

1 Title:

2 **Molecular responses to freshwater limitation in the mangrove tree *Avicennia germinans***

3 **(Acanthaceae)**

4

5 Short running title:

6 **Black-Mangroves responses to water limitation**

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24

25 **Abstract**

26 Environmental variation along the geographical space can shape populations by natural  
27 selection. In the context of global warming, accompanied by substantial changes in  
28 precipitation regimes, it is crucial to understand the role of environmental heterogeneity in  
29 tropical trees adaptation, given their disproportional contribution to water and carbon  
30 biogeochemical cycles. Here we investigated how heterogeneity in freshwater availability  
31 along tropical wetlands has influenced molecular variations of the Black-Mangrove  
32 (*Avicennia germinans*). Fifty-seven trees were sampled in seven sites differing markedly on  
33 precipitation regime and riverine freshwater inputs. Using 2,297 genome-wide single  
34 nucleotide polymorphic markers, we found signatures of natural selection by the genotype  
35 association with the precipitation of the warmest quarter and the annual precipitation. We also  
36 found candidate loci for selection, based on statistical deviations from neutral expectations of  
37 interpopulation differentiation. Most candidate loci present within coding sequences were  
38 functionally associated with central aspects of drought-tolerance or plant response to drought.  
39 Complementarily, our results strongly suggest the occurrence of a rapid evolution of a  
40 population in response to sudden and persistent limitation in plant access to soil water,  
41 following a road construction in 1974. Observations supporting rapid evolution included  
42 reduction in tree size and changes in the genetic profile and in transcripts expression levels  
43 associated with increased drought-tolerance, through accumulation of osmoprotectants and  
44 antioxidants, biosynthesis of plant cuticle, proteins protection against stress-induced  
45 degradation, stomatal closure, photorespiration and photosynthesis. We describe a major role  
46 of spatial heterogeneity in freshwater availability in the specialization of the typically tropical  
47 tree, *A. germinans*.

48 **Keywords:** *Avicennia germinans* (Black Mangrove), drought-tolerance, tropical tree,  
49 nextRAD, RNA-Seq, ecological genomics.

50

## 51 **1. Introduction**

52 Natural ecosystems are characterized by wide environmental heterogeneity over the  
53 geographical space. These spatial variations in several abiotic conditions can shape  
54 populations specializations, particularly in widespread species, through changes in genotypes  
55 and phenotypes frequencies (Kawecki & Ebert, 2004). Environmental gradients are, therefore,  
56 natural laboratories for the study of environmental selection (De Frenne et al., 2013). As  
57 sessile organisms, plants are incapable of escaping from unfavorable conditions and,  
58 therefore, are ideal models for investigating adaptation through phenotypic plasticity and/or  
59 adaptive genetic variation. They are often subject to a wide range of environmental factors,  
60 such as water, light, temperature and nutrients availability. Among the various environmental  
61 factors that determine adaptive phenotypic and genotypic diversity in plants, freshwater  
62 availability has a prominent role (Choat et al., 2018; Phillips et al., 2010). Accordingly,  
63 populational differentiation in drought-tolerance has been widely identified in various studies,  
64 providing valuable insights on evolutionary consequences of spatial variation in freshwater  
65 availability in plant species (Aranda et al., 2014; Donovan, Ludwig, Rosenthal, Rieseberg, &  
66 Dudley, 2009; Etterson, 2004; Heschel & Riginos, 2005; Keller et al., 2011; Ramírez-  
67 Valiente et al., 2018). Understanding the role of selection by heterogeneity in freshwater  
68 availability in plants diversification is increasingly relevant, as predictions indicate that we  
69 will face a warmer future accompanied by marked changes in rainfall and higher frequency of  
70 extreme climatic events in several regions worldwide (Dai, 2011; IPCC, 2014; Rodell et al.,  
71 2018). The combination of high atmospheric temperature with reduced rainfall and air

72 humidity represent a major threat to plants, especially tree species and forest ecosystems they  
73 form (Allen, Breshears, & McDowell, 2015; Allen et al., 2010; Asner et al., 2016; Choat et  
74 al., 2012; McDowell & Allen, 2015). These changes influence major components of resource-  
75 use in plants: it reduces the soil water potential, which limits the water and nutrients supply to  
76 leaves, and raises the air vapor pressure deficit (VPD), increasing water loss through  
77 transpiration (McRae, 1980; Novick et al., 2016). In response to these conditions, plants close  
78 their stomata (McAdam & Brodribb, 2015; Tyree & Sperry, 1989), decreasing the chance of  
79 death from hydraulic failure (Rowland et al., 2015), despite causing negative impacts on  
80 photosynthesis and productivity (Lawlor, 2002).

81         Currently, there is a great interest in understanding genotypic and phenotypic basis of  
82 trees resistance to drought, as these mechanisms are key to improve predictions of  
83 environmental consequences of extreme events and to elaborate plans to mitigate forest loss  
84 (Corlett, 2016; da Costa et al., 2010; Phillips et al., 2009). Substantial advances in the  
85 understanding of phenotypic characteristics that enhance drought-tolerance in trees have been  
86 achieved recently (Bartlett, Scoffoni, & Sack, 2012; Bennett, McDowell, Allen, & Anderson-  
87 Teixeira, 2015; Brodribb, Holbrook, Edwards, & Gutiérrez, 2003; Hacke, Sperry, Wheeler, &  
88 Castro, 2006; Phillips et al., 2010; Powell et al., 2017), however little is known about the  
89 molecular basis of water-stress tolerance, particularly in tropical species (Holliday et al.,  
90 2017), which contribute disproportionately to global carbon cycle (Corlett, 2016).

91         In this study, we investigated the role of environmental selection along a gradient of  
92 freshwater availability in shaping the molecular variation of a typically tropical and abundant  
93 tree, *Avicennia germinans* (L.) L. (Acanthaceae). The species is particularly suitable for the  
94 study of mechanisms involved in trees adaptation to drought, since it is the most widespread  
95 mangrove in the Atlantic East-Pacific biogeographic region (Ellison, Farnsworth, & Merkt,

96 1999), belonging to the most tolerant mangrove genus to limiting conditions for water  
97 acquisition, as drought, freezing and salinity (Pranchai et al., 2017; Reef & Lovelock, 2015;  
98 Stuart, Choat, Martin, Holbrook, & Ball, 2007). These trees occur naturally under highly  
99 variable soil water potential and air VPD, caused by daily and seasonal fluctuations in  
100 temperature, air humidity, freshwater inputs and soil salinity (Tomlinson, 1986). In tropical  
101 arid zones or during dry seasons, VPD and soil salinity can reach extreme levels, hindering  
102 the maintenance of water and ion homeostasis and thus limiting carbon gain and plant growth  
103 (Clough, Sim, Inlet, Bay, & Rivers, 1989; Lin & Sternberg, 1992).

104 We hypothesized that spatial heterogeneity in freshwater availability shapes adaptive  
105 variation in allele frequencies and in expression profiles of transcripts associated with the  
106 response to and tolerance of limiting freshwater in tropical trees. We sampled *A. germinans*  
107 individuals along a wide longitudinal range in an equatorial region (from 0°43'12" S to  
108 8°31'48" S of latitude), showing narrow spatial heterogeneity in temperature and solar  
109 radiation, but encompassing high variation in the intensity and duration of the dry season, in  
110 annual precipitation levels and in riverine freshwater input, which influence levels of soil  
111 salinity (Figure 1). We used the Nextera-tagmented reductively-amplified DNA (nextRAD)  
112 sequencing approach for the identification and genotyping of genome-wide single nucleotide  
113 polymorphisms (SNPs). We analyzed the organization of the genetic structure and performed  
114 statistical tests to identify candidate loci for selection. To minimize false positives in the  
115 detection of candidate loci (Lotterhos & Whitlock, 2015), we used distinct approaches: (1)  
116 based on the identification of loci deviating from neutral models of interpopulational genetic  
117 variation ( $F_{ST}$  outlier tests) and (2) based on direct genetic-environment (G-E) association  
118 tests. RNA sequencing (RNA-Seq) was used to assemble and characterize the transcriptome  
119 of the species, providing a functional basis for the annotation of candidate loci for selection.

120 Additionally, we examined the role of molecular adaptation to highly contrasting soil  
121 freshwater availability and salinity via differential gene expression analysis between samples  
122 from adjacent sites differing in tidal inundation frequency (Lara & Cohen, 2006; Pranchai et  
123 al., 2017), acclimated in pots under homogeneous, watered conditions. Our results provide  
124 converging signs of adaptive responses of *A. germinans* to the environmental heterogeneity in  
125 freshwater availability, including a case suggesting the rapid evolution of a population, with  
126 changes in phenotype and in the genetic profile, despite clear possibility of gene flow. We  
127 highlight the relevance of environmental heterogeneity in freshwater availability as a key  
128 selective pressure in tropical tree species.

129

## 130 **2. Materials and methods**

### 131 **2.1 Study area**

132 Sampling sites were located over more than 1,800 km of the north-northeast Brazilian  
133 coastline, between 0.724° S and 8.526° S of latitude, along a spatial gradient in freshwater  
134 availability (Table 1, Figure 1). We classified this area into three distinguishable regions,  
135 based on rainfall regimes and riverine freshwater inputs: (1) the Amazon Macrotidal  
136 Mangrove Coast (AMMC), the world's largest continuous mangrove belt (Nascimento Jr.,  
137 Souza-Filho, Proisy, Lucas, & Rosenqvist, 2013), which has a mean annual precipitation  
138 above 2,000 mm yr<sup>-1</sup> and is influenced by the mouth of the Amazon River; (2) mangroves of  
139 Northeast Brazil, which show limited forest development (Schaeffer-Novelli, Cintrón-Molero,  
140 Adaime, & de Camargo, 1990) and is characterized by the lack of riverine freshwater inputs  
141 and a mean annual precipitation below 2,000 mm yr<sup>-1</sup>, with pronounced and long dry seasons,  
142 and less than 30 mm of precipitation in the driest quarter; and (3) a region influenced by the  
143 southward-flowing branch of the South Equatorial coastal current (SEC), characterized by

144 reduced riverine freshwater inputs, mean annual precipitation below 2,000 mm yr<sup>-1</sup>, but less  
145 pronounced dry season, showing more than 100 mm of precipitation in the driest quarter.

146 In the AMMC, two adjacent sites require a more detailed description, both of which  
147 are located in the peninsula of Ajuruteua, state of Pará, between the Maiaú and Caeté  
148 estuaries (Figure 2). These sites were previously covered by a preserved mangrove forest  
149 (Cohen & Lara, 2003) and were divided by the construction of a road, in 1974. The hydrology  
150 part of the forest was dramatically changed, no longer being influenced by the Caeté River.  
151 Instead, it started flooding exclusively during the highest spring tides of the Maiaú River.  
152 These changes resulted in the local forest dieback and subsequent recolonization, mainly by  
153 *A. germinans*. Soil pore water salinity accumulated to extremely high levels (100 ppt at a 50-  
154 cm depth), and the air surface temperature frequently exceeds 40 °C (Vogt et al., 2014). These  
155 environmental features contributed to the dwarfism of recolonizing individuals (Cohen &  
156 Lara, 2003; Pranchai et al., 2017), whose shrub architecture (up to 2.0 m in height) contrasts  
157 to the former tall morphology (up to 30 m in height), still observed on surrounding areas,  
158 where the hydrology remained unaltered (Menezes, Berger, & Mehlig, 2008) (Figure 2).  
159 Throughout this work, we refer to the western side of the road as ‘PA-arid’ and to the eastern  
160 side as ‘PA-humid’.

161

## 162 **2.2 DNA extraction and sequencing**

163 Leaves from 57 adult *A. germinans* trees were sampled in seven distinct sites (Table 1)  
164 and stored in bags with silica gel. DNA extraction was performed using the DNeasy Plant  
165 Mini Kit (QIAGEN) and NucleoSpin Plant II (Macherey Nagel). DNA quality and quantity  
166 were assessed using 1% agarose gel electrophoresis and QuantiFluor dsDNA System in a  
167 Quantus fluorometer (Promega). NextRAD libraries were constructed by SNPsaurus

168 (SNPsaurus, LLC) (Russello, Waterhouse, Etter, & Johnson, 2015). Genomic DNA  
169 fragmentation and short-adapter ligation were performed with Nextera reagent (Illumina,  
170 Inc.), followed by amplification, in which one of the primers matched the adapter and  
171 extended by nine arbitrary nucleotides of DNA. Thus, amplicons were fixed at the selective  
172 end, and their lengths depended on the initial fragmentation, leading to consistent genotyping  
173 of amplified loci. Subsequently, nextRAD libraries were sequenced in a HiSeq 2500  
174 (Illumina, Inc), with 100-bp single-end chemistry (Supplementary Figure S1).

175

### 176 **2.3 SNP detection and genotyping**

177 Genotyping-by-sequencing used custom scripts (SNPsaurus, LLC) to create a  
178 reference catalog of abundant reads. Read mapping to the catalog allowed two mismatches.  
179 Biallelic loci present in at least 10% of samples were selected for the following steps. Using  
180 VCFtools 0.1.12b (Danecek et al., 2011), we selected high-quality sequences (Phred score >  
181 30), allowing a maximum of 65% missing data and one SNP per sequence, requiring a  
182 minimum coverage of 8x and a minor allele frequency  $\geq 0.05$  (Supplementary Figure S1). To  
183 reduce false SNP detection rates due to paralogy or low-quality genotype calls, we used a  
184 maximum read of 56, resulting from the product of the average read depth and 1.5 standard  
185 deviation of the mean.

186

### 187 **2.4 Genetic diversity analysis and identification of candidate SNP loci associated with** 188 **water-stress tolerance**

189 For each sampling site, we estimated the genetic diversity using per-site nucleotide  
190 diversity ( $\pi$ ), calculated using VCFtools (Danecek et al., 2011), loci presenting private alleles  
191 ( $p_A$ ), observed ( $H_O$ ) and expected ( $H_E$ ) heterozygosities, using poppr 2.8.2 (Kamvar, Tabima,



192 & Grünwald, 2014), and the percentage of polymorphic loci (%Poly), using adegenet 2.1.1  
193 (Jombart & Ahmed, 2011). We also estimated the pairwise genetic differentiation among  
194 populations ( $F_{ST}$ ), the inbreeding coefficient ( $F_{IS}$ ) and its 95% confidence interval through  
195 1000 bootstrap resampling over all SNP loci using hierfstat 0.04-22 (Goudet, 2005). The  
196 genetic structure of *A. germinans* was described by a multivariate model-free method, the  
197 discriminant analysis of principal components (DAPC) (Jombart, Devillard, & Balloux,  
198 2010), and ADMIXTURE 1.3.0 (Alexander, Novembre, & Lange, 2009). For DAPC, we  
199 considered numbers of groups from 1 to 50 and the Bayesian information criteria to determine  
200 the number of groups (K) and used the optim.a.score function to avoid overfitting during  
201 discrimination steps. For ADMIXTURE analysis, we performed three separate runs for values  
202 of K varying from 1 to 15, using the block-relaxation method for point estimation. Computing  
203 was terminated when estimates increased by less than 0.0001. The lowest level of cross-  
204 validation error indicated the most likely K-value.

205 To detect signatures of natural selection, we used three distinct methods. Loci that  
206 were highly correlated with the environmental structure (false discovery rate (FDR) <0.05)  
207 were detected using the R package LEA (Frichot & François, 2015), which is based on  
208 analyses of genetic structure and ecological association (G-E association tests).  
209 Environmental data consisting of bioclimatic and oceanographic variables were retrieved  
210 from the public databases WorldClim (Hijmans, Cameron, Parra, Jones, & Jarvis, 2005) (15  
211 precipitation, radiation and air vapor pressure layers) and Marspec (Sbrocco & Barber, 2013)  
212 (four sea surface salinity and temperature layers). To minimize the interdependence of  
213 variables, we used a correlation threshold of 0.8 (Supplementary Figure S2). Because the  
214 environmental data obtained for PA-arid could not be distinguished from those obtained for

215 PA-humid with the highest-resolution WorldClim and Marspec layers, despite their clear  
216 abiotic divergence (Figure 2), we excluded PA-arid individuals in LEA analysis.

217 To overcome limitations in the acquisition of environmental data for the PA-arid site,  
218 two other methods were used to detect candidate loci for selection, which are solely based on  
219 deviations from neutral expectations of allele frequency distributions, regardless of  
220 environmental variation data across sampling sites ( $F_{ST}$  outlier tests). With Pcadapt 2.0 (Luu,  
221 Bazin, & Blum, 2016), population structure is determined by a principal component analysis.  
222 A disproportional relation to this structure ( $FDR < 0.05$ ) is indicative of selection. With  
223 Lositan (Antao, Lopes, Lopes, Beja-Pereira, & Luikart, 2008), which uses the FDIST2  
224 method (Beaumont & Nichols, 1996), we assessed the relationship between  $F_{ST}$  and  $H_E$  to  
225 describe the neutral distribution under an island migration model. Hence, we detected loci  
226 with excessively high or low  $F_{ST}$ . Because Lositan may show partially divergent results  
227 among independent simulations, we only considered candidate loci conservatively identified  
228 in three independent simulations assuming an infinite allele model of mutation, with a  
229 confidence interval of 0.99 and a  $FDR < 0.05$ , using the neutral mean  $F_{ST}$  and forcing the  
230 mean  $F_{ST}$  options.

231 Demographic histories can affect the statistical power of tests of selection (Lotterhos  
232 & Whitlock, 2015); thus, type I and type II errors are frequently associated with these  
233 approaches (Narum & Hess, 2011). We minimized the potential for false discovery by  
234 identifying consensus candidate loci for selection among the distinct methods used for two  
235 datasets: (1) the entire genotypic dataset, including PA-arid individuals, using Lositan and  
236 Pcadapt consensus candidates and (2) a subset of genotypic data with environmental  
237 information, which excluded the PA-arid samples, using Lositan, Pcadapt and LEA consensus  
238 candidates (Supplementary Figure S1).

239

## 240 **2.5 Functional annotation of candidate loci putatively under natural selection**

241 We functionally annotated candidate loci through the reciprocal nucleotide alignment  
242 between nextRAD sequences and the reference transcriptome characterized in the present  
243 study. Blast+ 2.2.31 (Camacho et al., 2009) was used with a threshold of at least 50 aligned  
244 nucleotides, a maximum of one mismatch and no gaps (Supplementary Figure S1).

245

## 246 **2.6 Plant material for transcriptome assembly and differential expression analysis**

247 On August 16<sup>th</sup>, 2013, three similarly sized seedlings of *A. germinans* with 5-9 stem  
248 nodes were collected from the PA-arid and PA-humid sites, separated by the Bragança-  
249 Ajuruteua road (Figure 2), and transplanted with the surrounding soil into 3.0-L pots. For  
250 acclimation in a homogeneous environment, pots were placed in open-air and naturally  
251 shaded conditions and were watered daily after the sunset, with 300 mL of tap water. Plants  
252 were harvested at noon, after 72 hours of acclimation, washed with water and split into roots,  
253 stems and leaves with a sterile blade to be stored in RNAlater (Ambion Inc., Austin, TX,  
254 USA) and transported to the laboratory for RNA extraction (Figure 3).

255

## 256 **2.7 RNA extraction, cDNA library preparation and RNA sequencing**

257 RNA extraction was performed according to Oliveira, Viana, Reátegui, & Vincentz  
258 (2015). To assess purity, integrity and concentration, we used 1% agarose gel electrophoresis  
259 and a NanoVue spectrophotometer (GE Healthcare Life Sciences, Buckinghamshire, UK).  
260 Subsequently, cDNA-enriched libraries were constructed using TruSeq RNA Sample  
261 Preparation kits (Illumina Inc., California, USA). Libraries qualities were assessed using an  
262 Agilent 2100 Bioanalyzer (Agilent Technologies, California, USA) and concentrations were

263 quantified using quantitative real-time PCR (qPCR), with a Sequencing Library qPCR  
264 Quantification kit (Illumina Inc.). Sequencing was performed with two 36-cycle TruSeq SBS  
265 paired-end kits (Illumina Inc.) on a Genome Analyzer IIx platform (Illumina Inc.).

266

## 267 **2.8 *Transcriptome assembly and functional annotation of transcripts***

268 Raw data were filtered by quality, using Phred >20 for 70% of the read length, and  
269 adapters were trimmed using NGS QC Toolkit 2.3 (Patel & Jain, 2012). Filtered reads were  
270 *de novo* assembled into transcripts using the CLC Genomics Workbench  
271 (<https://www.qiagenbioinformatics.com/>). The distance between paired-end reads was set to  
272 300-500 bp, the k-mer size was set to 45 bp, and the remaining default parameters were not  
273 changed.

274 Reads were mapped to the transcriptome using Bowtie1 (Langmead, Trapnell, Pop, &  
275 Salzberg, 2009), and contiguous sequences (contigs) without read-mapping support were  
276 removed from the assembly. For transcript annotation, we used blast+ 2.2.31 (Camacho et al.,  
277 2009), with an e-value <1e-10, using reference sequences from manually curated databases,  
278 as the National Center for Biotechnology Information (NCBI) RefSeq protein and RefSeq  
279 RNA (O'Leary et al., 2016) and representative proteins and cDNA from The Arabidopsis  
280 Information Resource (TAIR) (Berardini et al., 2015). We removed putative contaminant  
281 contigs from the assembly, which did not match plant sequences but showed high similarity to  
282 nonplant sequences from the NCBI's RefSeq database. Sequences were assigned to the Kyoto  
283 Encyclopedia of Genes and Genomes (KEGG) orthology (KO) identifiers using the KEGG  
284 Automatic Annotation Server (KAAS) (Moriya, Itoh, Okuda, Yoshizawa, & Kanehisa, 2007).  
285 Protein family domains were searched using the Pfam database and the HMMER3 alignment  
286 tool (Finn et al., 2014).

287 We identified putative open reading frames (ORFs) using the program TransDecoder  
288 (<http://transdecoder.sf.net>) with default parameters. Redundant transcripts were detected using  
289 Cd-hit-est 4.6.1 (W. Li & Godzik, 2006) in the local alignment mode, with 95% identity and  
290 70% coverage of the shortest sequence thresholds. To minimize redundancy, in the final  
291 assembly, we retained only sequences with the longest putative ORF and the longest putative  
292 non-coding transcripts from each Cd-hit-est clusters. Functional categories were assigned to  
293 putative coding sequences using the *Arabidopsis thaliana* association file from the Gene  
294 Ontology Consortium website (Blake et al., 2015) (Supplementary Figure S1).

295

## 296 **2.9 Analysis of differentially expressed transcripts (DET)**

297 Counts of reads mapped to assembled transcripts per sequenced sample were used as  
298 input files in DET analyses. Reads that mapped to multiple transcripts were excluded. The  
299 count matrix was normalized and used to detect transcripts with significant differential  
300 expression between PA-arid and PA-humid samples from the Bragança-Ajuruteua road  
301 (Figures 2 and 3) with the EdgeR Bioconductor package (Robinson, McCarthy, & Smyth,  
302 2010) at a FDR <0.05. Gene Ontology (GO) term enrichment analyses were performed using  
303 the goseq R Bioconductor package (Young, Wakefield, Smyth, & Oshlack, 2010), which  
304 takes the length bias into account, with the default Wallenius approximation method and a p-  
305 value cutoff set to <0.05 (Supplementary Figure S1).

306

## 307 **3. Results**

### 308 **3.1 Population genetics analyses of *A. germinans* along the equatorial coast of Brazil**

309 Population genetics statistics are shown in Table 2. The lowest levels of genetic  
310 diversity were observed in the TMD site, as estimated by the mean  $\pi$  (0.123),  $H_D$  (0.130),  $H_E$

311 (0.168) and %Poly (34.52%). The remaining sites, influenced by the northern branch of the  
312 SEC, presented considerably higher levels of diversity (mean  $\pi$  ranging from 0.208 to 0.323;  
313  $H_E$ , from 0.195-0.296;  $H_O$ , from 0.234-0.328; %Poly 58.31-84.46%), including the PA-arid  
314 site, one of the most genetically diverse across the study region. All sampling sites deviated  
315 from Hardy-Weinberg equilibrium (HWE), with an excess of heterozygosity in all sites,  
316 besides ALC, which showed a small heterozygosity deficit ( $F_{IS}=0.03$ ). HWE deviation was  
317 highest in TMD ( $F_{IS}=-0.43$ ) but relatively low in all remaining sites, ranging from -0.15  
318 (PNB) to +0.03 (ALC).

319 A substantial genetic structure was observed over the Equatorial Brazilian coast, a  
320 region subject to highly variable rainfall and riverine freshwater inputs (Figure 1). The genetic  
321 diversity, based on 2,297 genome-wide SNPs genotyped in 57 individuals, was organized into  
322 four distinct genetic clusters ( $K=4$ ) (Figure 4a, Supplementary Figure S3a-b). The greatest  
323 genetic divergences ( $F_{ST}>0.46$ ; Nei's distance $>0.255$ ) were observed between individuals  
324 from TMD and those from all other sites (Figure 4b-c, Supplementary Table S1). The TMD  
325 site also presented the highest number of private alleles ( $p_A=46$ ). Most remarkable was the  
326 divergence between individuals from PA-arid and its adjacent site, PA-humid ( $F_{ST}=0.25$ ;  
327 Nei's distance=0.181). This divergence was greater than the observed divergence of PA-arid  
328 from PRC individuals (Supplementary Table S1), located approximately 900 km distant from  
329 each other ( $F_{ST}=0.23$ ; Nei's distance=0.177), on the semi-arid coast of Brazil. The divergent  
330 gene pool of the PA-arid population was also evident when these samples were excluded from  
331 structure analyses. The most likely number of ancestral populations dropped from four to  
332 three (Figure 4c-d, Supplementary Figure S3c-d), and the overall structure remained  
333 unchanged (Figure 4d). At a finer scale, individuals from PA-humid and MRJ sites, on the  
334 Amazon Macrotidal Mangrove Coast (AMMC), seemed to be derived from the same ancestral

335 population. This population, in turn, diverged from the population that may have given rise to  
336 individuals from ALC, PNB, and PRC sites, in Northeast Brazil (Figure 4a-b).

337

### 338 **3.2 De novo assembly and annotation of the *Avicennia germinans* reference** 339 ***transcriptome***

340 We used RNA-Seq to sequence and *de novo* assemble a reference transcriptome from  
341 leaves, stems and roots of *A. germinans* seedlings, providing a functional context for this  
342 study. A total of 249,875,572 high-quality-filtered, paired-end, 72-bp reads, representing  
343 78.25% of the raw data was used in the assembly. The reference transcriptome comprised  
344 47,821 contigs, after removal of misassembled, redundant and contaminant sequences.  
345 Putative ORFs were identified in 29,854 contigs, subsequently annotated as putative protein-  
346 coding transcripts. The remaining 17,967 contigs were classified as putative non-coding  
347 transcripts. A detailed characterization of contigs can be found in Supplementary Table S2.

348 Raw reads were mapped back to the reference transcriptome, with over 82% uniquely  
349 mapped to a single transcript and only 1.31% mapped to more than one transcript  
350 (Supplementary Table S3). We found 91.74% of the plant universal orthologs from the  
351 BUSCO database (Simão, Waterhouse, Ioannidis, Kriventseva, & Zdobnov, 2015)  
352 represented in the reference transcriptome (Supplementary Table S3). We also found from  
353 20,529 to 31,348 putative orthologous sequences between the *A. germinans* transcriptome and  
354 four other publicly available transcriptomes derived from the genus *Avicennia* L.  
355 (Acanthaceae) (Supplementary Table S4).

356 As expected, most putative protein-coding transcripts of the reference transcriptome  
357 could be annotated using relevant databases (92.6%), whereas only a few putative non-coding  
358 transcripts could be annotated (33.6%) (Supplementary Figure S5). We found 2,207 putative

359 coding transcripts (7.4%) and 11,925 putative non-coding transcripts (66.4%) unique to *A.*  
360 *germinans*, which may represent lineage specific sequences.

361

### 362 **3.3 Detection of candidate loci responding to environmental selection**

363 Genome-wide signatures of selection were detected from genotypic data retrieved  
364 from samples from all seven sites of collection (Figure 4a-b). Fifty-six putative outlier loci  
365 were consistently identified by two  $F_{ST}$  outlier methods, solely based on deviations from  
366 neutral expectations of the distribution of genetic diversity. Eleven of these loci aligned to  
367 sequences in the reference transcriptome, of which eight were highly similar to proteins  
368 associated with the response or tolerance to drought in *A. thaliana* or *Sesamum indicum*  
369 (Supplementary Figure S5).

370 The exclusion of PA-arid samples was necessary for G-E association tests, due to  
371 limitations in the resolution of Marspec and WorldClim environmental layers. From the  
372 remaining subset of 48 individuals from six sampling sites, further 153 candidate loci for  
373 selection were identified by G-E correlation and two  $F_{ST}$  outlier approaches. Out of these  
374 candidate loci, 24 aligned to the reference transcriptome, of which 20 were putative protein-  
375 coding showing high similarity to gene models from *A. thaliana* or *S. indicum*  
376 (Supplementary Figure S5).

377 Among all candidate loci for selection detected along the sampling region, we found  
378 14 loci associated with plant growth and development, wood formation, cell wall metabolism,  
379 biogenesis of the photosynthetic apparatus, abiotic stress perception and response and protein  
380 protection from stress-induced aggregation (Figure 5, Table 3). A complete characterization  
381 of candidate loci within putative coding sequences is available in Supplementary Table S5.

382

### 383 **3.4 Differential transcript expression analysis**



384 Transcriptome sequencing of seedlings grown under contrasting field conditions,  
385 revealed significant expression differences in 2,454 transcripts, despite previous  
386 acclimatization under homogeneous shaded, well-watered conditions (Figure 3). Most DETs  
387 were detected in roots (2,337) and in stems (1,383), followed by leaves (361) (Supplementary  
388 Figure S6). We refer to DETs that showed higher expression levels in samples from the PA-  
389 arid site than in samples from the PA-humid site as “DET-Arid” and to DET showing a  
390 significantly higher expression in samples from the PA-humid than in samples from the PA-  
391 arid site as “DET-Humid”.

392 The functional annotation and subsequent assignment of most putative protein-coding  
393 transcripts to GO terms (76.24%) (Supplementary Figure S4) enabled the assessment of DETs  
394 that highlighted key aspects of a differential response of *A. germinans* to contrasting source  
395 environments, differing markedly in hydrological regime, soil pore water salinity and surface  
396 temperature (Lara & Cohen, 2006; Vogt et al., 2014). We focused this analysis on enriched  
397 biological processes previously identified to be involved in the tolerance, resistance or  
398 response to osmotic and drought stress in various crops, model and non-model species,  
399 including mangroves (Figure 6).

400

#### 401 3.4.1. *Photosynthesis*

402 Mangroves have aerial, photosynthesizing roots, which contribute to carbon gain and  
403 enable root respiration in anaerobic soils, using both atmospheric and photosynthetically  
404 regenerated oxygen (Kitaya et al., 2002). Transcripts associated with photosynthesis were  
405 enriched in roots from the DET-Arid set. These transcripts included putative enzymes  
406 required for chloroplast biogenesis or development, such as HEAT SHOCK PROTEIN 90-5  
407 (HSP90-5) (Oh, Yeung, Babaei-Rad, & Zhao, 2014), the THYLAKOID FORMATION 1

408 (THF1) (Q. Wang et al., 2004), RNA POLYMERASE SIGMA SUBUNIT 2 (SIGB) (Shirano  
409 et al., 2000) and TRANSLOCASE SUBUNIT SECA1 (SECA1), which is also involved in  
410 acclimation to fluctuating light (Liu et al., 2010). Additionally, transcripts supposedly  
411 encoding several subunits of the photosynthetic apparatus and light-harvesting complexes  
412 were identified in the DET-Arid set. These DETs included photosystem II (PSII) subunits  
413 PSBX, PSBO-2, PSBQ-2, PSBR, PSBP-1, PSBY, PSII REACTION CENTER W (PSBW)  
414 and CHLOROPHYLL A-B BINDING (PSBS); photosystem I (PSI) subunits PSAD-2, PSAE-  
415 2, PSAF, PSAG, PSAH-2, PSAL, PSAO, and PSI REACTION CENTER PSI-N (PSAN);  
416 ATP SYNTHASE DELTA-SUBUNIT (ATPD); all subunits from the PSII light-harvesting  
417 complex (LHCA1-5) and most subunits from PSI (LHCB1-6). The DET-Arid set of roots also  
418 included transcripts associated with the C3 carbon fixation pathway, for instance,  
419 GLYCERALDEHYDE-3-PHOSPHATE DEHYDROGENASE, subunits A and B (GAPA1,  
420 GAPB) and their activator, THIOREDOXIN F-TYPE 1 (TRXF1) (Marri et al., 2009); two  
421 CALVIN CYCLE PROTEINS (CP12-2, CP12-3), PHOSPHOENOLPYRUVATE  
422 CARBOXYLASE 4 (PPC4), PYRUVATE ORTHOPHOSPHATE DIKINASE (PPDK),  
423 RIBULOSE BISPHTHOSPHATE CARBOXYLASE SMALL CHAIN 1A (RBCS1A) and its  
424 activator, RUBISCO ACTIVASE (RCA); and two FRUCTOSE-1,6-BISPHTHOSPHATASES  
425 (CFBP1, CYFBP). Additionally, transcripts similar to chlorophyll biosynthesis enzymes were  
426 enriched in the DET-Arid set, as ISOPENTENYL DIPHOSPHATE ISOMERASE 1 (IPP1),  
427 MAGNESIUM-CHELATASE 12 (CHLI2) and the subunit CHLH (GUN5),  
428 DICARBOXYLATE DIIRON PROTEIN (CRD1), the PROTOCHLOROPHYLLIDE  
429 OXIDOREDUCTASE C (PORC) and GLUTAMYL-TRNA REDUCTASE (HEMA1).

430

431 *3.4.2. Response to light*

432           The DET-Arid set was enriched in transcripts associated with the response to light but  
433 not directly associated with photosynthesis. These included transcripts associated with light  
434 acclimation, similar to PSII dephosphorylating PROTEIN PHOSPHATASE 2C (PBCP)  
435 (Samol et al., 2012) and RNA POLYMERASE SIGMA FACTOR A (SIGA), which are  
436 essential for maintaining electron flow and photosynthetic efficiency under changing light  
437 conditions (Privat, Hakimi, Buhot, Favory, & Lerbs-Mache, 2003). The DET-Arid set also  
438 included putative light-signaling genes and photoreceptors, as the blue light photoreceptors  
439 PHOTOTROPINS 1 and 2 (PHOT1, PHOT2), CRYPTOCHROME 1 (CRY1) and the red/far-  
440 red photoreceptors PHYTOCHROME E and A (PHYE, PHYA). These photoreceptors are  
441 sensitive to light intensity and control complex light and stress responses, including  
442 photoinduced movements as well as growth and development under limiting light (Correll et  
443 al., 2003; Ohgishi, Saji, Okada, & Sakai, 2004; Pedmale et al., 2016). Complementarily, we  
444 identified transcripts similar to proteins that interact with these photoreceptors in the  
445 mediation of shade avoidance and phototropism under low light. For instance, B-BOX  
446 DOMAIN PROTEIN 24 (STO), CONSTITUTIVE PHOTOMORPHOGENIC 1 (COP1) and  
447 SPA PROTEINS (SPA2, SPA4) (Crocco et al., 2015; Holtkotte, Ponnu, Ahmad, & Hoecker,  
448 2017; Indorf, Cordero, Neuhaus, & Rodríguez-Franco, 2007; Pacín, Semmoloni, Legris,  
449 Finlayson, & Casal, 2016; Pedmale et al., 2016). Furthermore, we identified DETs similar to  
450 the low light-induced transcription factors HOMEODOMAIN PROTEIN 1 and 52 (HB1, HB52),  
451 regulatory of developmental processes (Henriksson et al., 2005); the PSEUDO-RESPONSE  
452 REGULATOR 5 (PRR5) (Takase, Mizoguchi, Kozuka, & Tsukaya, 2013), which regulates  
453 growth in the shade avoidance response; and a transcript associated with chloroplast  
454 accumulation upon low blue light, J-DOMAIN PROTEIN REQUIRED FOR  
455 CHLOROPLAST ACCUMULATION RESPONSE 1 (JAC1) (Suetsugu, Kagawa, Wada, &

456 Corporation, 2005). Remarkably, in the DET-Arid set, we detected putative proteins required  
457 during sugar starvation induced by dark, namely, THIAMIN DIPHOSPHATE-BINDING  
458 FOLD PROTEIN (THDP-binding), 2-OXOACID DEHYDROGENASES  
459 ACYLTRANSFERASE (BCE2), GLUTAMINE-DEPENDENT ASPARAGINE  
460 SYNTHASE 1 (DIN6) and TRANSKETOLASE (DIN4) (Fujiki, Ito, Itoh, Nishida, &  
461 Watanabe, 2002; Fujiki, Ito, Nishida, & Watanabe, 2000, 2001).

462

### 463 3.4.3. *Response to water deprivation and response to salt*

464 The DET-Arid sets of roots and stems were enriched in transcripts associated with the  
465 response to osmotic stress and water deprivation, including putative genes that play relevant  
466 roles in drought and salt stress resistance. For example, various transcripts associated with the  
467 positive regulation of abscisic acid (ABA)-dependent stomatal closure, such as  
468 LIPASE/LIPOXYGENASE PLAT/LH2 (PLAT1) (Hyun et al., 2014); THIAZOLE  
469 BIOSYNTHETIC ENZYME (THI1) (C.-L. Li et al., 2016); CBL-INTERACTING PROTEIN  
470 KINASE 1 (CIPK1) (D'Angelo et al., 2006); ZEAXANTHIN EPOXIDASE (ZEP) (Park et  
471 al., 2008); the CONSTITUTIVELY PHOTOMORPHOGENIC 1 (COP1) (Moazzam-Jazi,  
472 Ghasemi, Seyedi, & Niknam, 2018); FORMS APLOID AND BINUCLEATE CELLS 1B and  
473 1C (FAB1B, FAB1C) (Bak et al., 2013); and PHOSPHOLIPASE D DELTA (PLDDELTA)  
474 (Katagiri, Takahashi, & Shinozaki, 2001; Uraji et al., 2012). Additionally, in the DET-Arid  
475 set, we detected putative ion transporters, as SLAC1 HOMOLOGUE 3 (SLAH3), essential  
476 for efficient stomatal closure and opening and induced by drought stress (A. Zhang et al.,  
477 2016); SODIUM:HYDROGEN ANTIPORTER 1 (NHD1), which protects chloroplasts from  
478 deleterious Na<sup>+</sup> concentrations (Müller et al., 2014); and Na<sup>+</sup>/Ca<sup>2+</sup> EXCHANGER (NCL),  
479 involved in Ca<sup>2+</sup> homeostasis under abiotic stress (P. Wang et al., 2012). Complementarily, in

480 the DET-Arid set, we found transcripts associated with biosynthesis and accumulation of  
481 oligosaccharides and increasing tolerance of osmotic stress, as GALACTINOL SYNTHASE  
482 1 (GolS1) (A. Nishizawa, Yabuta, & Shigeoka, 2008) and RAFFINOSE SYNTHASE  
483 (DIN10) (Taji et al., 2002). Transcripts induced by drought associated with epicuticular wax  
484 biosynthesis and transport were also among the DET-Arid. For example, transcripts similar to  
485 MYB DOMAIN PROTEIN 94 (MYB94), a key activator of wax biosynthesis genes (S. B.  
486 Lee & Suh, 2015); to the fatty acid hydroxylase ECERIFERUM1 (CER1) (Bourdenx et al.,  
487 2011), activated by MYB94; WHITE-BROWN COMPLEX HOMOLOG PROTEIN 11  
488 (WBC11), required for exporting wax and cutin monomers (Panikashvili et al., 2007); and to  
489 LIPID TRANSFER PROTEIN 4 (LTP4), involved in wax or cutin deposition in cell walls  
490 (Chae et al., 2010). Additionally, in the DET-Arid set, we detected several transcripts  
491 associated with reactive oxygen species (ROS) dissipation for the control of cell damage  
492 caused by drought, high light or salt stress, including putative COPPER/ZINC SUPEROXIDE  
493 DISMUTASES 1 and 2 (CSD1, CDS2) (Attia, Arnaud, Karray, & Lachaâl, 2008),  
494 CHLOROPLASTIC DROUGHT-INDUCED STRESS PROTEIN OF 32 kDa (CDSP32)  
495 (Broin, Cuiné, Eymery, & Rey, 2002) and MAP KINASE 6 (MAPK6) (Teige et al., 2004).

496

#### 497 *3.4.4. Response to heat*

498 Transcripts similar to genes that confer tolerance to heat were enriched among the  
499 DET-Arid sets of roots and stems. We detected several putative chaperones that modulate  
500 thermotolerance, such as the heat-shock proteins (HSPs) HSP17.4 (Wehmeyer, Hernandez,  
501 Finkelstein, & Vierling, 1996), HSP17.6 (Sun, Bernard, Cotte, Montagu, & Verbruggen,  
502 2001), HSP18.2 (Lim et al., 2006), HSP70 (S. Lee et al., 2009), HSP90.1 (Cha et al., 2013)  
503 and HSP101 (Queitsch, Hong, Vierling, & Lindquist, 2000), five HSP20-LIKE

504 CHAPERONE PROTEINS (AT1G52560, AT1G53540, AT2G27140, AT2G29500,  
505 AT5G51440) (Helm, Schmeits, & Vierling, 1995; Ayako Nishizawa et al., 2006),  
506 UNIVERSAL STRESS PROTEIN (AT3G53990) (Jung et al., 2015), the chloroplast  
507 chaperone CASEIN LYTIC PROTEINASE B3 (CLPB3) (Myouga, Motohashi, Kuromori,  
508 Nagata, & Shinozaki, 2006), and co-chaperones, as ROTAMASE FKBP 1 and 2 (ROF1 and  
509 ROF2) (Meiri & Breiman, 2009; Meiri et al., 2010). Additionally, we identified transcripts  
510 associated with heat-shock response initiation and putative components of thermomemory, as  
511 HEAT SHOCK TRANSCRIPTION FACTOR A2 (AT2G26150) and HEAT-STRESS-  
512 ASSOCIATED 32 (AT4G21320), required for long-term maintenance of acquired  
513 thermotolerance (Charng et al., 2006).

514

#### 515 3.4.5. Photorespiration

516 In the DET-Arid set of roots, we detected enrichment of putative genes associated  
517 with photorespiration similar to enzymes participating in transamination, namely,  
518 PYRIMIDINE 4 (PYD4), ALANINE:GLYOXYLATE AMINOTRANSFERASE (SGAT)  
519 and ALANINE-2-OXOGLUTARATE AMINOTRANSFERASE 2 (GGT2) (Liepman &  
520 Olsen, 2001); similar to PEROXISOMAL NAD-MALATE DEHYDROGENASE 1  
521 (PMDH1), which is required for maintaining photosynthesis under photorespiratory  
522 conditions and for carbon flow during photorespiration (Cousins, Walker, Pracharoenwattana,  
523 Smith, & Badger, 2011); and D-GLYCERATE 3-KINASE (GLYK), which catalyzes the  
524 concluding reaction of photorespiration (Boldt et al., 2005).

525

## 526 4. Discussion

527 Our study suggests a major role of variation in freshwater availability driving adaptive  
528 molecular responses in the typically tropical and widespread tree. Gradual changes in  
529 freshwater inputs from both precipitation and rivers along a spatial gradient (Figure 1) seem  
530 to play an important role in the specialization of individuals of *Avicennia germinans*, via  
531 natural selection. Limited gene flow, likely caused by geographical distance and the flow  
532 direction of superficial sea currents, may have facilitated the accumulation of adaptive  
533 differences. Additionally, we described how drastic and persistent restriction in soil  
534 freshwater availability caused the evolution of phenotype, gene pool and gene expression  
535 profile of a recently founded population of *A. germinans*, without depletion in genetic  
536 diversity and despite clear possibility of gene flow with an adjacent, unchanged population.

537

538 **4.1 Gradual environmental variation in freshwater availability might partly explain the**  
539 **organization of non-neutral genetic variation in *A. germinans***

540 The genetic structure inferred by genome-wide SNP loci (Figure 4) suggested the  
541 importance of both neutral and non-neutral environmental drivers of variation. Remarkable  
542 divergence was observed between samples influenced by the northern and southern branches  
543 of the South Equatorial sea current (SEC), corroborating previous results found for various  
544 coastal trees using putatively neutral molecular markers (Francisco, Mori, Alves, Tambarussi,  
545 & Souza, 2018; Mori, Zucchi, & Souza, 2015; Takayama, Tateishi, Murata, & Kajita, 2008).  
546 The bifurcated flow of coastal currents provides a neutral explanation to the north-south  
547 divergence, due to restrictions in the dispersal of buoyant propagules, which likely facilitate  
548 the accumulation of random north-south genetic divergences (Figure 4). Our results also  
549 suggest that the lower precipitation of the warmest quarter in northern sites (AMMC and  
550 Northeast Brazil regions) (Figure 1) may play an additional, non-neutral role in shaping this

551 north-south divergence. The closely related species, *Avicennia marina*, has the ability of  
552 directly absorbing rainwater through its leaves, presenting substantial growth spurts following  
553 precipitation events (Steppe et al., 2018). This mechanism has been observed in plants from  
554 dry lands (Breshears et al., 2008), cloud forests (Eller, Lima, & Oliveira, 2013) and in  
555 conifers (Mayr et al., 2014), and might also be present in *A. germinans*. Complementarily,  
556 both aridity and salinity enhance the leaf water storage for transient growth under favorable  
557 conditions in *A. marina*, requiring the use of alternative water sources to that supplied by  
558 roots (Nguyen et al., 2017). Therefore, our results suggest that the more even distribution of  
559 rainfall throughout the year in the TMD site likely alleviates water-stress in *A. germinans*,  
560 whereas more limited rainfall in the warmest quarter of northern regions reduces opportunities  
561 for rehydration via foliar water uptake (Figure 1), potentially favoring traits, which increase  
562 drought-tolerance. It is plausible that this environmental filter contributes to the genetic  
563 divergence observed between TMD and remaining populations (Figure 4). This hypothesis is  
564 corroborated by the detection of 21 loci candidate for selection correlated to the spatial  
565 variation in the precipitation of the warmest quarter over the study area (Figure 1). Although  
566 seven out of these loci were poorly characterized, hampering inferences about their functional  
567 relevance in the environmental context, we were able to associate 11 candidate loci with  
568 biological processes influenced by drought, as photosynthesis, cell wall metabolism, cell  
569 elongation, plant growth, protein protection from stress-induced degradation and regulation of  
570 abscisic acid signaling (Figure 5, Table 3). The adaptive importance of freshwater limitation  
571 in tropical trees was also suggested in the mangrove, *A. schaueriana* (M. V. Cruz et al.,  
572 2018), and in tropical forests and savannas (Ciemer et al., 2019), for which it was similarly  
573 suggested that an environmental filtering mechanism driven by high rainfall variability  
574 favored the survival of more drought resistant taxa.



575           Although *Avicennia* propagules can remain viable for long periods and present  
576 transoceanic dispersal (Mori, Zucchi, Sampaio, & Souza, 2015), at a finer scale, we observed  
577 a genetic divergence between sites in the AMMC region (MRJ and PA-humid) and samples  
578 from Northeast Brazilian mangroves (ALC, PNB and PRC) (Figure 4). The AMMC region  
579 shows higher annual precipitation and is more strongly influenced by riverine freshwater  
580 inputs than the remaining sites, due to its closer proximity to the Amazon River Delta (Figure  
581 1). Conversely, reduced rainfall and the lack of riverine freshwater inputs in Northeast Brazil  
582 could limit plants access to soil freshwater, due to increased soil salinity, potentially  
583 contributing to the local specialization of individuals. Even though we do not have soil  
584 salinity data to perform direct G-E association tests for selection, we were able to detect two  
585 loci correlated with the variation in total annual precipitation: one associated with a poorly  
586 characterized protein kinase and the other, with an RNA hydrolase, also correlated with  
587 heterogeneity in mean sea surface salinity (Supplementary Table S5). Given the unclear  
588 functional relevance of these putative adaptive loci in the environmental context, we  
589 recommend future efforts to analyze the role of other neutral and non-neutral variables, as soil  
590 salinity or the demographic history of *A. germinans*, to find additional explanations for the  
591 genetic divergence observed between AMMC and Northeast Brazilian mangroves (Figure 4).  
592 Candidate loci detected in our study play a significant role in drought adaptations, but their  
593 molecular functions need to be further characterized in plants adapted to physiological  
594 drought. These proteins may not get highlighted in genetic screenings performed on drought-  
595 sensitive model plants, distantly related to *A. germinans*.

596

597 **4.2    *Rapid evolution of A. germinans in response to abrupt limitation in access to soil***  
598 ***freshwater***

599           The PA-arid population of *A. germinans*, was originated after 1974, when the  
600 construction of the Bragança-Ajuruteua road altered the hydrology of part of the mangrove  
601 forest in the AMMC region (Figure 2) (Cohen & Lara, 2003). This sudden environmental  
602 change caused a large dieback of the mangrove vegetation. Gradually, the impacted area was  
603 recolonized, mainly by *A. germinans*. We estimate that the approximately 40 years since this  
604 event occurred, represent from 4 to 40 reproductive cycles of *A. germinans*, based on previous  
605 studies of closely related *Avicennia* sp. (Almahasheer, Duarte, & Irigoien, 2016; M. V. Cruz  
606 et al., 2018; Polidoro et al., 2010). Recolonizing individuals of PA-arid started presenting a  
607 dwarf, shrub architecture, very distinct from the former tall, arboreal architecture, still  
608 observed in surrounding areas where the hydrology remained unchanged (Figure 2) (Pranchai  
609 et al., 2017). This observation suggests that limitation in plant access to soil water favored  
610 smaller tree sizes, one of the most integrative characteristic of drought resistance (Bennett et  
611 al., 2015; Corlett, 2016; Naidoo, 2006; Rowland et al., 2015). On the one hand, we cannot  
612 determine how much of the difference in tree size is inherited or determined by phenotypic  
613 plasticity. On the other hand, our results revealed that a substantial and rapid change in the  
614 gene pool of the PA-arid population occurred without reduction in levels of overall genetic  
615 diversity, estimated by various parameters (Figure 2, Figure 4a-b, Table 2). The presence of  
616 high levels of genome-wide genetic variation, simultaneously with strong selection on  
617 individual traits may be a signature of substantial multivariate genetic constraints (B. Walsh  
618 & Blows, 2009). Interestingly, this divergence in allele frequencies was observed despite  
619 clear possibility of gene flow, given the geographical proximity (<10.0 m) of the PA-arid site  
620 to well preserved populations. Consistent with an adaptive response to selection by limited  
621 access to soil freshwater,  $F_{ST}$  outlier tests detected eight candidate loci within transcripts  
622 associated with functions as suppression of cell elongation, wood and xylem tracheids

623 formation, photosynthetic machinery biogenesis and repair, regulation of adaptation to stress  
624 and of protection from stress-induced protein degradation (Table 3, Figure 5).

625 Besides genotypic changes, we also identified transcripts expression differences  
626 between seedlings from PA-arid and PA-humid sites (Table 1, Figure 6) after three days  
627 acclimatization in pots under shaded, well-watered conditions (Figure 3). Because differential  
628 gene expression influences trait variation (Wolf, Lindell, & Backstrom, 2010), it can be  
629 substantial between distinct locally adapted populations (Akman, Carlson, Holsinger, &  
630 Latimer, 2016; Gould, Chen, & Lowry, 2018). Increased transcripts expression, mainly in  
631 roots of PA-arid samples, were associated with biological processes previously identified to  
632 be involved in central aspects of drought-tolerance in model and non-model plants (Ding et  
633 al., 2013; Fan et al., 2018; C. Zhang et al., 2015). For instance, we found transcripts  
634 associated with ABA-mediated stomatal closure, a well-known mechanism for maintaining  
635 water status under drought, which severely compromises growth (Murata, Mori, &  
636 Munemasa, 2015). Stomatal closure reduces the CO<sub>2</sub>:O<sub>2</sub> ratio in mesophyll cells, increasing  
637 photorespiration, also an enriched process in DET-Arid. Although photorespiration decreases  
638 photosynthesis efficiency, it plays an essential role in protecting the photosynthetic machinery  
639 from damage caused by excessive photochemical energy (Kozaki & Takeba, 1996; Wingler,  
640 Lea, Quick, & Leegood, 2000). Conversely, we also observed an enrichment of genes  
641 involved in photosynthesis, shade avoidance and response to low light intensity. Being sessile  
642 under extremely arid conditions, PA-arid plants might need to rapidly adjust photosynthesis  
643 gene expression to intermittent freshwater availability (Chaves, Flexas, & Pinheiro, 2009;  
644 Urban, Aarouf, & Bidel, 2017). The increased freshwater availability and decreased solar  
645 irradiance under experimental conditions, compared to source-site (Figure 3), likely required  
646 that PA-arid individuals broadly adjust their photosynthetic machinery. Additionally, we

647 observed several putative HSPs and heat-shock factors among DET-Arid, contributing to the  
648 protection of proteins and membranes against water-stress-induced changes (Al-Whaibi,  
649 2011). Various transcripts associated with epicuticular wax and cutin synthesis, export and  
650 deposition also showed higher expression in PA-arid than in PA-humid seedlings, likely  
651 reflecting an increased protection of cells against detrimental effects of drought by the plant  
652 cuticle of PA-arid plants (Aharoni et al., 2004; Javelle, Vernoud, Rogowsky, & Ingram,  
653 2011). Complementarily, we found transcripts associated with the accumulation of raffinose  
654 among DET-Arid, functioning as osmoprotectants and antioxidants under drought and  
655 osmotic stress and maintaining cell turgor (ElSayed, Rafudeen, & Gollmack, 2014). Overall,  
656 our comparative transcriptomic analyses suggest that PA-arid plants deal better with heat,  
657 UV, salt and drought than PA-humid plants, through changes in key regulatory mechanisms,  
658 which increase abiotic stress tolerance. These strategies may have enhanced the relative  
659 capacity of PA-arid seedlings to grow under high leaf water-deficit status and infrequent  
660 freshwater inputs.

661 We acknowledge that we cannot disentangle effects from genetic and epigenetic  
662 divergences underlying the differential transcripts expression observed. Nevertheless, both  
663 genetic and epigenetic changes might contribute to the observed trait divergence. Epigenetic  
664 changes can emerge faster than adaptive genetic changes, playing an important role,  
665 especially in early adaptive process (Kenkel & Matz, 2016; Pavey, Nosil, & Rogers, 2010).  
666 Although the mechanisms are not entirely clear, our results suggest, through independent  
667 approaches, that a rapid evolution of this population occurred, with phenotypic, genetic and  
668 gene expression changes. The desertification of the PA-arid site represents a drastic and  
669 persistent environmental change, analogous to a climate change, freshwater exclusion

670 experiment for mangroves of the tropical Brazilian coastline, in which limited access to soil  
671 freshwater likely caused the rapid evolution of recolonizing *A. germinans* individuals.

672 Although it is difficult to demonstrate rapid evolution in nature, there is growing  
673 empirical evidence that when environmental selection is very intense, evolutionary processes  
674 may occur on a very fast time scale (Amorim et al., 2017; Donihue et al., 2018; Schoener,  
675 2011).

676

### 677 **4.3 Implications for conservation**

678 Wetlands of the Northeastern coast of Brazil and the ecosystem services they provide  
679 are predicted to be particularly impacted by future reductions in precipitation and freshwater  
680 availability (Osland et al., 2018). Despite these threats, the extant gene pool of northern  
681 populations of *A. germinans* seems to harbor sufficient diversity to enable species persistence  
682 through natural selection of drought-resistant plants, as observed in the PA-arid site.  
683 Recolonizing individuals in this location share alleles with individuals from distant areas, as  
684 the PRC site (Figure 4a), located over 1,200 km away, in Northeast Brazil. Therefore, our  
685 results suggest that forests from Northeastern Brazilian mangroves contributed as source of  
686 adaptive variation through sea-dispersed propagules in the recolonization of the PA-arid site,  
687 additional to the selection on seed-bank or on migrants from closer areas.

688 Our findings indicated limited gene flow between populations influenced by northern  
689 and southern branches of the SEC (Figure 4), suggesting the contribution of neutral forces,  
690 but also of environmental selection by variation in precipitation of the warmest quarter. Given  
691 the substantial north-south genetic divergence, we recommend that northern and southern  
692 populations should be treated as two independently evolving management units (Moritz,  
693 1999). In the context of an increasingly drying climate, reforestation plans for populations of

694 *A. germinans* located south of the SEC should consider the use of mixed stocks of seedlings  
695 (Moritz, 1999), to introduce genetic variation associated with increased drought-tolerance in  
696 Northeaster mangroves, while also maintaining local alleles, possibly associated with site-  
697 specific environmental characteristics.

698

#### 699 **4.4 Concluding remarks**

700 We provide novel insights into the consequences of limited access to soil freshwater to  
701 the variation in allele frequencies, gene expression and phenotypes of a dominant tropical  
702 tree. Research on the genomic basis of tree adaptation are often limited by difficulties in  
703 implementing empirical tests, given their long generation time and the scarcity of basic  
704 biological information. In tropical forests, mostly found in developing countries, the lack of  
705 resources imposes additional challenges. Advances in the understanding of the genomic basis  
706 of drought-tolerance in tropical trees can support effective protection plans and mitigating  
707 climate change. As shown in this study, such knowledge can improve predictions of the  
708 persistence of the ecosystems they form and services they provide and generate key insight  
709 for conservation and management efforts (Holliday et al., 2017; Moran, Hartig, & Bell, 2016).

710

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719

## 720 **Conflicts of interest**

721 The authors have no conflict of interest to disclose.

722

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#### **Data accessibility**

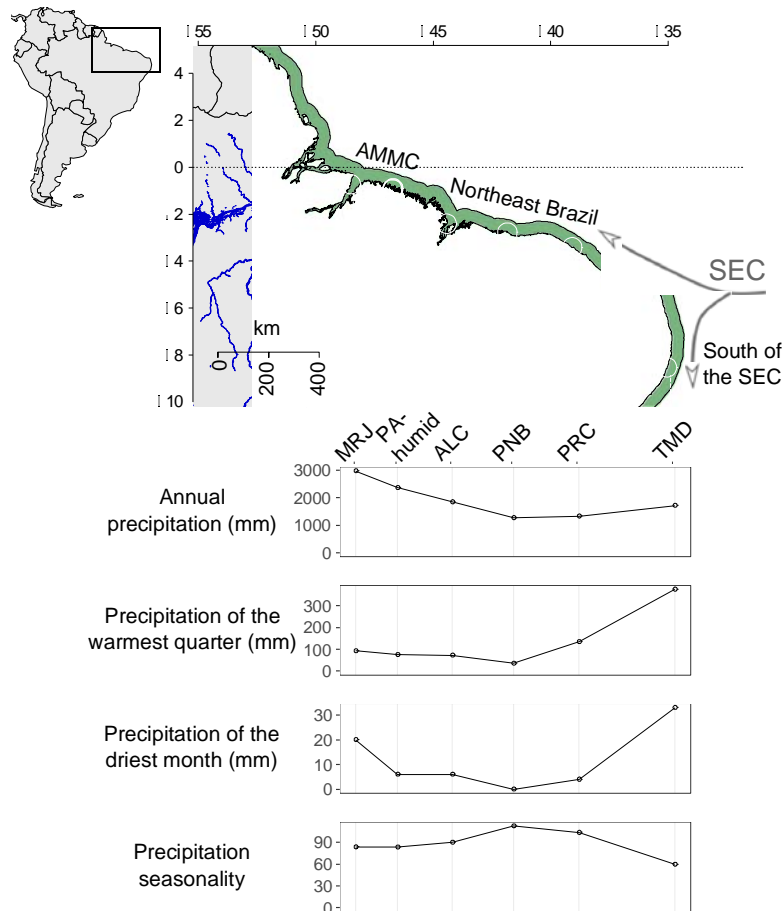
- 1351 • Gene expression data and transcriptome sequences that support conclusions have been  
1352 deposited in GenBank with the accession code GSE123659;
- 1353 • SNP genotypes are available at Dryad doi:10.5061/dryad.h11t255 (M. V. Cruz et al.,  
1354 2019).

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#### **Author contributions**

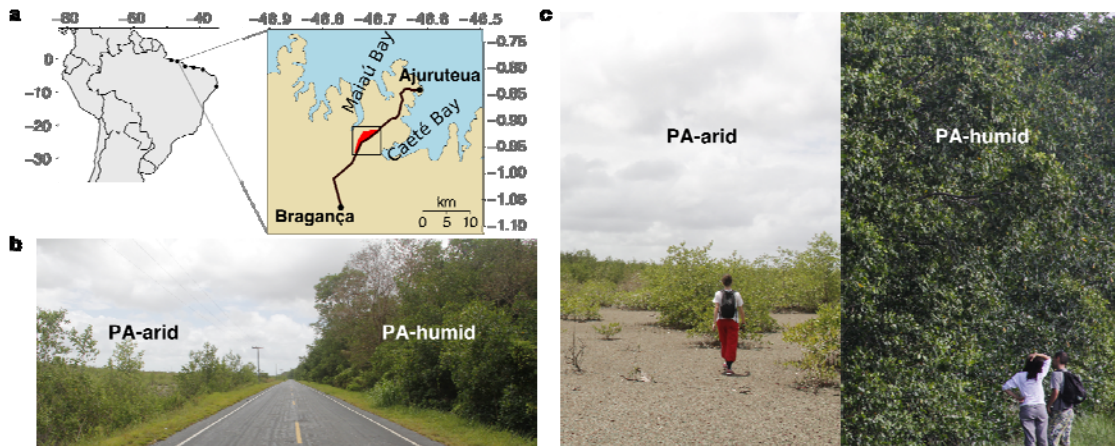
- 1356 A.P.S., G.M.M. and M.V.C. designed the study. M.V.C. and G.M.M. conducted  
1357 fieldwork, prepared samples for sequencing and wrote the manuscript. M.V.C., G.M.M.,  
1358 R.S.O., M.D. and D.H.O. analyzed the RNA-Seq and nextRAD results. A.P.S, M.I.Z.,  
1359 G.M.M. contributed material/reagents/analytical tools. All authors discussed the results and  
1360 contributed to the manuscript.

1361 **Tables and Figures**



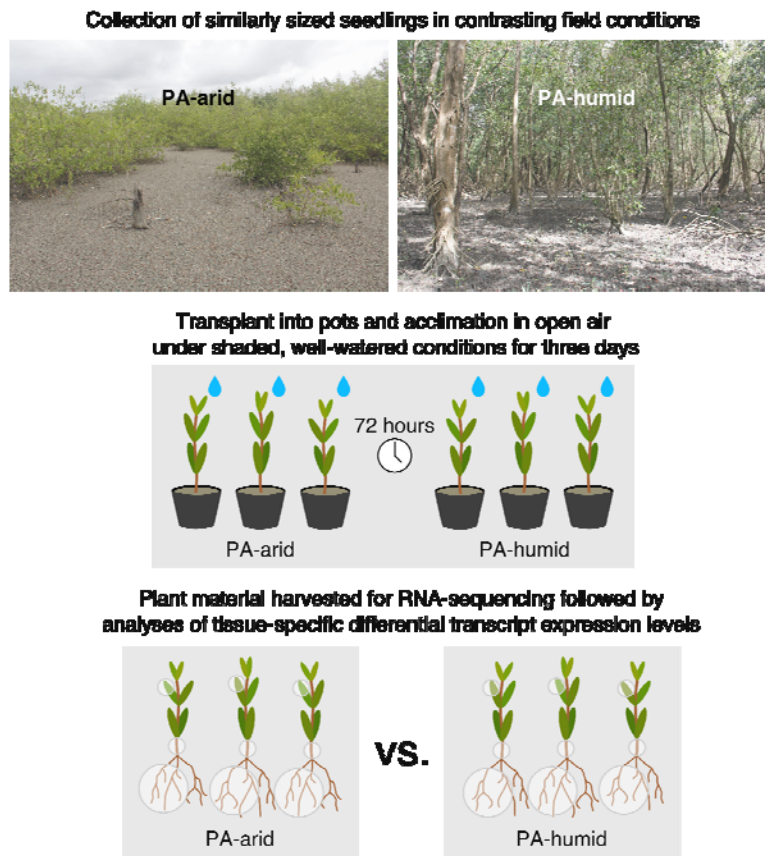
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**Figure 1. *Avicennia germinans* sampling sites along a low-latitude salinity and precipitation gradient.** Black points represent sampling sites, green area represents the occurrence of the species, and blue areas represent ponds and rivers. *Top*: Geographical location of the study area and sampling sites. *Bottom*: Environmental variation across the sampling sites (source: WorldClim). *AMMC*: Amazon Macrotidal Mangrove Coast. *SEC*: South Equatorial Current.



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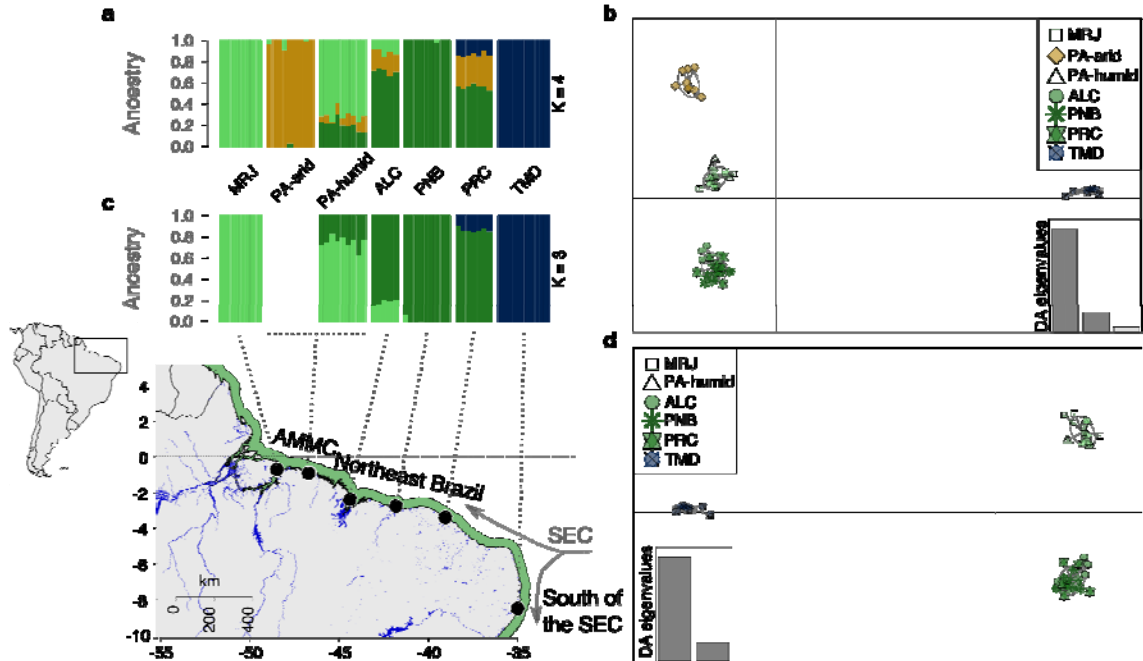
**Figure 2. PA-arid and PA-humid sampling sites.** (a) Geographical location of sites, highlighted by a square (red colored area: PA-arid); (b) photograph of a section of the Bragança-Ajuruteua road (Pará, Brazil) along which severe changes in hydrology altered the mangrove community and tree morphology; (c) detailed photographs of the PA-arid and PA-humid sites. *Photographs authors: G.M. Mori and M.V. Cruz.*



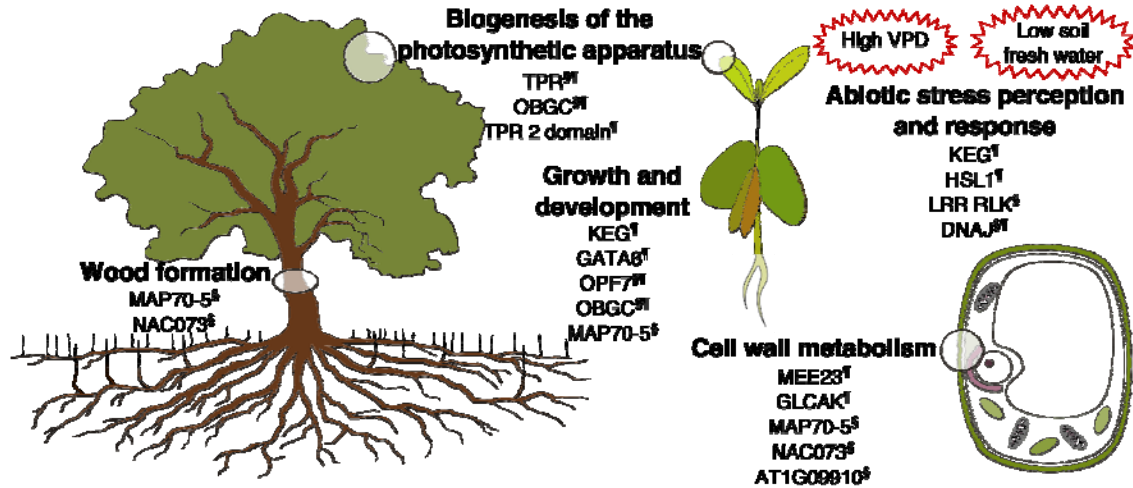
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**Figure 3. RNA sequencing experimental design.** Similarly sized *Avicennia germinans* seedlings naturally grown under adjacent, but contrasting field conditions were collected and transplanted into pots, where they acclimated for 72 hours under homogenous treatment. RNA was extracted from leaves, stems and roots for transcriptome sequencing. The

1382 transcriptome was *de novo* assembled and used as a reference for differential transcript  
1383 expression analyses.  
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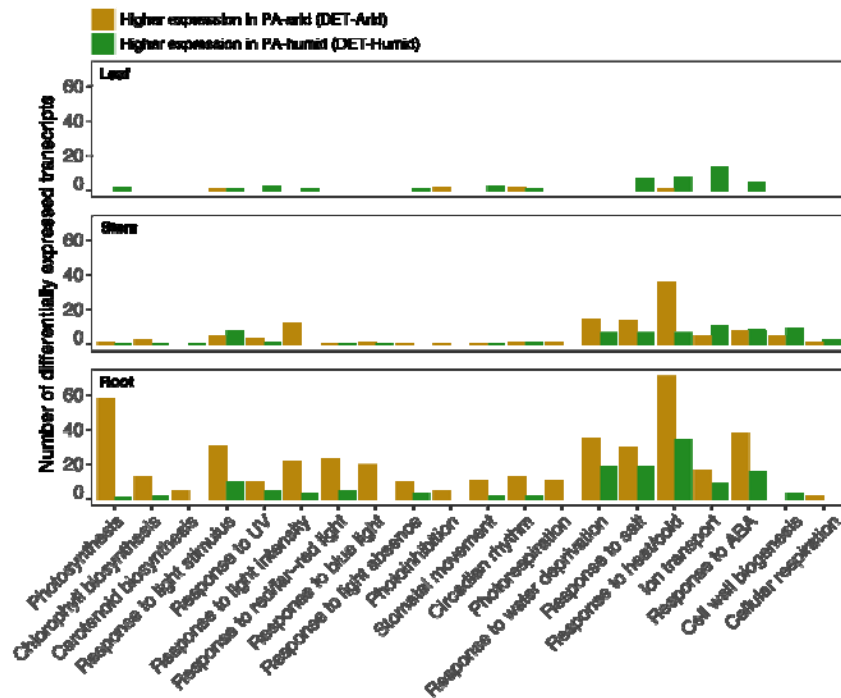


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1386 **Figure 4. Genetic structure inferred from genome-wide single nucleotide polymorphism**  
1387 **(SNP) detected in *Avicennia germinans*.** (a) Attribution of ancestry implemented in the  
1388 program Admixture 1.3.0 for all sampled individuals; stacked bars represent individuals, and  
1389 each color represents one ancestral cluster (K=4). (b) Scatterplot of the first two principal  
1390 components of the multivariate discriminant analysis of principal components (DAPC) of  
1391 total genetic variance; all sampled individuals are represented as points; distinct symbols  
1392 indicate sampling sites. (c) Attribution of ancestry (using Admixture 1.3.0) for all sampled  
1393 individuals, excluding PA-arid samples; stacked bars represent individuals, and each color  
1394 represents one ancestral cluster (K=3). (d) Scatterplot of the first two principal components  
1395 of the DAPC of total genetic variance; sampled individuals, except for individuals sampled in  
1396 the PA-arid site, are represented as points; distinct symbols indicate sampling sites.



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**Figure 5. Schematic representation of key biological processes related to physiological drought-tolerance or response associated with candidate loci for selection in *Avicennia germinans* samples along tropical mangrove forests of the north-northeastern Brazilian coast.** Candidate loci were detected from two datasets: (1) all sampled individuals, using  $F_{ST}$  outlier tests (marked with §) and (2) a subset of individuals, without samples from the PA-arid site, combining genetic-environmental association tests and  $F_{ST}$  outlier approaches (marked with ¶).



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**Figure 6. Functional categories of differentially expressed transcripts (DETs) identified between samples grown in the PA-arid and PA-humid sampling sites.** Categories were selected based on biological processes previously identified to be involved in the response, acclimation or resistance to water stress in model plants.



1411 **Table 1.** Characterization and geographic location of *Avicennia germinans* sampling sites.

Sampling site ID	Climate classification†	Latitude; Longitude	Number of samples	
			nextRAD	RNA-Seq
MRJ	Am	00.72 S; 48.49 W	8	-
PA-arid‡	Am	00.90 S; 46.69 W	9	3
PA-humid	Am	00.94 S; 46.72 W	9	3
ALC	Aw	02.41 S; 44.41 W	5	-
PNB	Aw	02.78 S; 41.82 W	9	-
PRC	Aw	03.41 S; 39.06 W	7	-
TMD	As	08.53 S; 35.01 W	10	-

1412 †Köppen-Geiger climate classification system (Alvares, Stape, Sentelhas, Gonçalves, &  
 1413 Sparovek, 2013). *Am*: Tropical monsoon climate; *Aw*: Tropical wet savanna climate; *As*:  
 1414 Tropical dry savanna climate.

1415 ‡Samples from a forest flooded exclusively during spring tides (Lara & Cohen, 2006).

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1417 **Table 2.** Genetic diversity statistics, based on analyses of 2,297 genome-wide polymorphic  
 1418 loci detected in 57 individuals sampled in seven sampling sites along the equatorial Atlantic  
 1419 coastline of South America.  $\pi$ : Nucleotide diversity;  $H_E$ : expected heterozygosity;  $H_O$ :  
 1420 observed heterozygosity; pA: private alleles; %Poly: percentage of polymorphic loci;  $F_{IS}$ :  
 1421 inbreeding coefficient.

Sampling site	$\pi$ (mean)	$\pi$ (s.e.)	$H_E$	$H_O$	pA	%Poly	$F_{IS}$	Low Level (95% c.i.)	High Level (95% c.i.)
MRJ	0.264	0.206	0.244	0.292	1	72.70	-0.136	-0.154	-0.102
PA-arid	0.317	0.189	0.295	0.326	1	83.76	-0.050	-0.067	-0.022
PA-humid	0.301	0.186	0.280	0.328	0	84.46	-0.113	-0.132	-0.084
ALC	0.282	0.214	0.249	0.269	0	69.83	0.030	0.009	0.070
PNB	0.208	0.210	0.195	0.234	0	58.21	-0.154	-0.180	-0.111
PRC	0.323	0.193	0.296	0.327	1	82.15	-0.035	-0.053	-0.002
TMD	0.123	0.192	0.130	0.168	46	34.52	-0.426	-0.452	-0.376

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1424 **Table 3. Annotation of candidate SNP loci putatively under selection, associated with**  
 1425 **tolerance of or response to physiological drought.** Candidate loci were detected from two  
 1426 datasets: (1) all sampled individuals, including PA-arid samples, using  $F_{ST}$  outlier approaches  
 1427 and (2) a subset of samples, excluding individuals from the PA-arid site, combining genetic-  
 1428 environmental association tests and  $F_{ST}$  outlier tests.

Dataset	Transcript ID	Similar to†	Environmental association (FDR)‡	Putative function
1	Ag_23357	AT4G28500, NAC073, NAC domain containing protein 73	NA	Regulation of the biosynthesis of cellulose and hemicellulose in wood fibers and the expression of lignin-polymerizing and signaling genes (Hussey et al., 2011; Zhong, Lee, Zhou, McCarthy, & Ye, 2008)

1	Ag_47094	AT4G17220, MAP70-5, microtubule-associated proteins 70-5	NA	Fundamental for the development of secondary cell wall band patterning in xylem tracheids and for wood formation, playing a role in the anisotropic expansion of cells (Pesquet, Korolev, Calder, & Lloyd, 2010)
1	Ag_26495	AT5G67200, Leucine-rich repeat protein kinase (LRR-RLK) family protein	NA	May play a role in hormones and abiotic stress sensing and signaling (ten Hove et al., 2011; Van der Does et al., 2017) and in regulating adaptation to salt stress (Lorenzo et al., 2009)
1	Ag_4919	AT1G09910, Rhamnogalacturonate lyase family protein	NA	Associated with the degradation of the cell wall polysaccharides, and pectin (McDonough, Kadirvelraj, Harris, Poulsen, & Larsen, 2004)
1 and 2	Ag_20543	AT5G18950, Tetratricopeptide repeat (TPR)-like superfamily protein	PWQ (0.00018)	Associated with photosynthetic machinery biogenesis, stabilization and repair (Bohne, Schwenkert, Grimm, & Nickelsen, 2016)
1 and 2	Ag_5627	PREDICTED: GTP-binding protein OBGC, chloroplastic [Sesamum indicum]	PWQ (8.31e-05)	Associated with the thylakoid membrane biogenesis and chloroplast protein synthesis and essential for early embryogenesis in response to light stimulus (Bang et al., 2009)
1 and 2	Ag_29619	AT2G18500, OFP7, ovate family protein 7	PWQ (0.00019)	May be involved in various aspects of plant growth and participate in suppressing cell elongation (S. Wang, Chang, & Ellis, 2016; S. Wang, Chang, Guo, & Chen, 2007)
1 and 2	Ag_38780	AT2G24395, chaperone protein DNAJ-related	PWQ (8.31e-05)	Regulation of the activity of Hsp70 chaperones (P. Walsh, Bursac, Law, Cyr, & Lithgow, 2004) and protein protection from stress-induced denaturation (Frydman, 2001)
2	Ag_12760	AT3G54810, GATA8, Plant-specific GATA-type zinc finger transcription factor family protein	PWQ (0.00363); PSEASON (0.00529); PDM (0.01916)	Associated with the control of plant growth and development (Manfield, Devlin, Jen, Westhead, & Gilmartin, 2006)
2	Ag_135	AT1G28440, HSL1, HAESA-like 1	PWQ (9.09e-05)	The Ag_135 transcript has a kinase domain and is associated with growth and abiotic stress signaling (ten Hove et al., 2011)
2	Ag_15249	AT2G34790, MEE23, FAD-binding Berberine family protein	PWQ (8.31e-05)	Involved in the lignification of the cell wall; mediates the oxidation of monolignols (Daniel et al., 2015) and is required for endosperm development (Pagnussat et al., 2005)
2	Ag_10980	AT5G13530, KEG, keep on going	PWQ (0.00041); PDM (0.02034)	Associated with the control of plant growth and development and critical for seedling regulation of abscisic acid perception and signaling (Stone, Williams, Farmer, Vierstra, & Callis, 2006)

2	Ag_26982	AT3G01640, GLCAK, glucuronokinase G	PWQ (0.00011)	Involved in the biosynthesis of UDP-glucuronic acid, providing nucleotide sugars for the polymerization of cell wall compounds (Pieslinger, Hoepflinger, & Tenhaken, 2010)
2	Ag_11269	AT2G25730, unknown protein	PWQ (0,00016)	The Ag_11269 transcript has a TPR2 domain and, thus, may be involved in photosynthetic machinery biogenesis, stabilization and repair (Bohne et al., 2016)

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1429 †Blastx hit to *Arabidopsis thaliana* or *Sesamum indicum* [when indicated] gene models.  
1430 ‡(*FDR*): False discovery rate values (Benjamini-Hochberg procedure) for genetic-  
1431 environment association tests; *NA*: genetic-environment association tests were not available  
1432 for dataset (1) due to unavailability of environmental data for the PA-arid sites in public  
1433 databases; *PWQ*: Precipitation of the warmest quarter; *PSEASON*: Precipitation seasonality;  
1434 *PDM*: Precipitation of the driest month.  
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