# Assembly and Annotation of an Ashkenazi Human Reference Genome

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#### Abstract

Here we describe the assembly and annotation of the genome of an Ashkenazi individual and the creation of a new, population-specific human reference genome. This genome is more contiguous and more complete than GRCh38, the latest version of the human reference genome, and is annotated with highly similar gene content. The Ashkenazi reference genome, Ash1, contains 2,973,118,650 nucleotides as compared to 2,937,639,212 in GRCh38. Annotation identified 20,157 protein-coding genes, of which 19,563 are >99% identical to their counterparts on GRCh38. Most of the remaining genes have small differences. 40 of the protein-coding genes in GRCh38 are missing from Ash1; however, all of these genes are members of multi-gene families for which Ash1 contains other copies. 11 genes appear on different chromosomes from their homologs in GRCh38. Alignment of DNA sequences from an unrelated Ashkenazi individual to Ash1 identified ~1 million fewer homozygous SNPs than alignment of those same sequences to the more-distant GRCh38 genome, illustrating one of the benefits of population-specific reference genomes.

#### Introduction

The human reference genome is used as a resource for many thousands of experiments and studies every year. Since 2001, the international community has relied on a single reference genome (currently GRCh38) that is a mosaic of sequence from a small number of individuals, with about 65% originating from a single person (Green et

al. 2010), who was later identified as being approximately 50% European and 50% African by descent. The current 3-gigabase reference sequence is a vastly improved version of the genome that was published in 2001 (International Human Genome Sequencing Consortium 2001), but it represents a miniscule sample of the human population, currently estimated at just under 8 billion people. In the future, the scientific community will likely have hundreds and eventually thousands of reference genomes, representing many different sub-populations. For now, though, all human protein-coding genes, RNA genes, and other important genetic features are mapped onto the coordinate system of the reference genome, as are millions of single-nucleotide polymorphisms (SNPs) and larger structural variants. Large-scale SNP genotyping arrays, exome capture kits, and countless other genetic analysis tools are also based on GRCh38.

Many studies have pointed out that a single genome is inadequate for a variety of reasons, such as inherent bias towards the reference genome (Need and Goldstein 2009, Popejoy and Fullerton 2016, Ballouz et al. 2019). The availability of reference genomes from multiple human populations would greatly aid attempts to find genetic causes of traits that are over- or under-represented in those populations, including susceptibility to disease (Wong et al. 2018). Another drawback of relying on a single reference genome is that it almost certainly contains minor alleles at some loci, which in turn confounds studies focused on variant discovery and association of those variants with disease (Ferrarini et al. 2015, Magi et al. 2015, Barbitoff et al. 2018, Wong et al. 2018).

The worldwide scientific community is currently engaged in whole-genome sequencing of hundreds of thousands of people, and several countries have announced plans to sequence millions more. Despite this enormous investment, the initial analysis of all of these genomes relies, for now, on just one reference genome, GRCh38. Variants present in regions that are missing from this genome will be essentially invisible until other reference genomes are available. Although many human genome assemblies have been published in recent years, none has undergone the full set of steps, particularly annotation, necessary to create a reference genome that can be used in the same manner as GRCh38 (although the Korean AK1 genome (Seo et al. 2016) included some annotation). Necessary steps include ordering and orienting all contigs along chromosomes, filling in gaps as much as possible, and annotating the resulting assembly with all known human genes. Because so much of the literature also relies on the current naming system for human genes, annotation of new reference genomes should also use the same terminology and gene identifiers to be maximally useful. Here we describe the first such effort to create an alternative human reference genome, which we have called Ash1, based on deep sequencing of an Ashkenazi individual. The Ash1 genome and annotation is freely available through

https://github.com/AshkenaziGenome/Assembly, and has been deposited in GenBank as accession GCA\_011064465.1 and BioProject PRJNA607914.

#### Results

For creation of the first human reference genome to be assembled from a single individual, we chose HG002, an Ashkenazi individual who is part of the Personal Genome Project (PGP). The PGP uses the Open Consent Model, the first truly openaccess platform for sharing individual human genome, phenotype, and medical data (Church 2005, Ball et al. 2014). The consent process educates potential participants on the implications and risks of sharing genomic data, and about what they can expect from their participation. Open consent has allowed for the creation of the world's first human genome reference materials (HG002 is NIST Reference Material 8391) from Genome In A Bottle (GIAB), which is being used for calibration, genome assembly methods development, and lab performance measurements (Zook et al. 2014, Zook et al. 2019). All raw sequence data for this project was obtained from GIAB, where it is freely available to the public (Zook et al. 2016).

We assembled the HG002 genome from a combination of three deep-coverage data sets: 249-bp Illumina reads, Oxford Nanopore (ONT) reads averaging over 33 Kbp in length, and high-quality PacBio "HiFi" reads averaging 9567 bp (Table 1).

<b>Table 1.</b> Sequence data for assembly of the HG002 genome, all taken from theGenome In A Bottle Project.					
Sequencing	Number of	Mean read	Total sequence	Genome	
Technology	reads	length (bp)	(bp)	coverage	
Illumina	883,914,482	249	219,763,641,914	71x	
ONT	2,090,962	33,889	70,861,178,054	23x	
PacBio HiFi	9,270,502	9,567	88,695,245,383	29x	

We initially created two assemblies, one using Illumina and ONT reads, and a second using all three data sets, including the PacBio HiFi reads. The addition of PacBio HiFi data resulted in slightly more total sequence in the assembly (2.99 Gb vs. 2.88 Gb) and a substantially larger contig N50 size (18.2 Mb vs 4.9 Mb). This assembly, designated Ash1 v0.5, was the basis for all subsequent refinements.

Mapping the assembly onto chromosomes. To create chromosome assignments for the Ash1 v0.5 assembly, we used alignments to GRCh38 to map most of the scaffolds onto chromosomes. The steps described in **Methods** generated a series of gradually improved chromosome-scale assemblies, resulting in Ash1 v1.7. Ash1 v1.7 has greater contiguity and smaller gaps than GRCh38, as shown in Table 2. Note that in the process of building these chromosomes, a small amount of GRCh38 sequence (58.3 Mb, 2% of the genome) was used to fill gaps in Ash1. These regions include some difficult-to-assemble regions that have been manually curated for GRCh38. In total, the estimated size of all gaps in Ash1 is 82.9 Mbp, versus 84.7 Mbp in GRCh38.p13.

**Table 2**. Comparison of chromosome lengths and gaps between Ash1 and GRCh38. Chromosome lengths exclude all "N" characters. Every sequence of Ns was counted as a gap except for leading and trailing Ns. Several GRCh38 chromosomes begin or end with lengthy sequences of Ns numbering millions of bases; these were not counted as gaps here.

Chr	As	h1 v1.7		GRC	Ch38.p13	
	length (bp)	gap length	# gaps	length (bp)	gap length	# gaps
1	232,280,045	18,214,772	193	230,481,014	18,455,408	164
2	241,581,444	1,282,527	66	240,548,237	1,625,292	24
3	199,411,976	76,238	57	198,100,142	125,417	20
4	190,408,510	301,999	18	189,752,667	441,888	16
5	181,608,321	176,942	62	181,265,378	202,881	35
6	170,304,801	502,300	23	170,078,523	607,456	13
7	160,669,899	205,711	66	158,970,135	355,838	15
8	144,953,907	151,700	15	144,768,136	250,500	10
9	122,110,712	16,459,698	110	121,790,553	16,534,164	41
10	134,496,302	289,022	41	133,262,998	514,424	42
11	135,108,547	191,392	72	134,533,742	482,880	15
12	135,338,731	36,440	82	133,137,819	117,490	25
13	98,916,572	129,842	57	97,983,128	371,200	18
14	90,842,875	254,999	49	90,568,149	315,569	23
15	91,928,716	336,427	34	84,641,325	339,864	17
16	82,665,194	8,252,197	64	81,805,944	8,412,401	19
17	83,177,337	171,631	30	82,920,216	267,225	34
18	81,463,364	66,719	72	80,089,605	163,680	59
19	67,231,982	98,278	16	58,440,758	106,858	7
20	65,005,954	106,299	121	63,944,257	329,910	88
21	40,375,064	758,589	80	40,088,622	1,601,361	47
22	42,624,612	729,999	117	39,159,782	1,138,686	42
X	153,528,413	671,671	38	154,893,034	1,127,861	27
Y	27,085,372	33,413,257	33	26,415,048	30,792,367	54
Total	2,973,118,650	82,878,649	1,516	2,937,639,212	84,680,620	855

As part of the assembly improvement process, we searched one of the preliminary Ash1 assemblies (v1.1) for the 12,745 high-quality, isolated structural variants (insertions and deletions ≥50 bp) that Zook et al. identified by comparing the Ashkenazi trio data to GRCh37 (Zook et al. 2019). That study used four different sequencing technologies and multiple variant callers to identify variants and filter out false positives. Of these 12,745 SVs, 5807 are homozygous and 6938 are heterozygous. We expected the Ash1 assembly to agree with nearly all of the homozygous variants. Because Ash1 captures just one haplotype, we expected that it would agree with approximately half of the heterozygous SVs, assuming that the assembly algorithm chose randomly between the

haplotypes when deciding which variant to include in the final consensus. Of the 5807 homozygous variants, 5284 (91%) were present using our match criteria (see Methods), and 3922 (56.5%) of 6938 heterozygous variants were present. All variants were found at the correct location.

We also made small (≤5bp) variant calls on Ash1 v1.1 and compared these to the HG002 v4.0 benchmark variants from GIAB, which we used to correct numerous substitution and indel errors (see Methods), yielding Ash 1 v1.2. We then re-aligned the Ash1 assembly to GRCh38, re-called variants, and benchmarked these variants against the newly-developed v4.1 GIAB benchmark set. Of the variants inside the v4.1 benchmark regions, the Ash1 variants matched 1,256,458 homozygous and 1,041,476 heterozygous SNPs, and 187,227 homozygous and 193,524 heterozygous indels. After excluding variant calls within 30bp of a true variant, 79,269 SNPs and 17,439 indels remained, which (assuming these are all errors in Ash1) corresponds to a quality value (QV) of approximately Q45 for substitution errors. Most of these variants (52,191 SNPs and 4629 indels) fall in segmental duplications, possibly representing missing duplications in Ash1 or imperfect polishing by short reads. In summary, the quality of the Ash1 assembly is very high, with an estimated substitution quality value of 62 and an indel error rate of 2 per million bp after excluding known segmental duplications, tandem repeats, and homopolymers.

## Comparison of variant calling using Ash1 versus GRCh38

One of the motivations for creating new reference genomes is that they provide a better framework for analyzing human sequence data when searching for genetic variants linked to disease. For example, a study of Ashkenazi Jews that collected whole-genome shotgun (WGS) data should use an Ashkenazi reference genome rather than GRCh38. Because the genetic background is similar, fewer variants should be found when searching against Ash1, and the variants that do appear will be more likely to be disease-relevant.

To test this expectation, we collected WGS data from a male participant in the Personal Genome Project, PGP17 (hu34D5B9). This individual is estimated to be 66% Ashkenazi according to the PGP database, which was the highest estimated fraction available from already-sequenced PGP individuals. We downloaded 983,220,918 100-bp reads (approximately 33x coverage) and aligned them to both Ash1 and GRCh38 using Bowtie2 (Langmead and Salzberg 2012). Slightly more reads (3,901,270, 0.5%) aligned to Ash1 than to GRCh38.

We then examined all single-nucleotide variants (SNVs, see Methods) between PGP17 and each of the two reference genomes. To simplify the analysis, we only considered locations where PGP17 was homozygous. In our comparisons to Ash1, we first identified all SNVs, and then examined the original Ash1 read data to determine whether, for each of those SNVs, the Ash1 genome contained a different allele that matched PGP17.

In total, the number of homozygous sites in PGP17 that disagreed with Ash1 was 1,333,345, versus 1,700,364 when we compared homozygous sites in PGP17 to GRCh38 (**Supplementary Table S1**). We then looked at the underlying Ash1 read data for the 1.33 million SNV sites that initially mismatched, and found that for approximately half of them, the Ash1 genome was heterozygous, with one allele matching PGP17. If we restricted SNVs to sites where PGP17 and Ash1 are both homozygous (plus a very small number of locations where Ash1 contains two variants that both differ from PGP17) this reduced the total number of SNVs even further, to 707,756. Thus we found just under 1 million fewer homozygous SNVs when using Ash1 as the reference for PGP17.

## Comparison against common Ashkenazi variants

To examine the extent to which Ash1 contains known, common Ashkenazi variants (relative to GRCh38), we examined SNVs reported at high frequency in an Ashkenazi population from the Genome Aggregation Database (gnomAD) (Karczewski et al. 2019). GnomAD v3.0 contains SNV calls from short-read whole-genome data from 1,662 Ashkenazi individuals. Because some variants were only called in a subset of these individuals, we considered only variant sites that were reported in a minimum of 200 people. We then collected major allele SNVs, requiring the allele frequency to be above 0.5 in the sampled population. We further restricted our analysis to single-base substitutions. This gave us 2,008,397 gnomAD SNV sites where the Ashkenazi major allele differed from GRCh38.

We were able to precisely map 1,790,688 of the 2,008,397 gnomAD sites from GRCh38 onto Ash1 (see Methods). We then compared the GRCh38 base to the Ashkenazi major allele base at each position, and we also examined the alternative alleles in Ash1 at sites where it is heterozygous. For sites where the read data showed that HG002 was heterozygous and had both the Ashkenazi major allele and the GRCh38 allele, we replaced the Ash1 base, if necessary, to ensure that it matched the major allele. After these replacements, Ash1 contained the Ashkenazi major allele at 88% (1,580,866) of the 1.79 million sites. At the remaining sites, Ash1 either matched the GRCh38 allele because HG002 is homozygous for the reference allele (204,729 sites), or it contained a third allele matching neither GRCh38 nor the gnomAD major allele (5,093 sites).

**Table 3.** The proportion of variant sites in the Ashkenazi reference genome that agree with major alleles from the gnomAD large-scale survey of the Ashkenazi population. Column headers show the frequency ranges of the Ashkenazi alternative alleles (ALT) from the gnomAD database. Row 3 shows the proportion of positions in Ash1 that agree with the gnomAD major allele where gnomAD differs from GRCh38.

Frequency (f) in Ashkenazi population	[0.25, 0.5]	(0.5, 0.6]	(0.6, 0.7]	(0.7, 0.8]	(0.8, 0.9]	(0.9, 1.0]	Total
Total # sites at Ashkenazi ALT allele frequency (f)	1,706,379	442,352	369,541	300,969	252,859	424,967	3,497,067
Proportion of Ash1 sites that match gnomAD Ashkenazi allele	0.317	0.759	0.846	0.910	0.955	0.982	0.607

Worth noting is that, as the frequency of the major allele in the gnomAD Ashkenazi population increases, the proportion of sites where Ash1 matched the major allele increases as well. For example, of SNVs that have an allele frequency >0.9 in the gnomAD Ashkenazi population, over 98% are represented in Ash1 (**Table 3**).

#### Annotation

A vital part of any reference genome is annotation: the collection of all genes and other features found on the genome. To allow Ash1 to function as a true reference genome, we have mapped all of the known genes used by the scientific community onto its coordinate system, using the same gene names and identifiers. Several different annotation databases have been created for GRCh38, and for Ash1 we elected to use the CHESS human gene database (Pertea et al. 2018) because it is comprehensive, including all of the protein-coding genes in both GENCODE and RefSeq, the two other widely-used gene databases, and because it retains the identifiers used in those catalogs. The noncoding genes differ among the three databases, but CHESS has the largest number of gene loci and isoforms. We used CHESS version 2.2, which has 42,167 genes on the primary chromosomes (excluding the GRCh38 alternative scaffolds), of which 20,197 are protein coding.

Mapping genes from one assembly to another is a complex task, particularly for genes that occur in highly similar, multi-copy gene families. The task is even more complex when the two assemblies represent different individuals (rather than simply different assemblies of the same individual), due to the presence of single-nucleotide differences, insertions, deletions, rearrangements, and genuine variations in copy number between the individuals. We needed a method that would be robust in the face of all of these potential differences.

To address this problem, we used the recently developed Liftoff mapping tool, which in our experiments was the only tool that could map nearly all human genes from one individual to another. Liftoff takes all of the genes and transcripts from a genome and maps them, chromosome by chromosome, to a different genome. For all genes that fail to map to the same chromosome, Liftoff attempts to map them across chromosomes. Unlike other tools, it does not rely on a detailed map built from a whole-genome alignment, but instead it maps each gene individually. Genes are aligned at the transcript level, including introns, so that processed pseudogenes will not be mistakenly identified as genes.

We attempted to map all 310,901 transcripts from 42,167 gene loci on the primary chromosomes in GRCh38 to Ash1. In total, we successfully mapped 309,900 (99.7%) transcripts from 42,070 gene loci onto the main chromosomes (**Supplementary Table 2**). We considered a transcript to be mapped successfully if the mRNA sequence in Ash1 is at least 50% as long as the mRNA sequence on GRCh38. However, the vast

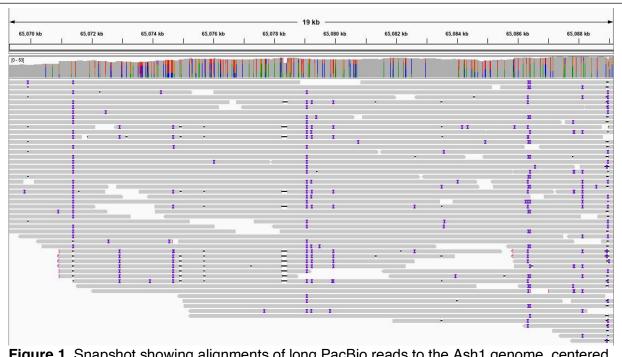
majority of transcripts greatly exceed this threshold, with 99% of transcripts mapping at a coverage greater than or equal to 95% (**Suppl. Fig S2**). The sequence identity of the mapped transcripts is similarly high, with 99% of transcripts mapping with a sequence identity greater than or equal to 94% (**Suppl. Fig S3**).

**Translocated genes**. Of those genes with at least one successfully mapped isoform, 42,059 (99.7%) mapped to the corresponding locations on the same chromosome in Ash1. Of the 108 genes that initially failed to map, 11 genes mapped to a different chromosome in 7 distinct blocks (shown in **Table 4**), suggesting a translocation between the two genomes. Interestingly, 16 of the 22 locations involved in the translocations were in sub-telomeric regions, which occurred in 8 pairs where both locations were near telomeres. This is consistent with previous studies reporting that rearrangements involving telomeres and subtelomeres may be a common form of translocation in humans (Bailey and Murnane 2006, Liddiard et al. 2016, Muraki and Murnane 2018).

**Table 4**. 11 genes from GRCh38, 4 of them protein coding, that map to a different chromosome on Ash1. Genes are sorted by their position on GRCh38. Genes that appear to have moved in a block via a single translocation are highlighted in colored rows. Sub-telomeric coordinates are indicated by **(T)** next to the coordinates. Abbreviations: NC, noncoding.

CHESS ID	Gene Name	Gene Type	GRCh38 Location	Ash1 Location
CHS.460	HNRNPCL4	protein	chr1:13164555-13165482	chr6:113726526-113727453
CHS.39870	USP17L11	protein	chr4:9215405-9216997	chr11:71983132-71984724
CHS.39871	USP17L12	protein	chr4:9220152-9221744	chr11:71978387-71979979
CHS.54932	WASH1	protein	chr9:14475-30487 (T)	chr20:50732-69104 (T)
CHS.54933	LOC107987041	NC	chr9:27657-30891 (T)	chr20:65950-69493 (T)
CHS.54934	FAM138C	NC	chr9:34394-35864 (T)	chr20:65083816-65085286 (T)
CHS.18492	Unnamed	NC	chr15:101959848-101960582 (T)	chr20:65088782-65089512 (T)
CHS.18493	WASH3P	NC	chr15:101960813-101976605 (T)	chr20:65089741-65105526 (T)
CHS.18494	DDX11L9	NC	chr15:101976558-101979093 (T)	chr20:65105479-65108014 (T)
CHS.20775	LOC107987240	NC	chr16:90199813-90211886 (T)	chr20:2-12021 (T)
CHS.59387	DDX11L16	NC	chrY:57212178-57214703 (T)	chr20:48248-50782 (T)

We examined the translocation between chromosomes 15 and 20, which contains three of the genes in Table 4, by looking more closely at the alignment between GRCh38 and Ash1. The translocation is at the telomere of both chromosomes, from position 65,079,275–65,109,824 (30,549 bp) of Ash1 chr20 and 101,950,338–101,980,928 (30,590 bp) of GRCh39 chr15. To confirm the translocation, we aligned an independent set of very long PacBio reads, all from HG002, to the Ash1 v1.7 assembly (see Methods) and evaluated the region around the breakpoint on chr20. These alignments show deep, consistent coverage extending many kilobases on both sides of the breakpoint, supporting the correctness of the Ash1 assembly (Figure 1).



**Figure 1.** Snapshot showing alignments of long PacBio reads to the Ash1 genome, centered on the left end of the location in chromosome 20 (position 65,079,275) where a translocation occurred between chromosome 15 (GRCh38) and 20 (Ash1). The top portion of the figure shows the coordinates on chr20. Below that is a histogram of read coverage, and the individual reads fill the bottom part of the figure. The indels in the reads, shown as colored bars on each read, are due to the relatively high error rate of the long reads.

**Missing genes**. 62 genes failed entirely to map from GRCh38 onto Ash1, and another 32 genes mapped only partially (below the 50% coverage threshold), as shown in **Table 5**. All of the genes that failed to map or that mapped partially were members of multi-gene families, and in every case there was at least one other copy of the missing gene present in Ash1, at an average identity of 98.5%. Thus there are no cases at all of a gene that is present in GRCh38 and that is entirely absent from Ash1; the genes shown in **Table 5** represent cases where Ash1 has fewer members of a multi-gene family. Three additional genes (2 protein coding, 1 IncRNA) mapped to two unplaced contigs, which will provide a guide to placing those contigs in future releases of the Ash1 assembly.

**Table 5.** 94 genes that are completely or mostly missing in Ash1. The Mapping status column shows "unmapped" if the gene is entirely missing from Ash1, and "partial" if less than 50% of the gene appears in Ash1. 40 of the genes are protein-coding and 54 are noncoding. All of the protein-coding genes are members of multi-gene families. Abbreviations: NC, noncoding.

CHESS ID	Gene Name	Gene	GRCh38 Location	Mapping
		Туре		Status
CHS.5	LOC105379212	NC	chr1:51943-53959	unmapped
CHS.6	OR4F5	protein	chr1:69091-70008	unmapped

CHS.8	LOC729737	NC	chr1:134773-140566	unmapped
CHS.461	PRAMEF9	protein	chr1:13175281-13179132	unmapped
CHS.2763	LOC107985199	protein	chr1:143318207-143319096	unmapped
CHS.2764	LOC105371172	NC	chr1:143323047-143327009	unmapped
CHS.3550	FCGR3B	protein	chr1:161623196-161631963	unmapped
CHS.4311	LOC103021295	NC	chr1:205957925-205958388	unmapped
CHS.30466	LIMS3-LOC440895	NC	chr2:109898432-109968577	unmapped
CHS.32660	LOC728323	NC	chr2:242088633-242169503	unmapped
CHS.39504	GTF2IP18	NC	chr3:198185965-198189923	unmapped
CHS.39507	Unnamed	NC	chr3:198219778-198222386	unmapped
CHS.45102	LOC107986552	NC	chr6:109026-111100	unmapped
CHS.52504	OR4F21	protein	chr8:166086-167024	unmapped
CHS.52763	LOC100133267	protein	chr8:12064389-12071747	unmapped
CHS.54931	DDX11L5	NC	chr9:11987-14525	unmapped
CHS.54937	LINC01388	NC	chr9:100804-114246	unmapped
CHS.54939	FOXD4	protein	chr9:116231-118417	unmapped
CHS.56331	LOC107987034	protein	chr9:104234781-104235568	unmapped
CHS.56391	Unnamed	protein	chr9:107257286-107261972	unmapped
CHS.7894	OR51A2	protein	chr11:4954772-4955713	unmapped
CHS.11017	PRB2	protein	chr12:11391540-11395564	unmapped
CHS.14171	PRR20A	protein	chr13:57140918-57143939	unmapped
CHS.14613	METTL21C	protein	chr13:102685747-102704311	unmapped
CHS.14764	LOC102724510	NC	chr13:111754561-111757459	unmapped
CHS.18131	GOLGA6L5P	NC	chr15:84506168-84516847	unmapped
CHS.18488	OR4F4	protein	chr15:101922142-101923059	unmapped
CHS.19166	NPIPA3	protein	chr16:14704711-14726338	unmapped
CHS.20776	LOC107987239	NC	chr16:90220197-90225200	unmapped
CHS.19681	TP53TG3B	protein	chr16:33358385-33363478	unmapped
CHS.20874	LOC105377826	NC	chr17:61388-97400	unmapped
CHS.20875	LOC101929823	NC	chr17:97711-133841	unmapped
CHS.20876	LOC101929828	NC	chr17:110296-111566	unmapped
CHS.22187	KRTAP9-6	protein	chr17:41265378-41265860	unmapped
CHS.23950	LOC102724130	NC	chr18:11103-15928	unmapped
CHS.23951	Unnamed	NC	chr18:14195-14958	unmapped
CHS.23952	LOC105371950	NC	chr18:42666-4701	unmapped
CHS.34254	LOC102724184	NC	chr21:5011163-5017158	unmapped
CHS.34255	LOC105379484	NC	chr21:5011976-5012684	unmapped
CHS.34256	LOC102723996	protein	chr21:5022044-5046678	unmapped
CHS.34276	LOC102724370	NC	chr21:6070758-6073132	unmapped

CHS.34887	LOC107987302	NC	chr21:43434853-43442401	unmapped
CHS.34888	LINC00319	NC	chr21:43450024-43453893	unmapped
CHS.34889	LINC00313	NC	chr21:43462094-43478223	unmapped
CHS.34912	PWP2	protein	chr21:44107262-44131181	unmapped
CHS.34913	C21orf33	protein	chr21:44133612-44145723	unmapped
CHS.34914	LOC105377138	protein	chr21:44158746-44160189	unmapped
CHS.35279	LOC105377190	NC	chr22:21359596-21360702	unmapped
CHS.58009	GAGE12J	protein	chrX:49322030-49329387	unmapped
CHS.58010	GAGE13	protein	chrX:49331603-49338952	unmapped
CHS.58011	GAGE12B	protein	chrX:49341183-49529921	unmapped
CHS.58270	FAM226B	NC	chrX:72777073-72779095	unmapped
CHS.58374	LOC102724150	NC	chrX:89403129-89455254	unmapped
CHS.58376	TGIF2LX	protein	chrX:89921941-89922883	unmapped
CHS.58675	RHOXF2B	protein	chrX:120072264-120077742	unmapped
CHS.58694	CT47A12	protein	chrX:120877490-120932399	unmapped
CHS.58695	CT47A11	protein	chrX:120933840-120937260	unmapped
CHS.58696	CT47A10	protein	chrX:120938701-120942121	unmapped
CHS.58697	CT47A9	protein	chrX:120943561-120946981	unmapped
CHS.58854	CT45A2	protein	chrX:135811668-135820062	unmapped
CHS.58856	CT45A8	protein	chrX:135846497-135854588	unmapped
CHS.58857	CT45A9	protein	chrX:135863418-135871812	unmapped
CHS.1790	LOC107984964	NC	chr1:61637114-61650098	partial
CHS.2787	LOC105371206	NC	chr1:144153168-144170705	partial
CHS.3547	HSPA7	NC	chr1:161601221-161608551	partial
CHS.3548	FCGR2C	NC	chr1:161562688-161604463	partial
CHS.4366	LOC105372881	NC	chr1:207365822-207373252	partial
CHS.5223	Unnamed	NC	chr1:248535005-248536680	partial
CHS.30144	LOC105374854	NC	chr2:88825277-88886154	partial
CHS.31297	PHOSPHO2- KLHL23	protein	chr2:169694454-169751886	partial
CHS.39506	Unnamed	NC	chr3:198198959-198219542	partial
CHS.50848	NSUN5P2	NC	chr7:72948293-72954763	partial
CHS.50952	LOC541473	NC	chr7:75391949-75395461	partial
CHS.54613	LOC107986982	protein	chr8:140620807-140625255	partial
CHS.54936	PGM5P3-AS1	NC	chr9:72674-88826	partial
CHS.55501	ZNF658B	NC	chr9:39443815-39464526	partial
CHS.55736	LOC105376078	NC	chr9:70669974-70714251	partial
CHS.56296	LOC105376181	NC	chr9:100901764-100906823	partial
CHS.6710	LOC105378410	NC	chr10:87189779-87194905	partial

CHS.8878	PGA3	protein	chr11:61203307-61216278	partial
CHS.14172	PRR20B	protein	chr13:57147488-57150509	partial
CHS.17645	LOC105376718	NC	chr15:66858141-66867024	partial
CHS.18489	LOC107987229	NC	chr15:101936986-101939014	partial
CHS.18491	FAM138E	NC	chr15:101954885-101956355	partial
CHS.20774	LOC105371423	NC	chr16:90186142-90219472	partial
CHS.34257	LOC105372832	NC	chr21:5055735-5062892	partial
CHS.34279	LOC102724428	protein	chr21:6111134-6123778	partial
CHS.34916	LOC105377139	NC	chr21:44172147-44191773	partial
CHS.34917	Unnamed	NC	chr21:44175401-44179738	partial
CHS.57466	Unnamed	NC	chrX:3891438-3902000	partial
CHS.58012	GAGE12C	protein	chrX:49532177-49539541	partial
CHS.58377	LOC105373292	NC	chrX:90234591-90265462	partial
CHS.59131	WASIR1	NC	chrX:156014615-156017057	partial
CHS.59270	VCY1B	protein	chrY:14056222-14056958	partial

After mapping the genes onto Ash1, we extracted the coding sequences from transcripts that mapped completely (coverage equal to 100%), aligned them to the coding sequences from GRCh38, and called variants relative to GRCh38 (see Methods). Within the 35,513,365 bp in these protein-coding transcripts, we found 20,864 single-nucleotide variants and indels. 14,499 of these variants fell within the GIAB "callable" regions for high-confidence variants, although 3,963 of these were in

<b>Table 6.</b> Comparison of protein coding sequences between Ash1 and GRCh38. Here, "insertion" means an insertion in Ash1 relative to GRCh38, and other terms are similarly referring to changes in Ash1		
	38. "Truncated" indicates the	
	partially mapped. "Stop gained"	
	stop codons caused by a SNP.	
Variant Type	Number of Coding Sequences	
identical	92,600	
mis-sense variant	26,566	
in-frame deletion	956	
in-frame insertion	605	
frameshift variant	2,158	
start lost	169	
stop gained	416	
stop lost	58	
truncated	564	
unmapped	138	
Total	124,230	

GIAB "difficult" repetitive regions, for which alignments are often ambiguous. Of the 10,536 variants not in these difficult regions, 10,456 (99.2%) agreed with the GIAB highconfidence variant set. In the difficult regions, 3,804/3,963 (96.0%) agreed with the GIAB set.

We then annotated the changes in amino acids caused by variants and incomplete mapping for all proteincoding sequences. Out of 124,238 protein coding transcripts from 20,197 genes, 92,600 (74.5%) have 100% identical protein sequences. Another 26,566 (21.4%) have at least one amino acid change but yield proteins

with the identical length, and 1561 (1.3%) have frame-preserving mutations that insert or delete one or more amino acids, leaving the rest of the protein unchanged. **Table 6** 

shows statistics on all of the changes in protein sequences. If a protein had more than 1 variant, we counted it under the most consequential variant; i.e., if a protein had a missense variant and a premature stop codon, we include it in the "stop gained" group.

Of particular interest are those transcripts with variants that significantly disrupt the protein sequence and may result in loss of function. These include transcripts affected by a frameshift (2158), stop loss (58), stop gain (416), start loss (58), or truncation due to incomplete mapping (564). These disrupted isoforms represent 885 gene loci; however, 505 of these genes have at least 1 other isoform that is not affected by a disrupting variant. This leaves 380 genes in which all isoforms have at least one disruption; the full list is provided in Supplementary Table 1.

#### Discussion

The assembly and annotation of this first Ashkenazi reference genome, Ash1, are comparable in completeness to the current human reference genome, GRCh38. We began by creating a high-quality de novo assembly of Ash1, using reads generated by multiple sequencing technologies, and then improved the assembly in multiple ways, using GRCh38 for chromosome-scale scaffolding and then using high-quality variant benchmarks from GIAB, computed on data from the same individual, to correct thousands of small consensus sequence errors. Unlike GRCh38, which represents a mosaic of multiple individuals, Ash1 is derived almost entirely from a single individual. More precisely, Ash1 v1.7 contains 2,973,118,650 bp mapped onto chromosomes, of which 98.04% derive from a single Ashkenazi individual, and the remaining 58,317,846 bp (1.96%) were taken from GRCh38. As more data and better assemblies become available, we expect this latter portion to shrink.

The gene content of Ash1 is nearly identical to GRCh38: all of the genes are present, with the only differences being 40 protein-coding genes and 54 noncoding genes (0.22% of the total) that are present in fewer copies. 11 genes were mapped to different chromosomes, suggesting a small number of chromosomal rearrangements that predominately involve exchanges of subtelomeric regions. It is likely that Ash1 contains additional copies of some genes, but we did not attempt to search for these.

Similarly to GRCh38, Ash1 is not yet complete, and we plan to improve the assembly over time, much as GRCh38 has improved since its initial release in 2001. Newer sequence data including ultralong reads (over 100,000 bp in length) have recently been generated, which should allow additional gap filling and polishing of the genome sequence. Although the estimated quality of Ash1 v1.7 is very high, some disagreements between the current assembly and the GIAB benchmarks remain, indicating further room for improvement, especially in the resolution of complex repetitive regions. Additional analysis may also be needed to confirm that the small number of missing and disrupted genes are genuine differences between the genomes rather than incorrectly assembled repeats.

Nonetheless, the Ash1 genome provides a ready-to-use reference for any genetic studies involving individuals with an Ashkenazi Jewish background. In these individuals, alignments to Ash1 should yield fewer variants than alignment against GRCh38, which in turn will allow investigators to spend less time eliminating irrelevant variants. In addition, the computational methods used in this study provide a recipe that should allow the construction of many more human reference genomes, representing the many different populations of humans in the world today.

#### Methods

For the initial assembly of the combined Illumina, ONT, and PacBio data, we used MaSuRCA v3.3.4 (Zimin et al. 2013) to generate a set of contigs that we designated the Ash1 v0.5 assembly. We filtered the primary assembly for haplotype duplications by aligning the assembly to itself, and looking for contigs that were completely covered by other, larger contigs and that were >97% identical to the larger contig. This process filtered out 3,438 small contigs containing 56,956,142 bp. To assign the contigs to chromosomes, we used a scaffolding script included in MaSuRCA (chromosome\_scaffolder.sh) that first aligned the assembly to the GRCh38.p12 reference genome using MUMmer4 (Marcais et al. 2018). Many contigs aligned end-to-end to a single chromosome, and these were easy to place. The script then considered the contigs that aligned to GRCh38 in multiple disjoint chunks. Each alignment that ended within a contig, and that was >5kb from either end of the contig, was designated a potential breakpoint.

The scaffolding script then aligned the ONT reads to the Ash1 v0.5 contigs using blasr (Chaisson and Tesler 2012) and computed the read coverage. A potential breakpoint was deemed a mis-assembly if there was a region of either low (<=3x) or high (>35x) coverage within 50 kb of the alignment breakpoint. This procedure identified 470 breakpoints and then split the Ash0.5 contigs at those mis-assemblies. Note that if a mis-assembly occurred in a low coverage region, the contig was split at the weak point. If the mis-assembly occurred in a high-coverage region, then it was likely due to a repetitive sequence, and the contig was split at the alignment breakpoint location. After splitting, the script re-aligned the split contigs to the GRCh38 reference and used the best alignments to assign each contig or partial contig to a chromosome location. The resulting "tiled" assembly, Ash1 v0.9, had 2,843,368,711 bases in 1,026 contigs assigned to specific chromosomes. The remaining contigs were left unplaced.

Some gaps in the initial Ash1 assembly occurred in areas where GRCh38 is ungapped, sometimes corresponding to regions that were manually curated to capture especially difficult repetitive regions. To capture these regions, we took two additional gap-filling steps. First, for every gap in Ash1 v0.9, we identified cases where contiguous GRCh38 sequence spanned the gap, with at least 2kb of GRCh38 aligning uniquely to Ash1 v0.9 on both sides of the gap. In these cases we filled the gap in Ash1 v1.0. (Note that in the Ash1 genome, these GRCh38 sequences are recorded in lowercase, to distinguish them from

the Ashkenazi-origin sequence, which is in uppercase.) Next, for the gaps where we could not find contiguous GRCh38 sequence that aligned to both sides of the gap in Ash1 v0.9, we looked for GRCh38 contigs that might fit into the gap, given the gap size estimate and the implied gap coordinates on GRCh38. We then inserted GRCh38 contigs that "fit" into the gaps surrounding them, leaving a 100bp gap (represented as 100 N's) on both sides. This second step added 948 sequences from GRCh38 into the gaps, making the gaps smaller but leaving a pair of 100-bp gaps for each inserted contig. Some of these sequences were separate, small contigs in GRCh38, and some were derived from GRCh38 contigs that extended into gaps in Ash1 (see Suppl. Figure S1). This assembly, Ash1 v1.1, contained 948 more gaps than Ash1 v1.0, but the gaps were smaller. Overall, these two gap-filling steps added 58,317,846 bp of sequence from GRCh38.

We next searched Ash1 v1.1 for the 12,745 high-quality, isolated structural variants (insertions and deletions ≥50 bp) that Zook et al. identified by comparing the Ashkenazi trio data to GRCh37 (Zook et al. 2019). Because Ash1 has a different coordinate system from GRCh38, we had to use sequence alignment methods to find these SVs in Ash1. For this step, we extracted a region of sequence extending 1000 bp beyond each SV in both directions. (Note that if a variant occurred in a tandem duplication longer than 1000bp, this strategy might fail to align it to the correct location.) We then aligned each region to Ash1 using nucmer (Marcais et al. 2018), and filtered the results to determine which SVs were present and which were missing from Ash1 v1.1.

We also made small variant calls from Ash1 v1.1 relative to GRCh37, and compared these to the v4.0 benchmark variants from GIAB (which uses GRCh37) using the Global Alliance for Genomics and Health (GA4GH) Benchmark tools (Krusche et al. 2019). Our definition of a false positive variant (FP) included all variants from Ash1 not in the GIAB v4.0 set of variant calls (i.e., in the vcf file) but within the v4.0 regions, as well as variants from Ash1 not matching the v4.0 genotype; e.g., heterozygous variants in the benchmark that are homozygous variants from Ash1 because Ash1 represents only one haplotype. To ignore errors due to Ash1 representing a single haplotype and identify potential errors in Ash1, we excluded FPs where the v4.0 call was heterozygous or compound heterozygous (reported as FP.gt by the GA4GH benchmarking tools) or where the FP was within 30 bp of a v4.0 variant (reported as FP.al). To identify candidates for correction in the assembly, we also excluded FPs in UCSC GRCh37 vs. GRCh37 self-chain alignments longer than 10 kb, since these were potential collapses in the assembly that would need to be corrected in a different way. Using the remaining FPs, we corrected 32,814 substitution errors, 6670 insertion errors, and 14,151 deletion errors in the Ash1 assembly. This did not correct any regions in Ash1 that aligned outside the v4.0 benchmark regions for GRCh37. These corrections yielded Ash1 v1.2.

To create Ash1 v1.3, we added 2,786,257 bases to the beginning of the X chromosome and 2,281,641 bases to the beginning of the Y chromosome, based on careful alignments to GRCh38. These sequences, which are part of the pseudo-autosomal

regions, are nearly identical between X and Y in GRCh38 and in Ash1. We also identified ~3 Mbp of sequence in two contigs that the assembler had labelled as "degenerate" that was missing from Ash1 but present on GRCh38, and we placed these contigs onto chromosomes.

To create v1.4, we realigned Ash1 v1.3 to GRCh38 using more sensitive parameters, allowing us to place a few additional contigs onto chromosomes. We then re-polished the v1.4 assembly with the POLCA software (Zimin and Salzberg 2019) to reduce the number of errors in the consensus, applying polishing to all of the sequences added in previous refinement steps. These steps made 54,125 substitution corrections and corrected 264,165 bases in insertion/deletion errors, yielding Ash1 v1.6.

Finally, in our initial comparison to the gnomAD Askhenazi major alleles, we found 273,866 heterozygous SNV sites where the GRCh38 reference allele appeared in the Ash1.6 assembly but where HG002 contained the Ashkenazi major allele as well. For these sites, we replaced the Ash1 reference allele with the Ashkenazi major allele. Because the initial assembly arbitrarily chose a representative base at heterozygous sites, this step preserved the assembly's fidelity to the underlying HG002 sequence. These single-base changes resulted in Ash1 v1.7.

**Unplaced contigs.** After chromosome assignment was done, 947 contigs remained unplaced. From those, we identified 92 contigs containing 5,118,131 bp as centromeric repeats; 26 contigs containing 5,716,977 bp mapped to unplaced scaffolds in GRCh38.p12, and the remaining 829 contigs containing 42,641,604 represent additional unknown contigs. All 829 unplaced contigs have their best matches to other human sequence, with alignment identities ranging from 78–97%.

Aligning long PacBio reads for validation. We downloaded a recently released set of PacBio HiFi reads, generated on the Sequel II System, from the HG002 Data Freeze (v1.0) at Human Pangenome Reference Consortium github site (https://github.com/human-pangenomics/HG002\_Data\_Freeze\_v1.0#hg002-data-freeze-v10-recommended-downsampled-data-mix). These data, which were not used in our assembly of Ash1, were size selected for 15 Kb and 20 Kb fragments, and they represent ~34x genome coverage of the genome. We aligned them to Ash1 v1.7 genome using bwa-mem with default parameters. We filtered the alignments using samtools to include only reads aligning with quality of 40 and above.

## Benchmarking Ash V1.6 Against GIAB HG002 V4.1 Benchmark set

Variant calls for Ash V1.6 assembly against the GRCh38 reference without alternate loci or decoy sequences (available from

<u>ftp://ftp.ncbi.nlm.nih.gov/genomes/all/GCA/000/001/405/GCA\_000001405.15\_GRCh38/</u> <u>seqs\_for\_alignment\_pipelines.ucsc\_ids/GCA\_000001405.15\_GRCh38\_no\_alt\_analysis</u> <u>set.fna.gz</u>) were made using dipcall version 0.1 (Li et al. 2018). The resulting variant calls were compared to GIAB HG002 V4.1 benchmark set using the hap.py benchmarking tool (Krusche et al. 2019).

Because the assembly represents a single haplotype, FPs were calculated differently from the standard hap.py output, where FPs due to genotype and allele mismatches were subtracted from the total false positives. QV values were calculated using the modified FP metric,  $QV = -10^{*}\log(FPs/benchmark region size)$ , where benchmark region size was "Subset.IS\_CONF.Size" from the hap.py output.

**Mapping gnomAD SNVs onto Ash1**. For each of the 2,008,397 gnomAD SNV sites where the Ashkenazi major allele differed from GRCh38, we extracted a 2kb region centered on the SNV from GRCh38. We aligned these 2kb sequences using nucmer (Marcais et al. 2018) with a requirement that seed matches be at least 50 bases (-I 50) and that anchors be unique in the reference and query (--mum), to help eliminate spurious mappings in repetitive regions, though this reduced the number of SNVs considered. We then filtered the alignments further using delta-filter to collect alignments spanning at least 1980 bases (-I 1980) with at least 99% identity (-i 99), and took the best alignment of each region (-q). Coordinates were then converted to Ash1 by using the delta2paf utility from paftools (Li 2018), followed by paftools liftover on the paf file to obtain the Ash1 genome coordinates of each original SNV site. This process unambiguously mapped 1,790,688 SNVs (89%) onto Ash1.

**Comparing variants in mapped genes.** Gffread was used to extract the coding sequences from GRCh38 and Ash1. Sequences were aligned pairwise using the EMBOSS Stretcher command line interface (Madeira et al. 2019) from Biopython 1.75. The alignments were used to determine the GRCh38 location, sequence, and functional consequence of each variant. When comparing GIAB HG002 V3.3.2 benchmark set, we excluded any transcripts that did not map with an alignment coverage of 100%. We compared the variants to the benchmark set using vcfeval from RealTimeGenomics tools (Cleary et al. 2015). We used bedtools (Quinlan and Hall 2010) to intersect the false positive variants in Ash1 genes with the union set of difficult regions from GIAB (ftp://ftp-trace.ncbi.nlm.nih.gov/giab/ftp/release/genome-

stratifications/v2.0/GRCh38/union/GRCh38\_alldifficultregions.bed).

Aligning transcripts between GRCh38 and Ash1. To compute the cumulative distributions shown in Supplemental Figs. 2 and 3, the mRNA sequences of the Ash1 transcripts and GRCh38 transcripts were extracted using gffread. The sequences were then aligned pairwise using the EMBOSS Stretcher command line interface (Madeira et al. 2019) from Biopython 1.75, and the resulting alignments were used to calculate the percent of GRCh38 transcript lengths covered and the percent identity between the pairs of transcripts.

**Data availability**. The Ash1 assembly, including current and earlier versions, is freely available at https://github.com/AshkenaziGenome/Assembly and has been deposited in

GenBank as accession GCA\_011064465.1 and BioProject PRJNA607914. The github site also contains the gene annotation and an index with a mapping between the identifiers used by CHESS, RefSeq, and GENCODE.

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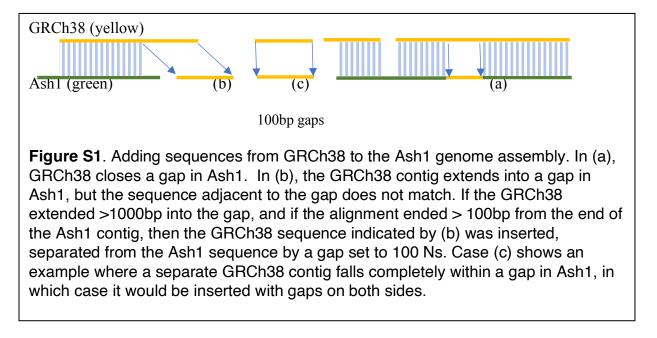
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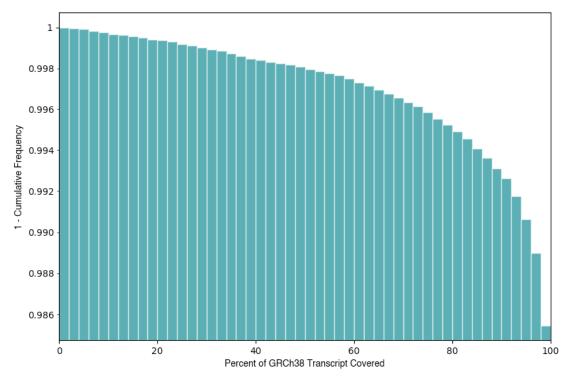
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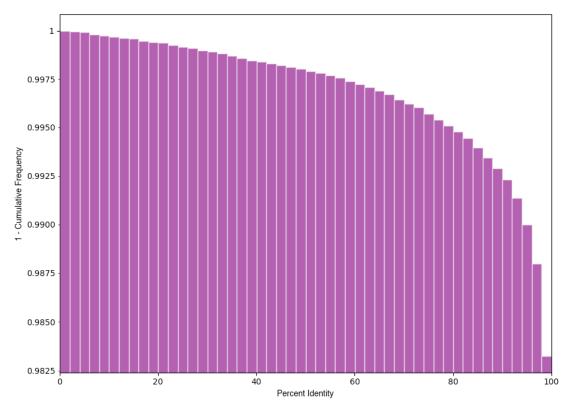
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# **Supplementary Figures**





**Figure S2**: Cumulative distribution showing how much of the GRCh38 transcripts map onto Ash1. The Y axis shows the fraction of transcripts with percent coverage greater than or equal to coverage on the X axis; e.g., the next-to-last bar at 98% on the X axis shows that 98.9% of GRCh38 transcripts (Y axis) mapped for at least 98% of their length onto Ash1.



**Figure S3:** Cumulative distribution of the sequence identity of transcripts mapped onto Ash1. The Y axis shows the fraction of transcripts that aligned between GRCh38 and Ash1 with DNA sequence identity greater than or equal to the percent identity on the X axis. E.g., the next-to-last vertical bar at 98% on the X axis shows that 98.75% of the GRCh38 transcripts aligned at 98% or greater identity to Ash1.