1 Non-Coding and Loss-of-Function Coding Variants in *TET2* are Associated with Multiple

2 Neurodegenerative Diseases

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20 ABSTRACT

21	We conducted genome sequencing to search for rare variation contributing to early onset Alzheimer's
22	disease (EOAD) and frontotemporal dementia (FTD). Discovery analysis was conducted on 493 cases and
23	671 controls of European ancestry. Burden testing for rare variation associated with disease was
24	conducted using filters based on variant rarity (less than 1 in 10,000 or private), computational prediction of
25	deleteriousness (CADD 10 or 15 thresholds), and molecular function (protein loss-of-function only, coding
26	alteration only, or coding plus non-coding variants in experimentally predicted regulatory regions).
27	Replication analysis was conducted on 16,871 independent cases and 15,941 independent controls. Rare
28	variants in TET2 were enriched in the discovery combined EOAD and FTD cohort ($p=6.5 \times 10^{-8}$, genome-
29	wide corrected $p=0.0037$). Most of these variants were canonical loss-of-function or non-coding in
30	predicted regulatory regions. This enrichment replicated across several cohorts of AD and FTD (replication
31	only <i>p</i> =0.0071). The combined analysis odds ratio was 2.2 (95% CI 1.5–3.2) for AD and FTD. The odds
32	ratio for qualifying non-coding variants considered independently from coding variants was 2.1 (95% CI
33	1.2–3.9). For loss-of-function variants, the combined odds ratio (for AD, FTD, and amyotrophic lateral
34	sclerosis, which shares clinicopathological overlap with FTD) was 3.2 (95% CI 2.0–5.3). TET2 catalyzes
35	DNA demethylation. Given well-defined changes in DNA methylation that occur during aging, rare variation
36	in TET2 may confer risk for neurodegeneration by altering the homeostasis of key aging-related processes.
37	Additionally, our study emphasizes the relevance of non-coding variation in genetic studies of complex
38	disease.

40 INTRODUCTION

41 Neurodegeneration with a clinical onset prior to the age of 65 can be devastating for patients, their 42 families, and caregivers, imposing financial burden and hardship during a period of life when individuals are 43 often most productive¹. Early-onset neurodegenerative diseases such as early-onset Alzheimer's disease 44 (EOAD) and frontotemporal dementia (FTD) are typically thought of as disease forms with highly penetrant 45 genetic contributions, and indeed both can result from Mendelian pathogenic mutations (with Mendelian causes more common in FTD)². However, these diseases exhibit a high degree of heritability that remains 46 unexplained by currently known genetic contributors^{3; 4}. This suggests that additional genetic factors likely 47 contribute to disease but have not yet been identified. Despite attempts at genome-wide association study 48 (GWAS) of relatively sizeable cohorts, only modest association signals have been identified for FTD⁵ and 49 one form of EOAD, posterior cortical atrophy⁶. In contrast, sequencing studies have been successful at 50 51 identifying more moderately to highly penetrant contributions to disease by examining rare variation. 52 Successes in Alzheimer's disease (AD) include ABCA7, SORL1, and TREM2 (reviewed in ⁷). Similar successes for the amyotrophic lateral sclerosis (ALS)-FTD spectrum include TBK1⁸, MFSD8⁹, DPP6, 53 UNC13A, and HLA-DQA2¹⁰. Despite these successes, the rarity of these diseases along with the high cost 54 55 of sequencing studies has resulted in limited sample sizes of patient cohorts. Furthermore, prior studies 56 have focused on coding regions of the genome, leaving non-coding regions largely unexplored for their 57 contribution to disease risk.

Here we leveraged a large cohort of 683 patients, many of which have an early age of disease onset (<65), and 856 healthy adult controls (with no known neurological abnormalities) that have undergone genome sequencing to probe both coding and non-coding rare and predicted deleterious variants across the genome for association with disease risk. We assessed variant associations between EOAD and FTD vs. controls both separately and together (all cases versus controls), with the hypothesis that genetic pleiotropy—where variation in a single gene associates with multiple, different phenotypes may play a role, as previously described for neurodegenerative diseases¹¹⁻¹⁵.

66 METHODS

67 Sample selection

The majority of cases were selected from the University of California, San Francisco (UCSF) 68 69 Memory and Aging Center with an intentional selection of early-onset cases when possible to maximize the 70 likelihood of identifying genetic contributors, along with healthy older adult controls (a total of 664 cases 71 and 102 controls, with 71 of these cases previously described in ⁹). All UCSF cases and controls were clinically assessed using methods described in ⁹. This cohort was intentionally depleted of cases with 72 73 known Mendelian variants associated with neurodegenerative diseases, and any cases with known 74 Mendelian variants identified after genome sequencing were excluded (see **Results**). A small number of 75 samples (19 cases and 21 controls) were obtained from the University of Alabama at Birmingham (UAB) from an expert clinician who employed the same diagnostic procedures (case studies described in ¹⁶). The 76 77 resulting cohort was enriched for early-onset cases with a median age of presentation of 60 (range 45-84) 78 for AD and 66 (range 29–89) for FTD. Additional neurologically healthy controls sequenced at 79 HudsonAlpha were also included from two cohorts: a healthy aging control set from the National Institute of 80 Mental Health (NIMH) (132 controls) and healthy unaffected parents from a childhood disease study where de novo mutations are the most common cause of disease¹⁷, making these parents reasonably 81 82 representative population controls (601 controls). All participants or their surrogates provided written 83 informed consent to participate in this study and the institutional review boards at each site approved all 84 aspects of the study.

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86 Genome sequencing

The majority of genome sequencing was performed at the HudsonAlpha Institute for Biotechnology on the Illumina HiSeq X platform (1,468 samples from UCSF, UAB, NIMH, and HudsonAlpha), while a small subset was sequenced at the New York Genome Center, also on the HiSeq X platform (71 samples from UCSF, described previously in ⁹). Mean depth was 34X with an average of 92% of bases covered at 20X. Sequencing libraries at HudsonAlpha were prepared by Covaris shearing, end repair, adapter ligation, and PCR using standard protocols. Library concentrations were normalized using KAPA qPCR prior to

93 sequencing. All variants meeting either Mendelian diagnostic criteria or variants in top hits from the
 94 discovery cohort were validated by Sanger sequencing.

95

96 Data quality control

All sequencing reads from both sequencing centers were aligned to the hg19 reference genome 97 98 with bwa-0.7.12¹⁸. BAMs were sorted and duplicates were marked with Sambamba 0.5.4¹⁹. Indels were realigned, bases were recalibrated, and gVCFs were generated with GATK 3.3²⁰. Variants were called 99 100 across all samples in a single batch with GATK 3.8 using the -newQual flag to minimize false negative singleton calls. The VCF was quality filtered with a genotype level requirement for 95% of sites to have a 101 minimum GQ of 20 and DP of 10 (applied using VCFtools 0.1.15²¹), and a variant level filter of VQSLOD > -102 103 3. The small number of missing genotypes remaining after that guality filtering step were assumed to be 104 reference (filled with bcftools 1.6-19²²) in order to avoid errors in downstream processing using the package 105 GEMINI 0.20.2²³ which adds missing genotypes to non-reference counts with its burden function. Goleft indexcov 0.1.17²⁴ was used for sex checks and samples failing sex checks were excluded. KING 2.1.2²⁵ 106 was used to check for familial relationships and related individuals (up to 4th degree relatives using IBD 107 108 segment analysis) were excluded. Ancestry was elucidated by both principal component analysis using 109 plink 1.9²⁶ compared to 1000 genomes data²⁷ (using common variation overlapping with 1000 genomes calls) and analysis using ADMIXTURE 1.3.0²⁸ (**Supplemental Figure 1**), and only samples from the largest 110 111 cluster (European ancestry) were retained for discovery analysis to minimize potential confounding 112 population effects.

113

114 Annotation, filtering, and burden analysis

In order to facilitate annotation and burden analysis, multi-allelic sites were split using Vt²⁹. All
 variants were annotated with CADD v1.3³⁰, including all indels. SnpEff 4.3s³¹ was used to annotate with the
 GRCh37.75 gene model. Population database frequency annotations included 1000 genomes phase 3,
 TOPMed Bravo³² (lifted over from hg38 to hg19 using CrossMap 0.2.7³³), and several population database
 sets annotated using WGSA 0.7³⁴ including ExAC³⁵, gnomAD³⁶, ESP, and UK10K. Variants were also
 annotated with dbSNP release 151³⁷. A final important annotation set was the union of regions called by

GenoSkyline-Plus³⁸ as potential regulatory regions. GenoSkyline-Plus incorporates chromatin marks, DNA accessibility, RNA-seq, and DNA methylation to predict function. All tracks derived from direct human tissue sources were included (sources propagated in culture were excluded), with a total of 50 of 66 tissue and cell types described in Table S2 of³⁸ used for annotation (see **Supplemental Table 1** for included epigenome tracks in the union).

Variants were filtered using SnpSift 4.3s. In addition to the quality filters described, variants were further filtered by local and population frequency, predicted deleteriousness (CADD v1.3), and segmentation for function. To enrich for rare variation, variants were pre-filtered for a maximum minor allele count of 3 (approximately 0.1% local allele frequency), and a maximum allele frequency of 1 in 10,000 in any population included in the aforementioned population databases. In addition, non-coding variants were more strictly filtered to only variants present in a GenoSkyline-Plus qualifying region as described above and required to be absent from dbSNP 151.

133 From the initial pre-filtered file, we conducted further filtering to arrive at nine total filter conditions. 134 First, we evaluated variants meeting either: 1 in 10,000 population cutoffs and CADD score greater than 10 135 or 15, or: private variation and CADD score greater than 10 or 15; for a total of four conditions that include 136 non-coding variants. We also confined to coding variants with the same allele frequency combinations and 137 CADD cutoffs listed for four total coding-only conditions. For canonical loss-of-function, we only considered 138 the base 1 in 10,000 allele frequency requirement and CADD 10 cutoff for a total of one canonical loss-of-139 function condition (also note that all canonical loss-of-function variants meeting these criteria were included 140 in the other eight filter conditions regardless of allele frequency or CADD cutoff given the known 141 deleteriousness of canonical loss-of-function variants). We note that these are extensively overlapping test 142 sets (See Supplemental Figure 2 for correlations), and thus often yield similar results. For example, all 143 conditions constrained to private variation will be a subset of matched conditions with 1 in 10,000 144 population frequency cutoffs, and all coding-only conditions are a subset of the conditions that allow rare 145 non-coding variation.

A VCF for each of the nine filtering conditions was loaded into a GEMINI 0.20.2 database²³, which was used to aggregate counts of variants for each individual by gene. By default, GEMINI is constrained to coding variation, so GEMINI python scripts were edited to allow for counting of variants in non-coding

149 regions as well. Variants upstream or downstream (within 5kb, the SnpEff default) were also assigned to 150 their adjacent genes. The number of gualifying individuals was the final count unit, where one or more 151 gualifying variants in a gene for a given individual resulted in that individual having a gualifying count for 152 that gene (i.e., if an individual had two gualifying variants, they would still only be counted once to account for the possibility of a recessive model of inheritance or negligibility of the 2nd variant if on the same allele). 153 154 Individuals with more than three gualifying variants in a gene were not counted as gualifying because an 155 excess of rare and predicted damaging variants in a single gene may be indicative of a sequencing or 156 variant-calling error.

157

158 Burden analysis statistics

159 In order to assess the effect of covariates for the discovery set as well as any replication sets where the necessary covariate data were available, we tested using SKAT 1.3.2.1³⁹ using the adaptive efficient 160 161 re-sampling method corrected for sex, number of APOE £4 alleles, the first four principal components from 162 common variant PCA, and ancestral proportions from ADMIXTURE with k=5. Statistical significance was 163 set at a corrected p-value < 0.05. Because of the three main clusters of filter conditions corresponding to 164 case-control test sets (EOAD vs. control, FTD vs. control, or all cases vs. control) (Supplemental Figure 2), we applied a correction factor of three to all protein coding genes in hg19 put forth by the HUGO Gene 165 166 Nomenclature Committee (19,118 genes, **Supplemental Table 2**) for a correction factor for p values in the 167 discovery analysis of 57,354. In order to allow for use of replication cohorts where covariate data was not 168 available, we also utilized a two-sided Fisher's exact test. SKAT and Fisher's tests were highly correlated 169 (Pearson's r=0.76 of log transformed p values).

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171 Clinical rate of progression analysis

Our study utilized genetic and longitudinal clinical data from the Alzheimer's Disease Neuroimaging Initiative (ADNI) to study the clinical profiles and progression of *TET2* rare variant carriers. ADNI is a multicenter prospective longitudinal cohort study created to study the genetic, clinical, and imaging correlates of Alzheimer's disease⁴⁰⁻⁴², and ADNI cases are present in the Alzheimer's Disease Sequencing Project (ADSP) replication cohort. Every study participant undergoes a thorough assessment that includes clinical

177	characteristics, cognitive testing, and genetic sequencing. Participants were diagnosed as either normal
178	controls (CN), mild cognitive impairment (MCI), or Alzheimer's disease (AD) (note that some participants
179	progressed from MCI to AD while being followed, with the last assessment used for case designation in the
180	replication analysis, while they may be designated as beginning at the MCI stage in the following analysis).
181	For clinical rate of progression analysis we used the Clinical Dementia Scale Sum of Boxes Score
182	(CDRSB) ⁴³ , a broad measure of clinical progression and impairment well-validated in multiple studies ^{44; 45} .
183	We used linear mixed-effects modeling to test whether variation in TET2 predicts longitudinal
184	clinical progression using R version 3.5.2. We covaried for baseline age, sex, education, and CDRSB score
185	as well as APOE ϵ 4 dose. The model was implemented as follows: Δ CDRSB = β_0 + β_1 Age _{baseline} × Δ t +
186	$\beta_2 Sex_{female} \times \Delta t + \beta_3 Education_{baseline} \times \Delta t + \beta_4 CDRSB_{baseline} \times \Delta t + \beta_5 APOE 4_{dose} \times \Delta t + \beta_6 TET2_{carrier status} \times$
187	(1 subject) + ε

188

189 **RESULTS**

190 Of the 1,539 samples in the original set, a total of 73 samples were removed from analysis after 191 guality control. Two failed sex checks; 27 were pruned for relatedness; 12 were pruned due to an 192 identifiable Mendelian variant (all of which were Sanger validated) meeting American College of Medical 193 Genetics pathogenic or likely pathogenic criteria, including one C9orf72 expansion carrier from the UAB 194 set; one control was pruned for conversion to Mild Cognitive Impairment (MCI) after enrollment; and 31 195 cases were pruned because of phenotypic uncertainty or diagnosis of MCI or Parkinson's disease (PD) 196 rather than EOAD or FTD on re-evaluation after enrollment. The remaining dataset consisted of 1,466 197 individuals: 638 cases (294 EOAD and 344 FTD) and 828 controls. Of these cases and controls, 302 were 198 of non-European ancestry (determined by principal component and admixture analysis, **Supplemental** 199 Figure 1). Non-European ancestry individuals were excluded from the discovery set to reduce 200 heterogeneity but were retained for replication. The resultant discovery set consisted of 1,164 individuals of 201 European ancestry: 493 cases (228 EOAD and 265 FTD) and 671 controls. All demographic available 202 information for each sample (case category, primary clinical diagnosis, sex, age at enrollment, APOE E4 203 status, self-reported race/ethnicity, and principal components 1-4 and 5 ADMIXTURE coefficients) is 204 available in **Supplemental Table 3**. The majority of cases were clinically diagnosed and did not have

205 autopsy material available for neuropathological sub-grouping at the time of analysis. Primary clinical 206 diagnoses included as AD were logopenic variant primary progressive aphasia (29), posterior cortical 207 atrophy (26), frontal AD (17), language AD (17), vascular AD (8), AD + dementia with Lewy bodies (DLB) (5), and AD not otherwise specified (126). Primary clinical diagnoses included as FTD were behavioral 208 209 variant FTD (83), corticobasal syndrome (65), nonfluent variant primary progressive aphasia (43), FTD + 210 ALS (20), primary supranuclear palsy (17), semantic variant primary progressive aphasia (17), argyrophilic 211 grain disease (5), and FTD not otherwise specified (15). The expected enrichment in APOE ε 4 was observed in AD cases (58% with at least one APOE ε 4 allele versus 28% in controls, *p=1.8x10⁻¹⁶ by 212

Fisher's exact test), but not in FTD (28% in FTD and controls, *p*=0.87).

214 We compared EOAD vs. control, FTD vs. control, or a combined analysis of EOAD and FTD vs. 215 control across all variant filtering conditions (see Methods). In the discovery analysis of combined burden 216 across EOAD and FTD vs. control, with variants absent from population databases and with a CADD score 217 > 10 (including non-coding variants in GenoSkyline-Plus regions), one gene-disease association passed the multiple comparison significance threshold: TET2 (SKAT uncorrected $p=6.5 \times 10^{-8}$, corrected p=0.0037; 218 219 **Table 1**, model corrects for number of APOE ε 4 alleles, sex, principal components 1–4, and 5 220 ADMIXTURE ancestral proportions). Note that, while we applied a multiple correction cutoff of 57,354 221 based on three main clusters of correlated filter conditions (Supplemental Figure 2), the p value for TET2 222 would also pass a strict Bonferroni correction for 516,186 implicit tests (19,118 genes, 27 filter conditions) if 223 we conservatively did not consider the correlated nature of the different filter sets (Bonferroni p=0.033). 224 Statistical tests separately comparing EOAD vs. control and FTD vs. control did not pass the same degree 225 of multiple testing correction but results for those comparisons are provided in Supplemental Tables 4 226 (EOAD) and 5 (FTD) and demonstrate that the nominal enrichment level in TET2 is similar in both EOAD and FTD. No other gene reached even nominal significance ($p < 1 \times 10^{-5}$) in any filter condition, so *TET2* 227 228 was the only gene considered for replication analysis. However, in the interest of making data from this 229 study highly available, counts and p values for all genes assessed are provided in **Supplemental Table 6.** 230 All qualifying variants in cases for TET2 were both Sanger validated and visually evaluated in the 231 Integrative Genomics Viewer (IGV). Two variants failed Sanger validation (adjacent erroneous indel calls in 232 a single sample) and were excluded from the variant counts in **Table 1**, all statistics, and in **Supplemental**

Table 7 where all qualifying variants in *TET2* are listed. In addition, two cases had adjacent variant calls that were found to make up one variant. These were also corrected in all statistical analyses and tables. The single control with a qualifying *TET2* variant did not have material available for Sanger sequencing but appeared valid in IGV (a single nucleotide variant with 8 alternate allele reads among 18 total reads). Next, we assessed potential confounding due to stratification by a QQ plot of the *p*-value distribution for the filter set that produced the top result and observed no genomic inflation consistent with a well-matched case-control dataset ($\lambda = 0.95$, **Figure 1**).

240 To help inform the types of sequencing datasets to target for replication, we assessed the variant 241 type (coding or non-coding) and associated disease for all qualifying TET2 variants in the discovery set. 242 Qualifying variants were observed in 11 EOAD cases, eight FTD cases, and one control. Of the 11 EOAD 243 cases, one had depressive symptoms, one had language symptoms and possible corticobasal syndrome, 244 one had logopenic variant primary progressive aphasia, and one had a previous diagnosis of behavioral 245 variant FTD revised to frontal AD (seven had no additional noted phenotypes). Of the eight FTD cases. 246 three had corticobasal syndrome (one of whom had AD symptoms and possible posterior cortical atrophy), 247 one had FTD + ALS, and four had behavioral variant FTD (one with AD symptoms and one with seizures). 248 Nine cases in total harbored coding variants, seven of which were canonical loss-of-function variants (four 249 EOAD and three FTD). Because non-coding variants make up a large portion of the signal, we assessed 250 coding and non-coding variants separately. We observed a similar level of enrichment for both coding and 251 non-coding variants in EOAD and FTD cases when these types of variants were considered independently 252 of one another (Figure 2A). Furthermore, the non-coding variants were prevalent in regions predicted to 253 have regulatory function (Figure 2B). Combined with the high number of canonical loss-of-function 254 variants, these data support a model whereby TET2 haploinsufficiency, resulting from either canonical loss-255 of-function variation or expression-altering non-coding variation, may contribute risk to neurodegenerative 256 disease.

To replicate this finding, we used six additional cohorts (five independent, one internal) with available sequencing data from patients diagnosed with a neurodegenerative disorder and healthy controls. Based on the variants discovered in *TET2*, we attempted to replicate the association between aggregate rare variant burden in *TET2* and disease risk using two arms: the same conditions used in discovery

261 applied to other genome sequencing datasets as a primary measure, and canonical loss-of-function only 262 analysis as a secondary measure to allow for incorporation of exome sequencing datasets. We assessed 263 three cohorts with genome sequencing data for replication using the same conditions applied in the discovery set: ADSP (the Alzheimer's Disease Sequencing Project) (2,208 late-onset AD (LOAD) cases 264 and 2,208 controls), the Accelerating Medicines Partnership - Alzheimer's Disease (AMP-AD) cohort (749 265 266 LOAD, 184 FTD, and 446 controls), and the non-European ancestry individuals from our cohort not 267 assessed in the discovery set (66 EOAD, 79 FTD, and 157 controls). Assessment of these three cohorts 268 revealed replication of the signal for TET2 overall for early- and late-onset AD and FTD combined vs. 269 control (p=0.0071; **Table 1**). Although the statistics for separate analyses of EOAD vs. control and FTD vs. 270 control did not meet significance criteria, secondary analysis of those subgroups revealed similar levels of 271 enrichment within each distinct condition (Supplemental Table 4 (EOAD) and Supplemental Table 5 (FTD)). Because of the established genetic overlap between FTD and ALS⁴⁶, we also assessed variants in 272 273 Project MinE⁴⁷ (4,366 ALS cases and 1,832 controls) and observed a non-significant trend towards a slight 274 enrichment in ALS cases (OR 1.3, 95% CI 1.1-2.7; Supplemental Table 5). While not a formal replication 275 because no ALS cases were included in the discovery set, we present these findings in **Supplemental** 276
 Table 5 along with FTD statistics.

277 Finally, we assessed predicted loss-of-function variants alone in all aforementioned cohorts (UCSF 278 European discovery set, UCSF non-European replication set, ADSP, AMP-AD, Project MinE) along with exome sequencing data from a second ALS dataset⁸ and additional exome samples from ADSP⁴⁸ for a 279 total of seven sample sets. We observed a robust signal for association between predicted canonical loss-280 281 of-function variants and disease across multiple disease cohorts (**Table 2**). Specifically, three of the four 282 largest independent replication cohorts (ADSP genomes (LOAD), ADSP exomes (LOAD), and HA-Duke-283 Stanford ALS exomes) all exhibit independent nominal replication (p < 0.05). Meta-analysis of all canonical 284 loss-of-function variants from all available cohorts (across EOAD, LOAD, FTD, and ALS) yields a p-value below commonly used exome-wide significance cutoffs ($p=2.5 \times 10^{-7}$; **Table 2**), and subgroup analyses of 285 286 both AD and FTD–ALS vs. controls were each nominally significant (p<0.05) and suggest similar degrees 287 of enrichment.

288 To assess potential clinical implications of rare variation in TET2, we gueried the Alzheimer's Disease Neuroimaging Initiative (ADNI) dataset⁴⁰⁻⁴², which includes clinical rate of progression data. We 289 290 used linear mixed-effects modeling to test whether qualifying rare variation (based on the discovery 291 condition that passed multiple corrections testing) in TET2 predicts longitudinal clinical progression. We 292 covaried for baseline age, sex, education, and CDRSB score as well as APOE ε 4 dose. A total of 786 293 ADNI participants had TET2 genotypes available for analysis. There was no significant difference in the 294 distribution of TET2 rare variant carriers by sex, APOE ε 4 dose, education, or baseline CDRSB score 295 (Supplemental Table 8). There was a significant difference between TET2 rare variant carriers and non-296 carriers by baseline age (Supplemental Table 8) but recall that baseline age is corrected for as a 297 covariate along with sex. education, APOE ε 4 dose, and baseline CDRSB score. Using linear mixed effects 298 regression, we found a significant relationship between carrying any TET2 rare variant and clinical 299 progression as measured by change in CDRSB score ($\beta \pm SE = 0.14 \pm 0.06$; *p=0.03) (Figure 3). A similar 300 finding was observed when our analyses were limited to TET2 loss-of-function variant carriers ($\beta \pm SE =$ 301 0.17 ± 0.09 ; *p=0.04) (Supplemental Figure 3) (although we corrected for covariates for rigor, no 302 covariates were significantly associated with TET2 loss-of-function carrier status (Supplemental Table 9)). 303 We also explored whether rare variation in TET2 predicted changes in CDRSB and cognition (measured by Mini Mental State Exam (MMSE) score changes⁴⁹) in MCI and control when analyzed separately. To 304 305 maximize sample size, we limited this analysis to all TET2 variant carriers without further subdivision to loss-of-function variant carriers. When constraining the analysis to MCI, TET2 rare variant carriers (n=8) 306 307 demonstrated a greater CDRSB change over time compared to noncarriers of a higher magnitude and 308 significance compared to the pooled analysis of control, MCI and AD ($\beta \pm SE = 0.64 \pm 0.12$; * $p=6.17 \times 10^{-8}$) 309 when correcting for the covariates outlined above. Of note, TET2 rare variant carriers diagnosed with MCI 310 also demonstrated greater decreases in MMSE changes when compared to non-carriers ($\beta \pm SE = -0.47 \pm$ 311 0.17; *p=0.01). Within controls (n=6), there were no significant associations between TET2 variant carrier 312 status and either CDRSB or MMSE.

313

314 **DISCUSSION**

315 In this study, we identified a significant excess of rare, likely deleterious variation in TET2 as a risk 316 factor for multiple neurodegenerative disorders, including EOAD, LOAD, FTD, and ALS. This finding is 317 important for two main reasons. First, TET2 plays an important role in the conversion of methylation to 5hydroxymethylation, implicating dysfunction in a pathway known to be critical during aging⁵⁰ and learning 318 and memory⁵¹ in age-associated diseases like LOAD and FTD. Second, it is striking that the effect sizes in 319 320 both coding and non-coding variant enrichments were comparable. This point suggests that further 321 investigation of non-coding variation in complex disease genome sequencing studies holds potential for the 322 identification of new contributors to disease.

323 TET2 promotes de-methylation of DNA by catalyzing conversion of methylation to 5-324 hydroxymethylation, and is highly expressed in brain (reviewed in⁵²). Defined methylation changes occur with age in humans ("Horvath's clock", reviewed in⁵⁰) and there is some evidence for an association 325 between increased "methylation age" and disease (systematically reviewed in ⁵³). Taken together, this 326 327 raises speculation that reduced function or loss of TET2, a critical regulator in methylation processes, may 328 have adverse age-associated effects. Evidence from mouse models further supports this idea. Specifically, promoting the conversion of methylation to 5-hydroxymethylation by either exercise-induced upregulation 329 of *TET2*⁵⁴ or artificial overexpression of *TET2*⁵⁵ improves memory in mice by increasing neurogenesis in 330 331 the dentate gyrus. Conversely, reducing TET2 in mouse hippocampus leads to reduced neurogenesis and impaired memory⁵⁵, consistent with its role in promoting adult neurogenesis in mice⁵⁶. Finally, reduction of 332 *TET2* in mouse primary neurons also reduced cell survival⁵⁷. All of these observations are consistent with 333 334 detrimental consequences of loss of TET2 function and suggest that neurons may be particularly 335 vulnerable to these effects. Further support for a generally important role of TET enzymes comes from a preprint implicating mono- and bi-allelic loss-of-function of TET3 in childhood diseases⁵⁸ (TET3 is more 336 constrained against loss-of-function based on population database estimates³⁵, which (along with bi-allelic 337 338 contributions) could explain the earlier ages observed). In addition to general evidence for the importance 339 of TET2 and other TET enzymes, an intriguing and more specific role for TET2 has also been proposed in a preprint implicating *TET2* in microglial response, particularly around amyloid plagues⁵⁹, suggesting that 340 341 TET2 loss-of-function may prevent its recruitment into a protective role (similar to recent findings on 342 TREM2 suggesting that higher secreted TREM2 levels are protective⁶⁰, supporting a model where risk-

conferring *TREM2* variants result in loss-of-function). Finally, the human data we analyzed from ADNI is
 consistent with deleterious consequences of *TET2* rare variants, with our observations supporting a faster
 rate of both general clinical decline (CDRSB) and cognitive decline (MMSE).

The strongest association signal in the discovery cohort was a combined analysis across all EOAD and FTD cases together. While we recognize the drawbacks of a combined analysis across phenotypes, we argue that the benefits outweigh the drawbacks for two critical reasons beyond the increase in sample size: (1) known effects of genetic pleiotropy, and (2) the possibility of identifying shared pathways between diseases.

351 The first reason supporting comparison across EOAD and FTD is that genetic pleiotropy—where a 352 single locus contributes variance to multiple, different phenotypes—may play a role in neurodegenerative 353 disease risk. Our group and others have provided support for this idea through several studies investigating 354 multiple neurodegenerative diseases using GWAS approaches¹¹⁻¹⁵. In addition to common risk variants, 355 there is clear evidence of moderately to highly penetrant rare variation in single genes conferring risk or 356 causality for multiple neurodegenerative diseases. First, rare variants in TREM2 confer risk for both AD⁶¹ and FTD⁶². Second, rare variation in multiple established genes such as *TBK1* and *C9orf72* confer risk or 357 are causative across the ALS-FTD spectrum⁶³. Third, moderately penetrant common risk alleles like APOE 358 359 ε4 are primarily associated with AD, but also associated with risk for Dementia with Lewy Bodies (DLB)⁶⁴. FTD¹², and age of onset in C9orf72 carriers⁶⁵. Fourth, GBA and SNCA were first identified as risk factors 360 for Parkinson's disease (PD), but also confer risk for DLB⁶⁴. Finally, rare pathogenic variants in *MAPT* 361 typically cause FTD^{66; 67}, but the R406W pathogenic variant has also been associated with an EOAD 362 presentation^{68; 69}. Furthermore, common variants near *MAPT* (tagging the H1 haplotype, associated with 363 higher tau expression⁷⁰) are associated with AD. PD. FTD. and ALS^{11; 12; 15}. 364

A second important reason to analyze across different patient populations is that performing analyses across cohorts of patients diagnosed with different neurodegenerative disorders but with partially overlapping underlying neuropathology (i.e., tau-containing protein aggregates in both AD and approximately half of FTD cases; TDP-43 containing protein aggregates in ALS, approximately half of FTD cases, and some AD cases) may identify shared dysregulated pathways, and has the potential to identify therapeutic targets with relevance across multiple neurodegenerative diseases. Indeed, our discovery that

371 rare variation in *TET2* is associated with multiple neurodegenerative diseases suggests age-related

372 changes in methylation may be relevant across a broad spectrum of neurodegeneration.

373 In conclusion, we provide evidence that loss of TET2 function confers risk for EOAD, LOAD, FTD, 374 and ALS. Specifically, we found that, in aggregate, both coding and non-coding gualifying rare variation in 375 TET2 is associated with approximately a 2-fold risk increase across diverse populations of patients with AD, FTD, and ALS, and that canonical loss-of-function variation in TET2 is associated with approximately a 376 377 3-fold risk increase for these diseases. We note that, similar to any burden test, it is impossible from 378 aggregate enrichment values to deconvolute variable penetrance levels among disease-relevant alleles 379 and the degree of enrichment for truly associated variation. Future work assessing the functional effects of 380 particular alleles and their concomitant levels of risk to individual variant carriers would be helpful in this 381 regard. Additionally, further work is required to understand the local and global mechanisms by which 382 alterations to TET2 levels and/or function contributes to disease risk, whether this risk is anchored to 383 TET2's effects on aging biology, and, if so, whether rare variation in TET2 also confers risk to other age-384 associated neurodegenerative diseases.

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386 SUPPLEMENTAL DATA DESCRIPTION

Supplemental data includes three figures and nine tables. The supplemental figures and two of the supplemental tables (4,5,8,9) are provided in the **Supplemental Data**. Four supplemental tables are provided in an Excel file (1,2,3,7), and 1 supplemental table (6) is provided as a zipped text file.

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394 Data availability

All data in both discovery and replication sets are available through either an application for access by qualified researchers, or through public availability. In addition to providing information on how to access all datasets below, we have also included a supplemental text file with count data for all conditions assessed in the discovery cohort (**Supplemental Table 6**). Genome data for UCSF-enrolled participants is available to qualified researchers on request to the UCSF Memory and Aging Center

400	(https://memory.ucsf.edu/research-trials/professional/open-science). Genome data from University of
401	Alabama at Birmingham-enrolled participants is available from the National Institute on Aging Genetics of
402	Alzheimer's Disease Data Storage (NIAGADS) site under project NG00082. Data from control subjects
403	sequenced at HudsonAlpha are available under dbGaP accessions phs001625.v1.p1 and
404	phs001089.v3.p1. ADNI (Alzheimer's Disease Neuroimaging Initiative, part of the ADSP genomes batch
405	call) and ADSP data are available at NIAGADS under projects NG00066 and NG00067 and on dbGaP
406	under accession phs000572.v7.p4 (see Supplemental Extended Acknowledgements for all ADSP
407	investigators and funding sources). Data from AMP-AD are available through controlled access to the
408	AMP-AD Knowledge Portal on Synapse (DOI: 10.7303/syn2580853). Data from Project MinE are freely
409	available online (<u>http://databrowser.projectmine.com/</u>) ⁷¹ . Summary statistics from ⁸ are freely available
410	online. 1000 genomes data are freely available online (<u>http://www.internationalgenome.org/</u>) ²⁷ .
411	
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413	The authors declare that they have no relevant competing interests. GDR industry relationships:
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427	

428 Authors' contributions

429 Experimental design: JNC, EGG, LWB, GMC, BNL, GDR, BLM, RMM, JSY. Data collection: LWB,
430 MDA, MLT, AMK, EDR, GDR, BLM, JSY. Data analysis and interpretation: JNC, EGG, LWB, JSN, BNL,
431 GMC, RMM, JSY. Subject recruitment: EDR, GDR, BLM. Technical or administrative support: JSN, LWB,
432 AMK. Writing the manuscript: JNC, EGG, LWB, JSY. Editing the manuscript: JNC, EGG, LWB, MLT, BNL,
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434

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686 FIGURES





688

Figure 1: QQ plot of *p*-values from the discovery burden analysis of EOAD and FTD cases versus controls and Private, CADD > 10 Variants. *TET2* is the top and only hit reaching statistical significance (corrected *p*<0.05). No genomic inflation was observed ($\lambda = 0.95$). The uniform distribution and theoretical 95% confidence interval based on a beta distribution is shown. Note that, in addition to passing the correction threshold, *TET2* also falls well outside of theoretical random *p*-value distributions.



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Figure 2: Qualifying non-coding variation in *TET*2 is enriched at a similar level as coding variation

and occurs in key predicted functional regulatory regions. A. Odds ratios are shown for combined

analyses (cohorts described in **Table 1**). Breaking out coding and non-coding variation reveals similar

effect sizes and *p*-values. * indicates p < 0.05, ** indicates p < 0.01, and *** indicates p < 0.001 by Fisher's

exact test. **B.** Non-coding variants near the *TET2* transcription start site (hg19 chr4:106,066,000-

106,070,000) serve as an example of variant enrichment in key regions predicted to have regulatory

705 function. GSP indicates GenoSkyline-Plus regions.

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710 Figure 3: Longitudinal CDRSB changes in ADNI participants with qualifying *TET2* rare variants.

711 TET2 rare variant carriers show greater CDRSB changes over time compared to non-carriers after

- controlling for age, sex, education, APOE ε 4, and baseline CDRSB score ($\beta \pm SE = 0.14 \pm 0.06$; *p=0.03).
- 713 The lines depicted illustrate CDRSB change with 95% confidence intervals in shading. ADNI Alzheimer's
- 714 Disease Neuroimaging Initiative; CDRSB Clinical Dementia Rating Sum of Boxes Score.

TABLES

Cohort Type	Cohort	Cases w/	Cases w/o	Ctrls w/	Ctrls w/o	SKAT p	Corr. p	FET p	OR (95% CI)
Discovery	UCSF Eur. (EOAD & FTD)	19	474	1	670	6.5x10 ⁻⁸	3.7x10 ^{-3*}	8.1x10 ⁻⁷	26.8 (4.2–1112)
Replication	UCSF Non-Eur. (EOAD & FTD)	7	138	1	156	0.840	NA	0.031	7.9 (1.0–358)
Replication	ADSP Genomes (LOAD)	64	2,144	36	2,172	4.6x10 ⁻⁴	NA	6.0x10 ⁻³	1.8 (1.2–2.8)
Replication	AMP-AD (LOAD & FTD)	15	918	7	439	0.907	NA	1.000	1.0 (0.4–3.0)
Replication	All Replication Cohorts	86	3,200	44	2,767	7.1x10 ⁻³	7.1x10 ^{-3*}	4.4x10 ⁻³	1.7 (1.2–2.5)
Combined	Discovery + All Replication	105	3,674	45	3,437	8.0x10 ⁻⁶	NA	7.0x10 ⁻⁶	2.2 (1.5–3.2)

Table 1: Discovery and replication for private, CADD > 10 coding and non-coding variants in *TET2* (combined analysis of all cases, AD and FTD, vs. controls). Variants in *TET2* absent from population databases and with a CADD score > 10 (including non-coding variants in GenoSkyline-Plus regions) in the combined analysis considering both EOAD and FTD cases vs. controls was the only qualifying gene and filter set in the discovery analysis to reach statistical significance. While we applied a correction factor of 57,354 based on genome wide (19,118 HGNC protein-coding genes) testing of three clusters of correlated filter conditions (**Supplemental Figure 2**), *TET2* remains significant if we conservatively do not consider the correlated nature of the different filter sets and instead apply a strict Bonferroni correction (p = 0.033). The primary test was SKAT corrected for number of *APOE* ε 4 alleles, sex, principal components 1–4, and 5 ADMIXTURE ancestral proportions. Fisher's exact test yielded similar raw p values and was highly correlated with SKAT (Pearson's r=0.76 of log-transformed p values) and is presented here for consistency with **Table 2**, where some cohorts did not have covariate data available for SKAT and therefore relied on Fisher's exact. The main analyses based on pre-determined criteria are bolded and * indicates significance (p<0.05 after correction). NA = Not Applicable. Replication cohorts are listed individually for reference as well as combined discovery plus replication statistics. Subsets of AD only vs. control and FTD only vs. control are provided in **Supplemental Table 4** and **Supplemental Table 5**, respectively.

Cohort Type	Cohort	Cases w/	Cases w/o	Ctrls w/	Ctrls w/o	SKAT p	FET p	OR (95% CI)	Cases Freq.	Ctrls Freq.
Discovery	UCSF Eur. (EOAD & FTD)	7	486	0	671	4.0x10 ⁻³	2.4x10 ⁻³	∞ (2–∞)	1.42%	0.00%
Replication	UCSF Non-Eur. (EOAD & FTD)	1	144	1	156	0.058	1.000	1.1 (0.0–85.5)	0.69%	0.64%
Replication	ADSP Genomes (LOAD)	31	2,177	12	2,196	3.7x10 ⁻⁴	5.2x10 ⁻³	2.6 (1.3–5.6)	1.40%	0.54%
Replication	AMP-AD (LOAD & FTD)	0	933	1	445	0.215	0.323	0.0 (0.0–18.6)	0.00%	0.22%
Replication	Project MinE (ALS)	21	4,345	5	1,827	NA	0.289	1.8 (0.6–6)	0.48%	0.27%
Replication	HA-Duke-Stanford (ALS)	11	2,863	5	6,400	NA	2.0x10 ⁻³	4.9 (1.6–18.1)	0.38%	0.08%
Replication	All Rep. Cohorts (AD, FTD, ALS)	64	10,462	24	11,024	NA	8.1x10⁻ ⁶	2.8 (1.7–4.7)	0.61%	0.22%
Combined	Discovery + Replication	71	10,948	24	11,695	NA	2.5x10 ^{-7*}	3.2 (2.0–5.3)	0.64%	0.20%
Combined Subset	AD except ADSP Exomes	35	3,216	14	3,468	2.7x10 ⁻³	1.4x10 ⁻³	2.7 (1.4–5.4)	1.08%	0.40%
Summary Stat. Set	ADSP Exomes (summary stats)	6,345 to	otal cases	4,893 tot	al controls	0.019) (ADSP mo	del <i>p</i> value)	CMAF (0.49%
Combined Subset	All FTD	4	524	2	1,272	0.921	0.065	4.9 (0.7–53.7)	0.76%	0.16%
Combined Subset	All ALS	32	7,208	10	8,227	NA	1.4x10 ⁻⁴	3.7 (1.8–8.3)	0.44%	0.12%
Combined Subset	All FTD & ALS	36	7,732	12	9,499	NA	3.0x10 ⁻⁵	3.7 (1.9–7.8)	0.46%	0.13%
Population DBs	gnomAD+TOPMed	-	-	284	196,035	_	_	_	_	0.14%

Table 2: Canonical loss-of-function variation in *TET2* **is nominally enriched in both AD and FTD-ALS.** Because of the high number of canonical loss-of-function variants in *TET2* observed in the discovery analysis, we performed a separate assessment of loss-of-function variants alone. Although the loss-of-function model did not pass multiple testing correction in the discovery analysis because of the low number of qualifying counts, *TET2* was the highest ranked loss-of-function gene (lowest *p*-value for enrichment in cases). Note the additional inclusion of ALS exomes (HA-Duke-Stanford). * indicates that the combined analysis across all cases and controls (in bold) was below an arbitrary exome-wide cutoff of 2.5x10⁻⁶ (a commonly used threshold based on correction of *p*<0.05 for ~20,000 genes). SKAT values could not be calculated for ALS sets (and thus not for summed replication and discovery+replication sets) because necessary covariate data were not available for these cohorts, although both ALS cohorts were independently filtered to only individuals of European ancestry. Below this combined analysis, we also present summaries by each disease, which achieved nominal significance (*p* < 0.05) for both combined analysis of all AD cases and of all FTD and ALS cases. Note the addition of summary statistics from ADSP exomes in this section as well. For ADSP exomes, the *p*-value from the VEP HIGH meta-analysis model is shown (publicly available from NIAGADS). For comparison, we have also listed the frequency of *TET2* loss-of-function carriers in

population databases (gnomAD minus TOPMed set added to counts from TOPMed), which is similar to the frequencies observed in control groups we analyzed. All frequencies are the percentage of individuals harboring a loss-of-function variant (not minor allele frequency) except ADSP exomes for which cumulative minor allele frequency (CMAF) for both cases and controls is listed.

SUPPLEMENTAL DATA

SUPPLEMENTAL FIGURES



Supplemental Figure 1: Ancestry analysis. Principal component analysis (PCA) of common variants was used to separate superpopulations by comparing clusters to 1000 genomes data for **A**. PC1 (separates AFR ancestry) and PC2 (separates EAS ancestry), and **B**. PC3 (separates SAS ancestry) and PC4 (separates AMR ancestry). **C**. The remaining samples were considered as candidates for EUR ancestry but were further pruned to disallow any ancestral proportion of greater than 15% by ADMIXTURE with k=5 from the 4 ancestral populations least enriched in EUR samples, again comparing to 1000 genomes data. The remaining samples are in the "UCSF Strict EUR" bin. Cases and controls are plotted as vertical and horizontal lines and cluster above the 1000 genomes control data in **B** and **C**, with samples pruned for non-EUR ancestry shown as circles.



Supplemental Figure 2: Cross-correlation plot of tested filter conditions. Pearson correlations were

calculated for log transformed p values between all filter sets tested. Filter sets were positively correlated with

one another within three main clusters emerging corresponding to case-control comparison groups.



Supplemental Figure 3: Longitudinal CDRSB changes in ADNI participants with *TET2* loss-of-function variants. *TET2* rare variant carriers whose changes are predicted to result in a loss-of-function variant show greater CDRSB changes over time compared to non-carriers after controlling for age, sex, education, *APOE* ε 4, and baseline CDRSB score ($\beta \pm$ SE = 0.17 \pm 0.09; **p*=0.04). The lines depicted illustrate CDRSB change with 95% confidence intervals in shading. ADNI – Alzheimer's Disease Neuroimaging Initiative; CDRSB – Clinical Dementia Rating Sum of Boxes Score.

SUPPLEMENTAL TABLES

Supplemental Table 1: GenoSkyline-Plus tracks. Only tracks from tissue were included. See Excel file.

Supplemental Table 2: Demographic information. See Excel file.

Supplemental Table 3: List of all 19,118 hg19 HUGO Gene Nomenclature Committee (HGNC) genes tested. See Excel file.

Cohort Type	Cohort	Cases w/	Cases w/o	Ctrls w/	Ctrls w/o	SKAT p	FET p	Bonf. p	OR (95% CI)
Discovery (EOAD)	UCSF Eur. (EOAD)	11	217	1	670	8.1x10 ⁻⁴	2.2x10 ⁻⁶	0.071	33.8 (4.9–1453)
Replication (EOAD)	UCSF Non-Eur. (EOAD)	4	62	1	156	0.778	0.028	NA	10.0 (1.0–497)
Replication (LOAD)	ADSP Genomes (LOAD)	64	2,144	36	2,172	4.6x10 ⁻⁴	6.0x10 ⁻³	NA	1.8 (1.2–2.8)
Replication (LOAD)	AMP-AD (LOAD)	11	738	7	439	0.910	1.000	NA	0.9 (0.3–2.9)
Replication (All AD)	All Replication Cohorts	79	2,944	44	2,767	1.5x10 ⁻³	6.0x10 ⁻³	NA	1.7 (1.1–2.5)
Combined (All AD)	Discovery + All Replication	90	3,161	45	3,437	2.7x10 ⁻⁶	1.7x10 ⁻⁵	NA	2.2 (1.5–3.2)

Supplemental Table 4: Discovery and replication for private, CADD > 10 coding and non-coding variants in *TET2* (analysis of AD vs.

controls). Related to Table 1. Note that the discovery comparison did not meet the multiple corrections cutoff of *p*<0.05, therefore no formal replication was performed, so all statistics provided here are nominal.

Cohort Type	Cohort	Cases w/	Cases w/o	Ctrls w/	Ctrls w/o	SKAT p	FET p	Bonf. p	OR (95% CI)
Discovery (FTD)	UCSF Eur. (FTD)	8	257	1	670	0.098	2.6x10 ⁻⁴	NA	20.8 (2.8–922)
Replication (FTD)	UCSF Non-Eur. (FTD)	3	76	1	156	0.802	0.110	NA	6.1 (0.5–325)
Replication (FTD)	AMP-AD (FTD)	4	180	7	439	0.877	0.739	NA	1.4 (0.3–5.6)
Replication (FTD)	All Replication Cohorts	7	256	8	595	0.443	0.168	NA	2.0 (0.6–6.5)
Combined (FTD)	Discovery + All Replication	15	513	9	1,265	0.894	9.1x10 ⁻⁴	NA	4.1 (1.7–10.7)
Replication (ALS)	Project MinE (ALS)	61	4,305	20	1,812	NA	0.391	NA	1.3 (0.8–2.3)
Combined (FTD + ALS)	Combined FTD + ALS	76	4,818	29	3,077	NA	0.020	NA	1.7 (1.1–2.7)

Supplemental Table 5: Discovery and replication for private, CADD > 10 coding and non-coding variants in TET2 (analysis of FTD vs.

controls). Related to Table 1. Note that the discovery comparison did not meet the multiple corrections cutoff of *p*<0.05, therefore no formal replication was performed, so all statistics provided here are nominal.

Supplemental Table 6: Case and control counts for all filter sets and genes for the discovery cohort. A header in this file describes how to obtain counts for any desired filter set and gene. See zipped text file.

Supplemental Table 7: Qualifying variants in TET2 from all four cohorts with individual genotype data available. See Excel file.

Supplemental Table 8: ADNI Cohort Characteristics for Qualifying TET2 Rare Variant Carriers

	TET2 Carr		
	Non-Carrier	Carrier	
Ν	771	15	P-value
Age (Years; Mean ± SD)	73.2 ± 7.1	77.1 ± 6.5	0.03
Sex (# Male (%))	433 (56.2%)	7 (46.7%)	0.64
APOE ɛ4 Dose (%)			
0	451 (58.5%)	10 (66.7%)	0.04
1	266 (34.5%)	4 (26.7%)	0.01
2	54 (7.0%)	1 (6.7%)	
Diagnosis (#, %)			
CN	266 (34.5%)	6 (40.0%)	0.90
MCI	461 (59.8%)	8 (53.3%)	0.09
AD	44 (5.7%)	1 (6.7%)	
Education (Years; Mean ± SD)	16.1 ± 2.8	16.3 ± 2.9	0.81
CDRSB Baseline (Mean ± SD)	1.1 ± 1.3	1.0 ± 1.2	0.64

CN—Normal Control; MCI – Mild Cognitive Impairment; AD – Alzheimer's disease; SD – Standard Deviation; CDRSB – Clinical Dementia Rating Sum of Boxes Score.

Supplemental Table 9: ADNI Cohort Characteristics for *TET2* Loss-of-Function Carriers

	TET2 LoF Ca	arrier Status	
	LoF Non-Carrier	LoF Carrier	
N	778	8	P-value
Age (Years; Mean ± SD)	73.2 ± 7.1	77.7 ± 5.7	0.08
Sex (# Male (%))	436 (56.0%)	4 (50.0%)	1
APOE £4 Dose (%)			
0	455 (58.5%)	6 (75.0%)	0.57
1	268 (34.4%)	2 (25.0%)	0.57
2	55 (7.1%)	0 (0.0%)	
Diagnosis (#, %)			
CN	270 (34.7%)	2 (25.0%)	0.61
MCI	463 (59.5%)	6 (75.0%)	0.01
AD	45 (5.8%)	0 (0.0%)	
Education (Years; Mean ± SD)	16.1 ± 2.8	16.5 ± 2.6	0.68
CDRSB Baseline (Mean ± SD)	1.1 ± 1.3	0.9 ± 0.7	0.59

CN—Normal Control; MCI – Mild Cognitive Impairment; AD – Alzheimer's disease; SD – Standard Deviation; CDRSB – Clinical Dementia Rating Sum of Boxes Score.

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