

26 immune activation. Further, using a HFD we induced gut microbial dysbiosis in double hu-mice which
27 corresponded with increased systemic immune activation and inflammation.

28

29 **Conclusions**

30 Here, we describe the changes in the human gut microbiome and human immune system due to HIV-1
31 infection or HFD using our double hu-mice model. HIV-1 infection led to changes in the composition of
32 the human-like gut microbiome that was associated with human CD4 T cell loss and high levels of
33 inflammation and immune activation. The HFD quickly changed the composition of the gut microbiome
34 and led to systemic immune activation and inflammation. We further identified a subset of gut bacteria in
35 HIV-1 infected and HFD fed double hu-mice that was closely associated with systemic inflammation and
36 immune activation. This study demonstrated how double humanized mice can be used to study the
37 complex in vivo interactions of the gut microbiome and human immune system in the context of both
38 disease and diet.

39

40 **Background**

41 The human gut is home to the largest number of immune cells in the body and provides an
42 ecosystem for trillions of microbes known collectively as the gut microbiome [1, 2]. The gut microbiome
43 and corresponding gut immune system have a highly reciprocal and dynamic relationship that is a critical
44 determinant for human health and disease [3-7]. While the gut microbiome influences host immune
45 responses through their antigens and metabolites, the immune system in turn contributes to shaping the
46 composition and distribution of gut microbes [8-10]. It has been estimated that up to 10% of immune
47 response variability is associated with the gut microbiome [11]. The gut microbiome has also been shown
48 to be essential for proper immune development, immune function, and response to infection and
49 vaccination.

50 The gut plays a key role in the pathogenesis of human immunodeficiency virus type-1 (HIV-1)
51 infection. One of major features of HIV-1 infection is the rapid and extensive loss of gut immune cells,

52 most notably CD4+ T cells [12-17]. The depletion of gut immune cells is accompanied by alterations in
53 the gut microbiota, the interruption of gut epithelial barrier integrity with subsequent microbial
54 translocation, and increased inflammation and immune activation [18-25]. In addition, the alterations of
55 the gut microbiome and gut immune cells may increase the susceptibility to rectal HIV-1 transmission, as
56 previous studies have shown that local and systemic inflammation will activate and attract CD4+ T cells
57 thereby increasing the risk of HIV-1 mucosal transmission [26]. Further, gut microbial dysbiosis and
58 microbial translocation have been implicated in the incomplete gut immune reconstitution and increased
59 systemic immune activation and inflammation in people living with HIV (PLH) on suppressive anti-
60 retroviral therapy (ART) [21, 25, 27-29]. Despite the ability of ART to suppress HIV-1 replication to
61 undetectable levels in peripheral blood, gut immune reconstitution following ART is often slow and
62 incomplete [30-35]. Additionally, there are persistently increased levels of inflammation and immune
63 activation [36-38] that contribute to increased comorbidities in PLH [39-41], of which gut microbial
64 translocation mediated immune activation is thought to be a major contributing factor [42, 43].
65 Consequently, in the ART era, the life expectancy of HIV-1 infected individuals in developed countries is
66 over 10-years shorter than a normal lifespan [44] and non-infectious morbidities are also significantly
67 higher than the general population [45]. Given the importance of the gut to almost all aspects of
68 prevention, pathogenesis, and treatment of HIV-1, investigating the in vivo relationship between the gut
69 microbiome and human immune system may provide novel insights for prevention and treatment
70 strategies. Due to the bidirectional relationship of the gut microbiome and the immune system, resolving
71 gut microbial dysbiosis may also improve immune cell recovery, reduce immune activation and
72 inflammation during ART treatment, and ultimately reduce comorbidities.

73 Despite the significant progress that has been made in understanding HIV-1 pathogenesis and in
74 treating HIV-1 infection, a key knowledge gap remains in our mechanistic understanding of the impact of
75 the gut microbiome on immune activation and inflammation during HIV-1 infection. Research utilizing
76 animal models has provided a large portion of our understanding of the connection between the gut
77 microbiome and human disease, of which humanized mice (hu-mice), that feature an engrafted human

78 immune system, are an important pre-clinical animal model for translational biomedical research [46-54].
79 However, the gut murine microbiome significantly differs from humans due to anatomical, evolutionary,
80 environmental, and diet differences [55, 56]. To improve the translatability of hu-mice research, we
81 previously developed double hu-mice that feature a stable human-like gut microbiome and human
82 immune system [57, 58]. In this study, we used this model and investigated the immunopathogenesis of
83 alterations in the gut microbiome induced by HIV-1 infection and a high-fat diet (HFD). We found that
84 HIV-1 infection led to changes in the composition of the human-like gut microbiome that temporally
85 corresponded with human CD4+ T cell loss and high levels of inflammation and immune cell activation.
86 We also showed that a HFD quickly changed the composition of the gut microbiome and led to systemic
87 immune activation and inflammation. Importantly, this study demonstrated the double hu-mice model can
88 be used to study the complex in vivo interactions of the gut microbiome and human immune system in the
89 context of human health and disease.

90

91 **Results**

92 **HIV-1 infection altered the gut microbiome of hu-BLT mice**

93 The hu-BLT mice (hu-mice) model allows for a high level of human immune cell reconstitution
94 and has been used for the study of HIV-1 prevention, pathogenesis, and treatment [59-62]. However, the
95 hu-mice gut microbiome is of murine origin and we previously demonstrated that hu-mice harbored a
96 distinct low diversity gut microbiome [63]. To investigate the extent to which HIV-1 infection impacts
97 the murine gut microbiome in this model, we compared longitudinally sampled gut microbiome profiles
98 of HIV-1 infected hu-mice and uninfected hu-mice. Twenty-four fecal samples from 4 HIV-1 infected hu-
99 mice were collected longitudinally for up to 6 consecutive weeks. The HIV-1 infected hu-mice had an
100 altered gut microbiome composition compared to uninfected hu-mice and the two groups clustered
101 distinctly from one another in both Non-metric Multi-dimensional Scaling (NMDS) and Principal
102 Coordinates Analysis (PCoA) plots (Supplemental Figure HIV_HuMice.pdf). Additionally, HIV-1
103 infected hu-mice had higher measures of alpha diversity, including the number of unique species per

104 sample or species richness, Simpson’s Diversity Index, and Shannon Diversity Index (Supplemental
 105 Figure HIV_HuMice.pdf). There were multiple alterations in the gut microbiome composition of the
 106 HIV-1 infected hu-mice as shown by heatmaps of bacterial relative abundance for Order and Family taxa
 107 levels (Supplemental Figure HIV_HuMice.pdf). Significant differences in the relative abundance of gut
 108 bacterial taxa were found using Kruskal-Wallis tests with false discovery rate (FDR) adjusted P values
 109 <.05 (Supplemental File HIV_HuMice_KW.xlsx). Nevertheless, due to the large compositional
 110 differences between the murine and human gut microbiomes, regular hu-mice without a humanized gut
 111 microbiome may limit their translatability for the study of human health and disease [55, 56].

112

113 **Table 1. Summary of experimental hu-mice cohorts**

114

Cohort	Double hu-mice	Number of mice	Number of fecal samples	Condition	Maximum weeks collected
Pre-FMT	No	40	40	NA	115 116 117 118
Post-FMT	Yes	32	31	NA	119 120
HIV infected double hu-mice	Yes	14	90	HIV-1	121 122
HFD	Yes	8	34	High fat diet	123 124
LFD	Yes	8	39	Low fat diet	125 126

127

128 **HIV-1 infection altered the gut microbiomes of double humanized BLT mice**

129 We previously developed a double hu-mice model that harbors a stable human-like gut
130 microbiome in addition to a functional human immune system [58, 63]. To create double hu-mice, we
131 first performed surgery on NSG mice to create hu-BLT mice with an engrafted human immune system.
132 Hu-mice were subsequently treated with a cocktail of broad-spectrum antibiotics to reduce murine gut
133 bacteria, followed by human fecal material transplants (FMT) using fecal material from a mixture of three
134 healthy human donors. This double hu-mice model was used to determine if and how the composition of
135 the gut microbiome was altered during HIV-1 infection (Table 1). Gut microbiome profiles of the mice
136 were sampled at 10 weeks post BLT humanization surgery and before antibiotic treatment and FMTs
137 (Pre-FMT) as well as one week following the completion of antibiotic treatment and FMTs (Post-FMT).

138 After collecting the Post-FMT fecal samples, double hu-mice were intraperitoneally injected with
139 4.5×10^5 TCID of an equal mixture of HIV-1_{SUMA} and HIV-1_{JRC5F}. To determine the longitudinal changes
140 to the gut microbiomes of HIV-1 infected double hu-mice, fecal samples were collected every week for
141 up to 12 weeks from 11 infected double hu-mice (Infected) and 3 uninfected double hu-mice
142 (Uninfected). As shown in Figure 1AB, HIV-1 infection altered the composition of the gut microbiome
143 over the course of the study. Additionally, sample collection date (Figure 1CD) and body weight (Figure
144 1 EF) were associated with the composition changes observed in the gut microbiome.

145 Double hu-mice from both the Infected and Uninfected group had altered gut microbiome profiles
146 compared to Post-FMT samples (Figure 2AB). Both infected and uninfected double hu-mice had slightly
147 higher measures of alpha diversity compared to Pre-FMT and Post-FMT samples, including the number
148 of unique species per sample or species richness, Simpson's Diversity Index, and Shannon Diversity
149 Index (Figure 2CDE). Infected samples had slightly higher alpha diversity measures compared to
150 uninfected samples, but the differences were not significant. Differences in relative abundance for the
151 experimental groups are shown by Order and Family taxa levels (Figure 2FG). Significant differences in
152 the relative abundance of gut bacterial taxa between the double hu-mice from the Infected group and
153 Uninfected group were found using Kruskal-Wallis tests with false discovery rate (FDR) adjusted P
154 values $< .05$ (Supplemental File HIV_KW.xlsx). Infected double hu-mice had a higher relative abundance

155 of *Bifidobacteriaceae* (7.09%, P=0.0001 FDR) and *Ruminococcaceae* (4.47%, P=0.0005 FDR) and a
156 lower abundance of *Lactobacillaceae* (-15.67%, P=0.0001 FDR) and *Turicibacteraceae* (-3.99%,
157 P=0.0302 FDR) (Supplemental File HIV_Composition.pdf).

158 To determine the changes in the gut microbiome of double hu-mice before and after HIV-1
159 infection, we compared infected samples with Post-FMT samples (Supplemental File HIV_KW.xlsx).
160 After infection, double hu-mice had a lower relative abundance of *Erysipelotrichaceae* (-6.18%,
161 P=0.0001 FDR), *Lachnospiraceae* (-4.96%, P=0.0051 FDR), and *Verricomicrobiaceae* (-11.82%,
162 P=0.0001 FDR) and a higher relative abundance of *Bacteroidaceae* (4.52%, P=0.0424 FDR),
163 *Bifidobacteriaceae* (4.43%, P=0.0404 FDR), *Clostridiaceae* (2.48%, P=0.0001 FDR), *Rikenellaceae*
164 (1.63%, P=0.0001 FDR), and *Ruminococcaceae* (8.64%, P=0.0001 FDR).

165 A random forest model was trained to predict if the gut microbiome profiles came from double
166 hu-mice that were HIV-1 infected or uninfected based on the amplicon sequence variant (ASV) features.
167 The top 15 most important discriminatory features of the model based on area under the ROC curve were
168 then identified. These features were scaled to 100 and plotted along with the average normalized ASV
169 counts for each group (Supplemental File HIV_Importance.pdf). The top ranked features were ASV527
170 *Ruminococcus* and ASV321 *Dorea*, both of which were more prevalent in infected double hu-mice. Of
171 the top 15 features, many ASVs were more prevalent in HIV-1 infected mice including ASVs from
172 *Butyricoccus pullicaecorum*, *Ruminococcaceae*, *Ruminococcus*, *Oscillospira*, and *Christensenellaceae*.
173 Three ASVs were more prevalent in uninfected double hu-mice including ASVs from *Butyricoccus*
174 *pullicaecorum*, *Blautia producta*, and *Lachnospiraceae*. Here we show there are many features of the gut
175 microbiome that were different between infected and uninfected double hu-mice. To further evaluate the
176 role of gut microbiome during HIV-1 infection we determined the inflammatory and immune profiles
177 from these double hu-mice.

178

179 **HIV-1 infection of double hu-mice led to increased systemic inflammation and immune activation**

180 To evaluate systemic inflammation and immune activation in HIV-1 infected and uninfected
181 double hu-mice, we measured plasma proinflammatory cytokines using multiplex immunoassays and
182 human T cell activation in peripheral blood and splenic tissues using flow cytometry. Infected double hu-
183 mice had significantly higher levels of IL-1 β , IL-6, IFN- γ , and TNF- α (Figure 3) at 12 weeks post infection
184 (WPI), whereas, cytokine levels from samples collected at 7 (WPI) were not elevated compared to
185 uninfected samples. CD4 T cell depletion is the pathogenic hallmark of HIV-1 infection. HIV-1 infection
186 leading to CD4 T cell death can be observed in peripheral blood of infected hu-mice beginning at 2-3
187 WPI [64]. Using flow cytometry, we tracked peripheral blood CD4 T cell levels in infected and
188 uninfected double hu-mice (Figure 4AB). There was a decline in CD4 T cells in all post infection
189 samples, of which some infected double hu-mice declined to levels below 50% of parent gated CD3 T
190 cells. We also measured markers of immune activation in peripheral blood human T cells. All three
191 populations of activated CD8 T cells, including CD8+ CD38+, CD8+ CD69+, and CD8+ HLA-DR+ T
192 cells, were increased as a result of infection. CD4+ HLA-DR+ populations were also increased after
193 infection, while the CD4+ CD69+ population had no significant changes. CD4+ CD38+ populations
194 decreased after infection, which may be due to increased cell death in this population of activated CD4+
195 T cells.

196 During HIV-1 infection the level of CD4 T cell death and immune activation can differ between
197 peripheral blood and lymphoid tissues. Therefore, 4 double hu-mice were sacrificed at both 7 WPI and 12
198 WPI. Flow cytometry was performed on lymphocytes isolated from spleen tissue (Supplemental Figure
199 HIV_Spleen.pdf). The CD4 T cell loss was more severe in the spleen as compared to peripheral blood.
200 There did not appear to be any major changes in CD4+ CD38+ population, while CD4+ CD69+ and
201 CD4+ HLA-DR+ populations were increased in some of the infected animals. Almost all of the Infected
202 samples had higher proportions of immune activated CD8 T cells, including CD8+ CD38+, CD8+
203 CD69+, and CD8+ HLA-DR+ populations. The double hu-mice model of HIV-1 infection recapitulates

204 many important aspects of HIV-1 pathogenesis, including CD4 T cell loss and increased systemic
205 inflammation and immune activation in the context of a human gut microbiome.

206

207 **Gut microbial dysbiosis was established in the double hu-mice model with a high-fat diet**

208 The establishment of gut microbial dysbiosis in the double hu-mice model is needed for the
209 investigation into the role of the gut microbiome in HIV-1 rectal transmission susceptibility and the study
210 of the increased risk of comorbidities in HIV-1 infected individual on antiretroviral therapy. Previous
211 studies have shown that local and systemic inflammation, along with the availability and activation state
212 of target cells, are the major factors in determining the risk for HIV-1 transmission [26]. Studies on
213 vaginal HIV-1 transmission demonstrated that the mucosal microbiome plays an important role in
214 determining HIV-1 susceptibility [65-67]. Previous studies showed that feeding mice a high-fat diet
215 (HFD) resulted in microbial dysbiosis, disruption of the gut epithelial barrier, increased systemic
216 inflammation, and higher numbers of activated immune cells [68, 69]. Therefore, we fed double hu-mice
217 with a HFD and found that it changed the engrafted healthy human gut microbiome into a state of
218 microbial dysbiosis. The HFD group (N=8) was fed a diet consisting of 60% kcal from fat with 275 kcal
219 of added sucrose (D12492, Research Diets Inc.). The low-fat diet (LFD) group (N=8) was fed a matched
220 calorie control diet with 10% kcal from fat and no added sucrose (D12450K, Research Diets Inc.). Using
221 this experimental design, we determined the impacts of these different diets on the gut microbiome as
222 well as systemic inflammation and immune activation (Table 1). Before the introduction of a HFD or
223 LFD, double hu-mice were fed regular mouse chow containing at least 14% protein (Teklad 2914). Fecal
224 samples were collected for up to 9 weeks post new diet introduction.

225 The HFD group quickly showed drastic changes in gut microbiome composition as compared to
226 Post-FMT samples of double hu-mice fed with regular mouse chow and double hu-mice fed with a LFD
227 (Figure 5AB). The microbiome profiles from fecal samples collected from HFD and LFD fed groups
228 clustered separately from the regular mouse chow Post-FMT samples based on principal component 1
229 (PC1). Further, the microbiome profiles from fecal samples collected from the HFD and LFD fed groups

230 clustered separately from one another based on principal component 2 (PC2). After Pre-FMT and human
231 donor samples were added to the analysis, the HFD and LFD samples clustered distinctly from the regular
232 mouse chow Post-FMT samples (Supplemental Figure Diet_Comp.pdf). In the PCoA plot, PC1 represent
233 the differences observed between the pre-existing murine gut microbiome in the Pre-FMT samples
234 compared to the human-like gut microbiomes in double hu-mice and human donor samples. PC2 shows
235 the differences between the regular mouse chow Post-FMT samples compared to the HFD and LFD
236 samples.

237 The introduction of a HFD to the double hu-mice decreased measures of alpha diversity,
238 including the number of unique species per sample or species richness, Simpson's Diversity Index, and
239 Shannon Diversity Index (Figure 5CDE). We also observed a smaller decrease in species richness in the
240 double hu-mice fed with a LFD compared to the Post-FMT samples from double hu-mice fed with regular
241 mouse chow. However, the HFD fed double hu-mice had the lowest species richness and the double hu-
242 mice fed with a LFD did not have decreased Simpson's Diversity Index or Shannon Diversity Index
243 compared to the Post-FMT fecal samples from the double hu-mice fed with a regular mouse chow.

244 When Pre-FMT and human donor fecal sample data was added to the analysis, the Pre-FMT
245 samples had pre-existing low diversity measurements (Supplemental Figure Diet_Comp.pdf). After
246 antibiotic treatment and human FMT (Post-FMT), the double hu-mice on a regular mouse chow diet had
247 increased alpha diversity measurements. After introduction of the HFD, the alpha diversity measurements
248 dropped to near Pre-FMT levels. These data show that dietary fat content plays an important role in
249 regulating gut microbiome diversity. However, the fecal samples from the LFD group also had a decrease
250 in species richness compared to Post-FMT samples, which implicates other factors that may be important
251 for gut microbiome diversity, such as dietary fiber content.

252 Multiple differences were observed in the composition of the gut microbiome with the three
253 different diets as shown by the heatmaps of bacterial relative abundance for Order and Family taxa levels
254 (Figure 5FG). Significant differences in the relative abundance of gut bacterial taxa between double hu-
255 mice consuming different diets were found using Kruskal-Wallis tests with false discovery rate (FDR)

256 adjusted P values <.05 (Supplemental File Diet_KW.xlsx). Compared to LFD samples, HFD samples had
257 a higher relative abundance of *Verrucomicrobiaceae* (11.35%, P=0.0037 FDR) and *Lachnospiraceae*
258 (3.48%, P=0.0025 FDR) and a lower abundance of Firmicutes (-8.71%, P=0.0300 FDR), *Clostridiales* (-
259 5.96%, P=0.0279 FDR), and *Ruminococcaceae* (-2.44%, P=0.0028 FDR).

260 Compared to Post-FMT samples from double hu-mice fed regular mouse chow, HFD fed double
261 hu-mice had a higher relative abundance of *Streptococcaceae* (17.32%, P=0.0001 FDR), *Bacteroides*
262 *fragilis* (3.47%, P=0.0001 FDR), *Dorea* (2.64%, P=0.0001 FDR), *Enterobacteriaceae* (1.23%, P=0.0001
263 FDR), *Enterococcaceae* (0.90%, P=0.0074 FDR), *Desulfovibrionaceae* (0.63%, P=0.0007 FDR) and a
264 lower abundance of *Blautia* (-9.73%, P=0.0001 FDR), *Bacteroidaceae* (-8.30%, P=0.0001 FDR),
265 *Clostridiales* (-5.68%, P=0.0144 FDR), and *Turicibacteraceae* (-5.61%, P=0.0001 FDR).

266 While our LFD group acted as a calorie matched control for the HFD group, we found the LFD
267 fed double hu-mice also had an altered gut microbial composition compared to Post-FMT samples from
268 double hu-mice fed regular mouse chow. LFD fed double hu-mice had a higher abundance of
269 *Streptococcaceae* (16.16%, P=0.0001 FDR), *Ruminococcaceae* (2.20%, P=0.0102 FDR),
270 *Enterococcaceae* (1.72%, P=0.0001 FDR), and *Proteobacteria* (1.22%, P=0.0443 FDR) and a lower
271 abundance of *Blautia* (-9.97%, P=0.0001 FDR), *Verrucomicrobiaceae* (-8.93%, P= 0.0414*),
272 *Bacteroidaceae* (-7.03%, P=0.0001 FDR), *Turicibacteraceae* (-5.49%, P=0.0001 FDR), and
273 *Erysipelotrichaceae* (-2.47%, P=0.0495*). We found that differences in the both fat and fiber content of
274 the three diets had a large impact on diversity and abundance of the gut microbiome in the double hu-
275 mice model.

276 A random forest model was trained to predict if the gut microbiome profiles came from double
277 hu-mice that were consuming a HFD or LFD based on the ASV features. The top 15 most important
278 discriminatory features of the model based on area under the ROC curve were then identified. These
279 features were scaled to 100 and plotted along with the average normalized ASV counts for each diet
280 (Supplemental File Diet_Importance.pdf). The top ranked features were ASV107 and ASV476, both from
281 *Oscillospira*, with ASV107 more prevalent with a LFD and ASV476 more prevalent with a HFD.

282 Included in the top 15 features were ASVs from *Bacteroides*, *Clostridiales*, *Christensenellaceae*,
283 *Christensenella*, *Lachnospiraceae*, *Clostridium citroniae*, *Clostridium methylpentosum*, *Oscillospira* and
284 *Erysipelotrichaceae*. Interestingly, ASV476 from *Oscillospira*, was identified in both the HIV-1 infection
285 and diet random forest models. It was more prevalent in both HIV-1 infected double hu-mice and in
286 double hu-mice consuming a HFD. Using a HFD we successfully induced microbial dysbiosis in our
287 double hu-mice model. We found that compared to regular mouse chow, a diet consisting of high-fat
288 content and a lack of fiber significantly changed the gut microbiome composition, including a decrease in
289 alpha diversity.

290

291 **High-fat diet induced gut microbial changes were associated with increased systemic inflammation** 292 **and immune activation**

293 To evaluate if the HFD fed double hu-mice had elevated levels of systemic inflammation, we
294 measured the levels of inflammatory cytokines in plasma using multiplex immunoassays. Double hu-mice
295 consuming a HFD had significantly higher levels of IL-1 β than mice on the LFD (Figure 6A).
296 Interestingly, the levels of IL-1 β increased in the HFD fed double hu-mice in each timepoint tested
297 (Figure 6B). The levels of inflammatory cytokines IL-6 and IFN- γ were both significantly higher in mice
298 consuming the HFD compared to the LFD (Figure 6CDEF). However, levels of TNF- α were not significantly
299 different between the two groups of mice, with the highest measured level found in the LFD group at
300 0.5 weeks post diet initiation (Figure 6GH). Feeding with the HFD quickly raised the levels of systemic
301 inflammatory markers IL-1 β , IL-6, and IFN- γ , with progressively increased IL-1 β at each measured
302 timepoint. It was clear that the HFD not only led to microbial dysbiosis, but also increased the levels of
303 systemic inflammation.

304 Along with inflammation, increased immune activation is an important pathogenic factor for
305 enhancing HIV-1 transmission and pathogenesis. Using flow cytometry, we measured immune activation
306 of human immune cells in peripheral blood (Figure 7AB). Unlike the HIV-1 infected double hu-mice,

307 CD4+ T cell populations in both HFD and LFD groups (Table 1) remained steady. The activated T cell
308 populations of CD4+ CD38+ and CD8+ CD38+ were increased in the HFD group compared to the LFD
309 group. Additionally, the CD4+ HLA-DR+ population increased over time in the HFD fed group and the
310 largest population of CD8+ HLA-DR+ cells were observed at 3 weeks after diet initiation in the HFD
311 group. The expression of CD69 did not change with the introduction of the HFD or LFD in either CD4+ or
312 CD8+ T cells. The HFD significantly altered the gut microbial composition in double hu-mice and was
313 associated with both increased systemic inflammation and immune activation.

314

315 **Relationships between the gut microbiome and systemic inflammation and immune activation**

316 . To better understand the bidirectional relationship between the gut microbiota and immune
317 system, we compared plasma derived inflammatory cytokine levels of IL-1 β , IL-6, IFN- γ , and TNF- α with
318 matched gut microbiome profiles from our double hu-mice experiments (Supplemental File
319 Correlations.xlsx). Ten ASVs were significantly correlated with IL-1 β , including 7 from *Clostridiales* and 3
320 from *Klebsiella* (Supplemental File Cytokine_Correlations.pdf). None of the significant ASVs were found
321 in the sequenced human donor samples. Interestingly, 9 of 10 ASVs correlated with IL-1 β were also
322 significantly correlated with IL-6. Additionally, IL-6 was significantly correlated with ASV726
323 *Christensenellaceae*, which was also found in human donor samples. 20 ASVs were significantly
324 correlated with IFN- γ , of which 8 were also found in human donor samples. Significantly correlated ASVs
325 included *Bacteroides eggerthii*, *Blautia obeum*, and *Coprococcus catus*. Several ASVs mapped to
326 *Clostridiales*, including two from *Oscillospira*. Twenty-three ASVs were significantly correlated with TNF-
327 α , 10 of which were also found in human donor samples. Some of the significant ASVs were mapped to
328 *Coriobacteriaceae*, *Bacteroides uniformis*, *Rikenellaceae*, *Enterococcus*, *Blautia*, *Oscillospira*; *Citrobacter*,
329 and *Klebsiella*. Interestingly, many the ASVs that correlated with IL-1 β and IL-6 were the same and these
330 ASVs were not found in the human donor samples. However, ASVs that correlated with IFN- γ , and TNF- α

331 were much more likely to be found in human donor samples. While not a direct sign of causation, many
332 of the identified ASVs came from bacteria that have established interactions with the immune system or
333 are known to be potentially pathogenic.

334 To better understand the relationship between the human-like gut microbiome and human
335 immune cell activation, we compared flow cytometry data derived from peripheral blood cells with
336 matched gut microbiome profiles from our double hu-mice experiments (Supplemental File
337 Correlations.xlsx). We identified 54 significant correlations corresponding to 37 unique ASVs, including 6
338 ASVs that can be found in the human donor samples. ASV14 *Bacteroides* and ASV242 *Clostridiales*, were
339 positively correlated with several markers of CD8+ T cell immune activation (Supplemental Figure
340 Immune_Correlations.pdf). ASV280 *Barnesiellaceae* was negatively correlated with CD4+ T cells and
341 positively correlated with activation of CD8 T cells. Interestingly, ASV280 was also positively correlated
342 with the level of plasma IFN- γ . Additionally, several more ASVs were negatively correlated with CD4 T
343 cells including ASV535 *Christensenella*, ASV606 *Christensenellaceae*, and ASV644 *Ruminococcaceae*.
344 ASV717 *Clostridiales* was negatively correlated with human CD45+ immune cells and positively
345 correlated with CD8 T cell immune activation, while ASV870 *Clostridiales* was also positively correlated
346 with CD8 T cell immune activation. ASV955 *Oscillospira* and ASV965 *Oscillospira* were both negatively
347 correlated with CD4 T cells and positively correlated with CD8 T cell activation. Additionally, several ASVs
348 were identified to be positively correlated with both markers for CD8 T cell activation and plasma IFN- γ .
349 These ASVs included members of *Ruminococcaceae*, *Lachnospiraceae*, and *Bacteroides eggerthii*. We
350 were able to identify several ASVs that correlated with both CD4 T cell loss and CD8 T cell activation.
351 Further, ASVs that correlated with IFN- γ were often also correlated with CD8 T cell activation.

352

353 **Discussion**

354 The gut microbiome and immune system have a complex and interdependent relationship. As we
355 previously reported and also confirmed in this study, we found that the murine gut microbiome of regular
356 hu-BLT mice (hu-mice) had lower levels of diversity and differed greatly in composition from the
357 microbiomes of our human fecal donors [58, 59]. These large differences between the gut microbiomes of
358 murine origin from hu-mice and humans may limit the translatability of experimental results [55, 56]. In
359 this study, the double hu-mice harboring both a human immune system and human gut microbiome
360 allowed for the study of the relationship between the human gut microbiome and human immune system
361 during HIV-1 infection and a HFD. We tracked the compositional changes to the gut microbiome before
362 and after human FMT, during HIV-1 infection for up to 12 weeks, and HFD for up to 7 weeks. During
363 these longitudinal studies, we also measured plasma pro-inflammatory cytokines and quantified immune
364 activation of human CD4 and CD8 T cells isolated from peripheral blood and spleen.

365 HIV-1 infection profoundly alters the human immune system with long lasting consequences,
366 such as persistent immune activation and inflammation despite suppressive ART [14, 24, 70, 71]. The gut
367 and gut microbiome may play an important role in many aspects of HIV-1 mucosal transmission, CD4+ T
368 cell death, and the elevated risk of comorbidities in PLWH on ART. Therefore, changes in the gut
369 microbiome during HIV-1 infection have been widely studied [19, 20, 72-92]. However, many of these
370 human studies varied in sampling and analytical methods, as well as geography, age, sex, diet, and
371 lifestyle choices of the study subjects. Further, it is difficult to study very early stages of HIV-1 infection
372 and there is often a wide range of disease progression rate, timing of ART treatment, and treatment
373 outcomes in human studies. As such, it has been difficult to discern changes in the gut microbiome due to
374 HIV-1 infection or other factors across the various studies. One major finding in earlier studies was that
375 the gut microbiome profiles of HIV infection had higher levels of *Prevotella* [72, 77, 81, 86]. However,
376 studies controlling for lifestyle choices, such as men who have sex with men (MSM), have not found
377 significant changes in *Prevotella* levels due to infection, but rather have found high levels of *Prevotella* in
378 MSM [19, 80, 87, 89, 93-96]. While important questions remain as to the impact of gut microbiome
379 profiles with a high abundance of *Prevotella* on HIV-1 transmission, pathogenesis, and treatment, this is a

380 clear example of the difficulty of studying the gut microbiome in humans due to the many confounding
381 factors.

382 Non-human primates (NHP) infected with SIV as animal models have successfully been used to
383 study many aspects of HIV-1 pathogenesis. However, the subtle changes in the gut microbiome of SIV
384 infected NHP were not consistent with the more significant changes observed in HIV-1 infected human
385 studies [97-99]. In this study, we utilized a unique double hu-mice model to complement the studies
386 performed in humans and NHP. Compared to NHP, our double hu-mice model has the advantage of using
387 HIV-1 instead of SIV for infection and have both a human-like gut microbiome and human immune
388 system. Importantly, we can use the model to track changes in the gut microbiome longitudinally, from
389 very early to chronic disease stages, while controlling for many of the confounding factors that make
390 studies in humans difficult.

391 In this study, compared to uninfected double hu-mice, infected double hu-mice had a higher
392 relative abundance of *Bifidobacteriaceae* and *Ruminococcaceae* and a lower abundance of
393 *Lactobacillaceae* and *Turicibacteraceae*. Compared to pre-infection samples, infected double hu-mice
394 had a higher relative abundance of *Bacteroidaceae*, *Bifidobacteriaceae*, *Clostridiaceae*, *Rikenellaceae*,
395 and *Ruminococcaceae* and a lower relative abundance of *Erysipelotrichaceae*, *Lachnospiraceae*, and
396 *Verricomicrobiaceae*. A meta-analysis of sex and lifestyle matched controlled studies found that HIV-
397 infected populations were enriched with *Erysipelotrichaceae*, *Enterobacteriaceae*, *Desulfovibrionaceae*,
398 and *Fusobacteria* and depleted of *Lachnospiraceae*, *Ruminococceae*, *Bacteroides*, and *Rikenellaceae*[93].
399 In this study, we did not find large shifts in the gut microbiome due to HIV-1 infection alone. We believe
400 that housing the hu-mice in controlled environments without natural exposure to outside pathogens may
401 account for why we did not observe increases in relative abundance of bacteria like *Erysipelotrichaceae*,
402 *Enterobacteriaceae*, *Desulfovibrionaceae*. Further, increases in *Fusobacteria* may be linked to ART
403 treatment itself and not untreated HIV-1 infection. The double hu-mice model may be further improved
404 upon by potentially introducing outside microbes during infection and by studying the impact of ART use
405 by itself and during treatment of HIV-1 infection.

406 The altered gut microbiome due to HIV-1 infection may also play an important role in HIV-1
407 pathogenesis, including the loss of CD4 T cells, inflammation, and immune activation. In this study, we
408 found that HIV-1 infection of double hu-mice increased the levels of systemic pro-inflammatory
409 cytokines IL-1 β , IL-6, IFN- γ , and TNF- α . As expected, HIV-1 infected double hu-mice had significantly
410 decreased CD4 T cells and increased immune activation manifested in three populations of activated CD8
411 T cells (CD38+, CD69+, or HLA-DR+). Moreover, CD4 T cell loss and T cell immune activation was
412 also confirmed in lymphocytes isolated from the spleens of infected double hu-mice. We also investigated
413 the relationships between the observed immunopathogenesis with the gut microbiome. We found nine
414 ASVs that were negatively correlated with CD4 T cells including ASVs from *Barnesiellaceae*,
415 *Christensenellaceae*, *Lachnospiraceae*, *Oscillospira*, and, *Ruminococcus*. ASVs from *Bacteroidales* and
416 *Odoribacter* were correlated with increased CD4+ CD38+ populations. Thirty-seven ASVs positively
417 correlated with increased CD8 T cell activation, of which 8 were also positively correlated with increased
418 levels of plasma IFN- γ . These 8 ASVs included members of *Barnesiellaceae*, *Lachnospiraceae*,
419 *Ruminococcaceae*, *Oscillospira*, and *Bacteroides eggerthii*. Our random forest model trained to
420 distinguish gut microbiome profiles of HIV-1 infected and uninfected double hu-mice identified several
421 bacteria with known links to the immune system including ASVs from *Ruminococcus*, *Lachnospiraceae*,
422 *Christensenellaceae*, *Oscillospira*, *Dorea*, *Butyricicoccus pullicaecorum* and *Blautia producta*. While not
423 a direct measure of causation, these correlations provide a foundation for future study in order to narrow
424 down key groups of bacteria that play a role in immunopathogenesis during HIV-1 infection.

425 Another important aspect of this study was the establishment of gut microbial dysbiosis in double
426 hu-mice using a HFD. Establishing a state of microbial dysbiosis from a gut microbiome engrafted from a
427 healthy human fecal donor sample was an important first step in order to determine the role the gut
428 microbiome in HIV-1 rectal transmission. We showed that a HFD quickly lowered the alpha diversity and
429 changed the composition of the gut microbiome. We also found that double hu-mice that consumed a
430 HFD had increased levels of pro-inflammatory cytokines IL-1 β , IL-6, IFN- γ , along with increased

431 populations of activated CD4 and CD8 T cells. We showed that CD4+ CD38+ population, which were
432 decreased as a result of HIV-1 infection, were increased with a HFD. In our study, we showed that diet
433 and the corresponding gut microbial dysbiosis can have drastic systemic effects on inflammation and
434 immune activation. Future studies are needed to determine if gut microbial dysbiosis impacts
435 susceptibility to HIV-1 rectal transmission and subsequent pathogenesis.

436 We also would like to point out the limitations of our study. First, we only characterized the gut
437 bacteria and did not investigate the gut virome. During HIV-1 infection there is an expansion of the
438 virome which may contribute to the observed persistent immune activation and inflammation in PLWH
439 [80]. Second, metagenomic sequencing would also capture functional changes in the gut microbiota
440 important to HIV-1 pathogenesis. Last, the double hu-mice model could be expanded to include patient
441 derived fecal donor samples and fecal donors with diverse gut microbiome profiles. Going forward, we
442 believe that double hu-mice could provide a complimentary model to help answer some of the
443 outstanding questions about the relationship between the gut microbiome and HIV-1 infection.

444

445 **Conclusions**

446 Here, we describe the changes in the gut microbiome and human immune system due to HIV-1
447 infection and a HFD using our double hu-mice model. HIV-1 infection led to changes in the composition
448 of the human-like gut microbiome that was associated with CD4 T cell loss and high levels of
449 inflammation and immune activation. Microbial dysbiosis was quickly established in double hu-mice
450 through feeding a HFD and led to systemic immune activation and inflammation. We also identified a
451 subset of gut bacteria that was closely associated with systemic inflammation and immune activation in
452 double hu-mice infected with HIV-1 or fed a HFD. Importantly, this study demonstrated how the double
453 hu-mice model can be used to longitudinally study the complex in vivo interactions of the gut
454 microbiome and human immune system.

455

456 **Methods**

457 **Generation of hu-BLT mice**

458 All methods described here were conducted as we previously reported in accordance with
459 Institutional Animal Care and Research Committee (IACUC)-approved protocols at the University of
460 Nebraska-Lincoln (UNL)[57, 60, 61, 64]. The IACUC at the University of Nebraska-Lincoln (UNL) has
461 approved two protocols related to generating and using hu-BLT mice, including Double Hu-Mice.
462 Additionally, the Scientific Research Oversight Committee (SROC) at UNL has also approved the use of
463 human embryonic stem cells and fetal tissues, which are procured from the Advanced Bioscience
464 Resources for humanized mice studies (SROC# 2016—1-002).

465 Briefly, 6- to 8-week-old female NSG mice (NOD.*Cg-Prkdc^{scid}Il2rg^{tm1Wjl}/SzJ*, catalog number
466 005557; (Jackson Laboratory) were housed and maintained in individual microisolator cages in a rack
467 system capable of managing air exchange with prefilters and HEPA filters. Room temperature, humidity,
468 and pressure were controlled, and air was also filtered. Mice given autoclaved and acidified drinking
469 water ab libitum and were fed one of the following diets determined by the experimental group, irradiated
470 Teklad global 14% protein rodent chow (Teklad 2914), irradiated Teklad Rodent Diet With 10 kcal% Fat
471 (No Sucrose) (Teklad K12450Ki), or irradiated Teklad Rodent Diet With 60% kcal% Fat (Teklad
472 D12492i). On the day of surgery, mice received whole-body irradiation at the dose of 12 cGy/gram of
473 body weight with the RS200 X-ray irradiator (RAD Source Technologies, Inc., GA). Each irradiated
474 mouse was given 130-170 ul of a mixture of Ketamine/Xylazine (0.27 ml of Ketamine at the
475 concentration of 100 mg/ml and 0.03 ml of Xylazine at the concentration of 100 mg/ml to 2.7 ml of sterile
476 saline) by intraperitoneal (IP) injection for anesthesia. Additionally, each mouse was given 100 ul
477 Buprenex (half-life 72 hours, 1mg/kg of body weight) by subcutaneous injection for long lasting pain
478 management and 100 ul (858 ug) Cefazolin by IP injection for antibiotic prophylaxis. Isoflurane gas at
479 3-5% was given if additional anesthesia was needed at any point during surgery. After proper levels of
480 anesthesia were verified by pedal reflex testing, each mouse was implanted with one piece of human fetal
481 thymic tissue fragment sandwiched between two pieces of human fetal liver tissue fragments within the
482 murine left renal capsule. Within 6 hours of surgery, mice were injected via the tail vein with 1.5×10^5 to

483 5×10^5 CD34⁺ hematopoietic stem cells isolated from human fetal liver tissues. Human fetal liver and
484 thymus tissues were procured from Advanced Bioscience Resources (Alameda, CA). After 10 weeks,
485 human immune cell reconstitution in peripheral blood was measured by a fluorescence-activated cell
486 sorter (FACS) Aria II flow cytometer (BD Biosciences, San Jose, CA) using antibodies against mCD45-
487 APC, hCD45-FITC, hCD3-PE, hCD19-PE/Cy5, hCD4-Alexa 700, and hCD8-APC-Cy7 (catalog numbers
488 103111, 304006, 300408, 302209, 300526, and 301016, respectively; BioLegend, San Diego, CA). Raw
489 data were analyzed with FlowJo (version 10.0; FlowJo LLC, Ashland, OR). All humanized mice used in
490 this study had high levels of human immune cell reconstitution with an average of 89.4% hCD45⁺ cells in
491 peripheral blood 10 weeks post-surgery. The mice were randomly assigned into experimental groups with
492 similar immune reconstitution levels. Mice were euthanized at humane study endpoints with carbon
493 dioxide followed by cervical dislocation in accordance with approved Institutional Animal Care and
494 Research Committee (IACUC)-approved protocols at the University of Nebraska-Lincoln (UNL).
495 Following the approved protocols, animals were euthanized before or at the point of observed impaired
496 ambulation, prolonged drowsiness or aversion to activity, lack or physical or mental alertness, prolonged
497 inappetence, difficulty breathing, chronic diarrhea or constipation, inability to remain upright, or at the
498 discretion of the Veterinary Staff.

499

500 **Antibiotic treatment**

501 A broad-spectrum antibiotic cocktail was prepared fresh daily consisting of Metronidazole (1
502 g/L), Neomycin (1 g/L), Vancomycin (0.5 g/L), and Ampicillin (1 g/L). The antibiotic cocktail was given
503 to the mice ad libitum in the drinking water along with grape flavored Kool-Aid to improve palatability.
504 Control group mice were given only grape flavored Kool-Aid in the drinking water. During antibiotic
505 treatment, cages were changed daily to limit re-inoculation of pre-existing bacteria to the mice due to
506 their coprophagic behavior. Antibiotics were given for 14 days for all double hu-mice. Post-antibiotic
507 treatment, mice were given autoclaved non-acidified deionized drinking water. Body weight was carefully

508 monitored during this time and If needed, mice were treated with Intraperitoneal (IP) injections of
509 Ringer's solution to mitigate any effects of dehydration.

510

511 **Donor samples and Fecal transplant**

512 At 24 and 48 hours after the completion of antibiotic pre-treatment, mice were given 200 ul of
513 human fecal material via oral gavage. OpenBiome supplied 3 FMT Upper Delivery Microbiota
514 Preparations from 3 different healthy human donors (Donor 65, Donor 74, Donor 82). Samples were
515 thawed once before fecal transplant to aliquot the samples within an anaerobic chamber. During this step,
516 an equal portion of each of the samples were mixed together to create an unbiased human donor sample.

517

518 **HIV-1 infection and q-RT-PCR**

519 To infect double hu-mice with HIV-1, mice were intraperitoneally injected with 4.5×10^5 TCID of an
520 equal mixture of HIV-1_{SUMA} and HIV-1_{JRC5F}. To verify infection, plasma viral RNA was extracted using a
521 QIAamp ViralRNA minikit (Qiagen). Plasma viral load was conducted using reverse transcriptase
522 quantitative PCR (qRT-PCR) on a C1000 ThermalCycler and the CFX96 Real-Time system (Bio-Rad)
523 and the TaqMan FastVirus 1-Step master mix (Life Technologies). As previously reported, the following
524 primers were used for the plasma viral load assay: Forward Primer: GCCTCAATAAAGCTTGCCTTGA;
525 Reverse Primer: GGGCGCCACTGCTAGAGA; Probe: /56-
526 FAM/CCAGAGTCA/ZEN/CACAACAGACGGGCACA/3IABkFQ/[64].

527

528 **Multiplex immunoassay for measuring plasma cytokines**

529 Plasma from double hu-mice was tested for the following inflammatory cytokines: IFN- γ , IL-1 β , IL-2, IL-
530 4, IL-6, IL-10, IL-12 p70, IL-17A, TNF α using the ProcartaPlex high sensitivity 9-Plex Human Panel
531 (EPXS090-12199-901, Thermofisher Scientific, Waltham, MA). Samples were measured using a
532 Luminex MAGPIX instrument (Luminex Corporation, Austin, TX).

533

534 **Lymphocytes isolation and immune activation flow cytometry panel**

535 Splens from euthanized double hu-mice were placed on a strainer with 70- μ m nylon mesh
536 (Cat#22363548, Fisher Scientific) and the strainer was placed on a 50ml Centrifuge tube (Corning).
537 Spleen tissue was gently pressed with the flat end of a 5-ml syringe to release the splenocytes into cell
538 cultural medium that contained 90% RPMI-1640 (Cat#11875, Life technologies) supplemented with 10%
539 heat-inactivated fetal bovine serum [HI-FBS] (Cat#SH30071.03, Thermo Scientific), penicillin [100
540 IU/ml]-streptomycin [100 ug/ml] (Quality Biological, Inc), 2mM/ml L-glutamine (Cat#25-005-CI,
541 Corning). Slowly, the splenocyte suspension was layered onto Histopaque-1077 (Sigma-Aldrich), and
542 centrifuge at 350 \times g for 30 mins at room temperature. The “buffy coat” mononuclear cells layer were
543 transferred into a 50ml Centrifuge tube (Corning) and washed with cold PBS. Human immune cell
544 activation in peripheral blood and lymphocytes isolated from the spleen were measured by a fluorescence-
545 activated cell sorter (FACS) Aria II flow cytometer (BD Biosciences, San Jose, CA) using antibodies
546 against mCD45-APC, hCD45-FITC, hCD3-PE, hCD4-Alexa Fluor 700, hHLA-DR-BV421, hCD38-PE-
547 Cy5, hCD69-BV785, hCD8a-APC-Cy7, mCD45-APC, Viability-APC (catalog numbers 304006, 300408,
548 300526, 307636, 303508, 310932, 301016, 103112 (BioLegend, San Diego, CA), and 65-0864-14
549 (eBioscience, San Diego, CA). Raw data were analyzed with FlowJo (version 10.0; FlowJo LLC,
550 Ashland, OR).

551

552 **Mouse fecal collection and DNA extraction**

553 Individual mice were placed into autoclaved paper bags within a biosafety hood until fresh fecal
554 samples were produced. Fecal samples were stored in 1.5 ml Eppendorf tubes at -80 °C until DNA
555 extraction. DNA was extracted from the fecal samples using the phenol:chloroform:isoamyl alcohol with
556 bead beating method described previously [100]. Briefly, fecal samples were washed three times with 1
557 ml PBS buffer (pH 7). After the addition of 750 ul of lysis buffer, samples were transferred to tubes
558 containing 300 mg of autoclaved 0.1 mm zirconia/silica beads (Biospec). 85 ul of 10% SDS solution and
559 40 ul of Proteinase K (15mg/ml, MC500B Promega) were added and samples were incubated for 30

560 minutes at 60° C. 500 ul of Phenol:Chloroform:Isoamyl alcohol (25:24:1) was added and then samples
561 were vortexed. Samples were then put into a bead beater (Mini-beadbeater 16 Biospec) for 2 minutes to
562 physically lyse the cells. The upper phase of the sample was collected and an additional 500ul of
563 Phenol:Chloroform:Isoamyl alcohol (25:24:1) was added. After samples were vortexed and spun down,
564 the DNA in the upper phase was further purified twice with 500 ul of Phenol:Chloroform:Isoamyl alcohol
565 (25:24:1). and was then precipitated with 100% Ethanol (2.5 x volume of sample) and 3M Sodium acetate
566 (.1 x volume of sample) overnight at -20° C. Samples are then centrifuged and dried at room temperature.
567 DNA was resuspended in 100 ul of Tris-Buffer (10mM, pH8) and stored at -20° C. DNA samples were
568 quality checked by nanodrop (ND-1000 Nanodrop).

569

570 **16S rRNA gene sequencing**

571 16S rRNA gene sequencing was performed at the University of Nebraska Medical Center
572 Genomics Core Facility. DNA normalization and library prep were performed followed by V3-V4 16S
573 rRNA amplicon gene sequencing using a MiSeqV2 (Illumina) The following primer sequences were
574 used: (Primer sequences: Forward Primer = 5'
575 TCGTCGGCAGCGTCAGATGTGTATAAGAGACAGCCTACGGGNGGCWGCAG 16S Amplicon
576 PCR Reverse Primer = 5'
577 GTCTCGTGGGCTCGGAGATGTGTATAAGAGACAGGACTACHVGGGTATCTAATCC
578 Illumina overhangs: Forward overhang: 5'
579 TCGTCGGCAGCGTCAGATGTGTATAAGAGACAG□[locusspecific sequence] Reverse overhang: 5'
580 GTCTCGTGGGCTCGGAGATGTGTATAAGAGACAG□[locusspecific sequence]).

581

582 **Generation of the amplicon sequence variant table and data analysis**

583 Illumina-sequenced paired-end fastq files were demultiplexed by sample and barcodes were
584 removed by the sequencing facility. The University of Nebraska Holland Computer Center Crane cluster

585 was used to run the DADA2 v1.8 R package in order to generate an amplicon sequence variant (ASV)
586 table[101]. The DADA2 pipeline was performed as follows, sequences were filtered and trimmed during
587 which any remaining primers, adapters, or linkers were also removed. The sequencing error rates were
588 estimated using a random subset of the data. Dereplication of the data combined all identical sequencing
589 reads into unique sequences with a corresponding abundance. The core sample inference algorithm was
590 then applied to the dereplicated data. The forward and reverse reads were then joined to create the full
591 denoised sequences and an initial ASV table was generated. Any sequences outside the expected length
592 for the V3-V4 amplicon were then filtered from the table. Chimeric sequences were then removed and a
593 final ASV table was generated. Taxonomy was assigned using the Greengenes 13.8 database and RDP
594 Classifier with a minimal confidence score of 0.80 [102, 103]. Analysis was performed using R package
595 mctoolsr and samples were rarified to 4630 ASVs for downstream analysis. GraphPad Prism 5 and
596 Tableau were used to create some figures. Correlations were performed in R using the rcorr.adjust
597 function in the Hmisc package to compute matrices Spearman correlations along with the pairwise p-
598 values among the correlations. The p-values were corrected for multiple inference using Holm's method.
599 The random forest models and accompanied variable importance values were generated in R using the
600 randomForest package.

601

602 **Declarations**

603 **Ethics approval and consent to participate**

604 All methods described here were conducted as we previously reported in accordance with Institutional
605 Animal Care and Research Committee (IACUC)-approved protocols at the University of Nebraska-
606 Lincoln (UNL)[57, 60, 61, 64]. The IACUC at the University of Nebraska-Lincoln (UNL) has approved
607 two protocols related to generating and using hu-BLT mice, including Double Hu-Mice. Additionally, the
608 Scientific Research Oversight Committee (SROC) at UNL has also approved the use of human embryonic
609 stem cells and fetal tissues, which are procured from the Advanced Bioscience Resources for humanized
610 mice studies (SROC# 2016—1-002).

611

612 **Consent for publication**

613 Not applicable

614

615 **Availability of data and material**

616 The datasets generated during the current study are available in the NCBI SRA repository,

617 [<https://www.ncbi.nlm.nih.gov/bioproject/PRJNA612824>].

618

619 **Competing interests**

620 The authors declare that they have no competing interests.

621

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627

628 **Authors' contributions**

629 LD and QL designed the experiments and wrote the manuscript. LD performed experiments and analyzed

630 the data. ART provided input on experimental design and manuscript preparation.

631

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640

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- 972

973

974 **Figure Legends**

975 **Figure 1. HIV-1 infected double hu-mice had a significantly different gut microbiome composition**

976 **compared to uninfected double hu-mice.** Non-metric multidimensional scaling (NMDS) and Principal

977 coordinate analysis (PCoA) plots AB) Clustering of post fecal material transplant (Post-FMT), HIV-1
978 infected (Infected) and uninfected (Uninfected) double humanized mice gut microbiome profiles. CD)
979 Clustering of post fecal material transplant (Post-FMT), HIV-1 infected (Infected) and uninfected
980 (Uninfected) double humanized mice gut microbiome profiles based on sample collection date. EF)
981 Clustering of post fecal material transplant (Post-FMT), HIV-1 infected (Infected) and uninfected
982 (Uninfected) double humanized mice gut microbiome profiles based on body weight on collection date.
983

984 **Figure 2. HIV-1 infected double hu-mice had a significantly different gut microbiome composition**
985 **compared to uninfected double hu-mice.** Gut microbiome profiles for humanized mice before receiving
986 antibiotic treatment and subsequent fecal material transplants (Pre-treatment), double humanized mice
987 post fecal material transplant (Post-FMT), HIV-1 infected double humanized mice (Infected) and
988 uninfected double humanized mice (Uninfected), and human donor fecal samples (Donor) A) Gut
989 microbiome profiles displayed by Non-metric multidimensional scaling (NMDS). B) Gut microbiome
990 profiles displayed by Principal coordinate analysis (PCoA). C) Alpha diversity of gut microbiome profiles
991 shown by species richness. D) Alpha diversity of gut microbiome profiles shown by Shannon Index. E)
992 Alpha diversity of gut microbiome profiles shown by Simpson Index. F) Taxa abundance plot of gut
993 microbiome profiles by Order level. G) Taxa abundance plot of gut microbiome profiles by Family level.
994

995 **Figure 3. HIV-1 infected double hu-mice had increased systemic human inflammatory cytokines.**
996 Human inflammatory cytokine measures from plasma of double humanized mice. Samples were collected
997 from double humanized mice at 7 and 12 weeks post infection (WPI). Cytokine levels shown by mean
998 fluorescence intensity (MFI). A) IL-1 β B) IL-6 C) IFN- γ D) TNF- α .

999 **Figure 4. HIV-1 infected double hu-mice had increased systemic human immune cell activation.** A)
1000 Human immune cell populations from peripheral blood of double humanized mice up to 12 weeks post
1001 HIV-1 infection. All immune populations were lymphocyte+, human CD45+ and mouse CD45-, and
1002 human CD3+) and are represented by the percentage of their parent gate. B) Percentage of peripheral

1003 blood human immune cell populations shown as a mean for the longitudinally collected HIV-1 infected or
1004 uninfected double humanized mice. For each population of human immune cells a multiple comparison
1005 test for significance was performed between the sample groups (ANOVA with Tukey Test with adjusted
1006 P values < 0.05).

1007

1008 **Figure 5. Diet significantly altered the gut microbiome of double hu-mice** A) Non-metric
1009 multidimensional scaling (NMDS) plot displaying double humanized mice on a mouse chow diet, a high
1010 fat diet, or a low fat diet. B) Principal coordinate analysis (PCoA) displaying double humanized mice on a
1011 mouse chow diet, a high fat diet, or a low fat diet. C) Alpha diversity plot of species richness comparing
1012 double humanized mice on a mouse chow diet, a high fat diet, or a low fat diet. D) Alpha diversity plot of
1013 the Shannon index comparing double humanized mice on a mouse chow diet, a high fat diet, or a low fat
1014 diet. E) Alpha diversity plot of the Simpson index comparing double humanized mice on a mouse chow
1015 diet, a high fat diet, or a low fat diet. F) Taxa abundance plot by Order level comparing double humanized
1016 mice on a mouse chow diet, a high fat diet, or a low fat diet. G) Taxa abundance plot by Family level
1017 comparing double humanized mice on a mouse chow diet, a high fat diet, or a low fat diet.

1018

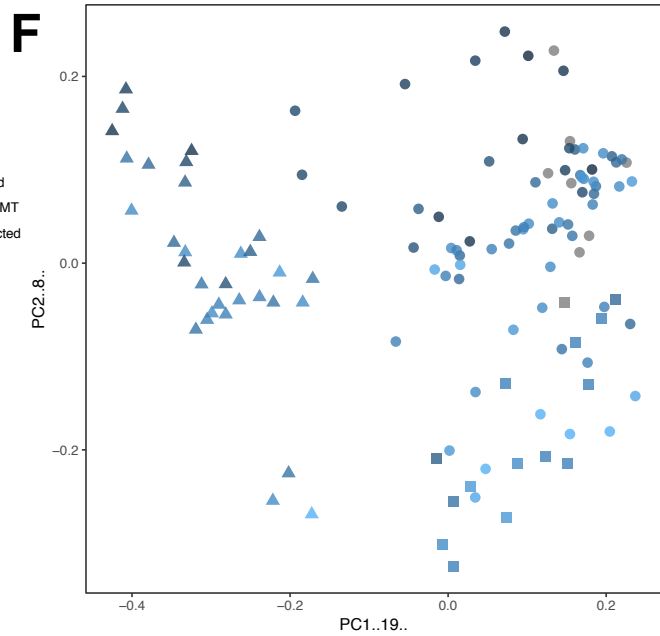
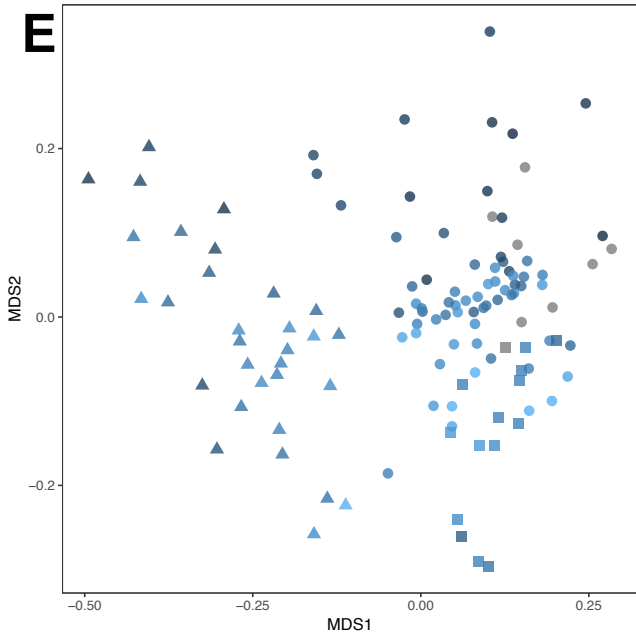
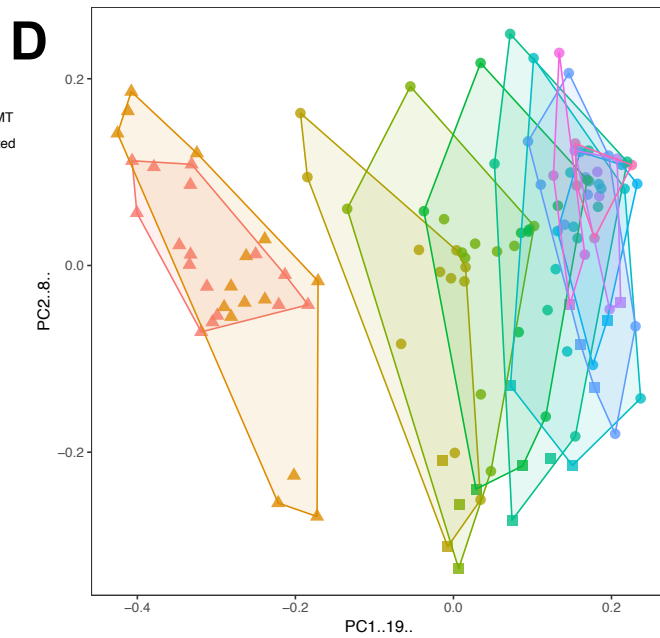
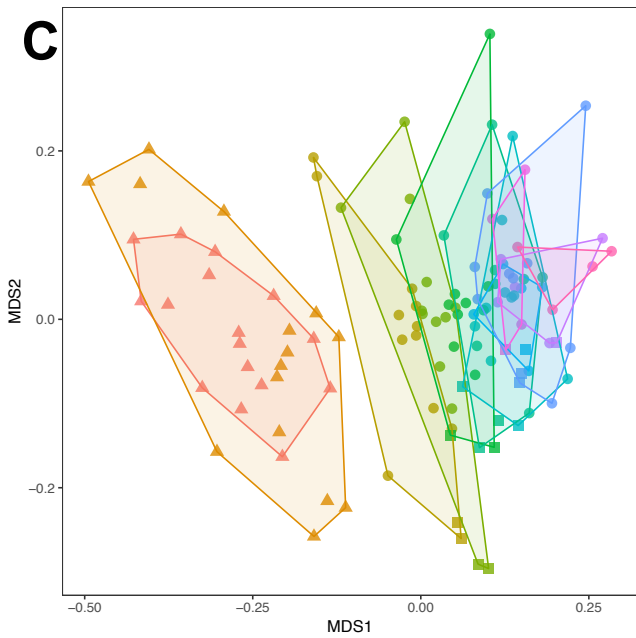
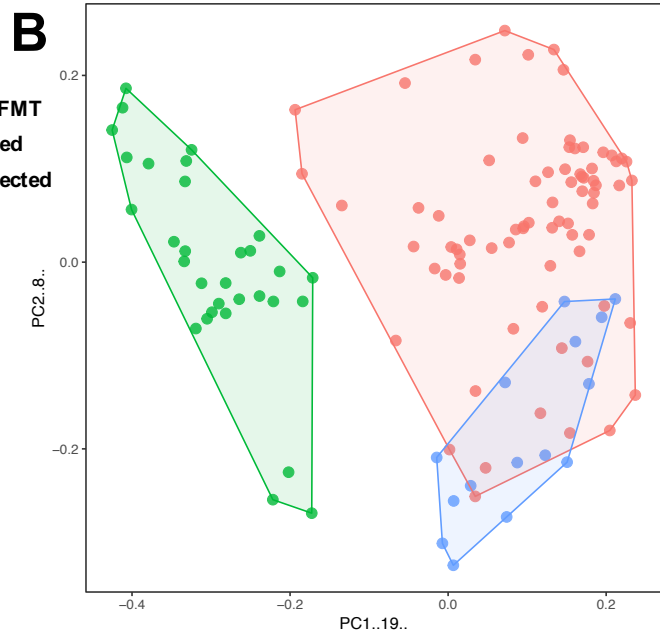
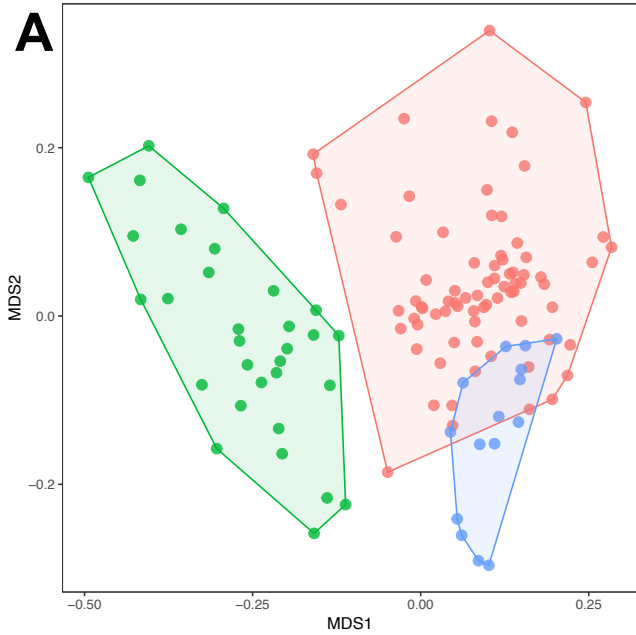
1019 **Figure 6. Double hu-mice fed a high fat diet had increased systemic human inflammatory cytokines.**
1020 Human inflammatory cytokine measures from plasma of double humanized mice. Samples were collected
1021 from double humanized mice 0.5, 1.5, and 3.5 weeks post low fat diet (LFD) or high fat diet (HFD)
1022 initiation. Cytokine levels shown by mean fluorescence intensity (MFI). A) All samples IL-1 β B)
1023 Longitudinal IL-1 β C) All samples IL-6 D) Longitudinal IL-6 E) All samples IFN- γ F) Longitudinal IFN-
1024 γ G) All samples TNF- α H) Longitudinal TNF- α .

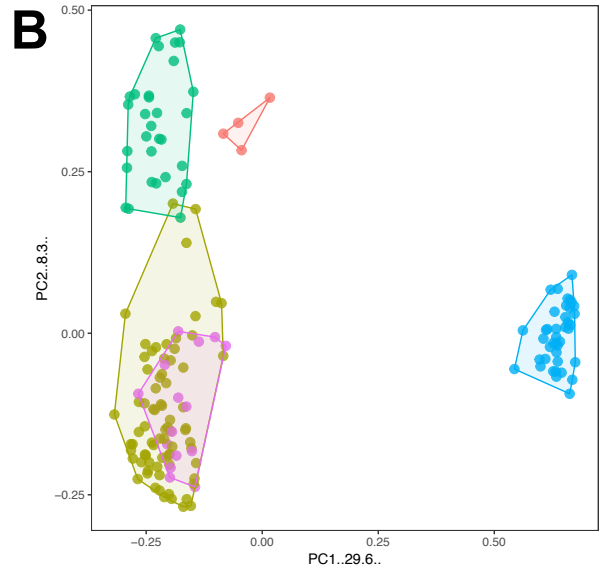
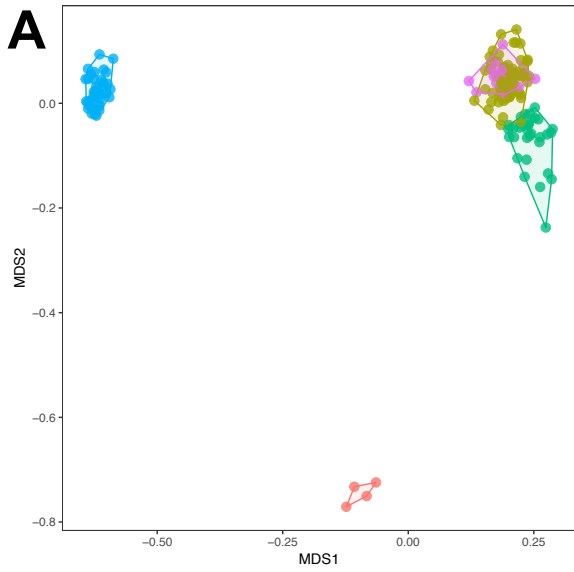
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1026 **Figure 7. Double hu-mice fed a high fat diet had increased systemic human immune cell activation.**

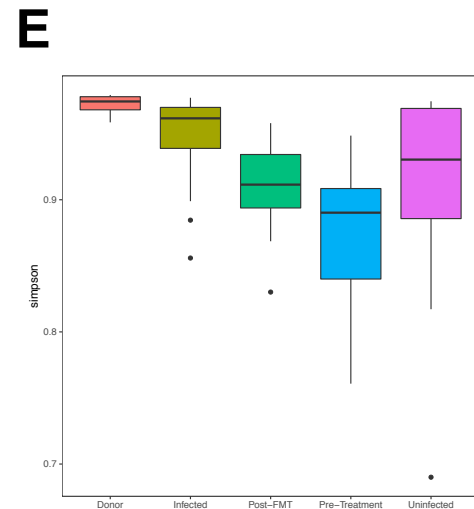
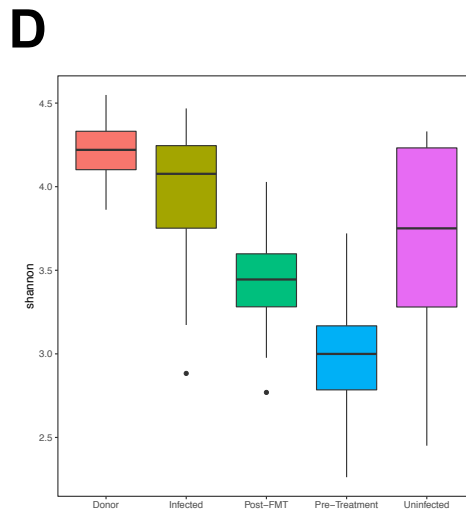
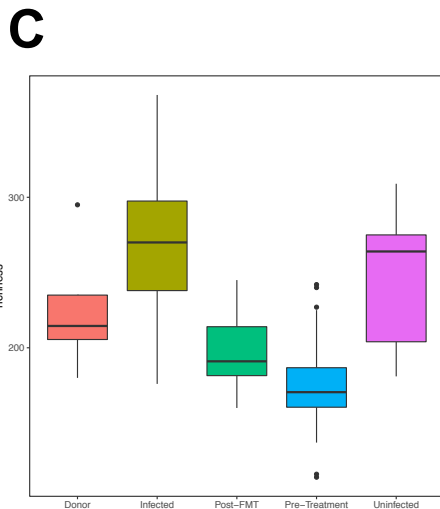
1027 A) Human immune cell populations from peripheral blood of double humanized mice up to 8 weeks on a

1028 regular mouse chow (Chow), low fat (LFD), or high fat diet (HFD). All immune populations were
1029 lymphocyte+, human CD45+ and mouse CD45-, and human CD3+) and are represented by the percentage
1030 of their parent gate. B) Percentage of peripheral blood human immune cell populations shown as a mean
1031 for the longitudinally collected double humanized mice on a chow, LFD, or HFD. For each population of
1032 human immune cells a multiple comparison test for significance was performed between the sample
1033 groups (ANOVA with Tukey Test with adjusted P values < 0.05).





■ Pre-Treatment
 ■ Post-FMT
 ■ Uninfected
 ■ Infected
 ■ Donor

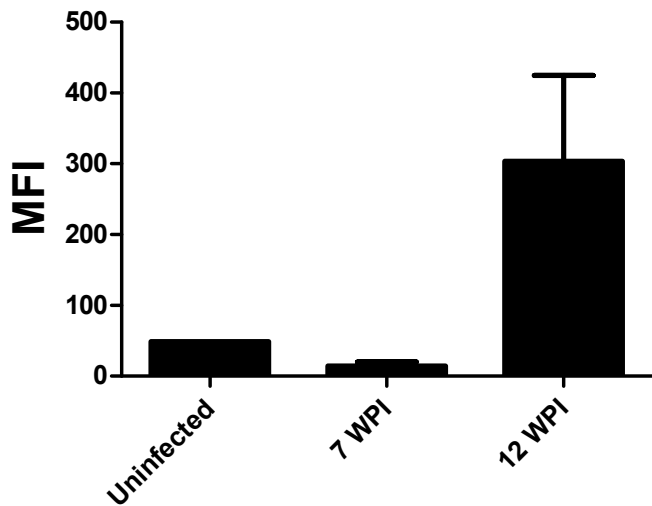
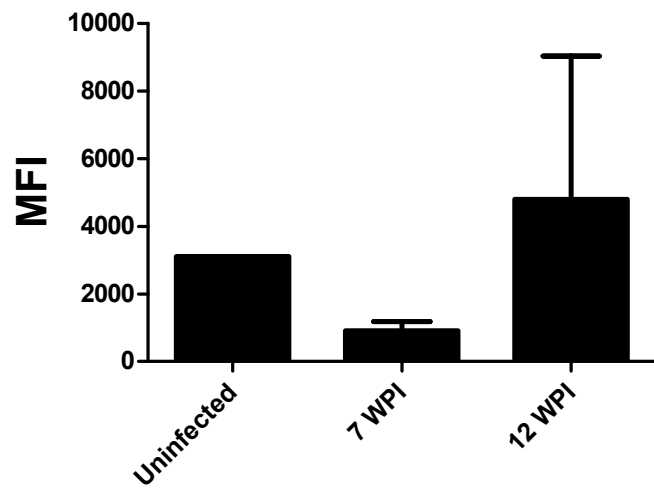
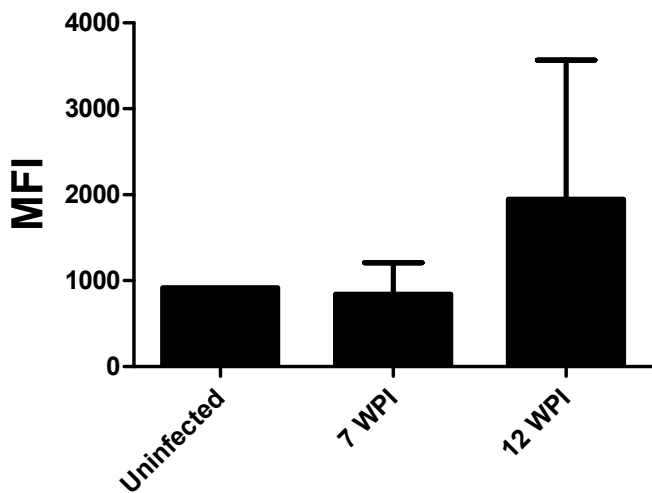
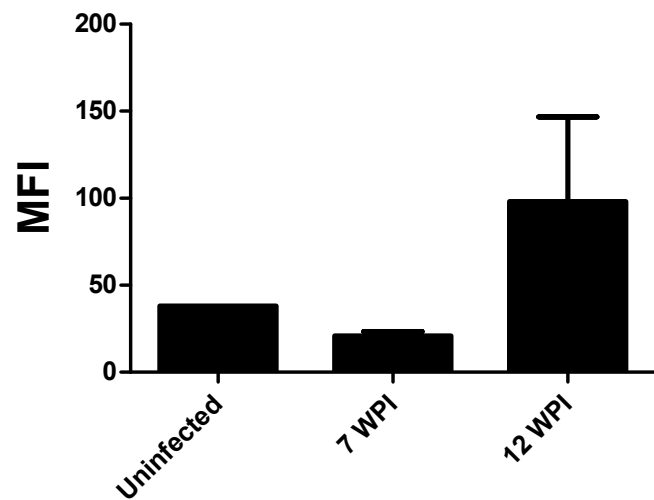


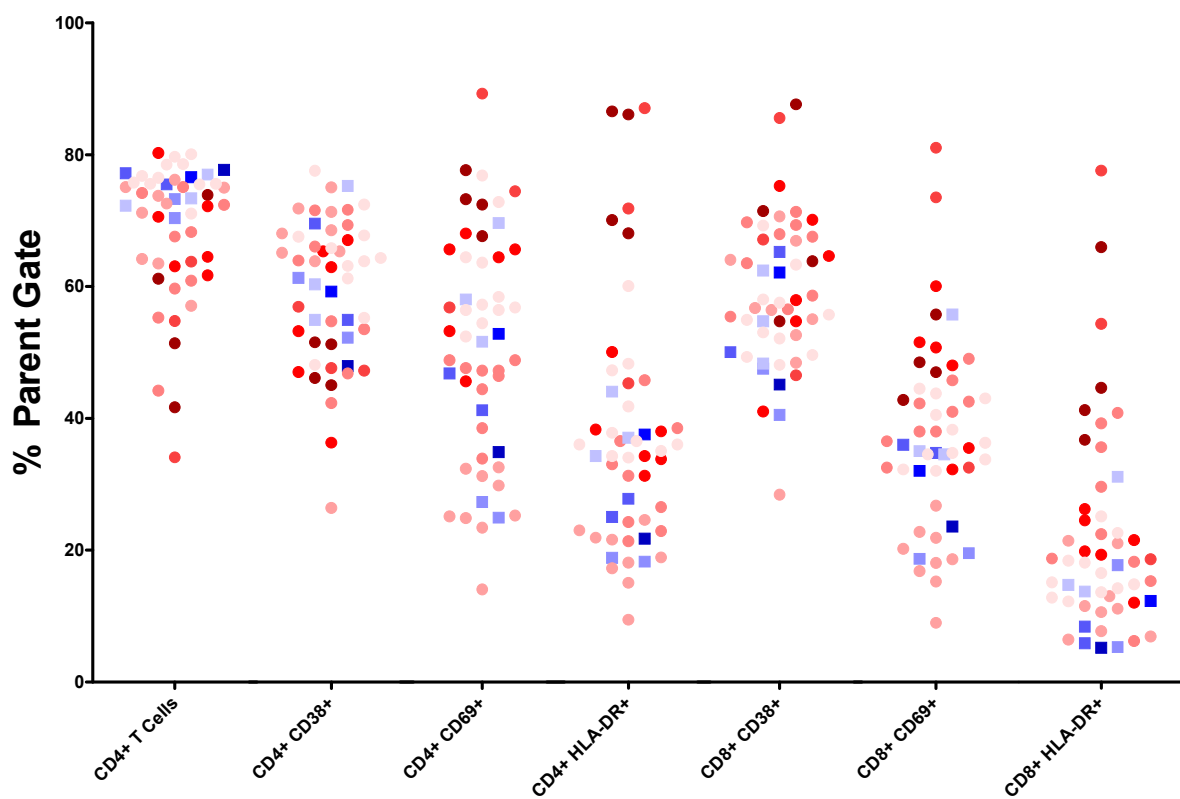
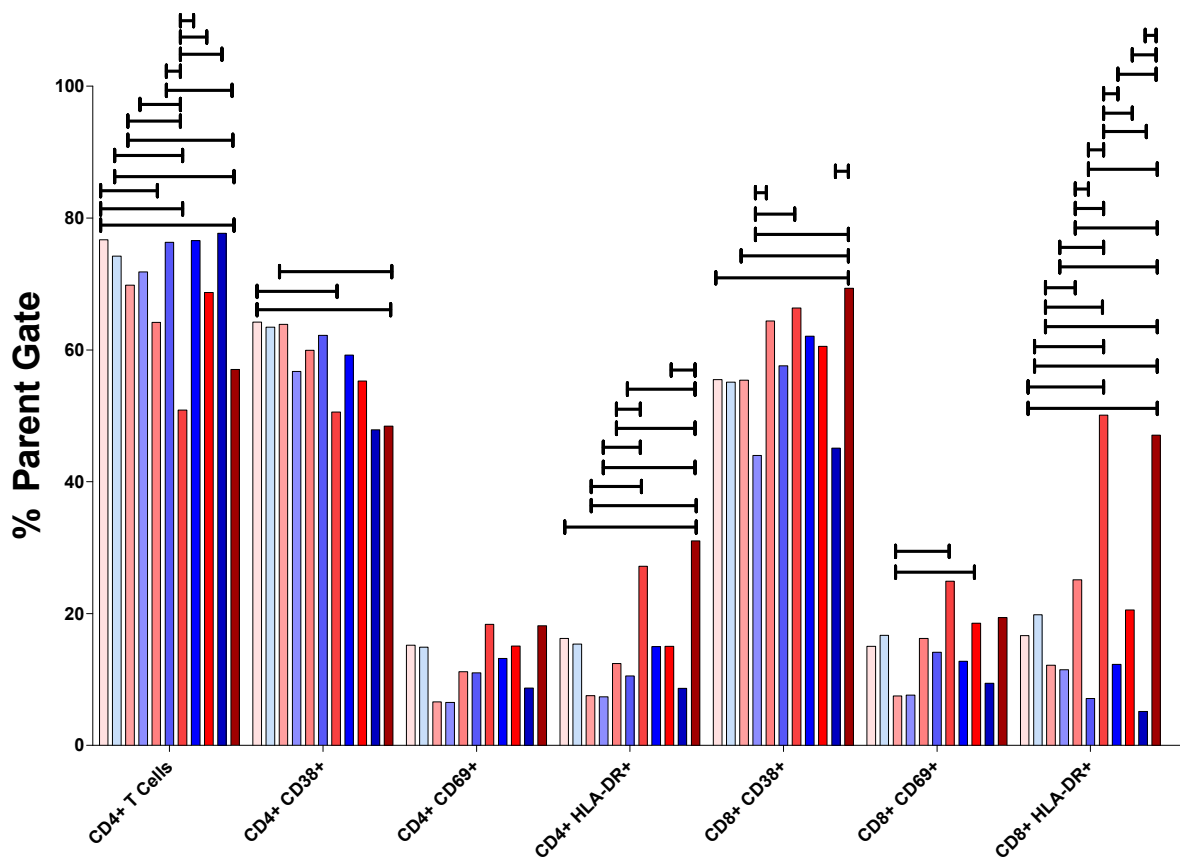
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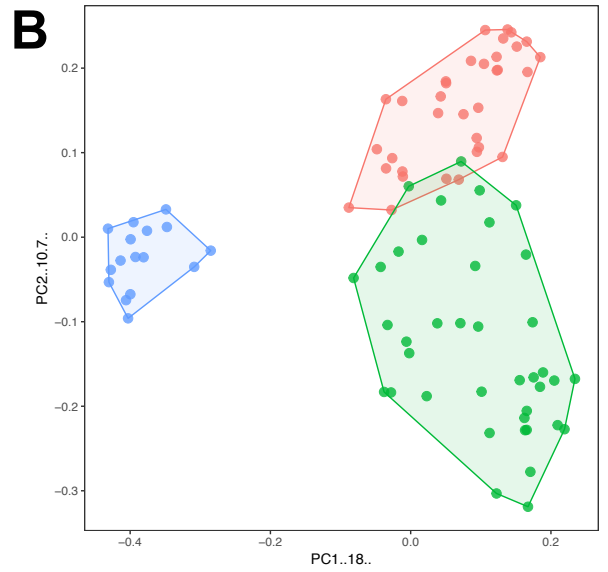
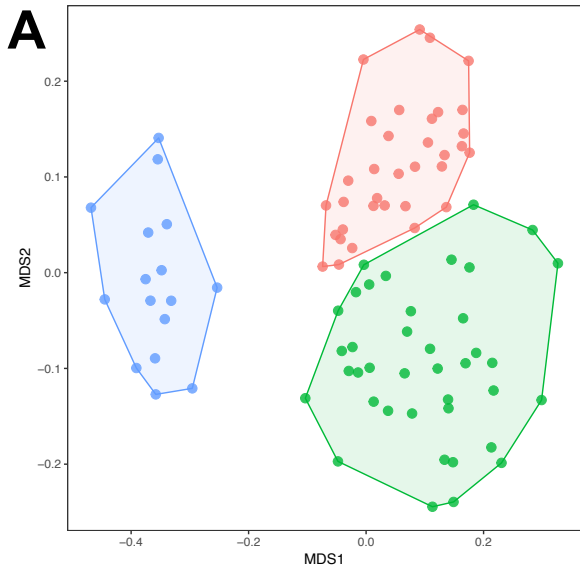
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Pre-Treatment	18.2	8.5	0	23.8	2.5	14.1	14.2	16.2	2.5
Post-FMT	24.3	1.2	1.5	31.6	8.1	3.6	5.4	22.9	1.5
Uninfected	30.3	0	2.2	26.7	1.8	19.1	9	9.1	1.9
Infected	34.9	7.1	1.6	35.5	1.8	3.5	5	8.3	2.4
Donor	18.9	1.8	1	68.8	3.6	1.4	0.2	0.8	3.5

G

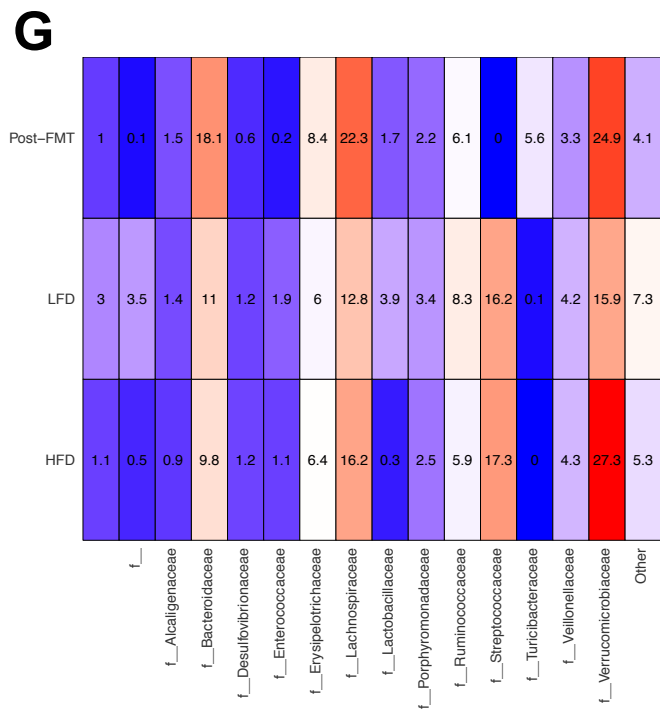
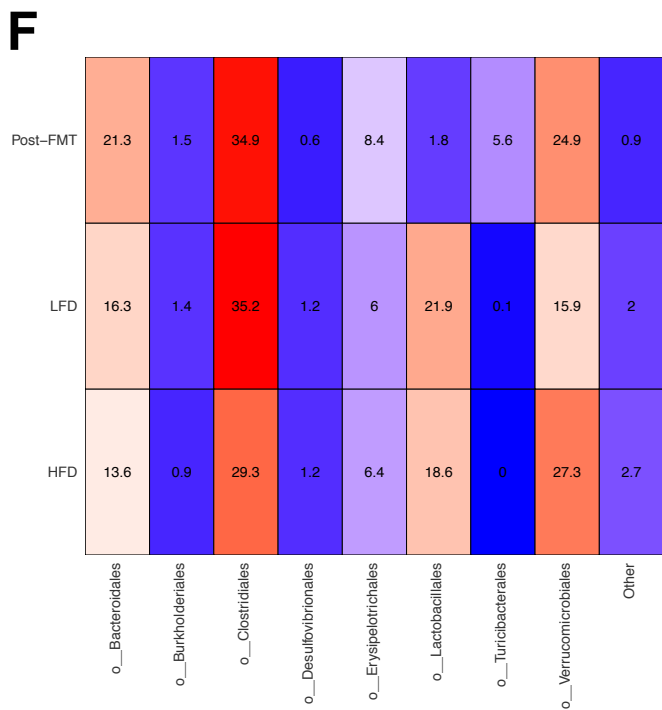
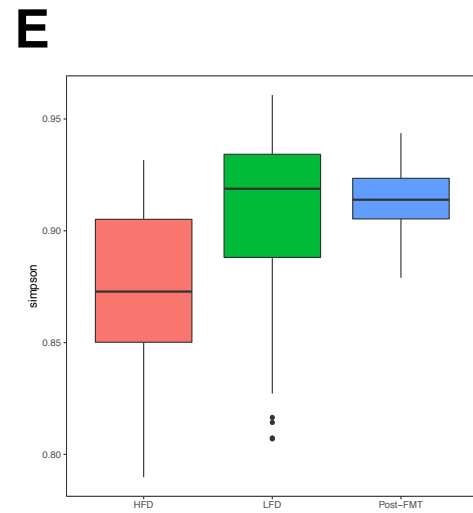
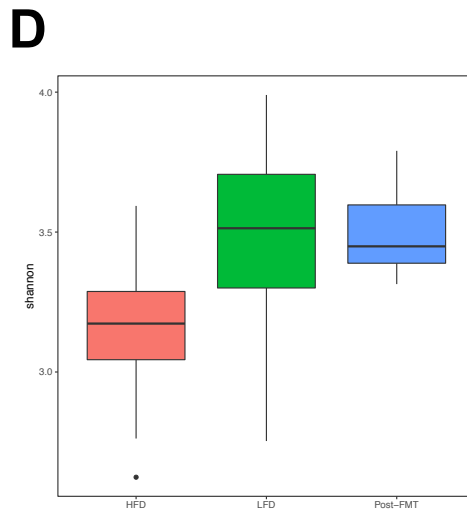
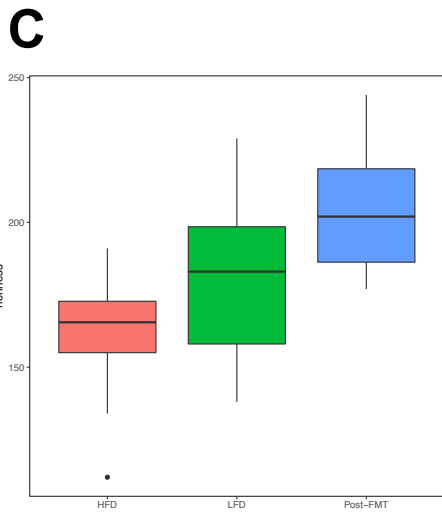
	f__Alcaligenaceae	f__Bacteroidaceae	f__Bifidobacteriaceae	f__Clostridiaceae	f__Erysipelotrichaceae	f__Lachnospiraceae	f__Lactobacillaceae	f__Porphyromonadaceae	f__Rikenellaceae	f__Ruminococcaceae	f__S24-7	f__Turicibacteraceae	f__Veillonellaceae	f__Verrucomicrobiaceae	Other		
Pre-Treatment	3.5	4	0	0	8.5	3.5	2.5	7.8	13.9	0	1.9	5	16.3	14.2	0	16.2	2.8
Post-FMT	0.8	0.1	1.5	20.8	1.2	0.5	8.1	19.7	3.4	2.5	0.7	6	0	5.4	3.1	22.9	3.4
Uninfected	1.8	0.4	2.2	24.9	0	2.1	1.8	9.2	19	2.5	2.3	10.3	0	9	1.8	9.1	3.7
Infected	1.9	0.8	1.6	27.8	7.1	2.8	1.8	11.3	3.3	3.3	2.4	14.7	0	5	2.4	8.3	5.4
Donor	0.6	0.4	1	16.8	1.8	1.7	3.6	38.6	0	0.6	1.2	25	0	0.2	2.4	0.8	5.3

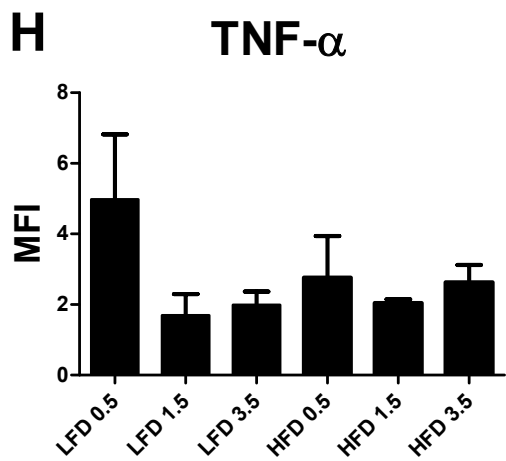
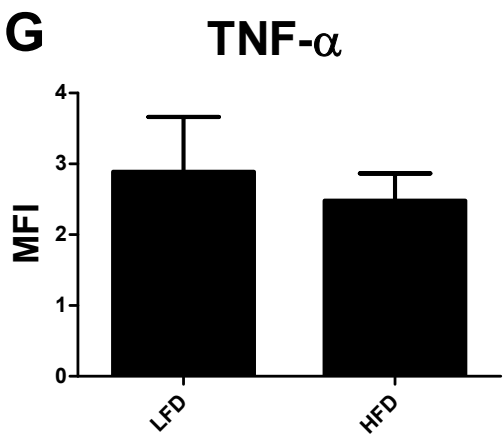
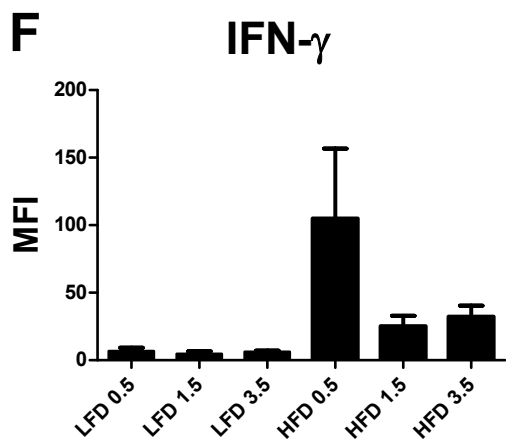
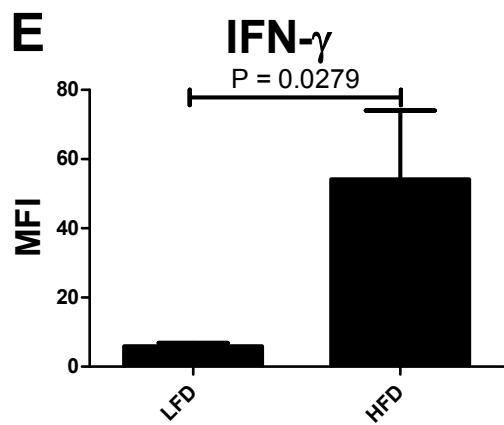
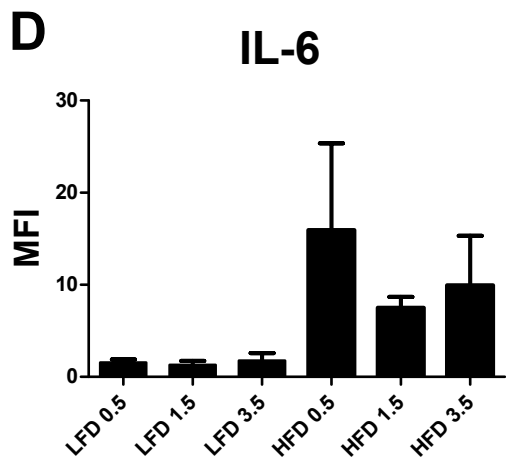
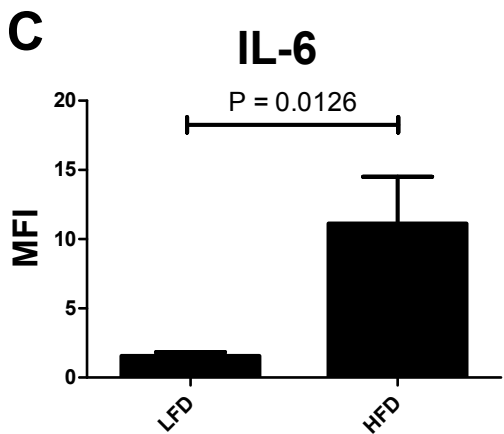
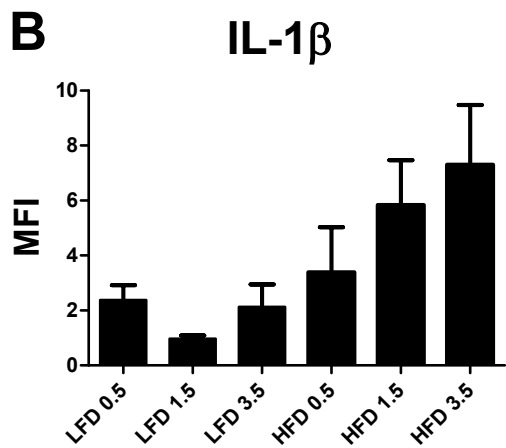
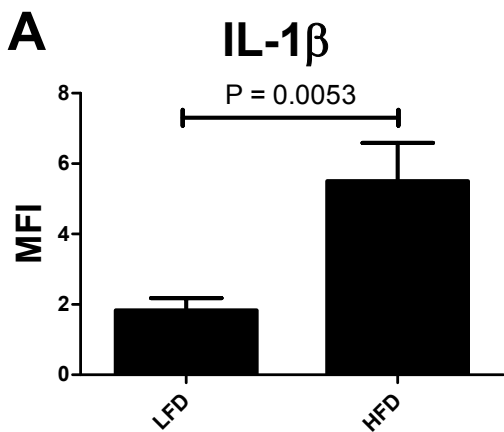
A**IL-1 β** **B****IL-6****C****IFN- γ** **D****TNF- α** 

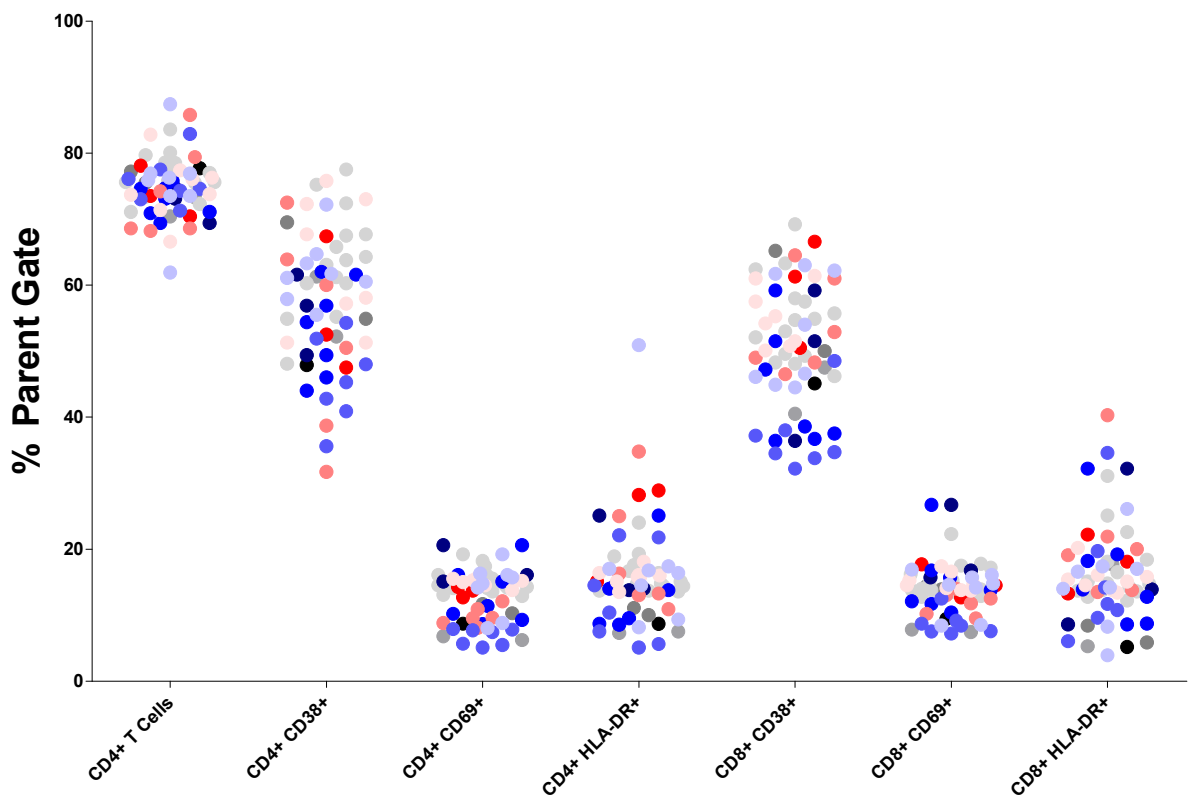
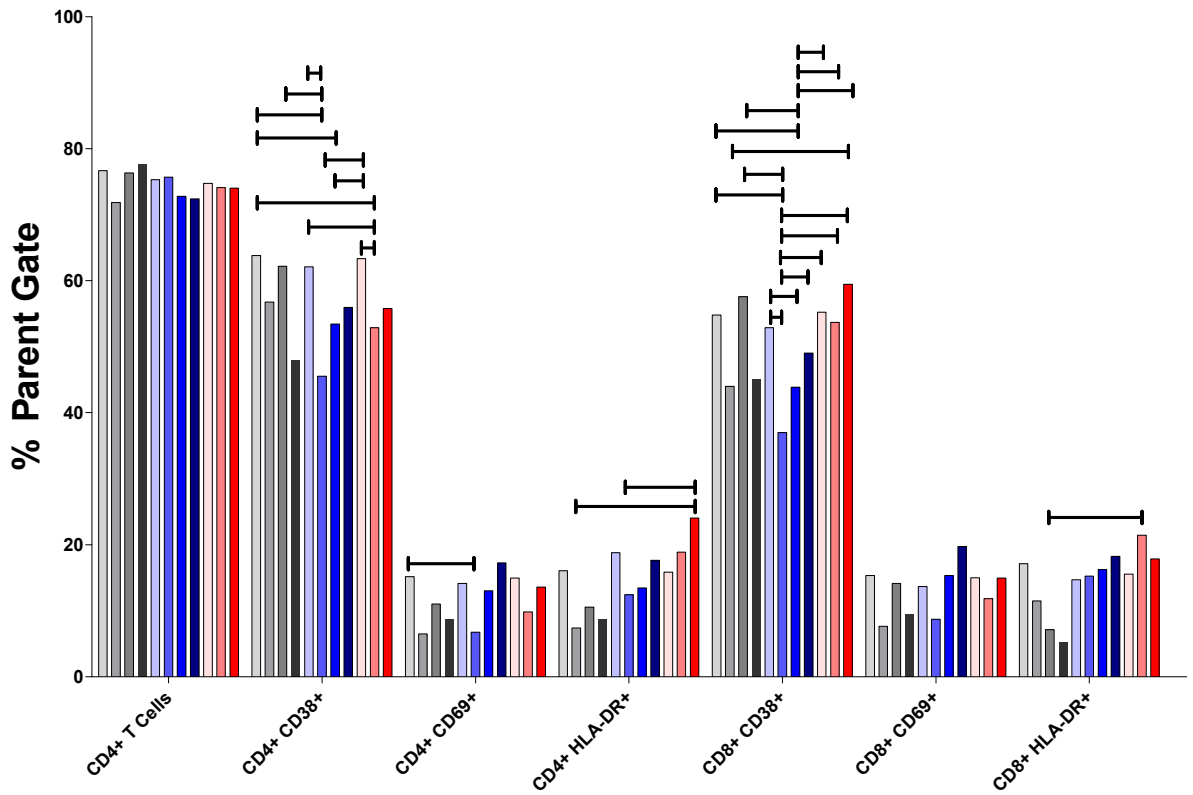
A**B**



■ Post-FMT ■ LFD ■ HFD





A**B**

● Week 0 Chow	● Week 0 LFD	● Week 0 HFD
● Week 3 Chow	● Week 3 LFD	● Week 3 HFD
● Week 6 Chow	● Week 6 LFD	● Week 6 HFD
● Week 8 Chow	● Week 8 LFD	