Letter

Genetic combination risk for schizophrenia

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1 **Summary Paragraph**

2 Schizophrenia is a highly hereditary mental disease¹ related to abnormal dopaminergic
3 activities.^{2,3} To elucidate the mechanisms underlying schizophrenia's development, genomic
4 studies have sought to identify the pathogenic genetic polymorphisms. Large-scale
5 genome-wide association studies (GWAS) have reported potential candidate loci that
6 contribute to schizophrenia's development.^{4,5} The risk genetic profiles are not yet established.
7 Here we show that the combination of three functional single nucleotide polymorphisms
8 (SNPs) related to the key factors of dopaminergic signaling can be used to predict the risk of
9 schizophrenia's development, though none of the SNPs is known to be associated by itself.
10 These functional SNPs were reported to demonstrate directional influences in their parent
11 gene activity, perhaps characterizing the integrated properties of dopaminergic signaling.
12 Interestingly, the risk combination presented here included the major genotype as well as the
13 minor polymorphisms, suggesting a possible association of unaffected activities of some
14 dopamine-related genes with the disease development. The phenotype speculated based on
15 the allelic status seemed consistent with the conventional pathophysiological hypotheses,
16 although recently developed predictive methods, such as the polygenic risk score, could miss
17 this potent pathogenic role of carrying a normal genotype by evaluating only minor
18 polymorphisms. Our results demonstrate the presence of a subtype in schizophrenia with the
19 favored genetic background related to dopamine signaling. Our findings indicate the
20 possibility that the combinations could characterize integrated biological functions (including
21 neurotransmission) and therefore identify individuals with a disease risk. The biological
22 microenvironment indicated by the functional SNPs could bring an insight to elucidate the
23 pathogenic mechanisms of developing schizophrenia. Furthermore, we believe that our

1 approach will contribute to the development of innovative means to predict disease risks even

2 for other multi-factorial diseases and then, the following preventive medicine.

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1 **Main**

2 Schizophrenia is a disabling chronic mental illness, consisting of the prodromal phase and
 3 following psychotic onset, with the lifetime prevalence of 0.4% to 1.0% worldwide.^{6,7} The
 4 majority of first-episode schizophrenia patients positively respond to antipsychotic treatment
 5 with dopamine D2 receptor (DRD2) antagonists.² Increased dopamine synthesis was
 6 observed in these responding patients,³ suggesting the involvement of abnormal
 7 dopaminergic signaling in the pathology of schizophrenia. Although epidemiologic studies
 8 convincingly suggested the high genetic heritability of schizophrenia,¹ genome-wide
 9 association studies (GWAS) have not succeeded in indicating the apparent association of
 10 dopamine-related genes with schizophrenia.⁸ In fact, those studies tended to obtain negative
 11 results regarding the genetic associations with schizophrenia among the candidates proposed
 12 by a variety of psycho-pharmacological hypotheses.⁹

13 We recently demonstrated that analyses of the combinations of multiple functional
 14 single nucleotide polymorphisms (SNPs) of the parent genes that are key factors in
 15 dopaminergic signaling could be used to predict the risk of developing late-onset
 16 treatment-resistant schizophrenia (L-TRS).¹⁰ L-TRS may be due to antipsychotic-induced
 17 dopamine supersensitivity;^{11,12} i.e., L-TRS may be caused by the compensatory up-regulation
 18 of DRD2.^{13–15} Because individuals with L-TRS may be correctly classified as having typical
 19 dopamine-related schizophrenia, we evaluated the combination of dopamine-related
 20 functional SNPs, i.e., rs10770141 of the tyrosine hydroxylase (*TH*) gene, rs4680 of the
 21 catechol-O-methyltransferase (*COMT*) gene, and rs1800497, also called TaqIA, of the *DRD2*
 22 gene (Table 1). We identified two potent risk combinations for L-TRS; one is the double SNP
 23 combination of T(+) of rs10770141 and Met(–) of rs4680, and the other is the triple SNP

combination of T(+) of rs10770141, Met(−) of rs4680, and A1(+) of rs1800497. It has been reported that these individual SNPs may reflect the relatively higher *TH* gene expression¹⁶ and COMT activity.¹⁷ In addition, the individuals with A1(+) of rs1800497 could have lower *DRD2* gene expression.¹⁸ Since these dopaminergic characteristics could be associated with schizophrenia, we tested here whether these allelic combinations could pose a risk for the development of the disease.

Our case-control study included 361 schizophrenic patients and 282 healthy controls (Suppl. Table S1). None of the included SNPs showed an allelic or genotypic association with schizophrenia (Suppl. Table S2). However, we found that the individuals with the triple combination were significantly associated with schizophrenia (odds ratio [OR] 5.56, 95% confidence interval [CI] 1.25–24.69, $p=0.011$), whereas the double combination showed a tendency to be associated with schizophrenia (OR 2.54, 95%CI 1.00–6.46, $p=0.062$) (Tables 2, 3). Within the total of 16 individuals who carried the triple combination, 14 had been diagnosed as having schizophrenia.

Although we observed that none of the single SNPs was associated with schizophrenia, a finding that is consistent with most of the literature, the significant association of the unique triple combination might indicate that individuals with schizophrenia have some inborn dopaminergic properties. Individuals with T(+) and Met(−) might be expected to show relatively higher dopamine synthesis and more rapid dopamine degradation, which also suggests that there are more dynamic alterations in dopamine concentrations at the synaptic cleft. In addition, carrying A1(+) might be associated with a lower presynaptic *DRD2* density and consequently an enhanced dopamine release due to the attenuated negative feedback loops.¹⁹ Thus, these individuals might exhibit relatively more pulsatile dopamine signaling.

1 It is known that repeated exposure to dopamine agonists (such as psychostimulants²⁰)
2 and/or stressors²¹ causes sensitization. The term 'sensitization' means a progressive and
3 long-lasting amplification of the behavioral and neurochemical response²² and has been
4 argued to play a pathogenic role in causing not only psychosis but also schizophrenia.^{23–25} In
5 fact, it is clinically suggested that frequent exposure to stress poses a risk for prodromal
6 symptoms and schizophrenia.^{26,27} Individuals with schizophrenia were reported to release
7 greater amounts of dopamine in response to stress.⁶ Individuals who carry the risk genetic
8 combination might demonstrate similar dopaminergic pathogenesis, since relatively rapid
9 pulsatile signaling could be caused repeatedly in response to daily stressors. This similarity in
10 dopaminergic pathogenesis could contribute to sensitization and be a reason for the increased
11 likelihood of schizophrenia onset. It may also be well consistent with the observations that
12 both genetic and environment factors seem to present risks for schizophrenia.^{28,29}

13 Our pathological hypothesis of schizophrenia demonstrated in this report accurately
14 accounts for the recently proposed clinical picture of schizophrenia.^{6,25} Assuming that the
15 sensitization to internal dopamine is somehow involved in the pathogenic mechanism of
16 schizophrenia, the identification of the at-risk individuals at a preclinical stage might provide
17 psychiatrists a pivotal chance to prevent the onset by managing the individual's pulsatile
18 dopamine activities. The risk genotypic combination discovered in the present study were
19 detected in only 4.0% of the schizophrenic patients. However, the occurrence rate of the
20 disease within the risk population was 87.5%, where the 14 out of 16 individuals were
21 diagnosed to schizophrenia. Furthermore, although the polygenic risk score was reported to
22 show no association with TRS³¹, the 9 out of these 14 schizophrenic patients (64.3%) were
23 classified as TRS (Suppl. Table S3). We speculate that the inclusion rate could be improved

1 by evaluating better combinations of other functional SNPs related to the dopamine pathway.

2 In addition, although the lowest MAF (5.7%, of rs10770141) was the apparently
3 narrowing polymorphism in our study population, the Trans-Omics for Precision Medicine
4 project recently reported to the U.S. National Center for Biotechnology Information that the
5 MAF was estimated to be as large as 42.9% in the global population, suggesting its
6 applicability.³⁰ In the present study, we focused on the genetic variants related to the
7 dopaminergic system, but our approach will be appropriate to assess other candidates related
8 to different neurotransmissions including gamma-aminobutyric acid (GABA) and
9 glutamatergic signaling.

10 There are some issues to be considered as study limitations. First, the mean age of our
11 healthy control subjects was significantly lower than that of the patients. Although
12 schizophrenia generally emerges during adolescence, our controls could still include potent
13 false negatives. However, the impact may be limited because (1) the mean age of disease
14 onset was significantly younger than the mean age of the controls, and (2) the lifetime
15 prevalence of schizophrenia was estimated to be as small as 0.4%–1.0%. In addition, our
16 sample size was large enough to allow us to evaluate our results, but further validations are
17 clearly expected— including those obtained for large and multi-ethnic populations.

18 In conclusion, we conducted a case-control study to investigate the association of
19 functional SNPs related to dopamine transmission with schizophrenia. Our analyses revealed
20 that the combination of the SNPs related to *TH*, *COMT* and *DRD2* genes was significantly
21 associated with the onset of schizophrenia. We hypothesize that the present genetic
22 combination characterizes dopamine transmission. The findings are important because of
23 their potential to bring new insights to risk prediction and the development of new treatment

1 methods for schizophrenia. However, future studies are necessary to test our findings.

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Table 1. The functional SNPs

SNP ID	Related gene	Polymorphism function [ref.]	WT	Poly-morphism	MAF
rs10770141	<i>TH</i>	30%–40% higher gene expression [16]	C	T	6.4%
rs4680	<i>COMT</i>	50%–75% reduction of the enzymatic activity [17]	Valine	Methionine	33.8%
rs1800497	<i>DRD2</i>	12% less availability of striatal DRD2 [18]	A2	A1	35.3%

^aMAFs (minor allele frequencies) were estimated in all of the study subjects (Suppl. Table S1).

Table 2. The double combination of dopamine-related functional SNPs

Double combination	Schizophrenia patients		Healthy controls		Total	
	n	Proportion	n	Proportion	n	Proportion
Candidate ^a	19	5.4%	6	2.2%	25	4.0%
The rest	336	94.6%	270	97.8%	606	96.0%
Total	355	100.0%	276	100.0%	631	100.0%

The association of the candidate combination was evaluated by Fisher's exact test.

^a The candidate double combination was T(+) of rs10770141 and Met(−) of rs4680, which showed a tendency to be related to schizophrenia (OR 2.54, 95%CI 1.00–6.46, p=0.062). The missing data: Six (1.7%) schizophrenia patients, six (2.1%) healthy controls; thus 12 (1.9%) for the total.

Table 3. The triple combination of dopamine-related functional SNPs

Triple combination	Schizophrenia		Healthy controls		Total	
	n	Proportion	n	Proportion	n	Proportion
Candidate ^a	14	4.0%	2	0.7%	16	2.5%
The rest	341	96.0%	271	99.3%	612	97.5%
Total	355	100.0%	273	100.0%	628	100.0%

The association of the candidate combination was evaluated by Fisher's exact test.

^aThe candidate triple combination was T(+) of rs10770141, Met(–) of rs4680, and A1(+) of rs1800497, which showed a significant association with schizophrenia (OR 5.56, 95%CI 1.25–24.69, p=0.011).

The missing data: Six (1.7%) patients in the schizophrenia group, nine (3.2%) healthy controls; thus 15 (2.8%) for the total.

All the authors have no conflicts of interests to disclose and approved this submission.

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