| 1  | Emergence of optrA-mediated linezolid resistance in multiple lineages and   |
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| 2  | plasmids of Enterococcus faecalis revealed by long read sequencing  |
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# <sup>25</sup> **ABSTRACT**

# <sup>26</sup> **Objectives**

<sup>27</sup> To characterise the genetic environment of *optrA* in linezolid-resistant *Enterococcus* 

<sup>28</sup> *faecalis* isolates from Scotland.

# <sup>29</sup> Methods

Linezolid-resistant *E. faecalis* were identified in three Scottish Health Boards and
 confirmed to carry the *optrA* gene at the national reference laboratory. WGS was
 performed with short read (Illumina MiSeq) and long read (Oxford Nanopore MinION)
 technologies to generate complete genome assemblies. Illumina reads for 94 *E. faecalis* bloodstream isolates were used to place the *optrA*-positive isolates in a
 larger UK phylogeny.

# <sup>36</sup> Results

37 Six optrA-positive linezolid-resistant E. faecalis were isolated from urogenital 38 samples in three Scottish Health Boards (2014-2017). No epidemiological links were 39 identified between the patients, four were community-based, and only one had 40 recent linezolid exposure. Reference-based mapping confirmed the isolates were 41 genetically distinct (>13,900 core SNPs). optrA was located on a plasmid in each 42 isolate and these plasmids showed limited nucleotide similarity. There was variable 43 presence of transposable elements surrounding optrA, (including IS1216, IS3, and 44 Tn3) and not always as a recognisable gene cassette. OptrA amino acid sequences 45 were also divergent, resulting in four protein variants differing in 1-20 residues. One 46 isolate belonged to ST16 and clustered with three other isolates in the UK collection 47 (76-182 SNPs), otherwise the optrA-positive isolates were genetically distinct from 48 the bloodstream isolates (>6,000 SNPs).

<sup>49</sup> Conclusions

- 50 We report multiple variants of the linezolid resistance gene optrA in diverse E.
- 51 *faecalis* strain and plasmid backgrounds, suggesting multiple introductions of the
- 52 gene into the *E. faecalis* population and selection driving recent emergence.

#### 54 **INTRODUCTION**

Enterococcus faecalis and Enterococcus faecium are carried in the intestinal tract 55 and are important opportunistic pathogens in humans.<sup>1</sup> Treatment of enterococcal 56 infections is challenging due to intrinsic or acquired resistance to multiple 57 antimicrobials including aminoglycosides, benzylpenicillin, cephalosporins, 58 fluoroguinolones, macrolides, tetracyclines, and trimethoprim. Among the remaining 59 treatment options, clinical *E. faecium* isolates are usually resistant to amoxicillin and 60 resistance to vancomycin is increasingly common.<sup>2</sup> In contrast, *E. faecalis* typically 61 remains susceptible to amoxicillin and vancomycin but can acquire significant 62 resistance and has been implicated in the transfer of antimicrobial resistance genes 63 64 to other Gram-positive pathogens, for example transmitting vanA-mediated vancomycin resistance to methicillin-resistant Staphylococcus aureus.<sup>3</sup> 65

The main treatment options for multi-drug resistant Gram-positive bacteria are 66 the oxazolidinones linezolid or tedizolid, or the lipopeptide daptomycin. Daptomycin 67 therapy is challenging due to significant side effects, limited efficacy in pulmonary 68 infections, uncertain dosing regimens, and challenges with in vitro susceptibility 69 determination.<sup>4</sup> Linezolid blocks protein synthesis by binding to the 50S ribosomal 70 subunit and inhibiting formation of the initiation complex.<sup>5</sup> Linezolid resistance is 71 uncommon, reported in ≤1% of bloodstream enterococcal isolates in the UK.<sup>6,7</sup> The 72 G2576T mutation in the 23S rRNA genes can arise de novo during extended 73 linezolid therapy,<sup>8</sup> although strict infection control and antimicrobial stewardship have 74 been successful in limiting incidence.<sup>9</sup> The methyltransferases Cfr and Cfr(B), and 75 ABC-F ribosomal protection proteins OptrA and PoxtA also confer resistance to 76 linezolid but are carried on mobile genetic elements, raising the prospect of rapid 77 spread of linezolid resistance across genetically distinct lineages.<sup>10–12</sup> In 2015, optrA 78

was first reported as conferring resistance to oxazolidinones and phenicols.<sup>13</sup> Recent 79 international surveillance shows that although linezolid resistance remains rare, 80 81 optrA has spread to every continent and is the dominant mechanism of linezolid resistance in *E. faecalis*.<sup>14</sup> Surveillance has also detected *optrA* in the UK.<sup>15</sup> Studies 82 into the genetic context of optrA have identified the gene on both the chromosome 83 84 and plasmids, often associated with insertion sequence IS1216, a possible explanation for the rapid spread of *optrA*.<sup>16,17</sup> However, few studies have generated 85 complete genome assemblies of *optrA*-carrying *E*. faecalis, which would provide high 86 87 precision information on the genetic context of optrA. Here, we investigate the epidemiological and clinical background of optrA-88 carrying *E. faecalis* isolates from human clinical samples collected in Scotland. We 89 used whole genome sequencing to determine whether these isolates represent 90 transmission of a single clonal lineage. We hypothesised the spread of optrA is 91 92 driven by a single mobile genetic element, and to investigate this we made hybrid assemblies of short and long read sequencing data to generate complete genomes 93

and to reconstruct the genetic environment of *optrA*. This study describes the first
use of nanopore-based long read sequencing to investigate *optrA*-containing mobile
genetic elements.

97

#### 98 MATERIALS AND METHODS

#### 99 Bacterial strains

Isolates were selected for this study based on the presence of the *optrA* gene as
 determined by Public Health England's Antimicrobial Resistance and Healthcare
 Associated Infections (AMRHAI) Reference Unit, either as part of non-structured
 retrospective screening of stored isolates (prior to 2016) or as part of the reference

laboratory service (2016 onwards). Isolates were originally collected in three Scottish 104 Health Boards, and as such represent a subset of Scottish optrA-positive isolates 105 106 identified by AMRHAI. Linezolid- and chloramphenicol-resistant E. faecalis were isolated from six clinical samples (Table 1) using standard methods and identified 107 with matrix-assisted laser-desorption ionisation time-of-flight mass spectrometry or 108 109 the Vitek-2 GP-ID card (bioMérieux, Marcy L'Etoile, France). Antimicrobial 110 susceptibility testing was performed with the Vitek-2 AST-607 card and interpreted with EUCAST breakpoints.<sup>18</sup> Isolates were referred to AMRHAI for characterisation 111 112 of linezolid resistance mechanisms. Detection of the G2576T mutation (Escherichia coli numbering) in the 23S rRNA genes was investigated by PCR-RFLP and, from 113 2016, by a real-time PCR-based allelic discrimination assay.<sup>19,20</sup> The cfr and optrA 114 genes were sought by a multiplex PCR using primers for the detection of *cfr* (*cfr-fw*: 115 5'-TGA AGT ATA AAG CAG GTT GGG AGT CA-3' and cfr-rev: 5'-ACC ATA TAA 116 TTG ACC ACA AGC AGC-3')<sup>21</sup> and for the detection of optrA (optrA-F: 5'-GAC CGG 117 TGT CCT CTT TGT CA-3' and *optrA-R*: 5'-TCA ATG GAG TTA CGA TCG CCT-3') 118 (AMRHAI, unpublished data). 119 An *E. coli* transformant harbouring a plasmid bearing *cfr* (kindly provided by 120 Pr S. Schwarz) was used as a control strain for the detection of cfr. This was 121 replaced from 2016 by Staphylococcus epidermidis NCTC 13924 harbouring both cfr 122

and the G2576T mutation. *E. faecium* NCTC 13923 was used as a control strain for
the detection of *optrA*.

Access to isolates and clinical data was approved by the NHS Scotland
 Biorepository Network (Ref TR000126).

127

## 128 Whole genome sequencing and genomic analysis

Single colonies were inoculated into brain heart infusion broth (Oxoid, Basingstoke, 129 UK) and incubated overnight at 37°C. Genomic DNA was extracted from cell pellets 130 using the Wizard Genomic DNA Purification Kit (Promega, Wisconsin, USA), or 131 QiaSymphony DSP DNA Mini Kit (Qiagen, Hilden, Germany). Short read barcoded 132 133 libraries were prepared using the Nextera XT kit (Illumina, San Diego, USA) and sequenced with a MiSeq instrument (Illumina) using 250 bp paired-end reads on a 134 500-cycle v2 kit. Short reads were quality trimmed with Trimmomatic v0.36 and 135 settings [LEADING:5 TRAILING:5 SLIDINGWINDOW:4:15 MINLEN:100].22 136 Barcoded long read libraries were generated with the 1D Ligation Sequencing Kit 137 (Oxford Nanopore Technologies, Oxford, UK) and sequenced with an R9.4 flow cell 138 on a MinION sequencer (Oxford Nanopore Technologies). Base-calling and barcode 139 de-multiplexing was performed with Albacore v2.1.3 (Oxford Nanopore 140 Technologies) and the resulting fast5 files converted to fastg with Poretools v0.6.0,<sup>23</sup> 141 or basecalled and de-multiplexed with Albacore v2.3.3 with direct fastq output. 142 Porechop v0.2.3 (https://github.com/rrwick/Porechop) was used to remove chimeric 143 reads and trim adapter sequences. The data for this study have been deposited in 144 the European Nucleotide Archive (ENA) at EMBL-EBI under accession number 145 PRJEB36950 (https://www.ebi.ac.uk/ena/data/view/PRJEB36950). 146 To generate a UK-wide E. faecalis phylogenetic context for the optrA-positive 147 isolates, raw sequence data was downloaded from the ENA (www.ebi.ac.uk/ena) 148 under study accession numbers PRJEB4344, PRJEB4345, and PRJEB4346.<sup>24</sup> Short 149 reads were mapped to the E. faecalis reference genome V583 (accession number 150 AE016830) using SMALT v0.7.4.<sup>25</sup> Mapped assemblies were aligned and regions 151 annotated as mobile genetic elements in the V583 genome (transposons, integrases, 152 plasmids, phages, insertion sequences, resolvases, and recombinases; tab file of 153

regions available in Table S1) were removed from the assembly

| 155 | (https://github.com/sanger-pathogens/remove_blocks_from_aln). All sites in the                         |
|-----|--|
| 156 | alignment with single nucleotide polymorphisms (SNPs) were extracted using SNP-                        |
| 157 | sites v2.4.0 <sup>26</sup> and a phylogeny was created from the core-genome SNP alignment              |
| 158 | using RAxML v8.2.8 <sup>27</sup> with 100 bootstrap replicates and visualised with iTOL. <sup>28</sup> |
| 159 | Recombination blocks were removed from ST16 isolates using Gubbins v1.4.10.29                          |
| 160 | Hybrid assembly was performed with Illumina short reads and Nanopore long                              |
| 161 | reads using Unicycler v0.4.7 <sup>30</sup> in standard mode. The resulting assemblies were             |
| 162 | annotated with Prokka v1.5.1 using a genus specific RefSeq database. <sup>31</sup> Hybrid              |
| 163 | assemblies were checked for indel errors using Ideel   |
| 164 | (https://github.com/mw55309/ideel) and UniProtKB TrEMBL database v2019_1.                              |
| 165 | Plasmid comparisons were generated and visualised with EasyFig v2.2.2 <sup>32</sup> and                |
| 166 | BRIG v0.95. <sup>33</sup>  |
| 167 | MLST typing was performed using SRST2 v0.2.0 <sup>34</sup> and the <i>E. faecalis</i> MLST             |
| 168 | database (https://pubmlst.org/efaecalis/) sited at the University of Oxford.35,36                      |
| 169 | Antimicrobial resistance mechanisms were detected using ARIBA v2.12.137 and the                        |
| 170 | ResFinder database v3.0 <sup>38</sup> with the addition of linezolid resistance mutations in the       |
| 171 | 23S rRNA (G2505A and G2576T based on <i>E. coli</i> numbering).  |
| 172 |  |

## 173 RESULTS AND DISCUSSION

## 174 Detection of optrA-positive E. faecalis

Six *E. faecalis* isolated from urogenital samples were initially identified as linezolidand chloramphenicol-resistant in routine diagnostic laboratories and confirmed to carry *optrA* at the AMRHAI Reference Unit (Table 1). The earliest isolates in this

collection were from the Grampian region of Scotland in 2014, 2015, and 2016. 178 Three more isolates were identified in 2017 from other regions of Scotland (Forth 179 180 Valley and Lothian, Table 1), with no clear epidemiological links between the patients. Prior to isolation of *optrA*-positive *E. faecalis*, patients 1-3 were treated with 181 trimethoprim for recurrent urinary tract infections, with patient 3 also receiving 182 cefalexin. Patients 5 and 6 were managed in general practice and it was not possible 183 to determine their antimicrobial exposure. Patient 4 was the only patient with known 184 exposure to linezolid, a two-week course prior to the isolation of optrA-positive E. 185 186 faecalis. Patient 4 was a surgical inpatient and another patient on the same ward had optrA-positive E. faecalis isolated from an abdominal wound, indicating possible 187 transmission between this patient and patient 4. The optrA-positive E. faecalis from 188 the contact of patient 4 was not available for study. Further screening of the ward 189 environment and patients found no further linezolid-resistant enterococci or 190 191 staphylococci over a two-month period, although the contact of patient 4 continued to have optrA-positive E. faecalis isolated from their abdominal wound for a month until 192 discharge. 193

#### 194 optrA is carried by distinct strains

Whole genome sequencing was performed to investigate the genetic relationship 195 between the isolates. In silico MLST showed the six isolates belonged to different 196 sequence types (STs), suggesting they were genetically distinct (Table 1). To further 197 confirm this, we analysed SNPs in the core genomes of the *optrA*-positive isolates 198 and found the isolates differed by a median 18,806 SNPs (range 13,909 – 22,272). 199 Previous estimates suggest a genetic diversification rate of 2.5-3.4 SNPs/year for E. 200 faecalis, highlighting the optrA-positive strains share a very distant common 201 ancestor.24 202

#### 203 optrA is carried on diverse plasmids

We then examined the genetic context of *optrA* in each isolate. Initial *de novo* 204 assembly of short-read data generated fragmented assemblies (72-135 contigs, 205 mean N50 198 kb), but with optrA present on moderate sized contigs (11-44 kb). 206 Three optrA-positive contigs carried plasmid-associated replication or transfer genes, 207 but none represented a complete plasmid, or had increased read depth coverage 208 compared to core genes indicative of being multicopy. Therefore, it was unclear if 209 optrA was carried on plasmids (often present in multiple copies within a cell) or the 210 chromosome, and how similar these regions were between the six isolates. To 211 resolve repetitive regions and try to complete the genome assemblies we utilised 212 213 Nanopore sequencing to generate long reads, and then combined these with 214 Illumina short reads to produce high quality hybrid assemblies. In four of the isolates completed genomes were obtained, with the other two generating near-complete 215 genomes (Table S2). Analysis of the six hybrid assemblies showed <3 % putative 216 coding sequences were shorter than the closest reference match (Table S2) 217 indicating the hybrid assembly process removed most indel errors and the short 218 coding sequences were likely to be true pseudogenes.<sup>39</sup> The hybrid assemblies 219 220 contained between one and three plasmids ranging in size from 11-80 kb, with optrA present on a single complete plasmid in each isolate (Table 2). 221

In general, the *optrA*-positive plasmids had limited sequence identity, although pBX5936-1 (69 kb) and pTM6294-2 (53 kb) had 97% average nucleotide identity over 40 kb aligned sequence. These two plasmids also had several unique regions indicating the plasmids shared a backbone but had distinct additional content (Figure S1). *optrA* and the phenicol resistance gene, *fexA*, were located in the same orientation and within 550-750 nucleotides of each other, with a short (~200

nucleotides) hypothetical coding sequence in the intervening region (Figure 1).
Additionally, limited similarity was seen between the Scottish *optrA*-positive plasmids
and the first identified *optrA*-positive plasmid from China (pE394, accession
KP399637; Figure S2).

A number of insertion sequence transposases were identified in the optrA-232 positive plasmids, although we were unable to identify many beyond the family level 233 due to limited matches in public databases (Table 2). We found evidence of IS1216 234 in all the optrA-positive plasmids, although only pBX5936-1 and pBX8117-2 had 235 IS1216 flanking the optrA and fexA region as a cassette (Figure 1). BLASTn 236 comparison of pWE0254-1 with the other optrA-positive plasmids highlighted a 237 238 partial IS1216 transposase that was not identified by automated annotation. 239 Immediately upstream of the partial IS1216 was an IS3-family transposase, the insertion of which likely disrupted the IS1216 (Figure S1). pWE0438 had Tn3-family 240 transposases surrounding optrA and fexA, as well genes encoding resolvases, which 241 may represent a transposable unit (Figure 1). pWE0851-1 carried one IS1216 242 transposase upstream and one Tn3-transposase downstream of optrA/fexA, but also 243 had multiple copies of IS3-family transposases throughout the plasmid so multiple 244 245 possible mechanisms of transposition exist (Table 2, Figure S1). pTM6294-2 had one IS1216 transposase and one ISL3-family transposase surrounding optrA/fexA. 246 The variable presence of IS1216 in these isolates suggest other means of 247 transposition may also be important in the spread of optrA, including IS3-family and 248 249 Tn3-family transposases.

## 250 optrA sequences vary between isolates

Comparison of the OptrA amino acid sequence from each isolate revealed 251 different variants of the resistance protein: two isolates had the same sequence as 252 253 the first identified OptrA from pE394, BX5936 had a single substitution, WE0851 had two substitutions, WE0348 had three substitutions, and BX8117 had 20 254 255 substitutions (Table 2). BX5936 and BX8117 had novel OptrA sequences not yet 256 described in the literature. The OptrA sequence from BX8117 was similar to E35048 257 detected in an E. faecium isolated in Italy in 2015 with the two OptrA sequences differing at three amino acid positions.<sup>40</sup> Over 40 OptrA sequence variants have 258 259 been described, although the role of this sequence variation is unclear as they do not significantly differ in their linezolid minimum inhibitory concentration in vitro.41,42 260

The degree of sequence variation between the six FexA proteins was less than that seen in OptrA. Comparison to the first reported FexA (AJ549214) showed four common variants in all strains (A34S, L39S, I131V, and V305I), with all but BX8117 having an additional D50A variant. This suggests there is a more diverse background of *optrA* sequences compared to *fexA*, and/or there is ongoing diversifying selective pressure applied only to *optrA* despite the close genetic linkage of the two genes.

Of note, all six isolates had an inferred OptrA sequence 18 amino acids shorter than most public sequences. Inclusion of the 18 upstream amino acids showed that all six isolates had an M1L variant compared to OptrA<sub>pE394</sub>. We believe this is an artefact introduced during coding sequence prediction. The first *optrA* genes were identified *in silico* using ORFfinder (<u>https://www.ncbi.nlm.nih.gov/orffinder</u>), which detects putative coding sequences

based on the presence of in-frame start and stop codons only. We used Prokka for
genome annotation which implements Prodigal to score potential coding sequences

based on start/stop codon position, coding sequence length, and upstream promoter 276 regions and outputs the highest confidence coding sequences. Indeed, most 277 278 published optrA sequences start with nucleotide codons TTG (usually encoding leucine), but the corresponding amino acid sequences start with methionine 279 indicating this codon has been designated as a start codon. The only other report of 280 the M1L variant is from a study that also used Prokka for annotation.<sup>43</sup> Given the 281 possible effect of methodology on identification of the first amino acid we have not 282 reported the M1L variant in our results but mention it here for completeness. The 283 284 true optrA start codon should be confirmed to aid ongoing surveillance efforts.

#### 285 optrA-positive strains are distantly related to bloodstream isolates

To investigate whether the optrA-positive isolates represented common E. faecalis 286 strains in the UK, publicly available sequence data of 94 E. faecalis isolates from the 287 British Society for Antimicrobial Chemotherapy (BSAC) bacteraemia surveillance 288 programme (isolated between 2001 and 2011) were analysed together with the six 289 known optrA-positive isolates.<sup>24</sup> We first looked for determinants of linezolid 290 291 resistance in the 94 sequences, and found no evidence of cfr, cfr(B), cfr(D), optrA, poxtA, or the G2505A 23S rRNA gene mutation. Only one of the BSAC isolates 292 (accession ERS324700) carried the G2576T 23S rRNA gene mutation conferring 293 294 linezolid resistance. Core genome phylogeny showed BX8117 was related to three other ST16 isolates from the UK, after removal of putative recombination blocks 295 there were 76, 81, and 182 SNPs between these isolates suggesting they diverged 296 from a common background but are not linked to recent transmission (Figure 2). 297 ST16 has been associated with multidrug-resistant infections in humans and 298 animals, highlighting the potential for the emergence of linezolid resistance in 299 invasive enterococcal infections.<sup>44</sup> The other five *optrA*-positive isolates have no 300

close genetic links in this phylogeny (minimum pairwise SNPs 12,314 – 17,891). Our
 study is not designed to infer patterns across Scotland and the rest of the UK, but
 our findings suggest the *optrA*-positive isolates are generally distinct from those
 recently causing bloodstream infections in the UK.

#### 305 optrA-positive E. faecalis harbour multiple resistance mechanisms

Looking at all assembled plasmids, the isolates carried genes conferring resistance to aminoglycosides (ant(6)-la, aph(3')-IIIA, aac(6')-le-aph(2'')-la, and others listed in Table 2), chloramphenicol (catA8), bacitracin (bcrA), macrolides (ermA-like, ermB), tetracyclines (tet(L), tet(M)), trimethoprim (dfrG), and the heavy metals cadmium (cadA) and copper (copZ). However, the pattern of carried genes differed between isolates with only *optrA* and *fexA* found in all isolates (Table 2).

pBX8117-2 carried a gene with 100% nucleotide identity and coverage to 312 cfr(D) from Enterococcus faecium isolated in France in 2015, and in Australia in 313 2019.<sup>45,46</sup> In both isolates, *optrA* and *cfr*(D) genes were present on different contigs 314 based on short-read sequencing. Our study is the first to detect cfr(D) in E. faecalis 315 and using hybrid assembly we identified co-carriage of *optrA* and *cfr*(D) on the same 316 317 plasmid. The French and Australian *cfr*(D)-positive isolates also carried *vanA*-type vancomycin resistance genes, although the Australian isolate was phenotypically 318 319 vancomycin sensitive due to the loss of the regulatory genes vanR and vanS. At present, no *in vitro* work has described the impact of *cfr*(D) on antimicrobial 320 resistance in enterococci so it is unclear whether or not cfr(D) confers the PhLOPSA 321 multiresistance phenotype originally described with Cfr.<sup>47</sup> 322

There is evidence of *optrA* being more common in particular *E. faecalis* lineages, with ST16, ST330, ST480, and ST585 in particular being described here

and in other studies.<sup>14,48–50</sup> These *optrA*-positive lineages are not specific to one host 325 species and have been isolated from humans, animals, and the environment.43,51 326 Florfenicol use in food animals is associated with the presence of optrA in animal 327 waste and the environment surrounding livestock farms.<sup>52,53</sup> Additionally, optrA-328 positive enterococci and staphylococci have been isolated from raw food purchased 329 from retail stores in China, Columbia, Denmark, and Tunisia.<sup>51,54–56</sup> Wu *et al.* (2019) 330 found evidence of transmission of optrA-positive E. faecalis from raw meat to a dog 331 in China.<sup>56</sup> However, the incidence of *optrA*-positive isolates in raw foods was low in 332 333 the available studies, and there is currently no direct evidence to suggest optrApositive strains are transmitted to humans via the food chain. 57,58 Increasing use of 334 linezolid in human medicine may also select for optrA-positive strains, and once 335 carried in the gut may be co-selected by other antimicrobials given the multidrug 336 resistance phenotype of these isolates. The role of antimicrobial use, animal contact, 337 338 food hygiene, and the environment in transmission of optrA-positive strains should be investigated further. 339

Our finding that *optrA* is present as different gene variants, carried on different 340 mobile genetic elements, in unrelated strains of E. faecalis suggest a diverse optrA 341 reservoir that is only partly investigated in this study. As well as optrA, the cfr and 342 poxtA genes are emerging transferable linezolid resistance mechanisms. Further 343 studies from a One Health perspective are warranted to understand the selection 344 pressures driving transferable linezolid resistance, and the transmission dynamics of 345 these strains to avoid further spread of linezolid resistance within E. faecalis and 346 other Gram-positive bacteria. 347

348

# 349 ACKNOWLEDGEMENTS

- 350 The authors would like to thank the Bioinformatics Unit at the University of St
- Andrews and Pathogen Informatics at the Wellcome Sanger Institute for access to
- 352 high performance computing clusters.

#### 353

#### 354 FUNDING

- 355 This work was supported by the Chief Scientist Office (Scotland) through the
- 356 Scottish Healthcare Associated Infection Prevention Institute (Reference SIRN/10).

357

## 358 TRANSPARENCY DECLARATION

The authors report no conflicts of interest related to this work.

360

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| Patient<br>ID | Isolate | Year | Region       | Patient Sex | Patient Age | Sample | Source     | MLST |
|---------------|---------|------|--------------|-------------|-------------|--------|------------|------|
| 1             | WE0851  | 2014 | Grampian     | Female      | 21          | Urine  | Outpatient | 480  |
| 2             | WE0254  | 2015 | Grampian     | Male        | 71          | Urine  | Outpatient | 19   |
| 3             | WE0438  | 2016 | Grampian     | Female      | 58          | Urine  | Inpatient  | 330  |
| 4             | TM6294  | 2017 | Forth Valley | Female      | 74          | Urine  | Inpatient  | 585  |
| 5             | BX5936  | 2017 | Lothian      | Male        | 60          | Semen  | Outpatient | 894  |
| 6             | BX8117  | 2017 | Lothian      | Female      | 19          | Urine  | Outpatient | 16   |

**Table 1. Details of the** *optrA*-**positive** *E. faecalis* **characterized in this study** 517

# **Table 2.** Plasmids from Hybrid Assemblies

| Isolate | Element   | Copy<br>Number <sup>a</sup> | Size<br>(bp) | Plasmid<br>rep type | Best NCBI match  | Resistance genes  | Variation<br>compared to<br><i>optrA<sub>pE394</sub><sup>b</sup></i>  | Transposases<br>(n)             |
|---------|-----------|-----------------------------|--------------|---------------------|--|---|---|---------------------------------|
|         | pBX5936-1 | 1                           | 68656        | rep9                | Efs pE035, coverage 65%,<br>98% ID (MK140641)                              | fexA, optrA   | S2F   | IS <i>Ef1</i> (2)<br>IS1216 (2) |
| BX5936  | pBX5936-2 | 1                           | 51669        | rep9                | Efs FC unnamed plasmid1,<br>coverage 85%, 100% ID<br>(CP028836)            | catA8, tet(L), tet(M),<br>ant(6)-la, cadA, copZ,<br>ermB  | -   | NA                              |
|         | pBX8117-1 | 1                           | 68773        | rep9                | Efs FDAARGOS_324<br>unnamed plasmid2, coverage<br>100%, 100% ID (CP028284) | None  | -   | NA                              |
| BX8117  | pBX8117-2 | 1                           | 41839        | rep9                | Efs pEF123, coverage 64%,<br>98% ID (KX579977)                             | catA8, cfr(D), optrA,<br>fexA   | K3E, N12Y,<br>E37K, N122K,<br>Y135C,<br>Y176D,<br>A350V,<br>V395A,<br>A396S,<br>Q509K,<br>Q541E,<br>M552L,<br>N560Y,<br>K562N,<br>Q565K,<br>E614Q, I627L,<br>D633E, N640I,<br>R650G | IS <i>1216</i> (5)              |
| TM6294  | pTM6294-1 | 1                           | 75362        | rep9                | Efs FC unnamed plasmid1,<br>coverage 74%, 99% ID<br>(CP028836)             | catA8, tet(L), tet(M),<br>ant(6)-Ia, cadA, copZ,<br>ermB, aph(3')-IIIa,<br>sat4, ant(6)-Ia, InuB,<br>IsaE, ant(9), ant(6)-Ia, | -   | NA                              |

|        |                            |   |       |         |  | aac(6')-le-aph(2")-la,<br>aadK, ermB, dfrG   |                      |   |
|--------|----------------------------|---|-------|---------|--|--|----------------------|---|
|        | pTM6294-2                  | 1 | 52776 | rep9    | Efs pE035, coverage 87%,<br>99% ID (MK140641)                            | fexA, optrA  | None                 | IS <i>L3</i> -family (1)<br>IS <i>1216</i> (1)  |
|        | pWE0254-<br>1              | 1 | 80496 | repUS11 | Efs FDAARGOS_324<br>unnamed plasmid3, coverage<br>49%, 99% ID (CP028283) | ant(9)-Ia, ermA-like,<br>fexA, optrA   | None                 | IS3-family (8)<br>IS <i>1216</i> -partial<br>(1)  |
| WE0254 | pWE0254-<br>2 <sup>c</sup> | 1 | 79293 | NA      | Efs NCTC8732 chromosome,<br>coverage 99%, 100% ID<br>(LR594051)          | None   | -                    | NA  |
| WE0438 | pWE0438                    | 1 | 61284 | rep9    | Efs pEF123, coverage 76%,<br>99% ID (KX579977)                           | tet(L), tet(M), bcrA,<br>cadA, copZ , ant(6)-la,<br>optrA, fexA, ermB                              | K3E, Y176D,<br>I622M | IS <i>1216</i> (1)<br>IS <i>Enfa1</i> (2)<br>IS3-family (4)<br>IS6-family (1)<br>Tn3-family (2) |
|        | pWE0851-<br>1              | 1 | 59708 | repUS11 | Efs pEF123, coverage 22%,<br>100% ID (KX579977)                          | fexA, optrA, ermA-like   | T112K, Y176D         | IS <i>1216</i> (1)<br>IS3-family (6)<br>Tn <i>3</i> -family (1)                                 |
| WE0851 | pWE0851-<br>2              | 1 | 26996 | repUS11 | Efs pKUB3007-3, coverage 63%, 100% ID (AP018546)                         | aac(6')-le-aph(2'')-la   | -                    | NA  |
|        | pWE0851-<br>3              | 3 | 10826 | NA      | Efs pE035, coverage 63%,<br>99% ID (MK140641)                            | aac(6')-le-aph(2'')-la,<br>aac(6')-le-aph(2'')-la,<br>aadK, ermB, ant(6)-la,<br>aph(3')-llla, sat4 | -                    | NA  |

520

bp, base pairs; Efs, *E. faecalis*; ID, identity; NA, not analysed a Inferred from depth of coverage relative to chromosomal fragment in hybrid assembly 521

Amino acid sequence variants compared to the first described optrA sequence from pE394 (KP399637) b 522

Incompletely assembled, in four contigs 523 С

524

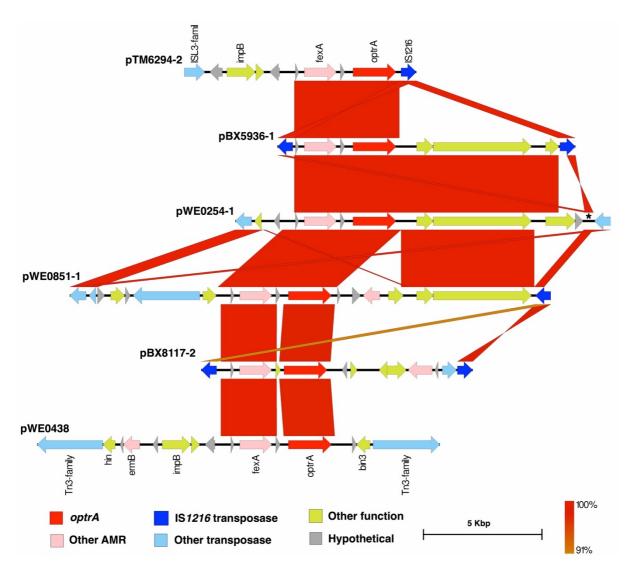
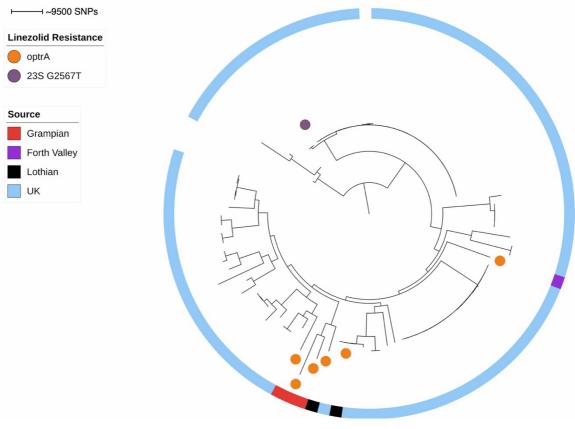
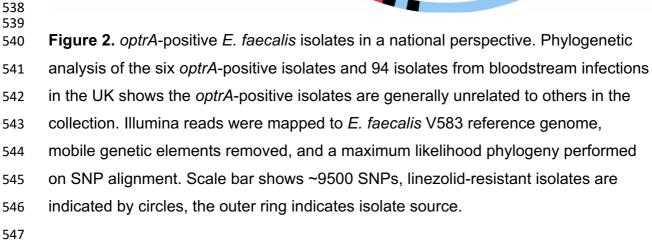
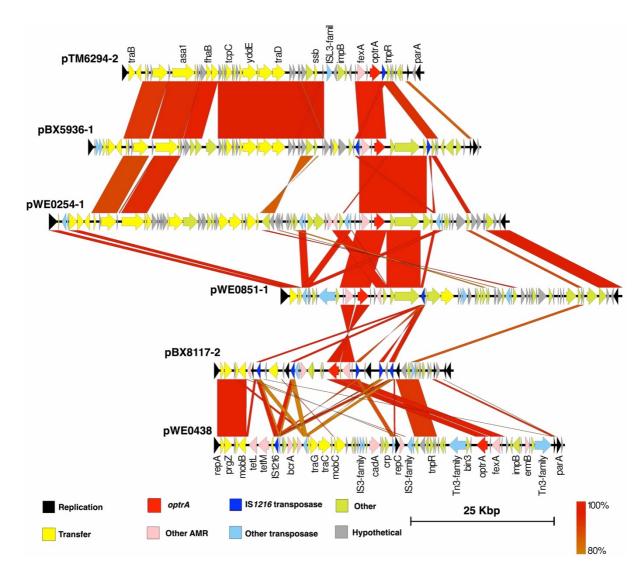




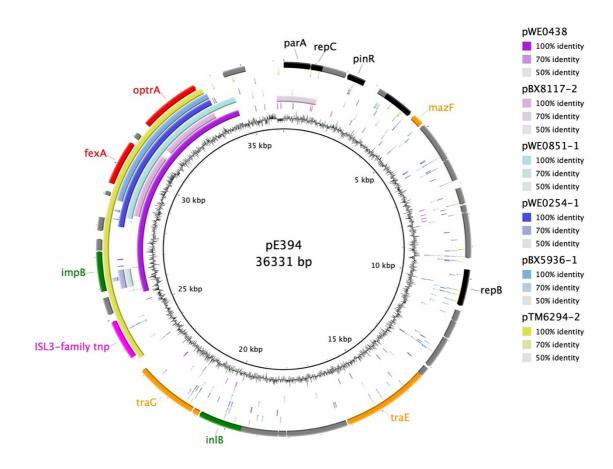
Figure 1. Comparison of optrA genetic environments. Alignment of optrA-carrying 528 regions shows limited shared sequence identity, apart from around the optrA and 529 fexA genes. There is evidence of IS1216 near to the optrA gene in all isolates (partial 530 sequence in pWE0254-1 indicated by asterisk), but other insertion sequences are 531 also present suggesting multiple means of optrA transmission and ongoing 532 diversification of the element. Arrows indicate coding sequences, blocks between 533 each sequence indicate regions with BLASTn sequence identity >90% and length 534 >100bp. 535 536







- **Figure S1.** Alignment of full *optrA*-positive plasmid sequences. While some
- sequence similarity is seen between pTM6294-2 and pBX5936-1, in general identity
- is low between the *optrA*-positive plasmids, indicating *optrA* has mobilised to multiple
- 553 plasmid backbones. Arrows indicate coding sequences, blocks between each
- sequence indicate regions with BLASTn sequence identity  $\geq$ 80% and length >100bp.
- 555
- 556



557 **Figure S2.** Alignment of full *optrA*-positive plasmid sequences against pE394.

559 Sequence similarity confined to the *optrA/fexA* region. Inner ring indicates GC

content of pE394, then alignment of pWE0438, pBX8117-2, pWE0851-1, pWE0254-

1, pBX5936-1, pTM6294-2, and outer ring indicating coding sequences in pE394

562 (accession KP399637). Figure made with BRIG v0.95