

1 **Genomic epidemiology of vancomycin resistant *Enterococcus faecium***
2 **(VRE_{fm}) in Latin America: Revisiting the global VRE population structure**

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42 **Abstract**

43 The prevalence of vancomycin-resistant *Enterococcus faecium* varies across
44 geographical regions yet little is known about its population structure in Latin
45 America. Here, we provide a complete genomic characterization of 55
46 representative Latin American VRE*fm* recovered from 1998-2015 in 5 countries.
47 We found that VRE*fm* population in the region is structured into two main clinical
48 clades without geographical clustering. To place our regional findings in context,
49 we reconstructed the global population structure of VRE*fm* by including 285
50 genomes from 36 countries from 1946-2017. Our results differ from previous
51 studies showing an early branching of animal related isolates and a further split of
52 clinical isolates into two sub-clades, all within clade A. The overall phylogenomic
53 structure was highly dependent on recombination (54% of the genome) and the
54 split between clades A and B is estimated to have occurred more than 3585 years
55 BP. Furthermore, while the branching of animal isolates and clinical clades was
56 predicted to have occur ~894 years BP, our molecular clock calculations suggest
57 that the split within the clinical clade occurred around ~371 years BP. By including
58 isolates from Latin America, we present novel insights into the population structure
59 of VRE*fm* and revisit the evolution of this pathogen.

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64 **Introduction**

65 Enterococci are predominantly non-pathogenic gastrointestinal commensal
66 bacteria that occasionally cause human infections. Among them, *Enterococcus*
67 *faecalis* and *Enterococcus faecium* represent the species that account for most
68 clinically relevant infections. In particular, *E. faecium* has been able to adapt to the
69 hospital environment, emerging during the last few decades as a leading cause of
70 health-care infections worldwide, and becoming the most challenging species to
71 treat^{1,2}.

72 Genome plasticity, the presence of multiple antibiotic resistance determinants, and
73 the lack of therapeutic options have contributed to the adaptation of *E. faecium* to
74 hospital environments^{3,4}. Moreover, high recombination rates and the acquisition of
75 mobile elements in the genome of *E. faecium* also have driven this evolutionary
76 process⁵. In addition, the enrichment of virulence determinants, such as surface
77 proteins and phosphotransferase systems (particularly PTS^{clin}, a putative factor
78 found to contribute to the intestinal colonization in a murine model) seems to
79 provide an advantage to the hospital adaptive process^{3,6}. Furthermore, functional
80 gene groups, such as those involved in galactosamine metabolism, bile hydrolysis
81 and phosphorus utilization, are also abundant in *E. faecium* clinical strains
82 compared to non-clinical isolates, suggesting that specific metabolic factors have
83 also facilitated adaptation⁷.

84 In terms of antibiotic resistance, one of the most relevant antibiotic resistance traits
85 acquired by enterococci is resistance to vancomycin due to the *van* gene clusters⁸.
86 Furthermore, vancomycin-resistant *E. faecium* (VRE_{fm}) frequently exhibits

87 resistance to ampicillin and high-level resistance to aminoglycosides^{9,10}. Indeed,
88 the World Health Organization (WHO) has categorized *VREfm* as a priority agent
89 for which the finding of new and effective therapeutic strategies is imperative¹¹.
90 *VREfm* is widely distributed in hospitals around the world, with the prevalence
91 varying according to geographical location. In US hospitals, *VREfm* is an important
92 clinical pathogen, particularly in immunosuppressed and critically-ill patients^{1,12}.
93 The National Health-Care Safety Network described that 82% of *E. faecium*
94 recovered from bloodstream infections in the US were vancomycin-resistant,
95 whereas only 9.8% of *E. faecalis* were resistant to vancomycin¹². In Europe,
96 prevalence rates of *VREfm* vary widely by country, but according to the European
97 Centre for Disease and Control (ECDC) 2016 report, overall prevalence across
98 European countries was 30%¹³. Although data regarding *VREfm* in Latin America
99 are scarce, a few studies have shed some light on the current situation. A
100 prospective multicentre study focusing on 4 countries in northern South America
101 (i.e. Colombia, Ecuador, Peru and Venezuela) found an overall prevalence of
102 *VREfm* in clinical enterococcal isolates of 31%¹⁴. More recently, another study
103 performed in Brazil reported a *VREfm* prevalence close to 60%¹⁵.
104 Tracking the population structure of *E. faecium* using conventional bacterial typing
105 techniques has been challenging¹⁶. Although wide genetic variability has been
106 observed among *E. faecium* strains causing clinical infections, a previously
107 described lineage (designated clonal complex CC17 by multi locus sequence
108 typing [MLST]), was initially recognized as globally distributed¹⁷. However, the
109 classification of this lineage by MLST has some important drawbacks when

110 analysing the population structure of *E. faecium*, since high rates of recombination
111 in the MLST loci often occurs in these organisms¹⁸. Additionally, some strains are
112 not type able by MLST due to the lack of the locus *pts*¹⁹ leading to major
113 discrepancies compared to whole-genome sequencing (WGS) when it is used for
114 typing purposes²⁰.

115 Whole-genome-based comparative phylogenomic analyses using *E. faecium*
116 recovered from different geographical regions have identified two clades,
117 designated A and B. Clade A mostly contains isolates recovered in clinical settings
118 (including those from CC17)²¹, while clade B encompasses organisms isolated in
119 community settings, usually from healthy individuals^{3,20,22-24}. A further subdivision
120 has been described within clade A, which groups isolates from animal origin in a
121 subclade (designated as A2), separating them from those recovered from human
122 infections or colonization (subclade A1).

123 However, these analyses have been performed mostly with US and European
124 isolates, lacking geographical diversity particularly in areas such as Latin America.
125 Indeed, studies on the molecular epidemiology of *VREfm* isolates from Latin
126 America are sparse, with one study suggesting that the CC17 lineage
127 predominates¹⁴. Furthermore, studies analysing the population structure of *VREfm*
128 in the region using high-resolution, WGS-based phylogenomic comparative
129 methods are limited. Here, we sought to characterize the population structure of
130 *VREfm* lineages in a collection of isolates recovered between 1998-2015 in
131 prospective multicentre studies performed in selected Latin-American

132 hospitals^{14,25,26} and revisit the global population structure and evolutionary history
133 of *VREfm*.

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135 **Results**

136 **Genomic characterization of Latin American *VREfm* clinical isolates**

137 From a collection of 207 *VREfm* clinical isolates obtained between 1998 and 2015
138 in five Latin American countries (Colombia, Ecuador, Venezuela, Peru and
139 Mexico), we selected 55 representative isolates for WGS. We included the first
140 *VREfm* (ERV1) reported in Colombia as the representative of 23 isolates with
141 identical PFGE banding pattern, recovered from an outbreak in 1998-1999 and
142 affecting 23 patients in a single teaching hospital²⁵. Five isolates (out of 7
143 available) were selected from a national surveillance in Colombia during 2001-
144 2002, which included 15 tertiary hospitals among 5 cities²⁶ and 16 (out of 35
145 available) were chosen from a subsequent surveillance study (2006-2008)
146 performed in Colombia, Ecuador, Venezuela and Peru and the selected isolates
147 were chosen based on their different banding patterns¹⁴. The remaining 33 isolates
148 were obtained from sporadic isolates and outbreaks that occurred in Colombia and
149 Mexico (2002-2014). In order to characterize the *VREfm* lineages circulating in
150 Latin America, we reconstructed their phylogenetic history based on 1,674 genes
151 (groups of orthologous sequences; hereafter referred to as orthogroups) present in
152 more than 90% of the genome sequences (core genome) from a total of 6735
153 orthogroups (pan-genome) using a Bayesian approach (Figure 1A). We observed a

154 split into two main clades (Clade I and Clade II, marked in red and green,
155 respectively). Clade I included all the ST412 isolates, while Clade II had all the
156 ST17 isolates from our sample. We observe that the emergence of *VREfm* in
157 Colombia was associated with Clade II, including the first *VREfm* (described in
158 1998) and representatives from the first national surveillance (2001 to 2002).
159 Additionally, ST412 was reported in 2005 and, since then, ST17 and ST412 seem
160 to be the most prevalent STs in the country. Our previous results showed that Peru
161 had the highest prevalence of *VREfm* (48%) and our PFGE and MLST results
162 suggested higher diversity in Peruvian lineages compared to Colombia, Ecuador
163 and Venezuela with a predominant circulation of ST412¹⁴. Indeed, the
164 representative *VREfm* isolates of the circulating lineages in Peru collected in the
165 two-year period (2006-2007) exhibited a marked genomic variability (Figure 1A and
166 B).

167 **The resistome and virulome of Latin American *VREfm***

168 In order to characterize antibiotic resistance determinants, we built resistome
169 profiles by detecting acquired resistance genes and mutations known to confer
170 resistance to linezolid, ciprofloxacin and daptomycin. All the *VREfm* isolates from
171 our collection were resistant to vancomycin (MIC₉₀ >256 µg/ml) and teicoplanin
172 (MIC₉₀ 64 µg/ml) (Figure 1B). The presence of *vanA* was confirmed in all isolates
173 by PCR assays. Consistently, we confirmed the presence of the entire *vanA* cluster
174 in 54 out of the 55 sequenced genomes. Of note, the genome of ERV69 lacked the
175 two-component regulatory system *vanSR*, although still exhibiting MICs of >256

176 $\mu\text{g/ml}$ and $64 \mu\text{g/ml}$ for vancomycin and teicoplanin, respectively. The deletion of
177 the two-component regulatory system has been previously reported²⁷.

178 High-level resistance to ampicillin was consistently found in all 55 *E. faecium*
179 isolates, a phenotype that was corroborated using comparisons of the PBP5
180 protein sequence using a machine-learning prediction model. This approach was
181 based on the amino acid changes present in the PBP5 protein across susceptible
182 and resistant isolates (see details in Methods).

183 High-level resistance to gentamicin was identified in 31% of the isolates of our
184 collection and, within the sequenced representatives, the presence of *aac(6')*-
185 *aph(2'')* was detected in 49% of the genome sequences. High-level resistance to
186 streptomycin was identified in 39% of the Latin American *VREfm* isolates with a
187 high prevalence of the *ant(6)-Ia* gene (89%; n=49) in the sequenced genomes.

188 Fluoroquinolone resistance is very common in *E. faecium*. All isolates in our
189 collection were fluoroquinolone-resistant and we were able to predict the presence
190 of substitutions in GyrA and ParC associated with this phenotype. The most
191 common substitution in GyrA was Ser84Arg (67%; n=37). All isolates exhibited
192 Ser82Arg (53%; n=29) or Ser82Ile (47%; n=26) substitutions in ParC.

193 The *cat* gene conferring resistance to chloramphenicol was present only in the
194 three Peruvian genomes. Interestingly, Peruvian isolates had the highest
195 resistance to this antibiotic (21%). All the isolates from this collection were
196 susceptible to linezolid; however, we detected the various genetic elements
197 previously associated with linezolid resistance. The gene, *optrA*, was detected in

198 one genome of a Colombian linezolid-susceptible isolate (ERV138). Also, we
199 identified the presence of *cfrB*, a recently reported variant of *cfr*²⁸, in a Mexican
200 isolate (ERV275). We predicted tetracycline resistance owing to the presence of
201 *tetM* (43.6%; n=24), *tetL* (16.3%; n=9) and *tetS* (1.8%; n=1) in the sequenced
202 genomes, but resistance to this group of antibiotics was not tested phenotypically.
203 Substitutions in LiaS (Thr120Ala) and LiaR (Trp73Cys), which have been strongly
204 associated with daptomycin resistance and tolerance^{29,30}, were present in three
205 *VREfm* isolates, recovered before daptomycin was available in the region. Of note,
206 the three isolates showed MICs between 2-4 µg/ml, considered now as
207 “daptomycin-susceptible dose-dependent”, by the Clinical & Laboratory Standards
208 Institute (CLSI)³¹.

209 Latin American VRE isolates also harboured a high proportion of putative virulence
210 determinants (Figure 2). The vast majority had gene clusters related to pilus
211 formation, adhesins and microbial surface components recognizing adhesive
212 matrix molecules (MSCRAMMS). Interestingly, the notable exception was the
213 Clade I isolates, which often lacked *fms22*, *swpC* and *hylEm*. Our results suggest
214 that the “virulome” of Latin-American VRE is similar to those from other regions in
215 the world³².

216

217 **Global Phylogenetic Reconstructions of Latin American VRE**

218 To place the genetic lineages of *VREfm* isolates circulating in Latin America into a
219 global context, we performed a WGS-based phylogenomic analysis. We included

220 285 *E. faecium* genomes (VRE and non-VRE) from the publicly available NCBI
221 collection aiming to incorporate a diverse set of sequences for comparisons. The
222 included isolates were from colonizing, commensal, animal and clinical sources
223 and were collected between 1946-2017 from Europe, North America, Asia, Africa
224 and Australia (Supplementary Table 1). We constructed a pangenome (29,503
225 orthogroups) and core genome (978 orthogroups). Using the core genome, we built
226 a phylogenomic tree of the species to show the evolutionary relationships among
227 isolates based on the variation of their genomic sequences. Figure 3 shows that,
228 as previously reported, we found a clear split into two main clades corresponding
229 to the previously designated clades A and B^{3,22,24}. All Latin American isolates from
230 our clinical collection were in clade A. We compared the genomic characteristics
231 among the two main clades and found similar findings as published previously
232 (Supplementary table 2)³. However, our data showed that the core genome was
233 larger in clade B as compared to clade A (1,466 vs 1,182 orthogroups,
234 respectively).

235 Considering the relevance of *E. faecium* as a cause of hospital-associated
236 infections and that all Latin American isolates were grouped within clade A, we
237 sought to dissect the population structure of this clade when adding the genomes
238 of these isolates. Our first approach was based on a core genome (>90%
239 reconstruction), which contained 1,226 orthogroups and the isolate Com15, from
240 clade B, as the outgroup to root the tree. We observed two major subclades. The
241 first was composed of 52 genomes, most of which were from animal sources (57%,
242 n=30), related to the previously described subclade A2³. The second lineage

243 harboured 273 genomes, with 91% (n=228) corresponding to isolates obtained
244 from clinical sources (Supp. Figure 2A), and related to the subclade A1³.
245 Previous studies have shown contradictory distributions of the subclades A1 and
246 A2 within clade A²⁰; suggesting that clade A2 is not in fact a clade, but rather
247 corresponds to the paraphyletic early branching lineages of clade A. To further
248 clarify the issue, we performed a phylogenomic analysis accounting for
249 recombination events within clade A. We used the variants found from paired
250 alignments of each genome against the chromosome of reference Aus0085 and
251 built a whole-genome multiple sequence alignment (WGMSA) of all genomes in the
252 clade. We used this alignment to create a maximum likelihood tree, which is
253 required for determining recombinant regions using ClonalFrameML³³. The
254 average amount of recombination found in the 303 genomes belonging to clade A
255 was 19,539pb (Supp. figure 2C). The total recombinant regions found across
256 clinical isolates encompassed 1.6 Mb (54% of the length of WGMSA). Interestingly,
257 the exclusion of recombinant regions considerably altered the structure of the tree,
258 and showed 7 early-branching subclades that included 73 genomes (mostly from
259 animal sources) rather than a split into clades A1 and A2.
260 Following these animal-related early branches, we observed a split into two main
261 subclades (Supp. Figure 2B). Overall, these subclades were related to clinical
262 sources, exhibiting a high similarity in terms of prevalence of antibiotic resistance
263 and virulence determinants (Supplementary table 3). We refer to them as clinically-
264 related clades I and II (CRS-I and CRS-II), containing 101 and 124 genomes
265 respectively. Latin American genomes from our collection were split between these

266 two CRS, showing that Clade I and Clade II (derived from the analysis of Latin
267 American *VREfm*, see above) belonged to CRS-I and CRS-II, respectively. Of
268 note, the genomes from our collection were distributed almost equally between
269 CRS-I (49%) and CRS-II (51%). Furthermore, despite the inclusion of a few
270 outbreak isolates and that *VREfm* from Latin America originated in different
271 periods, cities and countries, our phylogenetic reconstruction showed 11
272 conserved clusters with four or more isolates from the same country (Figure 4). In
273 particular, three clusters had only Colombian genomes with the number of SNPs
274 among them, within the regions not showing recombination, ranging between 36
275 and 160. We also found clusters among isolates from Brazil (n=3), USA (n=3),
276 Denmark (n=1) and Sweden (n=1). The Danish cluster is situated in the animal-
277 associated branches, and their genomes were closely related (with an average of
278 43 SNPs among them). Of note, two of the USA clusters were related to each other
279 and to 5 other isolates, four of them from the UK and one from Colombia in our
280 collection (172 SNPs difference on average among them).

281 In CRS-I, there were 23 different STs, with ST412 and 78 the most frequent (34%
282 and 11%, respectively) (Figure 4). Importantly, we did not find a strong correlation
283 between MLST and the phylogenomic analysis, as isolates belonging to the same
284 ST were not all clustered in the same clades, and were distributed in different
285 groups in the phylogeny. In particular, 56% (n=9) of genomes from ST78 were in
286 CRS-I, while 37% (n=6) were in CRS-II. To further dissect this discrepancy, we
287 performed a phylogenetic reconstruction using only the sequences of the 7 MLST
288 *loci* and compared it against the phylogeny of Clade A. Our results showed that

289 many isolates from ST17, ST18, ST78, ST203, ST412 were in different clusters
290 and even formed subclades in the non-recombination reconstruction
291 (Supplementary Figure 3).

292 In relation to antibiotic resistance determinants, we found important differences
293 comparing the presence/absence of genomic elements associated with antibiotic
294 resistance between the CRSs and the animal branches. Indeed, the animal-
295 associated branches exhibited a lower prevalence of elements associated with
296 glycopeptide (34.2%), aminoglycoside (21.9%), ampicillin (9.5%) and
297 fluoroquinolone resistance (2.7%) compared to the CRS isolates, which harboured
298 these determinants in 78%, 85%, 95% and 99% of isolates, respectively. In
299 contrast, similar frequencies of determinants coding for resistance to macrolides
300 (>98%), tetracyclines (between 50-63%) and oxazolidinones (between 2-12%)
301 were found between animal and clinical clades (Supp. Table 3). Within the
302 subclades of clade A, only 9% of isolates within the animal-associated branches
303 exhibited resistance to ampicillin (7 out of 71 complete PBP5 sequences), while
304 99% of the clinically related subclades (100% in CRS-I and 98% in CRS-II)
305 harboured the predicted *pbp5-R* allele^{34,35}. Mutations associated with
306 fluoroquinolone resistance were also much more highly prevalent in clinical clades
307 (>98% for CRSs) vs animal branches (2.7%; $p < 0.001$).

308 Genes encoding putative surface adhesin proteins (e.g., *acm*, *scm*, *esp*, *sgrA*,
309 *fms6* and *fms22*) and two of the pilus-forming clusters were significantly more
310 common in the CRSs, (p-values below 0.001 in all cases) compared to animal
311 isolates (Supp. Table 3). We next compared the presence/absence of putative

312 mobile elements between animal branches vs. CRSs. On average, the number of
313 insertion sequences in the former were 5.7, whereas the clinical subclades had 6.9
314 (6.76 CRS-I and 7.06 for CRS-II). Of note, *rep17* was notoriously overrepresented
315 in the CRSs (Supp. Table 3), located in the plasmid pRUM, which is a
316 representative member of *rep17* family and has been associated with the
317 toxin/antitoxin system Txe/Axe³⁶.

318

319 **Rates of evolution across the whole population of *E. faecium***

320 Using the sampling date of isolates within clade A, we performed molecular clock
321 analyses on the entire clade A and its subgroups (animal branches, CRS-I and
322 CRS-II). We found that the oldest split within clade A likely occurred ~3,585 years
323 ago (y.a.) (95% High Posterior Density Interval [HPDI]: [2626, 4690]). The
324 separation of the clinical subclades from the animal branches is predicted to have
325 occurred ~894 y.a. (95% HPDI: [649, 1171]) (Supplementary Figure 3). The most
326 recent split between CRS-I and CRS-II was dated ~371 y. a (95% HPDI: [272,
327 488]) (Supplementary Figure 4). The substitution rate across the clade A genomes
328 was 3.91E-7 (95% HPDI: [2.78E-7, 5E-7]), which translates to 0.53 SNPs per year
329 (using only non-recombinant regions or 1.17 SNPs if the WGS is used). The
330 substitution rates within each subgroup of genomes were 3.02E-7 (95% HPDI:
331 [2,78E-7, 3,46E-7]) for animal branches, 4.7E-7 (95% HPDI: [4,01E-7, 4,98E-7]) for
332 CRS-I and 4.63E-7 (95% HPDI: [3.92E-7, 4.98E-7]) for CRS-II. These rates are
333 equivalent to 0.41, 0.64 and 0.63 SNPs per year for animal branches, CRS-I and

334 CRS-II, respectively. Our results support that clinically related clades are evolving
335 faster than those of the animal branches.

336

337 **Discussion**

338 Our results indicate that *VREfm* is widely present in Latin America but that their
339 frequency and population structure seem to vary from country to country. As
340 multicentre analyses of *VREfm* in the Latin American region are rare, our study is
341 unique in its dissection of the population structure of *VREfm* in the region. Unlike
342 previous studies, we found two distinct populations of clinically-related isolates of
343 *VREfm*. This subpopulation separation was also seen in our analyses of the global
344 population of *E. faecium*. The causes for the splitting of the population structure of
345 VRE (CRS-I and CRS-II) are not clear, but the findings were consistent when
346 analysing the population structure in the presence or absence of recombinant
347 regions. Such a separation suggests that these lineages have been expanding
348 through Latin American countries and highlights the importance of establishing
349 genomic surveillance studies for these multidrug-resistant organisms. Furthermore,
350 the distribution of the Latin American isolates across the tree does not suggest a
351 particular dominance of a specific lineage circulating in the region or country,
352 suggesting that the presence of *VREfm* in Latin America is associated with multiple
353 introductions of *VREfm* lineages that are circulating globally. Interestingly, some
354 South American countries such as Brazil (no isolates available for this study) have
355 reported *VREfm* since 1997³⁷, and their prevalence appears to be increasing
356 exhibiting a shift from *E. faecalis* to *VREfm* since 2007¹⁵. Of interest, ST412

357 isolates reported in some regions of Brazil^{38,39} have also been detected in some
358 Caribbean countries⁴⁰ and this sequence type was also identified in our collection
359 in Colombia, Peru and Venezuela since 2005¹⁴, suggesting wide dissemination of
360 this genetic lineage.

361 Our *VREfm* phylogenomic analysis, which includes a highly diverse sample
362 collection and excludes recombinant regions from the genome, questions the
363 presence of a single animal clade. Our results suggest that the animal isolates
364 represent multiple lineages that diverged prior to the emergence of the clinical
365 subclades in the clade A³. Importantly, animal-associated branches have
366 significantly lower predicted ampicillin resistance, fluoroquinolone resistance
367 mutations, virulence elements and average number of insertion sequences,
368 similarly to what has previously reported⁴¹. Furthermore, the amount of
369 recombination in clade A genomes was greater than previous results. Importantly,
370 this difference (54% vs 44% found in previous studies^{18,42}) could be due to the fact
371 that previous analyses were based on the alignment of SNPs from a core genome
372 and neither included non-coding regions nor invariant sites to identify the
373 recombinant DNA. Over the recombinant regions, we found partial sequences in 5
374 out of the 7 loci used by MLST (*ddl*, *gyd*, *purK*, *gdh* and *adk*), corroborating the
375 notion that the current *E. faecium* MLST scheme has major limitations to describe
376 the population structure of *VREfm*. Interestingly, the exclusion of recombinant
377 regions considerably altered the structure of the tree, dissolving the animal-related
378 clade into a paraphyletic group and reducing the length of the branches across the
379 tree (Supplementary Figure 2). Additionally, we found a lack of concordance

380 between MLST classification and the clades. The discrepancy is likely explained by
381 the presence of recombinant regions in the MLST genes, low variation in some of
382 the loci, and the absence of *pst* in many isolates^{19,20,43}

383 Previous studies estimated that the separation between clades A and B occurred
384 2776 ± 818 y.a.³, a time frame that is similar to our results. However, the previously
385 reported split between animal branches and the clinically-related subclades was
386 reported to occur 74 ± 30 y. a., which is much more recent than what we found.
387 Our findings showed at least a tenfold lower mutation rate from what has been
388 previously reported^{3,18}. This finding could be associated with the larger genomic
389 region used in our analysis and the increase in the diversity of the sampled
390 genomes. Indeed, dating of the splits between the animal-associated branches and
391 the clinically-related subclades, and the lower mutation rates across clade A
392 correlates with lower number of SNPs per year. It has been estimated that the
393 *Enterococcus* as a genus arose around 500 million years ago⁴⁴ and ancient
394 isolates of *E. faecium* have been found in permafrost over 20,000 y.a.⁴⁵, supporting
395 our findings that a more ancient branching between Clade A and B could have
396 occurred.

397 Our study could be subject to sampling bias due to small sample size of genomes
398 from Latin America, but we attempted to include as many and as diverse genomes
399 as possible from our collection, based on phenotypic characteristics and PFGE
400 typing of the strains. Also, we included all publicly available genomes from the
401 region, provided that the associated demographic information was complete
402 (source, year of sampling and geographical location), which also reiterates the low

403 number of previously sequenced genomes of *E. faecium* in Latin America at the
404 moment of sample selection. Nonetheless, our results supporting the existence of
405 two clinical subclades were maintained even after the inclusion of genomes from
406 other continents; that is, our conclusion holds beyond sample size, further
407 indicating that the population structure of the clinical related isolates is divided into
408 two main lineages within clade A.

409

410 **Conclusions**

411 We provide comprehensive insights into the genomic epidemiology of *VREfm* using
412 available isolates from Latin America where previous studies are lacking. Our
413 results indicate that the population structure of *VREfm* in the region is diverse and
414 can be grouped into two main lineages (Clades I and II) that belong to the
415 previously reported clade A. A novel global reconstruction of the *E. faecium*, using
416 a wide and diverse sample of isolates from 36 countries and obtained from clinical,
417 animal, environmental and commensal samples, corroborates previous reports that
418 recombination plays a major role in the evolution of this species. Our analyses also
419 indicate, contrary to previous results, that animal-associated genomes are not
420 monophyletic, and are instead a diverse collection of early-branching clades that
421 diverged prior to the emergence of the human clinical clade and its two subclades
422 (CRSI and CRSII).

423 The complex evolutionary dynamics of VRE_{fm} highlight the importance of
424 employing phylogenomic approaches when studying the population structure of a
425 highly evolved hospital-associated pathogen.

426

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433

434 **Methods**

435 ***Enterococcus faecium* isolates**

436 A total of 207 vancomycin-resistant Latin American *E. faecium* clinical isolates
437 have been collected between 1998 and 2014 including those belonging to the first
438 outbreak of VRE infections in Colombia and isolates collected in two multicentre
439 surveillances^{14,25,26}. Isolates were recovered from patients in Colombia (n=177,
440 86%) Peru (n=14, 7%), Venezuela (n=6, 3%), Ecuador (n=5, 2%) and Mexico (n=5,
441 2%). The most common sources included blood (22%), urine (18%) and stools
442 (10%). For all the isolates, species (*E. faecium*) confirmation and the susceptibility
443 profiles determination were performed by PCR assays⁴⁶ and agar dilution,
444 respectively³¹.

445

446 **Whole genome sequencing**

447 From our *VREfm* characterized strains collection, we selected 55 representative
448 isolates based on distinct PFGE banding patterns. We included the first VRE
449 reported in Colombia as the representative of an outbreak of 23 infections at a
450 teaching hospital in 1998-1999²⁵. Five isolates were selected from a national
451 surveillance in Colombia during 2001-2002, which included 15 tertiary hospitals in
452 5 cities²⁶ and 16 chosen from surveillance performed in Colombia, Ecuador,
453 Venezuela and Peru in 2006-2008¹⁴. The remaining 33 isolates were sent to our
454 lab for the confirmation of resistance or outbreak studies in 2005-2014. All selected
455 isolates were recovered from clinical samples including blood (32%), urine (13%),
456 faeces (13%), surgical wound (10%), pleural liquid (5%), peritoneal liquid (5%) and
457 other sources (22%). The isolates were subjected to whole genome sequencing on
458 the Illumina platform. Briefly, genomic DNA was extracted from overnight cultures
459 using the kit DNeasy Blood & Tissue Kit (Qiagen) after a lysozyme treatment. DNA
460 libraries were prepared using Nextera XT kit (illumina) and sequenced on a MiSeq
461 instrument using a 300pb paired-end strategy. The obtained paired-end reads were
462 trimmed for quality and used for assemblies using SPAdes⁴⁷.

463

464 **Global *E. faecium* genomic characterization**

465 To place the population structure of Latin American *VREfm* into global context, we
466 included 285 *E. faecium* genomes from the publicly available collection available at

467 NCBI. We aimed to incorporate a diverse set of sequences, including colonizing,
468 commensal, animal and clinical sources recovered between 1946 and 2017 in
469 Europe, North America, Asia, Africa, and Australia (Supplementary Table 1).
470 Accordingly to the source, the *E. faecium* genomes were grouped into different
471 categories: **i)** isolates from stools or rectal swabs of hospitalized patients (n=59), **ii)**
472 organisms from hospitalized patients (n=196), recovered from sources other than
473 faeces, including blood (n=113), urine (n=18) and other sources (n=65), **iii)** stools
474 from healthy individuals not in hospital settings (n=13), **iv)** animal isolates (n=47),
475 obtained from different animals, including pets, wild and farm animals, and **v)**
476 “others” (n=25), which included isolates recovered from food products, water, soil,
477 among other non-human and non-animal sources.

478 All sequences (340 *E. faecium* genomes) were annotated using RAST⁴⁸. The
479 sequence type (ST) was determined by MLST tools
480 (<https://github.com/tseemann/mlst>) and verified against PubMLST⁴⁹. Genomic
481 characterization was performed to identify genetic elements associated with
482 resistance using BLASTX⁵⁰ searches against the ResFinder database⁵¹.
483 Additionally, we specifically interrogated the genomes for substitutions in GyrAB
484 and ParCE proteins associated to fluoroquinolone resistance, and mutations in
485 genes encoding 23S rRNA and L3 and L4 proteins associated with linezolid
486 resistance. Detection of mobile elements was performed with BlastN⁵⁰. Search for
487 *rep* families genes^{52,53} and insertion sequences (IS) was carried out with BLASTN
488 searches and compared to the ISFinder database⁵⁴. Identification of virulence
489 elements was performed with BLASTX against a set of potential virulence proteins

490 in enterococci^{4,55}. Identification of CRISPR and *cas*-systems was done using
491 CRISPRfinder⁵⁶ and BLASTX searches using Cas system proteins⁵⁷ as templates.
492 All BLASTX hits were selected if they had an identity percentage higher or equal to
493 95% and a coverage of at least 80% of the target sequence. For BLASTN
494 searches, hits were selected if they had an identity percentage higher than 90%
495 and a coverage of at least 80% of the target sequence. To identify statistically
496 significant differences across proportions of the evaluated characteristics among
497 pairs of clades found, a Z-test was performed ($\alpha=0.01$).

498

499 **Ampicillin resistance prediction based on PBP5 sequences**

500 The ampicillin resistance prediction model for *E. faecium* isolates consisted on a
501 random forest built upon a dataset of 250 PBP5 sequences from isolates with
502 known MIC of ampicillin (62 from susceptible isolates [$\text{MIC} \leq 8 \mu\text{g/ml}$] and 188
503 belonging to resistant ones [$\text{MIC} \geq 16 \mu\text{g/ml}$][Supplementary Table 4]). The model
504 was based on a multiple sequence alignment using the sequence of the PBP5 from
505 Com15 (GenBank accession: WP_002314979.1) isolate as reference (based on
506 previous studies of correlation of the amino acid sequence of this protein with the
507 resistant phenotype^{34,35}) with 110 positions harbouring amino acid changes
508 (Supplementary Table 4). These positions were used to create a random forest
509 model with 100 decision trees; using a training set of 42 isolates (17 susceptible
510 and 25 resistant with a range of MIC values). Based on this training set, forty
511 amino acid changes were selected for the classification based on their
512 discrimination power using recursive elimination process of those with lower score.

513 Next, the model was tested on the whole dataset of PBP5 sequences and had a
514 100% specificity with 96% sensitivity, which resulted in 6 cases of major errors
515 were the isolate was resistant but predicted to be susceptible.

516

517 **Phylogenetic analysis**

518 We built a phylogenetic tree based on the core genome of 55 representative
519 genomes from our collection, including the genome Com15 as outgroup. The core
520 genome was obtained with Roary⁵⁸ and each of the orthogroups was aligned with
521 MUSCLE v3.8⁵⁹. A Maximum Likelihood (ML) guide tree was built with RAxML
522 8.2.11⁶⁰ using a GTR+ Γ model. Using Bayesian approach, we estimated a
523 Maximum Clade Credibility (MCC) tree based on 20 million trees in BEASTv1.8⁶¹.
524 We employed a constant population size, a GTR+ Γ +I substitution model, default
525 prior probability distributions, and a chain length of 100 million steps with a burn-in
526 of 10 million and a 5000-step thinning and the ML as starting tree.

527 The phylogenetic tree for the whole population of *E. faecium* included all the
528 genomes (n=340) and two outgroups (*Enterococcus durans* BDGP3 [GenBank
529 accession: CP022930.1] and *Enterococcus hirae* ATCC 9790 [CP003504.1]). This
530 tree was based on the core genome (genes present in at least 90% of the studied
531 genomes) obtained with Roary, each orthogroup was individually aligned with
532 MUSCLE and then concatenated to obtain a matrix. The alignment matrix was
533 used for Bayesian phylogenetic reconstruction with BEAST. Model parameters

534 were the same as above with a chain length of 300 million steps, a burn-in of 80
535 million steps, and a random starting tree.

536 The second phylogenetic reconstruction included the genomes grouped into the
537 clade corresponding to the previously designed Clade A³. We realized pairwise
538 comparisons of the assemblies with Mummer 3.23⁶² against the reference genome
539 Aus0085 (CP006620.1). The identified variants and the reference sequence were
540 used to create a multiple whole genome alignment and, with it, we built a guide
541 tree with RAxML⁶⁰ using the abovementioned parameters. This guide tree was
542 used later to obtain the recombinant regions in the alignment with
543 ClonalFrameML³³ for each isolate. Those regions were further removed from the
544 alignment and then used to produce a MCC tree with BEAST. The same run
545 parameters as above were used with a 50-million step burn-in.

546 Finally, a strict molecular clock analysis was performed on clade A strains. We
547 dated the tips on the isolates accordingly to the sampling year. The analysis was
548 done with the non-recombinant regions of the whole genome alignment as matrix
549 and the MCC from the second analysis, as a guide tree. The analysis had a 300
550 million length chain and a burn-in of 30 million to obtain ESS numbers above 200.
551 All MCC trees were computed with a 0.3 posterior clade probability cut-off and
552 mean heights. To estimate the evolution rates across subclades, further
553 subgrouping of the isolates was performed and a similar molecular clock analysis
554 without guide tree were performed for each group using 100 million chain length
555 and 10% burn in. All BEAST runs were performed on the CIPRES Science
556 gateway servers⁶³.

557

558 **Data Availability**

559 All genomic data is available at GenBank database, accession numbers for the
560 sequenced genomes are listed in Supplementary Table 3. The datasets generated
561 during and/or analysed during the current study are available from the
562 corresponding author on reasonable request.

563

564 **Ethics declarations**

565 We declare no ethical competing interest. In our study, we did not perform any
566 experiments with animals or higher invertebrates, neither performed experiments
567 on humans and/or the use of human tissue samples. Our data have been
568 originated from bacteria, not linked to clinical information, collected in previous
569 studies and following full ethical approvals. Also, additional genomic data that we
570 included for the analysis are available on public repositories (NCBI and published
571 articles).

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750

751 **Author contributions**

752 R.R. performed experiments, carried out all statistical analyses, analysed results
753 and wrote draft of the manuscript, L.D. and C.A.A conceived the study, analysed
754 the results, and drafted and reviewed the manuscript, J.R. and D.P. conceived the
755 study, interpreted data and analysed the results, P.J.P and SO.K. conceived
756 experiments and provided key experimental suggestions, B.E.M. T.T.T and J.M.M
757 interpreted and analyse data and helped to write the manuscript, L.P.C., S.R,
758 A.M.E., A.D. and A.N. performed experiments and analysed data. All authors
759 contributed to improve the manuscript and gave approval of the final version prior
760 to submission.

761

762 **Additional Information**

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764 Ltd and Entasis Therapeutics. B.E.M has received grants funded by Cubist/Merck,
765 Forest/Actavis and is consultant of Paratek and Cempra.

766 The other authors declare no competing interests.

767

768

769

770 **Figure Legends**

771 **Figure 1.** (A) Bayesian phylogenomic tree from the core genome and genomic
772 characterization of resistance elements of 55 representative Latin American *VREfm*
773 strains; the presence of a genetic element is marked as a black box in the
774 corresponding column of the isolate. (B) Phenotypic resistance profile of 207 clinical
775 isolates of *VREfm* from our Latin American collection for vancomycin (VAN),
776 teicoplanin (TEI), ampicillin (AMP), chloramphenicol (CHL), ciprofloxacin (CIP),
777 linezolid (LNZ), high-level resistance to gentamicin (HLR-GE) and high-level
778 resistance to streptomycin (HLR-STR).

779 **Figure 2.** Bayesian phylogenomic tree from the core genome and genomic
780 characterization of virulence factors of 55 representative Latin American *VREfm*
781 strains, the presence of a genetic element is marked as a black box in the
782 corresponding column of the isolate.

783 **Figure 3.** Bayesian phylogenomic tree from the core genome of 340 genomes
784 sampled from 36 countries between 1946 and 2017 and from different sources. Blue
785 branches showed the genomes grouped within clade B, while brown branches show
786 isolates from clade A. The outer coloured rings (from inner to outer) indicate the
787 source of each isolate, the region from which it was sampled and its relationship
788 through MLST typing (if possible) to Clonal Complex 17. Labels show the isolates
789 originating from our Latin American collection.

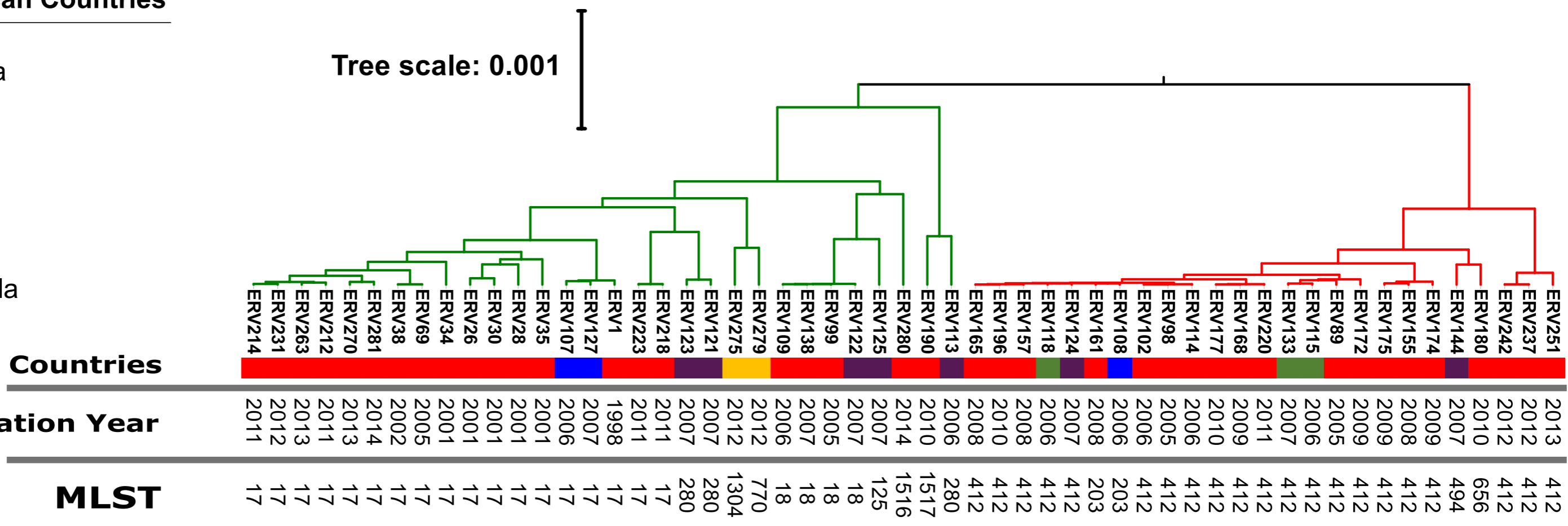
790 **Figure 4.** Bayesian phylogenomic tree from the non-recombinant regions of the 303
791 Clade A genomes. Branches highlighted in orange represent genomes from the

792 animal early branches. Branches highlighted in pink show genomes from clinical
793 related isolates. Red and green branches show the genomes from clinically related
794 subclades (CRS) I and II, respectively. Annotation rings (from inner to outer) show
795 the sequence type (ST) of the isolate (only the five most prevalent STs in the sample
796 are shown), the isolation year, the region from which the isolate was sampled and if
797 the region was Latin America, the exact country from where it was obtained. The last
798 ring shows which isolates were recovered from blood.

Latin American Countries

- Colombia
- Ecuador
- Mexico
- Peru
- Venezuela

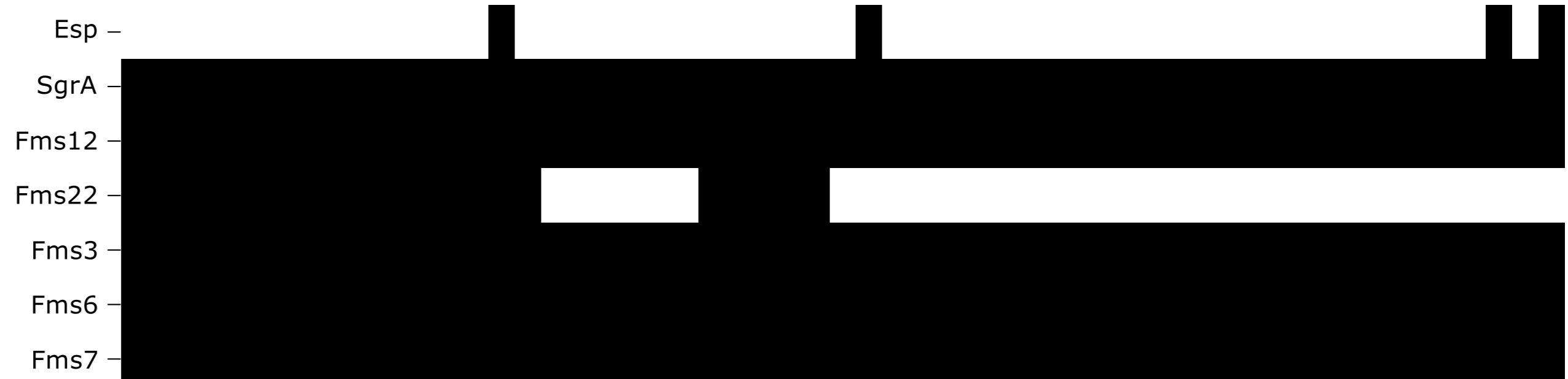
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Secreted Virulence Factors



LPxTG domain proteins MSCRAMMs



Adhesins

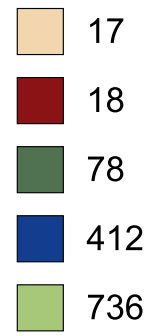


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PilA



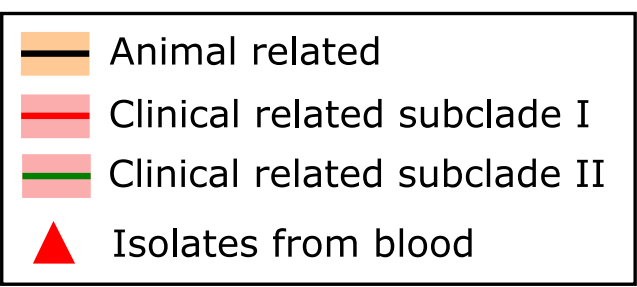
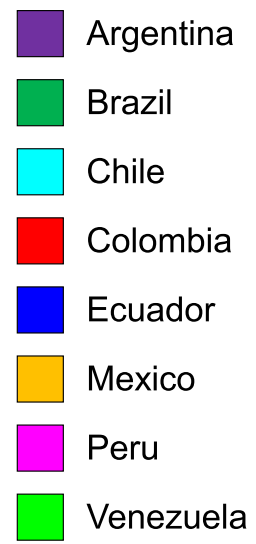
Prevalent Sequence types



Regions



Latin American Countries



Tree scale: 0.0001

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