Neural activity precedes conscious awareness of being in or out of a transient hallucinatory state

Kenneth Hugdahl^{1,2,3,4}, Alexander R. Craven^{1,5}, Erik Johnsen^{2,4,6}, Lars Ersland^{1,5}, Drozdstoy Stoyanov⁷, Sevdalina Kandilarova⁷, Lydia Brunvoll Sandøy¹, Rune A. Kroken², Else-Marie Løberg^{2,8,9} Iris E. Sommer¹⁰

1) Department of Biological and Medical Psychology, University of Bergen, Norway

2) Division of Psychiatry, Haukeland University Hospital, Bergen, Norway

3) Department of Radiology, Haukeland University Hospital, Bergen, Norway

4) NORMENT Center for the Study of Mental Disorders, Haukeland University Hospital, Bergen, Norway

5) Department of Clinical Engineering, Haukeland University Hospital, Bergen, Norway

6) Department of Clinical Medicine, University of Bergen, Norway

7) Department of Psychiatry and Medical Psychology, Medical University of Plovdiv, Bulgaria

8) Department of Addiction Medicine, Haukeland University Hospital, Bergen, Norway

9) Department of Clinical psychology, University of Bergen, Norway

10) Rijks Universiteit Groningen (RUG), Department of Biomedical Sciences of cells and systems and Department of Psychiatry, University Medical Center Groningen (UMCG), Netherlands

Corresponding author: Kenneth Hugdahl, University of Bergen, Norway, email: hugdahl@uib.no, phone: +47-55586277

The present study was funded by research grants from the European Research Council (ERC # 693124), and Helse-Bergen (#912045) to Kenneth Hugdahl, and by grants from the Medical University of Plovdiv, Bulgaria Drozdstoy Stoyanov and by a TOP grant from ZonMW (Dutch Medical Research Council) # 91213009 to Iris E. Sommer,

Keywords: Schizophrenia, auditory hallucinations, fMRI, symptom-capture, onset, offset, cingulate cortex

Acknowledgements: The authors want to acknowledge the contribution of MR-technicians and research assistants, as well as the clinical and non-clinical participants, at each site.

33

34 **Summary**

35 **(203 words)**

36 Auditory verbal hallucinations, or "hearing voices", is a remarkable state of the mind,
 37 occurring in psychiatric and neurological patients, and in a significant minority of the general
 38 population. An unexplained characteristic of this phenomenon is that it transiently fluctuates,
 39 with coming and going of episodes with time. We monitored neural activity with BOLD-
 40 fMRI second-by-second before and after participants indicated the start and end of a transient
 41 hallucinatory episode during the scanning session by pressing a response-button. We show
 42 that a region in the ventro-medial frontal cortex is activated in advance of conscious
 43 awareness of going in or out of a transient hallucinatory state. There was an increase in
 44 activity initiated a few seconds before the button-press for onsets, and a corresponding
 45 decrease in activity initiated a few seconds before the button-press for offsets. We identified
 46 the time between onset and offset button-presses, extracted the corresponding BOLD time-
 47 courses from nominated regions-of-interest, and analyzed changes in the signal from 10
 48 seconds before to 15 seconds after the response-button was pressed, which identified onset
 49 and offset events. We suggest that this brain region act as a switch to turn on and off a
 50 hallucinatory episode. The results may have implications for new interventions for intractable
 51 hallucinations.

52

53 **Main text: 2661 words**

54 **Introduction**

55 Auditory verbal hallucinations (AVH) in the sense of "hearing voices" in the absence of a
56 corresponding auditory source, is a remarkable state of the mind. AVHs were traditionally
57 seen as a hallmark of schizophrenia¹⁻⁷, but also occur in other psychiatric and neurological
58 disorders. AVH cross the border between pathological and normal states of mind, since they
59 are experienced in about 10% of the general population⁸⁻¹¹. As a symptom, AVHs are often
60 experienced as highly distressing, while people in the general population are usually not
61 distressed to the same degree^{12,9}. An equally remarkable characteristic of AVHs is that they
62 are spontaneous episodes for which there are no known environmental triggers, occurring in
63 resting and relaxed as well as in stressful and noisy environments^{13,14}. The same is true for the
64 offset of an episode, which likewise can occur in a variety of environmental situations. The
65 absence of environmental causes for these transient on- and off-fluctuations of AVHs would
66 thus point to an internal, i.e. some kind of neural switching mechanism, not only for the
67 spontaneous onset, but also for the spontaneous offset of an episode. We therefore studied the
68 neural underpinnings of the spontaneous switching between AVH on- and off-states by
69 monitoring neural activity a few seconds before and after reported onset (start) and offset
70 (stop) of a hallucinatory episode, and related this to the corresponding conscious awareness of
71 the event. Brain activity can be monitored on-line with functional magnetic resonance
72 imaging (fMRI), where changes in neural activity are estimated from modelling of the blood-
73 oxygenation-dependent (BOLD) function¹⁵. Yet this requires participants to lay still in the
74 scanner while experiencing AVH and indicating their on and offset by button-press, a very
75 demanding task (see^{9,16-19}). This paradigm requires patients to be aware and thoughtful of
76 their experiences and to experience a required minimum of episodes of AVH during the
77 scanning session, as neither continuous hallucinations nor a period with too few

78 hallucinations will allow the study of on- and offsets. Only few research groups around the
79 world have succeeded to obtain a number of such scans, typically less than 20. In order to
80 increase power to detect subtle changes in activity during brief moments of on and offsets, we
81 joined forces from three research groups to recruit a reasonably large sample of individuals
82 who were hallucinating frequently, but not continuously. The aim of the present study was to
83 use fMRI to monitor changes in neural activity on a second-by-second-basis, in a resting-state
84 situation, where subjects signaled the onset of a hallucinatory episode by pressing one
85 response-button and the offset of an episode by pressing another response-button, in the
86 course of the scanning session. A time-window was set from 10 seconds before to 15 seconds
87 after the subject had pressed a button, from which voxel-wise data were extracted, analyzed
88 and displayed second-by-second in a sliding window over the evaluation period (see
89 Methods). Data were pooled from three different sites at the University of Bergen, Norway,
90 Groningen University Medical Center, Netherlands, and Medical University of Plovdiv,
91 Bulgaria, making up a total of 66 subjects hallucinating during fMRI recordings. As
92 hallucinatory experiences cross the border between abnormal and normal conditions, we
93 included both clinical and non-clinical "voice-hearers", focusing on tracking the neural
94 signatures of AVH-experiences per se, not restricted to a particular diagnostic group or mental
95 condition.

96

97 **Results**

98 *Anticipated activity patterns during hallucinatory periods*

99 Functional data that were obtained using the button-press symptom-capture paradigm⁹
100 revealed several statistically significant clusters of increased activity during hallucinatory
101 periods (i.e. periods after onset and before offset). These clusters included the left fronto-
102 temporal language areas (Wernicke's area in the superior temporal gyrus, and Broca's area in

the inferior frontal gyrus), using a FEW-corrected significance threshold of $p < .05$ (see Figure 1 and Table 1).

Insert Figure 1 OVERLAPPING ACTIVITY about here about here

We compared the anatomical localizations of these activity with areas previously reported as activated during ongoing hallucinatory episodes (see meta-analysis^{16, 17}). As can be seen in Figure 1, the overlap of the present activity with previous reports is almost perfect, thus validating that the present activity reflects neural correlates of ongoing hallucinations.

Analyzing activity data from each of the three sites separately, the general pattern of activity remained, although statistically weaker for the Bergen cohort and reduced to trend level for the Plovdiv cohort. Contrasting clinical- and non-clinical voice hearers from the Groningen cohort revealed overlapping activity in all areas except for the right planum temporale (PT) and right lateral superior occipital cortex.

Insert Table 1 about here

Differential activity in the ventro-medial frontal cortex

Analysis of the extracted time-courses from the nominated regions of interest (ROIs), separately for onset and offset of episodes, revealed a distinct decrease in activity in the intersection of the paracingulate cortex, medial frontal cortex, and the frontal pole (see Figure 2). The decrease had a minimum peak at time (t) = 3 sec ($\Delta = -158$ iu, $p = 0.021 \pm 0.002$, 95% CI) relative to the button-press response (see Figure 3). This minimum preceded the

corresponding motor response from the subjects' button-press which had a peak maximum at $t = 5$ seconds.

Insert Figure 2 ANATOMY OF ROI about here

The decrease in activity preceding an offset button-press contrasted to an increase in activity observed in advance of an onset button-press ($\Delta = 35$ iu, $p = 0.014$, $\pm \sim 0.0016$, 95% CI), occurring at around $t = -1$ second. These results were verified in an extended time-series analysis (see Figure 4) averaged across subjects, and where aberrant time-courses were rejected and results iteratively updated. An HRF-model fit was thereafter applied to the data, revealing a similar differential pattern for onset- and offset-events as seen in Figure 3. See Methods section for further details.

Insert Figure 3 TIME-COURSE about here

Insert Figure 4 ITERATIVE ANALYSIS about here

These results were obtained using the strictest criteria for interpretation of the subjects' button-press response data, and were maintained (with varying levels of significance) for more liberal interpretations ($\Delta = -79$ iu, $p = 0.16$, $\pm \sim .005$, 95% CI, $\Delta = 36$ iu, $p = 0.0024$, $\pm \sim .0007$, 95% CI for the long-block interpretation, see Methods for explanation). ROIs in the in the pre-central motor-area also exhibited significantly increased activity, with peak activity at $t = 5$ seconds; all significances after FEW-correction and p-level set to $< .05$.

4D permutation analysis

Full-volume permutation analysis across the nominated time-windows further confirmed a differential direction of activity for offsets versus onsets at the ventral edge of the intersection of the paracingulate cortex, medial frontal cortex and the frontal pole (see Figure 2). This region exhibited significantly reduced activity ($p = 2.5 \times 10^{-8}$) for offset-of-hallucination events relative to onset-of-hallucination events, peaking 2 seconds after the recorded button-press event, and 3 seconds before the peak of the motor activity associated with the button-press itself. Additional contrasting activity, not directly attributable to the motor response, was observed in the inferior frontal gyrus ($p = 1.4 \times 10^{-11}$, with peak at time (t) = 17 sec), and the central opercular cortex bordering on Heschl's gyrus ($p = 4.6 \times 10^{-14}$ with peak at time (t) = 19 sec). These regions were also identified in our functional block-analysis (see Table 1), and have also been mentioned in the literature^{16,17} (see Table 1).

The subsequent permutation analysis of offset-of-hallucination events against “baseline” data from random time-windows showed again significantly reduced activity ($p = 5.2 \times 10^{-7}$) specific to offset-of-hallucinatory events. This analysis also revealed transient increases in activity in the anterior cingulate ($p = 3.7 \times 10^{-6}$), insula/left operculum ($p = 9.3 \times 10^{-6}$), thalamus ($p = 1.8 \times 10^{-7}$) and paracingulate cortex ($p = 1.8 \times 10^{-7}$). Permutation analysis with onset-of-hallucinatory events against “baseline” data also revealed a slight increase in activation initiated before the button-press with a peak around 1 second after the button-press event ($p = 2.5 \times 10^{-4}$). Finally, there was a large and significant increase in activity in the primary motor cortex in the pre-central gyrus ($p = 7 \times 10^{-32}$), representing the button-press response per se.

Discussion

The finding of anticipatory neural activity in the ventro-medial frontal region preceding start and end of auditory hallucinations is a novel finding, which could lead to new hypotheses about excitation and in particular inhibition of a hallucinatory event, and what underlies the experience of the start and stop of a "voice". Both the time-course- and permutation-analyses revealed a significant brain response initiated a few seconds in advance of the subject becoming consciously aware of being in or out of a hallucinatory state. This suggests that the ventro-medial frontal region may be crucial in both the initiation and cessation of hallucinatory episodes, and speaks to a kind of regulatory role, or switch function for this region. Metaphorically, it could be thought of as this region acting like a conductor, directing the orchestration of neural events that leads up to a full-blown perceptual experience of "hearing a voice" in the absence of a corresponding external source and also to the cessation of the perceptual experience. Brain responses in advance of conscious awareness have been previously reported for error monitoring, where subjects showed reduced activity in regions related to the default mode network (the "oops region") seconds before they became aware of having made an erroneous response²⁰. The present results complement recent findings of aberrant functional connectivity²¹ and morphological differences²² in the same brain region. Garrison, et al.²² used structural MRI and found that hallucinating patients had shorter paracingulate sulcus than healthy controls and also to non-hallucinating patients, and suggested that this region of the brain is tuned to "reality monitoring", i.e. the ability to judge whether a memory comes from an outer or inner source. This suggestion²² was based on the findings reported by Buda, et al.²³ that otherwise healthy individuals, born without a discernible paracingulate sulcus in either hemisphere, showed impaired performance on a word-pair memory/imagery task. These observations^{22,23} may provide important clues for understanding the significance of the present findings, insofar that the ventral portion of this cortical region may be crucial for how AVHs are spontaneously initiated and also why they

may spontaneously and transiently disappear. In this respect our data support a previous report from two patients of anticipatory neural activity to onset-signaling²⁴. A potential confounding of the results could be anticipatory attention focus on motor-responses (cf.²⁵), which otherwise could affect the observed activity. As seen in the lower panels of Figure 3 this is probably not the case, since there was a clear peak around 5 seconds post-response obtained from the pre-central motor-cortex on the left side (from right-handheld response-buttons), and with 5-6 times higher response amplitude as that obtained from the ventro-medial frontal cortex²⁶. This is what one would expect considering the lag of the hemodynamic response relative to a neural event, but would not expect for a peak occurring at 3-4 seconds and in the ventro-medial frontal cortex, after the button-press. The decrease in brain activity a few seconds before the indicated awareness of the offset of a hallucinatory episode, may correspond to previous findings of frontal neurotransmitter imbalance²⁷⁻²⁹. Using MR spectroscopy (¹H-MRS), Ćurčić-Blake, et al.³⁰, van Den Heuvel, et al.³¹ and Hugdahl, et al.³² found increased levels of glutamate in frontal regions in hallucinating individuals. This is in accordance with what Jardri, et al.²⁸ labelled the Excitatory/Inhibitory (E/I) imbalance model of auditory hallucinations, and we now suggest that the offset of a hallucinatory event is mediated by temporary restoring such imbalances. Future research will hopefully resolve the underlying causes at the receptor and transmitter level. The present findings could also have therapeutic implications in guiding more targeted brain stimulation approaches. Brain stimulation interventions is a promising approach to medication-resistant hallucinations^{33,34,35} and targeting the the ventro-medial frontal cortex using stimulation to stop the onset, or accelerate the offset of an AVH-episode could be a way to help patients overcome intractable AVHs.

226

227 References

- 228 1 Andreasen, N. C. & Olsen, S. Negative v positive schizophrenia. Definition and
229 validation. *JAMA Psychiatry* **39**, 789-794,
230 doi:10.1001/archpsyc.1982.04290070025006 (1982).
- 231 2 Waters, F., Badcock, J., Michie, P. & Maybery, M. Auditory hallucinations in
232 schizophrenia: Intrusive thoughts and forgotten memories. *Cogn. Neuropsychiatry* **11**,
233 65-83, doi:10.1080/13546800444000191 (2006).
- 234 3 Aleman, A. & Larøi, F. *Hallucinations: The science of idiosyncratic perception*.
235 (American Psychological Association, 2008).
- 236 4 Sartorius, N., Jablensky, A., Korten, A., Ernberg, G., Anker, M., Cooper, J. E. & Day,
237 R. Early manifestations and first-contact incidence of schizophrenia in different
238 cultures. A preliminary report on the initial evaluation phase of the WHO
239 collaborative study on determinants of outcome of severe mental disorders. *Psychol.*
240 *Med.* **16**, 909-928, doi:10.1017/s0033291700011910 (1986).
- 241 5 Ford, J. M., Morris, S. E., Hoffman, R. E., Sommer, I. E., Waters, F., McCarthy-Jones,
242 S., Thoma, R. J., Turner, J. A., Keedy, S. K., Badcock, J. C. & Cuthbert, B. N.
243 Studying Hallucinations within the NIMH RDoC Framework. *Schizophr. Bull.* **40**,
244 295-304, doi:10.1093/schbul/sbu011 (2014).
- 245 6 Ford, J. M., Dierks, T., Fisher, D. J., Herrmann, C. S., Hubl, D., Kindler, J., Koenig,
246 T., Mathalon, D. H., Spencer, K. M., Strik, W. & van Lutterveld, R.
247 Neurophysiological studies of auditory verbal hallucinations. *Schizophr. Bull.* **38**, 715-
248 723, doi:10.1093/schbul/sbs009 (2012).
- 249 7 Hugdahl, K. & Sommer, I. E. Auditory verbal hallucinations in schizophrenia from a
250 levels of explanation perspective. *Schizophr. Bull.* **44**, 234-241,
251 doi:10.1093/schbul/sbx142 (2018).
- 252 8 Kråkvik, B., Larøi, F., Kalhovde, A. M., Hugdahl, K., Kompus, K., Salvesen, Ø.,
253 Stiles, T. C. & Vedul-Kjelsås, E. Prevalence of auditory verbal hallucinations in a
254 general population: A group comparison study. *Scand. J. Psychol.* **56**, 508-515,
255 doi:10.1111/sjop.12236 (2015).
- 256 9 Sommer, I. E., Diederer, K. M., Blom, J.-D., Willems, A., Kushan, L., Slotema, K.,
257 Boks, M. P. M., Daalman, K., Hoek, H. W., Neggers, S. F. W. & Kahn, R. S. Auditory
258 verbal hallucinations predominantly activate the right inferior frontal area. *Brain* **131**,
259 3169-3177, doi:10.1093/brain/awn251 (2008).
- 260 10 Sommer, I. E., Daalman, K., Rietkerk, T., Diederer, K. M., Bakker, S., Wijkstra, J. &
261 Boks, M. P. M. Healthy individuals with auditory verbal hallucinations; Who are
262 they? Psychiatric assessments of a selected sample of 103 subjects. *Schizophr. Bull.*
263 **36**, 633-641, doi:10.1093/schbul/sbn130 (2010).
- 264 11 Larøi, F., Sommer, I. E., Blom, J. D., Fernyhough, C., ffytche, D. H., Hugdahl, K.,
265 Johns, L. C., McCarthy-Jones, S., Preti, A., Raballo, A., Slotema, C. W., Stephane, M.
266 & Waters, F. The characteristic features of auditory verbal hallucinations in clinical
267 and nonclinical groups: State-of-the-art overview and future directions. *Schizophr.*
268 *Bull.* **38**, 724-733, doi:10.1093/schbul/sbs061 (2012).

269

- 270 12 Daalman, K., Boks, M. P. M., Diederer, K. M., de Weijer, A. D., Blom, J. D., Kahn,
271 R. S. & Sommer, I. E. The same or different? A phenomenological comparison of
272 auditory verbal hallucinations in healthy and psychotic individuals. *J. Clin. Psychiat*
273 **72**, 320-325, doi:10.4088/jcp.09m05797yel (2011).
- 274 13 Bless, J. J., Larøi, F., Kompus, K., Kråkvik, B., Vedul-Kjelsås, E., Kalhovde, A. M. &
275 Hugdahl, K. Do adverse life events at first onset of auditory verbal hallucinations
276 influence subsequent voice characteristics? Results from an epidemiological study.
277 *Psychiatry Res.* **261**, 232-236, doi:10.1016/j.psychres.2017.12.060 (2017).
- 278 14 Delespaul, P., deVries, M. & van Os, J. Determinants of occurrence and recovery from
279 hallucinations in daily life. *Soc. Psychiatry Psychiatr. Epidemiol.* **37**, 97-104,
280 doi:10.1007/s001270200000 (2002).
- 281 15 Moonen, C. T. W. & Bandettini, P. A. *Functional MRI*. (Springer-Verlag, 1999).
- 282 16 Jardri, R., Pouchet, A., Pins, D. & Thomas, P. Cortical activations during auditory
283 verbal hallucinations in schizophrenia: A coordinate-based meta-analysis. *Am. J.*
284 *Psychiatry* **168**, 73-81, doi:10.1176/appi.ajp.2010.09101522 (2011).
- 285 17 Kompus, K., Westerhausen, R. & Hugdahl, K. The “paradoxical” engagement of the
286 primary auditory cortex in patients with auditory verbal hallucinations: A meta-
287 analysis of functional neuroimaging studies. *Neuropsychologia* **49**, 3361-3369,
288 doi:10.1016/j.neuropsychologia.2011.08.010 (2011).
- 289 18 Dierks, T., Linden, D. E., Jandl, M., Formisano, E., Goebel, R., Lanfermann, H. &
290 Singer, W. Activation of Heschl's gyrus during auditory hallucinations. *Neuron* **22**,
291 615-621, doi:10.1016/s0896-6273(00)80715-1 (1999).
- 292 19 van de Ven, V. G., Formisano, E., Röder, C. H., Prvulovic, D., Bittner, R. A., Dietz,
293 M. G., Hubl, D., Dierks, T., Federspiel, A., Esposito, F., Di Salle, F., Jansma, B.,
294 Goebel, R. & Linden, D. E. J. The spatiotemporal pattern of auditory cortical
295 responses during verbal hallucinations. *Neuroimage* **27**, 644-655,
296 doi:10.1016/j.neuroimage.2005.04.041 (2005).
- 297 20 Eichele, T., Debener, S., Calhoun, V., Specht, K., Engel, A., Hugdahl, K., Von
298 Cramon, D. Y. & Ullsperger, M. Prediction of human errors by maladaptive changes
299 in event-related brain networks. *Proc. Natl. Acad. Sci. U. S. A.* **105**, 6173-6178,
300 doi:10.1073/pnas.0708965105 (2008).
- 301 21 Alonso-Solís, A., Vives-Gilabert, Y., Grasa, E., Portella, M. J., Rabella, M., Sauras, R.
302 B., Roldán, A., Núñez-Marín, F., Gómez-Ansón, B., Pérez, V., Alvarez, E. &
303 Corripio, I. Resting-state functional connectivity alterations in the default network of
304 schizophrenia patients with persistent auditory verbal hallucinations. *Schizophr. Res.*
305 **161**, 261-268, doi:10.1016/j.schres.2014.10.047 (2015).
- 306 22 Garrison, J. R., Fernyhough, C., McCarthy-Jones, S., Simons, J. S. & Sommer, I. E.
307 Paracingulate sulcus morphology and hallucinations in clinical and nonclinical groups.
308 *Schizophr. Bull.* **45**, 733-741, doi:10.1093/schbul/sby157 (2019).
- 309 23 Buda, M., Fornito, A., Bergström, Z. M. & Simons, J. S. A specific brain structural
310 basis for individual differences in reality monitoring. *J. Neurosci.* **31**, 14308-14313,
311 doi:10.1523/jneurosci.3595-11.2011 (2011).

- 312 24 Shergill, S. S., Brammer, M., Amaro, E., Williams, S., Murray, R. & McGuire, P.
313 Temporal course of auditory hallucinations. *Br. J. Psychiatry* **185**, 516-517,
314 doi:10.1192/bjp.185.6.516 (2004).
- 315 25 van Lutterveld, R., Dieren, K., Schutte, M., Bakker, R., Zandbelt, B. & Sommer, I.
316 E. Brain correlates of auditory hallucinations: Stimulus detection is a potential
317 confounder. *Schizophr. Res.* **150**, 319-320, doi:10.1016/j.schres.2013.07.021 (2013).
- 318 26 Logothetis, N. K. & Pfeuffer, J. On the nature of the BOLD fMRI contrast
319 mechanism. *Magn. Reson. Imaging* **22**, 1517-1531, doi:10.1016/j.mri.2004.10.018
320 (2004).
- 321 27 Steinmann, S., Leicht, G. & Mulert, C. The interhemispheric miscommunication
322 theory of auditory verbal hallucinations in schizophrenia. *Int. J. Psychophysiol.* **145**,
323 83-90, doi:10.1016/j.ijpsycho.2019.02.002 (2019).
- 324 28 Jardri, R., Hugdahl, K., Hughes, M., Brunelin, J., Waters, F., Alderson-Day, B.,
325 Smailes, D., Sterzer, P., Corlett, P. R., Leptourgos, P., Debbané, M., Cachia, A. &
326 Denève, S. Are hallucinations due to an imbalance between excitatory and inhibitory
327 influences on the brain? *Schizophr. Bull.* **42**, 1124-1134, doi:10.1093/schbul/sbw075
328 (2016).
- 329 29 Allen, P., Sommer, I. E., Jardri, R., Eysenck, M. W. & Hugdahl, K. Extrinsic and
330 default mode networks in psychiatric conditions: Relationship to excitatory-inhibitory
331 transmitter balance and early trauma. *Neurosci. Biobehav. Rev.* **99**, 90-100,
332 doi:10.1016/j.neubiorev.2019.02.004 (2019).
- 333 30 Ćurčić-Blake, B., Bais, L., Sibeijn-Kuiper, A., Pijnenborg, H. M., Knegeting, H.,
334 Liemburg, E. & Aleman, A. Glutamate in dorsolateral prefrontal cortex and auditory
335 verbal hallucinations in patients with schizophrenia: A 1H MRS study. *Prog. Neuro-*
336 *Psychopha* **78**, 132-139, doi:10.1016/j.pnpbp.2017.05.020 (2017).
- 337 31 van Den Heuvel, M. P., Mandl, R. C. W., Stam, C. J., Kahn, R. S. & Hulshoff Pol, H.
338 E. Aberrant frontal and temporal complex network structure in schizophrenia: A graph
339 theoretical analysis. *J. Neurosci.* **30**, 15915-15926, doi:10.1523/jneurosci.2874-
340 10.2010 (2010).
- 341 32 Hugdahl, K., Craven, A. R., Nygård, M., Løberg, E.-M., Berle, J. Ø., Johnsen, E.,
342 Kroken, R., Specht, K., Andreassen, O. A. & Ersland, L. Glutamate as a mediating
343 transmitter for auditory hallucinations in schizophrenia: A 1H MRS study. *Schizophr.*
344 *Res.* **161**, 252-260, doi:10.1016/j.schres.2014.11.015 (2015).
- 345 33 Stoyanov, D., Stieglitz, R.-D., Lenz, C. & Borgwardt, S. in *New developments in*
346 *clinical psychology research* (eds D. Stoyanov & R.-D. Stieglitz) 195-209 (Nova
347 Science Publishers, New York, 2015).
- 348 34 Dougall, N., Maayan, N., Soares-Weiser, K., McDermott, L. M. & McIntosh, A.
349 Transcranial magnetic stimulation (TMS) for schizophrenia. *Cochrane Database Syst.*
350 *Rev.*, doi:10.1002/14651858.cd006081.pub2 (2015).
- 351 35 Nathou, C., Simon, G., Dollfus, S. & Etard, O. Cortical anatomical variations and
352 efficacy of rTMS in the treatment of auditory hallucinations. *Brain Stimul.* **8**, 1162-
353 1167, doi:10.1016/j.brs.2015.06.002 (2015).

Table 1

Table 1 shows clusters and local maxima obtained by block analysis in the present study, with corresponding activations from Jardri, et al.¹⁶ and Kompus, et al.¹⁷. Clusters in the novel data are thresholded non-parametrically at $Z > 4.5$; corrected cluster significance is reported, thresholded at $p > 0.05$ and extent > 20 voxels.

Present Study	p	ext	MNI coord (mm)				Jardri et al. [16]				Kompus et al. [17]			
			x	y	z		x	y	z		x	y	z	
Inferior Frontal Gyrus, pars opercularis	BA44 L	3.8x10 ⁻⁴	43	-56	12	8	A Broca's convolution				-50	13	5	
Precentral Gyrus	BA44 L		-58	6	9		Precentral gyrus				-57	2	13	
Central Opercular Cortex/Insula	BA44 L	5.8x10 ⁻⁵	65	-44.8	0.7	5.1	Anterior insula				-44	2	3	Insula
							B Hippocampus/ parahippocampal gyrus				-26	-31	-10	Hippocampus
Thalamus	L	3.7x10 ⁻⁴	21	-13	-22.5	7.5								
Inferior Frontal Gyrus, pars opercularis	BA45 R	7.4x10 ⁻⁵	56.8	19.6	4.8									Inferior frontal gyrus
														Superior frontal gyrus
							C Anterior insula				47	10	-10	
							Frontal Operculum				44	17	-17	
							D Superior and middle temporal gyri				-56	-45	14	
Supramarginal Gyrus, posterior division	BA22 L	5.3x10 ⁻⁷	97	-65.2	-50	18.7								Postcentral gyrus
Postcentral Gyrus	BA2 L	6.3x10 ⁻¹³	396	-52	-23.3	38.7								
Postcentral Gyrus	BA2 L		-49.7	-28.5	47.4									
Central Opercular Cortex		1.2x10 ⁻⁵	86	-53.4	-22.7	22	E Supramarginalis gyrus				-55	-20	14	Inferior parietal lobule
														Superior temporal gyrus
														Middle temporal gyrus
														Middle temporal gyrus
														Cerebellum lobule V
Precentral Gyrus	BA6 L	7.7x10 ⁻⁷	125	-56	-6	51								
Precentral Gyrus	BA6 L		-50	-3.5	50									
Precentral Gyrus	BA6 L		-53	-2	45									
Juxtapositional Lobule	BA6 R	4.6x10 ⁻¹³	403	1.5	-2.8	57								
Juxtapositional Lobule Ctx	BA6 R		4.7	8.7	64									
Juxtapositional Lobule Ctx	BA6 R		5	6	60									

Figure 1

Figure 1 shows the results from the standard group-level block-analysis of the BOLD-fMRI data, overlaid with peak activity from the Jardri, et al.¹⁶ and Kompus, et al.¹⁷, meta-analyses, marked respectively with a blue (Jardri) and green (Kompus) 'x', verifying the presently seen activity with activity previously repeatedly reported in the literature.

Figure 2

Figure 2 shows the anatomical localization of the ROI (turquoise) with MNI peak coordinates $x=8.8$, $y=44.8$, $z=-5.64$ mm from where the time-courses were extracted, in the intersection of the paracingulate cortex (demarcated in dark blue), medial inferior frontal cortex (demarcated in green) and frontal pole (demarcated in yellow).

Figure 3

Figure 3 shows filtered time-courses tracked second-by-second around the onset-of-hallucination (red) versus offset-of-hallucination (green) events from the ROI at the intersection of the paracingulate cortex/medial inferior frontal cortex/frontal pole. Grey area shows differential responding for onset- versus offset-events. Time "0" on the x-axis represent the point in time when the button-press occurred. Time -10 represent 10 secs before a button-press and time 15 represents 15 sec after a button-press. Y-axis in international units (iu). See Results section for further details.

Figure 4

Figure 4 shows group-average (blue line) and hemodynamic response function (HRF) - model fit (green line) of time-course data from the nominated ROI in the intersection of the paracingulate cortex/medial inferior frontal cortex and the frontal pole, separated for onset (left upper panel) and offset (left middle panel) events, for the final iteration of filtering. The lower panel show corresponding time-courses for the left pre-central motor cortex (note different y-axis scale). Adjacent panels to the right show group-mean encoded as intensity, for each step (y-axis) of a leave-one-out validation according to which strongly deviant time-courses were rejected. See Methods and Results sections for further details.

Figure 5

Figure 5 illustrates the three possible interpretations of subjects' response patterns. Pattern A is unambiguous, and is matched identically by strict, short and long definitions. Pattern B includes a spurious "offset" event; the strict definition rejects this block, the short definition ends the block at the first offset, and the long definition ends the block at the last offset. Pattern C shows spurious onset events; again this block was rejected by the strict definition, whilst both short and long definitions match from the first "onset" event.

Methods

Subjects

Structural data and functional BOLD data were collected from a total of 66 subjects, of which 45 were diagnosed with an ICD-10 or DSM-IV schizophrenia spectrum disorder. The patients came from three collaborating projects and sites. These were University of Bergen, Norway (n=11, 7 males, mean age 27.8 (SD 7.0) years); Plovdiv Medical University, Bulgaria (n=13, 11 males, mean age 35.3 (SD 14.0) years), Groningen University Medical Center, Netherlands (n=21, 7 males, mean age 39.0 (SD 11.4) years), total 25 males and 19 females, mean age 37.9 (SD 13.2) years. Symptom severity for patients was assessed with the positive and negative syndrome scale (PANSS³⁶). Mean total PANSS score was 64.9 (SD 16.9). In order to be included in the study, patients had to score >3 on the PANSS, P3 hallucinatory behavior item within a week of the MR scanning (mean P3 score 4.6 (SD 1.1)). The patients were all on second-generation antipsychotics (often clozapine), with some patients in addition being prescribed antidepressants and/or anxiolytics. Mean antipsychotic Defined Daily Doses (DDD) were 1.228 (SD 0.578). The total sample also consisted of 21 non-clinical hallucinating individuals (4 males, mean age 44.5 (SD 13.0) years), i.e. in whom a clinical axis I and axis II diagnosis was ruled out using the CASH and SCID-II interview, included in the Groningen University Medical Center sample (for details see¹⁰). This yielded a total sample of 29 males, 37 females, mean age 38.2 (SD 13.0) years. The study was approved by the local ethics committees at each site, and had a European Research Council Ethics Approval (ERCEA 2016-439428). Transfer of data between the sites and re-analysis at the Bergen University was approved by the ethical committees at each site, and further confirmed by the Regional Committee for Medical Research Ethics in Western Norway (REK-Vest #2017/933).

Data collection

Functional MR data were collected with a “symptom-capture” paradigm⁹, where subjects were instructed to press a button when a hallucinatory episode began (onset), and to press another button when the episode ended (offset). The instruction of when to press the buttons was presented visually through LCD goggles mounted on the head-coil, in the language appropriate to the location, along with a fixation cross that was displayed in the middle of the visual field. A time-window was set from 10 seconds before to 15 seconds after the subject had pressed a button, from which voxel-wise data were extracted, analyzed and displayed in a sliding window over the evaluation period. Particulars of the acquisition varied between sites. Gradient Echo Planar Imaging (EPI) was used to collect functional BOLD data on all sites. Data from Bergen were collected using a 3T GE 750 scanner with a 32-, or 8-channel head coil (300 volumes at TR = 2000 ms, total duration 10 min, TE = 30 ms, flip angle 90°, resolution 128 x 128, pixel spacing 1.72 mm, 30 or 26 slices of 3mm thickness with 0.5mm gap). Plovdiv data were collected with a 3T GE 750w scanner and 24-channel head coil (900 volumes at TR=2000 ms (total duration 30 min), TE = 30 ms, flip angle 90°, resolution 64 x 64, pixel spacing 3.44 mm, 34 slices of 3 mm thickness with 0.5 mm gap). Groningen data were acquired on a 3T Philips Achieva scanner, as 800 volumes at TR = 21.75 ms (total duration 8 min, 6 sec), TE = 32.4 ms, 64 x 64, 4 mm voxel size, 40 slices (4 mm thickness), no gap. This scan sequence achieves full brain coverage within 609 ms by combining a 3D-PRESTO pulse sequence with parallel imaging (SENSE) in two directions using a commercial 8-channel SENSE head-coil. A high-resolution structural T1 volume was acquired for each subject, along with additional sequences that varied between sites, of no relevance and are not reported herein.

Motor (button-press) response data

Upon visual inspection of the subjects' button-press data, it was found that a certain number of subjects reported multiple episode-onsets which did not distinctly match to a single end-of-voice event, which required interpretation and operational definition of episodes. We interpreted and operationally defined the relationship between onset- and offset button-responses in three different ways, described and illustrated in Figure 5 below. According to each definition, subjects' button-press response-data were filtered to remove spurious episodes, and extract distinct blocks of hallucinatory vs non-hallucinatory periods. Additional criteria regarding minimal spacing between events ensured the validity of the subsequent analyses.

Operational definition of hallucinatory and non-hallucinatory periods

According to a first possible interpretation and definition, denoted “short blocks”, hallucinatory periods were defined as spanning from the moment a subject first pressed the button indicating the start-of-voice episode, until they first pressed the button to indicate the end-of-voice episode. This yielded 1055 usable blocks across all subjects. In a second interpretation, denoted “long blocks”, hallucinatory periods were defined as spanning from the moment a subject first pressed the button indicating the start-of-voice episode, until the last press indicating the end-of-voice episode before the next reported start-of-voice episode. This yielded 1000 usable blocks across all subjects. The final, “strict blocks” interpretation, accepted only periods unambiguously bounded by a single start-of-voice and a single end-of-voice button-press. This yielded 300 usable blocks across all subjects. Figure 5 shows the different interpretations, illustrated on a representative subject’s response data.

Insert Figure 5 DEFINITION OF RESPONSE BLOCKS about here

Pre-processing of fMRI data

Functional MR data were pre-processed using standard tools from FSL 5.0.11 (FEAT pipeline), with additional filtering for artifacts using the ICA-AROMA method³⁷⁻⁴⁰. Brain-masks for each subject's structural T1-images and functional data were derived using FSL's bet2 tool, with fractional intensity threshold and initial mesh center-of-gravity tuned on a group basis to accommodate differences between the three sites. Individual brain-masks were manually subject to visual quality control to ensure completeness and specificity of the mask. When necessary, brain masking was repeated for a small number of subjects using individually tuned parameters. Next, individual brain-extracted functional data were subject to motion correction, using FSL's MCFLIRT utility^{38,41}. Data were filtered spatially with a 5 mm FWHM Gaussian kernel, and temporally with a 100 sec high-pass filter. They were then registered to individual, brain-extracted high-resolution structural images using FSL's linear registration tool (FLIRT), with the recommended Boundary-Based Registration mode and a restricted (35 degree) search range. Registration was inspected visually for validity; for three subjects where the BBR method performed poorly, a 12-degree-of-freedom registration was substituted. Individual brain-extracted high-resolution structural images were registered to a standard 2mm T1 template in MNI152 space (ICBM152, non-linear, 6th generation), with a 12-degrees-of-freedom linear registration using FSL FLIRT, followed by a nonlinear registration with 10 mm warp resolution using FSL FNIRT. The ICA-AROMA method was applied to filtered, native-space functional data to remove residual signals associated with motion artifacts and noise. Finally, per-subject native-space functional masks were transformed into standard space using non-linear parameters derived from the registration steps; these masks were assessed programmatically to ensure adequate coverage of the brain, with particular attention to prescribed regions of interest (ROIs), see below.

Block analysis

To confirm the validity of the filtered response data, a standard fMRI block-analysis was performed using FSL FEAT first-level and higher-level analysis pipelines⁴², applied for the long block definition. Mixed effects modeling (FLAME 1+2) was used for higher-level analysis; clusters were thresholded non-parametrically at $Z > 4.5$; corrected cluster significance thresholded at $p > 0.05$ and extent > 20 voxels.

Time-course analysis

For each hallucinatory period, identified as demarcating the start or end of a hallucinatory episode, windows of functional data were extracted extending from a time of $t = -10$ sec through to $t = +15$ sec, relative to the moment in time at which the button-press event occurred (set to $t = 0$ sec), as a continuous variable. Since the temporal resolution of functional data is relatively coarse, and also varied between sites in the current dataset, data were sampled at regular 1sec intervals, weighted and normalized according to a Gaussian kernel in the temporal dimension (FWHM = 0.94 sec). An initial principal component analysis (PCA) on grouped, extracted functional segments, guided the selection of ROIs for further inspection and analysis. Cluster locations identified in the functional analysis and those nominated and reported in previous meta-analyses^{16,17} were also inspected for overlaps of activity between the current study and activity reported in the meta-analyses (see Figure 1 and Table 1). For each nominated ROI, and for each of the extracted functional segments, time-course vectors were obtained and spatially averaged over a 5 mm radius sphere, allowing activity in each region to be examined and evaluated in the time-frame leading up to and immediately following a button-press, marking the onset and offset of a hallucinatory episode. Separately for onset and offset events, time-course vectors for each region were aligned in the temporal dimension to the group-average for the respective region (maximizing

cross-correlation). Aberrant time-courses (correlation varying by more than two standard deviations) were rejected, iteratively updating the group to refine an estimated model time-course for the region around onset and offset events as shown in Figure 4. A dual-gamma hemodynamic response-function (HRF) model was thereafter fitted to the refined time-course model, allowing magnitude and timing of any activity-related peak to be identified and statistically evaluated. Random permutation-analysis (n = 5000) was performed to identify differential effects on fit parameters between onset- and offset-events.

4D permutation analysis

The ROI-based analysis was generalized to a full four-dimensional (4D) permutation analysis, characterizing activity specific to onset- or offset-events at each time-point in the extracted window segments, searching across the entire brain volume voxel-wise. Due to the large volume of data and computationally intensive nature of the permutation analysis, it was necessary to develop a new software tool to facilitate this analysis. P-values were extracted (n = 10,000 permutations), along with p-values calculated on a gamma approximation of the obtained distribution⁴³, for each voxel, at each time-point. Initially, time-windows associated with onset- and offset-events were contrasted jointly in the permutation analysis, yielding differential effects for onset and offset events. Subsequently, time-points from offset- and onset-events were separately contrasted against windows extracted around random time-points (without synchronization to subjects' button-responses), as a baseline state.

Methods references:

- 36 Kay, S. R., Fiszbein, A. & Opler, L. A. The positive and negative syndrome scale (PANSS) for schizophrenia. *Schizophr. Bull.* **13**, 261-276, doi:10.1093/schbul/13.2.261 (1987).

- 37 Pruim, R. H. R., Mennes, M., van Rooij, D., Llera, A., Buitelaar, J. K. & Beckmann, C. F. ICA-AROMA: A robust ICA-based strategy for removing motion artifacts from fMRI data. *Neuroimage* **112**, 267-277, doi:10.1016/j.neuroimage.2015.02.064 (2015).
- 38 Jenkinson, M. & Smith, S. A global optimisation method for robust affine registration of brain images. *Med. Image Anal.* **5**, 143-156, doi:10.1016/S1361-8415(01)00036-6 (2001).
- 39 Woolrich, M. W., Ripley, B. D., Brady, M. & Smith, S. M. Temporal autocorrelation in univariate linear modeling of fMRI data. *Neuroimage* **14**, 1370-1386, doi:10.1006/nimg.2001.0931 (2001).
- 40 Jenkinson, M., Beckmann, C. F., Behrens, T. E. J., Woolrich, M. W. & Smith, S. M. FSL. *Neuroimage* **62**, 782-790, doi:10.1016/j.neuroimage.2011.09.015 (2012).
- 41 Jenkinson, M., Bannister, P., Brady, M. & Smith, S. Improved optimization for the robust and accurate linear registration and motion correction of brain images. *Neuroimage* **17**, 825-841, doi:10.1006/nimg.2002.1132 (2002).
- 42 Woolrich, M. W., Behrens, T. E. J., Beckmann, C. F., Jenkinson, M. & Smith, S. M. Multilevel linear modelling for fMRI group analysis using Bayesian inference. *Neuroimage* **21**, 1732-1747, doi:10.1016/j.neuroimage.2003.12.023 (2004).
- 43 Winkler, A. M., Ridgway, G. R., Douaud, G., Nichols, T. E. & Smith, S. M. Faster permutation inference in brain imaging. *Neuroimage* **141**, 502-516, doi:10.1016/j.neuroimage.2016.05.068 (2016).

Data availability statement

The datasets analysed during the current study are not publicly available due to restrictions imposed by Regional Committee for Medical Research Ethics in Western Norway (REK Vest) and the Data Protection Officer of the Western Norway Health Authorities (Personvernombudet) but are available from the corresponding author on reasonable request

Software/Code availability statement

Novel in-house developed software implemented for this study has been made publicly available here: <https://git.app.uib.no/bergen-fmri/functional-transients>. All other stages of analysis were performed using widely-available open-source software, including tools from the FSL suite and additional filtering with the ICA-AROMA method.

Author contributions

KH designed the study, participated in patient recruitment, data analysis and interpretation, wrote the ms, ARC analyzed the data, participated in data acquisition and interpretation,

commented on the ms, EJ participated in patient recruitment, commented on the ms, LE participated in data acquisition and analysis, commented on the ms, DS participated in patient recruitment. commented on the ms, SK participated in patient recruitment, commented on the ms, LBS participated in organization of data and ms and commented on the ms, RAK participated in patient recruitment, commented on the ms, EML participated in patient recruitment, commented on the ms, IES participated in patient and subjects recruitment, data interpretation, and commented on the ms.

Supplementary information

Correspondence and requests for materials should be addressed to Kenneth Hugdahl (hugdahl@uib.no).

Competing interests

The co-authors Kenneth Hugdahl, Alexander R. Craven and lars Ersland own shares in the company NordicNeuroLab, Inc. (<https://nordicneurolab.com/>) that produced add-on equipment used for BOLD-fMRI data acquisition. All authors declare no conflict of interest.

Acknowledgments

The authors want to acknowledge the contribution by MR-technicians and participants for making the study possible.