Mast seeding promotes evolution of scatter-hoarding

Running head: Masting and the evolution of seed caching

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ABSTRACT

Many plant species worldwide are dispersed by scatterhoarding granivores: animals that hide seeds in numerous, small caches for future consumption. Yet, the evolution of scatterhoarding is difficult to explain because undefended caches are at high risk of pilferage. Previous models have attempted to solve this problem by giving cache owners unrealistically large advantages in cache recovery, by kin selection (but individuals that cache and those that pilfer are usually unrelated), or by introducing reciprocal pilferage of "shared" seed resources. However, the role of environmental variability has been so far overlooked in this context. One important form of such variability is masting, which is displayed by many plant species dispersed by scatterhoarders. We use a mathematical model to investigate the influence of masting on the evolution of scatter-hoarding. The model accounts for periodically varying annual seed fall, caching and pilfering behavior, and the demography of scatterhoarders. Masting, through its effects on population density, reduces cache pilferage and lowers the reproductive cost of caching (i.e. the cost of caching for the future rather than using seeds for current reproduction). These reductions promote the evolution of scatter-hoarding behavior especially when interannual variation in seed fall and the period between masting events are high.
Introduction

Many species of woody plants, particularly those that produce nuts and nut-like dry fruits, rely for seed dispersal on scatterhoarders: animals such as corvids, rodents, and certain marsupials that hide seeds for future consumption in numerous, small, widely-dispersed caches (Forget and Vander Wall 2001; Peserdorfer et al. 2016; Vander Wall et al. 2017). However, explaining the evolutionary advantage of this behavior has been difficult. The caches are undefended and often suffer very high rates of pilferage by con- and heterospecifics (Schmidt and Ostfeld 2008; Jansen et al. 2012; Zwolak et al. 2016; Dittel et al. 2017), thus scatter-hoarding appears vulnerable to cheating by non-caching pilferers (Anderson and Krebs 1978; Smulders 1998; Vander Wall and Jenkins 2003). How can scatter-hoarding be advantageous under such circumstances?

This problem can be solved by invoking kin selection (but cache owners and pilferers are usually unrelated) or by giving cache owners a high advantage in cache recovery over naïve individuals (Anderson and Krebs 1978). Empirical estimates of the owner advantage are widely varied, but in most studies cache owners are 2-4 times more likely to recover their caches when compared with naïve individuals (Jacobs and Liman 1991; Jacobs 1992; Briggs and Vander Wall 2003; Thayer and Vander Wall 2005; Vander Wall et al. 2006; 2008; Hirsch et al. 2013; Gu et al. 2017; see also Steele et al. 2011). Yet, some of these estimates may be too high. First, many studies estimated the per capita advantage of owner versus pilferer, but under natural conditions there typically are many potential pilferers per each cache owner. Thus, caches are more likely to be emptied by a pilferer than by the owner even when per capita advantage of the latter is substantial. Second, most empirical studies have been short-term, with cache recovery examined over several days at most. Long-term (e.g. overwinter) removal rates might be very similar for cache owners and pilferers because cache locations are forgotten over time (Balda and Kamil 1992; Bednekoff et al. 1997; Barkley and Jacobs 1998). Over the long-term, cache owners will increasingly rely on olfaction or random search, just like naïve individuals do. As a consequence, the owners advantage appears to be...
insufficient to prevent cache loss to pilferage (2-30% lost per day, according to a review by Vander
Wall and Jenkins 2003).

Another putative solution to this puzzle is the reciprocal pilferage hypothesis (Vander Wall
and Jenkins 2003; see also Smulders 1998). In principle, caching can represent an adaptive, stable
strategy when all caches are reciprocally pilfered by scatter-hoarding animals with overlapping home
ranges. Under this scenario, caches represent a collective resource used by selfish individuals
(Vander Wall and Jenkins 2003). The reciprocal pilferage hypothesis predicts that animals are unlikely
to avoid pilferage, but can compensate for it by pilfering caches of other individuals. As a corollary,
individuals should invest in their pilfering tactics rather than in theft-reducing strategies (Vander Wall
and Jenkins 2003).

Yet, many species of scatter-hoarding animals put considerable effort in behaviors apparently
aimed to reduce pilferage (Dally et al. 2006a). This phenomenon has been particularly well-studied in
corvids (e.g. Dally et al. 2006b; Shaw and Clayton 2013), but scatter-hoarding rodents also use a
diverse array of theft-reduction strategies, including some that are costly in time and energy (e.g.
transporting seeds further to reduce the density of caches: Galvez et al. 2009; Hirsch et al. 2012),
some that are potentially dangerous (e.g. caching in open places where predation risk is higher, but
the risk of pilferage is lower: Muñoz and Bonal 2011; Steele et al. 2013, 2015) and some that appear
quite sophisticated (e.g. deceiving observers by making “fake” caches: Steele et al. 2008). This level
of investment in pilferage prevention rather than pilferage tolerance is unexpected under the
reciprocal pilferage hypothesis, and indeed has been interpreted as evidence against it (Leaver et al.
2007; Pravosudov et al. 2010).

However, existing models of scatter-hoarding have largely overlooked the role of
environmental variability in the evolution of this behavior. Such variability is pervasive in ecosystems
dominated by plants that produce dry, scatterhoarder-dispersed fruits because such plants usually
show pronounced masting (Herrera et al. 1998; Vander Wall 2001). Examples of scatterhoarder-
dispersed masting plants can be found in the tropics (Norden et al. 2007; Mendoza et al. 2018),
deserts (Meyer and Pendleton 2015; Auger et al. 2016), and in temperate zones (Koenig and Knops 2000; Schauber et al. 2002; Shibata et al. 2002). While studies of masting have often emphasized the benefit of masting to plants in terms of reduced per capita seed predation, masting also has important effects on consumer population dynamics that can feedback to affect the evolution of both masting and caching. In particular, masting has long been known to cause cycles of feast and famine that induce striking fluctuations in consumer population size (Ostfeld and Keesing 2000; Yang et al. 2010; Bogdziewicz et al. 2016). Typically, masting triggers a temporary increase in consumer population size followed by a pronounced crash. Thus, when the next mast year comes, seed to consumer ratios are particularly high (Kelly 1994; Ostfeld and Keesing 2000).

We use a mathematical model to investigate the influence of mast-related fluctuations in scatterhoarder population size on the evolution of scatter-hoarding. The model accounts for periodic seed fall and demography of the scatter-hoarding species. The scatterhoarders consume or cache harvested seeds and pilfer or recover their own caches over years that differ in the magnitude of seed fall. Previous models demonstrated that caching is influenced by the owner’s advantage in cache recovery and the probability that scatterhoarders survive long enough to use the caches (Anderson and Krebs 1978; Smulders 1998; Vander Wall and Jenkins 2003). Thus, we include these factors in our investigation, but expand on previous models by examining the effects of environmental variability resulting from mast seeding. We examine how the proportion of seeds scatter-hoarded versus immediately consumed varies with (1) masting intensity, (2) the frequency of mast years, (3) the owner’s advantage in cache recovery, and (4) the survival of scatterhoarders. Our results demonstrate that mast-related fluctuations in scatterhoarder population size reduce the risk of cache loss to pilferers and the reproductive cost of caching (i.e. the cost of caching seeds for future use rather than using seeds for current reproduction), thus promoting the evolution of scatter-hoarding.
We consider a population of scatterhoarders that experience three distinctive periods of seed availability in each year. During the first period (fall), there is seed fall of \( S(t) \) from the primary seed source. Seeds are gathered at a rate proportional to the density \( n_{1}(t) \) of scatterhoarders during this period. The proportionality constant \( a_{1} \) corresponds to the per-capita seed harvest rate. Seeds are also lost to other sources (e.g. competitors, germination, decay, etc.) at a per-capita rate of \( L_{1} \). If all seeds are gathered or lost to other sources by the end of the first period, then the amount of seed gathered per individual equals:

\[
G(t) = \frac{a_{1}S(t)}{L_{1} + a_{1}n_{1}(t)}
\]

All seeds above a threshold, \( T \), are cached by individuals for later in the year. The seeds which are not cached, \( \min\{G(t), T\} \), are used for survival and reproduction. The number of offspring produced by individual, \( R_{1}(t) \), at the end of this first period is a decelerating function with a maximal number of offspring \( b \) and a half saturation constant \( h \) (i.e. \( h \) is the amount of resources required to produce \( b/2 \) offspring). The fraction of adults surviving from the first period (fall) to the second period of the year (winter/spring) equals \( s_{1} \). Thus, the total density \( n_{2}(t) \) of individuals entering the second period equals

\[
n_{2}(t) = [R_{1}(t) + s_{1}]n_{1}(t) \text{ where } R_{1}(t) = \frac{b \min\{G(t), T\}}{h + \min\{G(t), T\}}
\]

The main resource available to individuals during this second period is the total cache of seeds \( \max\{G(t)-T, 0\}n_{1}(t) \). Owners of the cached seed who survived gather their cached seed at a rate proportional to the size of their cache. This proportionality constant \( a_{2} \) corresponds to the per-capita rediscovery and use rate of their cache. All other individuals are assumed to pilfer seed from others'
caches at a per-capita rate $a_{pit}$. Seeds are lost to other sources at a per-capita rate of $L_2$. If all cached seeds are gathered or lost by the end of the second period, then the fraction of cache recovered by an individual that survived from period 1 to period 2 is

$$M(t) = \frac{a_2}{L_2 + a_2 + a_{pit}(n_2(t) - 1)}$$

while the fraction of this individual's cache that was pilfered by another individual is

$$O(t) = \frac{a_{pit}}{L_2 + a_2 + a_{pit}(n_2(t) - 1)}$$

For seed cache whose owner died, the fraction that was recovered by a living non-owner is

$$D(t) = \frac{a_{pit}}{L_2 + a_{pit}n_2(t)}$$

It follows that the amount of cached seed gathered by a surviving individual from period 1 is

$$C_{\text{survivor}}(t) = \max[G(t) - T, 0][M(t) + O(t)(s_1n_1(t) - 1) + D(t)(1 - s_1)n_1(t)]$$

and the amount of cached seed gathered by a new individual from period 2 is

$$C_{\text{new}}(t) = \max[G(t) - T, 0][O(t)s_1n_1(t) + D(t)(1 - s_1)n_1(t)]$$

If $s_2$ is the fraction of individuals surviving to period 3 (summer), then the density of individuals entering period 3 equals

$$n_3(t) = [R_2(t) + s_2]n_2(t)$$

where $R_2(t)$ is the population-level per-capita fecundity corresponding to the weighted combination of reproductive contributions of individuals surviving from period 1 and new born individuals from period 1 (for simplicity, we assume the same value of $h$ for surviving and new born individuals):

$$R_2(t) = \frac{b\ C_{\text{survivor}}(t)\ s_1}{h + C_{\text{survivor}}(t)\ R_1(t) + s_1} + \frac{b\ C_{\text{new}}(t)\ R_1(t)}{h + C_{\text{new}}(t)\ R_1(t) + s_1}$$

During this final period of the year (summer), individuals rely on other resources with availability $A$ to reproduce and survive with probability $s_3$. Thus, the density of individuals entering the Fall of the next year equals

$$n_1(t + 1) = [R_3(t) + s_3]n_3(t) \text{ where } R_3(t) = \frac{b\ A}{h + n_3(t)}$$
By composing the equations across the three periods of the year, the yearly update rule for population densities at the beginning of Fall is

\[ n_1(t + 1) = [R_2(t) + s_1][R_2(t) + s_2][R_1(t) + s_3]n_1(t) \]

We modeled seedfall \( S(t) \) in the fall as a periodic function of time where the period \( P \) corresponds to the time between masting years. In the masting years, \( S(t) = S_{\text{high}} \) and otherwise \( S_{\text{low}} \). Our analysis assumes that the total seed output, \( S(1)+S(2)+...+S(P) \), is fixed and varies the proportion of total seed output in the masting year. Higher intensity of masting means more seeds during the masting year, but concomitantly fewer seeds in other years (as opposed to just increasing seed output in masting years with no effect on seed production in other years). Similarly, when we vary the number of years between masting events, the total seed output remains the same (i.e., longer intermast interval corresponds with higher seed production in mast years).

Figure 1 illustrates typical dynamics of the model for the baseline parameters described below. For all our simulations, the dynamics asymptotically exhibit stable cyclic behavior with a period corresponding to the period of the masting events. For low caching thresholds (dotted line in Fig. 1b), individuals cache most of their seeds every fall. For high caching thresholds (dashed line in Fig. 1b), individuals either only cache on masting years as shown or not at all (not shown). Population densities (Fig. 1c) exhibit seasonal as well as yearly variation. Moreover, for any given season, population densities are maximal following a masting event and decrease year to year until the next masting event.
Fig. 1. The dynamics of fall seed masting (a), per-cent seed cached by an individual for different
\( T \) (b), and population densities (c) for the different caching thresholds \( T \) (see model
description). In (a), there is a 4 year interval between fall masting events. In (b), the percent seeds
cached by individuals for populations with a low (dotted), intermediate (solid), and high (dashed)
caching threshold. In (c), the intra- and interannual variation in population densities for populations
with a low (dotted), intermediate (solid), and high (dashed) caching threshold. The dynamics in (b)
and (c) correspond to a stable 4-year periodic orbit of the model.

**Model Parameters**

The parameter values mimic an interaction between a masting tree and a scatterhoarding rodent and
are based mostly on research on European beech (\textit{Fagus sylvatica}) and yellow-necked mice
\textit{(Apodemus flavicollis)}. Empirical results were used to generate order of magnitude parameter
estimates, which were then adjusted, by no more than a factor of 10, so that the equilibrium
population sizes were roughly in line with those given in the literature. While we found it useful to
base our parameter estimates on a specific, reasonably well-studied system, moderate variation in
these parameters does not change the qualitative results.

The parameters \( a_1 \) and \( L_4 \) may be reduced to the single parameter \( L_1/a_1 \). Rearranging the
equation for \( G \) yields \( L_1/a_1 = \frac{(1-G/S_0)n_1}{G/S_0} \). The parameters \( n_1 \) and \( n_2 \) were taken to be the average
species-wide density for *Apodemus flavicollis*: 17.7 individuals/ha (Jones et al. 2009 [PanTHERIA]).

Estimates of the proportion of seeds removed from the forest floor ($G/S_0$) tend to be variable with

Zwolak et al. (2016) reporting 78% seed removal during mast years and 91% seed removal during

non-mast years for *A. flavicollis*, and Lelouarn and Schmitt (1972) reporting 41% and 74% seed

removal by *Apodemus sylvaticus* during two different years. We selected the intermediate value of

74% as tentative estimate of seed removal. Thus, $L_1/a_1 = 6.22$. In all cases where $L_1$ and $a_1$ were

treated as separate parameters, we used $a_1 = 1$ and set $L_1$ equal to our choice for $L_1/a_1$.

The parameters $a_{pit}$ and $L_2$ may similarly be reduced to $L_2/a_{pit}$. Zwolak et al. (2016)
estimated the recovery of seeds from artificial caches to be 54% during nonmast years and 5% during

mast years, which we assumed to be roughly equivalent to the proportion of seeds recovered from

an abandoned cache ($D/\max\{G(t) - T, 0\}$). We then estimated $L_2/a_{pit}$ to be between 15.1 and

336.3. In our analysis, we set this value to the upper end of this range (300) as this leads to more

conservative estimates of when caching evolves. In all cases where $L_2$ and $a_{pit}$ were treated as

separate parameters, we used $a_{pit} = 1$ and set $L_2$ equal to our choice for $L_2/a_{pit}$.

We let $a_2 = 3$ (when $a_{pit} = 1$). This value approximates the results of several studies on

scatterhoarding rodents (Vander Wall et al. 2006, 2008; Thayer and Vander Wall 2005; Hirsch et al.

2013) that documented seed removal rates by cache owners and naïve foragers. Although some

research (Gu et al. 2017) suggests that the owner advantage might be even higher, it also might be

lower, particularly later in the season, as we explained in the Introduction.

We assumed a maximum litter size of 11 individuals (Macdonald and Tattersall 2001), with

one breeding event per period (2-3 litters per year; Pucek 1984). Assuming that half the population

are female and half of the individuals born are female, this yields $b = 5.5$.

We used Jensen’s (1982) monthly winter survival estimate of 77.5% as the yearlong monthly

survival rate (see also Pucek 1992 for similar values). We assumed that each period lasts four

months, yielding 36.1% as the survival rate for each period ($s_1, s_2$, and $s_3$).
Half-saturation constant for mid-year reproduction \((h)\) was set as 124 seeds/offspring multiplied by half the maximum number of offspring \((b/2)\). This value was calculated on the basis of energy contents of beech seeds (Grodziński and Sawicka-Kapusta 1970), energy requirements of yellow-necked mice (0.60 kcal/g/day: Jensen 1982; average body mass of yellow-necked mice is 28.3 g: AnAge), and typical costs of reproduction-related energy expenditure in small mammals (25% increase in energy expenditure during gestation and 200% increase during lactation: Millar 1978; 1979; Gittleman and Thompson 1988; Sikes 1995; Zhu et al. 2015), given the length of gestation and lactation in yellow-necked mice (26 and 22 days, respectively: AnAge).

**Numerical Methods**

To identify the evolutionary stable caching strategies, we examined whether a small mutant subpopulation using the germination threshold \(T_m\) can invade a resident population using the germination threshold \(T\). When the mutant subpopulation densities \(m_i(t)\) in each of the periods \(i=1,2,3\) are sufficiently small, the density feedback of the mutant population on the resident population and itself is negligible. Hence, the dynamics of the mutant in the initial phase of invasion can be approximated by a linearization of its dynamics. We now describe these linearized dynamics.

As the mutant and resident individuals only differ in their caching strategy, the amount of seeds gathered in year \(t\) by a mutant individual equals the amount of seeds gathered \(G(t)\) by a resident individual. As for the resident dynamics, yearly update of the mutant's fall density is of the form

\[
m_1(t + 1) = [Q_3(t) + s_3][Q_2(t) + s_2][Q_1(t) + s_1]m_1(t)
\]

\(Q_1(t)\) corresponds to the number of offspring produced by a mutant individual during the fall and only differs from the resident in its threshold \(T_m\)

\[
Q_1(t) = \frac{b \min\{G(t), T_m\}}{h + \min\{G(t), T_m\}}
\]

\(Q_2(t)\) corresponds to the number of offspring produced by a mutant individual during the winter/spring given by a weighted combination due to the fraction of individuals that survived from the fall and individuals born in the fall:
\[ Q_2(t) = \frac{b \cdot C_{m,survivor}(t)}{h + C_{m,survivor}(t)} \cdot \frac{s_1}{Q_1(t) + s_1} + \frac{b \cdot C_{new}(t)}{h + C_{new}(t)} \cdot \frac{Q_1(t)}{Q_1(t) + s_1} \]

where \( Q_2(t) \) differs from \( R_2(t) \) only in its first term due to surviving individuals with the mutant caching strategy: 

\[ C_{m,survivor}(t) = \max\{G(t) - T_m, 0\}M(t) + \max\{G(t) - T, 0\}[O(t)(s_1n_1(t) - 1) + D(t)(1 - s_1)n_1(t)]. \]

Finally, the number of offspring produced by a mutant over the summer is the same as the resident i.e. \( Q_3(t) = R_3(t) \).

Whether the mutants playing strategy \( T_m \) are able to invade the residents playing the strategy \( T \) or not depends on their long-term per-capita growth rate

\[ s(T, T_m) = \lim_{t \to \infty} \frac{1}{t} \log(Q_3(t) + s_3)[Q_2(t) + s_2][Q_1(t) + s_1] \]

provided the limit exists. Over the parameter space (see previous section) that we simulated, the population dynamics always converged to a periodic solution whose period \( kP \) is a multiple \( k \) of the seed mastning period \( P \). Typically, this multiple was 1 or 2 or 4, the latter two corresponding to period-doubling bifurcations. We developed R code to efficiently approximate these periodic solutions. For these periodic solutions of the resident dynamics, the long-term per-capita growth rate of mutant strategy \( T_m \) against resident strategy \( T \) equals

\[ s(T, T_m) = \frac{1}{KP} \sum_{t=1}^{KP} \log(Q_3(t) + s_3)[Q_2(t) + s_2][Q_1(t) + s_1] \]

A strategy \( T \) is an ESS for caching if \( s(T, T_m) < 0 \) for all strategies \( T_m \neq T \). Using the method of adaptive dynamics (Geritz et al. 1997), we identified potential ESSs by solving for roots of the fitness gradient

\[ \frac{\partial s}{\partial T_m}(T, T) \]. In the Appendix, we derive an explicit expression of this fitness gradient.
Fig. 2. Period 1 (fall) population density in individuals/ha (a), pilferage risk, defined as the probability that cached seed would be pilfered (b), marginal reproductive costs of caching (c), and proportion of seeds cached rather than eaten (d) as a function of masting intensity (expressed as the percentage of total seed production that occurs during mast years), with mast occurring every 4th year. Dashed, solid, and dotted lines represent the magnitude of owner’s advantage in cache recovery (owners 1.5, 3, and 6 times more likely to discover their own caches relatively to naïve foragers). Plotted variables represent values in the mast year. Vertical gray lines correspond to the minimal masting intensity at which caching is selected for.

Results

Increasing intensity of masting generally results in decreased period 1 (fall) scatterhoarder population density (i.e., the density that enters masting events; Fig. 2a). Intuitively, because reproduction is a saturating function of seeds gathered, the reproductive gains of higher seed availability during masting years were outweighed by the reproductive losses due to lower seed
availability during non-mast years. As seed caching generally increases fall population densities by
preventing population crashes in winter/spring, this indirect positive effect of masting intensity
appears when masting intensities is just sufficient to select for caching and results in intermediate
positive trends in fall density (Fig. 2a).
Increasing masting intensity also reduces the risk that a cached seed would be pilfered (Fig.
2b), particularly when 80% or more seeds are produced during mast years. Notice that the responses
of pilferage risk and fall density are tightly correlated (see, also, Figs. 3 and 4). Furthermore,
increasing masting intensity is associated with a decline in marginal reproductive costs of caching
(Fig. 2c) and with an accelerating increase in the ESS proportion of seeds cached rather than eaten
(Fig. 2d). In the baseline scenario, scatterhoarders start caching when about 50% of seeds are
produced during mast years.
Higher recovery advantage tends to increase scatterhoarder densities (Fig. 2, dotted vs. solid
vs. dashed line), probably because (i) on average individuals are recovering more of the cached
seeds, and (ii) there is selection for increased seed caching (Fig 2d), which tends to be ecologically
advantageous. Increasing the owner’s advantage in cache recovery (Fig. 2, dotted line) selects for
more caching despite increases in population densities and cache pilfering; decreasing the owner’s
advantage (Fig. 2, dashed line) decreases the proportion of seeds cached even though population
densities and cache pilferage also drop. However, the effect of the owner’s advantage in cache
recovery is relatively weak compared to the effect of masting intensity.
Fig. 3. Period 1 (fall) population density (a), pilferage risk (b), marginal reproductive costs of caching (c), and proportion of seeds cached rather than eaten (d) as a function of masting interval. Dashed, solid, and dotted lines represent masting intensity (50, 70 or 90% of total seed production occurring during mast years). Plotted variables represent values in the mast year.

Increasing the inter-mast period leads to declines in fall density (Fig. 3a), pilferage risk (Fig. 3b), and marginal reproductive costs (Fig. 3c), and an increase in the proportion of seeds cached (Fig. 3d).

Intuitively, more years with poor seed crops between masting events leads to lower densities of individuals entering the fall of a masting year. This reduction in density leads to fewer individuals entering winter and, thereby, lower risk of seed pilferage. In addition, the low densities of individuals entering the masting years and the greater amount of seeds available during the masting year increase the amount of seeds harvested per individual and, due to the effects of diminishing returns, lower marginal reproductive costs of caching. Collectively, lower reproductive costs and lower pilferage risk select for more caching. Varying masting intensity (50, 70 or 90% of seeds produced...
during mast years: dotted, solid or dashed line on Fig. 3) affects the magnitude of these changes, with only minor effects on the shape of responses to the masting interval. Scatterhoarders go extinct when inter-mast periods are long and a high proportion of seeds is produced in mast years.

Fig. 4. Period 1 (fall) population density (a), pilferage risk (b), marginal reproductive costs of caching (c), and proportion of seeds cached rather than eaten (d) as a function of scatterhoarder survival. Dashed, solid, and dotted lines denote responses to changes in fall, winter, and summer survival, respectively. Plotted variables represent values in the mast year. Vertical gray lines correspond to the maximal survivorships at which caching is selected for.

Increasing the survival of scatterhoarders leads to nearly identical increases in period 1 population density, pilferage risk, and marginal reproductive costs of caching (Fig. 4). These effects are considerably stronger for changes in winter and summer survival rather than in fall survival. Intuitively, this is because the greatest concentration of births occurs between the fall and winter, resulting in the winter population having a higher percentage of new individuals (who are not subject
to mortality during the previous period) than the summer and fall populations. Thus, an increase in
mortality in the fall affects a smaller proportion of the population than an increase in mortality in the
winter or summer. Interestingly, varying summer and winter/spring versus fall survival has
contrast effects on the percentage of seeds cached. Increasing summer and winter/spring survival
leads to a sharp decline in the predicted proportion of seeds cached most likely due to the large
increase in fall density, and the drastically increased costs associated with increased pilferage risk
and lost reproductive opportunities. When monthly winter/spring and summer survival exceeded
50%, no seeds should be cached. In contrast, increasing fall survival selects for an increase in the
percentage of seeds cached rather than eaten, most likely because the advantage of surviving to
make use of one's cache outweighs the weak increases in costs.
Fig. 5. Relationships between input parameters (masting interval and intensity, expressed as the proportion of seeds produced during mast years, scatterhoarder survival, and cacher’s advantage in cache recovery) and emergent properties of the model (scatterhoarder population density, the proportion of cached seeds that are pilfered, reproductive costs of caching, and the quantity of interest: the proportion of seeds cached by scatterhoarders).

Discussion

The fact that masting causes strong fluctuations in populations of seed-eating animals has been well-known for a long time (Curran and Leighton 2000; Ostfeld and Keesing 2000; Bogdziewicz et al. 2016), yet the traditional research focus has been on how the satiation-starvation cycle reduces seed losses to pre- and post-dispersal seed predators. More recently, researchers suggested that seed masting is one of the means by which plants manipulate behavior of their dispersers (Vander Wall 2010).
According to this reasoning, satiation of current energy needs induces granivores to cache seeds for future use (Vander Wall 2010). Here we show that the effects of masting on population dynamics and caching behavior are mutually dependent. By decreasing the degree of pilfering, the satiation-starvation cycle due to more extreme seed masting events may promote the evolution and maintenance of seed caching behavior. Thus, the decrease in seed predation, increase in per capita scatterhorder satiation, and reduction in pilfering pressure may each represent an important pathway by which the scatterhorder satiation-starvation cycle induced by masting may improve plant recruitment (Fig. 5). These nuanced interactions between plant and seed predator emphasize the importance of studying the feedbacks between population dynamics and behavioral evolution.

Results of our simulations suggest that when seed production is highly variable, seed caching can evolve even when cache owners have little advantage over naive foragers in seed recovery (compare with Krebs and Anderson 1978). The costs of cache loss to pilferers are reduced in our model because periods of intense seed production coincide with low densities of scatterhoarders and thus few potential pilferers (see Dittel and Vander Wall 2018 for experimental data demonstrating that the magnitude of cache pilferage is determined by the abundance of scatterhoarders). When there is pronounced masting with relatively long intervals between masting events, densities of scatterhoarders entering the start of the next large masting event are low (Figs. 2 & 3). Consequently, individuals are able to collect enough seeds to satiate their reproductive needs. As the yearly fitness is determined by the geometric mean of their fitness across the seasons and this geometric mean decreases with seasonal variation in fitness (Lewontin & Cohen 1969; Gillespie 1977; Schreiber 2015), the benefits of reducing seasonal variation in fitness by increasing winter/spring reproduction outweigh the diminishing returns of increasing reproduction in the fall.

Our results make a prediction that plants dispersed by scatterhoarders should have higher interannual variation of seed production (typically measured with coefficient of variation, CV) relatively to plants dispersed by other means. This appears to be the case, at least when plants dispersed by scatterhoarders (synzoochorously) are compared to plants dispersed by frugivores.
(endozoochorously) (Herrera et al. 1998). When explaining this pattern, researchers emphasized contrasting selective pressures acting on these groups of plants. Avoiding the risk of satiating frugivores was suggested as a factor that stabilizes seed production in plants dispersed endoozoochorously. On the other hand, variable seed production in synzoochorous plants was interpreted as an adaptation that enabled reducing seed mortality caused by animals that act as seed predators and only incidentally disperse seeds (Herrera et al. 1998). However, we suggest that the high CV of plants dispersed by scatterhoarders can also be linked to the caching behavior of scatterhoarders.

There are several adaptive hypotheses of masting (e.g. increased pollination efficiency, predator satiation, and seed dispersal: reviews in Kelly 1994; Kelly and Sork 2002; Pearse et al. 2016) and these putative fitness benefits of masting can occur simultaneously. Thus, it is difficult to conclude whether variability in seed production evolved (at least partly) to stimulate more seed caching, or whether seed caching evolved in granivores that interacted with plants that already had high CV of seed production. Moreover, these two evolutionary pressures could co-occur, creating a positive feedback between the variability in plant production and granivore caching behavior.

If, as our simulations suggest, masting intensity and mast interval are important for seed caching, then changes in plant masting patterns might affect the dynamics of seed caching, and therefore also the abundance of granivores and recruitment in plant populations. Our model is loosely based on the European beech – *Apodemus* mice system (Jensen 1982; Zwolak et al. 2016). Several studies have suggested that the European beech shows more frequent masting, probably due to global warming (Kantorowicz 2000; Schmidt 2006; Overgaard et al. 2007; Paar et al. 2011; but see Drobyshiev et al. 2014). This could shift the beech-rodent interactions towards antagonism, with more seed consumed and fewer cached (Fig. 2). On the other hand, a recent meta-analysis of global data suggests that the interannual variation in seed crops is increasing (Pearse et al. 2017). Such a change could make seed caching more profitable for granivores. However, extreme interannual
variation in seed crops might lead to a decline and even extinction in granivore populations, due to the difficulty in tracking resource levels (Fig 3).

Moreover, any environmental change that affects scatterhoarder population dynamics could alter caching behavior and, thereby, impact seed mortality. For example, we found that increased scatterhoarder survivorship during the winter or summer may select against caching behavior by increasing population densities entering the masting years (Fig. 4). Thus, changes in winter or summer conditions that are favorable for mice could harm seedling recruitment both directly by increasing seed predation and indirectly by discouraging seed caching. In contrast, the effects of improved conditions in the autumn on seedling recruitment is more difficult to predict as increased survivorship of scatterhoarders simultaneously selects for more caching and more seed predation due to higher population densities.

According to a recent review, at least 1,339 species of plants are dispersed by scatterhoarders (Gómez et al. 2018). Thus, good understanding of the evolution of caching behavior and of ecological determinants of seed caching is pivotal when our goal is to comprehend former selective pressures on synzoochorous plants, disentangle current mechanisms of seed dispersal, or to predict how global changes might alter seed dispersal patterns. Our study provides a step in this direction and suggests several promising avenues for future research. For example, future work should address the evolution of caching reaction norms instead of the simple threshold for caching considered here. Additionally, evolution of caching strategies could be different when individual variation in personalities or, more generally, phenotypes of seed dispersing animals (Zwolak 2018) is taken into account. Finally, comparing systems where reciprocal pilfering is common (e.g. rodents: Vander Wall and Jenkins 1998) versus those where it is rare (e.g. corvids: Pesendorfer et al. 2016) could provide further insights on the evolution of caching behavior.
Literature Cited


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Appendix. Derivation of the fitness gradient

To derive the fitness gradient, assume that the population dynamics of individuals with the resident threshold strategy $T$ converges to a periodic solution of period $kP$: $n_i(1), n_i(2), \ldots, n_i(p)$ for $i = 1, 2, 3$. The more general case of aperiodic dynamics is discussed at the end of this appendix. For each $1 \leq t \leq kP$, let $G(t)$ be the amount seeds gathered in Fall by each resident individual in year $t$, and $Q_1(t), Q_2(t), Q_3(t)$ be the number of offspring produced by an individual playing the mutant strategy $T_m$ during the Fall, Winter/Spring, and Summer, respectively, in year $t$. Then, the long-term per-capita growth rate of the mutant is

$$ r(T, T_m) = \frac{1}{kP} \sum_{t=1}^{kP} \sum_{i=1}^{3} \log(Q_i(t) + s_i). $$

Taking the derivative with respect to $T_m$ and evaluating at $T_m = T$ gives us the fitness gradient:

$$ \frac{\partial r}{\partial T_m}(T, T) = \frac{1}{kP} \sum_{t=1}^{kP} \sum_{i=1}^{3} \frac{\partial Q_i(t)}{\partial T_m} \bigg|_{T_m=T} Q_i(t) \bigg|_{T_m=T} + s_i. \quad (A1) $$

As $Q_i(t) \bigg|_{T_m=T}$ corresponds to the fecundity of resident individuals,

$$ Q_i(t) \bigg|_{T_m=T} = R_i(t). \quad (A2) $$

It remains to compute the partial derivatives of the $Q_i(t)$ terms. For $i = 1$, we have (from the main text)

$$ Q_1(t) = \frac{b \min\{G(t), T_m\}}{h + \min\{G(t), T_m\}} $$

is piecewise defined depending on whether $G(t) < T$ or $> T$. Specifically, we get

$$ \frac{\partial Q_1(t)}{\partial T_m} \bigg|_{T_m=T} = \begin{cases} 0 & \text{if } T > G(t) \\ \frac{bh}{(h+T)^2} & \text{if } T < G(t). \end{cases} \quad (A3) $$
For $i = 2$, we have (from the main text)

$$Q_2(t) = \frac{bC_{m,survivor}(t)}{h + C_{m,survivor}(t)} s_1 + \frac{bC_{new}(t)}{h + C_{new}(t)} Q_1(t)$$

where $Q_2(t)$ differs from $R_2(t)$ only in its first term due to surviving individuals with the mutant caching strategy:

$$C_{m,survivor}(t) = \max\{G(t) - T_m, 0\} M(t) + \max\{G(t) - T, 0\} (O(t)(s_1n_1(t) - 1) + D(t)(1 - s_1)n_1(t)) \text{.}$$

Hence, by chain rule,

$$\frac{\partial Q_2(t)}{\partial T_m} \bigg|_{T_m=T} = \frac{bh}{(h + C_{m,survivor}(t))^2} \frac{s_1}{Q_1(t)} + \frac{s_1}{C_{m,survivor}(t)} \frac{\partial C_{m,survivor}(t)}{\partial T_m} \bigg|_{T_m=T} \text{.}$$

At $T_m = T$, we have $C_{m,survivor}(t) = C_{survivor}(t)$ and $Q_1(t) = R_1(t)$. Furthermore,

$$\frac{\partial C_{m,survivor}(t)}{\partial T_m} \bigg|_{T_m=T} = \left\{ \begin{array}{ll}
0 & \text{if } T > G(t) \\
-M(t) & \text{if } T < G(t) 
\end{array} \right. \text{.}$$

Thus,

$$\frac{\partial Q_2(t)}{\partial T_m} \bigg|_{T_m=T} = \left\{ \begin{array}{ll}
0 & \text{if } T > G(t) \\
-\frac{bh}{(h + C_{survivor}(t))^2} \frac{s_1}{R_1(t) + s_1} M(t) & \text{if } T < G(t) 
\end{array} \right. \text{.} \quad (A4)$$

Finally, as discussed in the main text, $Q_3(t) = R_3(t)$ and, consequently, doesn’t depend on $T_m$. Hence,

$$\frac{\partial Q_3(t)}{\partial T_m} \bigg|_{T_m=T} = 0 \text{.} \quad (A5)$$

Substituting equations $(A2)$–$(A5)$ into $(A1)$ provides an explicit expression for the fitness gradient.

These calculations also apply to the more general case when the resident dynamics are asymptotically stationary but not necessarily periodic. By asymptotic stationarity, we mean that there
exists a probability measure $\mu$ on the $(S, n_1)$ state space such that

$$\lim_{T \to \infty} \frac{1}{T} \sum_{t=1}^{T} f(S(t), n_1(t)) = \int f(S, n_1) \mu(dS, dn_1)$$

for all continuous functions $f(S, n_1)$ i.e. the Birkhoff averages converge. In this case, the per-capita growth rate of the mutant is well-defined and equals

$$r(T, T_m) = \lim_{T \to \infty} \frac{1}{T} \sum_{t=1}^{T} \sum_{i=1}^{3} \log(Q_i(t) + s_i).$$

The selection gradient equals

$$\frac{\partial r}{\partial T_m}(T, T) = \lim_{T \to \infty} \frac{1}{T} \sum_{t=1}^{T} \sum_{i=1}^{3} \frac{\partial Q_i(t)}{\partial T_m} \bigg|_{T_m=T} (Q_i(t) \bigg|_{T_m=T} + s_i)$$

and can be explicitly evaluated by using (A2)-(A5).