

1 Bridging the gap: Using reservoir ecology and human
2 serosurveys to estimate Lassa virus incidence in West
3 Africa

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27 **1 Abstract**

28 Forecasting how the risk of pathogen spillover changes over space is essential
29 for the effective deployment of interventions such as human or wildlife vacci-
30 nation. However, due to the sporadic nature of spillover events, developing
31 robust predictions is challenging. Recent efforts to overcome this obstacle have
32 capitalized on machine learning to predict spillover risk. A weakness of these
33 approaches has been their reliance on human infection data, which is known
34 to suffer from strongly biased reporting. We develop a novel approach that
35 combines sub-models for reservoir species distribution, pathogen distribution,
36 and transmission into the human population. We apply our method to Lassa
37 virus, a zoonotic pathogen with a high threat of emergence in West Africa. The
38 resulting model predicts the distribution of Lassa virus spillover risk and allows
39 us to revise existing estimates for the annual number of new human infections.
40 Our model predicts that between 961,300 – 4,037,400 humans are infected by
41 Lassa virus each year, an estimate that exceeds current conventional wisdom.
42 Our model also predicts that Nigeria accounts for more than half of all new
43 Lassa cases in humans, making it a high-risk area for Lassa virus to become an
44 emergent pathogen.

45 **2 Keywords**

46 Lassa, Machine learning, zoonotic pathogen, emerging infectious disease, spillover,
47 risk map

48 **3 Introduction**

49 Emerging infectious diseases (EIDs) pose a deadly threat to mankind. Approx-
50 imately 40% of EIDs are caused by pathogens that circulate in a non-human

51 wildlife reservoir (i.e., zoonotic pathogens) [1]. Prior to full scale emergence, in-
52 teraction between humans and wildlife creates opportunities for the occasional
53 transfer, or spillover, of the zoonotic pathogen into human populations [2].
54 These initial spillover cases, in turn, can give an animal-borne pathogen a
55 foothold for genetic mutations that allow increased transmission among hu-
56 mans [2, 3]. Consequently, a key step in preempting the threat of EIDs is
57 careful monitoring of when and where spillover into the human population is
58 occurring. However, because the majority of EIDs from wildlife originate in low
59 and middle income regions with limited health system infrastructure, accurately
60 estimating the rate and geographical range of pathogen spillover, and therefore
61 the risk of new EIDs, is a major challenge [1].

62 Machine learning techniques have shown promise at predicting the geograph-
63 ical range of spillover risk for several zoonotic diseases including Lassa fever [4–
64 6], Ebola [7], and Leishmaniasis [8]. Generally, these models are trained to
65 associate environmental features with the presence or absence of case reports
66 in humans or the associated reservoir. Once inferred from the training process,
67 the learned relationships between disease presence and the environment can be
68 extended across a region of interest. Using these techniques, previous studies of
69 Lassa fever (LF) have derived risk maps that classify areas as high or low risk
70 [4, 5]. Though useful, these forecasts do not explicitly quantify the spillover rate
71 of a pathogen into humans, and, as a consequence, do not reveal the relative
72 risk of inter-species pathogen-host transmission. Furthermore, in the case of LF,
73 due to modern transportation and the longevity of Lassa virus antibodies in hu-
74 mans, a general concern is that the reported location of human disease or Lassa
75 virus antibody detection is not the site at which the infection occurred [9–11].

76 Herein, we develop a multi-layer machine learning framework that accounts
77 for the differences between how data involving a wildlife reservoir, and data

78 from human cases, inform spillover risk in people. Our approach uses machine
79 learning algorithms that, when trained on data from the wildlife reservoir alone,
80 estimate the likelihood of the reservoir and the zoonotic pathogen being present
81 in an area. These predictions are combined into a composite estimate of spillover
82 risk to humans. Estimates of human Lassa virus seroprevalence, as well as
83 estimates of human population density, are then used to translate the composite
84 estimate into a realized rate of zoonotic spillover into humans. We apply our
85 framework to Lassa virus (formally *Lassa mammarenavirus* [LASV]), a negative
86 sense, bi-segmented, single-stranded ambisense RNA virus in the *Arenaviridae*
87 family and the causative agent of LF in West Africa [10, 12]. Though LASV can
88 transmit directly between humans and often does so in hospital settings [13],
89 rodent-to-human transmission is believed to account for the majority of new
90 LASV infections [10, 14]. LASV spreads to humans from its primary reservoir,
91 the multimammate rat *Mastomys natalensis*, through food contaminated with
92 infected rodent feces and urine, as well as through the hunting of rodents for
93 food consumption [15]. Because *M. natalensis* have limited dispersal relative to
94 humans, direct LASV detection in the rodents is likely to indicate actual areas
95 of spillover risk.

96 We use our model to update estimates of the annual rate of LASV spillover
97 into humans. Data from longitudinal serosurveys has been used to estimate
98 that between 100000 and 300000 LASV infections occur each year, and that
99 between 74 – 94% of LASV infections result in sub-clinical febrile illness or
100 are asymptomatic [16]. Though these estimates are often used to describe the
101 magnitude of LASV spillover into humans [10, 17, 18], their generality is unclear
102 because they are based on extrapolation from serosurveys conducted in the
103 1980's in Sierra Leone [16]. More recent estimates indicate that as many as 13
104 million LASV infections may occur each year [19]. Using our machine learning

105 framework to account for data from both rodents and humans, we endeavored
106 to refine these estimates of total LASV spillover into humans.

107 4 Methods

108 We developed a model that predicts the rate of LASV infection in humans
109 within individual $0.05^\circ \times 0.05^\circ$ areas (i.e., pixels) of a gridded region of West
110 Africa. This focal region is chosen as the intersection of West Africa and the
111 International Union for Conservation of Nature (IUCN) range map for *Mastomys*
112 *natalensis* [20]. Our *M. natalensis* capture data, as well as all of the LASV
113 survey data, originate from within this region, thus providing a discrete bound
114 on the area of West Africa in which the learned relationships of the model apply.

115 Outputs from the model are generated in two stages. The first stage uses
116 environmental features to estimate different layers of LASV spillover risk (see
117 Appendix for a complete list of environmental variables). The layers of risk, in
118 turn, are described by: 1) D_M , a classification score indicating the likelihood
119 that a pixel contains the primary rodent reservoir, *M. natalensis*, and 2) D_L ,
120 a score indicating the likelihood that LASV circulates within the *M. natalen-*
121 *sis* population. Depending on the layer, the response variable for this stage is
122 generated from documented occurrences of *M. natalensis* (D_M layer), or evi-
123 dence of past LASV infection in *M. natalensis* (D_L layer). More details on the
124 data-sets can be found in the Appendix. The second stage of our framework
125 uses a generalized linear model to regress the estimates of human LASV sero-
126 prevalence onto a composite layer made from D_M and D_L . Lastly, we used a
127 susceptible-infected-recovered model to derive human incidence from the pre-
128 dictions of LASV seroprevalence.

129 4.1 LASV risk layers

130 Each risk layer of the first stage is generated by a separate boosted classification
131 tree (BCT). The BCT, in turn, uses environmental features within a pixel to
132 infer a classification score, between zero and one, that indicates how likely it is
133 that the pixel is positive for *M. natalensis* (D_M layer) or LASV in *M. natalensis*
134 (D_L layer). BCTs use a stage-wise learning algorithm that, at each stage,
135 trains a new tree model to the residuals of the current model iteration. Each
136 newly fitted tree is added to the ensemble model, thereby reducing the residual
137 deviance between the model predictions and a training set [21]. Boosted trees
138 are commonly used in species and disease distribution models because they are
139 simultaneously resistant to over-fitting in scenarios where many feature variables
140 are implemented and are also able to model complex interactions of the features
141 [22].

142 In the D_M layer, fitting the BCT model requires supplementing the presence-
143 only data with background points, also called pseudo-absences [23, 24]. Back-
144 ground points serve as an estimate of the distribution of sampling effort for
145 the organism being modeled [25]. We used background points chosen from
146 capture locations of members within the Muridae family (i.e., rodents) in West
147 Africa [26]. We only included background points that: 1) document the location
148 of a species other than *M. natalensis*, 2) fall outside of any pixel that contains
149 a documented *M. natalensis* capture, and 3) are within the study region. Be-
150 cause our data-set contains locations in which LASV was present in sampled
151 *M. natalensis* populations, as well as locations in which LASV was not found,
152 background points were not used in the D_L layer. For both layers, each pixel in
153 the data-set was assigned a value of zero (for background or survey absences)
154 or one (presences). To help the models reliably discriminate between locations
155 of presence and absence, each model was fit with an equal number of absences

156 and presences [24].

157 For a given training set, we fit the BCT model using the `gbm.step` function
158 of the “`dismo`” package in the statistical language R [27]. This specific function
159 uses 10-fold cross-validation to determine the number of successive trees that
160 best model the relationship between response and features without over-fitting
161 the data [27]. The learning rate parameter, which determines the weight given to
162 each successive tree, was set to small values (D_M : 10^{-2} , D_L : 10^{-3}) that encour-
163 age a final model that is composed of many small incremental improvements.
164 A smaller learning rate was used in the D_L layer because the corresponding
165 data-set was smaller. The parameter that describes the maximum number of
166 allowable trees was set to a large value (10^7) to ensure that the cross-validation
167 fitting process was able to add trees until no further improvement occurred [21].

168 Each fitted model assigns a score between zero and one that indicates whether
169 a given set of environmental features describes a presence or absence. To ensure
170 that the relationships the model finds are robust, we bootstrapped the fitting
171 procedure 25 times, sampling a different set of training points each time.

172 **4.2 Connection to human seroprevalence and incidence**

173 We combined the D_M and D_L layers into a composite feature, denoted by D_X ,
174 that is indicative of whether a pixel simultaneously has environmental features
175 that are suitable for *M. natalensis*, as well as LASV in *M. natalensis*. The
176 combined feature is defined as $D_X = D_M \times D_L$ and summarizes the realized
177 risk of LASV spillover to humans within the local environment.

178 To connect the new risk parameter D_X to human LASV seroprevalence,
179 we assume that the seroprevalence measures that were obtained from histori-
180 cal serosurveys describe LASV infection at steady-state (i.e. are unchanging in
181 time). We then regressed counts of seropositive humans on the D_X layer, as

182 well as an intercept, and included an offset term that accounts for the number
183 of individuals tested. We used negative binomial regression because preliminary
184 analyses indicated significant over-dispersion of the residuals under Poisson re-
185 gression [28].

186 Finally, a susceptible-infected-recovered (SIR) model was used to estimate
187 the combined number of asymptomatic and symptomatic human LASV infec-
188 tions per year (i.e., incidence). This estimate was derived from the predicted
189 human LASV seroprevalence described above (details in Appendix). For ref-
190 erence, we also calculated human LASV incidence from a simpler null model
191 that assumes a spatially homogeneous distribution of seroprevalence. Though
192 the epidemiological characteristics of LASV infection in humans are still being
193 clarified, at least some longitudinal data indicates that loss of seropositivity
194 and subsequent reinfection with LASV is possible [16]. In the SIR setting, this
195 implies a nonzero rate of recovered individuals becoming susceptible. We used
196 the SIR model to explore the implications of a range of possible seroreversion
197 rates on our estimates of LASV incidence. Specifically, we compared the pre-
198 dicted incidence of LASV given no seroreversion, to the predicted incidence when
199 seroreversion occurs at a rate $\lambda = 0.064$ per year, as estimated by McCormick,
200 Webb, Krebs (1987).

201 5 Results

202 5.1 LASV risk layers

203 Figure 1a shows each of the fitted risk layers (top row), as well as the com-
204 bined layer of realized risk, D_X . The risk layers were produced by averaging 25
205 bootstrapped predictions. As indicated by the IUCN range map for *M. natal-*
206 *ensis* [20], all West Africa countries likely harbor this primary rodent reservoir

207 of LASV (Figure 1a). Figure 1b shows the predicted classification score for the
208 occurrence of LASV in *M. natalensis*, averaged over 25 bootstrapped predic-
209 tions. Similar to other Lassa risk maps [4, 5], our map indicates that the risk of
210 LASV in rodents is primarily concentrated in the eastern and western extremes
211 of West Africa. The combined risk, shown in Figure 1c, indicates that environ-
212 mental features suitable for rodent-to-human LASV transmission are primarily
213 located in Sierra Leone, Guinea, and Nigeria. Regions of central West Africa
214 are also at moderate risk.

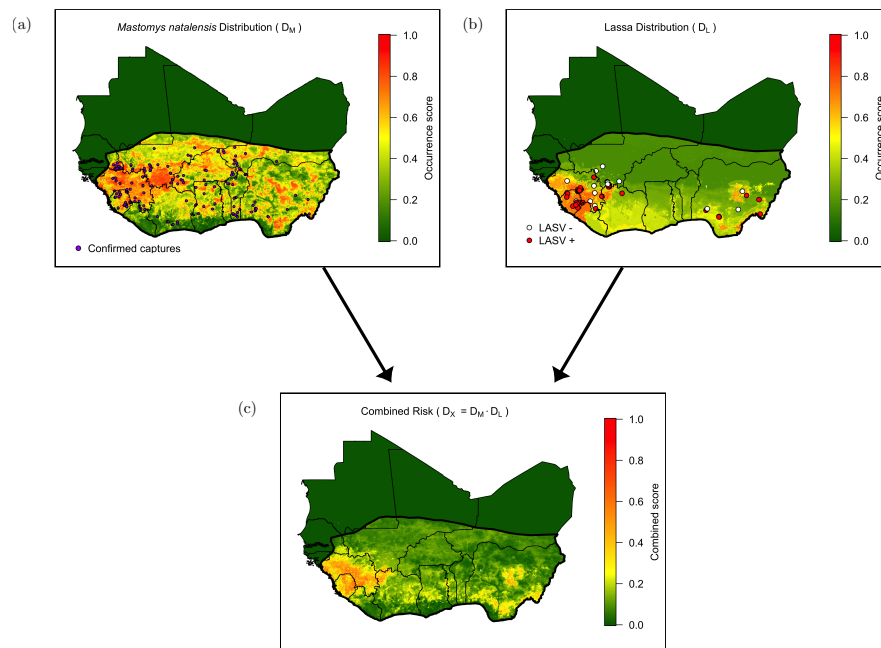


Figure 1: (a) map shows the likelihood that each 0.05° pixel in West Africa contains the primary reservoir of Lassa virus, *M. natalensis*. Purple dots indicate locations of captures that were confirmed using molecular techniques and were used to train the model. Black line indicates the IUCN *M. natalensis* range map. (b) predicted distribution of Lassa virus in *M. natalensis*. Dots indicate locations in which *M. natalensis* were surveyed for the virus. (c) combined risk, defined as the product of the above two layers.

215 5.2 Connection to human seroprevalence and incidence

216 We found a significant, positive association between the combined LASV risk
217 predictor D_X , and the human LASV seroprevalence measured in serosurveys
218 ($p = 0.0145$). The fitted model has a McFadden's pseudo r-squared value of
219 0.18, indicating a moderate ability to explain variation in human seroprevalence
220 data. By applying the general linear model to the combined LASV risk layer, we
221 extrapolate the human LASV seroprevalence across West Africa (Fig 2). Our
222 results indicate that human LASV seroprevalence is greatest in the eastern and
223 western regions of West Africa, with especially high seroprevalence in central
224 Guinea, Sierra Leone, and Nigeria.

225 Furthermore, by assuming that our predictions are representative of LASV
226 infection at steady-state, we derived the number of LASV cases per year in
227 humans (see Appendix for derivation). If the human LASV seroprevalence is
228 assumed homogeneous in the study region, and equal to the average seropreva-
229 lence across all available serosurveys (18.4%), our model implies 1,380,400 LASV
230 infections occur in humans each year. When LASV reinfection (i.e., LASV in-
231 fection following seroreversion) is included in the model, the estimate increases
232 to 5,797,600 cases per year. In contrast, if LASV seroprevalence in humans
233 is spatially heterogeneous, and spatial heterogeneity is described by the LASV
234 spillover risk layer D_X , our model estimates that 961,300 – 4,037,400 new human
235 infections occur each year. Table 1 shows the number of LASV infections per
236 year by country, ordered by number of cases, when reinfection is assumed not
237 to occur. Inclusion of reinfection does not change the ranking of countries. Our
238 results indicate that more than half of new human LASV infections (531,500)
239 in West Africa will occur in Nigeria (Fig 3). This distribution of LASV in-
240 fection is largely due to the greater population size within Nigeria, as the per
241 person incidence rates do not differ dramatically between countries (Table 1).

242 After Nigeria, Ghana (73,700 cases per year) and the Ivory Coast (64,400 cases
243 per year), respectively, are predicted to have the highest incidence of human
244 LASV infections. Guinea and Sierra Leone are predicted to have the highest
245 per-capita rates of LASV infection (Table 1), but because of their relatively
246 small population sizes, these countries are predicted to have relatively few total
247 cases.

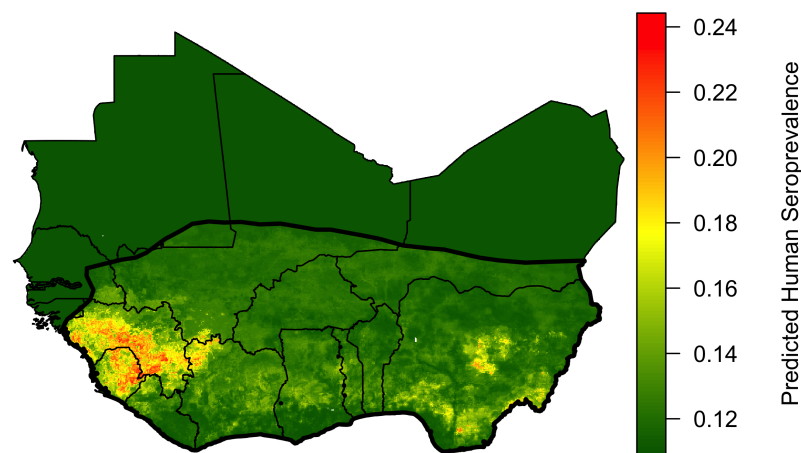


Figure 2: Predicted human seroprevalence of Lassa virus in West Africa, averaged over 25 bootstrap iterations.

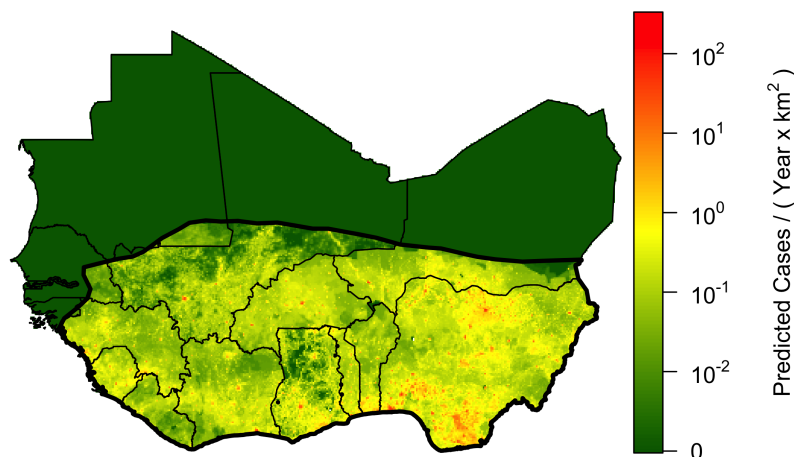


Figure 3: Predicted annual number of Lassa virus infections in humans, averaged over 25 bootstrap iterations. Red areas show regions with high population density that are also predicted to have high Lassa virus seroprevalence in humans.

Country	1000's of Cases	Rate
Nigeria	531.5	2.6
Ghana	73.7	2.4
Ivory Coast	64.4	2.5
Niger	55.6	2.4
Burkina Faso	51.5	2.5
Mali	49.3	2.5
Guinea	46.4	3.3
Benin	30.4	2.5
Sierra Leone	22.8	3.2
Togo	20.5	2.5
Liberia	12.8	2.5
Mauritania	1.3	2.4
Senegal	1.1	2.5

Table 1: Predicted annual number of asymptomatic and symptomatic Lassa virus cases in the study region, as well as infection rate (No. cases per year per 1000 people). Estimates in the table are derived assuming seroreversion and reinfection do not occur.

248 6 Discussion

249 Machine learning approaches that forecast the risk of emerging infectious disease
250 have shown promise for revealing geographical ranges of emerging pathogens.
251 Our forecasting framework ties together data from different aspects of spillover
252 risk posed by the primary rodent reservoir of LASV, to the seroprevalence mea-
253 sured in human serosurveys across West Africa. Using this approach, we are
254 able to generate predictions of the number of new cases of LASV infection within
255 different regions of West Africa. Our results indicate that Nigeria contributes
256 the greatest number of new human cases each year, and that the magnitude of
257 new cases in Nigeria is driven primarily by its greater human population den-
258 sity, rather than an increased per-capita risk. If these predictions are correct,
259 Nigeria is likely to represent the greatest risk of LASV emergence because the
260 large number of annual spillover events allows for extensive sampling of viral
261 strain diversity and repeated opportunities for viral adaptation to the human
262 populations [29].

263 In addition to identifying the countries most at risk for viral emergence, our
264 model provides updated estimates for the rate of LASV spillover across West
265 Africa. Previous estimates of 100,000 – 300,000 cases per year were based on lon-
266 gitudinal studies from communities in Sierra Leone conducted in the 1980's [16].
267 Using seroprevalence data from studies across West Africa, our model predicts
268 between 961,300 – 4,037,400 LASV infections in humans occur each year. Where
269 the true value lies within this range depends on whether or not seroreversion and
270 subsequent LASV reinfection are regular features of human LASV epidemiology,
271 and reinforces the need to better understand the scope for LASV reinfection.
272 It is important to realize that our predictions include both symptomatic and
273 asymptomatic cases. Thus, because many human LASV infections result in mild
274 flu-like symptoms or are asymptomatic, it is unsurprising that our predicted val-

275 ues exceed the reported number of confirmed LF cases in Nigeria [30, 31].

276 Several factors contribute to the discrepancy between previous estimates
277 of LASV spillover, and our revised estimates. McCormick, Webb, and Krebs
278 (1987) used seroconversion data from a 15 month period to infer a rate of LASV
279 infection across West Africa. However, the population of West Africa has in-
280 creased by a factor of 2.4 since that time, making these estimates outdated [32].
281 Later estimates that were partially based on the same longitudinal serosurveys
282 derived an upper bound of 13 million LASV infections, but only considered the
283 number of cases in Nigeria, Guinea, and Sierra Leone [19]. Furthermore, these
284 later estimates are derived from the maximum observed human LASV serocon-
285 version rate in the Sierra Leone study, which likely does not apply across West
286 Africa. In contrast, our estimates are based on human seroprevalence data that
287 comes from six countries in West Africa and spans a 35 year time period. Be-
288 cause our data-set was obtained from a broader spatial and temporal range, our
289 estimates are less likely to be biased by sporadic extremes in LASV spillover.

290 By integrating spatial heterogeneity in Lassa risk and human density across
291 West Africa our model allows us to predict which countries have the highest
292 per-capita risk of LASV infection (e.g., Guinea, Sierra Leone) and those that
293 have the highest number of human cases because of their large human popula-
294 tion size (e.g., Nigeria). Clarifying and distinguishing these two different types
295 of risk helps to plan and manage risk-reduction and behavior-change communi-
296 cation campaigns, countermeasures such as rodent population management or
297 vaccination of rodent reservoir hosts, and travel advisories to high risk areas.
298 In addition to intervention strategies such as vaccination or management of ro-
299 dent populations, both of these areas of West Africa should be prioritized for
300 surveillance of LASV emergence in rodents and at-risk human populations.

301 Our modeling framework has the benefit of being extendable, thereby giving

302 structured insight into how other attributes of LASV risk translate into ob-
303 served human seroprevalence. Future iterations of this framework could include
304 the contributions of 1) more detailed life history of *M. natalensis*; 2) additional
305 LASV animal reservoirs; and 3) genomic variability in LASV strains. For exam-
306 ple, the first stage of these advanced models could include the temporal probabil-
307 ity of a rodent being inside a domestic dwelling. The incidence of LF is generally
308 believed to peak in the dry season, when *M. natalensis* migrate into domestic
309 settings [33]. Temporal fluctuations in population density, due to seasonal rain-
310 fall, would provide another important insight into the seasonal burden of human
311 LF cases [10]. Understanding this ecological connection is important because
312 distributing vaccines at seasonal population lows in wildlife demographic cycles
313 can, in theory, substantially increase the probability of pathogen elimination
314 [34, 35]. Incorporating these temporal layers will become more feasible as more
315 time-series data on population density in the focal reservoir species becomes
316 available.

317 Other potentially important risk layers that could be added are geographic
318 distributions for other known reservoirs of LASV. Specifically, several species of
319 rodents are known to be capable of harboring the virus [36]. Though *M. natal-*
320 *ensis* is believed to be the primary reservoir that contributes to human infection,
321 it is unknown whether this holds across all regions of West Africa. Understand-
322 ing the relationship between the habitat suitability of different rodent reservoirs
323 and human LF burden may also help determine whether *M. natalensis* is the
324 host at which intervention strategies should always be directed. Finally, addi-
325 tional virus sequence data could be used to train a risk layer that forecasts the
326 presence or absence of specific genomic variants that are more likely to cause
327 either severe disease or more efficient human-to-human transmission cycles.

328 Although the methods we have used here make efficient use of available data,

329 the accuracy of our risk forecasts remains difficult to rigorously evaluate due to
330 the limited availability of reliable data from human populations across West
331 Africa. The sparseness of human data arises for two reasons: 1) the lack of ro-
332 bust surveillance and testing across much of the region where LASV is endemic
333 and 2) the absence of publicly available databases reporting human cases in those
334 countries that do have sophisticated surveillance in place. Improving surveil-
335 lance for LASV across West Africa and developing publicly available resources
336 for sharing the resulting data would allow more robust risk predictions to be
337 developed and facilitate targeting effective risk reducing interventions. Despite
338 these limitations of existing data, the structured machine-learning models we
339 develop here provide insight into what aspects of environment, reservoir, and
340 virus, contribute to spillover, and the potential risk of subsequent emergence
341 into the human population. By understanding these connections, we can design
342 and deploy more effective intervention and surveillance strategies that work in
343 tandem to reduce disease burden and enhance global health security.

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