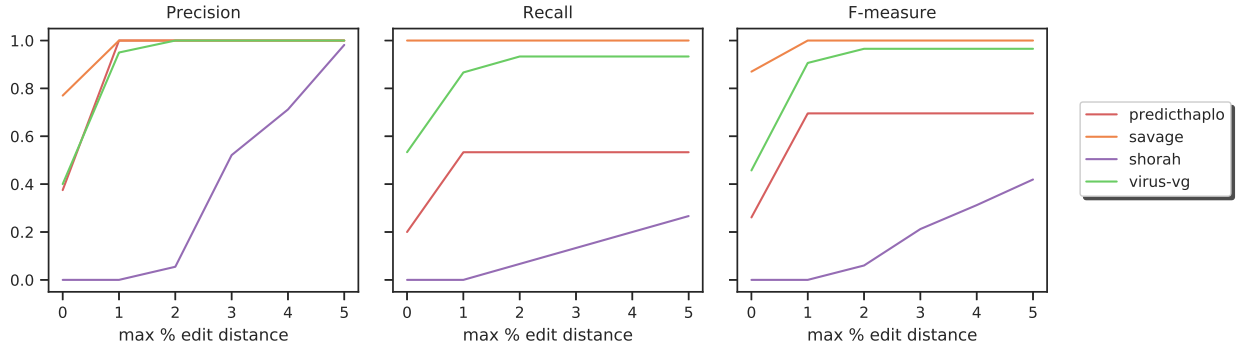

Figure 3: 15-strain ZIKV mixture (simulated Illumina MiSeq).

5.1 Recall and precision

In addition to the QUAST assembly statistics, Tables 6–9 also present precision and recall (a.k.a. sensitivity and positive predictive value). We define recall as the number of true haplotypes having a contig that aligns with edit distance 0, divided by the total number of true haplotypes. We define precision as the number of contigs that align to a true haplotype with edit distance 0, divided by the total number of contigs.

These measures give SAVAGE a big advantage, because with short contigs it achieves much more exactly matching haplotypes (true positives). Virus-VG also achieves non-zero recall and precision on all data sets, but the other methods produce hardly any true positives: only PredictHaplo (HCV, ZIKV) and PEHaplo (simulated HIV pol 1000x, labmix pol 1000x) are able to achieve some true positives. We provide more detailed results on precision and recall in Figures 2–8. Here, we consider various thresholds for the relative edit distance (i.e. edit distance divided by alignment length) for contigs to be considered true positives. In addition, we also plot the F-measure (2*precision*recall/(precision+recall)). We observe that in general, SAVAGE achieves best results on these three measures, with high values for recall and precision already at low relative edit distance. However, SAVAGE only assembles short contigs. Virus-VG outperforms all other methods on all data sets, except for PEHaplo on the simulated HIV pol mixture at 5000x coverage. Note that in this case, also SAVAGE is outperformed by PEHaplo. On the labmix pol region at full coverage (20.000x), PredictHaplo achieves higher precision and F-measure when an edit distance of at least 1% is allowed for contigs to be considered true positives.

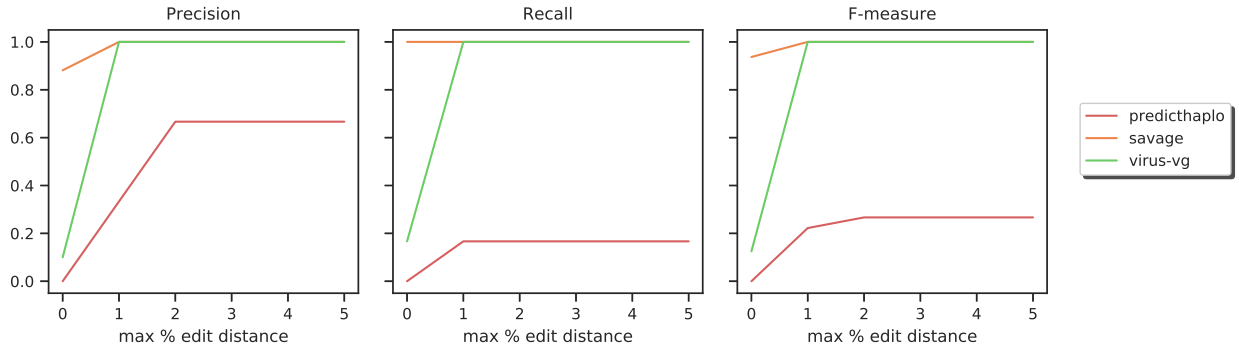

Figure 4: 6-strain Poliovirus mixture (simulated Illumina MiSeq).

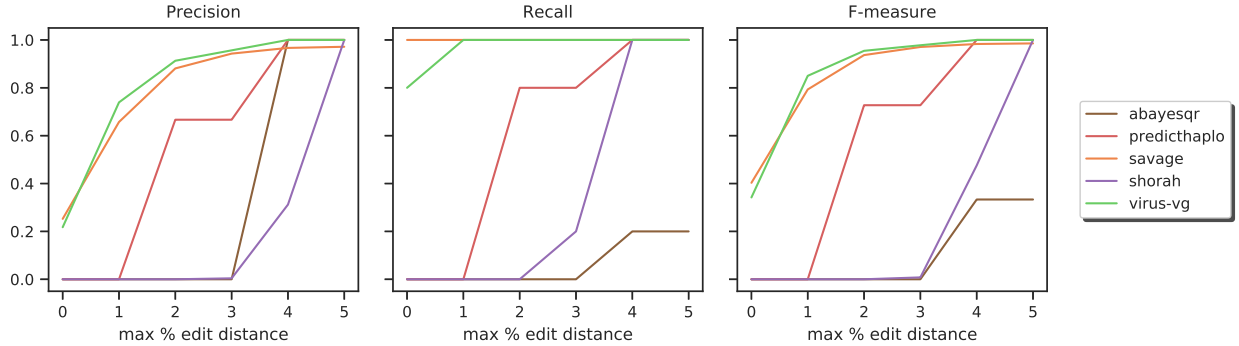

Figure 5: Labmix whole genome (excluding LTR) at full coverage (20.000x).

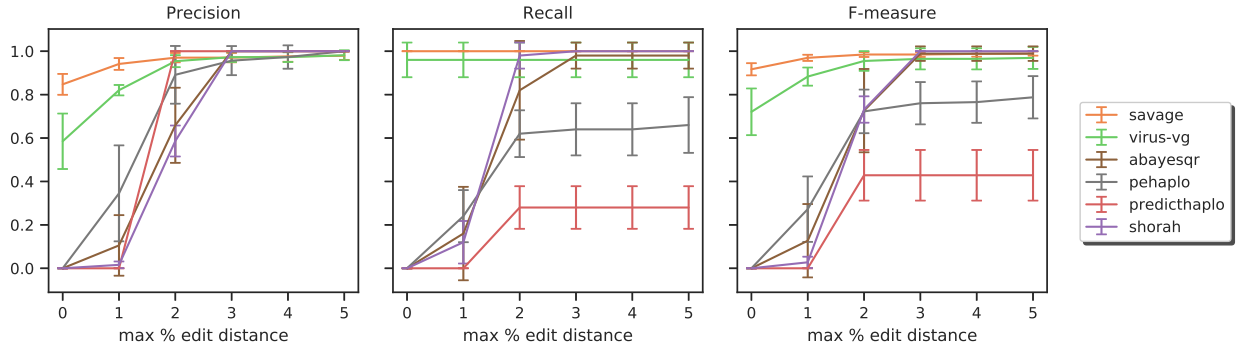

Figure 6: Labmix pol region subsampled at 100x coverage (average results over 10 subsamples, error bars indicate standard deviation).

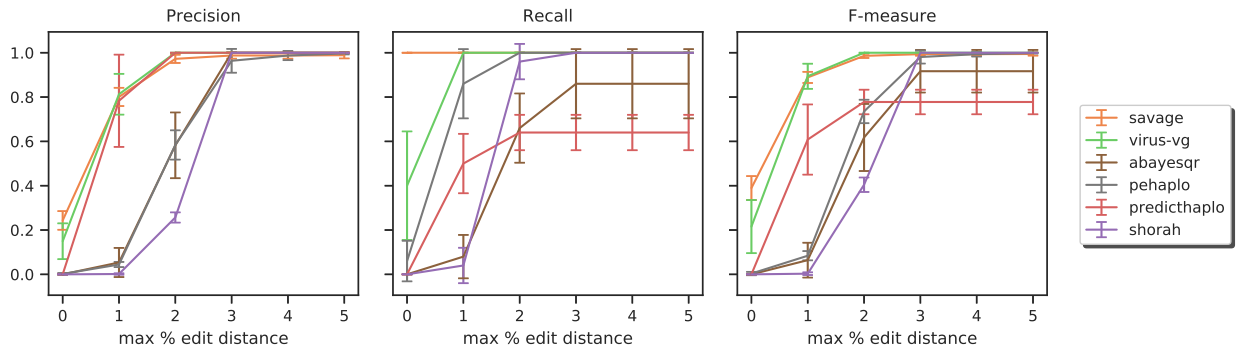

Figure 7: Labmix pol region subsampled at 1000x coverage (average results over 10 subsamples, error bars indicate standard deviation).

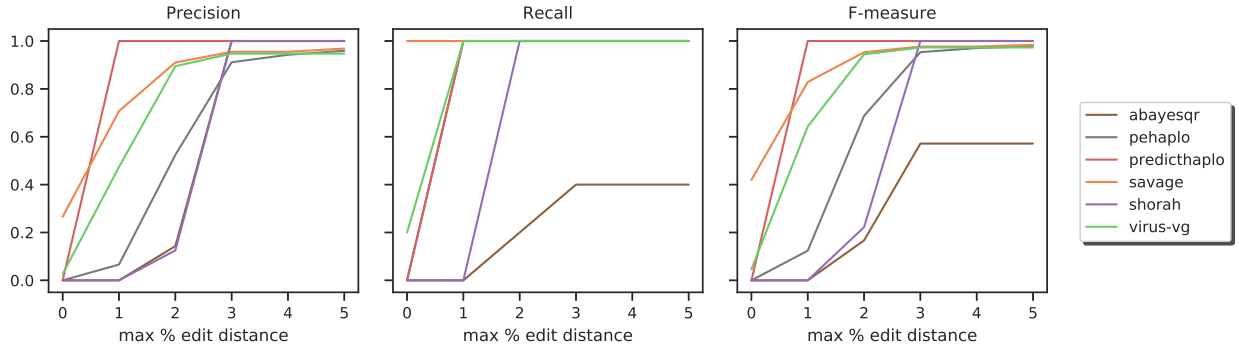

Figure 8: Labmix pol region at full coverage (20.000x).

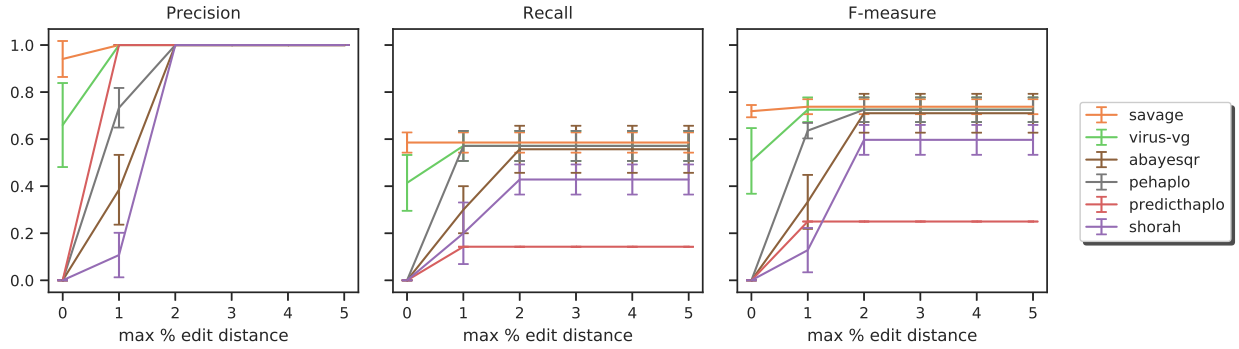

Figure 9: Simulated 7-strain HIV pol mixture at 500x coverage (average results over 10 simulations, error bars indicate standard deviation)

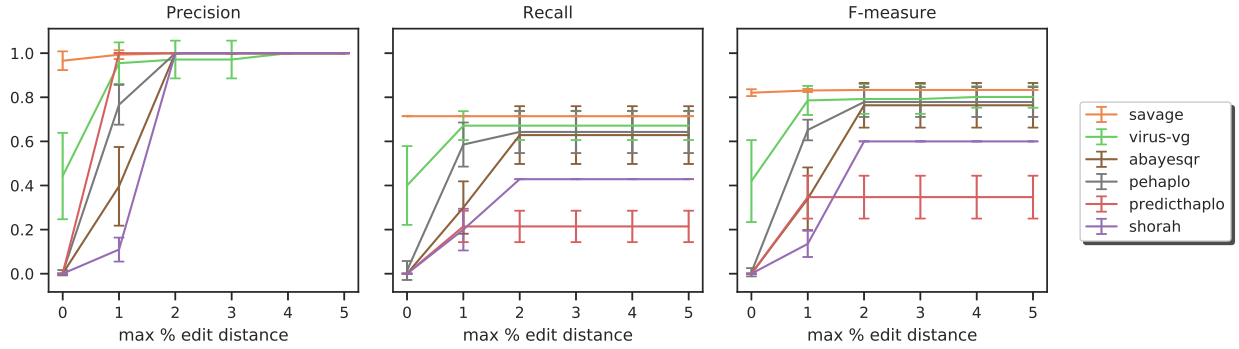

Figure 10: Simulated 7-strain HIV pol mixture at 1000x coverage (average results over 10 simulations, error bars indicate standard deviation)

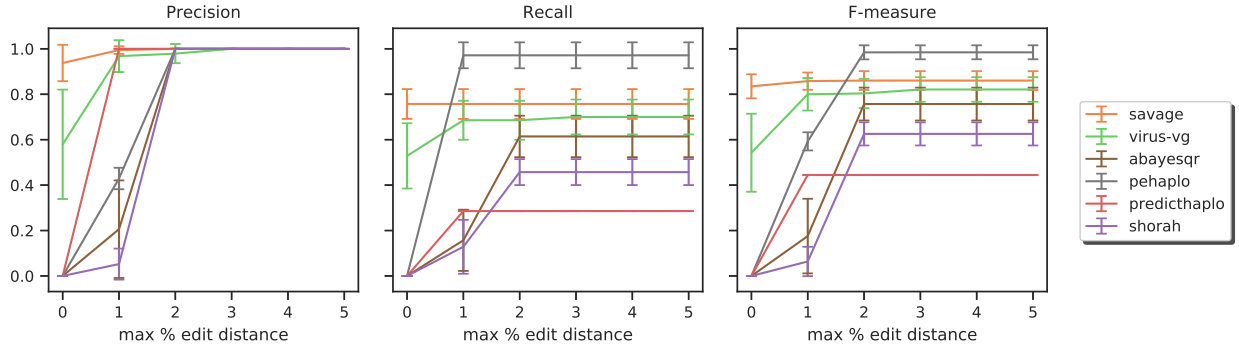

Figure 11: Simulated 7-strain HIV pol mixture at 5000x coverage (average results over 10 simulations, error bars indicate standard deviation)

5.2 Frequency estimation

Abundance estimation errors per method are presented in Tables 10 and 11. Note that PEHaplo did not provide abundance estimates. Figure 12 shows scatterplots for all simulated data sets, evaluating relative abundance estimation errors versus relative strain abundance. Results are binned by strain abundance into bins of size 0.05 and average errors per bin are shown.

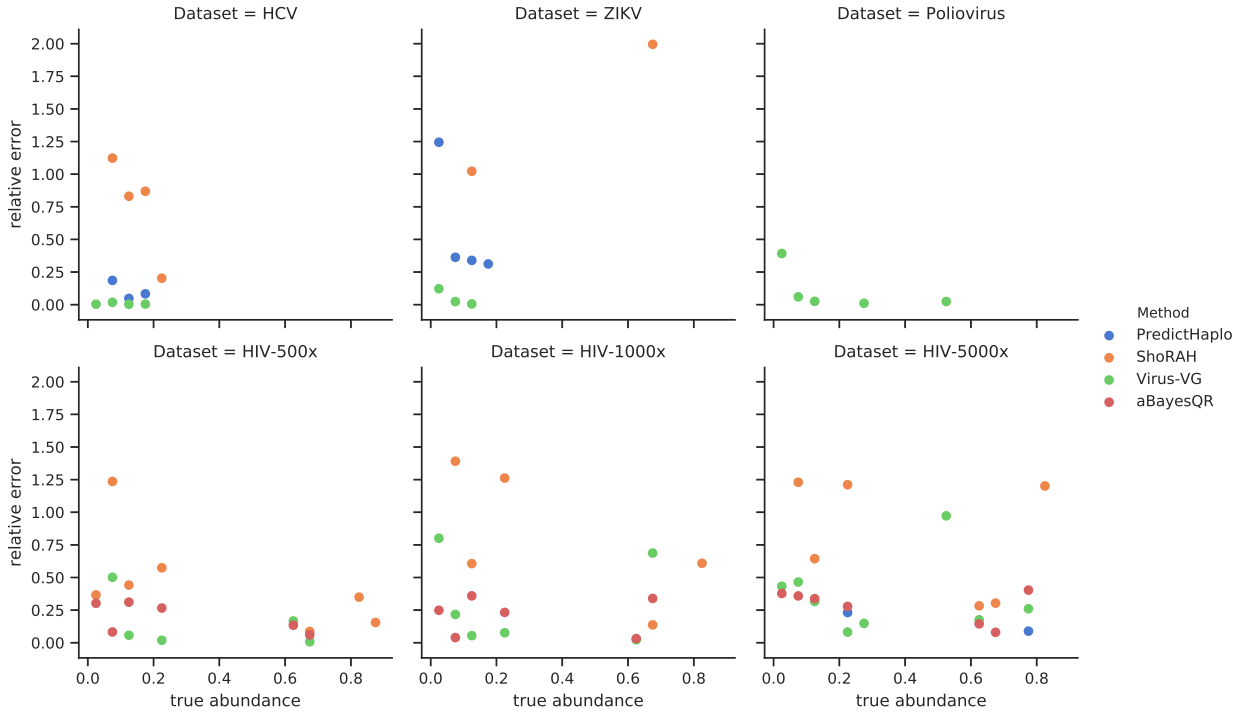

Figure 12: Relative errors for haplotype abundance estimation versus true strain frequencies. Results were evaluated per method, per data set, and binned by true frequency into bins of size 0.05. Plots show the average relative error per bin. True frequencies were normalized per assembly, taking only the assembled sequences into account for a fair comparison. Only assemblies containing at least 2 strains were evaluated.

5.3 Strain level results

For each data set, for each assembly, we calculated the relative edit distance (edit distance / contig length) for each true haplotype to the closest reconstructed haplotype; results are presented in Tables 12–19.

	HCV		ZIKV		Poliovirus	
	absolute error (%)	relative error (%)	absolute error (%)	relative error (%)	absolute error (%)	relative error (%)
Virus-VG	0.1	0.9	0.3	6.0	0.6	12.8
PredictHaplo	0.9	11.3	4.9	69	-	-
ShoRAH	8.5	64	39	229	-	-

Table 10: Absolute and relative abundance estimation errors per method. For each data set, we present the average error over all assembled strains. Note that ShoRAH was unable to process the Poliovirus data, aBayesQR could not process any of these data sets, and PredictHaplo only found one of the six virus strains in the Poliovirus data set.

	500x		1000x		5000x	
	absolute error (%)	relative error (%)	absolute error (%)	relative error (%)	absolute error (%)	relative error (%)
Virus-VG	3.2	32.2	2.6	43.6	5.8	76.0
aBayesQR	3.9	24.8	4.0	26.9	4.9	37.3
PredictHaplo	-	-	-	-	6.4	17.3
ShoRAH	8.2	48.2	13.2	75.8	22.1	139

Table 11: Absolute and relative abundance estimation errors per method on the simulated data for the HIV pol region at various sequencing depths. For each data set, we present the average error over all assembled strains.

	s1	s2	s3	s4	s5	s6	s7	s8	s9	s10
abundance	12%	5%	8%	12%	10%	5%	13%	10%	6%	19%
SAVAGE	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
Virus-VG	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
PredictHaplo	0.0	0.0	0.0	0.0	0.0	-	0.0	0.1	0.4	0.0
ShoRAH	3.3	-	3.3	3.3	4.1	-	2.7	-	3.9	3.4

Table 12: Relative edit distance of the closest reconstructed haplotype to each of the simulated HCV strains (20.000x coverage).

	s1	s2	s3	s4	s5	s6	s7	s8	s9	s10	s11	s12	s13	s14	s15
abundance	2%	2%	2%	4%	4%	4%	6%	6%	6%	8%	8%	8%	13%	13%	13%
SAVAGE	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
Virus-VG	-	1.1	0.3	0.2	0.1	0.0	0.0	0.1	0.0	0.0	0.0	0.0	0.0	0.0	0.0
PredictHaplo	-	-	0.5	-	-	-	-	0.5	-	0.2	0.0	0.0	0.2	0.0	0.0
ShoRAH	2.4	1.7	4.6	-	-	-	-	-	-	-	-	-	-	3.4	-

Table 13: Relative edit distance of the closest reconstructed haplotype to each of the simulated ZIKV strains (20.000x coverage).

	strain 1	strain 2	strain 3	strain 4	strain 5	strain 6
abundance	50.8%	25.4%	12.7%	6.3%	3.2%	1.6%
SAVAGE	0.0	0.0	0.0	0.0	0.0	0.0
Virus-VG	0.0	0.1	0.0	0.1	0.1	0.0
PredictHaplo	-	-	-	-	-	0.8

Table 14: Relative edit distance of the closest reconstructed haplotype to each of the simulated Poliovirus strains (20.000x coverage).

	strain 1	strain 2	strain 3	strain 4	strain 5	strain 6	strain 7
abundance	0.5%	1%	2%	5%	10%	20%	61.5%
SAVAGE	-	-	0.0	0.0	0.0	0.0	0.0
Virus-VG	-	-	0.0	0.2	0.0	0.0	0.1
aBayesQR	-	1.3	1.2	1.2	0.7	1.1	0.9
PEHaplo	-	0.8	-	0.8	0.5	0.3	0.1
PredictHaplo	-	-	-	-	-	-	0.8
ShoRAH	-	-	1.6	1.2	1.0	1.1	1.0

Table 15: Relative edit distance of the closest reconstructed haplotype to each of the simulated HIV pol strains at 500x coverage.

	strain 1	strain 2	strain 3	strain 4	strain 5	strain 6	strain 7
abundance	0.5%	1%	2%	5%	10%	20%	61.5%
SAVAGE	-	-	0.0	0.0	0.0	0.0	0.0
Virus-VG	-	-	0.6	0.0	0.0	0.1	0.2
aBayesQR	-	1.2	1.2	1.3	0.7	1.0	0.9
PEHaplo	-	1.0	1.1	0.8	0.3	0.3	0.2
PredictHaplo	-	-	-	-	-	0.9	0.8
ShoRAH	-	-	-	1.2	1.0	1.1	1.0

Table 16: Relative edit distance of the closest reconstructed haplotype to each of the simulated HIV pol strains at 1000x coverage.

	strain 1	strain 2	strain 3	strain 4	strain 5	strain 6	strain 7
abundance	0.5%	1%	2%	5%	10%	20%	61.5%
SAVAGE	-	0.0	0.0	0.0	0.0	0.0	0.0
Virus-VG	-	0.6	0.1	0.1	0.0	0.0	0.4
aBayesQR	-	-	1.3	1.3	0.9	1.2	1.0
PEHaplo	0.9	0.5	0.5	0.3	0.4	0.4	0.3
PredictHaplo	-	-	-	-	-	0.9	0.8
ShoRAH	-	-	-	1.2	1.1	1.3	1.1

Table 17: Relative edit distance of the closest reconstructed haplotype to each of the simulated HIV pol strains at 5000x coverage.

	89.6	HXB2	JRCSF	NL43	YU2
SAVAGE	0.0	0.0	0.0	0.0	0.0
Virus-VG	0.0	0.0	0.2	0.0	0.0
PredictHaplo	1.1	3.3	1.4	1.5	1.0
ShoRAH	3.5	3.1	3.0	3.8	3.9

Table 18: Relative edit distance of the closest reconstructed haplotype to each of the labmix strains (excluding LTR) at 20.000x coverage.

	89.6	HXB2	JRCSF	NL43	YU2
100x					
SAVAGE	0.0	0.0	0.0	0.0	0.0
Virus-VG	0.0	0.0	0.0	0.0	0.0
aBayesQR	1.6	1.7	1.5	1.3	1.8
PEHaplo	1.6	1.4	1.5	0.5	1.5
PredictHaplo	-	1.9	-	1.8	1.9
ShoRAH	1.3	1.4	1.1	1.2	1.5
1000x					
SAVAGE	0.0	0.0	0.0	0.0	0.0
Virus-VG	0.1	0.2	0.2	0.1	0.2
aBayesQR	1.7	1.9	1.4	1.6	2.0
PEHaplo	0.6	0.6	0.8	0.6	0.6
PredictHaplo	0.9	-	0.7	0.5	1.5
ShoRAH	1.7	1.6	1.5	1.3	1.5
20.000x					
SAVAGE	0.0	0.0	0.0	0.0	0.0
Virus-VG	0.2	0.0	0.3	0.1	0.2
aBayesQR	-	-	1.8	2.1	-
PEHaplo	0.2	0.2	0.3	0.3	0.5
PredictHaplo	0.4	0.4	0.4	0.4	0.5
ShoRAH	1.8	1.0	1.6	1.6	1.7

Table 19: Relative edit distance of the closest reconstructed haplotype to each of the labmix strains (pol-region only) at 100x, 1000x, and 20.000x coverage.

6 Command lines

Read simulations: SimSeq
Read trimming, adapter removal: CutAdapt (Martin, 2011)
Ad-hoc reference construction: VICUNA (Yang *et al.*, 2012)
Alignment: BWA-MEM (Li, 2013)
Reference-guided quasispecies reconstruction tools: ShoRAH (Zagordi *et al.*, 2011), PredictHaplo (Prabhakaran *et al.*, 2014), aBayesQR (Ahn and Vikalo, 2018)
De novo assembly tools: PEHaplo (Chen *et al.*, 2018), SAVAGE (Baaijens *et al.*, 2017)
Assembly evaluation: QUAST (Gurevich *et al.*, 2013)

All tools were run using default settings.

SimSeq

```
java -jar SimSeq-master/SimSeq.jar -l 600 -1 250 -2 250 \
     -e SimSeq-master/profiles/miseq_250bp.txt -r truth.fasta \
     -n <num_reads> -o sim_reads.sam
```

BWA-MEM *version 0.7.15-r1140*

```
bwa mem reference.fasta reads.fastq > reads.sam
```

VICUNA: *version 1.3*

```
vicuna-omp-v1.0 config.txt
```

PredictHaplo *version 0.4*

```
PredictHaplo-Paired config.txt
```

ShoRAH: *version 0.8.2*

```
python shorah.py -b paired.sorted.bam -f reference.fasta
```

aBayesQR:

```
aBayesQR config
```

PEHaplo:

```
python pehaplo.py -f1 forward.fasta -f2 reverse.fasta -l 180 -r 250 -F 600 -t 8
```

SAVAGE: *version 0.4.0 (Bioconda)*

```
savage -p1 forward.fastq -p2 reverse.fastq --revcomp --split 30
```

Virus-VG:

```
python build_graph_msga.py -f forward.fastq -r reverse.fastq \
   -c contigs_stage_c.fasta -t 8 -vg vg-v1.7.0
python optimize_strains.py -m 100 -c 200 node_abundance.txt contig_graph.final.gfa
```

QUAST: *version 4.3*

```
python quast.py -m 500 -R ground_truth.fasta contigs.fasta
```

7 Installation

Virus-VG is publicly available at `https://bitbucket.org/jbaaijens/virus-vg` under the MIT license. The repository contains detailed instructions regarding installation and dependencies. We recommend using SAVAGE for de novo assembly prior to using Virus-VG and using the Conda

package manager for installing SAVAGE as well as all Virus-VG dependencies. The manual for running SAVAGE is available at `https://bitbucket.org/jbaaijens/savage`. The only dependency not (yet) available through Conda is the vg-toolkit, which has to be downloaded from `https://github.com/vgteam/vg`. Virus-VG makes use of the Gurobi Optimization software, `www.gurobi.com`, for which an academic license can be requested for free.

References

Ahn, S. and Vikalo, H. (2018). aBayesQR: A bayesian method for reconstruction of viral populations characterized by low diversity. *J Comput Biol*, **25**(7), 637–648.

Astrovskaya, I. *et al.* (2011). Inferring viral quasispecies spectra from 454 pyrosequencing reads. *BMC Bioinformatics*, **12**(Suppl 6), S1.

Baaijens, J. *et al.* (2017). De novo assembly of viral quasispecies using overlap graphs. *Genome Res*, **27**(5), 835–848.

Chen, J. *et al.* (2018). De novo haplotype reconstruction in viral quasispecies using paired-end read guided path finding. *Bioinformatics*, page bty202.

Di Giallonardo, F. *et al.* (2014). Full-length haplotype reconstruction to infer the structure of heterogeneous virus populations. *Nucleic Acids Res*, **42**, e115.

Gurevich, A. *et al.* (2013). QUAST: quality assessment tool for genome assemblies. *Bioinformatics*, **29**(8), 1072–1075.

Li, H. (2013). Aligning sequence reads, clone sequences and assembly contigs with bwa-mem. arXiv:1303.3997.

Malhotra, R. *et al.* (2016). Maximum likelihood de novo reconstruction of viral populations using paired end sequencing data. arXiv:1502.04239.

Martin, M. (2011). Cutadapt removes adapter sequences from high-throughput sequencing reads. *EMBnet.journal*, **17**(1), 10–12.

Prabhakaran, S. *et al.* (2014). HIV haplotype inference using a propagating dirichlet process mixture model. *IEEE Trans Comp Biol Bioinf*, **11**(1), 182–191.

Yang, X. *et al.* (2012). De novo assembly of highly diverse viral populations. *BMC Genomics*, **13**(1), 475.

Zagordi, O. *et al.* (2011). ShoRAH: estimating the genetic diversity of a mixed sample from next-generation sequencing data. *BMC Bioinformatics*, **12**(1), 119.

Zhang, J. *et al.* (2014). Pear: A fast and accurate illumina paired-end read merge. *Bioinformatics*, **30**, 614–620.