Aberrant pathogenic GM-CSF<sup>+</sup>T cells and inflammatory CD14<sup>+</sup>CD16<sup>+</sup> monocytes 1 in severe pulmonary syndrome patients of a new coronavirus 2 3 Yonggang Zhou<sup>1,2,3#</sup>, Binqing Fu<sup>1,2,#</sup>, Xiaohu Zheng<sup>1,2,#</sup>, Dongsheng Wang<sup>3</sup>, Changcheng Zhao<sup>3</sup>, Yingjie qi<sup>3</sup>, Rui 4 Sun<sup>1,2</sup>, Zhigang Tian<sup>1,2</sup>, Xiaoling Xu<sup>3,\*</sup>, Haiming Wei<sup>1,2,4,\*</sup> 5 6 7 1. Institute of Immunology and the CAS Key Laboratory of Innate Immunity and Chronic Disease, School of Life 8 Science and Medical Center, University of Science and Technology of China, Hefei, Anhui 230001, China 2. Hefei National Laboratory for Physical Sciences at Microscale, University of Science and Technology of China, 9 10 Hefei, Anhui 230001, China 11 3. The First Affiliated Hospital of USTC, Division of Life Sciences and Medicine, University of Science and 12 Technology of China, Hefei, Anhui, 230001, China 13 4. Lead Contact 14 #. These authors contributed equally 15 \*.Correspondence: ustcwhm@ustc.edu.cn (H.W.); xxlahh8@ustc.edu.cn (X.X.) 16 17 Key Words: 18 2019-nCoV, Immunopathology, GM-CSF, IL-6, pathogenic Th1 cell; CD14<sup>+</sup>CD16<sup>+</sup> monocyte 19 20 Pathogenic human coronavirus infections, such as severe acute respiratory syndrome CoV (SARS-CoV) and Middle East respiratory syndrome CoV (MERS-CoV), cause high 21 morbidity and mortality <sup>1,2</sup>. Recently, a severe pneumonia-associated respiratory syndrome 22 caused by a new coronavirus was reported at December 2019 (2019-nCoV) in the city Wuhan, 23 Hubei province, China<sup>3-5</sup>, which was also named as pneumonia-associated respiratory 24 syndrome (PARS)<sup>6</sup>. Up to 9th of February 2020, at least 37, 251 cases have been reported 25 with 812 fatal cases according to the report from China CDC. However, the immune 26 27 mechanism that potential orchestrated acute mortality from patients of 2019-nCoV is still unknown. Here we show that after the 2019-nCoV infection, CD4<sup>+</sup>T lymphocytes are rapidly 28 29 activated to become pathogenic T helper (Th) 1 cells and generate GM-CSF etc. The cytokines environment induces inflammatory CD14<sup>+</sup>CD16<sup>+</sup> monocytes with high expression of IL-6 and 30 accelerates the inflammation. These aberrant and excessive immune cells may enter the 31 pulmonary circulation in huge numbers and play an immune damaging role to causing lung 32 33 functional disability and quick mortality. Our results demonstrate that excessive non-effective 34 host immune responses by pathogenic T cells and inflammatory monocytes may associate 35 with severe lung pathology. Therefore, we suggest that monoclonal antibody that targets the 36 GM-CSF or interleukin 6 receptor may potentially curb immunopathology caused by 2019-nCoV and consequently win more time for virus clearance. 37 38 Coronavirus, including SARS and MERS, has caused two large-scale pandemic in the last 39 two decades<sup>1,2</sup>. Although viral evasion of host immune responses and virus-induced 40 cytopathic effects are believed to be critical in disease severity, studies from humans who died 41 of SARS and animal models suggested that an excessive and aberrant host immune response 42 resulting in an exuberant immunopathology and lethal disease<sup>7-9</sup>. Similarly, patients infected 43 with 2019-nCoV, that have been reported recently, have increased plasma concentrations of 44

inflammation related cytokines, including interleukins (IL) 2, 7, and 10, granulocyte-colony 45 stimulating factor (G-CSF), interferon-y-inducible protein 10 (IP10), monocyte 46 chemoattractant protein 1(MCP1), macrophage inflammatory protein 1 alpha (MIP1A), and 47 tumour necrosis factor  $\alpha$  (TNF- $\alpha$ ), especially in moribund patients<sup>10</sup>. Importantly, 2019-nCoV 48 infected patients have developed characteristic pulmonary ground glass changes on imaging 49 and lymphocytes decreasing 11,12. These phenomena suggest severe pulmonary inflammation 50 and cytokine storm also exist in 2019-nCoV infection. At present, symptomatic treatments 51 with organ support to moribund patients are the mainstays of clinical managements. It is 52 53 urgent to identify the immunopathology mechanism to delay the pulmonary immune injury. 54 In patients infected with SARS-CoV, it has been reported that the severity of pulmonary 55 immune injury correlated with extensive infiltration of neutrophils and macrophages in the 56 lungs 13,14, accompanied with increased numbers of neutrophils and monocytes and lower 57 CD8<sup>+</sup> and CD4<sup>+</sup> T cell counts in the peripheral blood samples<sup>15-17</sup>. To identify the immune 58 characteristic of patients infected with 2019-nCoV, peripheral blood samples from patients 59 with severe pneumonia were collected for immune analysis. Consistent with previous clinical 60 characteristics reports<sup>18</sup>, these hospitalized patients with confirmed 2019-nCoV infection 61 involved from The First Affiliated Hospital of University of Science and Technology of China 62 commonly have fever symptoms. The patients in intensive care unit (ICU) have significantly 63 64 decreased concentrations of haemoglobin and albumin, but increased concentrations of C-reactive protein, alanine aminotransferase, aspartate aminotransferase and lactate 65 dehydrogenase (Extended Data Table 1). The number of total leukocytes in peripheral blood 66 had no significant differences between patients of 2019-CoV and healthy controls, whereas 67 68 the number of lymphocytes decreased significantly in ICU patients. Specifically, monocytes from both ICU and non-ICU patients significantly decreased compared with healthy controls. 69 The number of T cells also significantly decreased from both ICU and non-ICU patients. The 70 71 CD4<sup>+</sup>T cells from both patients in ICU and non-ICU decreased remarkably, whereas CD8<sup>+</sup>T cells decreased more significantly in ICU patients. Other kinds of leukocytes, including 72 granulocyte, B cells and NK cells have no significantly change in numbers between patients 73 of 2019-nCoV and healthy controls (Extended Data Figure. 1). 74 75 To demonstrate the status of these aberrant altered T cells, several lymphoid antigens have 76 been analyzed on T cells. These CD4<sup>+</sup>T cells in patients infected with 2019-nCoV have 77 higher expression of CD69, CD38, and CD44 compared with healthy controls (Fig.1a, b), 78 indicating their activated status. OX40 have been reported to play a major role in promoting 79 clonal expansion and inducing production of several cytokines in T cells<sup>19</sup>. In patients 80 81

indicating their activated status. OX40 have been reported to play a major role in promoting clonal expansion and inducing production of several cytokines in T cells<sup>19</sup>. In patients infected with 2019-nCoV, OX40 expression increased remarkably on CD4<sup>+</sup>T cells, especially in severe ICU patients (Fig.1a, b). CD8<sup>+</sup>T cells in patients infected with 2019-nCoV also showed activated phenotype with higher expression of CD69, CD38 and CD44 (Fig.1c, d). 41BB (CD137; TNFRS9) is an activation-induced co-stimulatory molecule, which is important to priming immune responses of cytotoxic CD8<sup>+</sup>T cells<sup>20</sup>. In ICU patients infected with 2019-nCoV, the expression of 41BB increased significantly compared to healthy controls (Fig.1c, d). It has been reported that co-expression of Tim-3 and PD-1 may represent a subset of T cells with more severe exhaustion in virus infections<sup>21,22</sup>. It is worth noting that much

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higher percentage of co-expression Tim3<sup>+</sup>PD-1<sup>+</sup>T subset exist both in CD4<sup>+</sup> and CD8<sup>+</sup>T cells 89 from patients of 2019-nCoV (Fig.1e-h), especially in ICU patients, suggesting the exhausted 90 status in T cells in these patients infected 2019-CoV. 91 92 93 To further identify the key pathogenic cytokines and the main source of these cytokines, 94 interferon-γ (IFNγ), TNF-α, granulocyte-macrophage colony-stimulating factor (GM-CSF) and IL-6 have been selected to analyzed through intracellular cytokine staining, for these 95 inflammatory mediators have been proven to be critical as the primary cause of inflammatory 96 cytokine storm in patients infected with SARS-CoV or MERS-CoV<sup>23,24</sup>. Without 97 re-stimulation with PMA or incubation with monensin, high percentage of GM-CSF<sup>+</sup> and 98 IL-6<sup>+</sup> expressions could been found in CD4<sup>+</sup>T cells from patients infected with 2019-nCoV in 99 both ICU and non-ICU patients compared to healthy controls (Fig.2a, c). ICU patients with 100 101 more severe pneumonia showed correlated higher percentage of GM-CSF<sup>+</sup> and IL-6<sup>+</sup>CD4<sup>+</sup> T cells (Fig.2a, c). Pathogenic Th1 cells with both IFN-y and GM-CSF expression have been 102 reported in central nervous system inflammation<sup>25</sup>. Importantly, aberrant pathogenic Th1 cells 103 with co-expressing IFNy and GM-CSF exist only in ICU patients infected 2019-nCoV. 104 105 whereas little was found in non-ICU patients and healthy controls, indicating this pathogenic 106 Th1 cells which have correlative evidence from patients with severe disease, play a critical role for hyper-inflammatory responses in 2019-nCoV pathogenesis (Fig.2b, d). Meanwhile, 107 TNF-α were not significant up-regulated in CD4<sup>+</sup>T cells from patients of 2019-nCoV 108 (Extended Data Figure 2a-c). CD8<sup>+</sup>T cells from ICU patients also showed expression of 109 GM-CSF compared to those from non-ICU patients and healthy controls. IL-6 and TNF-α 110 were not found in CD8<sup>+</sup>T cells (Extended Data Figure 2d, e). Neither NK cells nor B cells 111 112 were the secreting source of GM-CSF and IL-6 (Extended Data Figure 2f-i). 113 GM-CSF has been recently been implicated in the pathogenesis of inflammatory and 114 115 autoimmune diseases, in a mechanism that controls diverse pathogenic capabilities of inflammatory myeloid cells. Among these myeloid cells, monocyte is the pathogenic GM-CSF 116 responsive cells that require GM-CSF to initiate tissue damage in both mouse and human <sup>26,27</sup>. 117 To identify whether inflammatory monocyte exist in patients infected 2019-nCoV, phenotype 118 and subpopulation of monocytes have been analysis. There was little CD14<sup>+</sup>CD16<sup>+</sup> 119 inflammatory monocyte subset in healthy controls. By contrast, significant higher percentage 120 of CD14<sup>+</sup>CD16<sup>+</sup> inflammatory monocyte exist in peripheral blood of patient infected 121 2019-nCoV. The percentage of CD14<sup>+</sup>CD16<sup>+</sup> monocyte was much higher in severe pulmonary 122 syndrome patients from ICU (Fig.3a, c). Moreover, these monocyte from patients infected 123 124 2019-nCoV also showed capability to secrete GM-CSF. Importantly, significantly higher expression of IL-6 secreted from these inflammatory monocyte especially in ICU patients, 125 which let the cytokine storm even worse (Fig. 3b, d). Meanwhile, the number of GM-CSF<sup>+</sup> 126 monocytes and IL-6<sup>+</sup> monocytes increased rapidly (Fig.3e), suggesting the potential high risk 127 of inflammatory cytokine storm caused by monocytes that may migrate to the lung and 128 129 further derive into macrophage or monocyte derived dendritic cells. Thus, in patients infected 130 with 2019-nCoV, GM-CSF potentially links the severe pulmonary syndrome-initiating capacity of pathogenic Th1 cells (GM-CSF<sup>+</sup>IFN $\gamma$ <sup>+</sup>) with the inflammatory signature of 131 monocytes (CD14<sup>+</sup>CD16<sup>+</sup> with high expression of IL-6) and their progeny. These activated 132

immune cells may enter the pulmonary circulation in large numbers and played an immune damaging role in severe pulmonary syndrome patients (Fig.4).

135 The study provides the detailed immunopathology report on 2019-nCoV, suggesting excessive 136 activated immune response caused by pathogenic GM-CSF<sup>+</sup> Th1 cells and inflammatory 137 138 CD14<sup>+</sup>CD16<sup>+</sup> monocytes may connect pulmonary immunopathology leading to deleterious clinical manifestations and even acute mortality after 2019-nCoV infections. Consistent with 139 the situation with SARS-CoV or MERS-CoV<sup>12,28</sup>, it is remarkable that children always 140 experience mild-moderate clinical illness, elderly individuals exhibit worse outcomes after 141 142 infection with 2019-nCoV, further indicating that mature excessive immune response towards 143 these pathogenic human coronavirus infections play a key role in inducing severe pulmonary syndrome and even organ failure. However, many urgent questions remain to be answered. 144 145 Evidence from alveolar washing fluid and lung autopsy from patients infected 2019-nCoV are further needed to verify whether and how these aberrant pathogenic immune cells play a fatal 146 immune damage to cause organ functional disability and mortality. Specific new drugs 147 targeted 2019-nCoV may take long time to evaluate and develop. At this critical moment, 148 several marketed drugs to target cytokine storm and reduce immunopathology could be 149 considered<sup>29</sup>. Blocking inflammatory cytokines may temporarily weaken the anti-infection 150 immunity, yet such strategy is already the lesser of the evils. Other strategies towards 151 blocking the over-activated immune response, such as glucocorticoid treatment showed more 152 side-effect and disappointed outcome towards 2019-CoV<sup>18</sup>. Therefore, we suggest that 153 monoclonal antibody that targets the GM-CSF or interleukin 6 receptor may potentially 154 155 prevent or curb immunopathology caused by 2019-nCoV and consequently win more time for

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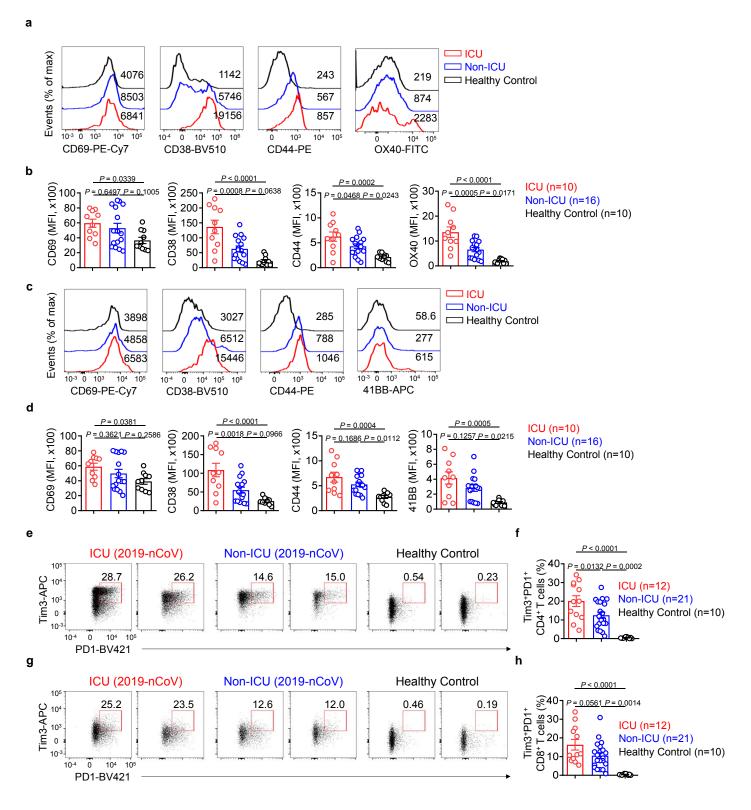


Figure 1. Activated T cells in severe pulmonary syndrome patients of 2019-nCoV.

(a, b) Representative density plots and MFI statistics calculated for CD69, CD38, CD44 and OX40 expressions in gated CD45<sup>+</sup>CD3<sup>+</sup>CD4<sup>+</sup> T cells (Gating strategy showing in Extended Data Figure 2a) isolated from peripheral blood in healthy controls, ICU and non-ICU patients of 2019-nCoV. (c, d) Representative density plots and MFI statistics calculated for CD69, CD38, CD44 and 41BB expressions in gated CD45<sup>+</sup>CD3<sup>+</sup>CD8<sup>+</sup> T cells isolated from peripheral blood in healthy controls, ICU and non-ICU patients of 2019-nCoV. (e, f) Representative density plots and percentage statistics calculated for Tim-3 and PD-1 co-expressions in gated CD45<sup>+</sup>CD3<sup>+</sup>CD4<sup>+</sup> T cells isolated from peripheral blood in healthy controls, ICU and non-ICU patients of 2019-nCoV. (g, h) Representative density plots and percentage statistics calculated for Tim-3 and PD-1 co-expressions in gated CD45<sup>+</sup>CD3<sup>+</sup>CD8<sup>+</sup> T cells isolated from peripheral blood in healthy controls, ICU and non-ICU patients of 2019-nCoV. Data represent the mean ± SEM. One-way ANOVA. P<0.05 was considered statistically significant.

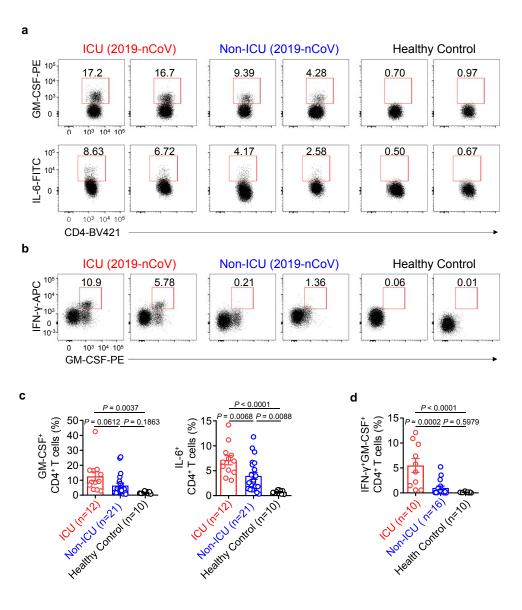


Figure 2. Pathogenic Th1 cells with high expression of GM-CSF in severe pulmonary syndrome patients of 2019-nCoV.

(a) Representative density plots showing an analysis of GM-CSF and IL-6 expressions in gated CD45<sup>+</sup>CD3<sup>+</sup>CD4<sup>+</sup> T cells (Gating strategy showing in Extended Data Figure 1a) isolated from peripheral blood in healthy controls, ICU and non-ICU patients of 2019-nCoV. (b) Representative density plots showing an analysis of co-expression of GM-CSF and IFN- $\gamma$  in gated CD45<sup>+</sup>CD3<sup>+</sup>CD4<sup>+</sup> T cells isolated from peripheral blood in healthy controls, ICU and non-ICU patients of 2019-nCoV. (c) Statistics calculated by the percentage of GM-CSF+ or IL-6+ cells from CD4<sup>+</sup> T cells. (d) Statistics calculated by the percentage of GM-CSF and IFN- $\gamma$  co-expressing CD4<sup>+</sup> T cells. Data represent the mean  $\pm$  SEM. One-way ANOVA. P<0.05 was considered statistically significant.

Fig.3

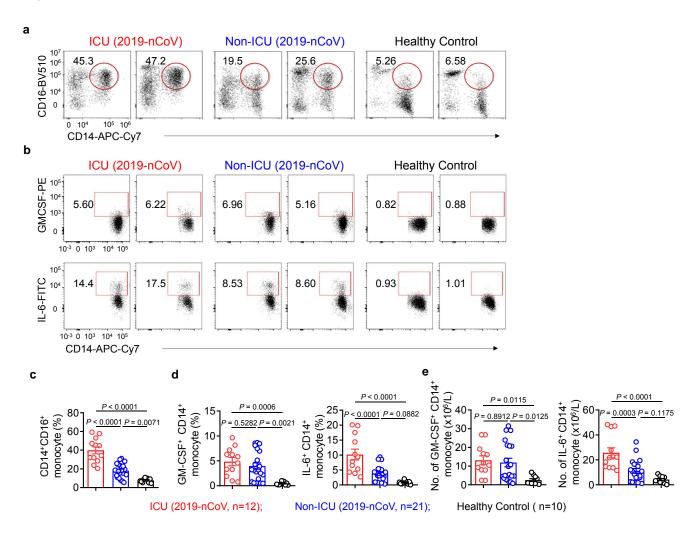


Figure 3. Inflammatory monocytes with high expression of IL-6 in severe pulmonary syndrome patients of 2019-nCoV.

(a) Representative density plots showing an analysis of CD14 and CD16 expressions in gated CD45<sup>+</sup> monocytes (Gating strategy showing in Extended Data Figure 1a) isolated from peripheral blood in in healthy controls, ICU and non-ICU patients of 2019-nCoV. (b) Representative density plots showing an analysis of GM-CSF and IL-6 expressions in gated CD45<sup>+</sup>CD14<sup>+</sup> monocyte cells isolated from peripheral blood in healthy controls, in ICU and non-ICU patients of 2019-nCoV. (c) Statistics calculated by the percentage of CD14<sup>+</sup>CD16<sup>+</sup> subsets from monocytes. (d) Statistics calculated by the percentage of GM-CSF<sup>+</sup> or IL-6<sup>+</sup> cells from CD14<sup>+</sup> monocytes. (e) Statistics calculated by the cell number of GM-CSF<sup>+</sup> CD14<sup>+</sup> or IL-6<sup>+</sup>CD14<sup>+</sup> monocytes. Data represent the mean  $\pm$  SEM. One-way ANOVA. P<0.05 was considered statistically significant.

Fig.4

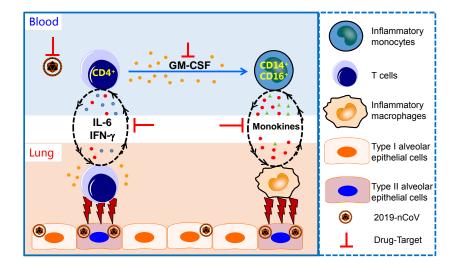


Figure 4. Pathogenic Th1 cells and inflammatory monocytes have positive correlations with severe pulmonary syndrome in patients infected 2019-nCoV.

Pathogenic CD4+Th1 (GM-CSF+IFN $\gamma$ +) cells were rapidly activated to produce GM-CSF and other inflammatory cytokines to form a cascade signature of inflammatory monocytes (CD14+CD16+ with high expression of IL-6) and their progeny. These activated immune cells may enter the pulmonary circulation in large numbers and played an immune damaging role in severe pulmonary syndrome patients. The monoclonal antibodies that targets the GM-CSF or interleukin 6 receptor may potentially prevent or curb immunopathology caused by 2019-nCoV.