Elucidating the competition between heterotrophic denitrification and DNRA using the resource-ratio theory

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14 Abstract

15 Denitrification and dissimilatory nitrate reduction to ammonium (DNRA) are two microbial 16 processes competing for nitrate and organic carbon (COD). Their competition has great 17 implications for nitrogen loss, conservation, and greenhouse gas emissions. Nevertheless, a 18 comprehensive and mechanistic understanding of the governing factors for this competition is 19 still lacking. We applied the resource-ratio theory and verified it with competition 20 experiments of denitrification and DNRA reported in the literature. Based on this theory, we 21 revealed how COD/N ratio, influent resource concentrations, dilution rate, and stoichiometric 22 and kinetic parameters individually and collectively define the boundaries for different 23 competition outcomes in continuous cultures. The influent COD/N ratio alone did not drive 24 competition outcome as the boundary COD/N ratio for different competition outcomes 25 changed significantly with influent resource concentrations. The stoichiometry of the two 26 processes was determinative for the boundaries, whereas the affinity for the resources (Ks), 27 maximum specific growth rate (μ_{max}) of the two species and the dilution rate had significant 28 impacts as well but mainly at low influent resource concentrations (e.g., $<100 \ \mu M$ nitrate). 29 The proposed approach allows for a more comprehensive understanding of the parameters 30 controlling microbial selection and explains apparently conflicting experimental results. The 31 results from this model also provide testable hypotheses and tools for understanding and 32 managing the fate of nitrate in ecosystems and for other species that compete for two 33 resources.

34 Keywords: Nitrate reduction; Chemostat; Resource concentration; COD/N ratio; Dilution
35 rate; Mathematic model

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37 **1. Introduction**

38 Denitrification (DEN) and dissimilatory nitrate reduction to ammonium (DNRA, also termed 39 as dissimilatory/respiratory/nitrate ammonification) are two main microbial processes 40 competing for nitrate as an electron acceptor [1]. During denitrification, nitrate is converted to 41 nitrogen gas, thereby leading to nitrogen loss in natural and engineered ecosystems such as 42 wastewater treatment plants (WWTPs). Nitrous oxide, a potent greenhouse gas, can be 43 emitted during this process, posing an increasing concern [2]. In contrast, DNRA retains 44 nitrogen locally by converting nitrate to bioavailable ammonium, which may be beneficial for 45 natural ecosystems but unwanted for WWTPs [3]. Besides, DNRA seems not to contribute to 46 N_2O emissions [1]. Growing evidence suggests that DNRA can be significant in both aquatic 47 and terrestrial ecosystems [4, 5]. Nevertheless, little is known about the importance of DNRA 48 and its relative contribution to global N-cycling [1, 6, 7]. Therefore, there is a pressing need to 49 better comprehend the factors influencing the competition between denitrification and DNRA 50 for nitrate.

51 Energetics and kinetics are the general physiological features of microorganisms that 52 explain and regulate the outcome of competition [8]. Theoretically, the catabolic reaction of 53 the denitrification pathway yields more free energy per unit of organic carbon oxidized (e.g., 54 802 vs. 505 kJ per mole acetate) whereas for the DNRA pathway slightly more free energy is 55 liberated per unit of nitrate reduced (505 vs. 501 kJ per mole nitrate with acetate as electron 56 donor) [3, 9]. Moreover, the biomass yield per mole nitrate is 0.2-2 times higher from the 57 DNRA process than that of the DEN process [3, 9]. Therefore, from a thermodynamic 58 standpoint, it can be justified that DEN should occur under organic carbon-limiting conditions 59 (i.e., low COD/N), while DNRA is promoted under nitrate-limiting conditions (i.e., high 60 COD/N) [3, 10–12]. In addition, Tiedje [12] proposed a theory that high labile carbon 61 availability would favor organisms that use electron acceptors most efficiently; DNRA

62 transfers eight electrons per mole of nitrate reduced, whereas denitrification only transfers 63 five. According to this theory, DNRA should be more efficient and abundant under nitrate-64 limiting conditions. Previous studies also suggest that DNRA bacteria generally have a lower 65 maximum specific growth rate but a higher affinity for nitrate compared to denitrifiers [8, 13]. 66 The higher affinity for nitrate may also explain the observed dominance of DNRA over 67 denitrification under nitrate-limiting conditions [13]. In opposition to the theoretical 68 explanations that suggest DNRA dominance under nitrate-limiting conditions, results have 69 shown that high COD/N ratios do not necessarily lead to a shift from DEN to DNRA [14, 15].

70 Apart from energetics and kinetics, environmental conditions affect the biological 71 nitrate partitioning as well. There are multiple studies suggesting that the competition depends 72 on the dilution rate [11, 16] and initial resource concentration [8]. In addition, other studies 73 conducted with a pure culture that encompasses a dual pathway showed that COD/N ratio 74 alone was insufficient to explain pathway selection as at low resource concentrations the 75 culture disproportionately utilizes DNRA rather than denitrification [17]. These results 76 delineate that a comprehensive understanding of the factors that drive the partitioning or 77 coexistence of both pathways is lacking, and a mathematical approach to explain competition 78 outcome may be helpful.

79 Over the years, theoretical frameworks have been developed to predict the outcome of 80 interspecies microbial competition for the same resources. One example is the resource-ratio 81 theory, which describes the interactions between resources and growth of two or more 82 competing species and can predict the outcomes of microbial competition for resources, in 83 advance of actual competition experiments [18-20]. This theory takes both physiological 84 properties and environmental conditions into account. It has been successfully demonstrated 85 in predicting the outcome of microbial competition for a single nutrient [21] as well as in an 86 ecological competition between algae for two resources (phosphate and silicate) [22]. The

analytical solutions of generalized competition scenarios in continuous systems (e.g.,
chemostat) have been investigated at steady state, and results revealed survival of one or
coexistence of both species at given circumstance (e.g., [19, 23]).

90 This study investigates the potential of the resource-ratio theory in elucidating the 91 competition between denitrification and DNRA. More specifically, it is studied whether this 92 mathematical approach can match and explain the underlying principle for the seemingly 93 conflicting measurements conducted at different COD/N ratios in different studies. To this 94 end, the resource-ratio theory was applied to predict the experimental competition outcome of 95 heterotrophic denitrifiers and DNRA bacteria in continuous cultures [3, 13]. After verification, 96 the theory was used to test different conditions to understand what may drive pathway 97 partitioning or coexistence. The results highlight the impact of COD/N ratio, resource 98 concentrations, dilution rate, and microbial stoichiometric and kinetic parameters on the 99 competition outcome. Moreover, a generalized spreadsheet was created and supplied to ease 100 the application of this mechanistic theory to similar competition scenarios.

102 **2. Materials and methods**

After introducing the basics of the resource-ratio theory (section 2.1), this theory was implemented to predict the competition outcome of heterotrophic denitrification and DNRA (section 2.2). Its applicability was subsequently evaluated with experimental data available from literature case studies [3, 13] (section 2.3).

107 **2.1 The resource-ratio theory**

The resource-ratio theory describes the interaction between resources and growth of competing species and enables to predict the outcome of microbial competition for shared resources, instead of or prior to actual competition experiments [18, 19]. The growth of the microorganisms on the limiting resources was assumed to follow Monod kinetics (Eq. 1) [24].

$$\mu_i = \mu_{max,i} \frac{S}{K_{Si} + S} \tag{1}$$

112 Where μ_i is the specific growth rate of species i (h⁻¹); $\mu_{max,i}$ is the maximum specific growth 113 rate of species i (h⁻¹); K_{si} is the half-saturation constant (i.e., affinity constant) of species i for 114 S (μ M); S is the concentration of resource S (μ M).

115 For every limiting resource in a continuous system, there is a subsistence resource 116 concentration at which the growth rate balances the dilution rate (D), which is defined as the 117 parameter J_S (Eq. 2). Is also represents the concentration of resource S at steady state [25]. 118 Below this concentration, the net growth rate would be negative, and thus, the species cannot 119 sustain. If n species are competing for a single limiting resource (S), the species i with the 120 lowest subsistence resource concentration Jsi can utilize the limiting resource to the lowest 121 level at a given dilution rate and influent resource concentration and thus is the only possible 122 winner at steady-state. This has been previously proven mathematically [25] and 123 experimentally [21].

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$$J_{Si} = K_{Si} \cdot \frac{D}{r_{Si}} = K_{Si} \cdot \frac{D}{\mu_{max,i} - D}$$
(2)

Where J_{si} is the subsistence concentration of growth-limiting resource S for species i (μ M); D is the dilution rate (h⁻¹); r_{si} is the intrinsic growth rate and equals to ($\mu_{max,i} - D$) (h⁻¹);

127 The competition of two species (N1 and N2) for two resources (S and R) in a 128 continuous culture is illustrated in Fig. 1, following a graphical-mechanistic approach that 129 was developed to study the competition and predation in macroecology [18]. In this two 130 resources plane (Fig. 1), for every species i, the so-called 'Zero Net Growth Isoclines' (ZNGIs) 131 are drawn, which are defined by the subsistence resource concentrations (i.e. J parameter) for 132 the two complementary resources. A species i cannot survive outside the boundary ZNGIs, 133 i.e., for $S < J_{Si}$ and/or $R < J_{Ri}$, even in the absence of a competing species. Stable coexistence 134 only occurs when the ZNGIs of the two species coincide (as in Fig.1), i.e. when each species 135 has lower subsistence concentration (J) for one of the two resources. If the ZNGIs of two 136 competing species do not cross, it means one species must have lower J parameters for both of 137 the two resources, and as a result it would always win the competition [18, 19]. Moreover, it 138 is assumed that the growth is restricted by the most limiting resource (i.e., the one that results 139 in lower growth rate in Eq.1), as described by Eq. 3 [19]. To maintain an equilibrium 140 population, the resource consumption rate must balance the resource supply rate. The 141 consumption vector (defined as C_i, Eq.4 and in Fig. 1) and the ZNGIs define the regions in 142 which either one of the two dominates or two species coexist (Fig. 1). The model based on 143 this theory was further detailed in the Supplementary Information (SI, section S1).

$$\mu_i(S,R) = \min(\mu_{max,i}\frac{S}{K_{Si}+S}, \quad \mu_{max,i}\frac{R}{K_{Ri}+R})$$
 (3)

$$C_i = \frac{Y_{Si}}{Y_{Ri}} \tag{4}$$

Where Y_{Si} , Y_{Ri} are the yield of species i per unit of resource S or R consumed (mole biomass per mole S or R); therefore C_i represents the ratio of the consumption of resource R to resource S by species i (mole R per mole S).

Overall, with kinetic and stoichiometric parameters of the competing species (e.g. μ_{max} , *Ks* and *Y*) and environmental conditions (e.g., influent resource concentration and dilution rate), the resource-ratio theory enables to qualitatively and quantitatively predict the competition outcomes, the status of the competing species and resources (e.g., concentrations) at steady state (i.e., equilibrium points) and the dynamic (i.e. how the steady state is reached).

152 **2.2** Application of the theory for the competition between denitrification and DNRA

In this study, heterotrophic denitrification and DNRA were assumed to be carried out by two distinct specialist species and directly compete for nitrate and organic carbon (COD, e.g., acetate). Their competition in continuous culture (i.e., chemostat) can be regarded as a specific case of the general resource-ratio theory for two species competing for two resources (Fig. S1). The kinetic and stoichiometric parameters used in this study for the application of this theory are presented in Table S2.

These values were used as the default (i) to verify the theory (section 3.1), (ii) to analyze the impact of influent resource concentration and dilution rate on the boundary COD/N ratios and thus the competition outcome (section 3.2 and 3.3), and (iii) to study the dynamic system behaviour (section 3.5). To date, kinetic and stoichiometric parameters (e.g., *Y*, μ_{max} , and *Ks*) of heterotrophic DNRA microorganisms have only been limitedly reported. Therefore, a local sensitivity analysis was performed to investigate the potential impact of these parameters on the competition outcome (section 3.4).

166 **2.3 Experimental case studies for theory verification**

- 167 To verify the resource-ratio theory, two experimental studies on the competition between
- 168 heterotrophic denitrification and DNRA processes by van den Berg et al. [3, 13] were used. In
- 169 these studies, chemostat enrichment cultures were fed with different levels of acetate and
- 170 nitrate (COD/N=1.8-8.5 g COD g N⁻¹), with an averaged dilution rate of 0.026 h^{-1} . The
- 171 experimental conditions, observed competition outcomes, and measured biomass
- 172 concentrations at steady state are summarized in Table S3.

173 **3. Results and discussion**

174 **3.1 Verification of the resource-ratio theory**

This study used the results from two previously published chemostat enrichment cultures as case studies for theory verification [3, 13]. Theses cultures were fed with different levels of acetate and nitrate (COD/N=1.8-8.5 g COD g N^{-1} , Table S3). It was concluded that denitrifiers dominated under carbon-limiting (i.e., high COD/N) conditions, whereas DNRA bacteria dominated under nitrate-limiting (i.e., high COD/N) conditions [3, 13]. Moreover, the coexistence of denitrifiers and DNRA bacteria was found for a wide range of intermediate influent COD/N ratios (Table S3).

182 Fig. 2 compares the measured and predicted competition outcomes at 12 conditions 183 tested in the case studies. The predictions agreed with the measurements under 11 conditions 184 tested (Fig. 2). The only condition (influent 5857 μ M nitrate and 6278 μ M acetate) where 185 denitrification dominance was observed whereas coexistence was predicted (Fig. 2), was close 186 to the predicted boundary, and microbial community analysis clearly evidenced the strong 187 presence of DNRA bacteria at that point [3], implying that steady state may not have been 188 reached yet experimentally at this point. Quantitatively, the predicted steady-state biomass 189 concentrations and abundance were in good agreement with the measurements under all 7 190 conditions tested in case study 1 (Fig. 3A and 3B, where influent resource concentrations 191 were converted to COD/N ratio for simplicity). Overall, the predictions of this study were 192 both qualitatively and quantitatively in close alignment with the measured data (Fig. 2 and 3). 193 Therefore, the resource-ratio theory was considered valid for predicting the outcome of the 194 competition between heterotrophic denitrifiers and DNRA bacteria in continuous systems.

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3.2 Impact of resource concentration on competition outcome

198 The boundaries of the different regions in Fig. 2 can be expressed by the COD/N ratio, which 199 is often used in literature for competition and field studies [3, 4, 10, 11]. Fig. 4 illustrates the 200 influent COD/N ratios of the boundaries at different influent nitrate concentrations. These 201 boundaries COD/N ratios define the tipping point at which one process prevails over or 202 coexists next to the other. For instance, the upper boundary of the region for coexistence (i.e., 203 region 4) represented the minimum influent COD/N ratio for DNRA dominance, whereas its 204 lower boundary represented the maximum influent COD/N ratio for DEN dominance (Fig. 4). 205 Overall, the boundaries influent COD/N ratios changed significantly at low influent nitrate 206 concentrations (e.g., $< 100 \,\mu\text{M}$) and gradually stabilized at high influent nitrate concentrations 207 (e.g., $> 1000 \mu$ M). With the increase of influent nitrate concentration, the region for 208 coexistence (region 4) gradually widened and its upper and lower boundary influent COD/N 209 ratios asymptotically approached the stoichiometric values of C_{DNRA} (corresponds to COD/N 210 of 6.15) and C_{DEN} (corresponds to COD/N of 4.04), respectively. The stabilized boundaries at 211 high influent nitrate concentration (Fig. 4) were also confirmed in Fig. 3B. Despite the large 212 difference in influent nitrate concentration (1000 μ M used for prediction vs. 11790 μ M in the 213 experiments, Table S3), the predicted DNRA biomass fraction from the model agreed with the 214 measurements (Fig. 3B). The trend also held for different influent COD concentrations (Fig. 215 S4)

Overall, the results clearly illustrate that, as a governing factor of the competition between the two nitrate partitioning pathways, the boundary influent COD/N ratios were not constant but could change significantly with influent resource concentrations. At high influent resource concentrations, process stoichiometry (reflected in C_i) of the two competing processes was the determining factor of the boundary influent COD/N ratios, whereas kinetics (i.e., K_s and μ_{max} , reflected in J_s and thus the ZNGIs, Fig. 2 & 4) were important as well but

222 only at low influent resource concentrations. This implies that influent COD/N ratio alone is 223 not sufficient to predict the competition outcome of heterotrophic denitrification and DNRA. 224 Different competition outcomes (resource limitation) could occur at the same influent COD/N 225 ratio but varying influent resource concentrations (e.g., at the same influent COD/N ratio of 226 6.86, all four possible competition outcomes could occur for points a, b, c, and d in Fig. 4, 227 detailed Fig. S5). In this theoretical study, the competition between DEN and DNRA is 228 determined by both stoichiometries and growth kinetics. The stoichiometries were assumed to 229 be constant. The change of the boundary COD/N ratio with influent nitrate level was a result 230 of the change of growth rate of two species and thus the contribution of the two processes at 231 different influent nitrate concentrations.

232 The result also raises the question of how to anticipate the threshold of resource 233 limitation in continuous cultures. Resource limitation is normally anticipated based on the 234 process stoichiometry; for instance, nitrate is expected to be the limiting resource when it is 235 lower than the stoichiometry would require in relation to COD [17]. Our results show that this 236 stoichiometry-based definition is inadequate. For example, nitrate limitation (and thus DNRA 237 dominance) would occur when the influent COD/N ratio was above 6.16 (close to the DNRA 238 stoichiometry) at influent nitrate concentration of $1000 \,\mu\text{M}$, whereas it would only occur with 239 the COD/N ratio above 8.05 at influent nitrate concentration of $10 \,\mu\text{M}$ (Fig. 4).

The impact of resource concentrations on competition outcomes has significant implications, as different ecosystems have various nitrate availability (i.e., influent nitrate concentration) (Table 1) and therefore possibly different boundary COD/N ratios for nitrate partitioning. High nitrate concentrations have been reported in some ecosystems, for example, in groundwater at a nuclear waste site (up to 233mM [26]), in soil adjacent to bats guano caves [27], and in coastal rockpools affected by gull guano where high level of ammonium that can further result in high nitrate level due to nitrification was observed (e.g., 1600 µM

247 [28]). However, the nitrate concentrations in natural aquatic and terrestrial ecosystems are 248 normally low (e.g., $<100 \mu$ M, Table 3), at which the boundaries of different competition 249 outcomes changed dramatically (Fig. 4). Lab-scale competition studies often supply high 250 concentrations of nitrate (> 1000 μ M, e.g., in [3, 10, 16]) at which the boundaries were rather 251 stable and mainly defined by the stoichiometries of denitrifiers and DNRA bacteria (Fig. 4). 252 The thresholds obtained from these high-nitrate environments were closely resembled with 253 our model. However, little experimental data is available for environmentally relevant 254 scenarios with low nitrate conditions. It would be interesting to design experiments to check 255 the theory under these conditions.

In the context of WWTPs, nitrate concentrations and COD/N ratios could change in a wide range along the treatment line. DNRA bacteria were shown to be enriched from activated sludge in chemostats with high COD/N ratio influent [3] and coexisted with denitrifiers in wastewater treatment wetlands [34, 35]. Besides, the use of biofilm reactors is increasing, where substrate gradients can be formed within the biofilm and may thus create microenvironments with high COD/N for DNRA to proliferate. The role of DNRA in WWTPs needs to be further characterized.

263 Some of the seemingly conflicting results concerning the impact of COD/N ratio may 264 partially attribute to the type of system used for investigation, i.e., continuous (i.e., chemostat) 265 versus batch cultures. In continuous cultures, the competition outcome is determined by the 266 subsistence concentration for the limiting resource (Js, Eq. 2), as shown in this study. Stable 267 resource limitation can be reached in continuous cultures but not in batch cultures [13, 36]. In 268 batch cultures, the competition outcome of different microorganisms is determined by their 269 maximum growth rate [37]. Using both systems with a dual-pathway pure culture, Yoon et al. 270 [10] suggested that the batch systems cannot resolve the impact of COD/N ratio on pathways 271 selection between denitrification and DNRA. In a batch incubation system, the shift from 272 DEN to DNRA with increasing initial COD/N ratios, as expected in continuous cultures, was 273 not established [14]. Fig. S6 demonstrates a straightforward comparison between these two 274 systems. With the same initial conditions (COD/N ratio of 6.86, same of amount of DNRA 275 and DEN bacteria), DNRA outcompeted DEN in a continuous culture at steady state with 276 nitrate being the limiting substrate, whereas the opposite competition outcome was obtained 277 in a batch culture (Fig. S6). Therefore, caution is required when comparing the results 278 obtained from batch and continuous cultures.

279 **3.3 Impact of dilution rate on competition outcome**

280 In chemostats, the dilution rate (D) dictates the rate of resource supply and biomass washout. 281 A species cannot survive in chemostats above a certain dilution rate (lower than its μ_{max}). The 282 impact of D on single species has been well documented, for instance, in Kuenen and Johnson 283 [38]. The impact of D on the coexistence of two species was therefore investigated closer. 284 According to the resource-ratio theory, stable coexistence is only possible when denitrifiers 285 and DNRA bacteria each have lower subsistence concentration for one of the two resources, i.e., $J_{NO3}^{DEN} > J_{NO3}^{DNRA}$ and $J_{COD}^{DEN} < J_{COD}^{DNRA}$. A critical dilution rate for coexistence (D_C = 286 0.0435 h⁻¹) was thus calculated as a function of the μ_{max} and K_S for nitrate of the two species 287 288 (detailed in section S7). Below D_C, all four possible competition outcomes could occur, 289 whereas above D_C, DNRA could not outcompete denitrification (Fig. 5A).

The boundaries of the region for coexistence (i.e., region 4 in Fig. 4) were used for illustrating the impact of investigated factors on competition outcomes since it is the conjunction region. Fig. 5B illustrates the impact of D on the boundaries of coexistence when D was lower than D_C . Firstly, with the increase of D, the Js also increased (Eq. 2) and thus the minimum requirement for resources to sustain the biomass. Secondly, the impact of D was marginal at high influent nitrate concentrations (e.g., >1000 μ M) but significant at low concentrations (Fig. 5B). At high influent concentrations, the upper and lower boundary

297 COD/N ratios were asymptotically approaching the stoichiometric values of C_{DNRA} and C_{DEN} , 298 respectively. At low influent concentrations, the impact became increasingly profound as D 299 was approaching the critical dilution rate ($D_C = 0.0435 \text{ h}^{-1}$, Fig. 5B). For instance, the 300 boundary COD/N ratios (g COD g N⁻¹) for coexistence were 4.3-6.4 and 7.8-9.6 for a dilution 301 rate of 0.026 and 0.043 h⁻¹ (at influent nitrate concentration of 100 μ M, Fig. 5B), respectively.

302 Overall, the results highlight the importance of dilution rate on competition outcome, 303 especially at low influent resource concentration and/or at high dilution rate. The critical 304 dilution rate for coexistence (D_c) enabled to justify the measured competition outcomes by 305 Rehr and Klemme, (1989). In mixed pure cultures of DNRA bacteria (Citrobacter freundii) 306 and denitrifiers (Pseudomonas stutzeri) competing for nitrate and lactate, stable coexistence was obtained at low dilution rate (0.05 h^{-1}) whereas DNRA bacterium started to be washed 307 out at a dilution rate (0.1 h⁻¹) much lower than its μ_{max} (0.19 h⁻¹) [16]. The results on the 308 309 impact of dilution rate were in agreement with the observations in environmental enrichments 310 by Kraft et al. [11] where denitrifiers outcompeted DNRA bacteria at lower generation time 311 (thus higher dilution rate) even under nitrate-limiting conditions. Regarding the COD/N range 312 for coexistence, van den Berg et al. [13] suggested that it should be independent of the 313 dilution rate. Apparently, this only holds at high resource concentrations (as used in their 314 study) but not at low resource concentrations (e.g., < 1000 µM, Fig. 5B). In a similar 315 competition scenario, Tilman [39] studied the impact of the ratio of two nutrients on the 316 competition outcomes of two algae species and found an apparent curvature of the boundary 317 between stable coexistence and one species dominance at high flow rate (i.e., dilution rate), 318 confirming the impact shown in Fig. 5B.

319 **3.4 Impact of kinetic and stoichiometric parameters on competition outcome**

320 A sensitivity analysis was conducted to investigate the impact of kinetic and stoichiometric 321 parameters (i.e., Ks, μ_{max} and Y) on the competition outcome (Fig. 6). The default values of 322 these parameters (Table S2) were used for the reference case. These parameters are species-323 specific and may change between different denitrifiers and DNRA bacteria. The fate of nitrate 324 is therefore subject to the local communities in an (micro-) ecosystem. The parameters for the 325 same bacteria may also be affected by the environmental conditions (e.g., temperature and 326 pH). For instance, the μ_{max} increases with temperature within a certain temperature range. 327 Consequently, the difference between the μ_{max} of DNRA and DEN may also increase due to 328 global warming and thus affect the fate of nitrate. The sensitivity analysis has the power to 329 unravel the trend in response to the variation of the parameters and can thus give insight into 330 their potential impact on the competition outcome.

331 **3.4.1 Affinity constants for the resources**

332 The ratio of the affinity constants of the two species for nitrate/COD was changed in two 333 magnitudes (Fig. 6A). Stable coexistence (i.e., 0<fraction of DNRA<1) was only possible when the ratio of the affinity constant for nitrate (i.e., $K_{NO3}^{DNRA}/K_{NO3}^{DEN}$) was lower than 334 335 0.43 (Fig. 6A, section S8), indicating that a sufficiently higher affinity of DNRA for nitrate 336 relative to DEN is required. In contrast, the ratio of the affinity constant for COD (i.e., K_{COD}^{DNRA}/ K_{COD}^{DEN}) had to be higher than 0.43 for coexistence (Fig. 6A, section S8). This 337 threshold (i.e., 0.43) was determined by the μ_{max} of the two species and the D of the 338 339 continuous culture (detailed in section S8). Regarding the absolute values of affinity constants, with the simultaneous increase of K_{NO3}^{DNRA} and K_{NO3}^{DNRA} (at fixed $K_{NO3}^{DNRA}/K_{NO3}^{DRRA}$ ratio), 340 341 the pattern changed from DNRA-favored (reference case) to coexistence-favored and further 342 to DEN-favored pattern (Fig. 6B). This implies that the lower the affinity for nitrate of the

two competing species, the lower the threshold (minimum COD/N ratio) for DNRAdominance, especially at low nitrate concentration.

345 Affinity for the competing resources is often used to predict competition outcomes [40, 346 41]. The result demonstrated that the species with higher affinity (i.e., lower Ks) for the 347 limiting resources did not necessarily outcompete other species in continuous cultures (e.g., when $K_{NO3}{}^{DNRA}\!/$ $K_{NO3}{}^{DEN}\!=$ 0.2 and $K_{COD}{}^{DNRA}\!/$ $K_{COD}{}^{DEN}\!=\!0.5,$ DNRA bacteria would have a 348 349 higher affinity for both nitrate and COD. Nevertheless, stable coexistence rather than the 350 displacement of DEN was possible (Fig. 6A)). This illustrates that affinity alone was not 351 sufficient to predict the competition outcome in continuous cultures. The μ_{max} and D need to 352 be taken into account as well, as expressed by Js parameter (Eq. 2) [19, 21, 42].

353 **3.4.2 Maximum specific growth rate**

The difference between the μ_{max} of the two species (i.e., $\Delta \mu_{max} = \mu_{max}^{DEN} - \mu_{max}^{DNRA}$) was used for sensitivity analysis (Fig. 6C). The increase of $\Delta \mu_{max}$ led to no pattern change but a higher threshold for coexistence, whereas the decrease of $\Delta \mu_{max}$ resulted in a gradual shift towards the coexistence-favored pattern. This implies that the bigger the difference between the μ_{max} of the two competing species, the more likely the dominance of denitrification at low resource concentrations would be (i.e., the higher the maximum COD/N ratio for DEN dominance). The constraint for μ_{max} to allow stable coexistence was detailed in section S8.

361 3.4.3 Yield coefficient

Regarding the yield coefficient, the C criterion (i.e., the ratio of Y_{NO3} to Y_{COD} , Eq. 4) of the two competing species was used for sensitivity analysis (Fig. 6D). Results show that it only affected the upper or lower limits. The higher the difference between C_{DNRA} and C_{DEN} (i.e., higher Δ C), the broader the region for coexistence (Fig. 6D). This was in line with the observations of the two case studies used for theory verification (Table S3). A lower Δ C was measured in case 2 [3] relative to case 1 [13] and thereby a narrowed region for coexistence in

368 case 2. Noteworthy, if C_{DNRA} were lower than C_{DEN} , stable coexistence would no longer be 369 possible [18, 19], which in turn supported the measured higher biomass yield over nitrate of 370 DNRA bacteria than that of denitrifiers [3, 9].

371 **3.4.4** Overall impact of kinetic and stoichiometric parameters

The sensitivity analysis illustrated that kinetic and stoichiometric parameters (i.e., *Ks*, μ_{max} , and *Y*) affected both the possibility and the boundaries of stable coexistence of denitrifiers and DNRA bacteria. In the region for stable coexistence, *Ks* and μ_{max} of the two competing species had a significant impact on the boundaries and thus the competition outcome mainly at low resources concentrations (e.g., <100 µM nitrate). The yield coefficients (reflected on *C_i*) could shift the boundaries across all concentration specta and had a greater impact at high concentrations than at low influent concentrations.

379 **3.5 Dynamic system behaviour**

Fig. 7 demonstrates the trajectories to stable coexistence at steady state, with the evolution of the two competing species and two resources in Fig. 7A and the calculated growth rates (μ , Eq.1&3) in Fig. 7B. In the dynamic system behaviour, four phases could be distinguished based on the limiting resource for the growth of DNRA(Fig. 7B).

384 In phase I, the growth of DNRA was limited by acetate ($\mu_{DNRA}=\mu_{DNRA,C}$, Fig. 7B). The 385 concentration of nitrate and acetate in the chemostat decreased with the growth of denitrifiers 386 and DNRA bacteria (Fig. 7A), which in turn resulted in their decreased growth rates (Fig. 7B). By the end of phase I, nitrate concentration reached J_{NO3}^{DNRA} (< J_{NO3}^{DEN}), at which the growth 387 388 rate of denitrifiers (μ_{DEN}) could not balance the loss rate (μ_{DEN} <D, Fig. 7B) and the biomass 389 concentration of denitrifiers thus decreased (Fig. 7A). In phase II, the growth of DNRA was 390 limited by nitrate ($\mu_{DNRA}=\mu_{DNRA,N}$, Fig. 7B) and the low nitrate concentration favored DNRA bacteria, i.e., $\mu_{DNRA} > \mu_{DEN}$ (Fig. 7B). Meanwhile, acetate concentration decreased further and 391 392 reached a point where the growth of DNRA bacteria shifted to become acetate-limited again

393 ($\mu_{DNRA} = \mu_{DNRA,C}$, phase III, Fig. 7B). In phase III, μ_{DNRA} started decreasing with decreasing 394 acetate concentration, resulting in a lower nitrate consumption by DNRA bacteria. 395 Consequently, the nitrate concentration gradually recovered to reach J_{NO3}^{DEN} . Simultaneously, 396 the acetate concentration further decreased to reach J_{COD}^{DNRA} (> J_{NO3}^{DEN}) by the end of phase 397 III. From this point, the growth rate of the two competing species became identical and 398 equaled to dilution rate of the chemostat and thereby reached the steady state (phase IV).

Noteworthy, both nitrate and acetate were limiting (i.e., dual limitation) in phase III&IV, with DNRA being acetate-limited ($J_{COD}^{DNRA} > J_{COD}^{DEN}$) and DEN nitrate-limited ($J_{NO3}^{DEN} > J_{NO3}^{DNRA}$). Therefore, coexistence occurred at steady state because each species was limited by the resource for which its rival has the lower subsistence concentration (J_s) and thus competitive advantage, i.e., DNRA by acetate whereas DEN by nitrate. This is in line with the proposed theoretical condition for coexistence [18, 19, 43] and observed competition behavior (i.e., dual-limitation of acetate and nitrate at stable coexistence [13]).

406 **3.6 Model assumptions and their implications**

In this study, denitrification and DNRA were assumed to directly compete for nitrate and be carried out by two distinct specialist species. This section discusses the role of nitrite, the potential difference between specialist and dual-pathway species and the complexity of electron donor (organics), and their implications in predicting the competition outcome.

411 Nitrite is the common intermediate and the branching point of the two pathways, and 412 both nitrate and nitrite can be the terminal electron acceptors in DEN and DNRA [1]. 413 However, there is still a lack of consensus about the role of nitrite in their competition. Kraft 414 et al. [11] found a shift from DNRA to DEN when nitrate was replaced by nitrite in chemostat 415 enrichment systems with marine sediments and postulated nitrite as a determining factor in 416 the selection of the two pathways, suggesting that denitrifiers have a comparatively higher

417 affinity for nitrite. Yoon et al. [44] showed the ratio of nitrite to nitrate was determinative in 418 pathway selection in a chemostat study with dual-pathway pure culture, with DNRA 419 dominated at higher nitrite/nitrate ratios. In contrast, van den Berg et al. [45] demonstrated 420 that nitrite does not generally control the competition between denitrification and DNRA in 421 chemostat enrichment cultures. In general, if there is nitrite accumulation, there is no need to 422 consider nitrite in the model. If the competition of denitrification and DNRA only lies in the 423 nitrite reduction, then the resource-ratio theory could be easily implemented in the same way. 424 However, the parameters related to nitrite (e.g., Ks and yield) are still largely missing and 425 need further determination. In case where nitrite accumulation is observed, the applicability of 426 the resource-ratio theory would be limited as it would not be suitable to describe DEN and 427 DNRA as one-step reactions.

428 The possible difference between dual-pathway and specialist microorganisms deserves 429 further clarification. In dual-pathway microorganisms, the first step (i.e., nitrate reduction to 430 nitrite) may be catalyzed by the same enzyme, and the competition of the two pathways 431 would thus lie on nitrite. For example, the dual-pathway Shewanella loihica PV-4 utilizes 432 NapA and I. calvum utilizes NarG for nitrate reduction [17]. This may explain the observed 433 determining effect of nitrite on pathway selection in *Shewanella loihica* PV-4 [44] but not in 434 the enrichment cultures where different bacteria are responsible for denitrification and DNRA 435 [45]. Moreover, the competition between two species could result in the displacement of the 436 rival, whereas competition of two pathways within the same microorganism may depend on 437 the maximum benefit (e.g., maximum energy production or electron transfer) for the 438 microorganism under certain conditions.

The results in this study revealed what determined the boundary COD/N ratios of different competition outcomes between heterotrophic DEN and DNRA, using a nonfermentative acetate as an example for electron donors (i.e., organics). However, the nature of

442 organics can be complex and have been shown to affect the competition outcome [11, 16, 46]. 443 The presence of fermentative organic carbon (e.g., lactate) may stimulate fermentative 444 bacteria which can directly compete for both nitrate and organic carbon through fermentative 445 DNRA process [47] and/or alter the organic carbon available for denitrifiers and DNRA 446 bacteria [46]. Consequently, a higher influent COD/N ratio may be needed for DNRA 447 dominance [46]. Previous study suggested that the nitrogen conversions in the oxygen 448 minimum zones (OMZs) of the ocean was likely regulated by organic carbon [29]. The 449 composition and concentration of organic carbon can change both spatially and temporally 450 and different organic compounds may have different influence on various microbial processes 451 [29, 48, 49]. More detailed organic geochemical analyses in different ecosystems and 452 incorporation of fermentative bacteria in the DNRA modeling are of interest for future studies.

453 **3.7 Potential further applications of the resource-ratio theory**

454 One commonly accepted theory for interspecies competition for the same substrate is the K/r 455 strategist hypothesis [50, 51]. With the default kinetics currently available, DNRA resembles 456 a K-strategist (species with high substrate affinity and low μ_{max}) and DEN a r-strategist 457 (species with low substrate affinity and high μ_{max}). According to this theory, DNRA would 458 win the competition against DEN when both organisms are subjected to low-nitrate conditions 459 (i.e., high COD/N), which agrees with the prediction of the resource-ratio theory that was 460 used here (Fig. 4) and that are also confirmed experimentally [3, 16]. Nevertheless, the K/r 461 strategist hypothesis only considers one limiting substrate (nitrate or COD), whereas the 462 resource-ratio theory simultaneously takes both limiting substrates (and dilution rate) into 463 account and is thus more comprehensive.

In this study, the resource-ratio theory was applied to elucidate the competition between denitrification and DNRA for nitrate and organic carbon. Nevertheless, the conclusions and their implications can be extended to other similar competition scenarios, for

467 instance, the competition between ammonia-oxidizing bacteria (AOB), archaea (AOA) and 468 comammox microorganisms for ammonia and oxygen, and the competition between sulfide-469 based autotrophic denitrification and DNRA. As demonstrated in this study and previously 470 [52–54], the resource ratio-theory offers mechanistic insights and quantitative prediction of 471 competition outcomes between microorganisms for common resources. Despite its relatively 472 easy implementation and great value, its application in the microbial competition is still rather 473 limited. To ease the application, a decision tree (Fig. S2) and a spreadsheet model 474 (Supplementary Information_2) were created and provided for the generalized scenario where 475 two species exploitatively compete for two essential resources, as is the case for DEN and 476 DNRA.

477 **4. Conclusions**

478 The resource-ratio theory was applied to elucidate the competition between heterotrophic 479 denitrification and DNRA in continuous cultures and verified with experimental results. The 480 results highlight the impact of resource concentrations, dilution rate and microbial kinetic and 481 stoichiometric parameters on the boundary COD/N ratios and thus the competition outcome. 482 The COD/N ratio dictated the competition between the two nitrate partitioning pathways, 483 however, the boundary values changed significantly with influent resource concentrations. At 484 high influent resource concentrations, the stoichiometries (i.e., consumption of COD per 485 nitrate) of the two competing processes was the determining factor of the boundary COD/N 486 ratios, whereas kinetics (i.e., K_S and μ_{max}) was important as well but only at low influent 487 resource concentrations. The dilution rate became significant at low influent resource 488 concentration and/or high values close to the critical ones. At stable coexistence, the growth 489 of DNRA and DEN was limited by COD and nitrate, respectively. The results also provide 490 testable hypotheses concerning the nitrate partitioning at environmentally relevant low nitrate

- 491 conditions for further research. The conclusions based on the verified resource-ratio theory
- 492 potentially have broad implications for similar competition scenarios.

493 **Conflict of Interest**

494 The authors declare no conflicts of interest.

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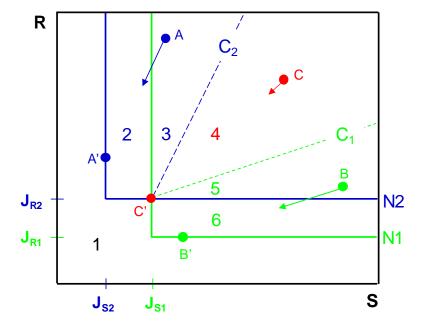
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646 Figure 1. Graphical representation of resource competition of two species (N1 and N2) competing for 647 two resources (S and R) at a specific dilution rate. The solid lines labeled N1 and N2 are the Zero Net 648 Growth Isoclines (ZNGIs) for the two species. The dashed lines are the consumption vectors for the 649 two species, with the slope of C1 and C2, respectively. The competition outcomes can be predicted 650 from the supply point (defined by the supplied concentration of resource S and R in this S-R plane, 651 e.g., points A, B and C). Region 1, no species can survive; Region 2, only species N2 can survive; 652 Region 3, species N2 outcompetes species N1, dynamic behavior (trajectory) governed by slope C_2 ; 653 Region 4, the two species stably coexist; Region 5, species N1 outcompetes species N2, dynamic 654 behavior (trajectory) governed by slope C_1 ; Region 6, only species N1 can survive. The equilibrium 655 points always fall on the ZNGIs. Points A', B' and C' represent the corresponding equilibrium points 656 of supply points A, B and C. Line A-A' has the same slope as C2, whereas line B-B' has the same 657 slope of C1. All supply points in region 4 would reach the same equilibrium point C'.

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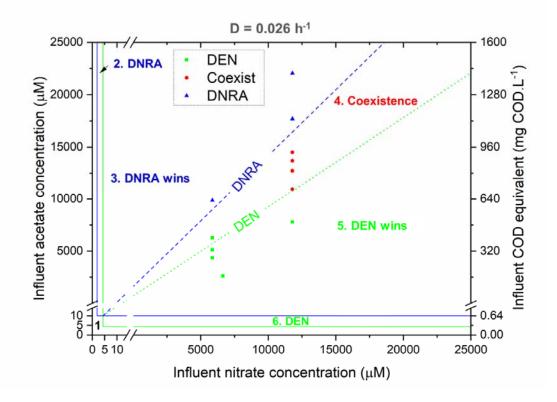
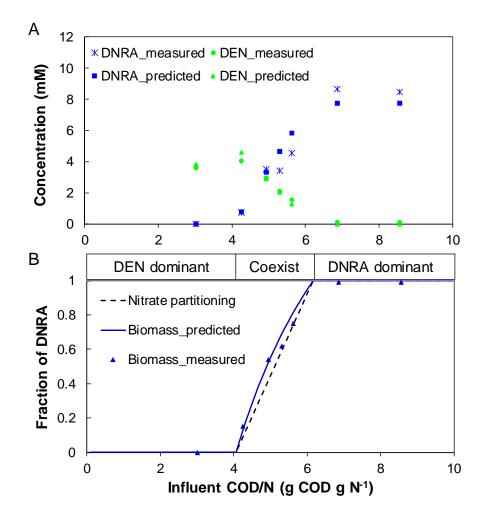


Figure 2. Predicted and observed outcomes of competition for nitrate and organic carbon by heterotrophic denitrifiers and DNRA bacteria in continuous cultures at a dilution rate of 0.026 h⁻¹. Experiments [3, 13] for which DEN was dominant are shown with green squares; those for which DNRA was dominant are shown with blue triangles, and those for which coexistence was observed are shown with red dots. The borders and the meaning of the operating zones distinguished by the resource-ratio theory are detailed in Figure 1. The consumption vectors (broken lines) have a slope of C_{DEN} (4.04 g COD g N⁻¹) for denitrifiers and C_{DNRA} (6.15 g COD g N⁻¹) for DNRA bacteria.

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Figure 3. Prediction versus measurement at steady state (case study 1 [13]): (A) concentrations of heterotrophic denitrifiers and DNRA bacteria; (B) relative abundance of DNRA bacteria (to the total of denitrifiers and DNRA bacteria) and contribution of DNRA in nitrate partitioning at different influent COD/N ratios (at influent nitrate concentration of 1000 μ M). The black triangles represent the measured DNRA biomass fraction (at influent nitrate concentration of 11790 μ M [13])

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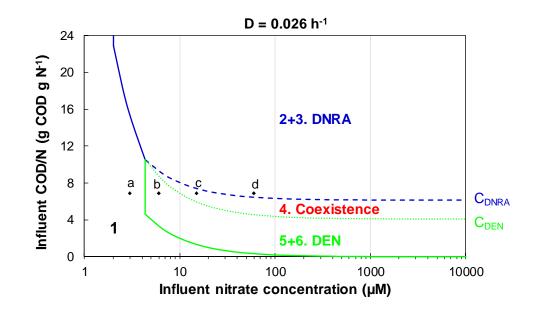


Figure 4. The boundary influent COD/N ratios at different influent nitrate concentrations. The regions correspond to the regions with the same numbers in Fig. 2. Points a, b, c, and d are supply points with the same COD/N ratio but different nitrate concentrations (detailed in Fig. S5).

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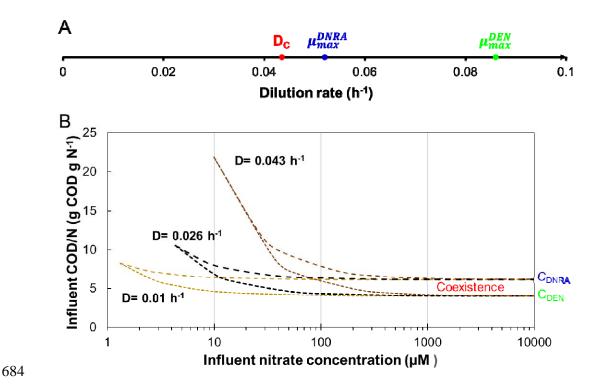
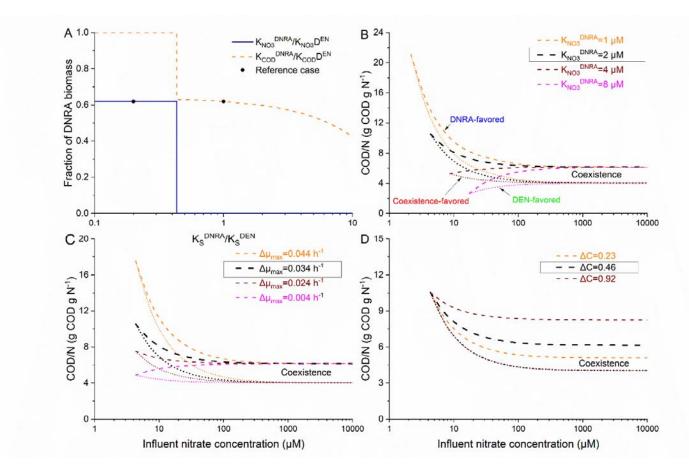
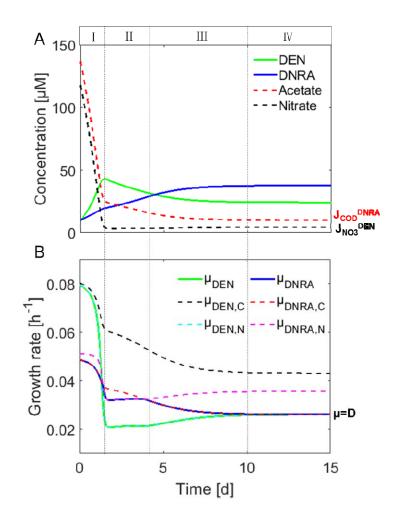


Figure 5. Impact of dilution rate on (A) possible competition outcomes; (B) the boundaries ofcoexistence.



688 Figure 6. Impact of kinetic and stoichiometric parameters on the boundaries for coexistence: (A) the ratio of the affinity constants of the two species for the 689 same resource (conditions: influent COD/N=5.3 with 1000 µM nitrate and fixed affinity constants for denitrifiers); (B) affinity for nitrate, expressed as K_{NO3}^{DNRA} , with fixed $K_{NO3}^{DNRA}/K_{NO3}^{DEN}$; (C) maximum growth rate, expressed as $\Delta\mu$ (i.e., $\mu_{max}^{DEN} - \mu_{max}^{DNRA}$) and (D) yield coefficient, expressed as ΔC (i.e., 690 691 C_{DNRA}-C_{DEN}). The values in the default values the reference box were at case.



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Figure 7. Trajectories of: (A) resources and species concentrations; (B) calculated growth rate in a chemostat fed with acetate and nitrate at a COD/N ratio of 5.3, under which stable coexistence of DEN and DNRA was observed [13] and predicted (this study). The DENand DNRA species were initially equally presented in a chemostat.

697

699 **Table 1.** Typical nitrate concentrations in several ecosystems

Ecosystems	NO ₃ ⁻ (μM)	Source	
Seawater	< 30	[29]	
Groundwater	< 806	[30]	
Surface water	< 161	[31]	
Marine sediments	3.7-17.8	[32]	
Terrestrial ecosystems ^a	0.01-4.96 ^b	[4]	
WWTPs	$< 4200^{\circ}$	[33]	

a: Forest, grassland, riparian;

b: in μ M/g soil

c: assuming all the influent ammonium is converted to nitrate for a medium strength municipal wastewater