1 Title: Genome wide association study reveals plant loci controlling heritability of the

- 2 rhizosphere microbiome.

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45 Abstract

46 Host genetics has recently been shown to be a driver of plant microbiome composition. However,

47 identifying the underlying genetic loci controlling microbial selection remains challenging. 48 Genome wide association studies (GWAS) represent a potentially powerful, unbiased method to 49 identify microbes sensitive to host genotype, and to connect them with the genetic loci that influence their colonization. Here, we conducted a population-level microbiome analysis of the 50 51 rhizospheres of 200 sorghum genotypes. Using 16S rRNA amplicon sequencing, we identify 52 rhizosphere-associated bacteria exhibiting heritable associations with plant genotype, and identify 53 significant overlap between these lineages and heritable taxa recently identified in maize. Furthermore, we demonstrate that GWAS can identify host loci that correlate with the abundance 54 55 of specific subsets of the rhizosphere microbiome. Finally, we demonstrate that these results can

- be used to predict rhizosphere microbiome structure for an independent panel of sorghum
 genotypes based solely on knowledge of host genotypic information.
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59 Keywords: Rhizosphere, host genetics, microbiome, GWAS, heritability, amplicon sequencing,60 sorghum

61

62 Introduction

63 Recent work has shown that root-associated microbial communities are in part shaped by host 64 genetics¹. A study comparing the root microbiomes of a broad range of cereal crops has 65 demonstrated a strong correlation between host genetic differences and microbiome composition⁴, suggesting that a subset of the plant microbiome may be influenced by host genotype across a 66 range of plant hosts. In maize, these genotype-sensitive, or "heritable", microbes are 67 68 phylogenetically clustered within specific taxonomic groups; however, it is unclear whether the 69 increased genotype sensitivity in these lineages is unique to the maize microbiome or is common 70 to other plant hosts as well.

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72 Despite consistent evidence of the interaction between host genetics and plant microbiome 73 composition, identifying specific genetic elements driving host-genotype dependent microbiome 74 acquisition and assembly in plants remains a challenge. Recent efforts guided by a priori 75 hypotheses of gene involvement have begun to dissect the impact of individual genes on 76 microbiome composition⁴⁷. However, these studies are limited to a small fraction of plant genes 77 predicted to function in microbiome-related processes. Additionally, many plant traits expected to 78 impact microbiome composition and activity, such as root exudation³ and root system architecture³, 79 are inherently complex and potentially governed by a very large number of genes. For these 80 reasons, there is a need for alternative, large-scale and unbiased methods for identifying the genes 81 that regulate host-mediated selection of the microbiome.

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83 Genome-wide association studies (GWAS) represent a powerful approach to map loci that are 84 associated with complex traits in a genetically diverse population. Though pioneered for use in 85 human genetics, to date the majority of GWAS have been conducted in plants^w, and it has become an increasingly popular tool for studying the genetic basis of natural variation and traits of 86 87 agricultural importance. When inbred lines are available, GWAS can be particularly useful; once 88 genotyped, these lines can be phenotyped multiple times, making it possible to study many different traits in many different environments¹¹. While GWAS is typically used in the context of 89 90 a single quantitative phenotypic trait, analyses of multivariate molecular traits, such as

91 transcriptomic or metabolomic data, have also been conducted^{12,13}. More recently, several attempts

- have been made to use host-associated microbiome census data as an input to GWAS, which in
- theory will allow for the identification of host genetic loci controlling microbiome composition^{14,15}.
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95 In plants, a recent study in Arabidopsis thaliana used phyllosphere microbial community data as 96 the phenotypic trait in a GWAS to demonstrate that plant loci responsible for defense and cell wall 97 integrity affect microbial community variation¹⁶. Several other recent phyllosphere studies 98 performed GWAS to identify genetic factors controlling microbiome associations with mixed 99 degrees of success¹⁶⁻¹⁸. However, to our knowledge, use of GWAS in conjunction with the root associated microbiome has yet to be explored. In the context of the root microbiome, selection of 100 101 sample type (rhizosphere or endosphere) and host system may be critical factors that determine 102 the success of such effort. Previous work comparing the root microbiomes of diverse cereal crops 103 have offered conflicting evidence as to whether host genotypic distance correlates most strongly 104 with microbial communities distance within root endospheres or rhizospheres³⁴. These data suggest 105 that the sample type exhibiting the strongest correlation between genotype and microbiome 106 composition may differ for each host, and that an initial evaluation of the degree of correlation 107 between genotype and microbiome phenotype across sample types may be informative.

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109 In the context of the root microbiome, we propose Sorghum bicolor (L.) as an ideal plant system 110 for GWAS-based dissection of host-genetic control of microbiome composition. Sorghum is a 111 heavy producer of root exudates¹⁰, and the sorghum microbiome has been shown to house an 112 unusually large number of host-specific microbes⁴. Additionally, there is a wide range of natural 113 adaptation in traditional sorghum varieties from across Africa and Asia, and a collection of 114 breeding lines generated from U.S. sorghum breeding programs, both of which provide a rich 115 source of phenotypic and genotypic variation²⁰. Several genome sequences of sorghum varieties 116 have been completed, and variation in nucleotide diversity, linkage disequilibrium, and 117 recombination rates across the genome have been quantified^a, providing an understanding of the 118 genomic patterns of diversification in sorghum. Finally, sorghum is an important cereal crop grown throughout the world as a food, feedstock, and biofuel, enabling direct integration of resulting 119 120 discoveries into an agriculturally-relevant system.

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122 In this study, we dissect the host-genetic control of bacterial microbiome composition in the 123 sorghum rhizosphere. Using 16S rRNA sequencing, we profiled the microbiome of a panel of 200 124 diverse genotypes of field grown sorghum. We aim to demonstrate that a large fraction of the plant 125 microbiome responds to host genotype, and that this subset shares considerable overlap with 126 lineages shown to be susceptible to host genetic control in another plant host. Additionally, we 127 tested whether GWAS can be used to identify specific genetic loci within the host genome that are 128 correlated with the abundance of specific heritable lineages, and whether differences in 129 microbiome composition can be predicted solely from genotypic information. Collectively, this 130 work demonstrates the utility of GWAS for analysis of host-mediated control of rhizosphere 131 microbiome phenotypes.

132 Results

133 **Diverse sorghum germplasm show rhizosphere is ideal for microbiome-based GWAS.** In this 134 study, the relationship between host genotype and microbiome composition was explored through 135 a field experiment involving 200 genotypes selected from the Sorghum Association Panel (SAP) germplasm collection³⁰ (Supplemental Table 1). As prior studies suggest that the strength of the 136 correlation between host genotype and microbiome composition may vary by sample type in a 137 138 host-dependent manner³⁴, we first sought to determine whether leaf, root, or rhizosphere samples 139 were most suitable for downstream GWAS in sorghum. Using a subset of 24 genotypes from our 140 collection of 200 (Figure 1a, Supplemental Table 1), the microbiome composition of leaf, root, 141 and rhizosphere sample types was analyzed using paired-end sequencing of the V3-V4 region of 142 the ribosomal 16S rRNA on the Illumina MiSeq platform (Illumina Inc., San Diego, CA, USA). 143 The resulting dataset demonstrated comparatively high levels of microbial diversity within both 144 root and rhizosphere samples (Figure 1b) and strong clustering of above and below ground sample types (Figure 1c). Three independent Mantel's tests (9,999 permutations) were used to evaluate 145 146 the degree of correlation between host genotypic distance and microbiome composition for leaf, 147 root, and rhizosphere sample types (Figure 1d); of the three compartments, only rhizosphere 148 exhibited a significant Mantel's correlation ($R^2=0.13$, Df=1, p=0.02). Based on these results, 149 subsequent investigation of the microbiomes of the full panel of 200 lines, including heritability 150 and GWAS analyses, was performed using rhizosphere samples.

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152 To investigate host genotype dependent variation in the sorghum rhizosphere microbiome, the 153 rhizospheres of 600 field grown plants (including three replicates of each of 200 genotypes) were 154 profiled using V3-V4 16S rRNA amplicon sequencing. After removing rare OTUs with less than 3 reads in at least 20% of the samples and normalizing to an even read depth of 18,000 reads per 155 156 sample, the data set included 1,189 high-abundance OTUs representing 29 bacterial phyla. 157 Compositional analysis of the resulting microbiome dataset exhibited profiles consistent with 158 recent microbiome studies involving the sorghum rhizosphere^{4,22,23} from a variety of field sites, with 159 Proteobacteria, Actinobacteria and Acidobacteria comprising the top three dominant phyla 160 (Supplemental Figure 1).

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162 Sorghum and maize rhizospheres exhibit strong overlap in heritable taxa. A recent study of 163 two separate maize microbiome datasets suggests that specific bacterial lineages are more sensitive to the effect of host genotype than others'. To determine if a bacterial lineage's responsiveness to 164 165 host genetics is a trait conserved across different plant hosts that diverged more than 11 million 166 years ago²⁴, the broad sense heritability (H²) of individual OTUs in our sorghum dataset was 167 evaluated. H², which quantifies the proportion of variance that is explained by genetic rather than environmental effects, ranged from 0 to 66% for individual OTUs (Supplemental Table 2). By 168 169 comparison, H^2 for individual OTUs in the first of two experiments across 27 inbred maize lines 170 had a maximum of 23% (performed in 2010), while the second exhibited a maximum of 54% 171 (performed in 2015)^s.

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To explore whether microbes with high heritability in the sorghum dataset are phylogenetically clustered, we partitioned the 1,189 OTUs into heritable (n=347) and non-heritable fractions

(n=842) using an H² cutoff score of 0.15 (Figure 2a, Supplemental Table 3). Several bacterial

orders, including Verrucomicrobiales, Flavobacteriales, Planctomycetales, and Burkholderiales,

were observed to have significantly greater numbers of OTUs that are heritable, as compared to

178 the non-heritable OTU fraction (Fisher's exact test, p<0.05, Figure 2a, Supplemental Table 3). 179 Notably, all 6 Flavobacteriales OTUs were present in the heritable fraction (Figure 2b); by 180 contrast, 40 other bacterial orders were only observed within the non-heritable fraction. Another 181 bacterial order, Bacillalles, contained a smaller number of OTUs in the heritable than non-heritable fraction, but the percentage of read counts attributable to its heritable OTUs was approximately 182 eight-fold greater than those in the non-heritable fraction, suggesting that its heritable members 183 184 are abundant organisms within the rhizosphere (Figure 2b). Collectively, these data demonstrate 185 that a specific subset of bacterial lineages are enriched for members susceptible to host genotypic 186 selection.

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188 We hypothesized that despite the considerable evolutionary distance between maize and sorghum, 189 the bacterial lineages containing OTUs most responsive to host genotypic effects in maize would likely also contain OTUs exhibiting such susceptibility within sorghum. To test this, we compared 190 191 the top 100 most heritable OTUs from both maize datasets (referred to as NAM 2010 and NAM 192 2015) and the sorghum dataset described above, resulting in a combined dataset of 300 OTUs 193 spanning 65 bacterial orders. After removing bacterial orders not observed in the sorghum dataset 194 (n=18), we noted that more than half were observed in at least two of the datasets, and 195 approximately one third (n=15) contained heritable OTUs in all three datasets (Figure 3a). To 196 determine if this overlap was significantly greater than is expected by chance, we performed 197 permutational resampling of 10,000 sets of randomly chosen sorghum OTUs for comparison. 198 Notably, we found that the overlap between the heritable sorghum fraction with both the individual 199 maize heritable fractions and the combined heritable maize OTUs to be significant, compared with 200 the resampled sorghum OTUs (NAM 2010 n=17, p=0.0099, NAM 2015 n=19, p=0.0016, 201 combined n=15, p=0.0344)(Figure 3a). Collectively, these results demonstrate that there is a 202 conservation between the bacterial orders most sensitive to genotype across both maize and 203 sorghum.

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205 In an effort to identify the bacterial lineages with the greatest propensity for high heritability, we 206 calculated the number of heritable OTUs in each of the shared heritable bacterial orders identified 207 above. We noted that among bacterial orders containing the greatest number of heritable OTUs 208 across all three datasets were several that represent large lineages frequently observed within the root microbiome; (e.g. Actinomycetales) (Figure 3b). We hypothesized that this result is likely 209 210 driven in part by the overall frequency of these lineages within the rhizosphere microbiome, with 211 more common lineages resulting in a greater fraction of heritable microbes due to their ubiquity. 212 To help account for this, we normalized the frequency of heritable sorghum OTUs (n=100) by 213 total sorghum OTU counts (n=1,189) belonging to each order (Figure 3c, Supplemental Table 4). These results demonstrate that while the prevalence of Actinomycetales and Myxococcales among 214 215 heritable microbes is consistent with their general prevalence in the overall dataset, 216 Burkholderiales and two other lineages, including the Verrucomicrobia and Planctomycetes, 217 exhibited a significant enrichment (Fisher's exact test, p < 0.001) in the heritable fraction not 218 expected to be influenced by abundance alone.

219 Genome-wide association reveals genetic loci correlated with rhizosphere microbial 220 abundance. Recent work in the leaf microbiome has demonstrated the potential utility of GWAS 221 for uncovering host loci correlated with microbiome composition¹⁸. Here, we sought to use GWAS 222 with rhizosphere microbiome datasets using both global properties of the OTU dataset and the 223 abundances of individual OTUs. For overall community composition, a subset of principal 224 components (PCs) were selected from an analysis of the abundance patterns of the 1,189 OTUs. 225 To prioritize individual PCs for inclusion in our GWAS analysis, we determined the heritability 226 scores of each of the top ten PCs, which explained 75% of the total variance in our dataset 227 (Supplemental Figure 2a). PCs with H² equal to or greater than 0.25 (PC1, PC3, PC5, PC9, and 228 PC10, Supplemental Figure 2a) were subjected to GWAS (Supplemental Figure 2b). The GWAS 229 analysis performed for PC1, which explained 21% percent of total variance and had the second 230 highest heritability (H²=0.35), revealed a significant correlation between community composition and a locus of approximately 1.15 Mb on chromosome 4 with a moderately stringent threshold of 231 232 -log₁₀ (p=10⁻¹) (Figure 4a, Supplemental Figure 2b). Additionally, GWAS analyses that used PC5 233 and PC10 as inputs, both revealed an identifiable peak on chromosome 6, though it was slightly 234 below the threshold of significance (Supplemental Figure 2b).

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236 As principal components are derived from linear combinations of the abundance of individual 237 OTUs within the dataset, it is unclear whether the correlations observed on chromosomes 4 and 6 are driven by one common or two different sets of microbial lineages. To address this, we 238 239 performed separate GWAS analyses using the abundances of each single OTU in our dataset as 240 input (Figure 4b, Supplemental Figure 2c). From these analyses, we identified two distinct sets of 241 39 and 10 OTUs with significant correlations with the loci on chromosomes 4 and 6, respectively, 242 and only a single OTU belonging the the order Burkholderiales that was shared between the two 243 loci (Supplemental Figure 2c), demonstrating that different sorghum loci influence the abundance 244 patterns of different groups of microbes.

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246 To explore the relationship between the identified peak on chromosome 4 (Figure 4a) and the 247 bacterial taxa with significant GWAS correlations at this locus (Figure 4b), we first sought to 248 understand how relative abundance for these 40 OTUs varied across the sorghum panel. An 249 analysis of the SNP data at this locus revealed two allele groups, the major allele containing 343 250 sorghum genotypes and the minor allele containing 14 genotypes. Next, we observed that the 251 majority of OTUs that were more prevalent in sorghum genotypes containing the major allele 252 belonged to monoderm lineages, while the majority of OTUs more prevalent in the minor allele 253 group belonged to diderm lineages (Figure 4b), suggesting that host genetic mechanisms at this 254 locus are interacting with basal bacterial traits.

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To explore which genetic mechanisms might be driving the correlations observed on Chromosome 4, we examined tissue specific expression patterns from publicly available RNA-Seq datasets obtained from phytozome v12.1^{ss} for all 27 genes in the 1.15 Mb interval (Figure 4c, Supplemental Table 5). Of these candidates, several were observed to exhibit strong root specific expression patterns, including three annotated candidates: gamma carbonic anhydrase-like 2, a putative Beta-1,4 endoxylanase, and disease resistance protein RGA2 (Figure 4c).

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Sorghum genotypic data can predict microbiome composition. To validate that allelic variation
 at the candidate locus on chromosome 4 contributes to differences in rhizosphere composition, we

265 conducted a follow up experiment with eighteen additional sorghum lines, including genotypes 266 not present in the original study. To help disentangle phylogenetic-relatedness from locus-specific 267 effects, we selected sorghum genotypes that spanned the diversity panel; additionally, for each 268 minor allele genotype (n=9), we included a phylogenetically related major allele line (n=9) (Figure 269 1a). Following two weeks of growth in a mixture of calcined clay and field soil in the growth 270 the rhizosphere microbiomes of each genotype and microbiome chamber, we collected 271 composition was analyzed using 16S rRNA amplicon sequencing as in the main study. A canonical 272 analysis of principal coordinates (CAP) ordination constrained on genotypic group separated the 273 rhizospheres of genotypes belonging to major and minor allele groups into distinct clusters (Figure 274 5a, PERMAnova F=2.66, Df=1, p=0.0061), with genotype explaining approximately 7.5% (CAP1) 275 of variance in the dataset.

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277 To identify which taxa drive the clustering observed in our CAPs analysis, and to compare this to 278 taxa responsive to the chromosome 4 allele group in our main experiment, we performed an 279 indicator species analysis on the validation dataset. A comparison of the significant indicator 280 OTUs (p < 0.05) from each allele group in the validation dataset (n = 65) demonstrated similar trends 281 in abundance of indicator OTUs as observed in the main experiment (Figure 4b), with OTUs 282 belonging to monoderm and diderm lineages enriched in the major and minor allele-containing 283 lines, respectively. Interestingly, while most diderm lineages were more prevalent in the minor 284 allele-containing lines, several diderm lineages including Gemmatimonadales, Acidobacteriales, 285 and Sphingobacteriales contained OTUs that were more abundant within major allele lines. 286 Notably, this pattern was observed in both the main experiment (Figure 4b) and validation 287 experiment (Figure 5b). Collectively, this experiment supports the findings of our main 288 experiment, in which allelic variation at a locus located on chromosome 4 was shown to correlate 289 with the abundance of specific bacterial lineages.

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291 Discussion

292 Host selection of plant rhizosphere microbiomes. Previous GWAS of plant-associated 293 microbiome traits have often been conducted with leaf samples, and have not always been 294 successful in identifying loci that correlate with microbiome phenotypes¹⁶⁻¹⁸. In this study, we 295 compared the overall correlation between host genotype and bacterial microbiome distances across 296 leaf, root, and rhizosphere of Sorghum bicolor, and demonstrate that of the three, the rhizosphere 297 represents the most promising compartment for conducting experiments to untangle the heritability 298 of the sorghum microbiome. Notably, the degree of correlation between sorghum phylogenetic 299 distance and microbiome distance was highest in the rhizosphere and lowest in the leaves. This 300 greater correlation observed in the rhizosphere could be in part due to the phyllosphere's relative compositional simplicity. Even Arabidopsis rosette leaves, which are in close proximity to soil, 301 302 harbor a distinct and relatively simple bacterial community compared to the root²⁶. By contrast, the 303 rhizosphere represents a highly diverse and populated subset of the soil microbiome, and 304 potentially offers a greater pool of microbes upon which the host may exert influence³⁷. 305 Alternatively, the rhizosphere's greater correlation with microbiome composition could be caused 306 by the plant's relatively weaker ability to select epiphytes in its aboveground microbiome; while 307 the arrival of phyllosphere colonists is largely thought to be driven by wind and rainfall dispersal^a. 308 root exudation is known to control chemotaxis and other colonization activities of select members 309 of the surrounding soil environment. This provides an additional mechanism for host selection of 310 its microbial inhabitants prior to direct interaction with the plant surface^{129,00}. It is worth noting that

311 sorghum is known to be an atypically strong producer of root exudates¹⁰, and consequently it is 312 possible that other plant hosts may demonstrate the greatest selective influence within tissues other 313 than the rhizosphere. Future efforts to investigate host control of the microbiome through GWAS 314 or related techniques would benefit from careful selection of sample type following pilot studies 315 designed to explore heritability across different host tissues.

316 Heritable rhizosphere microbes are phylogenetically clustered and similar across hosts. 317 Within the rhizosphere, we demonstrate that microbiome constituents vary in broad sense 318 heritability, and heritable taxa show a strong overlap with heritable lineages identified in maize, 319 spanning fifteen different bacterial orders. In particular, three of these orders, Verrucomicrobiales, Burkholderiales, and Planctomycetales were significantly enriched in the heritable fraction of our 320 321 dataset. As members of Burkholderiales can form symbioses with both plant and animal hosts^{31,32}, 322 and some colonize specific members of a host genus or species³³, it is feasible that such strong 323 relationships necesitated additional genetic discrimination between hosts. Within Burkholderia 324 spp., this could be facilitated by their relatively large pan-genome, with diversity driven by large 325 multi-replicon genomes and abundant genomic islands 34.

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327 These observations suggest that evaluating bacterial heritability may identify new lineages for 328 which close or symbiotic but previously undetected associations with plant hosts exist. For 329 example, we observed several lineages with high heritability that are common in soil, yet prior 330 evidence of plant-microbe interactions in the literature is lacking, including Verrucomicrobiales 331 and Planctomycetales. Interestingly, heritability in these lineages might be facilitated by the 332 presence of a recently discovered shared bacterial microcompartment gene cluster present in both 333 Planctomycetes and Verrucomicrobia, which confers the ability to degrade certain plant 334 polysaccharides³⁵. Indeed, microbiome composition is known to be driven in part by variations in 335 polysaccharide containing sources including plant cell wall components and root exudates³⁶. 336 Additional experimentation with bacterial mutants lacking this genetic cluster could be useful for 337 revealing its role in shaping plant microbe interactions.

Sorghum loci are responsible for controlling the rhizobiome. Our GWAS correlated host genetic loci and the abundance of specific bacteria within the host microbiome, as well as overall rhizosphere community structure. To our knowledge, this is the first example of such work in a crop rhizosphere. We identified two loci with strong associations with the microbiome structure. The most significant maps to a locus on chromosome 4 containing several candidate genes with root specific expression.

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345 One candidate gene located near the center of this locus encodes a beta 1,4 endo xylanase. 346 Xylanases are responsible for the degradation of xylan into xylose, and are one of the primary 347 catabolizers of hemicellulose, a major component of the plant cell wall³⁷. As a result, beta 1,4 endo 348 xylanases may play a role in shaping the degree of plasticity in the barrier between the root and 349 surrounding rhizosphere environments, in turn influencing the release of cell wall or apoplast 350 derived metabolites into the rhizosphere environment³⁸. Alternatively, altered xylanase activity 351 could lead to shifts in carbohydrate profiles within the cell wall, leading to heightened plant 352 immune responses^{39,40}; the catabolic byproducts of microbially-produced xylanase used in pathogen 353 invasion are in part responsible for triggering innate immune responses in plants, and various

components of the plant immune signalling network have been shown to influence microbiome
 structure⁶⁷.

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357 Another candidate gene within the chromosome 4 locus, that also displays root-specific 358 expression, is predicted to encode gamma carbonic anhydrase-like 2. In plants, carbonic 359 anhydrases (CA) participate in aerobic respiration, and facilitate the reversible hydration of CO₂ to 360 bicarbonate^{4,4,2}. Previous studies have implicated CA activity in plant-microbe interactions⁴; an 361 important role for CA was first observed in root nodules of legumes inoculated with Rhizobium44.5. 362 CAs have since been implicated in disease resistance as well, having both antioxidant activity and 363 salicylic acid binding capability⁴⁶⁻⁴⁸. Collectively, these studies suggest that a loss or alteration of 364 function of CA could impact the composition of the rhizosphere microbiome. Future validation 365 experiments using genetic mutants within this and other candidate genes can be used to help 366 elucidate the underlying genetic element(s) responsible for modulation of the rhizosphere 367 microbiome.

368 Conclusion

Although the underlying host genetic causes of shifts in the microbiome are not well understood, 369 370 candidate driven approaches have implicated disease resistance⁴⁷, nutrient status^{7,49,50}, sugar 371 signaling³¹, and plant age^{52.53} as major factors. Non-candidate approaches to link host genetics and 372 microbiome composition, such as GWAS, have the potential to discover novel mechanisms that 373 can be added to this list. Here we show that GWAS can predict microbiome structure based on 374 host genetic information, building on previous studies that have observed inter- and intra-species 375 376 genetic variation within plant host genomes modulates their associated microbiome. We anticipate that GWAS of plant microbiome association will promote a comprehensive understanding of the 377 378 host molecular mechanisms underlying the assembly of microbiomes and facilitate breeding 379 efforts to promote beneficial microbiomes and improve plant yield. 380

381 Methods

382 Germplasm selection. In order to ensure that microbiome profiling was performed on a 383 representative subset of the broad genetic diversity present in the 378 member Sorghum 384 Association Panel (SAP)³⁰, subsets of 200 genotypes were randomly sampled from the SAP 10,000 times and an aggregate nucleotide diversity score was calculated for each using the R package 385 386 "PopGenome"³⁷. From these data, the subset of 200 lines with the maximum diversity value was 387 selected (Figure 1a, Supplemental Table 1). For the pilot experiment used to determine the 388 appropriate sample type for GWAS, a subset of 24 lines was selected that included genotypes from 389 a wide range of phylogenetic distances (Figure 1a, Supplemental Table 1). The phylogenetic tree 390 of sorghum accessions was generated using the online tool: Interactive Tree Of Life (iTOL) v5^{ss}.

391 Field experimental design and root microbiome sample collection. The experimental field used 392 in this study is an agricultural field site located in Albany, California (37.8864°N, 122.2982°W), 393 characterized by a silty loam soil with pH 5.24. Germplasm for the US SAP panel used in this 394 study²⁰ were obtained from GRIN (www.ars-grin.gov). To ensure a uniform starting soil 395 microbiome for all sorghum seedlings and to control their planting density, seeds were first sown 396 into a thoroughly homogenized field soil mix in a growth chamber with controlled environmental 397 factors (25 °C, 16hr photoperiods) followed by transplantation to the field site. To prepare the soil 398 for seed germination, 0.54 cubic meters of soil was collected at a depth of 0 to 20 cm from the 399 field site subsequently used for planting, and homogenized by separately mixing 4 equally sized 400 batches with irrigation water in a sterilized cement mixer followed by manual homogenization on 401 a sterilized tarp surface. Soil was then transferred to sterilized 72-cell plant trays. To prepare seeds 402 for planting, seeds were surface-sterilized through soaking 10 min in 10% bleach + 0.1% Tween-403 20, followed by 4 washes in sterile water. Following planting, sorghum seedlings were watered 404 with approximately 5 ml of water using a mist nozzle every 24 hrs for the first three days, and 405 bottom watered every three days until the 12th day, then transplanted to the field.

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407 The field consisted of three replicate blocks, with each block containing 200 plots for each of 200 408 selected genotypes. Six healthy sorghum seedlings of each genotype were transplanted to their 409 respective plots, separated by 15.2cm, and thinning to three seedlings per plot was performed at 410 two weeks post transplanting. Plots were organized in an alternating pattern with respect to the 411 irrigation line to maximize the distance between each plant (Supplemental Figure 3). Plants were 412 watered for one hour, three times per week, using drip irrigation with 1.89 L/hour rate flow 413 emitters. Manual weeding was performed three times per week throughout the growing season. To 414 ensure that the genotypes were at a similar stage of development and that the host-associated 415 microbiome had sufficient time to develop, collection of plant-associated samples was performed 416 nine weeks post germination. Only the middle plant within each plot was harvested to help mitigate 417 potential confounding plant-plant interaction effects resulting from contact with roots from 418 neighboring plants of other genotypes. Rhizosphere, leaf, and root samples were collected as 419 described previously⁵⁹.

420

421 DNA extraction, PCR amplification, and Illumina sequencing. DNA extractions, PCR
422 amplification of the V3-V4 region of the 16S rRNA gene, and amplicon pooling were performed
423 as described previously⁵⁹. In brief, DNA extractions for all samples were performed using
424 extraction kits (MoBio PowerSoil DNA Isolation Kit, MoBio Inc., Carlsbad, CA) following the
425 manufacturer's protocol. Amplification of the V3-V4 region of the 16S rRNA gene was performed

using dual-indexed 16s rRNA Illumina iTags primers 341F (5'-CCTACGGGNBGCASCAG-3')
and 785R (5'-GACTACNVGGGTATCTAATCC-3'). An aliquot of the pooled amplicons was
diluted to 10 nM in 30µL total volume before submitting to the QB3 Vincent J. Coates Genomics
Sequencing Laboratory facility at the University of California, Berkeley for sequencing using
Illumina Miseq 300bp pair-end with v3 chemistry. Sequences were returned demultiplexed, with
adaptors removed.

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433 Amplicon sequence processing, OTU classification, and taxonomic assignment. Sequencing data were analyzed using the iTagger pipeline to obtain OTUs[®]. In brief, after filtering 81,416,218 434 435 16S rRNA raw reads for known contaminants (Illumina adapter sequence and PhiX), primer 436 sequences were trimmed from the 5' ends of both forward and reverse reads. Low-quality bases 437 were trimmed from the 3' ends prior to assembly of forward and reverse reads with FLASH⁶¹. The 438 remaining 66,524,451 high-quality merged reads were clustered with simultaneous chimera 439 removal using UPARSE⁶². After clustering, 37,867,921 read counts mapped to operational 440 taxonomic units (OTUs) at 97% identity (Supplemental Table 6). Taxonomies were assigned to 441 each OTU using the RDP Naïve Bayesian Classifier with custom reference databases^a. For the 16S 442 rRNA V3-V4 data, this database was compiled from the May 2013 version of the GreenGenes 16S 443 database v13, trimmed to the V3-V4 region. After taxonomies were assigned to each OTU, OTUs 444 were discarded if they were not assigned a Kingdom level RDP classification score of at least 0.5, 445 or if they were not assigned to Kingdom Bacteria, which yielded 10,006 OTUs. In the downstream 446 analyses, we removed low abundance OTUs because in many cases they are artifacts generated 447 through the sequencing process. Samples with low read counts were also removed. To account for 448 differences in sequencing read depth across samples, all samples were normalized to an even read 449 depth of reads per sample random subsampling for specific analyses, or alternatively, by dividing the reads per OTU in a sample by the sum of usable reads in that sample, resulting in a table of 450 451 relative abundance frequencies.

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Estimates of broad sense heritability of OTU abundance in rhizosphere. To calculate the
broad-sense heritability (H²) for individual OTU abundances, we fitted the following linear mixed
model to OTU abundances of each individual OTU (n=1,189) following a cumulative sum scaling⁴⁴
normalization procedure that adjusted for differences in sequencing depth and fit a normal
distribution:

- 458
- 459 $Y_{ijk} = u + Gi + Rj + Bjk + e$ 460

In this model for a given OTU, Y_{in} denotes the OTU abundance of the ith genotype evaluated in the 461 kth block of the jth replicate; u denotes the overall mean; Gi is the random effect of the ith 462 463 genotype; Rj is the random effect of the jth replicate; Bjk is the random effect of the kth block 464 nested within the jth replicate; e denotes the residual error. To account for the spatial effects in 465 the field, additional spatial variables were fitted as random effects using 2-dimensional splines in the above model using an R add-on package "sommer". H2 was estimated as the amount of 466 variance explained by the genotype term (V_{g}) relative to the total variance ($V_{g} + V_{F}/j$). Here j is the 467 468 number of replications. To get the null distribution of H², each OTU was randomly shuffled 1,000 469 times and then fitted to the same model as described above. Permutation p-value was calculated as 470 the probability of the permuted H² values bigger than the observed H² value.

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472 Comparative analysis of heritable taxa between sorghum and maize datasets. To identify the 473 degree to which heritable taxa were shared between maize and sorghum, we compared the top 100 474 most heritable OTUs from both maize datasets (referred to as NAM 2010 and NAM 2015) and the 475 sorghum dataset generated in this study, resulting in a combined dataset of 300 OTUs spanning 65 bacterial orders. As these three experiments were conducted at different field sites, a subset of the 476 477 orders (n=18) containing heritable OTUs in the maize dataset were not detected in either the 478 heritable or non-heritable fractions of the sorghum dataset and were excluded from subsequent 479 comparative analyses. Of the remaining bacterial orders represented by these heritable OTUs, we determined the number (n=26) that contained heritable OTUs in at least two of the datasets, and 480 the number (n=15) that contained heritable OTUs in all three datasets (Figure 3a). To evaluate 481 482 whether the degree of overlap in heritable lineages is greater than what would be expected by 483 chance, we performed a permutation test (n=10,000) in which we resampled 100 random OTUs from the 1,189 total sorghum OTUs and recomputed intersections with the two maize datasets. P-484 485 values are reported as the number of instances that these permutations returned a greater degree of 486 overlap in these permutations divided by total number of permutations.

487

488 **GWAS**. For each OTU, GWAS was conducted separately using the best linear unbiased predictors 489 (BLUPs) obtained from the linear mixed model. Population structure was accounted for using 490 statistical methods that allow us to detect both population structure (Q) and relative kinship (K) to 491 control spurious association. The O model ($y = S\alpha + Ov + e$), the K model ($y = S\alpha + Zu + e$), and the Q + K model ($y = X\beta + S\alpha + Qv + Zu + e$) described previously⁶⁶, were used in our study. In 492 the model equations, y is a vector of phenotypic observation; α is a vector of allelic effects; e is a 493 494 vector of residual effects; v is a vector of population effects; β is a vector of fixed effects other 495 than allelic or population group effects; u is a vector of polygenic background effects; O is the matrix relating y to v; and X, S, and Z are incidence matrices of 1s and 0s relating y to β , α , and 496 497 u, respectively. To account for the population structure and genetic relatedness, the first three 498 principal components (PCs) and kinship matrix were calculated using the SNPs obtained from^a 499 and fitted into the MLM-based GWAS pipeline for each OTU using GEMMA^a.

GWAS validation experiment. For the GWAS validation experiment, the 378 genotypes of the 500 501 SAP were first subset into lines containing the major (n=343) and minor (n=14) allele for the two 502 haplotypes found at the peak on chromosome 4 described in the text. Including the 178 genotypes 503 not selected for the GWAS, a total of nine sorghum genotypes belonging to the minor allele were 504 selected, with an effort to include genotypes spanning the phylogenetic tree. For each of these nine 505 minor allele lines, another genotype containing the major allele with close overall genetic 506 relatedness was selected, resulting in nine major and nine minor allele containing lines. Two 507 replicates of each line were grown in growth chambers (33°C/28°C, 16h light/ 8h dark, 60% humidity) in a 10% vermiculite/90% calcined clay mixture rinsed with a soil wash prepared from 508 509 a 2:1 ratio of field soil to water from the field site used in the GWAS. Plants were watered daily with approximately 5 ml of autoclaved Milli-Q water using a spray bottle for the first three days, 510 511 followed by top watering with 15 ml of water every three days. An additional misting was 512 performed to the soil surface every 24 hrs to prevent drying. Following two weeks of growth, 513 plants were harvested and rhizosphere microbiomes extracted as described for the field 514 experiment.

515

516 Microbiome statistical analyses. All statistical analyses of the amplicon datasets were performed 517 in R using the normalized reduced dataset, unless stated otherwise. For alpha-diversity 518 measurement, Shannon's Diversity was calculated as e^x, where X is Shannon's Entropy as 519 determined with the diversity function in the R package vegan[®]. Principal coordinate analyses were 520 performed with the function pcoa in the R package ape[®], using the Bray-Curtis distance obtained 521 from function vegdist in the R package vegans. Mantel's tests were used to determine the 522 correlation between host phylogenetic distances and microbiome distances using the mantel 523 function in the R package vegan^{ss} with 9,999 permutations, and using Spearman's correlations to 524 reduce the effect of outliers. Indicator species analyses were performed using the function indval 525 in the R package labdsvⁿ, with p-values based on permutation tests run with 10,000 permutations. 526 To account for multiple testing performed for all 430 genera in our dataset, multiple testing 527 correction was performed with an FDR of 0.05 using the p.adjust function in the base R package 528 stats. Canonical Analysis of Principal Coordinates (CAP) was performed for the final validation 529 experiment to test the amount of variance explained by genotypic group using the capscale 530 function in the R package vegan^s; an ANOVA like permutation test using the sum of all 531 constrained eigenvalues was performed to determine the percent variance explained by each factor 532 using the function anova.cca in the R package vegan⁶⁸.

533

534 Analysis of sorghum RNA-seq datasets. Publicly available sorghum RNA-Seq data for 27 535 annotated genes in the 1.15 Mb interval of chromosome 4 (Sobic.004G153000 -536 Sobic.004G155900), were downloaded from phytozome v12.1²⁵ (Figure 4c, Supplemental Table 537 5). Expression datasets were broadly grouped based on the tissue-type from which they were 538 derived (root, leaf, or reproductive). To aid in the visualization of tissue specific expression of 539 genes exhibiting large differences in absolute levels of gene expression, we normalized the 540 Fragments Per Kilobase of transcript per Million mapped reads (FPKM) values for each gene in 541 each tissue type by dividing by the average value of gene expression for that gene across all tissue 542 types. We defined root-specific expression as genes that had a normalized FPKM less than 1 in no 543 more than two root datasets, and a normalized FPKM greater than 1 in no more than two datasets of other tissue types (Figure 4c, Supplemental Table 5). 544

545

546 Data availability. All datasets and scripts for analysis are available through github
547 (https://github.com/colemanderr-lab/Deng-2020) and all short read data has been submitted to the
548 NCBI SRA.

549

550 Figure legends

551 Figure 1. Sample type and population selection. A Phylogenetic tree representing the 378 552 member sorghum association panel (SAP, inner ring), the subset of 200 lines selected for GWAS 553 (2nd ring from the center, in blue), the 24 lines used for sample type selection (Pilot, 3rd ring from 554 the center, in yellow), and the 18 genotypes used for GWAS validation containing either the 555 Chromosome 4 minor allele (red) or major allele (brown) identified by GWAS (outer ring). B 556 Shannon's Diversity values from 16S rRNA amplicon datasets for the leaf (green), root (vellow), 557 and rhizosphere (red) sample types across all 24 genotypes used in the pilot experiment. C 558 Principal coordinate analysis generated using Bray-Curtis distance for the 24 genotypes across leaf 559 (green), root (yellow), and rhizosphere (red). **D** Mantel's R statistic plotted for each sample type 560 indicating the degree of correlation between host genotypic distance and microbiome distance.

561

562 Figure 2. Taxonomic classification of heritable rhizosphere microbes. A The relative 563 percentage of total OTUs belonging to each of the top 17 bacterial orders for all OTUs (left bar), 564 non-heritable OTUs (middle bar), or heritable OTUs (right bar). Orders with significantly different 565 numbers of OTUs in the heritable (H^{2} >0.15) as compared to the non-heritable fraction (H^{2} <0.15), as determined by Fisher's exact test (p<0.05), are indicated with asterisks. **B** Order-level 566 scatterplot of the log, ratio between heritable and non-heritable OTU counts (x-axis) and read count 567 568 abundance (y-axis). Circle sizes represent the total abundance represented by each bacterial order. 569 Points within the dashed lines indicate merged bacterial orders that were present only in the 570 heritable (upper right) or non-heritable (lower left) fractions.

571

572 Figure 3. Heritability of rhizosphere microbes across maize and sorghum. A Proportional 573 Venn diagram of bacterial orders containing heritable OTUs identified in this study (Sorghum SAP), compared with those found in a large-scale field study of maize nested association mapping 574 575 (NAM) parental lines grown over two separate years, published in Walters et al., 2018⁵. The top 576 100 heritable OTUs (based on H²) from each dataset were classified at the taxonomic rank of order 577 to generate the Venn diagram. NAM heritable orders only present in the SAP non-heritable fraction 578 are represented by the blue sections. Superscript letters indicate the frequency that a random 579 subsampling of 100 sorghum OTUs (10.000 permutations) produced greater overlap with maize 580 OTUs from either single year (a/b) or both (c). **B** Stacked barplot displaying cumulative counts (y-581 axis) of OTUs identified as heritable in any of the three datasets for all bacterial orders (x-axis) 582 which have a total of at least three heritable OTUs. C The fraction of heritable sorghum OTUs 583 relative to all sorghum OTUs within each order are displayed as a heatmap. Asterisks indicate 584 orders enriched in heritable OTUs (Fisher's exact test, p<0.001).

585

Figure 4. A sorghum genetic locus is correlated with rhizosphere microbial abundance. A 586 587 Manhattan plot of PC1 community analysis GWAS. B Individual OTU GWAS of all OTUs with 588 at least 5 SNPs above a threshold of $-\log_{10}$ (p=10^{-2.5}) in the 1.15 Mb window identified on the same 589 chromosome 4 locus identified by PC1 GWAS (lower heatmap). Ratio of OTUs that associate with 590 the sorghum major (red) or minor (blue) allele groups within this locus (upper heat map). OTUs 591 were grouped based on the predicted presence of one or two membranes (monoderm or diderm) 592 within each bacterial order and colored as in figure 2. C Tissue-specific gene expression data for sorghum genes within the chromosome 4 locus. Darker blue indicates higher expression 593 594 (normalized FPKM). Asterisks indicate genes whose expression are predicted 595 to be root-specific.

596

Figure 5. Sorghum genetic information can be used to predict rhizosphere microbiome composition. A Canonical Analysis of Principal Coordinates of the rhizosphere microbiome for nine major allele genotypes (red) and nine minor allele genotypes (blue). **B** Ratio of indicator OTUs that associate with the sorghum major (red) or minor (blue) allele groups. OTUs were grouped based on the predicted presence of one or two membranes (monoderm or diderm), within each bacterial order, and colored as in figures 2 and 4.

603

604 Acknowledgments

605 We thank Dr. Sam Leiboff, Dr. Ling Xu, Edi Wipf, and Tuesday Simmons for their helpful

- discussions and critical readings of the manuscript. This research was funded by a grant from the
- 607 US Department of Agriculture (2030-12210-002-00D).

608

Author contributions. S.D. conceived and designed the experiments, performed the experiments, analyzed the data, and prepared figures and/or tables; D.C. conceived and designed the experiments, analyzed the data, and prepared figures and/or tables; J.Y. conceived and designed the experiments, and analyzed the data; L.D. performed the experiments; L.W. performed the experiments and analyzed the data; D.C-D. conceived and designed the experiments, analyzed the data, and prepared figures and/or tables; All authors authored or reviewed drafts of the paper and approved the final draft.

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Log₂ (heritable/non-heritable)



Figure 3. Heritability of rhizosphere microbes across maize and sorghum. A Proportional Venn diagram of bacterial orders containing heritable OTUs identified in this study (Sorghum SAP), compared with those found in a large-scale field study of maize nested association mapping (NAM) parental lines grown over two separate years, published in Walters et al., 2018^{5} . The top 100 heritable OTUs (based on H²) from each dataset were classified at the taxonomic rank of order to generate the Venn diagram. NAM heritable orders only present in the SAP non-heritable fraction are represented by the blue sections. Superscript letters indicate the frequency that a random subsampling of 100 sorghum OTUs (10,000 permutations) produced greater overlap with maize OTUs from either single year (a/b) or both (c). B Stacked barplot displaying cumulative counts (y-axis) of OTUs identified as heritable in any of the three datasets for all bacterial orders (x-axis) which have a total of at least three heritable OTUs. C The fraction of heritable sorghum OTUs relative to all sorghum OTUs within each order are displayed as a heatmap. Asterisks indicate orders enriched in heritable OTUs (Fisher's exact test, p<0.001).



Figure 4. A sorghum genetic locus is correlated with rhizosphere microbial abundance. A Manhattan plot of PC1 community analysis GWAS. B Individual OTU GWAS of all OTUs with at least 5 SNPs above a threshold of $-\log_{10}(p=10^{-2.5})$ in the 1.15 Mb window identified on the same chromosome 4 locus identified by PC1 GWAS (lower heatmap). Ratio of OTUs that associate with the sorghum major (red) or minor (blue) allele groups within this locus (upper heat map). OTUs were grouped based on the predicted presence of one or two membranes (monoderm or diderm) within each bacterial order and colored as in figure 2. C Tissue-specific gene expression data for sorghum genes within the chromosome 4 locus. Darker blue indicates higher expression (normalized FPKM). Asterisks indicate genes whose expression are predicted to be root-specific.

Uncharacterized protein

Disease resistance protein RGA2 * Chromatin assembly factor 1 subunit A Stearoyl-[acyl-carrier-protein] 9-desaturase 5 F-box/kelch-repeat protein Exocyst complex component EXO70B1 Uncharacterized protein * Uncharacterized protein Beta-sesquiphellandrene synthase-like protein Endo-1,4-beta-xylanase-like protein * Uncharacterized protein Ser/Thr phosphatase 6 regulatory ankyrin repeat subunit C Ubiquitin-like modifier-activating enzyme 5 Chaperone protein, DnaJ-like Protein indeterminate-domain 16 Choline/ethanolamine kinase Uncharacterized protein Uncharacterized protein NDR1/HIN1-like protein 6 Gamma carbonic anhydrase-like 2 * Uncharacterized protein Uncharacterized protein Uncharacterized protein DnaJ subfamily B member U6 snRNA-associated Sm-like protein 6 Acetolactate synthase 1 Heavy metal-associated isoprenylated plant protein 7



Figure 5. Sorghum genetic information can be used to predict rhizosphere microbiome composition. A Canonical Analysis of Principal Coordinates of the rhizosphere microbiome for nine major allele genotypes (red) and nine minor allele genotypes (blue). **B** Ratio of indicator OTUs that associate with the sorghum major (red) or minor (blue) allele groups. OTUs were grouped based on the predicted presence of one or two membranes (monoderm or diderm), within each bacterial order, and colored as in figures 2 and 4.