

1 **A conserved role for SFPQ in repression of pathogenic cryptic last exons**

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7

8 **Abstract**

9 The RNA-binding protein SFPQ plays an important role in neuronal development and
10 has been associated with several neurodegenerative disorders, including ALS, FTLD, and
11 Alzheimer's Disease. Here, we report that loss of *sfpq* leads to premature termination of
12 multiple transcripts due to widespread activation of previously unannotated cryptic last
13 exons (CLEs). These CLEs appear preferentially in long introns of genes with neuronal
14 functions and dampen gene expression outputs and/or give rise to short peptides
15 interfering with the normal gene functions. We show that one such peptide encoded by
16 the CLE-containing *epha4b* mRNA isoform is responsible for neurodevelopmental
17 defects in the *sfpq* mutant. The uncovered CLE-repressive activity of SFPQ is conserved
18 in mouse and human, and SFPQ-inhibited CLEs are found across ALS iPSC-derived
19 neurons. These results greatly expand our understanding of SFPQ function and uncover
20 a new gene regulation mechanism with wide relevance to human pathologies.

21

22 **Keywords:** SFPQ, neurodevelopment, zebrafish, alternative polyadenylation, cryptic
23 exons, ALS, neurodegeneration

24

25

26

27 **Introduction**

28 Neurons are highly polarized cells with specialized compartments that must be
29 able to respond to growth cues as well as to form and modify their synapses in an
30 activity-dependent manner. Each compartment of a neuron is able to achieve functional
31 specificity by maintaining a unique proteome (Holt & Schuman, 2013; Hanus &
32 Schuman, 2013; Cagnetta *et al*, 2018). Protein localization in neurons has been shown
33 to be driven largely by RNA transportation and local translation (Zappulo *et al*, 2017),
34 suggesting that neuronally-expressed genes must have special regulatory mechanisms
35 to ensure proper transcription, localization, and translation of each RNA. Indeed, RNAs
36 from neuronal tissue are regulated by a complex array of alternative splicing, intron
37 retention, and alternative cleavage and polyadenylation (Mauger *et al*, 2016;
38 Traunmüller *et al*, 2016; Furlanis *et al*, 2019; Iijima *et al*, 2019; Taliaferro *et al*, 2016;
39 Ciolli Mattioli *et al*, 2019; Guvenek & Tian, 2018; Tushev *et al*, 2018).

40 Splicing Factor Proline/Glutamine Rich (SFPQ) is a ubiquitously expressed RNA
41 binding protein of the DBHS family with diverse roles in alternative splicing,
42 transcriptional regulation, microRNA targeting, paraspeckle formation, and RNA
43 transport into axons (Patton *et al*, 1993; Dye & Patton, 2001; Kim *et al*, 2011; Cosker *et*
44 *al*, 2016; Bottini *et al*, 2017; Mora Gallardo *et al*, 2019; Takeuchi *et al*, 2018; Knott *et al*,
45 2016). Inactivation of the *sfpq* gene causes early embryonic lethality in mouse and
46 zebrafish as well as impaired cerebral cortex development, reduced brain boundary
47 formation, and axon outgrowth defects (Lowery *et al*, 2007; Thomas-Jinu *et al*, 2017;
48 Takeuchi *et al*, 2018; Saud *et al*, 2017). In humans, *sfpq* mutations have been linked to
49 neurodegenerative diseases such as Alzheimer's, ALS, and FTD, and SFPQ interacts with

50 the ALS-associated RNA binding proteins TDP-43 and FUS (Ke *et al*, 2012; Wang *et al*,
51 2015; Ishigaki *et al*, 2017; Luisier *et al*, 2018; Tyzack *et al*, 2019; Lu *et al*, 2018).

52 While SFPQ is known to play a role in alternative splicing, only a few RNA targets
53 of SFPQ have been identified. Intriguingly, SFPQ has opposing effects on splicing,
54 depending on the target: it represses inclusion of exon 10 of tau and exon 4 of CD45, but
55 conversely it promotes inclusion of the N30 exon of non-muscle myosin heavy-chain II-B
56 (Ray *et al*, 2011; Ishigaki *et al*, 2017; Heyd & Lynch, 2010; Yarosh *et al*, 2015; Kim *et al*,
57 2011). In addition to its role in splicing, SFPQ has been shown to be part of the 3'-end
58 processing complex, where it enhances cleavage and polyadenylation at suboptimal
59 polyadenylation sites (Hall-Pogar *et al*, 2007; Rosonina *et al*, 2005; Shi *et al*, 2009). The
60 mechanisms by which SFPQ regulates mRNA processing are still unclear, however, and
61 more work is necessary to understand its contribution to normal and pathological cell
62 states.

63 To understand the molecular functions of SFPQ in developing neurons, we
64 performed an RNA-seq analysis of *sfpq* homozygous null mutant zebrafish embryos at
65 24 hpf, the stage of phenotypic onset. Our results reveal a novel role for the protein: loss
66 of SFPQ causes premature termination of transcription as a result of previously
67 unannotated pre-mRNA processing events that we refer to as Cryptic Last Exons (CLEs).
68 Here we describe the formation of CLEs and show that not only do the truncated
69 transcripts act as a form of negative regulation of gene expression levels, but they also
70 directly contribute to the *sfpq* pathology. This function of SFPQ is conserved across
71 vertebrates and may be implicated in human SFPQ-mediated disease states.

72
73 **Results**
74

75 **Identification of the SFPQ-dependent splicing regulation program**

76 To examine the effect of SFPQ on gene expression and RNA splicing, we analyzed
77 total RNA extracted from 24 hpf *sfpq*^{-/-} zebrafish embryos and their heterozygous or
78 wildtype siblings by RNA sequencing (RNA-seq). Differential gene expression analysis
79 using Cufflinks RNA-seq workflow (Trapnell *et al*, 2012) uncovered 189 genes that were
80 upregulated and 1044 genes that were downregulated in the mutant samples by a factor
81 of at least 1.3-fold with $q \leq 0.05$ (Figure 1a). These results are consistent with our
82 previous microarray study, which showed the vast majority of genes with differential
83 expression in *sfpq*^{-/-} embryos as being downregulated (Thomas-Jinu *et al*, 2017). Gene
84 ontology (GO) analysis of the new dataset, using total transcribed genes as a background
85 gene set, showed enrichment for neuron-specific terms, including neuronal
86 differentiation and axon guidance (Tables S1 and S2). Using Cufflinks' differential
87 isoform switch analysis, we identified 112 genes with significant change in the relative
88 expressions of splice variants in the mutants ($q \leq 0.05$; Table S3). GO analysis of these
89 regulated transcripts again showed an over-representation of neuron-specific terms
90 including axonogenesis, axon guidance, and dendrite formation (Table S4). Surprisingly,
91 thorough comparison and annotation of these transcripts revealed that 46% of these
92 genes express a splice variant containing a cryptic alternate last exon, not annotated in
93 the zebrafish assembly (Figure S1a). To verify this, we analyzed the dataset with
94 Whippet (Sterne-Weiler *et al*, 2018), a tool that sensitively detects changes in the usage
95 of alternative exons and additionally allows quantitation of gene expression changes.
96 Whippet also uncovered a high proportion of downregulated genes in *sfpq*^{-/-} embryos
97 (Figure 1b). More importantly, the analysis confirmed that splicing of alternate last
98 exons is the most abundant (18.5%) category of SFPQ-regulated splicing events (Figure
99 1c). Systematic classification of these exons into "known" and "cryptic" events
100 corroborates that the majority (113 out of 157) of these last exons have not been

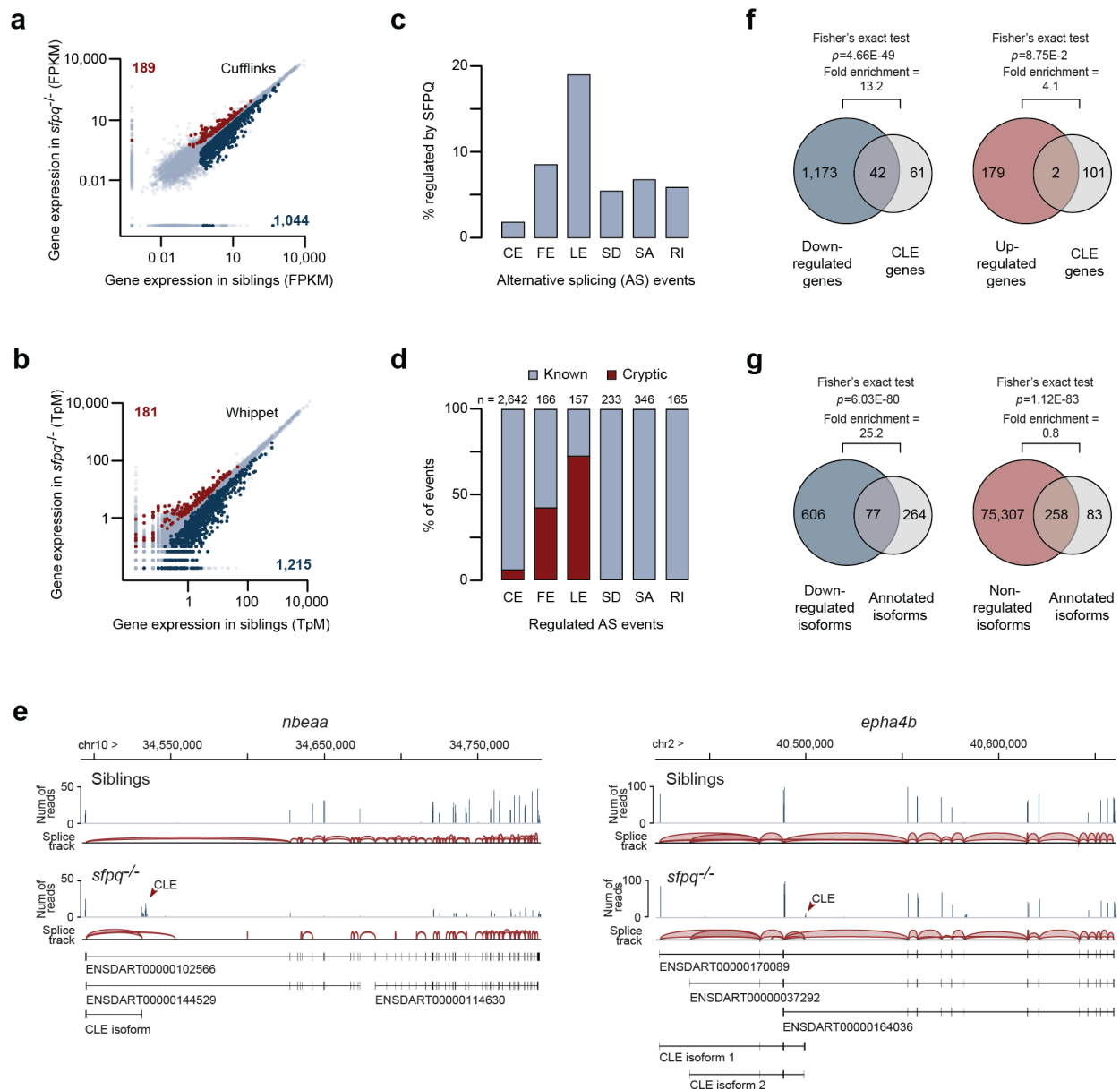


Fig. 1

Figure 1: SFPQ regulates the formation of cryptic last exons (CLEs)

a-b, Scatter plot showing expression values of genes in *sfpq*^{-/-} and siblings, analyzed using Cufflinks (a) or Whippet (b) pipelines.

c, Alternative last exon splicing is highly regulated by SFPQ. CE: cassette exon, FE: first exon, LE: last exon, SD: splice donor, SA: splice acceptor, RI: retained intron.

d, Majority of SFPQ-regulated last exon events are cryptic.

e, Sashimi plots showing example CLE formation in *nbeaa* and *epha4b*. Top tracks: plot of read coverage from siblings (upper) and *sfpq*^{-/-} (lower). Bottom tracks: isoforms discovered for each gene.

f, Genes expressing CLE-containing isoforms tend to be down-regulated in *sfpq*^{-/-}.

g, Normal long isoforms (annotated isoforms) from CLE-expressing genes tend to be down-regulated in *sfpq*^{-/-}.

101 previously annotated (Figure 1D, Table S5). These last exons were expressed from 106
102 genes, 25 of which were also detected by the Cufflinks pipeline (Figure S1b). We refer to
103 this pervasive splicing defect, in which the transcript undergoes premature termination
104 after the inclusion of a cryptic exon, as Cryptic Last Exons (CLEs) (Figure 1e).

105

106 **The use of CLEs inversely correlates with expression of full-length transcripts**

107 Of the 106 CLE-expressing genes, 97% exhibited increased splicing of CLEs in
108 *sfpq*^{-/-} (Table S5). Notably, more than half of these genes were downregulated in mutants
109 (~13 fold enrichment over the number of genes expected by chance; Fisher's exact test
110 $p=4.66 \times 10^{-49}$) indicating concurrent alterations in expression level and splicing for
111 these genes (Figure 1f). In line with this finding, the full-length (non-CLE) isoforms from
112 these genes showed an even stronger enrichment for the downregulation effect
113 (exceeding the expectation ~25-fold; Fisher's exact test $p=6.03 \times 10^{-80}$) (Figure 1g). To
114 verify these results, we performed RT-qPCR on five selected CLE-containing genes:
115 *nbeaa*, *gdf11*, *epha4b*, *trip4*, and *b4galt2*. In all cases, cryptic exons showed a substantial
116 increase in expression level in *sfpq*^{-/-} mutants compared to siblings (Figure S1c-g).
117 Additionally, we detected a strong downregulation of the full-length isoforms in four of
118 the five genes, suggesting that the loss of *sfpq* causes upregulation of the CLE isoforms at
119 the expense of their normal counterparts (Figure S1d-g). These results argue that SFPQ
120 is required to repress CLE splicing in order to maintain stable gene expression.

121

122 **CLEs tend to occur in long introns and show evidence of interspecies conservation**

123 In order to understand under what conditions CLEs form, we examined CLE-
124 containing introns and compared them to all other introns from the same genes. We
125 first asked where CLE-containing introns are found within their genes and found no bias

126 (Figure 2a). However, when we ranked the introns by length, we found that CLEs are
127 frequently located in the longest intron of the gene (Figure 2b). CLE-containing introns
128 are also significantly longer than the average intron size in the entire zebrafish
129 transcriptome (Figure 2c). Consistent with these results, CLE-containing genes are
130 significantly longer than average zebrafish genes (Figure S2). Within the intron, location
131 of the CLE is biased toward the 5' end, with most appearing approximately 22.4% (95%
132 confidence interval of 18.1% to 26.7%) of the way into the intron (Figure 2d). The
133 distance between the CLE and the upstream exon is generally <10 kb (Figure 2e). We
134 next asked whether the sequences within and neighboring these CLEs are conserved. To
135 this end, we calculated the mean conservation scores of 1 kb sequences (sliding window,
136 1 bp steps) along these CLE-containing introns, using the PhastCons analysis method
137 (Siepel *et al*, 2005). Our analyses showed that sequences containing CLEs tend to have
138 higher conservation scores as compared to sequences within the same intron that do not
139 contain CLEs (Figure S2b). In fact, 18% of these sequences displayed a mean PhastCons
140 score of at least 0.5 (as opposed to 12% of non-CLE sequences; Fisher's exact test: $5.71 \times$
141 10^{-51}) (Figure S2c). Next, we calculated the mean base conservation scores of each CLE
142 together with 250 bp flanking sequences (Figure 2f and S2d). Although only 35% of the
143 CLEs had a PhastCons score of at least 0.5, the sequences near its 3' acceptor site
144 showed the highest conservation (Figure 2f). Together, these data indicate that CLEs are
145 often found close to the 5' ends of very long introns and that at least some of these exons
146 are evolutionarily conserved.

147

148 **CLE-terminated transcripts are cleaved and polyadenylated at the 3' end**

149 Our data thus far suggests that these cryptic transcripts are stably expressed and
150 detectable using RNA-seq and RT-qPCR techniques. Sequence analyses revealed that

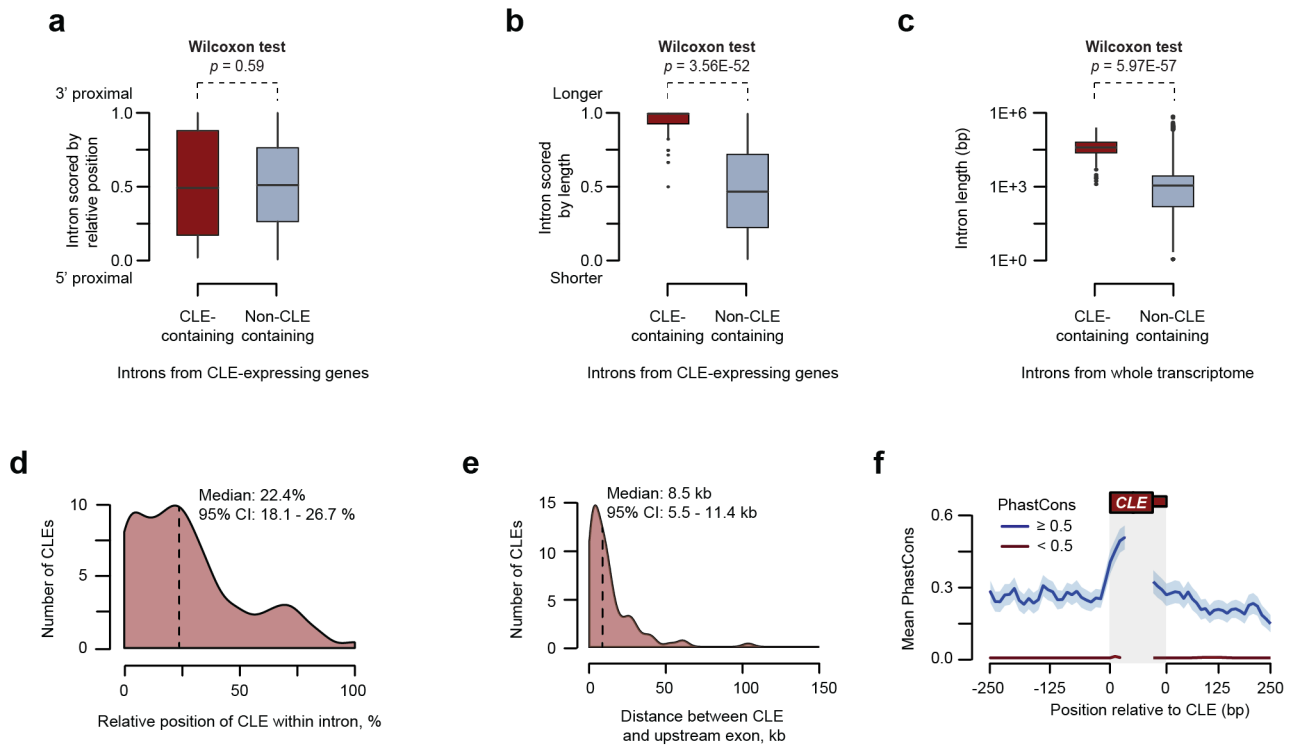


Fig. 2 (a-f)

Figure 2 (a-f): Molecular properties of CLEs

a-b, Introns from CLE-expressing genes were scored by its relative position (a) and by its relative length (b), and the distribution of these scores were plotted. Note that introns containing CLE tend to be long and sparsely distributed.

c, CLE-containing introns are longer than average introns. Length of CLE-containing introns is compared to all other introns from the zebrafish transcriptome.

d, CLEs tend to be found closer to the 5' end of its intron.

e, CLEs are found within 10 kb of the upstream constitutive exon.

f, Line-plot showing the conservation score of sequences surrounding conserved (blue) and non-conserved (red) CLEs. 280 bp of surrounding intron/CLE junction sequence (250 bp intron and 30bp exon) were binned into 10 bp windows and the mean PhastCons score for each bins were shown (\pm SEM).

g

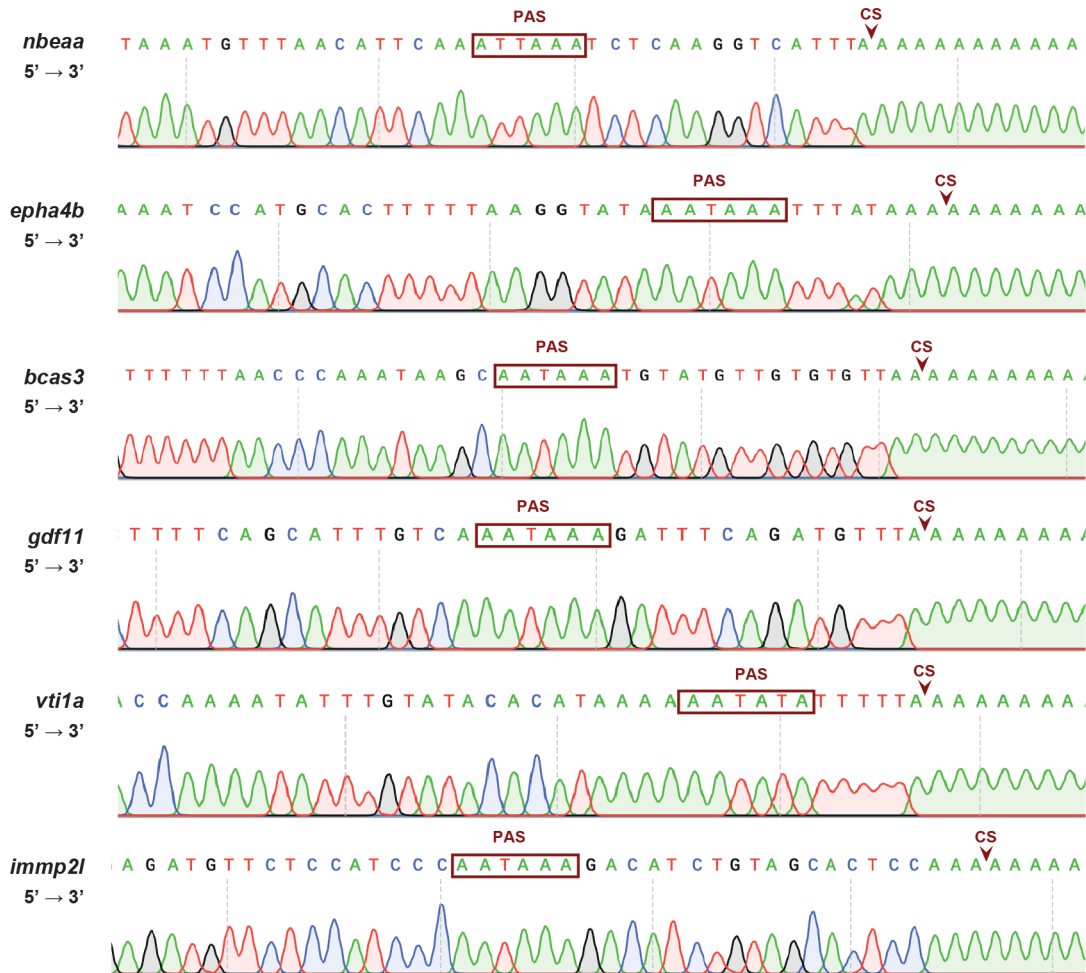


Fig. 2 (g)

Figure 2 (g): Molecular properties of CLEs

g, Sanger sequencing of 3'RACE PCR products of CLE isoforms. PAS hexamers are shown within red boxes and the predicted cleavage site are marked by arrowheads.

151 63% of these transcripts contain an open reading frame predicted to express truncated
152 peptides with missing C-terminal domains (Table 6). To test if CLE transcripts are
153 polyadenylated, we performed 3' RACE on six CLE-containing transcripts: *bcas3*, *epha4b*,
154 *gdf11*, *immp2l*, *nbeaa*, and *vti1a*. We found that all six showed elements of strong
155 polyadenylation sites (Shi & Manley, 2015): four of the six exons had canonical AAUAAA
156 hexamers just upstream of the cleavage site, while the other two had common one-base
157 substitutions of AUUAAA and AAUAUA. In addition, five of the six contained
158 downstream GUGU sequences, while two also had an upstream UGUA. Although none of
159 the exons had a canonical CA sequence directly 5' of the cleavage site, overall the cryptic
160 exons displayed strong polyadenylation sequences.

161

162 **SFPQ directly binds to sequences adjacent to CLEs**

163 The accumulation of CLE-terminated transcripts in *sfpq* mutants raises the
164 question of whether SFPQ represses CLEs in a direct manner. SFPQ binds promiscuously
165 to a wide range of RNA sequences (Yarosh *et al*, 2015; Knott *et al*, 2016), making binding
166 prediction difficult. Using a binding motif produced by a recent *in vitro* study (Ray *et al*,
167 2013), however, we found a significant enrichment in predicted SFPQ binding sites
168 upstream of cryptic exon sequences compared to control last exons (Figure 3a). To
169 validate this, we purified SFPQ-RNA complexes in 24 hpf embryos using standard CLIP
170 protocol and quantified the relative amount of bound CLE RNA fragments using RT-
171 qPCR. Our results confirmed that SFPQ binds either within the CLE or in adjacent 5' or 3'
172 intronic regions of at least three CLE transcripts (Figure 3b-d). These results support
173 the idea that SFPQ directly binds to region surrounding CLEs to regulate their inclusion.

174

175 **CLEs can dampen the expression of full-length transcripts**

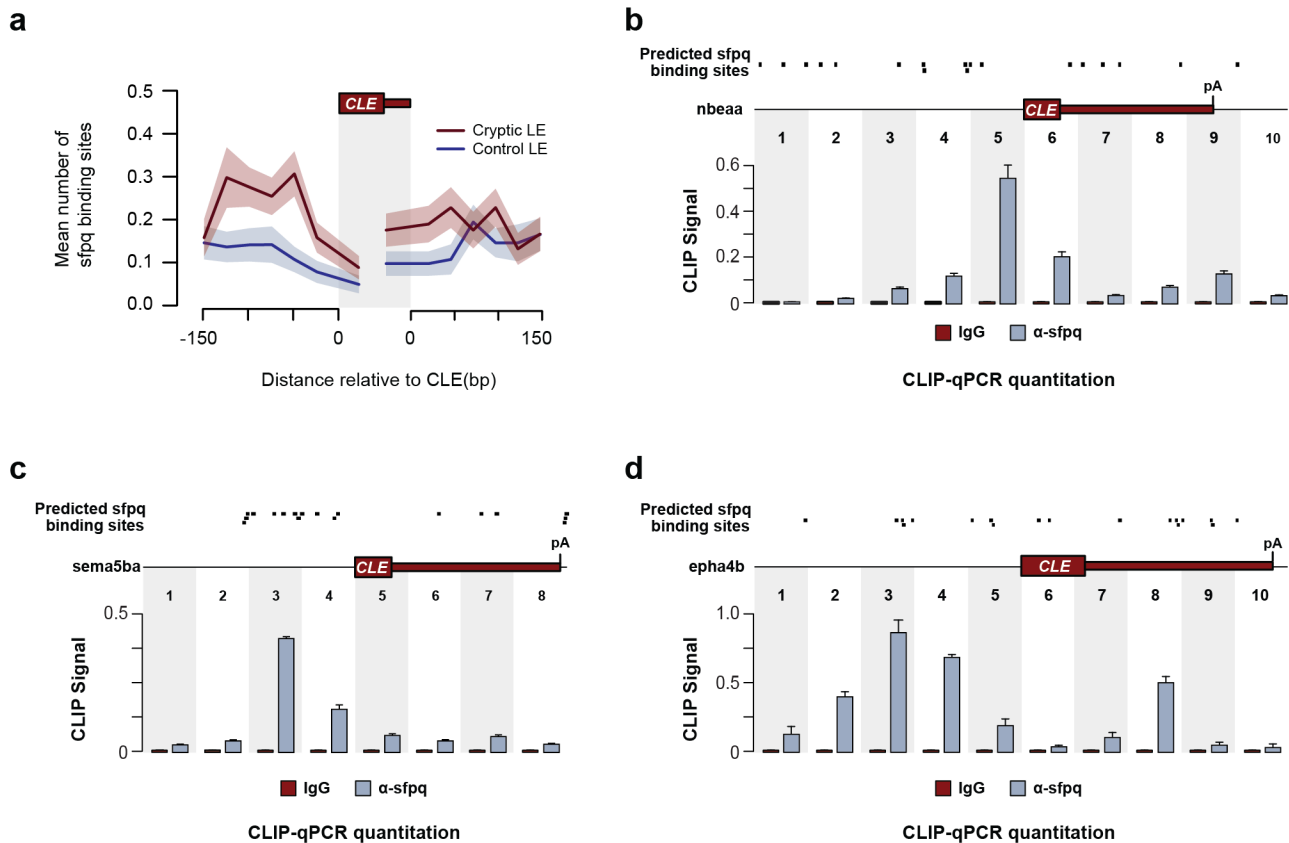


Fig. 3

Figure 3: SFPQ directly binds to RNA adjacent to CLE sequences

a, Line plot showing the distribution of predicted SFPQ-binding sites surrounding CLEs (red) and constitutive last exons of each CLE-containing gene (blue). 200 bp of surrounding intron/CLE junction sequence (150 bp intron and 50bp exon) were binned into 50 bp windows and the mean number of predicted motifs were shown (\pm SEM).

b-d, Top: Location of SFPQ binding motifs predicted using MEME suite. Bottom: RT-qPCR quantitation showing the relative enrichment of SFPQ-interacting regions surrounding CLEs. Abundance of SFPQ- or IgG(control)-crosslinked RNAs were normalized to input and the mean value from three replicates were shown (\pm SD).

176 The reciprocal relationship between CLEs and the abundance of full-length
177 transcripts (Figure 1f) suggests that these exons may act as negative regulators of gene
178 expression. If production of CLE transcripts is a mechanism for down-regulating the
179 normal full-length transcripts, then eliminating the cryptic exon in *sfpq*^{-/-} mutants
180 should rescue their expression. To test this possibility, we used the gene *b4galt2* as case
181 study, as it shows a very strong loss of expression of its three normal isoforms in the
182 mutant (Figure S1f). We used CRISPR/Cas9 to delete the *b4galt2* CLE, injecting Cas9
183 along with two guide RNAs that targeted directly upstream of the cryptic exon and at the
184 3' end of the exon (Figure 4a). Injected founder embryos (crispants) will show
185 mosaicism, so a complete loss of the cryptic exon would not be expected in every cell of
186 the embryo. Despite mosaicism, PCR analysis of the “crispants” showed a strong
187 deletion band for six out of eight tested embryos (Figure 4a). Encouraged by the high
188 efficiency of the gRNAs, we performed RT-qPCR on pooled injected *sfpq*^{-/-} embryos to
189 measure the expression levels of the normal *b4galt2* transcripts and saw a significant
190 rescue of the longer transcripts compared to the uninjected *sfpq*^{-/-} control (Figure 4a).
191 This result did not hold true with two other CLEs we deleted (example *gdf11* CLE, Figure
192 S4a). We concluded that CLEs can regulate expression levels of at least some of the
193 genes containing these exons.

194

195 **Truncated protein derived from CLE-containing *epha4b* transcripts accounts for**
196 **the boundary defects in *sfpq*^{-/-} brain**

197 In addition to affecting the expression levels of normal isoforms, CLE transcripts
198 could impact the *sfpq* phenotype through aberrant functions of the truncated RNAs or
199 the short peptides they produce. We focused on the candidate gene *epha4b*, which
200 expresses a CLE-containing short mRNA in *sfpq* null embryos, while showing no change

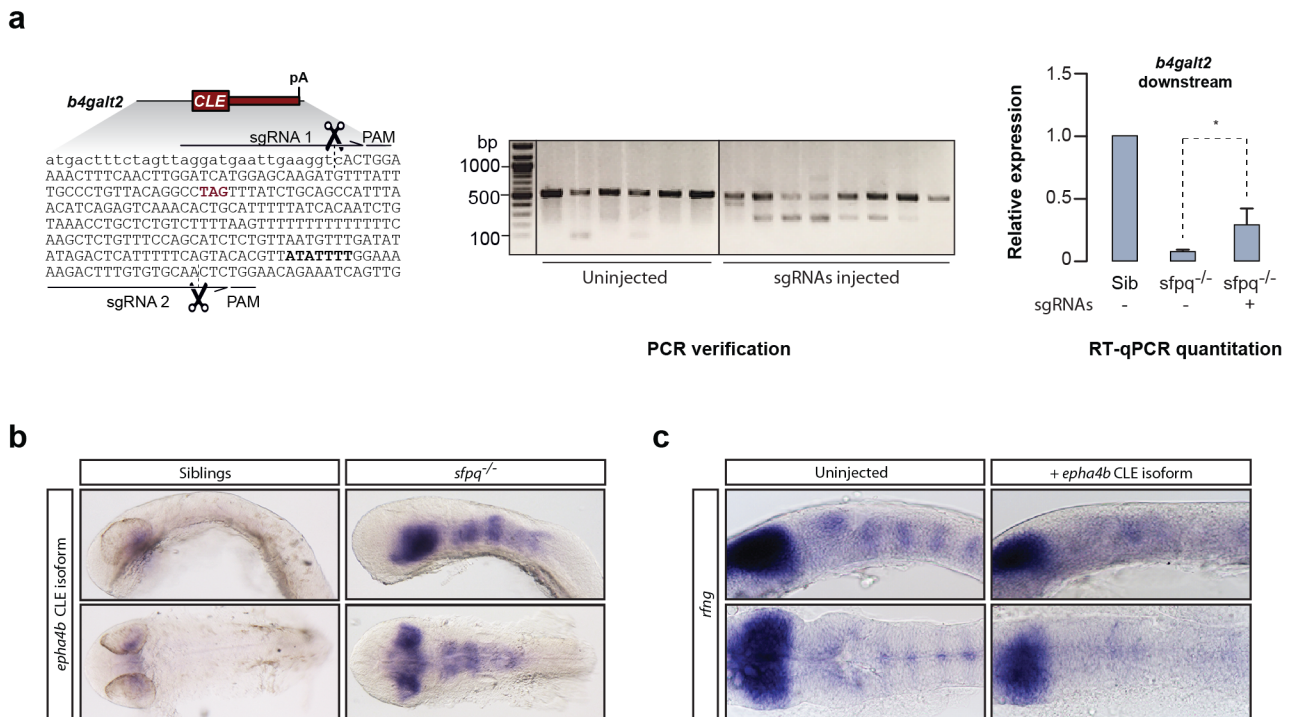


Fig. 4 (a-c)

Figure 4 (a-c): CLE formation is functionally relevant

a, Deletion of the *b4galt2* CLE using CRISPR/Cas9 rescues expression of downstream exons. Left: cut sites of the *b4galt2* sgRNAs. CLE is indicated by capital letters. Center: PCR verification of Cas9 cleavage after injection of sgRNAs. Right: RT-qPCR quantitation of the relative expression of the downstream *b4galt2* exons in *sfpq*^{-/-} embryos compared to siblings.

b, in-situ hybridization of the *epha4b* CLE at 24 hpf, displaying strong expression in the midbrain and hindbrain of *sfpq*^{-/-} embryos.

c, in-situ hybridization of *rfg* shows rhombomere boundary defects at 22ss after injection of the *epha4b* cryptic transcript into WT embryos

b-d, Upper: lateral view. Lower: dorsal view.

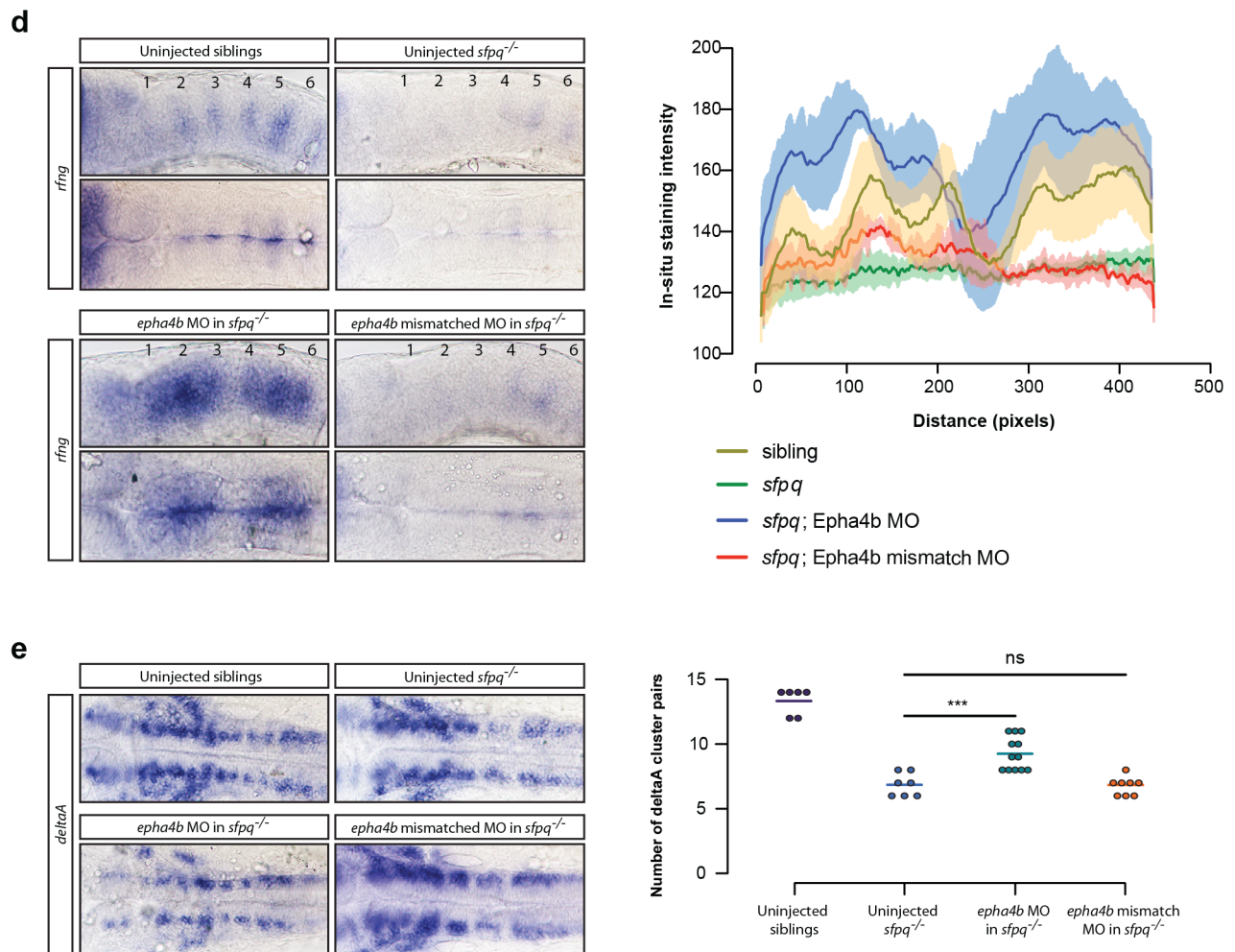


Fig. 4 (d-e)

Figure 4 (d-e): CLE formation is functionally relevant

d, Left: in-situ hybridization of *rfg* shows rhombomere boundary defects of *sfpq*^{-/-} embryos are rescued by injection of the *epha4b* cryptic splice junction morpholino but not a mismatch morpholino. Rhombomere boundaries are numbered. Right: quantification of staining in rhombomeres in three lateral view samples for each condition

e, Left: in-situ hybridization of *DeltaA* shows a loss of discrete neuronal clusters in *sfpq*^{-/-} which is rescued by injection of the *epha4b* cryptic splice junction morpholino but not a mismatch morpholino. Right: Quantification of number of *DeltaA* clusters in each condition.

b-d, Upper: lateral view. Lower: dorsal view.

201 in expression of the normal transcripts (Figure S1c). This gene is one of two zebrafish
202 paralogues of the human ALS-associated gene *Epha4* (Van Hoecke *et al*, 2012; Wu *et al*,
203 2017), coding for a protein-tyrosine kinase of the Ephrin receptor family known to
204 regulate hindbrain boundary formation (Cooke *et al*, 2005; Kemp *et al*, 2009). Truncated
205 forms of EPH receptors have been shown to act as dominant negatives by competing
206 with full-length versions of the protein for ligand binding (Smith *et al*, 2004). The
207 predicted peptide produced by the *epha4b* CLE-containing short transcript would
208 contain the ligand binding domain but not the transmembrane and intracellular
209 domains and thus would be predicted to be a dominant negative (Table S6).

210 To assess possible effects of the shortened *epha4b*, we first performed an *in-situ*
211 hybridization using a probe for the cryptic exon. We found that in *sfpq*^{-/-} embryos, but
212 not in siblings, the *epha4b* CLE was expressed strongly in the midbrain and hindbrain
213 (Figure 4b), where the gene is normally transcribed at that developmental stage. We
214 then tested whether, in wildtype fish, injection of the CLE transcript would induce
215 defects in the midbrain or hindbrain. Using the early hindbrain boundary marker *rfng*,
216 we found that injection of the short *epha4b* transcript did not affect formation of the
217 midbrain but did cause a loss of hindbrain rhombomere boundaries similar to that seen
218 in the *sfpq*^{-/-} mutant (Figure 4c).

219 We then asked whether repressing the *epha4b* CLE in *sfpq*^{-/-} embryos could
220 rescue the *sfpq* hindbrain defect. We used a splice junction morpholino (MO) that
221 targeted the 3' splice acceptor site of the CLE to prevent the cryptic exon from being
222 used in *sfpq* mutants. Although MOs frequently have off-target effects, those effects are
223 generally the opposite of what we would expect to see from a rescue (i.e. increased cell
224 death and off-target phenotypes, never rescue of phenotypes). However, as MOs have
225 been shown to have some phenotypic effects on the hindbrain (Gerety & Wilkinson,

226 2011), we used mismatch controls to ensure that our results were specific to the *epha4b*
227 CLE splice-MO. We tested the MO efficiency using RT-PCR with primers both within the
228 cryptic exon and across the exon junction (Figure S4b). We then examined the effects of
229 the MO on hindbrain development using both the boundary-specific *rfnq* marker (Figure
230 4d) and the pan-neuronal marker DeltaA (Figure 4e). We saw that the CLE splice
231 junction MO, but not the mismatch control, rescued formation of rhombomere
232 boundaries in *sfpq*^{-/-} mutants. Taken together, these results indicate that the hindbrain
233 boundary defect in *sfpq*^{-/-} embryos can be explained by the dominant-negative effects of
234 the *epha4b* CLE transcript.

235

236 **Repression of CLEs by SFPQ is conserved across vertebrates and relevant to**
237 **human neuropathologies.**

238 As our analysis of the *sfpq* loss-of-function phenotype was performed solely in
239 zebrafish, we wondered whether SFPQ repressed CLEs in other organisms. Accordingly,
240 we turned to publicly available RNA-seq datasets from *sfpq* loss-of-function
241 experiments. A conditional mouse knock-out model (Takeuchi *et al*, 2018) inactivated
242 *Sfpq* in the cerebral cortex. Examining mouse orthologs of zebrafish CLE-containing
243 genes, we were able to identify CLE formation in mouse *Sfpq*-null brains for *Epha4b*,
244 *Cpped1*, *Fam172a*, and *Exoc4* (Figure 5a and S5). Overall, we identified 144 instances of
245 upregulation of CLEs in the cortical *Sfpq* knockout (Table S7). Examination of the CLE-
246 containing introns showed results similar to those for the zebrafish CLEs: the CLE-
247 containing introns have a bias towards appearing earlier in the gene, they are often
248 embedded within the largest intron in a gene, and their host introns are significantly
249 larger than the average mouse intron (Figure 5b).

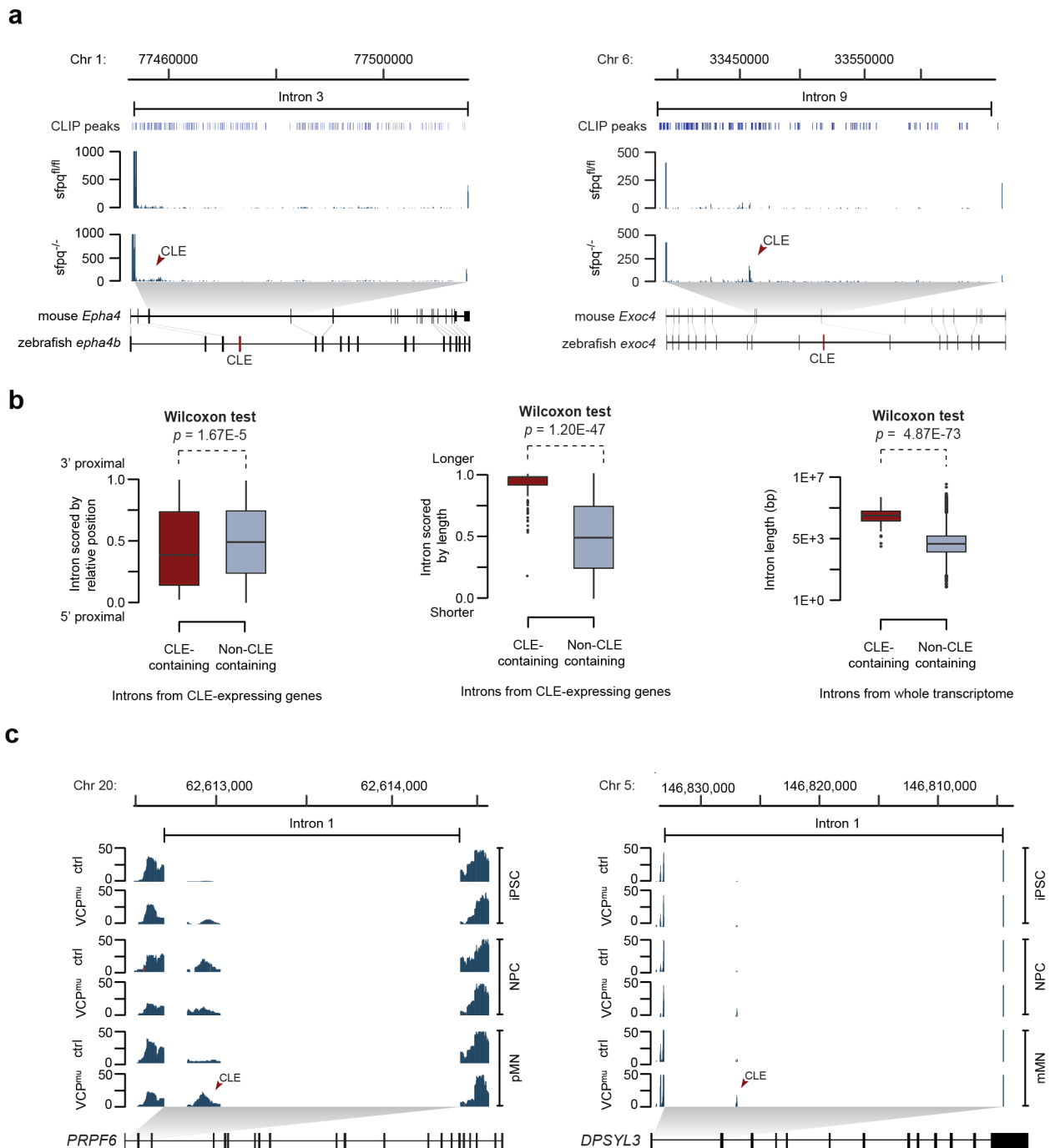


Fig. 5

Figure 5: The CLE-repressing function of SFPQ is conserved in mouse and human

a, Meta-analysis of RNA-seq and CLIP-seq dataset from conditional *Sfpq* knockout mice for cryptic last exons. Top: distribution of *Sfpq* CLIP peaks within the CLE-containing intron. Middle: tracks showing read coverage plots and “sashimi” plots from *Sfpq*^{fl/fl} and *Sfpq*^{-/-} mice. Bottom: exon architecture of orthologous CLE-expressing genes. Homologous regions between orthologues are shown as connecting lines.

b, Introns from mouse CLE-expressing genes were scored by its relative position (left) and by its relative length (mid), and the distribution of these scores were plotted. Note that introns containing CLE tend to be long and sparsely distributed. Right: CLE-containing introns are longer than average introns. Length of CLE-containing introns is compared to all other introns from the mouse transcriptome.

c, Representative RNA-seq coverage plots from ALS-derived iPSC dataset of CLEs up-regulated in VCP^{mu} samples.

250 As SFPQ has been recently linked with ALS in human, we also examined RNA-seq
251 results from iPSCs derived from ALS patients, which show loss of nuclear SFPQ
252 expression (Luisier *et al*, 2018). In total, we found 76 CLE events up-regulated in ALS-
253 mutant backgrounds across the neuronal differentiation stages (Table S8). This is
254 probably an underestimation since the sequencing depth in this dataset was somewhat
255 lower than that in the mouse knockout study. Interestingly, CLEs spliced from PRPF6
256 and DPYSL3 genes showed consistent up-regulation in three time-points (Figure 5c).
257 The latter gene is involved in positive regulation of axon guidance and genetic variants
258 of this gene have been previously implicated in ALS patients (Blasco *et al*, 2013). These
259 results indicate that CLE repression is a conserved function of SFPQ, and that CLE-
260 dependent short transcripts may have a substantial impact on SFPQ-mediated disease
261 states.

262

263

264 **Discussion**

265 Our study uncovers a critical role of SFPQ in repression of cryptic last exons
266 (CLEs). We show that truncated transcripts appearing as a result of increased use of
267 CLEs are functionally relevant both as regulators of gene expression output and as a
268 source of interfering protein isoforms. Moreover, the CLE-repressing function of SFPQ is
269 conserved in mouse and human, indicating an important developmental role, with
270 implications for human pathology.

271

272 **Mechanism of CLE formation**

273 The presence of strong polyadenylation sites in CLE sequences suggests that the
274 paucity of CLE-containing isoforms under normal physiological conditions is due to
275 active suppression of CLE cleavage/polyadenylation or/and splicing. Our CLIP-seq
276 experiments provide evidence for SFPQ binding within or directly adjacent to CLEs.
277 Moreover, the bias of CLEs towards the 5' end of long introns is consistent with previous
278 analyses of SFPQ localization on RNA (Takeuchi *et al*, 2018). These data argue that SFPQ
279 may play a direct role in repressing cryptic exon formation. However, further work will
280 be required to distinguish between suppression of splicing versus blocking of the
281 polyadenylation site.

282 The relationship between SFPQ and CLEs extends our understanding of the
283 regulation possibilities afforded by long introns. Indeed, long introns have been
284 previously shown to control gene expression through interplay between premature
285 cleavage/polyadenylation and the U1 snRNP-dependent antitermination mechanism
286 known as "telescripting" (Langemeier *et al*, 2013; Oh *et al*, 2017; Venters *et al*, 2019;
287 Kainov & Makeyev, 2020). SFPQ-mediated CLE repression also operates in long introns
288 (Figure 2b) but, unlike telescripting, CLE involves definition of a last exon possibly via

289 interactions between the U2 snRNP and U2AF with the cleavage/polyadenylation
290 machinery (Martinson, 2011). Moreover, inactivation of U1 often promotes
291 cleavage/polyadenylation relatively close to the 5' end of the gene, whereas CLEs do not
292 show such a gene location bias.

293 Long introns have been also shown to be subject to recursive splicing (RS), a
294 multistep process promoting accuracy and efficiency of intron excision (Sibley *et al*,
295 2015; Blazquez *et al*, 2018). Like CLEs, RS-sites appear primarily in long introns in
296 genes with neuronal function. RS-sites initially produce an RS-exon that is spliced to the
297 upstream exon prior to being excised at the subsequent round of splicing reactions.
298 However, RS-exons do not contain polyadenylation sequences, so inclusion of the exon
299 would not lead to truncation of the transcript. In addition, recursive splicing creates a
300 stereotypical saw-tooth pattern of RNA-seq reads, which is not seen in the *sfpq*^{-/-} RNA-
301 seq data set. Therefore, SFPQ and CLEs provide a distinct regulation modality compared
302 to telescripting and recursive splicing.

303

304 **Pathology of cryptic transcripts**

305 A notable feature of the SFPQ-repressed CLEs is the detrimental effect that they
306 have on the function of their host genes. We previously showed that loss of *sfpq* leads to
307 an array of morphological and neurodevelopmental abnormalities in zebrafish embryos,
308 including loss of brain boundaries and altered motor axon morphology (Thomas-Jinu *et*
309 *al*, 2017). However, the mechanism by which those abnormalities formed was
310 unresolved. Here, we found that CLEs contribute to at least one aspect of the *sfpq*
311 phenotype: the dominant negative *epha4b* truncated transcript induces hindbrain
312 boundary defects. Moreover, a subset of the identified CLE-dependent short transcripts
313 identified in *sfpq*^{-/-} is predicted to affect axon growth and connectivity.

314 While CLE formation is clearly detectable under pathological conditions of loss of
315 *sfpq*, our data do not preclude the possibility of CLEs being expressed under non-
316 pathological conditions. Although the CLEs are not annotated in the current zebrafish,
317 mouse, and human genomes, it is possible that they may be regulated in a spatio-
318 temporal manner such that they only appear in specific tissues and/or at specific
319 developmental time points. Indeed, this possibility is supported by the relatively low
320 expression of SFPQ in non-neuronal tissue (Thomas-Jinu *et al*, 2017; Lowery *et al*, 2007),
321 and by low-level detection of the *epha4b* CLE transcript in siblings by PCR (Figure S4B).
322 Early termination of long pre-mRNAs has been shown to be a developmentally
323 controlled regulatory mechanism: the RNA-binding protein Sex-lethal promotes the
324 formation of truncated transcripts during short nuclear cycles in *Drosophila* (Sandler *et*
325 *al*, 2018), and downregulation of the cleavage and polyadenylation factor PCF11 during
326 differentiation of mouse C2C12 myoblast cells suppresses intronic polyadenylation to
327 promote long gene expression (Wang *et al*, 2019). Further examination of CLE
328 expression in wildtype animals across development may identify possible role of these
329 truncated transcripts in normal tissues.

330

331 **Cryptic exons in neurodegenerative disease**

332 Neurodegenerative diseases such as Alzheimer's, ALS, and FTD are frequently
333 characterized by altered localization and function of splicing factors (Tyzack *et al*, 2019;
334 Ling *et al*, 2013; Neumann *et al*, 2006; Nag *et al*, 2018). The ALS-associated proteins
335 transactivation response element DNA-binding protein 43 (TDP-43) and fused in
336 sarcoma (FUS) regulate alternative splicing and alternative polyadenylation (Ishigaki *et*
337 *al*, 2012; Masuda *et al*, 2016; Deshaies *et al*, 2018; Melamed *et al*, 2019; Klim *et al*, 2019;
338 Ling *et al*, 2015). TDP-43 has been shown to act as a repressor of cryptic exons, a

339 minority of which contain polyadenylation sites and thus would form CLEs (Ling *et al*,
340 2015). Stathmin-2 is one of the latter and rescue of its normal full-length expression in
341 TDP-43-knockdown cell culture improves axonal growth in this model (Melamed *et al*,
342 2019; Klim *et al*, 2019), indicating that CLEs are pathogenic across various splicing
343 protein-dependent pathologies. These findings place CLEs at the center of priority for
344 understanding molecular mechanisms of neurodegenerative diseases and developing
345 new ways to diagnose and treat these increasingly prevalent disorders.

346

347

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353

354 **Materials and Methods**

355 *Zebrafish husbandry*

356 Zebrafish (*Danio rerio*) were reared in accordance with the Animals (Scientific
357 Procedures) Act 1986. Fish were maintained on a 14 hr light/10 hr dark cycle at 28°C.
358 Embryos were cultured in fish water containing 0.01% methylene blue to prevent fungal
359 growth. Wildtype fish were AB strain from the Zebrafish International Resource Center
360 (ZIRC), while *sfpq* null mutants were *sfpq*^{kg41} (Thomas-Jinu *et al*, 2017).

361

362 *RNA-seq*

363 RNA was extracted from 24 hpf *sfpq*^{-/-} embryos and their heterozygous or
364 homozygous wildtype siblings using the RNeasy Mini Kit (Qiagen). RNA was sequenced
365 using the Illumina HiSeq 2500 with 50bp paired-end reads.

366

367 *3' RACE*

368 RNA was extracted from 24 hpf *sfpq*^{-/-} embryos using the RNeasy Mini Kit
369 (Qiagen). Reverse transcription was performed using the 3' RACE System for Rapid
370 Amplification of cDNA Ends (ThermoFisher). cDNA was amplified in two subsequent
371 PCR reactions, using the adapter primer as a reverse primer and the following primers
372 as forward primers:

373 *nbeaa*: AGAGAGGGACCGTGTAGAC, AAGGCACATCGAGCCCATATTG

374 *epha4b*: ATGGCAACCCTTTGGATTTATCCG, CTA CTGTCAGGCTGTTTCGG

375 *bcas3*: CGCTGCATGTCAGCTTCAC, CTCAGGAAACTGACAAACGCGAG

376 *gdf11*: GGGAGCATTATAGGCATCGGTAC, TGGCTTCAGAGCGAGTCATAG

377 *vti1a*: CGTCAATAAGAAGCAGACACAAGCAAC, GATTTTGTGGTCACATTTTCGTG

378 *immp2l*: CGACGCACAGCACGTACATAAG, GCGACATTGTGTCAGTTTTAACATC

379

380 *CLIP-qPCR*

381 Dechorionated 24 hpf wildtype fish were irradiated (twice at 0.8 J/cm², 254nm)
382 and deyolked using high calcium Ringer's solution (116 mM NaCl, 2.9 mM KCl, 10 mM
383 CaCl₂, 5 mM HEPES, pH 7.2) with 0.3 mM PMSF and 1 mM EDTA. After several washes,
384 embryos were lysed using PXL buffer (0.1% SDS, 0.5% deoxycholate, 0.5% NP-40) and
385 homogenized using a plastic pestle. Lysates were treated with 10 µL diluted RNaseI
386 (1:500 dilution; Thermo Fisher) and 2 µL Turbo DNase (Thermo Fisher) at 37°C for 3
387 minutes on a shaking incubator. Protein-RNA complexes were purified by centrifugation

388 and 5% of the lysate was retained as input. The remaining lysate were split into two and
389 its volume were topped up to 100 μ L using PXL buffer. 100 μ L of protein A Dynabeads
390 (Thermo Fisher) primed with either anti-SFPQ antibody (ab38148) or anti-IgG antibody
391 (MA5-14453) were added to each lysate and incubated at 4°C for an hour on a rotator.
392 Bound SFPQ-RNA complexes were purified and washed thrice in high salt wash buffer
393 (50 mM Tris-HCL, pH 7.4, 1M NaCl, 1mM EDTA, 1% Igepal, 0.1% SDS, 0.5% sodium
394 deoxycholate). Subsequently, bound complexes were washed twice in PNK wash buffer
395 (20 mM Tris-HCL, pH 7.4, 10 mM MgCl₂, 0.2% Tween-20) and followed by proteinase K
396 digestion (Thermo Fisher). Bound RNAs were purified using phenol-chloroform
397 extraction followed by reverse-transcription to generate cDNAs. Relative amounts of
398 SFPQ-bound RNAs was quantified by qPCR using primers: (Table S9).

399

400

401 *CRISPR/Cas9*

402 gRNAs were formed from chemically synthesized Alt-R®-modified crRNAs from
403 Integrated DNA Technologies (IDT). Each crRNA was suspended in duplex buffer to
404 100 μ M concentration, then a crRNA:tracrRNA duplex was formed by combining 3 μ L
405 crRNA, 3 μ L 100 μ M tracrRNA, and 19 μ L duplex buffer at 95°C for five minutes, then
406 cooled to room temperature and stored at -20°C. To make gRNA:Cas9 RNP complexes, a
407 mix was formed as follows: 1.5 μ L each gRNA, 0.75 μ L 2M KCl, 1.25 μ L EnGen Spy Cas9
408 NLS (NEB). The mix was incubated at 37°C for five minutes, then brought to room
409 temperature. One nanoliter of the gRNA:Cas9 complex was injected into embryos at the
410 1-cell stage. The following gRNAs were used:

411 *b4galt2*: AAGGATGAATTGAAGGTCAC, AAAGACTTTGTGTGCAACTC

412 *gdf11*: GTAGAGAGTAGGTTTCAGAGT, GACCAAATGTTGTTAGAAAG

413

414 *RNA and morpholino injections*

415 The *epha4b* cryptic transcript was amplified from cDNA and inserted into the
416 multi-cloning site of plasmid pCS2+ (Addgene). The *in-vitro* transcription reaction was
417 performed on linearized plasmid using the mMessage mMachine SP6 Transcription Kit
418 (ThermoFisher), and the RNA was purified using a Mini Quick Spin Column (Roche).
419 100 pg RNA was injected into the embryo at the one-cell stage.

420 For morpholino knockdown of the *epha4b* cryptic exon, embryos were injected
421 into the yolk at the one-cell stage with 0.1 pmol of Epha4b splice junction morpholino or
422 mismatch.

423 Epha4b splice junction morpholino: ACAGCTGAGAAAAAACACGGATAT

424 Epha4b splice junction mismatch morpholino: ACACCTcAGAAAtAAAgACcGATAT

425

426 *In-situ hybridization*

427 Linearized plasmids containing the antisense sequence for *rfng* (Cheng *et al*,
428 2004), *deltaA* (Allende & Weinberg, 1994), or the *epha4b* cryptic exon were transcribed
429 into RNA probes using DIG labeling mix (Roche) according to the manufacturer's
430 instructions. Probes were purified using Mini Quick Spin Columns (Roche). *In-situ*
431 hybridization reaction was performed as described elsewhere (Thomas-Jinu & Houart,
432 2013).

433

434 *qPCR*

435 RNA was extracted from 24-28 hpf *sfpq*^{-/-} embryos and heterozygous or WT
436 siblings using the RNease Mini Kit (Qiagen). 1 ug of extracted RNA was used in a reverse
437 transcriptase reaction using the Superscript III First Strand cDNA Synthesis Kit

438 (Invitrogen). 250 ng of cDNA was used in qPCR reactions with the LightCycler 480 SYBR
439 Green I Master Mix (Roche). Each sample was compared against a B-actin control
440 reaction.

441

442 *Bioinformatics*

443 For analyses of 24 hpf *sfpq*^{-/-} RNA-seq data using Cufflinks package (Trapnell *et*
444 *al*, 2012), reads were mapped to zebrafish GRCz9 assembly and differential expression
445 analysis were carried out using default settings.

446

447 For analyses of 24 hpf *sfpq*^{-/-} RNA-seq data using Whippet pipeline (Sterne-
448 Weiler *et al*, 2018), a GRCz10 Ensembl-based index was generated using Whippet's
449 index building function from the Ensembl-based fasta
450 ([ftp://ftp.ensembl.org/pub/release-](ftp://ftp.ensembl.org/pub/release-91/fasta/danio_rerio/dna/Danio_rerio.GRCz10.dna.toplevel.fa.gz)
451 [91/fasta/danio_rerio/dna/Danio_rerio.GRCz10.dna.toplevel.fa.gz](ftp://ftp.ensembl.org/pub/release-91/fasta/danio_rerio/dna/Danio_rerio.GRCz10.dna.toplevel.fa.gz)) and gene annotation
452 files ([ftp://ftp.ensembl.org/pub/release-](ftp://ftp.ensembl.org/pub/release-91/gtf/danio_rerio/Danio_rerio.GRCz10.91.gtf.gz)
453 [91/gtf/danio_rerio/Danio_rerio.GRCz10.91.gtf.gz](ftp://ftp.ensembl.org/pub/release-91/gtf/danio_rerio/Danio_rerio.GRCz10.91.gtf.gz)). Quantification of aligned RNA-seq
454 reads were done as follows:

```
whippet - quant.jl fwd_file.fastq.gz rev_file.fastq.gz --biascorrect -x index_graph.jls  
-o < output_directory > --sam < SAM_output_directory >
```

455

456 The above quantification function outputs several tables containing read counts
457 at the gene and isoform level. Differential gene and isoform expression analyses were
458 identified using the edgeR package with the estimateGLMRobustDisp function
459 (Robinson *et al*, 2010). Differential splicing events were identified using Whippet's delta
460 analysis function with default parameters. An event with a "Probability" score exceeding

461 80% is classified as significantly regulated. Cryptic splicing events were annotated using
462 custom R-scripts.

463

464 For analyses of conditional Sfpq knock-out mouse model (Takeuchi *et al*, 2018)
465 dataset, the above Whippet pipeline was carried out using Ensembl's GRCm38 fasta
466 ([ftp://ftp.ensembl.org/pub/release-](ftp://ftp.ensembl.org/pub/release-99/fasta/mus_musculus/dna/Mus_musculus.GRCm38.dna.toplevel.fa.gz)
467 [99/fasta/mus_musculus/dna/Mus_musculus.GRCm38.dna.toplevel.fa.gz](ftp://ftp.ensembl.org/pub/release-99/fasta/mus_musculus/dna/Mus_musculus.GRCm38.dna.toplevel.fa.gz)) and
468 annotation ([ftp://ftp.ensembl.org/pub/release-](ftp://ftp.ensembl.org/pub/release-99/gtf/mus_musculus/Mus_musculus.GRCm38.99.gtf.gz)
469 [99/gtf/mus_musculus/Mus_musculus.GRCm38.99.gtf.gz](ftp://ftp.ensembl.org/pub/release-99/gtf/mus_musculus/Mus_musculus.GRCm38.99.gtf.gz)) files. For analyses of
470 conditional ALS-derived iPSC differentiation dataset (Luisier *et al*, 2018), the above
471 Whippet pipeline was carried out using Ensembl's GRCh37 fasta
472 ([ftp://ftp.ensembl.org/pub/grch37/current/fasta/homo_sapiens/dna/Homo_sapiens.G](ftp://ftp.ensembl.org/pub/grch37/current/fasta/homo_sapiens/dna/Homo_sapiens.GRCh37.dna.toplevel.fa.gz)
473 [RCh37.dna.toplevel.fa.gz](ftp://ftp.ensembl.org/pub/grch37/current/fasta/homo_sapiens/dna/Homo_sapiens.GRCh37.dna.toplevel.fa.gz)) and annotation ([ftp://ftp.ensembl.org/pub/release-](ftp://ftp.ensembl.org/pub/release-75/gtf/homo_sapiens/Homo_sapiens.GRCh37.75.gtf.gz)
474 [75/gtf/homo_sapiens/Homo_sapiens.GRCh37.75.gtf.gz](ftp://ftp.ensembl.org/pub/release-75/gtf/homo_sapiens/Homo_sapiens.GRCh37.75.gtf.gz)) files.

475

476 To construct CLE-containing transcripts, read alignments from Whippet were
477 sorted , indexed and assembled using the StringTie program (Kovaka *et al*, 2019).
478 Ensembl's GRCz10 transcriptome was used as reference and assembly was done for
479 each biological replicate as follows:

```
stringtie < file1.bam > -p < num_threads > -o < file1.gtf > -G < reference >
```

480

481 Assembled transcripts from each sample were subsequently combined using
482 StringTie's merge function using GRCz10 annotations as reference. CLE-containing
483 isoforms were identified by intersecting exon coordinates from the merged transcript
484 assembly with CLE coordinates from Whippet delta analysis output. Intersection

485 operation was done in R using Bioconductor's GenomicRanges package (Lawrence *et al*,
486 2013). Analyses on the coding potential of CLE isoforms and its functional loss of
487 protein domains were carried out using custom R-scripts.

488

489 For the analyses of introns from which the CLEs were spliced from, intronic
490 features were extracted from the custom-assembled transcript in R using
491 Bioconductor's GenomicFeatures package (Lawrence *et al*, 2013). A list of the largest,
492 non-overlapping introns was generated and annotated for an overlap with a CLE
493 segment using GenomicRanges' reduce and subsetByOverlaps functions respectively.
494 The relative position of CLEs within its intron was determined using psetdiff operation
495 followed by extracting the width of the upstream intronic segment.

496

497 For the analyses of CLE conservation, 8-way PhastCons data were downloaded
498 from UCSC
499 ([http://hgdownload.soe.ucsc.edu/goldenPath/danRer7/phastCons8way/fish.phastCons](http://hgdownload.soe.ucsc.edu/goldenPath/danRer7/phastCons8way/fish.phastCons8way.bw)
500 [8way.bw](http://hgdownload.soe.ucsc.edu/goldenPath/danRer7/phastCons8way/fish.phastCons8way.bw)). Coordinates of CLE containing introns were converted to GRCv9 using UCSC's
501 LiftOver function and binned into 1 kb sequence using a sliding window technique (1 bp
502 steps). Average PhastCons score of each bin was calculated using bedtools' "map"
503 function and bins containing CLE were annotated through intersection. Conservation
504 scores of each CLE and 250 nt of its flanking introns were calculated using the same tool.
505 To refine the conservation regions surrounding the intron-CLE borders, average
506 PhastCons score were calculated for 10 nt windows including 30 nt of each exonic ends.

507

508 For the analyses of SFPQ binding motifs within sequences surrounding CLEs, its
509 Position-Specific Scoring Matrix was downloaded from RBPmap

510 (<http://rbpmap.technion.ac.il/download.html>) and manually converted into a MEME
511 motif format (<http://meme-suite.org/doc/meme-format.html>). Occurrence of SFPQ
512 binding sites was analyzed using MEME's FIMO program ([http://meme-](http://meme-suite.org/doc/fimo.html)
513 [suite.org/doc/fimo.html](http://meme-suite.org/doc/fimo.html)) using the following parameters:

```
fimo --thresh 0.005 --o < output_directory > < SFPQ_PSSM > < CLE_fasta >
```

514 The average number of SFPQ binding motifs were calculated for 25 nt windows of
515 flanking intronic sequence including 25 nt of each exonic ends.

516

517

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