# Disease Risk Analysis in sea turtles: A baseline study to inform conservation efforts

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## Abstract

The impact of a range of different threats has resulted in the listing of six out of seven sea turtle 49 species on the IUCN Red List of endangered species. Disease risk analysis (DRA) tools are designed to 50 provide objective, repeatable and documented assessment of the disease risks for a population and 51 measures to reduce these risks through management options. To the best of our knowledge, DRAs 52 have not previously been published for sea turtles, although disease is reported to contribute to sea 53 turtle population decline. Here, a comprehensive list of health hazards is provided for all seven 54 species of sea turtles. The possible risk these hazards pose to the health of sea turtles were assessed 55 and "One Health" aspects of interacting with sea turtles were also investigated. The risk assessment 56 was undertaken in collaboration with more than 30 experts in the field including veterinarians, 57 microbiologists, social scientists, epidemiologists and stakeholders, in the form of two international 58 workshops and one local workshop. The general finding of the DRA was the distinct lack of 59 knowledge regarding a link between the presence of pathogens and diseases manifestation in sea 60 turtles. A higher rate of disease in immunocompromised individuals was repeatedly reported and a 61 possible link between immunosuppression and environmental contaminants as a result of 62 anthropogenic influences was suggested. Society based conservation initiatives and as a result the 63 cultural and social aspect of interacting with sea turtles appeared to need more attention and 64 research. A risk management workshop was carried out to acquire the insights of local policy makers 65 about management options for the risks relevant to Queensland and the options were evaluated 66 considering their feasibility and effectiveness. The sea turtle DRA presented here, is a structured 67 guide for future risk assessments to be used in specific scenarios such as translocation and head-68 starting programs. 69

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## **1. Introduction**

The International Union for Conservation of Nature (IUCN) has listed six of the seven sea turtle 75 species on the IUCN Red List of endangered species while the seventh species, the flatback turtle 76 (*Natator depressus*), is reported as "Data Deficient" (1). Over the past 100 years, the world 77 population of sea turtles has declined due to direct and indirect human interventions (2). Disease is 78 likely a contributing or primary factor in sea turtle deaths and poses challenges to conservation 79 programs (3), but due to a number of factors, including the challenges of sampling wild marine 80 animals in remote areas, incidences are generally under-reported (4). 81

It is particularly difficult to capture a sea turtle with clinical signs in the wild as sea turtles are often 82 hard to locate and difficult to access in remote areas (5). Postmortem examination provides the 83 84 most robust opportunity to identify diseases and their aetiology. Unfortunately, the difficulty of retrieving carcasses in the wild, as well as postmortem changes, can complicate the process of 85 making a reliable diagnosis (6). In addition, the results of such studies would not aid in determining 86 the rate of morbidity versus mortality. An alternative way to investigate wildlife disease is to conduct 87 controlled experimental studies, but due to their endangered status, such studies are difficult to 88 89 justify for sea turtles (7).

Scientists have validated the methods used for health assessment of other animals in sea turtles (3) 90 and applied these procedures for sea turtle health and rehabilitation (8). Despite this, it is still 91 challenging in some instances to diagnose the cause of disease or death in sea turtles (9) and 92 prevention and control measures are therefore not fully achievable (10). 93

The limitations and uncertainties of wildlife disease assessment call for structured, evidence-based 94 approaches to inform management and reduce the risk of diseases, where disease drivers and their 95 contribution to other threats can be defined. Wildlife Disease Risk Analysis (DRA) is most effective 96 when taking a multidisciplinary approach involving scientists, clinicians and relevant decision makers 97 to develop rational, effective and unbiased conclusions for wildlife health surveillance in support of 98 conservation strategies. 99

The latest DRA manual was published by the World Organisation for Animal Health (OIE) and IUCN 100 Species Survival Commission in 2014. The manual addresses different scenarios for endangered 101 species and translocating them for conservation purposes and enables the pros and cons of these 102 actions to be thoroughly investigated (11). In order to accommodate the unique biology of sea 103 turtles, the DRA process as described in this manual requires certain modifications to realistically 104 articulate with situations such as translocating animals or investigating the risks of disease for a 105 population in its normal habitat. A 2015 study describes a systematic approach to investigate 106

disease-related population decline without confining the assessment to a particular scenario or 107 location (6). This method is a modified version of a DRA based on epidemiological principles (6) for 108 any declining wildlife population. A successful DRA considers the study population in the context of 109 the environment. 110

In the 1960's, Calvin Schwabe coined the term "One Medicine" which then extended to "One Health" 111 that takes into account the inter-dependent health of humans, livestock and wildlife (12, 13). One 112 Health is an all-inclusive collaboration between public health, animal health and environmental 113 specialists as well as communities and social scientists, through a transdisciplinary approach, to 114 sustain the world's health (14). The founding belief behind promoting One Health is the 115 interconnected health of humans, animals and the environment. Approximately 75% of human 116 infectious diseases are zoonotic, or in other words, are caused by multi-host pathogens carried by 117 animals (12). Unsustainable degradation of the environment by humans, toxins and chemical 118 contaminants are also known to enhance the rate of emerging diseases in people, wildlife and 119 livestock (15, 16). Humans are also contributing to pressure on wildlife by the increasing demands 120 for meat protein and subsequent habitat degradation (12). 121

Disease affects not only a population, but also the habitat, the other animals and humans that share 122 it and *vice versa*. In the context of One Health, green turtles (*Chelonia mydas*) are particularly 123 important due to their longevity and fidelity to a near-shore foraging site (17, 18). Their continuous 124 and long-term residency in a given location makes them good sentinels for local environmental 125 health (19) and thereby function as marine 'ambassadors' for One Health. 126

There are currently no published reports on DRA for sea turtles and this gap compromises strategies 127 presently implemented to address sea turtle conservation action such as disease control, clutch 128 translocations and hatchery establishment. In this study, both DRA models described by Jakob-Hoff 129 et al. (11) and Pacioni et al. (6) were integrated to highlight how these guidelines can be used to 130 develop a DRA for sea turtles. The purpose of this study is to provide a baseline DRA which should 131 serve as an example of this process for future, case-specific studies aiming to inform management 132 decisions. The interrelated health of sea turtles, marine and terrestrial animals, humans and the 133 environment were also addressed to define One Health factors. 134

## 2. Methods

The process of a DRA is outlined in Figure 1. Briefly, DRA organisers define a specific scenario for a 136 wildlife population, for example translocating a clutch of sea turtle eggs from A to B (Step 1. Problem 137 description). Then, published literature and unpublished reports about the hazards are collected and 138 a group of experts are invited to review the information. This collection of comprehensive 139 knowledge enables identification of hazards to the population under consideration (Step 2. Hazard 140 identification). Assessing the knowledge of likelihood and consequences for each hazard, ideally 141 conducted as a workshop with invited experts, will help to prioritise the need for research or 142 surveillance strategies (Step 3. Risk assessment). Following a structured risk assessment, the 143 prioritised health hazards or risks will be presented to a group of stakeholders who will review 144 management options and the use of these options based on an assessment of their feasibility and 145 effectiveness (Step 4. Risk management). The final step (Step 5. Implementation and review) is 146 focused on finding the possible errors in executing the solutions suggested in the process (11). 147

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Fig 1 Steps in the disease risk analysis process, reproduced from the DRA manual published by OIE and IUCN (2014) 149

#### 2.1. Problem description

The larger the spatial scale of the area of interest, the harder it is to describe the risks and apply 152 management. For this reason, "Management units" need to be defined alongside the problem 153 description. The DRA must focus on localised scenarios such as translocating a clutch of eggs from A 154 to B, establishing a turtle hatchery in location X or the outbreak of a bacterial or parasitic infectious 155 disease in a rookery. However, as this DRA is a guideline for future researchers and managers to 156 facilitate realistic risk management, defining a problem description for the present study involving 157 the global population of sea turtles would be erroneous. Although, the population decline of sea 158 turtles and the difficulties in disease diagnosis suggest that the problem can be described as: 159 "Certain infectious or non-infectious diseases are likely to contribute to sea turtle population 160 decline" this is not specific enough to make the risk management achievable. To capture all of the 161 expertise in this field, we have refrained from defining a problem description. However, in the 162 interest of this guide, one example with specific problem description is given in Appendix 1. 163

#### 2.2. Hazard Identification

A "hazard" is defined as any agent that can harm or damage the receiver and becomes a "risk" when 165 the receiver is exposed to that hazard. We have compiled a comprehensive list of hazards to sea 166 turtle health, which are not necessarily considered a risk for the species, but provide an exhaustive 167 review of the published literature for future reference. Unpublished data was accessed through 168 inter-discipline collaborators based around the world e.g. veterinarians and researchers from 169 rehabilitation centres and universities (Figure 2). 170

Fig 2 Origin of contributors to the hazard identification and assessment of sea turtle diseases.

For clarification, the disease hazards are divided into infectious and non-infectious and each of those174further sub-divided to facilitate the risk assessment of each disease hazards.175

#### 2. 2.1. Infectious Hazards

Infectious disease is among the top five reasons for terrestrial species extinction (20). At present, the177status of marine animals has not been assessed which highlights the need for further studies on178infectious diseases in marine wildlife. In addition to directly threatening the biodiversity of free-179living animals, wildlife diseases can also pose a threat to domestic animals and humans if wildlife act180as a reservoir for pathogens (21).181

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The infectious hazards for sea turtles were categorised into four groups: bacteria, fungi, parasites 182 and viruses. In each category, pathogens were listed alphabetically with available information 183 summarised. Table 1 is an example of this information for a bacterial pathogen. As sea turtles are 184 migratory species and inhabit different marine environments at different life stages (22), the 185 geographical distribution of pathogens and host age were included, if known. Likewise, the presence 186 of these pathogens in the wild and in captive populations were specified. The known infected or 187 potential hosts were registered for each pathogen including related species, in order to address One 188 Health considerations. Where possible, the correlation with climatic influence and/or anthropogenic 189 events were also included to assess possible correlation. 190

Table 1 Example of an infectious bacterial health hazard summary for "Lactococcus garviae".

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Infectious health hazard	Region reporte d	Presence in sea turtle		Zoonotic/ transmissible to	Correlation with climatic influence/ anthropogenic	Key reference(	
		Captive populatio ns	Wild populations		cohabiting animals	events	s)
Lactococcus garviae	Tuscany , Italy		Green (Chelonia mydas), Loggerhead (Caretta caretta)	Detected using PCR; No pathogenic studies carried out	Present in fish, molluscs and crustaceans Identified in a bacterial epidemic in aquatic invertebrates, such as the giant freshwater prawn	Climate change may influence the threat levels associated with such exotic pathogens	(23)

\*(lesion, clinical sign and/or disease) symptom in individuals; ease of spread, rate of spread; diagnostic test or treatment, if available.

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#### 2.2.2. Non-infectious Hazards

Non-infectious diseases of sea turtles have been reported both in captivity and the wild (24), but 196 little is known about the cause and extent of these diseases and their impact on the population (25). 197 In this study, a broad range of health problems were described to form a basis for discussing their 198 possible effects on the population. The groupings were adapted from the method used by George 199 (1997) (24) and consisted of four main groups, namely physical, nutritional, anthropogenic and 200 medical problems. Table 2 shows an example of a physical problem and associated information. The 201 regions where the hazards were reported in the literature are listed along with the species that were 202 affected either in captivity or in the wild. For each health problem, the following information was 203 collected (if available): clear description of aetiology, reports of mortality/ morbidity, effect on 204 individuals/populations and treatment availability. 205

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#### Table 2 Example of a non-infectious health hazard summary in the group of physical problems/injuries.

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Reported	Captive Population	Wild	the effect on individuals, population, if	
		Population	known; treatment, if stated; mortality, morbidity if reported.	Reference(s)
Frequently reported	*	*	Due to predator bites, by-catch, boat strike or accidents May happen quite often and lead to infection, fractures and open fractures of a limb or of the shell, amputation of one or several limbs or minor wounds Mortality may occur if the injury is traumatic Appropriate modifications to vessel operation and configuration may reduce the threats Aggressive males may bite females during mating Captive turtles are prone to injuries in overcrowded facilities Existence of rehabilitation centres in the area to surrender injured or caught turtles	(26-28)
				May happen quite often and lead to infection, fractures and open fractures of a limb or of the shell, amputation of one or several limbs or minor wounds Mortality may occur if the injury is traumatic Appropriate modifications to vessel operation and configuration may reduce the threats Aggressive males may bite females during mating Captive turtles are prone to injuries in overcrowded facilities Existence of rehabilitation centres in the

#### 2.3. Risk assessment

Two workshops involving experts with a broad range of expertise were convened to systematically 211 execute the risk assessment step. The consultation process was conducted in a formal and 212 structured manner following an established protocol for a DRA (see Appendix 2 for workshop 213 workbook and questionnaire) (11, 29). Human ethics approval for this study was granted by James 214 Cook University Human Ethics Committee, permit number H6834. The two international workshops 215 were: 1) the Turtle Health & Rehabilitation Workshop, September 2017, Townsville, Australia, that 216 was attended by 25 participants mainly from South Africa and the Australasia region and 2) the 217 Medicine Workshop at the International Sea Turtle Symposium 2018, Kobe, Japan, where the 35 218 participants were from a broader range of regions and both hemispheres. The participants were 219 veterinarians, microbiologists, members of the International Sea Turtle Society (ISTS) and IUCN Sea 220 Turtle Specialist Group (MTSG) IUCN SSC Wildlife Health Specialists Group member and Widecast 221 Coordinator (Saint Martin/Saint Barthelemy FWI) who are working on sea turtle research and 222 conservation. Discussions among participants centred on the relevance, significance and223prioritisation of infectious and non-infectious hazards.224

The list of hazards, compiled in the review of the literature, were presented to the groups of 225 specialists in sea turtle health. The "Paired Ranking Tool" was used to prioritise the top three 226 hazards from each group according to a conservation, surveillance and research perspective (6, 11). 227 The paired ranking tool is a decision-making tool which is fully explained in Armstrong et al. (29) and 228 Jakob-Hoff et al. (11). The main goal of this technique is working out the relevant importance of the 229 hazards. As mentioned by Jakob-Hoff et al. (11): "This is a tool for a qualitative risk analysis that 230 assists groups to rank hazards based on their collective judgement." The criteria used to compare 231 the diseases were defined as: current knowledge of the pathogen in sea turtles, the likelihood of 232 exposure/susceptibility, the pathogenic potential, the severity for populations and the correlation 233 with climatic/anthropogenic events (6, 11). 234

#### 2.4. One Health considerations and DRA

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Both One Health and the DRA process share common goals, which are addressing complex health236issues and aiming to reduce disease risks through multidisciplinary collaborations (30).237To address One Health considerations in this DRA, zoonotic pathogens of sea turtles and the238possibility of disease transmission to/from sea turtles were documented. The information about239socioeconomic consequences of conservation initiatives or the general benefits of interaction with240sea turtles were also collected and reviewed.241

Two sections were dedicated to One Health in the expert workshops: one addressed infectious242disease transmission and the other explored opinions about the socioeconomic values of interaction243with sea turtles and the contributions to conservation.244

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#### 2.5. Risk management

Appropriate management interventions such as by-catch reduction, restrictions on commercial use 247 and trade, and creation of protected habitats can allow recovery of a depleted population (31, 32). 248 This emphasises the importance of designing management with SMART (specific, measurable, 249 achievable, realistic and time-based) goals (22). Disease risk management is the process of risk 250 evaluation and identifying the measures that can be applied to reduce or eliminate the risk posed to 251 the population of concern (33). To effectively reduce or eliminate the risks, the scale at which the 252 management plans are evaluated and executed should be defined. Regional management units 253 254 (RMUs) were developed for sea turtles to organise units of protection. These are functionally

independent and provide a framework to evaluate conservation status and to address management 255 challenges (34). 256

After defining the management unit, the risk management step suggests management options to257reduce the risks that have been assessed and ranked in previous steps. These options are then258evaluated according to their feasibility and effectiveness (11). However, often this is not the case259and as the options may not be ideal the best available under the existing circumstances will be260selected.261

Reducing the risk is not implemented under a "single correct answer" achieved from risk assessment,262it is rather a step-by-step procedure that needs modification through communication and cross-263governmental support as animals and their pathogens are not confined by political barriers but are264distributed by topographic and ecological barriers (11, 33). This is especially true for migratory265animals such as sea turtles (22).266

In most cases the risk assessment process is separate from the risk management implementation, 267 merely because the scientists and veterinarians behind the risk assessment process are not policy or 268 decision makers at government level (22). However, the 'experts' are the ones that understand the 269 biology and the ecological systems under consideration. Therefore, they are the best people to 270 identify the range of risk management options. The policy makers should then have input into the 271 feasibility evaluation of the options proposed. Hence, this is best done collaboratively rather than 272 separately as the two groups need each other's perspectives to make the best decisions. 273

A scientifically based, clear DRA can help the decision makers to prioritise the actions to reduce the 274 disease risk (11). An understanding of the identified and assessed risk can facilitate practical and 275 realistic interventions in the form of risk management of the most significant hazards (33). 276

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At the international workshops, the DRA protocols were used to structure discussions around the 278 current risk management, its difficulties and defects for the highest ranked hazards based on 279 globally identified challenges for risk management initiatives. As executing risk management for a 280 specific scenario and in a defined region is more realistic than a global disease risk management for 281 sea turtle populations, the local workshops facilitated further discussions with appropriate 282 representatives from the Australian government. The risk management workshop took place in 283 February 2019 at James Cook University, Townsville, Australia and aimed to identify possible 284 pathways for local disease risk management. The attendees were provided with the DRA materials 285 including the risk assessment results, a week prior to the meeting. The workshop workbook is 286 provided in Appendix 3. The workshop was divided into two sections, the first part was discussing 287

management options for previously assessed risks and the second part was brainstorming to define 288 critical control points for a mock clutch translocation. 289

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#### 2.5.1. Management options for previously assessed risks

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To follow the structure of the DRA, the management group selected two prioritised risks from the292previous step "risk assessment". These two were the most relevant risks to Townsville local293conditions which were also the highest ranked hazards in previous steps.294

The first risk was "Enterobacteriaceae and multi-resistant bacteria" from the infectious hazard295group. In 2017, researchers from James Cook University (JCU) reported that Enterobacterial isolates296from rehabilitated green turtles were significantly multidrug resistant which has an implication for297conservation actions and the general health in the Great Barrier Reef (35).298

The second risk was "macro-plastic pollution" from the non-infectious hazards. It has been reported 299 that green turtles inhabiting the Queensland coast, and generally in Australia, are exposed to macro-300 and microplastic pollution and unfortunately ingest plastic debris (36). Generally, the risk of 301 macroplastic ingestion is higher than that for microplastics. Macroplastic ingestion is a concern for 302 conservation, ranked at the same level as other anthropogenic pressures such as by-catch (37). 303 Management options were suggested for these two hazards by the attendees and effectiveness and 304 feasibility were scored based on the discussions. 305

2.5.2. Critical control points for a mock clutch translocation.

The translocation of animals for conservation purposes was the original and primary aim of 308 establishing DRA (11). The problem description, scope of the risk, goals of risk analysis and the 309 source of information will vary for each individual scenario. Here, the hazard is confined to the 310 regions that "animals are sourced from" and the destination that "the animals are going to be 311 introduced to" (38). The list of the hazards is mainly focused on the "disease causing" infectious and 312 non-infectious agents. The risk assessment can be done through expert-involved discussions and 313 scenario trees for a graphic representation of the specific translocation situation. Risk mitigation and 314 contingency plans can be created with reference to the risk assessment. Finally, the stakeholders can 315 plan for scientifically based, feasible and economic risk managements. 316

The checklist for conducting a wildlife translocation disease risk analysis (11, 39) was modified for a317scenario of sea turtle clutch translocation and employed here as an example (See Appendix 1). Such318procedures are relevant for hatching, captive rearing, rehabilitation and release of turtles, though319

individual and local considerations must be taken into account for each scenario. One example is 320 head-start program which is designed to increase hatching rate by captive rearing the sea turtle 321 hatchlings and releasing them to the ocean when they are assumed to have higher survivorship (40). 322 In the second part of the local workshop, risk management for a mock clutch translocation from an 323 island to the mainland was assessed and the potential transmission pathways for infectious 324 organisms were agreed on after discussing the modes of transportation of the eggs. The potential 325 transmission pathways and the critical control points were then listed in a schematic representation 326 on a whiteboard. Predation risk was also considered in the destination area and the potential 327 hazards for a hatchery establishment were discussed. 328

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#### 3.1. Hazard identification

**3. Results** 

Both infectious and non-infectious hazards were addressed and the complete list is available in332Appendix 4-8. Here we consider only those pathogens and diseases that are important in the context333of sea turtle conservation and have left out a large number of potential pathogens that would make334the DRA unrealistic and unachievable (33). Still, this study identified a comprehensive list of335infectious and non-infectious hazards for consideration in this DRA.336

#### 3.1.1. Infectious disease

Previously undetected bacteria, viruses, parasites and fungi are frequently described in sea turtles 338 and in new regions, but the health implications to sea turtles are not commonly addressed in the 339 literature (8). In many cases, this makes it difficult to determine how high-risk some hazards are, 340 highlighting the need for expert opinion. An exhaustive literature search identified the following 341 information on possible hazards of interest to this DRA. 342

#### 3.1.1.1. Bacteria

Most bacterial species in sea turtles are opportunistic pathogens and have been reported as natural 344 flora in fish, crustaceans and other marine animals (7). In early studies, bacterial pathogens formed 345 the longest list of infectious hazards for sea turtles contributing to disease in captive, farmed and 346 free-living sea turtles in many parts of the world (41-43). The list of bacterial pathogens has grown 347 (see Appendix 5) in terms of diversity but not necessarily the prevalence and the effect on the 348 population. 349

Vibrio spp. Pseudomonas spp., Enterococcus spp., Aeromonas, Cytophaga. freundii, Escherichia. coli, 350 Edwarsiella spp., Proteus spp., Lactococcus garviae, and Providencia have been recorded in sick sea 351 turtles as either potential primary pathogens or opportunistic bacteria (23, 44). Vibrio spp. are the 352 most frequently studied bacterial isolates in sea turtles (especially Vibrio alginolyticus) and are 353 repeatedly isolated from skin lesions, digestive organs and respiratory tract associated with 354 ulcerative stomatitis, obstructive rhinitis, and pneumonia along with Aeromonas hydrophila, 355 Pseudomonas fluorescens, Flavobacterium spp., and Bacillus spp. (41, 42, 45). Infection with these 356 bacteria can also cause mortality in captive-reared and/or wild juvenile green and loggerhead turtles 357 (41, 42). 358

Bacteria isolated in clinically healthy and wild-living turtles near urbanised areas show high levels of359multidrug-resistance, indicating an accumulation of resistance in marine bacteria caused by360exposure to anthropogenic factors. Of particular concern are the *Enterobacteriaceae* that are of One361Health importance as potential zoonotic pathogens (35).362

3.1.1.2. Fungi

Fungal pathogens of sea turtles are usually opportunistic saprophytes causing infection under 365 favorable circumstances (46). Sea turtles in captivity or rehabilitation centres are prone to mycotic 366 infections possibly due to other underlying health issues or immunosuppressive conditions (7). 367 Fusarium species have been isolated from cutaneous abscesses (47), cutaneous or pneumonic 368 lesions and bronchopneumonia (48). Fusarium solani is the most frequently identified fungus in sea 369 370 turtle mycotic diseases, and is normally isolated and referred to as a 'species complex' including more than 60 phylogenetic species (48). Fusarium is widely distributed in soil and waste; it tends to 371 enter the body through lesions, causing mycosis in humans and animals (48, 49). Fusarium infections 372 are a common pathological finding in sea turtle eggs; Fusarium oxysporum, F. solani and 373 Pseudallescheria boydii were isolated from failed eggs found in eastern Australian loggerhead, green, 374 hawksbill (Eretmochelys imbricata) and flatback (Natator depressus) nests (50). Fusarium falciforme 375 and Fusarium keratoplasticum were believed to reduce the hatching success to 10% per infected 376 clutch (48). Environmental stressors such as inundation (flooding of nest) and oxygen depletion 377 seem to enhance the incidence of fungal infection and mortality of embryos (48). However, Phillott 378 and Parmenter (51) determined that the fitness of the hatched green turtles was not affected by 379 fungal colonisation of the nest. Sporadic opportunistic fungal infections are reported in sea turtles. 380 These fungi are not true pathogens of reptiles and are usually not associated with systemic infection 381 or mortality unless the immune system is compromised (52). 382

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#### 3.1.1.3. Parasites

A variety of parasites infect sea turtles, primarily digenetic trematodes and nematodes (53). 384 Different factors influence the extent of damage a parasite may cause, such as the species of 385 parasite and the general fitness of the host, habitat and availability of intermediate host (53, 54). 386 The gastrointestinal flukes (digeneans of the family Pronocephalidae) and cardiovascular flukes 387 (Spirorchidae) are the most prevalent trematodes in sea turtles (53, 55). Gastrointestinal flukes are 388 widely distributed throughout the gastrointestinal tract without any apparent ill effect. 389 Cardiovascular flukes, on the other hand, cause pathological effects in the circulatory system and 390 multiple internal organs (53). The first definitive life cycle for a species of blood flukes in sea turtles 391 was recently described with vermetid snails as the intermediate hosts for Amphiorchis sp (56). 392 In the nematode group, Anisakidae and Kathlanidae have been reported to infect sea turtles and are 393 mainly found in the gastrointestinal tract of loggerhead turtles (54, 57). In Australia, the coccidian 394 parasite Caryospora cheloniae and Spirorchiids are reported to be the parasites of highest concern 395 as they are associated with disease and high mortality rates under certain conditions (58). Of the 396 two parasites, Spirorchilds is reported to be more common and widespread (59). 397 Sea turtles are the definitive host for some of these parasites, but how host-specific or harmful these 398 parasites are to the host is not known. Lophotaspis valley, Learedius learedi and Styphlotrema 399 solitaria are some species-specific trematodes in sea turtles, while Plesiochorus cymformis, 400 Rhytidodes gelatinosus, Enodiotrema carettae and Pleurogonius trigonocephalus have a wider host 401

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#### 3.1.1.4. Viruses

Reptile virology is a relatively new field (60); however, increased awareness and advances in 405 molecular technology will undoubtedly bring about an increase in the knowledge and identification 406 of new species (61). The link between the presence of herpesvirus or ranavirus and clinical disease in 407 chelonians are well established, whereas the link between disease and causative pathogen is still 408 being explored for other viruses (60). To date, members of Herpesviridae are the only causative 409 agents of viral diseases investigated in sea turtles. The presence of other viruses in sea turtles are 410 sporadically reported: with one published report for each of tornovirus, retrovirus and 411 betanodavirus (23, 62-64) and two reports of papillomaviruses (62, 65). 412

Herpesviruses cause severe diseases in chelonians, especially in animals in stressful situations with 413 associated lower immune function (66). Gray-patch disease (GPD), lung-eye-trachea disease (LETD) 414

and fibropapillomatosis (FP) are herpesvirus-associated diseases frequently described in sea turtles415(19, 67-69).416

GPD was reported in captive reared green turtles (less than year old) causing gray skin lesions.
417
Overcrowded hatcheries and higher water temperatures appears to worsen the symptoms (70).
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LETD, another disease of green turtles (over one year old) was first described from turtles in captivity
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and then found in free ranging green turtles (71-73).
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Fibropapillomatosis is a neoplastic disease affecting all species of sea turtles (74-77). Tumour growth421can be both external and internal, with juvenile turtles appearing to be most susceptible. Moreover,422infected turtles are vulnerable to secondary infections and opportunistic pathogens due to423immunosuppression (75, 77). Environmental factors may contribute to the expression and the424severity of the disease (75, 78, 79). The disease was first reported in an aquarium in New York (80),425but is now reported globally in tropical waters (44, 77, 81-83).426

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#### 3.1.2. Non-infectious diseases

Turtles are affected by a variety of non-infectious diseases occurring either as a direct result of 429 natural or man-made threats (24), or they may act as multifactorial influences on disease outcome. 430 In some cases, it is not easy to determine if clinical signs are caused by an infectious or non-431 infectious agent. Infection with coccidia can elicit neurological diseases, but neurological symptoms 432 can also be caused by head injury or natural causes such as toxins and algal bloom (84). 433 Serious alterations in the balance between the environment, the host and the pathogens can trigger 434 or spread disease in a population (11, 85, 86). For example, loss of seagrass habitat due to human 435 disturbances or severe weather events can influence water quality and lead to immunosuppression 436 due to starvation (87, 88). Anthropogenic effects such as habitat degradation, coastal light 437 disturbance, pollution, and by-catch are known threats posed to sea turtles and are ranked highest 438 in terms of adverse effects they may have for sea turtle populations (89, 90). The flow-on effect of 439

habitat disturbance for turtles are likely to facilitate the emergence of infectious diseases at440increasing incidences and exacerbate the risk of local population extirpation (87).441

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#### 3.1.2.1. Trauma and injuries

Traumatic injuries are a major cause for stranding and may be caused by a range of factors from444boat strikes and entanglement to shark bite or mating injuries (42, 90, 91). In the French Caribbean445for example, boat strikes appear to occur at a higher rate in the past few years (personal interview446

with the veterinarian in Saint Barthelemy/Saint Martin FWI). The incidences are lethal in the 447 majority of cases with a very low survival rate (1/10 sea turtles survived after intensive veterinary)448 care at Saint Barthelemy/Saint Martin FWI in 2019). 449 In addition to direct lethal effects on individual turtles, open wounds are a portal of entry for 450 pathogenic microorganisms into the turtle (90). Perforating fishing hooks, plastics and fish spines can 451 cause injuries in the gastrointestinal tract and respiratory system after ingestion (8, 90). 452 Decompression sickness was also recently diagnosed in loggerhead turtles captured in trawl and gill 453 nets in the Mediterranean Sea (92). 454

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#### 3.1.2.2. Debilitated Turtle Syndrome (DTS) and cold stunning

Debilitated Turtle Syndrome (DTS) is used to describe the condition of a turtle with several of the 457 following symptoms: emaciation, lethargy, hypoglycaemia, anaemia, and heavy coverage with 458 epibiota (93). Secondary infections are common in turtles with DTS, and turtles may be 459 immunosuppressed (94). A wide range of morphometric and metabolic variables is documented for 460 chronically debilitated loggerhead turtles in the southeastern United States (93). The main cause of 461 DTS is not clear but cold stunning in some cases is an initial trigger (95, 96). Occasionally, large 462 numbers of strandings are reported due to cold stunning based on the personal interviews with 463 rehabilitation centres from Dubai, UAE; Kish Island, Iran; New York, USA; Lampedusa, Italy in 2017. 464 Epibiota can increase rapidly in numbers when turtles are floating or immobilised and due to the 465 invasive nature of some species of these epibionts, they can be detrimental to health. A high load of 466 467 epibionts can lead to erosion in the carapace and plastron creating a portal of entry for secondary invaders (8). 468

3.1.2.3. Gastrointestinal disorders

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Gastrointestinal disorders are one of the main concerns for sea turtles in rehabilitation centres (35). 471 Gastrointestinal obstruction by debris such as plastic are a clear risk for turtles (97, 98). However, 472 gut impaction and faecoliths are also observed in stranded sea turtles with no obvious or physical 473 cause (8). Climatic events may alter the foraging grounds for turtles and thereby affect their 474 nutritional choices (99), but physical trauma, high parasitic load or chronic diseases can lead to loss 475 of appetite, nutritional deficiencies and cachexia (24). Nutritional disorders can in turn affect the 476 hepatobiliary system (8).

#### 3.1.2.4. Diseases caused by chemical and organic pollutants

Pollution can cause immune suppression and thereby increase vulnerability to pathogens (85). 480 Organic agricultural waste can elevate the nutrient level in the ocean and stimulate harmful algal 481 and cyanobacterial blooms which can directly or indirectly harm turtles or exacerbate the effects of 482 other diseases such as FP (100-102). In addition, long living animals, such as sea turtles, face the risk 483 of accumulating these pollutants in their tissues over time and as a result the impact of toxicity may 484 intensify (90). 485

Chemical debris and organic pollutants can block the gastrointestinal tract and cause different 486 problems such as accumulation of intestinal gas, local ulcerations, interference with metabolism and 487 immune function and intoxication (97, 98, 102). Plastic is an example of an accumulating pollutant 488 and sea turtles tend to ingest plastic debris (103) which may block the gastrointestinal tract, 489 accumulate intestinal gas, cause local ulcerations and interfere with metabolism (97, 104). 490 Gastrointestinal obstruction may lead to chronic debilitation and eventually death (105). Cases of 491 secondary infection and mortality are frequently reported due to plastic ingestion (104, 106). 492 Anthropogenic non-infectious diseases are the biggest challenge to sea turtle conservation (8, 107). 493

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#### 3.2. Risk assessment

To assess the disease hazards outlined above using expert opinion, group and forum discussions 496 were facilitated and encouraged in the workshops. The discussion sessions, which formed the basis 497 for the rankings, were an opportunity for the participants to explain their personal experiences with 498 disease encounters and to improve the general knowledge of the participants about regional 499 differences in disease manifestation. One point that was repeatedly mentioned was the "quality of 500 information available" and how this affected the ranking. It is worth mentioning that this is a feature 501 of all wildlife DRAs and the process enables information gaps to be identified and the level of 502 uncertainty made explicit. Such level of confidence by experts is referred to in Pacioni's ranking 503 criteria as "levels of knowledge" (6). 504

The top three hazards from each group of infectious and non-infectious hazards were ranked505according to a conservation, surveillance and research perspective (Table 3).506

Table 3 The three highest ranked hazards of each infectious and non-infectious groups as determined by panels of experts in two international workshops. A) Turtle Health & Rehabilitation Workshop, September 2017, Townsville, Australia, B) Medicine workshop at the International Sea Turtle Symposium 2018, Kobe, Japan

A. Turtle Health & Rehabilitation Workshop, September 2017, Townsville, Australia

Hazard		Notes	
Infectious health	hazards		
Parasite	Spirochiidae	Widespread, virulent and prevalent	
	Caryospora cheloniae	Virulent and episodic	
	Ozobranchus branchiatus	Possible vector for FP associated herpesvirus	
Virus	Chelonid alphaherpesvirus 5 (ChHV5)	Associated with fibropapillomatosis: reported in all species, can cause debilitating syndrome and be life threatening	
	Chelonia mydas papillomavirus (CmPV-1) and Caretta caretta papillomavirus (CcPV-1):	Skin lesions, data deficient	
Gram negative bacteria	Vibrio spp.	Associated with ulcerative dermatitis, mortality reported; associated with hatching failure; possibly zoonotic for turtle meat and egg consumers.	
	Pseudomonas spp.	Ulcerative stomatitis and dermatitis along with <i>Vibrio alginolyticus</i> ; associated with hatching failure; possibly zoonotic for meat and egg consumers	
	Escherichia coli	Antibiotic resistant; opportunistic pathogen; zoonotic	
Gram positive bacteria	Unfortunately there was not er	hough time to go through this list.	
Fungal infection	Fusarium spp. (mostly Fusarium solani)	Contributing to hatching failure, pneumonia, necrotic skin lesions mostly in captivity; potentially zoonotic.	
	Aspergillus spp.	Hatching failure, mycotic infections in hatchlings; mycotic infections in captivity	
	Cladosporium spp.	hatching failure, infections in captivity	
Non-infectious he	alth hazards		
	Anthropogenic: Habitat degradation	Malnutrition, by-catch and accidents	
	Environmental: Climate change	Malnutrition, fibropapillomatosis and cold stunning or Debilitated Turtle Syndrome	
	Anthropogenic: Pollution/plastic	Entanglement, external and internal injuries, debris ingestion and neurological diseases	

#### B. Medicine workshop at the International Sea Turtle Symposium 2018, Kobe, Japan

Hazard		Notes
Infectious health h	nazards	
Parasite	Spirochiidae	Geographical wide distribution, various species, high prevalence, different effect in different life stages, adult, juvenile, eggs, severe lesions, causes stranding and mortality.
	Annelids	Wide geographical distribution, various species, Loggerhead, Olive Ridley and Green turtles are affected, cutaneous ulcerations, <i>Ozobranchus</i> possible vector for FP
	Arthropods	Needs justification, worse in some regions, correlated to hatching failure and egg damage, causing mortality, regional reports
Virus	Herpesvirus	Tumours have been reported globally, ChHV5 is reported in clinically healthy turtles
	Papillomavirus	Only a few reports so far, not fully understood
Bacteria	Methicillin-resistant Staphylococcus aureus (MRSA), E. coli and E. margonella	Multi-resistant strains, public health concern
	Streptococcus iniae, Salmonella typhimurium, E. coli.	Pathogenic and zoonotic
	Pseudomonas spp. Klebsiella	Mass mortalities, regional
Fungal infection	Fusarium solani	Problem for captive rearing, eggs and hatchling
	Penicillium spp.	Recorded in several areas, multi species infection recorded, different stages of life can be affected
	Cladosporium spp.	Recorded in several areas, may affect several life stages
Non-infectious he	alth hazards	
	Anthropogenic	Human interactions are increasing, plastic ingestions are increasing

Environme	tal Climate change	e effects and also cold stunning
Medical	Aftermath of a	nthropogenic and environmental incidences
		512

513 Although the outcome from the two workshops are very similar, there were a few differences, which 514 could reflect the broader geographical origins of participants in Workshop B compared with 515 Workshop A. In Workshop B the experts working on parasites ranked the hazards based on 516 overarching classification, while participants in Workshop A gave species names to the parasites. In 517 both workshops, Spirorchiids were considered important due to their widespread presence and 518 potential virulence. Ozobranchid leeches were also mentioned by both groups due to their possible 519 role in FP transmission. Viral pathogens were considered to be data-deficient by participants in both 520 workshops, but both groups listed herpesvirus and papillomavirus as the highest-ranking pathogens. 521 Antibacterial resistance and the associated public health concern were also consistently mentioned 522 523 in the two workshops for the bacterial category. In Workshop A, the participants chose to focus on Gram negative bacteria only. Fusarium and Cladosporium spp. were selected by both groups as the 524 most important fungal pathogens, mainly for eggs on nesting beaches and hatchlings in captive 525 situations. Climate change and anthropogenic impacts scored highest in non-infectious health 526 hazards in both workshops and there was consensus, that anthropogenic influences on turtle health 527 need the highest attention of all groups, both in terms of research and conservation management. 528

#### 3.3. One Health and DRA

3.3.1. Sea turtle and One Health consideration in the literature

Sea turtles mostly encounter humans during harvest, on nesting beaches and in rehabilitation532centres. Figure 3 shows the main sources of interaction between humans, sea turtles and the533environment. These interactions can positively or negatively impact the stakeholders.534

Fig 3 The schematic interactions between sea turtles, humans, co-habiting animals and the environment

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#### 3.3.1.1. Zoonosis

As an example of zoonotic infections, vibriosis in humans may develop due to consumption of 539 contaminated meat and eggs (108). Field workers should consider disinfecting any wound received 540 while handling sea turtles as there is the risk of infection with *Mycobacterium, Salmonella, Vibrio,* 541 and *Chlamydia* species due to contact with infected animals (68). There are also reports of fish 542 pathogens in sea turtle which are of concern to aquaculture and the sea food industry (23). 543

Fusarium solani can infect egg clutches, and high mortality rates are reported due to infection with 544 this species of fungi. Being zoonotic, this pathogen poses a threat to the person handling the 545 infected eggs as well. Such activities may take place while eggs are collected for consumption or in 546 547 the hatcheries or on nesting beaches when the nests are cleaned out after the eggs are hatched. Dead/decomposing embryos are sources of nutrients for bacterial and/or fungal growth (109). 548 Toxins may not necessarily be categorised as zoonotic agents but can have ill effects on humans. Sea 549 turtles are exposed to toxins of either anthropogenic or natural origins, which may accumulate in 550 their tissues and cause problems for meat and egg consumers (108). There are multiple reports of 551 death, mass poisoning or sickness in a community after feasting on turtle meat (110-112). The 552 condition is termed *chelonitoxication* and appears to be caused by the consumption of particular sea 553 turtle species (green, hawksbill and leatherback turtles). Children are more prone to intoxication and 554 its lethal effects (110-112). 555

Humans can be the source of infection for sea turtles too. Examples of Salmonella and Vibrio556alginolyticus transmission in captivity have been reported several times (7, 43-45, 113, 114). Humans557are also posing an indirect threat to sea turtle health, via habitat destruction, distribution of558pollutants, plastic and toxins (15, 16).559

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#### 3.3.1.2. Cultural significance and sustainable conservation measures

Sea turtles are of great cultural value for indigenous communities (115). Humans and their 562 environment co-evolve, and local culture and traditions reflects this relationship. The legally 563 recognised rights of indigenous communities to interact with sea turtles in line with their traditions 564 is the foundation for a community-based conservation management where alternatives to hunting is 565 introduced in consultation with the local communities (e.g. Caribbean Coast of Nicaragua) (116). 566 Such policies reduce the fear of arrest or reprisals while participating in local customs (116) which in 567 turn enhances the feeling of control over their lives and improves community health. 568 Market-based solutions towards conservation and providing alternatives for consumption of sea 569 turtle products have been successful in several projects such as the Tartarugas Marinhas (TAMAR) 570 project sites in Brazil, and at Tortugeuro, Costa Rica (116). At these locations the hunting has 571 decreased, while ecotourism-based activities have been organised for local communities. Other non-572 governmental organisations (NGOs) have also formed and evolved in various regions of the world to 573 promote conservation with the help of local communities. One such example is New Idea in 574

eliminating egg harvest for overseas markets. The turtle nesting site is now an ecotourism 576 destination with a financial return for the local community (personal interview with Maryam Eghbali 577

Hormozhgan, Iran (in Persian: moassese ide no doostdare hormozgan) which was successful in

the co-founder, 2017). A pro-environment establishment, Grupo Tortuguero, was formed in the578Pacific Ocean in response to poaching and retaining the turtles after accidental catch by fishermen.579The establishment is active in terms of education, funding and empowerment in response to loss of580sea turtles, especially loggerheads (117).581

Governments can also work in partnership with traditional owners to manage and conserve species. 582 In Australia, Traditional Owner groups can develop an agreement on how they will manage 583 traditional activities on their sea country. This agreement, or Traditional Use of Marine Resources 584 Agreement (TUMRA), details how Traditional Owner groups wish to manage their take of natural 585 resources (including protected species). This extends to their role in compliance and in monitoring 586 the condition of plants and animals, and human activities, in the Great Barrier Reef Marine Park. 587 Once developed, a TUMRA can then be accredited by the state and federal governments (118). 588

When sea turtle conservation does not limit people's ability to interact with sea turtles, it can have a 589 positive impact on communities. Moreover, such conservation efforts can impact on the entire 590 social-ecological system in which both turtles and humans are embedded (116). Sea turtle 591 conservation plans must therefore articulate with diverse cultural, political and socioeconomic 592 needs (119). This poses a challenge to management policies and raises important questions about 593 the purpose of research and conservation endeavours (22). As an example, in a recent publication by 594 Barrios-Garrido et al. (119), the conflicts related to sea turtle conservation programs in the 595 Caribbean basin were identified. Dissimilar conservation objectives between local communities, non-596 governmental and governmental organisations were identified, along with lack of resources such as 597 trained individuals for monitoring and enforcement roles, and scarce funding (119). The suggested 598 solutions for these conflicts were rationalising the problem and promoting a mutual agreement 599 based on common beliefs. Such multi-scale solutions would be achievable by co-management 600 601 through bottom up (community based) actions and top-down changes (government policy) (119).

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#### 3.3.2. Sea turtle health and One Health according to expert opinion

Several experts presented their experiences or One Health related case studies to share their specific 604 challenges and the way they address these issues. The One Health discussions in the workshop 605 centered around the transmission of pathogens between sea turtles in the wild and captivity, non-606 infectious disease transmission between humans and turtles and the cultural/socioeconomic aspects 607 of sea turtle conservation. Ultimately, the expert opinion on disease transmission was consistent 608 with the literature (Table 4).

Table 4 One Health consideration in disease risk analysis workshop. A) Transmission of pathogens between sea turtles in the610wild and in captivity. B) Non-infectious disease transmission between human and sea turtle. C) Cultural values of sea turtles611and socioeconomic aspects of sea turtle conservation.612

A. Transmission of pathogens between sea turtles in the wild and in captivity					
Pathogens	Main zoonotic pathogens of concern from turtles to humans	Pathogens being naturally transferred from humans to sea turtles	Main problematic pathogen in captivity for turtles	Pathogens to be considered as a risk for aquaculture and fisheries	
Bacteria	Salmonella Vibrio spp. Pseudomonas spp. Escherichia coli	Very unlikely	Opportunistic bacteria	Data deficient	
Fungi	Fusarium ( <i>especially F. solani</i> ) Aspergillus	Data deficient	Fusarium ( <i>esp. F.</i> <i>solani</i> )	Trichophytea spp.	
Parasites	Not a concern to date	Not a concern to date	Cryospora	Data deficient	
Viruses	Not a concern to date	Not a concern to date	Herpesvirus	Herpesvirus	

#### B. Non-infectious disease transmission between humans and sea turtles

Human to turtles	Turtles to humans
Biotoxin pollution	Toxins in egg and meat
Plastic pollution	
Boat strike, by-catch	

#### C. Cultural values of sea turtles and socioeconomic aspects of sea turtle conservation.

Cultural dimensions of interacting with sea turtles have recently been brought to the attention of conservationists:

- Rescue plans are rewarding for volunteers, rangers and people who are involved.
- In the Caribbean, the conservationists' goal is to interact with the locals and to allow traditional harvest in sustainable manners. However, in some island such as French Caribbean Sea turtles are fully protected for nearly 30 years and the harvest is absolutely prohibited.
- In the Maldives, sea turtles can be kept as pets". The consulted expert emphasised the special bond between the turtles and humans.
- In the French Mediterranean, the aim is to involve fishermen in conservation initiatives to reduce the threat of by-catch.
- In Australia, sea turtles are significant elements of indigenous culture and any conservation plans is considering their traditional expertise

Socioeconomic advantage of sea turtle conservation which need more attention:

- Tourism value of healthy turtle population has not been evaluated
- Turtle watching tours are alternatives for fishing and has been successfully established in some regions.
- Job generation through alternative projects may reduce poaching but needs more research.
- Outreach opportunities to groups that are interested but not normally involved in sea turtle conservation
- Sea turtles are charismatic species and on third highest ranked animal for conservation initiatives.

Turtles are indicators of environmental health, but the association between their health and the environmental health need more research and potentially funding.

It was agreed that Fusarium solani is the main concern for turtles in captivity and a threat to egg and	615
meat consumers. In the non-infectious category, chelonitoxication and the mass poisoning it causes	616
was considered of great importance. The pathogen transmission routes need further research to	617
better understand the mechanisms at play. New hatcheries are being established in some areas to	618
take economic advantage of tourism, without following strict hygiene protocols (e.g. wearing gloves	619
while handling the eggs and hatchlings or relocating nests) and the biological needs for the eggs to	620
hatch (e.g. the correct temperature, adequate depth of the nest, how to handle the eggs). Another	621
example is hand-feeding of sea turtles in the wild. Local guides or fishermen in some areas of the	622
Canary Islands and Bahamas were reported to feed the turtles in the wild and there is concern about	623
the health and behavior of the turtle population after being habituated to people.	624
In the workshop, the discussion about the cultural dimensions of interacting with sea turtles or the	625
importance for indigenous groups concluded that there was a lack of knowledge in this field among	626
the participants which highlighted the need for more social science studies. However, the social	627
scientists present in the workshop shared their experience in this field. Social science experts work	628
directly with the communities that interact with sea turtles. According to their experience, sea turtle	629
conservation brings the communities together and gives them a common cause and sense of	630
belonging to the environment.	631
These results highlight the multiple and intersecting One Health considerations in sea turtle	632
conservation which should be considered in effective sea turtle management plans.	633
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#### 3.4. Risk Management

#### 3.4.1 International workshops

The current global management for the highest ranked hazards in risk assessment step are reported 637 (Table 5) along with the difficulties and defects for each strategy. One Health considerations are also 638 reported however, data deficiency about zoonosis and biotoxicity limit the ability to provide 639 recommendations to egg and meat consumers. Several management options were suggested for 640 socioeconomic aspects of interacting with sea turtles, however this list provided here is not 641 exhaustive. 642

Table 5 Current risk management for sea turtle disease hazards with notes on difficulties and defects. A) Infectious diseases.643B) Non-infectious diseases. C) One Health644

А.	Infectious diseases		
Hazard		Current management	Difficulties and defects
Parasites:		poradic and opportunistic in rehabilitation	Data deficient, limited number of

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Spirochiidae, Caryospora cheloniae, Ozobranchus branchiatus Arthropod spp.		experts in this area, the diagnostic tests are not performed in many regional management units
Bacteria: Vibrio spp., Pseudomonas spp., Escherichia coli, MRSA, Klebsiella	Sporadic and opportunistic in rehabilitation More recent research on antibiotic resistant bacteria	Data deficient, limited number of experts in this area, the diagnostic tests are not performed in many regional management units
Fungi: Fusarium solani, Aspergillus spp., Cladosporium spp., Penicillium spp	Sporadic and opportunistic in rehabilitation Quarantine and hygiene in captivity	Data deficient, limited number of experts in this area, the diagnostic tests are not performed in many regional management units
Viruses: Chelonid alphaherpesvirus 5 (ChHV5) <i>Chelonia mydas</i> papillomavirus (CmPV-1) and <i>Caretta caretta</i> papillomavirus (CcPV-1):	Surgery in some regions, continuous research on epidemiology and aetiology	Data deficient, limited number of experts in this area, the diagnostic tests are not performed in many regional management units

#### B. Non-infectious diseases

Hazard	Current management	Difficulties and defects
Anthropogenic: Habitat degradation, Pollution/plastic	By-catch, accidents and entanglement: Marine park and governmental policies in some regions to use turtle exclusion devices (TED), and avoid stainless steel fishing hooks, avoid trawling. Defining protected areas to avoid accidents. Debris ingestion: Public involving workshops and programs to reduce plastic usage and littering near the ocean, and cleaning the beaches, rehabilitation	Region based, incompatible ethical and legal approaches across borders.
Environmental: Climate change	Debilitated Turtle Syndrome and cold stunning: Rehabilitation, training, educations	The capacity of rehabilitation is not enough in some regions with mass stranding; more research on treatment of specific conditions is required.
Medical	Malnutrition: Rehabilitation Neurological diseases: managing toxin emissions in some areas	Neurological diseases: data deficiency. Lack of health baseline data

#### C. One Health

One Health consideration	Current management	Difficulties and defects
Zoonosis	Expanding the knowledge and awareness of meat and egg consumers	Sporadic reports
Bio-toxins	Expanding the knowledge and awareness of meat and egg consumers	Data deficient, mass death of humans, but no test to rule out contamination. Often in remote areas
Socioeconomic and cultural aspects of interacting with sea turtles	Expanding ecotourism and turtle watching activities. Implementing alternative jobs to avoid overfishing and poaching. Defining and modifying "sustainable" hunting for cultural purposes. Spiritual and cultural wellbeing of communities with close relationships to environment. Involving the communities in conservation programs.	Needs greater social science involvement

#### 3.4.2 Local workshop

In the local risk management workshop, the overarching concern was inadequate communication 647 between different sectors working on sea turtle surveillance and conservation. The attendees 648 referred to the lack of comparable and accessible data for researchers, conservationists and 649 government sections. The reason behind "data protection" or limited information sharing can be 650 confidentiality, or variations in legislation for different organisations collecting such information. 651 Nonetheless, such data protection impacts on the success of conservation initiatives. 652

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#### 3.4.2.1. Management options for previously assessed risks

The management options to reduce the risk of 1) macroplastic pollution and 2) Enterobacteriaceae655and multi-resistant bacteria were ranked based on effectiveness and feasibility on a scale of 10, with6561 being the lowest and 10 being the highest. The results of these rankings are summarised in Table6576. It is important to note that in almost all cases a final decision on option selection was beyond the658scope of the group.659

Table 6 Risk management options and scoring the effectiveness and feasibility in the Townsville management workshop. A) Risk management options for Macroplastic pollution (effectiveness and feasibility reported from "1" the lowest to "10" the highest). B) Risk management options for Enterobacteriaceae and multi-resistant bacteria (effectiveness and feasibility reported from "1" the lowest to "10" the highest)

Management options	Effectiveness	Feasibility	Decision
eliminating the impacts of t	he macroplastic	that has alrea	ady been released
	1	1	1
Initiatives to alter disposal	7	5	Beyond the scope of this group
methods			
Initiatives to clean beaches	7	8	Beyond the scope of this group
Installing storm drain	9	7	Beyond the scope of this group
filters			
Research on engineering	7	3 (due to	Beyond the scope of this group
structures to remove		cost)	
macroplastics from the			
ocean			
Government policies	8	3 (political	Beyond the scope of this group
		decision)	
Reducing further input of m	acroplastic in th	e environmen	ht
	•		
Research on providing	7	3	Beyond the scope of this group
affordable biodegradable			
items			
Education and awareness	8	9	This forms part of existing university subject curriculum, but
to reduce littering and			needs to be addressed in primary and secondary schools as
purchasing of plastics			well. Not known to this group.
· • •			Great Barrier Reef Marine Park Authority (GBRMPA)

			ReefHQ is an education facility and can educate on this	
			topic as well.	
Governmental policies	8	3	Beyond the scope of this group	
2) Reducing the risk of <i>Enterobacteriaceae</i> and multi-resistant bacteria				
Management options	Effectiveness	Feasibility	Decision	
Education and awareness	9	8	This option is doable and already in practice. The	
including personal and			Department of Environment and Science and GBRMPA have	
protective equipment			staff that would be involved in egg and turtle relocation and	
when working with sea			would require Personal protective equipment (PPE) as part	
turtles			of their risk assessment	
Education and awareness	6	8	Beyond the scope of this group	
to reduce the prescription				
and consumption of				
antibiotics				
Sewage treatment and	7	3	Beyond the scope of this group	
extracting the antibiotics				
from sewage water				

668 The management scale for macroplastic pollution can be as small as a school or as big as the Queensland state. The group suggested that it should be divided to two categories: 1) eliminating 669 the impacts of the macroplastic that has already been released into the environment and 2) to 670 reduce further input. For the first category, promotion of beach clean-up initiatives and rubbish 671 collection; installing storm drain filters, which requires local and external donors and long-term 672 monitoring; promotion of funding for large scale ocean clean-up projects. For the first category, the 673 options to reduce the production and/or input included, but were not limited to: education and 674 awareness to reduce littering and use of disposable plastics; research on providing affordable 675 biodegradable items and; government policies targeted to eliminate the use of single use plastics 676 such as that initiated in Queensland in 2018 (120). 677

For reducing the risk of *Enterobacteriaceae* and multi-drug resistant bacteria, the experts reiterated 678 that a preventive solution which promotes education and awareness would be useful. This would 679 include promoting personal and protective equipment when working with sea turtles. The experts 680 also suggested that reducing the prescription and consumption of antibiotics would help manage 681 this risk. The post-release management options included extracting the antibiotics from sewage 682 water and promote funding for research into solutions for this procedure. The feasibility and 683 effectiveness of these options were scored in Table 6.

#### 3.4.2.2. Critical control points for a mock clutch translocation

The clutch translocation scenario and critical control point allocated by experts in the local686management workshop are shown in Figure 4.687

Fig 4 The clutch translocation scenario, pathogen transmission pathways, lethal effects of predators and critical control 688 689

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Time management and temperature control were suggested to be critical for transporting the eggs. 691 Personal protective equipment (PPE) and hygiene were proposed as the effective and feasible 692 options to avoid the risk of pathogen transmission. Screening the relocation site for potential 693 pathogens was suggested, however, the feasibility was ranked low. Nest protection and monitoring 694 to reduce the risk of predation was critical to justify the time and cost spent for translocation. The 695 group suggested development of protocols and surveillances for hatchery establishment. 696

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### 4. Summary

Wildlife DRA as a decision-making tool is gaining recognition and DRA procedures and manuals have 699 recently been published (6, 11). However, there is no standardised and unified method to perform a 700 701 DRA (33). Workbooks, paired-ranking, expert workshops and scenario trees have been successfully used in previous analyses (6, 11, 29) and were therefore adapted in this study. The comprehensive 702 explanation of each method is provided in the Jakob-Hoff et al. (11). The current study was an 703 endeavour to update the information about health hazards of sea turtles in a structured way. 704 Although, it is more practical to use a DRA for a specific scenario or case such as clutch translocation 705 or hatchery establishment, this study provides up-to-date baseline information on a global scale and 706 707 can serve as a guide to carry out such practices on a local scale.

Here, the hazard identification was more exhaustive than a standard review for DRA as it contains 708 the collective information of disease causing hazards (Appendix 4-8). The health hazards were 709 710 assessed via a literature-based review and with input from experts in the field (Section 3). One of the considerable uncertainties revealed in this process was the data deficiency in the link between the 711 presence of pathogens and infectious diseases of sea turtles. Additionally, viruses were identified as 712 the least studied pathogens, although FP is suggested to have a viral aetiology. A higher rate of 713 disease in immunocompromised individuals was repeatedly reported and a possible link between 714 immunosuppression and environmental contaminants as a result of anthropogenic influence was 715 suggested. One Health aspects, including the social element of interacting with sea turtles and 716 society-based conservation, appeared to need more attention and research. 717

In this study, the risk management section was achieved through a global review of the current 718 policies, possible management options and the difficulties of taking actions and was reviewed by 719 members of IUCN Species Survival Commission (SSC) Sea turtle Specialist Group who are influential 720 in making the policies and executing them. 721

This DRA is mainly a guide to support future risk assessments/management based on specific risk 722 mitigating questions for which the management section should be done with the input of regional 723 policy makers. Such discussions were initiated with appropriate local Australian government 724 representatives to clarify appropriate steps in risk management for specific scenarios. 725 Conducting a DRA is an iterative process and risk analysis should continuously be reviewed and 726 modified to represent the most recent information for policy and management decisions (33). 727 Disease surveillance and data collection to determine the contributing factors in population health is 728 a practical approach to create evidence-based risk management actions for wildlife; and sea turtles 729 are no exception. While future DRAs can benefit from this comprehensive review, the baseline 730 information will undoubtedly expand as more pathogens are discovered, disease manifestations are 731 reported and diagnostic tools are introduced. 732

The anthropogenic threats affecting sea turtles are increasing and so are the conservation initiatives 733 to help these charismatic animals. Disease and health of sea turtles are not easily measured and 734 management agencies are going to look for structured approaches to inform their decisions. The 735 work presented here can form a platform for disease risk management of sea turtles, thereby aiding 736 in their conservation. 737

738

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# 7. Supporting Information

#### **APPENDICES**

- S1 Appendix. Mock Clutch Translocation
- 1.1. Problem description
- 1.2. Risk communication
- 1.3. Hazard identification
- 1.4. Risk assessment
- 1.5. Risk management
- 1.6. Implementation
- S2 Appendix. Handbook for Sea Turtle Disease Risk Analysis (risk assessment workshop)
- S3 Appendix. The handbook for management workshop
- S4 Appendix. Bacteria
- 4.1. Gram negative bacteria
- 4.2. Gram positive bacteria
- 4.3. Not defined by gram staining
- 4.4. Mixed bacterial infections
- S5 Appendix. Fungi
- S6 Appendix. Parasites
- S7 Appendix. Viruses
- S8 Appendix. Non-infectious diseases of sea turtles







