

1 | **÷ Development of locomotion in low water exposure using sturgeon**

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31

32 **Abstract:** The evolution of early land vertebrates from aquatic forms of life was a biological
33 milestone. The transition to land was accompanied with expectedly challenging physiological
34 and morphological evolutionary hurdles. So far, fossil records have provided substantial
35 information on the origin of quadrupedal locomotion. However, fossil evidence alone is
36 insufficient to understand how the soft-tissue-dependent motor functions and locomotion were
37 acquired and developed. In the present study, we focus on locomotion of the sturgeon, an extant
38 primitive fish, as a new experimental model, to investigate behavioural plasticity. Their
39 locomotion in low-water-level conditions was similar to an escape response in water, the
40 C-start escape response, which is used by most fish and amphibian juveniles to avoid predation.
41 Sturgeons were also found to have mastered rolling-over in response to low water levels,
42 resulting in the improvement of their trunk-twisting action. Sturgeons acquired an efficient shift
43 in their centroid, thereby improving their mobility. We hypothesise that the escape response
44 triggered by environmental hazards drove the development of locomotion, which was
45 accompanied by a variety of behaviours.

46

47 **Introduction**

48 Animal locomotion is any of a variety of ways that animals use to move from one place to
49 another. Forms of locomotion include, walking, running, jumping, swimming, and flying.
50 About 20,000 species of fish live in the hydrosphere, including the sea, rivers, and lakes. In
51 general, fish live a lifetime in the water, but some fish live in intertidal zones and tidal flats.
52 These fish are constantly exposed to environmental changes compared to underwater.
53 Therefore, these fishes have modified their behaviour and physiological functions suitable for
54 the habitat (Nelson, 2006) In the Devonian period, 400 million years ago, the pectoral and
55 pelvic fins of fish evolved into appendicular structures, enabling terrestrial locomotion.
56 However, it remains unclear what kind of environment provoked the evolution of these
57 appendicular structures and what kind of mechanisms contributed to the development of
58 terrestrial locomotion (Goetz et al., 2015; Grande and Bemis, 1991; Gregory and Raven, 1941;
59 Niedźwiedzki et al., 2010). Recent studies have reported some interesting results in
60 morphological and behavioural approaches to understanding the origins of quadrupedal
61 locomotion. King et al. (King et al., 2011) observed the underwater behaviour of lungfish, one
62 of the few species of extant finned sarcopterygians, which showed locomotion similar to
63 terrestrial walking. Other studies demonstrated that *Polypterus*, the extant fish closest to the
64 common ancestor of actinopterygians and sarcopterygians, exhibited developmental and
65 phenotypic plasticity when exposed to low water levels (Standen et al., 2014; Standen et al.,
66 2016) Lungfish and *Polypterus* are both capable of spontaneously moving onto land in search
67 for water and food and, thus, already seem to have terrestrial locomotive function (Du and
68 Standen, 2017; Du et al., 2016; Graham et al., 2014). It is important, therefore, to focus on
69 behavioural plasticity by using fish that is always swimming in the water as new experimental
70 models and analysis system to make inferences on the diverse processes that led to
71 terrestrialisation. From an evolutionary perspective, we are interested in how unspecialised
72 fishes that constantly swim in water locomote when exposed to different terrestrial

73 environments. In this study, we experimentally exposed sturgeon to terrestrial environments,
74 with the aim of visualisation and quantification of changes in its locomotion during the
75 adaptation from aquatic to terrestrial environments; we also explored the mechanisms
76 underlying this process..

77

78

79 **Materials and methods**

80 **Experimental animals**

81 We used Bester, a hybrid sturgeon between *Huso huso* and *Acipenser ruthenus*. Fujikin
82 Corporation provided the fish. Reliable non-invasive sex determination of Bester is not
83 anatomically possible; as a result, animals were kept and studied in unmarked mixed-sex
84 groups. Animal growth was monitored by assessing the fish length and weight upon entry in
85 the environments. All fish had been raised in fully aquatic environments (n = 42). The fish were
86 divided into the aquatic environment (control, n = 10) and the terrestrial environment (treatment,
87 n = 52) groups. We performed animal experiments in compliance with the regulation for
88 animal experiments of Teikyo University.

89

90 **Rearing environments**

91 Two 400-L and one 200-L overflow tanks were connected to a 200-L filtration tank, and used
92 as a circulation/filtration system with a total water capacity of 1.2 m³. Each tank was connected
93 to an airstone using a blower air pump for the septic tank (Nippon Denko, NIP-40L) with
94 aeration. The sturgeons were fed 2–3 times a day with pellet-type feed (feed dedicated to
95 carnivorous benthic fish, Kyorin, Hikari Crest Cat) suitable for their size that allows rapid
96 consumption.

97

98 **Exposure to low water levels**

99 The room temperature was set at 20 °C. An automated mist system (Foresta, Zero Plants) and a
100 shower pipe (EHEIM) were used regularly, and the water quality at low water levels was
101 ensured by constantly pouring water through 2-mm pores at the top of tanks. Since the sturgeon
102 cannot eat during low-water-level conditions due to its suction-type mouth, 5 days a week were
103 set at low-water-level, whereas the remaining 2 days at normal water level to allow feeding and
104 swimming. Their body weights decreased during the low-water-level conditions, but recovered
105 in the following 2 days, totally resulting in weight gains in a week.

106

107 **Measurements**

108 Quantitative analyses on zero moment point (ZMP) during the exposure of sturgeons to low
109 water levels were performed using the Haptic Cutting Board system and Digital Array system
110 (Pressure Profile System Co.), which we developed in collaboration with Saitama University.
111 This sensor enabled us to visualise the loads involved in the locomotion in more detail. We
112 visualised the temporal changes in the positional information of a point, called the ZMP, and
113 the dynamic components during the low-water-level locomotion in three-dimensional vectors
114 (Totsu et al., 2015; Tsuji et al., 2009). In other words, ZMP is a dynamic barycentric centre,
115 implying that biped locomotion could be achieved by applying evolutionary constraints and
116 conditions that repeatedly forced ZMP to achieve locomotion on land.

117

118 **Image files**

119 All behavioural sequences were filmed at frames 1080p/60fps using i-phone 6S (Apple) and
120 full-HD HDC-TM750 Camera (Panasonic). The following picture was created by captured
121 movie files.

122

123

124 **Results**

125 Our observations of sturgeons swimming patterns under normal water conditions revealed that
126 their pectoral fins do not contribute substantially to locomotion, whereas the trunks operate in a
127 wavelike manner to drive forward movement (Figure 1A) (LINDSEY and CC, 1978; Wilga
128 and Lauder, 1999). This observation indicates that the sturgeon has higher propulsion during
129 underwater locomotion than the lungfish and the polypterus, which are benthic and generally
130 remain stationary.

131 The sturgeons could thrive even when the water level was lowered to the eye level.
132 Under 100% water level (whole-body immersion), sturgeons only exhibited swimming with an
133 S-shaped wavelike movement of the trunk. When the water was lowered to 75%, crawling
134 movements with pectoral fins, folded on alternate sides, were often observed. At 50% water
135 level, the frequency of crawling increased, and when it was further lowered to 25%, we
136 observed crawling at a higher frequency. In particular, Polypterus showed both behavioural and
137 quantitative changes in terms of locomotion (Figures 1B, 1D, 1E, and 1F). Thus, our results
138 indicate that the locomotion of the sturgeon underwater is swimming with an S-shaped
139 wavelike movement of the trunk, and it switches to crawling depending on the water level
140 (Figures 1E and 1F). This switching may be mediated by the perceived decrease in propulsion,
141 as well as the changes in sound, gravity, and water flow.

142 We carefully observed the crawling movement and found that the sturgeons showed
143 movements similar to a reflex response, called the C-start escape response. Fish and
144 amphibians share this response when they perceive hazardous stimuli (Figure 1D and
145 Supplementary Material, Movie1). We named this response the “C-start-like crawling” (from
146 now on referred to as CSLC). The C-start escape response is a sudden escape response

147 behaviour that the juveniles of most fish and amphibians (e.g., tadpoles) exhibit in response to
148 “fear” stimuli, such as sounds and waves underwater. The response allows them to accelerate
149 and escape quickly and involves a C-shape contraction of the side muscles on the opposite side
150 from the stimuli, and accompanied by swings of the caudal fin (Figure 1C) (Domenici and
151 Blake, 1997; Wassersug, 1989). A peculiar single movement is observed when fish show
152 escape response behaviours underwater (Watanabe et al., 2014), whereas the sturgeons exposed
153 to low water levels in our study used sequential CSLC for locomotion. The reflex responses
154 appeared repeatedly on the same side (61%), unlike terrestrial walking, which involves
155 alternating movements (39%) (Figure 1G). Sturgeons with body lengths of 20 cm had an
156 average moving distance of 3.49 ± 0.78 cm and $106.24 \pm 9.11^\circ$ at a time (Supplementary
157 Material figure S2). We observed CSLC in all fish ($n = 40$) immediately after exposure to
158 stimuli. These results suggest that many kinds of fish are capable of terrestrial locomotion once
159 adapted to the exposure to the atmosphere. Similar experiments on young sturgeons (1–2 weeks
160 old) and Japanese catfish revealed C-start escape response behaviours immediately after
161 exposure to stimuli (Supplementary Material figure S1).

162 We also checked sequential ventral photographs of CSLC motion. In this experiment,
163 CSLC was viewed from the ventral side of the fish on a glass plate; interestingly, we observed
164 that the pelvic fins were in contact with the glass plate when the sturgeon moved forward using
165 CSLC. On the other hand, when the sturgeon bent its body by moving its tail and head, its
166 pelvic fins were not in contact with the glass (Figure 2).

167 Based on the measurements of the surface pressure distribution during locomotion
168 under low-water-level conditions, we found that the sturgeons could only apply weak loads to
169 the entire lower surface of their trunks with CSLC (Figure 3A). On the other hand, the
170 sturgeons that acquired the twisting action before producing long-distance movements could
171 apply strong and timely local loads, with the heaviest load applied via the lateral bending
172 C-shape. Interestingly, we found that the sturgeons mechanically applied the loads not only to

173 the pectoral fin region and the thoracic appendage but also to the pelvic fin region and the
174 abdominal appendage (Figure 3B). These results indicate that rearing in low-water-level
175 conditions enabled CSLC-mediated load control during locomotion using not only pectoral but
176 also pelvic fins. These results, together with a previous report showing that the escape route
177 changed when pelvic fins were removed, also suggest that the pelvic fins act as the “brake,” and
178 is the turning axis for the C-start escape response underwater (Kawabata et al., 2016; Standen,
179 2008) and that the pectoral and pelvic fins are involved in the initial terrestrial locomotion.

180 After exposure to low water levels, the sturgeons were unable to roll over to a normal
181 posture for several hours. However, after rearing them in this environment for a few days, we
182 found that most of them had mastered the ability to roll over to a normal posture while
183 repeating lateral bending, which is normally used to swim underwater (Figure 4A). The
184 rolling-over indicated the development of a trunk-twisting action (Figure 4B). Consequently,
185 when we tried to roll them over, by applying resistance, they could recover their normal posture,
186 often assuming a posture with their pectoral fins fully expanded when lying in the prone
187 position. In addition, fish were able to move by jumping, resulting in dramatically longer
188 movements (Figures S4 and 6G). Thus, the sturgeons distinctively adapt to the new
189 environment and develop their motor functions, through enhanced trunk-twisting action. These
190 adaptations generally occurred within the first 10 days after the exposure to low water levels
191 (Figure 4C).

192 We observed that the locomotion pattern changed, and the moving distance extended
193 during the development of sturgeon locomotion, which was enabled by an originally developed
194 tactile sensor system (BARCLAY, 1946; Totsu et al., 2015; Tsuji et al., 2009). This system can
195 help visualize and measure the direction and magnitude of forces applied to support surfaces on
196 a millisecond scale. Our results using this system revealed that the position of the ZMP during
197 the locomotion process by CSLC moved right and left slightly behind the pectoral fins in
198 conjunction with trunk bending (Figure 5). This finding indicates that the pressure centre of the

199 floor-reaction force is located close to the pectoral fin. Therefore, propulsion on the land mainly
200 used the front load of the pectoral fin region.

201 Detailed quantitative analysis of CSLC in sturgeons immediately after exposure and
202 after the acquisition of the ability to roll over, revealed that the former moved little by little with
203 a distance of 10 mm or less (Figures 6C and 6G), while the latter stayed stationary without
204 locomotion but could move long distances instantaneously during locomotion by CSLC
205 (Figures 6F and 6G). In comparison with the sturgeons immediately after exposure to low
206 water levels, the vector of ZMP in the sturgeons after acquiring the ability to roll over generated
207 loads 2.3 times (Figures 6B and 6E) and 1.9 times (Figures 6A and 6D) more efficiently in the
208 horizontal (X and Y axes) and vertical (Z axis) directions. These results culminate with the
209 observed increase ($\times 3.3$) in the moving distance (Figure 6G). These results indicate that the
210 locomotion of sturgeons under low-water-level conditions was improved by effectively
211 controlling the load onto the ground, as a result of a stronger twisting force around the body
212 axes, mediated by the ability to roll over.

213

214 **Discussion**

215 In this study, we visualised fish locomotion by experimentally exposing sturgeons to low water
216 levels. Since CSLC is the escape response typical to all fish in water, many kinds of fish could
217 have potentially used it for locomotion. The sturgeons appeared to become stationary a few
218 minutes after exposure to low water levels, and subsequently, they moved and changed
219 directions by continuously performing complicated and irregular CSLCs. The continuity of
220 locomotion observed may reflect continuous reflex responses in response to constant stimuli
221 due to environmental changes, such as gravity and sound, during the shift from aquatic to
222 terrestrial environments. New-born zebrafish—which exhibit motor functions comparable to
223 those of adult fish on the second day after fertilisation—reportedly show the continuous firing

224 of C-start escape responses during the early stages, but later acquire single firing of Meissner's
225 corpuscle in association with the development of auditory inputs. In this experiment, the lateral
226 line, a sensory organ that senses water flow in fish, as well as auditory sensations, could have
227 influenced the sturgeons in various ways, leading to the development of terrestrial locomotion.
228 Our mechanical analysis demonstrated that the change in locomotion over time allowed the
229 sturgeon to acquire a rolling-over motion from the S-shaped two-dimensional wavelike motion.
230 In turn, these movements increased the twisting force of the trunk, generating diversity and
231 efficiency in the three-dimensional modes of movement. This locomotion pattern acquisition
232 may partially recall the process by which modern terrestrial vertebrates evolved their walking
233 behaviour.

234 The results of our experiments also suggest the possibility that sturgeons used a similar
235 system to develop locomotion from reflex responses (Gesell et al., 1934; Karaca et al., 2013;
236 McGraw, 1941). Fish such as mudskippers, Shuttles hopfish, and blennies, which are known
237 to thrive on land for extended periods, often move their tails quickly by bending them toward
238 their heads and then straightening them, which allows the fish to move and jump (Ashley-Ross
239 et al., 2013; Flammang et al., 2016; Hsieh, 2010; McFarlane et al., 2019; Nasuchon et al., 2016;
240 Pace and Gibb, 2014) This common aspect in the locomotion of low-water-level-exposed
241 sturgeon and fish that have achieved terrestrialisation, is attractive in terms of our understanding
242 of the behavioural potential of fish.

243 A recent study reported that the tides on Earth 400 million years ago were stronger than
244 present-day tides and that there is a correlation between strong tides and the places where the
245 terrestrialisation of fish frequently occurred, and actinopterygian fossils were found (Balbus,
246 2014). In this study, we reared the sturgeons at low water levels for five days and underwater
247 for two days, which allowed long-term rearing under low-water-level conditions. These
248 conditions may be similar to the environment of 400 million years ago. Isotopic measurements
249 and elemental analyses suggest that environmental changes such as hypoxia repeatedly

250 occurred in the late Devonian period, implying that relatively large numbers of fishes may have
251 used terrestriation as a passive escape behaviour depending on prevailing conditions
252 (Joachimski and Buggisch, 2002; Murphy et al., 2000). Although primitive amphibians, such as
253 Ichthyostega, are believed to have had large forelimbs and moved in a forelimb-driven manner
254 on their belly, most animals including amphibians, and those that emerged after amphibians,
255 developed their lower limbs for use in locomotion (BARCLAY, 1946; Pierce et al., 2012).

256 Regarding the functional and anatomical differences in the locomotion pattern,
257 sturgeons took their posture with maximally spread pectoral fins when resting, whereas, during
258 CSLC, the loads were applied to pectoral and pelvic fin regions on one side of their bodies with
259 their fins folded. These results suggest that angle of pectoral girdle and fin was spread and the
260 trunk-twisting action may lead to gradual increases in the contribution of the pelvic fin region in
261 moving process on land. Although the sturgeons observed in this study do not represent
262 dramatic species diversification, our results show the possibility that the longer a fish
263 spends on land due to environmental changes, the greater the chances of gaining athletic
264 benefits and ultimately may improve fish survival

265

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271

272 **Author contributions**

273 Authors' contributions Anshin Asano-Hoshino designed the study and wrote the initial draft of
274 the manuscript. Drs. Hideyuki Tanaka, Nakakura Takashi, and Toshiaki Tsuji contributed to the

275 analysis and interpretation of data, and Dr. Takuo, Mizukami, assisted in the preparation of the
276 manuscript. All other authors have contributed to data collection and interpretation, and
277 critically reviewed the manuscript. All authors approved the final version of the manuscript and
278 agree to be accountable for all aspects of the work in ensuring that questions related to the
279 accuracy or integrity of any part of the work are appropriately investigated and resolved.

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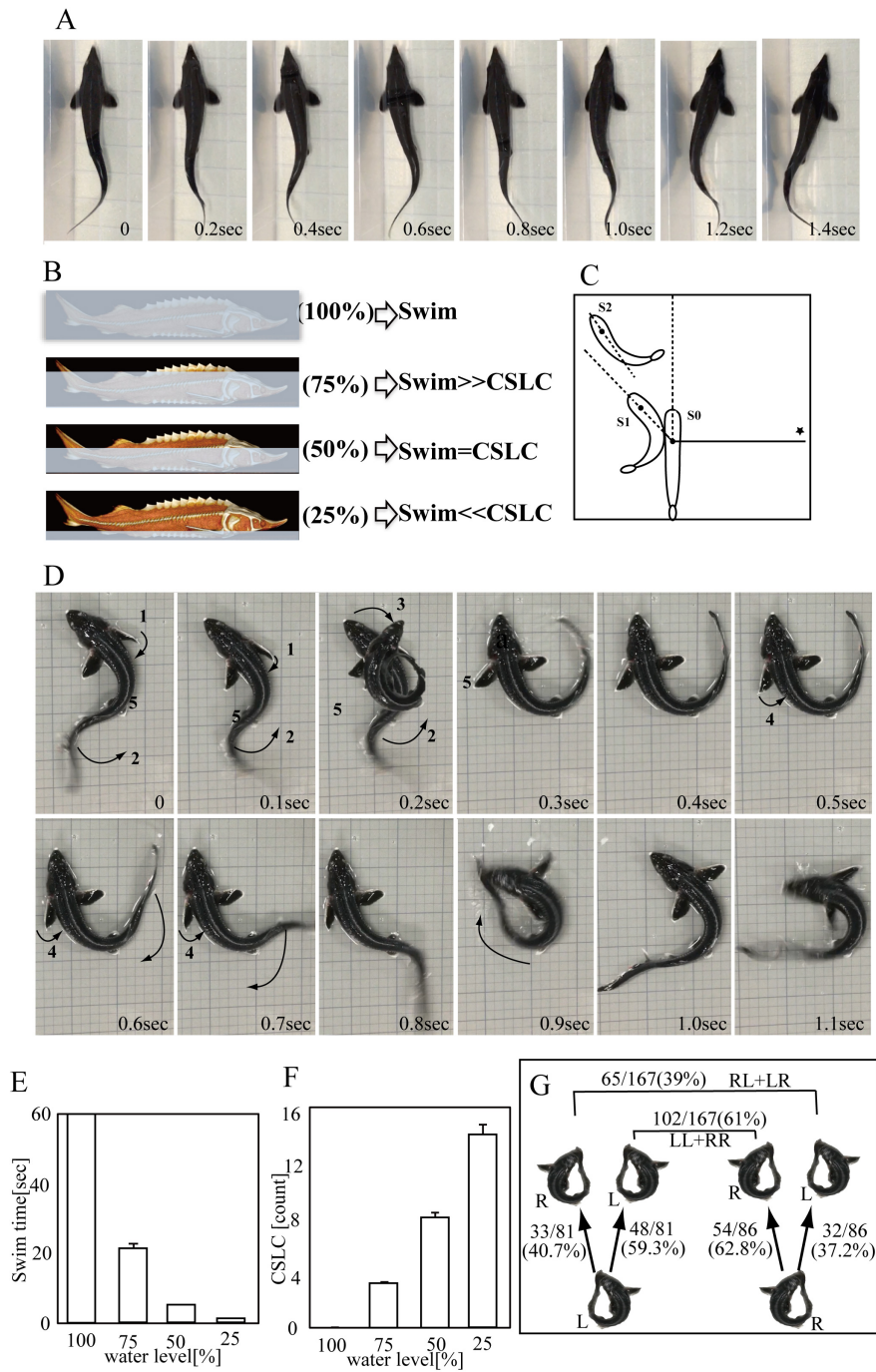
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- 357

358 **Figure captions**



359

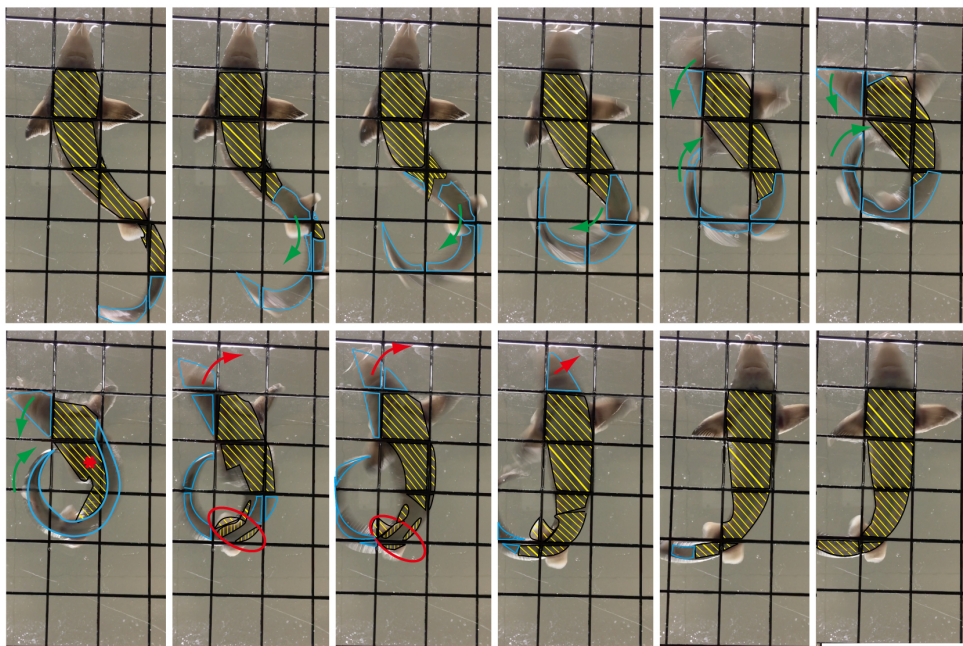
360 **Figure 1.**

361 Changes in water-level-dependent locomotion of a sturgeon.

362 (A) Swimming underwater. (B) Changes in locomotion at different water levels. (C) Schematic
363 diagram of C-start escape response during underwater swimming. Numbers indicate the order
364 of motions. S0: The point where the fish received a stimulus. S1: C-shaped escape behaviour.
365 S2: The position of escape using a rebound. * Position of the sound source. (D) Sequential
366 photographs of C-start-like crawling (CSLC) motion. (E) Swimming time in one minute at
367 each water level. (F) CSLC measurement in one minute at each water level. (G) Schematic
368 diagram of the rate of C-start escape response on the land.

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372 **Figure 2.**

373 Sequential ventral photographs of C-start-like crawling (CSLC) motion.

374 Observation of the bottom surface of sturgeons during CSLC. The area surrounded by a light

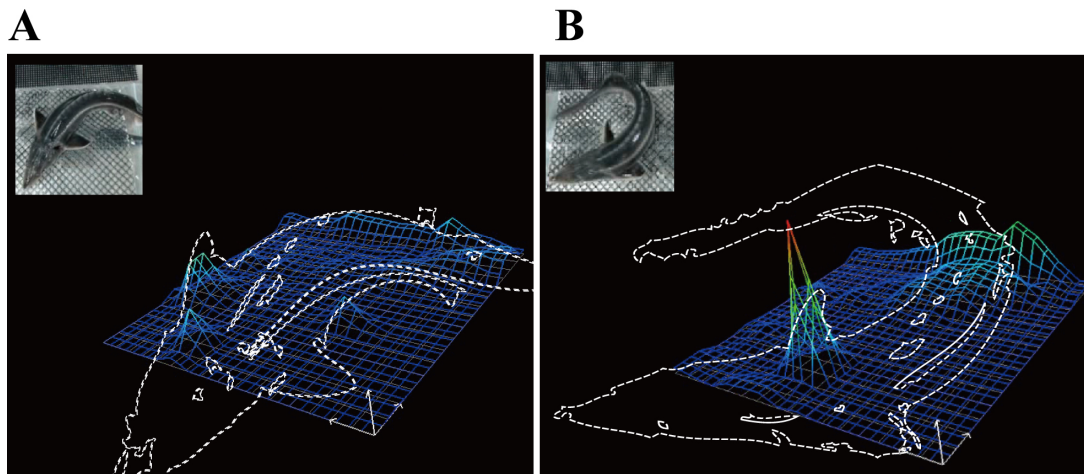
375 blue line indicates the area that is not in contact with the ground. The yellow shaded region is in

376 contact with the ground. The red circle indicates the loaded pelvic fin region. * Position of ZMP.

377 The green arrows and red arrows indicate the direction of lateral bending.

378

379



380

381 **Figure 3.**

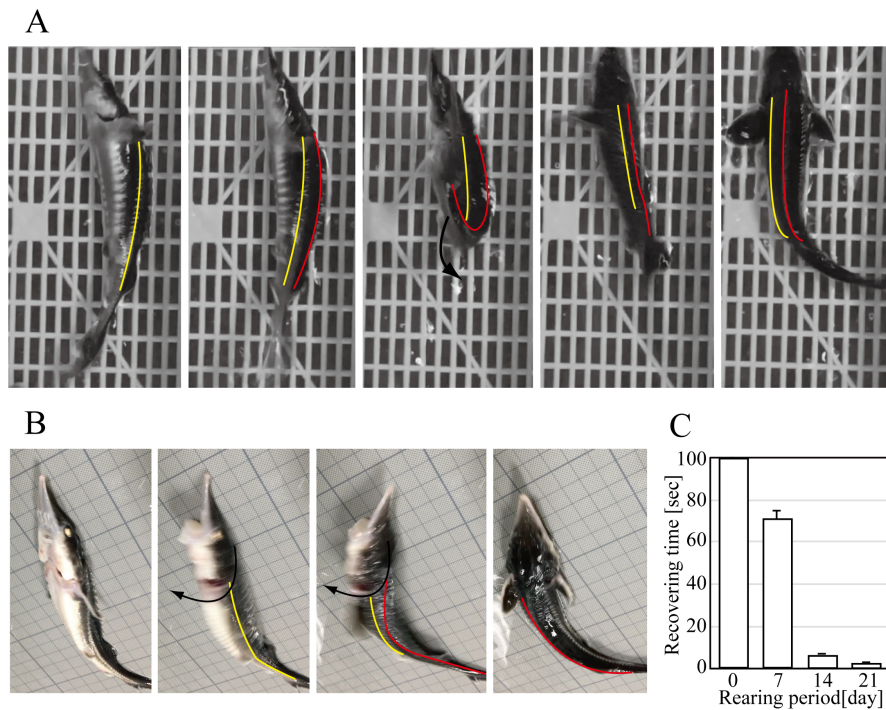
382 Detection of floor-reaction forces in the CSLC of sturgeons.

383 (A) A weak load was detected under some regions of whole sturgeons. (B) A temporary strong

384 load was detected under the pectoral and pelvic fin region of sturgeons.

385

386



387

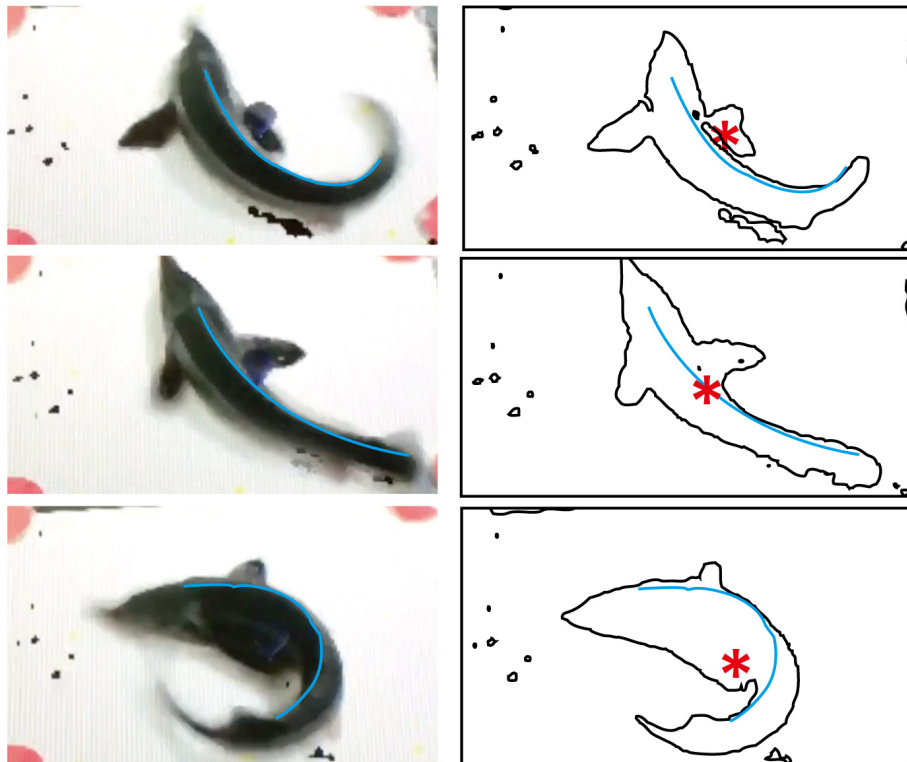
388 **Figure 4.**

389 Development of locomotion.

390 (A) Rolling-over using C-start escape response. (B) Rolling-over using twisting force. The red lines

391 indicate the dorsal side of the trunk, and the yellow lines indicate the lateral side of the trunk. (C) The

392 recovery time decreased depending on the exposure period.



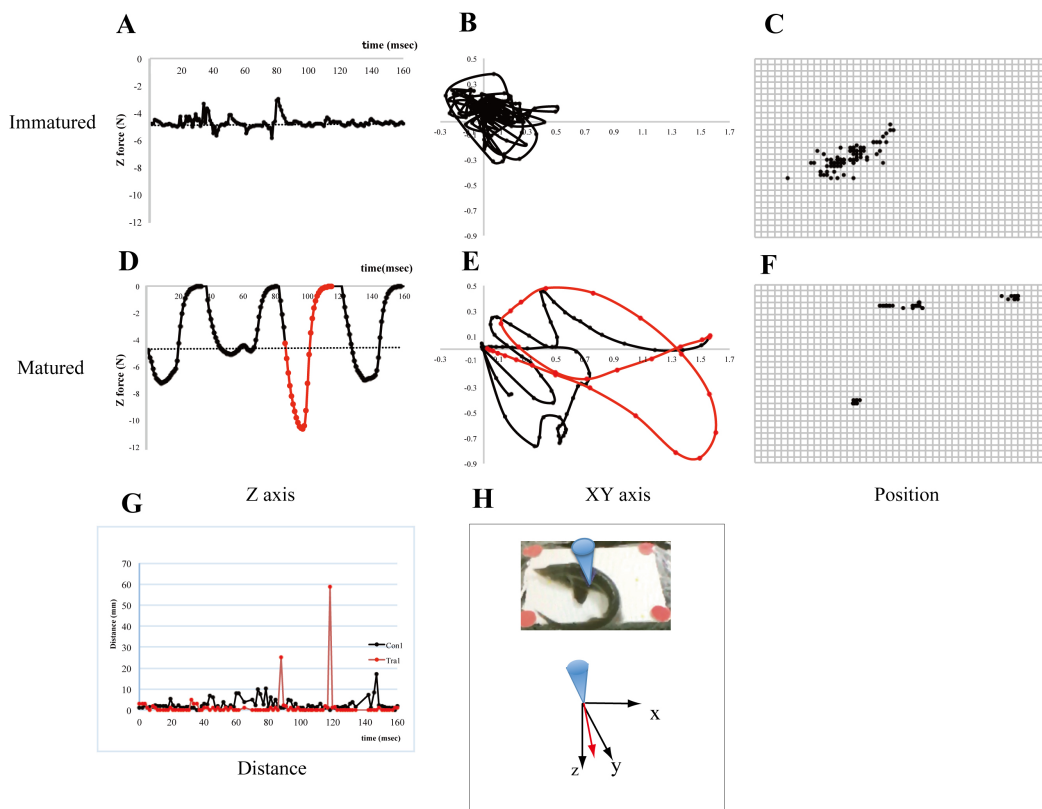
393

394 **Figure 5.**

395 Changes in the barycentric centre and load in the locomotion process during exposure to low water
396 levels.

397 Detection of the position of the barycentric centre during CSLC locomotion. The left panel is the still
398 image from the movie file. The right panel is the outline of the image in the left panel. * Position of ZMP.

399



400

401 **Figure 6.**

402 Measurement of the direction and magnitude of the force of ZMP in CSLC using a tactile sensor.

403 After long-term exposure to low water levels, the sturgeons could apply more load in the vertical

404 direction (A and D) and change the loads more in the horizontal direction (B and E), compared with the

405 sturgeons immediately after exposure. The position of ZMP changed gradually with short moving

406 distances in the sturgeons immediately after exposure (C and G), whereas the sturgeons after long-term

407 exposure did not usually move much and changed their position significantly only when they moved (F

408 and G). Changes in the vertical loads in CSLC at the ZMP point over time (A and D). The dashed line

409 indicates the level of its weight. (B) and (E): Temporal changes in the direction of horizontal forces in

410 CSLC. (C) and (F): Distribution of ZMP in CSLC. (G) Moved distance of ZMP. (H) Schematic diagram

411 of the Haptic Cutting Board system device. The upper panel shows an immature locomotion group (A, B,

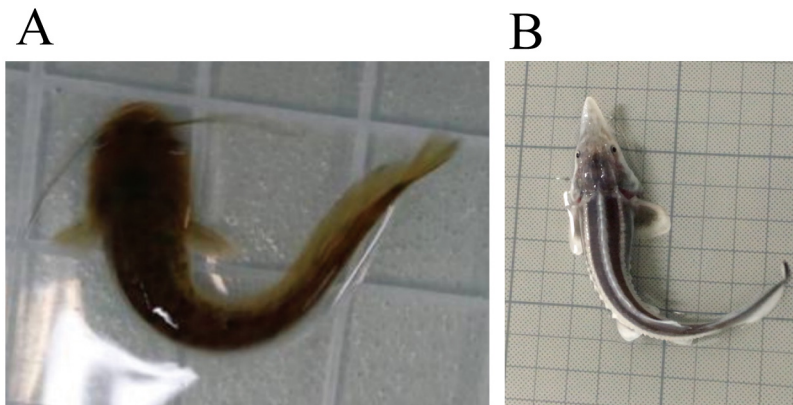
412 and C) and the lower panel mature locomotion group (D, E, and F), Con: control group, Tra:

413 low-water-level exposed group. The red lines in B and E indicate the same time point.

414

415 **Supplementary information**

416

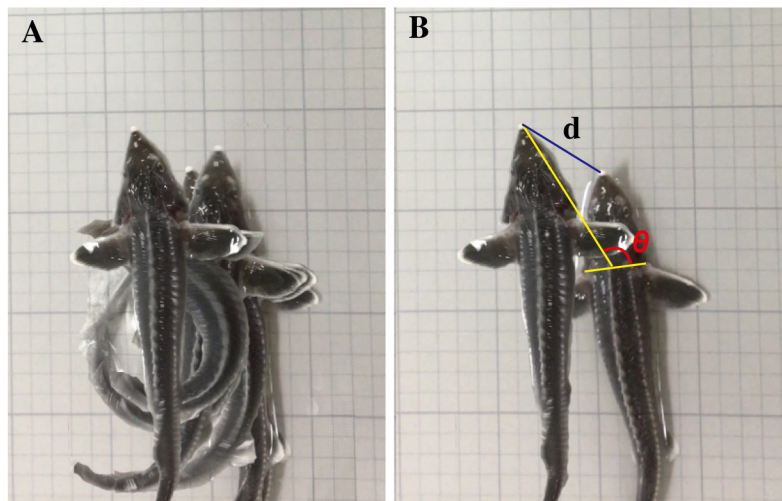


418 **Figure S1.**

419 C-start escape response of catfish and juvenile sturgeons.

420 (A) Catfish. (B) Juvenile sturgeon.

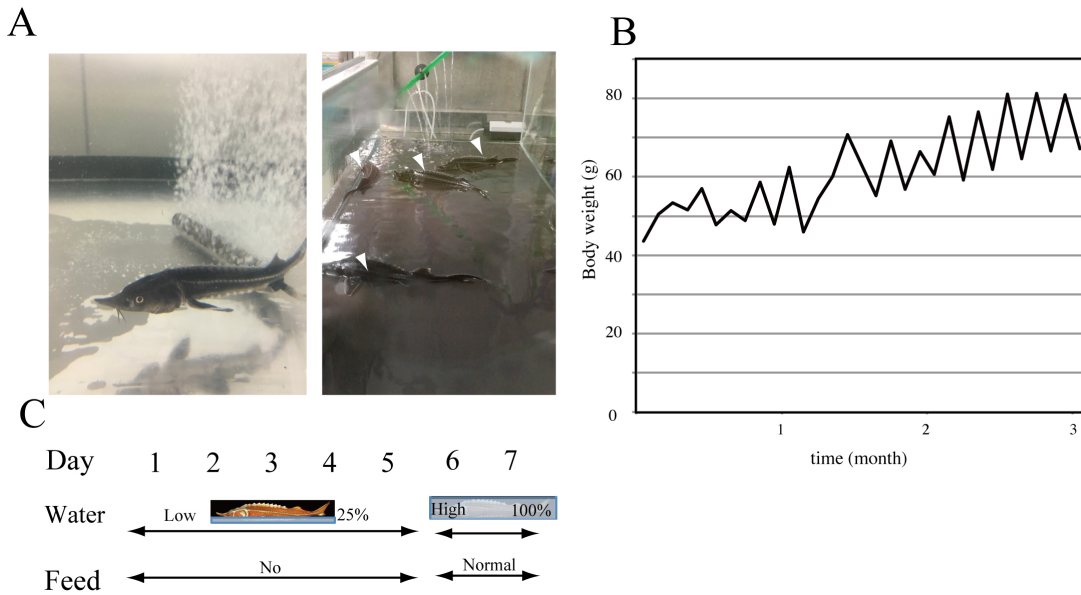
421



423 **Figure S2.**

424 C-start escape response of sturgeons.

425 (A) Overlapped sequential photographs showing the “C-start-like crawling” (CSLC) movement.
426 (B) Start and end positions of CSLC. The black line (d) indicates the distance moved using
427 CSLC. The yellow and red lines indicate the change in angle with CSLC (θ).
428

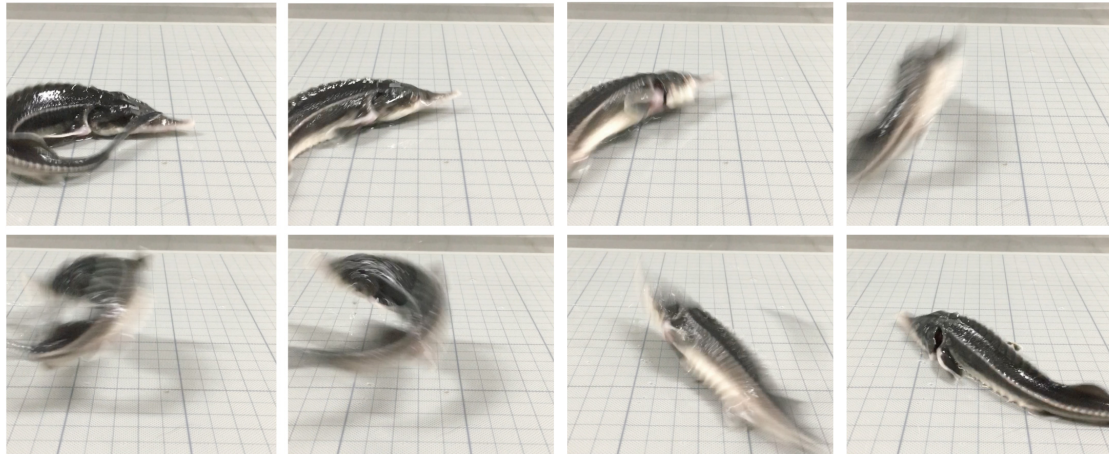


429
430 **Figure S3.**

431 Changes in average body weight.

432 (A) Sturgeon swimming underwater (left) and during exposure to low water levels (right). (B)
433 Comparison between average body weight (g) and time (months); the average body weight of
434 the sturgeon decreased during low-water-level exposure and increased during the return to
435 higher water levels. (C) Schedule of the one-week rearing experiment.

436



437

438 **Figure S4.**

439 Sequential photographs of developmental locomotion.

440

441 **Movie S1**

442 The crawling movement and reflex response herein called the C-start escape response.

443

444 **Movie S2**

445 Sturgeon crawling with fully expanded pectoral fins.

446