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3	Hypoxic gene expression in chronic hepatitis B infected patients is not observed in state-of-art			
4	<i>in vitro</i> and mouse infection models.			
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6	PJ Liu ¹ , JM Harris ¹ , E Marchi ² , V D'Arienzo ¹ , T Michler ³ , PAC Wing ¹ , A Magri ¹ ,			
7	AM Ortega-Prieto ⁴ , M van de Klundert ³ , J Wettengel ³ , D Durantel ⁵ , M Dorner ^{4†} ,			
8	P. Klenerman ² , U Protzer ³ , S Giotis ⁴ and JA McKeating ^{1*}			
9				
10	1. Nuffield Department of Medicine Research Building, University of Oxford, Oxford, OX3 7LF. UK.			
11				
12	2. Medawar Building, University of Oxford, South Parks Road, Oxford OX1 3SY. UK.			
13				
14	3. Institute of Virology, Technical University of Munich/Helmholtz Zentrum München, Trogerstrasse 30,			
15	81675, Munich, Germany.			
16	4 Section of Melagular Viralogy, Department of Infactious Diseases, Imperial College London, U.O.			
17 18	 Section of Molecular Virology, Department of Infectious Diseases, Imperial College London, London, W2 1PG, UK. 			
18	1FG, UK.			
20	5. Cancer Research Center of Lyon (CRCL), INSERM U1052, and University of Lyon (UCBL1), Lyon, France			
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23	* Communicating author and [†] deceased author			
24				
25	ABSTRACT			
26	Hepatitis B virus (HBV) is the leading cause of hepatocellular carcinoma (HCC) worldwide. The prolyl hydroxylase			
27	domain (PHD)-hypoxia inducible factor (HIF) pathway is a key mammalian oxygen sensing pathway and is frequently			
28	perturbed by pathological states including infection and inflammation. We discovered a significant upregulation of			
29	hypoxia regulated gene transcripts in patients with chronic hepatitis B (CHB) in the absence of liver cirrhosis. We used			
30	state-of-the-art in vitro and in vivo HBV infection models to evaluate a role for HBV infection and the viral regulatory			
31	protein HBx to drive HIF-signalling. HBx had no significant impact on HIF expression or associated transcriptional			
32	activity under normoxic or hypoxic conditions. Furthermore, we found no evidence of hypoxia gene expression in HBV			
33	de novo infection, HBV infected human liver chimeric mice or transgenic mice with integrated HBV genome.			
34	Collectively, our data show clear evidence of hypoxia gene induction in CHB that is not recapitulated in existing models			
35	for acute HBV infection, suggesting a role for inflammatory mediators in promoting hypoxia gene expression.			
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1 INTRODUCTION

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3 HBV is a global health problem with more than 250 million people chronically infected and at least 780,000 deaths/year from HBV-related liver diseases such as liver cirrhosis and hepatocellular carcinoma (HCC)^{1,2}. HBV 4 replicates in hepatocytes within the liver and current anti-viral treatments suppress viral replication but are not 5 6 curative, largely due to the persistence of the viral covalently closed circular DNA (cccDNA) reservoir³. Chronic hepatitis B (CHB) is a virus-associated, inflammatory liver disease and one of the leading causes of HCC⁴, one of the 7 fastest rising and fourth most common cause of cancer related-death world-wide⁵. Curative therapies (tumour 8 ablation, resection or liver transplantation) are dependent on early detection, however, the majority of HBV and non-9 viral associated HCC cases are diagnosed at a late stage often resulting in a poor prognosis⁶. Despite significant 10 advances in our understanding of the HBV replicative life cycle, the mechanisms underlying HCC pathogenesis are not 11 12 well defined⁷.

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Although liver cirrhosis is a major risk factor for developing HCC, however, 10-20% of HBV infected patients that 14 15 develop HCC are non-cirrhotic, highlighting a role for HBV to promote carcinogenesis via direct and indirect inflammatory mechanisms⁷. Three major and non-exclusive viral-dependent pathways have been proposed: (i) 16 17 integration of viral DNA into the host genome: (ii) expression of viral oncogenic proteins and (iii) viral-driven changes in host gene transcription (reviewed in⁸). The viral encoded regulatory hepatitis B X protein (HBx) has been reported 18 to promote the expression of both viral and selected host genes, where a recent study reported HBx binding to >5,000 19 host genes with diverse roles in metabolism, chromatin maintenance and carcinogenesis⁹. There is clearly an urgent 20 21 need to increase our understanding of HBV mediated carcinogenesis to support the development of tools to identify 22 CHB patients at risk of HCC development.

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The liver receives oxygenated blood from the hepatic artery and oxygen-depleted blood via the hepatic portal vein, 24 25 resulting in an oxygen gradient of 8-4% across the periportal and pericentral areas, respectively^{10.} This oxygen gradient has been reported to associate with liver zonation, a phenomenon where hepatocytes show distinct functional and 26 structural heterogeneity across the parenchyma^{11,12}. Recent single-cell RNA sequencing analysis of the mouse liver 27 highlight a major role for hypoxic and Wnt signalling pathways to shape liver zonation profiles in the normal healthy 28 liver with an enrichment of hypoxic gene expression in the pericentral area¹³. Importantly, this oxygen gradient is 29 readily perturbed in pathological states such as infection, inflammation and cirrhosis¹⁴. One of the most well studied 30 oxygen sensing mechanisms is the hypoxia inducible factor (HIF) pathway¹⁵. As HIF-signalling pathways are altered in 31 many diseases, including cancer and inflammatory conditions, pharmacological approaches to modulate HIF activity 32 offer promising therapeutic opportunities^{16,17}. When oxygen is abundant, newly synthesised HIF α subunits, including 33 HIF-1 α and HIF-2 α isomers, are rapidly hydroxylated by prolyl-hydroxylase domain (PHD) proteins and targeted for 34 poly-ubiquitination and proteasomal degradation. In contrast when oxygen is limited these HIF α subunits translocate 35 to the nucleus, dimerize with HIF- β and positively regulate the transcription of a myriad of host genes involved in cell 36

metabolism, proliferation, angiogenesis and immune regulation. Dai *et al.* reported that increased HIF-1 α mRNA and protein expression in HCC are prognostic for more advanced disease stages and poor overall survival post-surgical tumour resection¹⁸. Furthermore, Xiang *et al.* and Zheng *et al.* showed that HIF-1 α protein expression is predictive of HCC lymph node metastasis and vascular invasion^{19,20}. Thus, HIF signalling could have an important role in progressive liver disease and HCC development¹⁴.

6

In addition to hypoxia, inflammation, oxidative stress and viral infection can promote HIF-transcriptional activity. The host inflammatory mediators nuclear factor-κB (NF-κB) and tumor necrosis factor-α (TNF-α) induce HIF-1α transcription^{21,22}. Reactive oxygen species (ROS) produced by inflammatory cells provide a further mechanism for inflammation-driven HIF-signalling²³⁻²⁵. Several viruses induce the HIF signaling pathway including hepatitis C virus²⁶⁻ human papillomavirus²⁹, Kaposi sarcoma-associated herpesvirus³⁰ and human cytomegalovirus³¹. Several reports have suggested that HBx can interact with and stabilize HIFs³²⁻⁴⁰, however, this proposed HBx-HIF interplay awaits validation in HBV replication *in vitro* and *in vivo* model systems.

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In this study, we report a significant upregulation of hypoxic gene expression in a cohort of chronic HBV infected patients⁴¹. However, our studies to investigate the underlying mechanism using state-of-the-art *in vitro* and *in vivo* HBV transgenic mice and human liver chimeric mice models show limited evidence of hypoxic gene expression. These studies highlight a major role of liver inflammation and a complex interplay between HBV and HIF signalling in the chronic infected liver that is not recapitulated by current infection-competent model systems. Collectively, our data show clear evidence of hypoxia-driven gene expression in CHB in the absence of cirrhosis or HCC development that may play a role in driving hepatocarcinogenesis.

22

23 **RESULTS**

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25 Increased hypoxia gene signature in chronic hepatitis B.

To determine whether there is any evidence of hypoxic associated transcription in CHB we used a published RNA-seq 26 transcriptome of hypoxic HepG2 cells⁴² (cultured at 0.5% oxygen for 16 hours) to identify 80 genes with a greater than 27 2-fold increase in transcript levels (false discovery rate (FDR) of < 0.05) (Supplementary Table 1). We assessed the 28 expression profile of these hypoxic regulated genes using a published liver transcriptome (Affymetrix-microarray) data 29 set obtained from a cohort of chronic HBV infected patients (CHB, n=90) that were free of cirrhosis or HCC and 30 uninfected control subjects (n=6 healthy)⁴¹. We excluded any patients that had no detectable serum HBV DNA. We 31 noted an increase in HIF-1 α mRNA levels in the CHB patients compared to control subjects (Log2 FC = 2.648, p=0.005). 32 Gene set enrichment analysis (GSEA) showed a significant enrichment in the hypoxia gene set in the infected patients 33 34 (Fig.1a). To extend this observation we used GSEA to screen the CHB cohort for expression of 67 HIF and hypoxia 35 signatures obtained from the Molecular Signatures Database (MSigDB v 7.0, https://www.gsea-36 msigdb.org/gsea/msigdb/). We observed an enrichment of over 50% of these gene sets in CHB cohort (FDR<0.25),

confirming increased expression of hypoxic genes in CHB liver (Fig.1b). To evaluate other enriched pathways in CHB 1 liver, GSEA was carried out using the Hallmark gene sets from MSigDB. This data base is curated to have minimal 2 3 overlap between categories, reducing noise and redundancy and summarize specific cell states or biological processes. This analysis identified genes associated with allograft rejection as the most significantly upregulated gene 4 5 set in CHB. Interestingly HIF-1 α was one of the leading-edge genes in this subset; contributing significantly to the core 6 enrichment score. Moreover, we noted a significant increase in inflammatory signaling pathways in CHB liver: 'TNFA 7 signaling via NF-kB', 'Inflammatory Response' and 'Interferon Gamma Response' (Fig.1c). In summary, these data 8 show increased hypoxic gene signatures in CHB liver that associates with an activation of inflammatory pathways.

9

10 Limited evidence for HBx to stabilise HIF-1α or HIF-2α expression or associated transcriptional activity *in vitro*.

As HBx is the major viral encoded transcriptional activator we wanted to assess its role in stabilizing HIFs and used the 11 12 bipotent HepaRG cell line engineered to express HBx (HepaRG-HBx_{WT}) under a tetracycline (Tet) inducible promoter^{43,44} and confirmed HBx expression (Fig.2a). HBx in this model system is functionally active and can restore 13 the replication of mutant viruses lacking HBx⁴⁴. HBx promotes viral transcription by degrading the host structural 14 maintenance of chromosomes (Smc) complex Smc5/645 and we confirmed the loss of Smc6 expression in Tet-induced 15 HepaRG-HBxwt cells (Fig.2a). As a control for these experiments we generated HepaRG cells encoding HBx with three 16 17 nonsense mutations (HepaRG-HBx_{STOP}). To assess whether HBx can promote or stabilize HIF expression we treated 18 HepaRG-HBx_{wt} or HepaRG-HBx_{stop} cells with Tet and cultured at 1% oxygen, a typical oxygen concentration used to model hypoxia ex vivo, or standard 'normoxic' laboratory conditions of 20% oxygen for 24h. HBx had minimal impact 19 20 on HIF-1a or HIF-2a protein (Fig.2b) or mRNA levels (Fig.2c) in HepaRG cells cultured at 20% oxygen. Culturing 21 HepaRG-HBx_{WT} or HepaRG-HBx_{STOP} cells under 1% oxygen confirmed HIF-1 α or HIF-2 α expression and importantly 22 showed a negligible effect of HBx on either HIF isoform (Fig.2b). To assess whether HBx altered HIF transcriptional activity we quantified the mRNA levels of four HIF-regulated host genes (CAIX, BNIP3, VEGFA or GLUT1) (Fig.2c) and 23 24 CAIX protein expression (Fig.2b) and observed no differences. Under normoxic conditions HIFs are hydroxylated by 25 the oxygen-dependent PHDs and targeted for proteosomal degradation. Oxygen reperfusion of hypoxic cells results in a time-dependent loss of HIFs and we assessed whether the presence of HBx could alter the kinetics of HIF 26 27 expression. A comparable decrease in HIF-1 α and HIF-2 α proteins was seen after 10-20 mins of oxygen reperfusion in both Tet treated and untreated cells (Fig.2d), demonstrating that HBx has a negligible effect on the kinetics of HIF 28 degradation. In summary, we demonstrate that HepaRG cells are responsive to low oxygen and show a significant 29 increase in hypoxia-associated gene transcription, this effect was not impacted by the co-expression of HBx. 30

To further investigate a role for HBx to stabilize HIF-1 α we used an adenoviral vector engineered to express HBx (Ad-HBx) and confirmed HBx expression and Smc6 degradation. Additionally, transduction of HepG2-NTCP cells with Ad-HBx restored the replication of an HBV virus with a mutated HBx open reading frame (HBV_x.) further demonstrating its functional activity (Fig.3a). HepG2-NTCP cells transduced with Ad-HBx or Ad-OVA (adenoviral vector expressing ovalbumin) were cultured at 20% or 1% oxygen and cells harvested over a 48h period. We confirmed HBx expression

1 24h post-transduction (**Fig.3b**) and observed expression of HIF-1 α after 8h at 1% O₂. Comparable expression levels of 2 HIF-1 α were noted in both Ad-HBx and Ad-OVA transduced cells, demonstrating a negligible effect of HBx on HIF-1 α 3 induction. These results further highlight a minimal role of HBx in regulating HIF1 α or HIF2 α mRNA or protein 4 expression.

5 Studying HIF transcriptional activity in HBV transgenic mice.

6 Since HBV can only infect humans and hominoid primates, no immune competent animal models are available that 7 support natural HBV infection. One of the most-widely used murine models for studying CHB are transgenic mice expressing HBV from a single integrated genome (HBVtg). HBVtg mice have been reported to develop HCC that show 8 9 similar chromosomal aberrations and gene expression patterns to human HBV-associated HCC⁴⁶. To study the effect 10 of HBV on HIF transcriptional activity in this model system, HBVtg mice were treated with lipid nanoparticle complexed, liver-targeted siRNAs designed to silence all HBV transcripts (siHBV)⁴⁷ or with an unspecific control siRNA 11 (siCtrl). The HBV-specific siRNA led to effective HBV silencing with greater than 95% reduction in HBeAg in the serum 12 (Fig.4a) and viral transcripts in the liver (Fig.4b). However, silencing HBV mRNAs and antigens had no impact on HIF 13 regulated gene transcripts (CAIX, VEGFA, GLUT1 and PHD2) (Fig.4c). These studies suggest a minimal role of HBV 14 15 encoded proteins or RNAs in promoting HIF transcriptional activity.

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17 Studying HIF transcriptional activity in HBV infected hepatocytes and human liver chimeric mice.

To complement the HBx studies described above we investigated the effect of HBV infection on HIF oxygen sensing 18 pathways in current state-of-the-art in vitro and in vivo models. HepG2-NTCP cells were infected with HBV and 19 cultured under normoxic conditions and sampled after 3 and 9 days to assess HIF-1a or HIF-2a expression. HBV gene 20 expression was confirmed by measuring HBeAg (53.96 ± 2.7 IU/mL) and HBsAg (12.63 ± 4.4 IU/mL), however, we failed 21 to detect either HIF or CAIX expression in the infected or non-infected cells (Fig.5a). As a control we treated HepG2-22 23 NTCP cells with a HIF PHD inhibitor (FG4592 at 30 μ M) and demonstrated HIF protein expression (Fig.5a). Analyzing a published transcriptomic RNA-seg data from HBV infected primary human hepatocytes⁴⁸ showed no evidence of 24 25 hypoxic gene upregulation (Fig.5b). To further validate our conclusions we used the chimeric human liver FNRG mouse model⁴⁹ to assess whether HBV infection would induce HIF signaling in this model. Female FNRG mice⁴⁹ between 8-26 12 weeks of age were transplanted with 0.5×10⁶ cryopreserved adult human hepatocytes by intrasplenic injection and 27 monitored for engraftment by measuring human albumin levels in the serum (at least 0.1mg human albumin per mL 28 in peripheral blood). Engrafted animals were infected with 0.5 million genome equivalent (GE) copies of HBV per 29 mouse and were monitored for HBV replication. Once stable viremia was established (minimum 5x10⁷ GE mL⁻¹ of 30 serum) the mice were sacrificed and livers harvested from HBV infected (n=4) and uninfected (n=3) animals for RNA 31 32 isolation and RNA-sequencing. Analysing these RNA-seq data sets showed minimal evidence for an increase in hypoxic transcriptional activity in the HBV infected livers (Fig.5b). For comparative purposes, we show that hypoxic genes were 33 upregulated in the CHB cohort⁴¹ (Fig.5b), demonstrating the influence of inflammation on gene regulation and 34 highlighting the limitations of current HBV replication models to model CHB. 35

1 DISCUSSION

In this study we identified increased hypoxia gene signatures in a CHB cohort in the absence of cirrhosis or HCC. We 2 noted an increase in HIF-1 α mRNA levels, consistent with their transcriptional regulation by inflammatory mediators 3 such as TNF α . Given previous reports that HBx can stabilize HIFs^{32-40,50}, we investigated whether functionally active 4 HBx could regulate endogenous HIF-1a and HIF-2a mRNA, protein and transcriptional activity in vitro. We found 5 6 minimal evidence for HBx regulation of HIFs in three independent model systems: an inducible HepaRG-HBx cell line; 7 an Ad-HBx transduced cell, and in de novo infection of HepG2-NTCP or PHHs. To reconcile our observations with 8 previous publications it is relevant to recognize the differences from earlier studies. Firstly, due to the technical 9 difficulties in visualizing HBx by western blotting or immunofluorescent imaging, many of the earlier studies did not confirm HBx expression. Secondly, the majority of studies did not validate the functional activity of the expressed HBx 10 protein. Finally, several studies assessed HBx stabilization of HIF-1 α using transient plasmid transfection systems with 11 12 hypoxia reporter constructs, rather than directly measuring HIF expression and HIF target gene modulation. Given our current knowledge that HBx degrades Smc6 that silences episomal DNA transcription, the interpretation of these 13 earlier plasmid based systems⁵¹ is now uncertain. Since we have directly confirmed expression and function of HBx in 14 15 our in vitro models and quantified endogenous HIF transcriptional activity under normoxic or hypoxic condtions we are confident that HBx does not modulate HIF expression or transcriptional activity in the model systems used. 16

17

Guerrieri et al. 2017 identified and validated a role for HBx in regulating genes involved in endocytosis, predominantly members of the Ras-related in brain (Rab) family⁹. Anti-HBx chromatin immunoprecipitation studies identified HBx binding sites that included RAB1A, RAB2B and RAB5B promotors and none of the validated Rab genes were listed in our hypoxic gene set (Supplementary Fig.1). Furthermore, GSEA of the CHB cohort or screening reactome gene sets showed only a modest enrichment in the 'Transferrin Endocytosis' pathway (Fig.1c), suggesting a minimal overlap between HBx and HIF regulated genes.

24

25 Our results support a model where HBV infection associated inflammatory responses promote HIF expression and these complex virus-cell interactions are not recapitulated by simple in vitro culture systems, HBV transgenic mice or 26 27 immunodeficient SCID human liver chimeric mouse models. Our bio-informatic analysis identified 25 hypoxia upregulated genes in chronic HBV infected patients, including LOXL2, SMIM3, TNS1, and IGFBP1. Notably, LOXL2 28 overexpression in HCC was previously associated with high tumour grade, metastasis, and poor patient overall and 29 disease-free survival⁵². LOXL2 was shown to mediate its pathogenic effects in HCC angiogenesis via vasculogenic 30 mimicry signalling, cytoskeleton reorganization, and bone-marrow derived cell recruitment^{52,53}. In fact, hypoxia and 31 HIF-1 α signalling have been identified as key regulators of LOXL2 and driver of its pathogenesis, consistent with our 32 observations^{53,54}. Another significantly upregulated gene in chronic HBV patients, *IGFBP1*, was recently reported to 33 be a HIF-2α regulated gene *in vitro* and *in vivo* model systems⁵⁵. Furthermore, *IGFBP1* is a known NFκB target gene 34 and is induced by HBV infection⁵⁶. These data suggest co-regulation of *IGFBP1* by inflammatory pathways including 35

NFkB and oxygen sensing mechanisms such as HIF signalling, which is consistent with our observation of inflammatory
 gene enrichment associating with hypoxia gene signature in CHB.

3

Our observation of increased hypoxic gene signature expression in CHB patients offers an important insight on HBV
 disease stage stratification and suggest areas for bio-marker discovery for early HCC detection. This is in agreement
 with previous studies that have associated higher HIFα mRNA and protein expression in HCC with worse prognostic
 outcomes for HCC patients ¹⁸⁻²⁰. Moreover, as the liver is a naturally physiologically low oxygen environment, future
 investigations exploring how oxygen sensing pathways regulate HBV replication and pathogenesis may identify novel
 therapeutic targets

11 MATERIALS AND METHODS

10 11 12

Cell lines and reagents. HepaRG cells expressing HBx under the control of a Tetracycline inducible promoter were 13 14 cultured in Williams E medium supplemented with 10% FBS, 50 U penicillin/streptomycin mL⁻¹, 5 µg human insulin 15 mL^{-1} and 5×10^{-7} M hydrocortisone hemisuccinate (Sigma). As a control we generated HepaRG cells expressing an inactive HBx null mutant (HepaRG-HBx_{STOP}) where three point nonsense mutations (relative to EcoRI site: C to A, 16 1393nt; C to A, 1396nt and C to T, 1397nt) were introduced to generate three stop codons (respectively, TGA, 1393nt; 17 TGA, 1396nt; TAA, 1397nt) in HBV genotype D. HepG2-NTCP cells⁵⁷ were maintained in Dulbecco's Modified Eagles 18 Medium (DMEM) supplemented with 10% fetal bovine serum (FBS), 2 mM L-glutamine, 1 mM Sodium Pyruvate, 50 19 IU penicillin/streptomycin mL⁻¹ and non-essential amino acids (Life Technologies, UK). Antibodies specific for HIF-1 α 20 were purchased from BD Biosciences (610959), anti-HIF- 2α was purchased from Novus (NB100-132), and anti-CAIX 21 22 was provided by the Harris laboratory (University of Oxford). HIF PHD inhibitor FG4592 was purchased from 23 Cambridge Biosciences, UK. Cells were incubated under hypoxia in an atmosphere-regulated chamber with 1% O₂: 5% 24 CO₂: balance N₂ (Invivo 400, Baker-Ruskinn Technologies). The Ad-HBx and Ad-Ova express the HBV genotype D HBx gene and chicken ovalbumin gene under control of the Transthyretin (TTR) promoter. Promoter and insert were 25 inserted into the E1 region of adenovirus (Ad5∆E1/E3) backbone plasmid pAd/PL-DEST through Gateway 26 recombination following the manufacturer's instructions (Gateway System; Invitrogen, Karlsruhe, Germany). Adeno 27 28 virus stocks were titrated using the cytopathic effect in HepG2 cells as previously described⁵⁸.

29

HBV genesis and infection. HBV was purified from a HepAD38 producer line as previously reported⁵⁷. Briefly, virus was purified using centrifugal filter devices (Centricon Plus-70 and Biomax 100.000, Millipore Corp., Bedford, MA) and stocks with a titre between 3×10^9 and 3×10^{10} viral genome equivalents (vge) per mL stored at -80°C. HBV-X- virus was purified from a HepG2 based cell line containing a HBV 1.3x overlength integrated viral genome where both 5' and 3' HBx genes were knocked out by a point mutation that changes the eight amino acid to a stop codon (CAA-to-TAA) as previously described⁴⁴. HepG2-NTCP cells were treated with 2.5% dimethyl sulphoxide (DMSO) for 3 days and inoculated with HBV at an MOI of 200 in the presence of 4% polyethylene glycol 8000. After 18-20h the inoculum was

1 removed by washing with PBS and the cells cultured in the presence of 2.5% DMSO. Secreted HBe and HBs antigen

- 2 were quantified by ELISA (Autobio, China).
- 3

4 PCR quantification of HBV RNA and HIF gene transcripts. Total cellular RNA was extracted using an RNeasy mini kit 5 (Qiagen) following the manufacturer's instructions and samples treated with RNase-Free DNasel (14 Kunitz units/rxn. 6 Qiagen) for 30 minutes at room temperature. RNA concentration was measured by NanoDrop 1000 spectrophotometer (Thermo Scientific) and cDNA synthesized with 0.25-1µg of RNA in a 20µL total reaction volume 7 8 using a random hexamer/oligo dT strand synthesis kit in accordance with the manufacturer's instructions (10 minutes at 25°C; 15 minutes at 42°C; 15 minutes at 48°C; SensiFast, Bioline). PCR amplification of HBV RNAs were performed 9 using primers as previously described⁴³ using a SYBR green real-time PCR protocol (qPCRBIO SyGreen, PCR Biosystems) 10 in a Lightcycler 96[™] instrument (Roche). The amplification conditions were: 95°C for 2 minutes (enzyme activation), 11 12 followed by 45 cycles of amplification (95°C for 5 seconds; 60°C for 30 seconds). HIF target genes were amplified using TaqMan® Gene Expression assays (CAIX [Hs00154208_m1]; VEGFA [Hs00900055_m1]; BNIP3 [Hs00969291_m1] and 13 GLUT1 [Hs00892681 m1]) (Thermo Fisher) and amplified using a Tagman real-time PCR protocol (gPCRBIO 14 15 probe, PCR Biosystems) using the same conditions as listed above.

16

HBV transgenic mice and siRNA delivery. Animal experiments were conducted in accordance with the German 17 18 regulations of the Society for Laboratory Animal Science (GV-SOLAS) and the European Health Law of the Federation of Laboratory Animal Science Associations (FELASA). Experiments were approved by the local Animal Care and Use 19 Committee of Upper Bayaria and followed the 3R rules. Mice were kept in a specific-pathogen-free facility under 20 appropriate biosafety level following institutional guidelines. HBVtg mice (strain HBV1.3.32)^{59,60} carrying a 1.3-fold 21 22 overlength HBV genome (genotype D) on a C57BL/6J background and both male and female mice between 12-15 weeks were used. The HBV specific siRNA (siHBV) was designed to silence all HBV transcripts by targeting the 3'region 23 24 of the HBV genome and the control siRNA (siCtrl) does not target any viral or known host transcripts. siRNAs were 25 complexed with Invivofectamine 3.0 reagent (ThermoFisher Scientific) before injecting 1 µg/g body weight into the tail vain. HBeAg was quantified from mouse sera after dilution with the Architect HBsAg Manual Diluent using the 26 27 quantitative HBeAg Reagent Kit (Ref: 6C32-27) with HBeAg Quantitative Calibrators (Ref.: 7P24-01) on an Architect TM platform (Abbott Laboratories, Wiesbaden, Germany). Immediately after sacrificing the mice and preparation of 28 the liver, an approximately 0.4 mm thick and 1-1.5 cm long peace of liver was placed in 500µL RNAlater. After storage 29 for 24h at 4°C (to allow RNA later to penetrate tissue) the tissue was transferred to -20°C and stored until RNA 30 preparation. RNA was prepared using the RNeasy Mini kit (Qiagen), where an approximate 20mg piece of frozen liver 31 was placed in a 2 mL micro-centrifuge tube pre-cooled on dry ice. After adding 600µL of Buffer RLT, tissue was 32 homogenized using the TissueLyser LT (Qiagen) for 5 min at 50 Hz. Total RNA was extracted following the protocol of 33 34 the RNeasy mini kit.

35

HBV infected human chimeric mice and RNA-sequencing. Mock and HBV infected mice were sacrificed and livers 1 harvested for RNA isolation and RNA-sequencing at the Beijing Genomics Institute (BGI, Hong Kong). RNA purity was 2 3 assessed with a NanoDrop 2000 spectrophotometer (Thermo Fisher Scientific) and integrity determined using a 2100 4 Bioanalyzer Instrument (Agilent Technologies). Sequencing was performed on a BGISEQ-500 (Beijing Genomics 5 Institute, Hong Kong) employing the PE100 mode to produce raw paired-end reads of 100 bp and SOAPnuke (v1.5.2) 6 software to filter out non-human sequencing reads, as previously reported^{50,61,62}. Clean reads (FASTQ files) were 7 uploaded to Partek Flow (version 8.0, build 8.0.19.1125; Partek Inc., St. Louis, MO, USA), quality-controlled, and aligned to the human genome (hg38) with STAR - 2.6.1d aligner software. Genes were quantified using the transcript 8 9 model Ensembl Transcripts release 91 and differential expression determined with DESeq2 (3.5). Microarray analysis was performed with Partek Genomics Suite (v6.6) as previously described⁶³. Scatter dot plots of fold change values 10 were plotted with Graphpad Prism version 8. RNA-seg data are deposited in the GEO archive at NCBI, with the 11 12 accession number SE145835 and entitled: Transcriptional profiling of hepatocytes isolated from chronically HBVinfected human liver chimeric mice. 13

14

Bioinformatic analyses. To determine whether HBV infection induces hypoxia-responsive genes, we interrogated the mRNA expression patterns of the liver chimeric mice RNA-Seq dataset for i) the top 80 hypoxia-induced genes as identified in HepG2 hepatic cells ⁴². In-house datasets were compared with RNA-seq⁴⁸ from HBV-infected primary human hepatocytes. Data were retrieved from GEO (accessions: GSE120886, GSE93153, GSE118295). For consistency, all datasets were re-analysed with the same Partek Flow bioinformatic pipeline. Microarray analysis was performed with Partek Genomics Suite (v6.6) as previously described⁶³ and data presented using Graphpad Prism 8.

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Statistical Analyses: All analyses were performed using Prism 8 (GraphPad, La Jolla, CA). Data are shown as means \pm SD, probabilities are indicated by * = p< 0.05, ** = p< 0.01, *** = p< 0.001 or **** = p<0.0001, with Bonferroni corrections for multiple testing when appropriate.

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34 AUTHOR CONTRIBUTION STATEMENT

PJL designed and conducted experiments and co-wrote the manuscript; JMH designed and conducted experiments and co-wrote the manuscript; EM analysed data; VD conducted experiments; TM designed and conducted experiments and co-wrote the manuscript; PACW conducted experiments; AM analysed data; AM O-P conducted experiments; M vd K provided reagents, JW provided reagents; DD provided reagents; MD designed experiments; PK provided expertise; UP provided reagents; SG analyzed data and co-wrote the manuscript and JAM designed the study and co-wrote the manuscript.

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42 ADDITIONAL INFORMATION

- 1 RNA-seq data from HBV infected mice are deposited in the GEO archive at NCBI, with the accession number SE145835
- 2 and entitled: Transcriptional profiling of hepatocytes isolated from chronically HBV-infected human liver chimeric
- 3 mice. Our in-house data was compared with RNA-seq⁴⁸ from HBV-infected primary human hepatocytes and data
- 4 retrieved from GEO (accessions: GSE120886, GSE93153, GSE118295).
- 5

6 COMPETING INTERESTS

- 7 None of the authors have any conflict of interest.
- 8
- 9

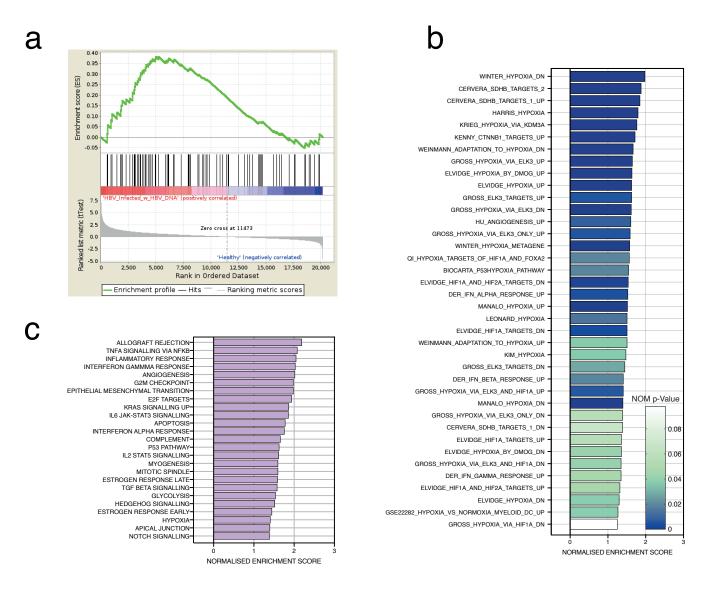
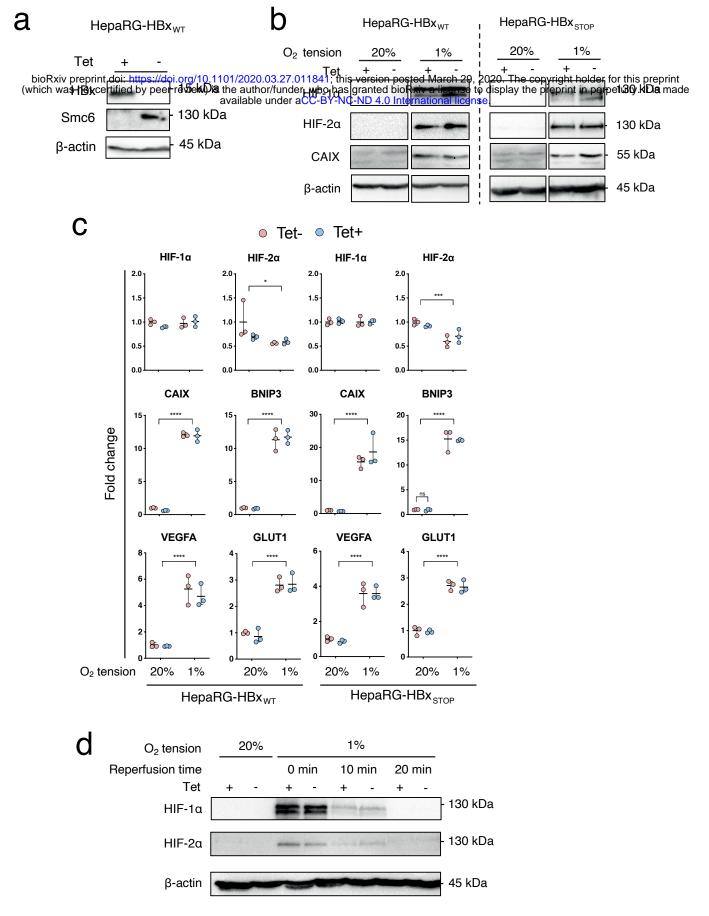
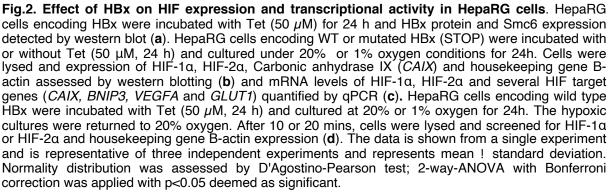


Fig.1. Increased hypoxia gene expression in CHB. GSEA shows a significant enrichment of HepG2 defined hypoxic genes⁴² in chronic HBV infected patients⁴¹ vs healthy patients (FDR = 0.06). The gene set was based on Fold Change > 2, and FDR < 0.05; 80 genes satisfied these criteria and are listed in supplementary Table 1 (**a**). HIF and hypoxia gene signatures from Molecular Signatures Database (MSigDB v 7.0, https://www.gsea-msigdb.org/gsea/msigdb/) were assessed in the CHB transcriptomic data set and 38 significantly upregulated genes identified (FDR<0.25) in CHB patient subsets ranked by Net Enrichment Score (NES) (**b**). Using the upregulated MSigDB hallmark gene sets, GSEA was used to identify the most upregulated pathways in the CHB cohort. 33 gene sets were significantly enriched (FDR<0.25). The image shows the top 25 most significantly enriched gene sets, ranked by NES (**c**).





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a

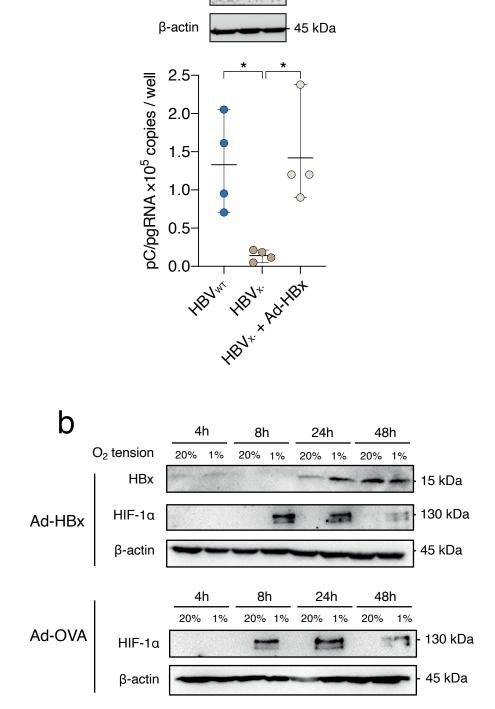
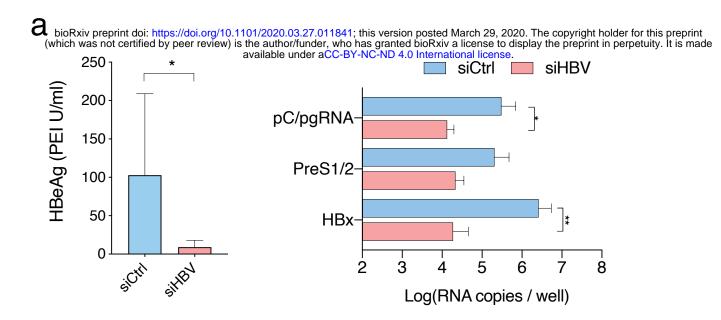
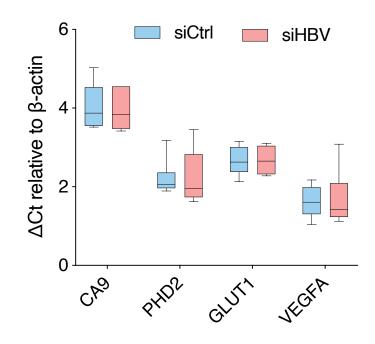


Fig.3. Effect of HBx expression on HIF expression and transcriptional activity in HepG2 cells. HepG2-NTCP cells were transduced with Ad-HBx and 24h later the cells were probed for HBx and Smc6 expression. In parallel experiments HepG2-NTCP cells were infected with HBV or a mutated virus lacking HBx (HBV_x) (MOI of 200) in the presence or absence of Ad-HBx and the major viral transcript, pregenomic RNA measured at 6 days post-infection (a). HepG2-NTCP cells were transduced with Ad-HBx or Ad-OVA and HBx and HIF-1 α expression assessed at selected times after culturing at either 20% or 1% oxygen (b). Data is shown from a single experiment and is representative of three independent experiments and mean data is presented. Normality distribution was assessed by D'Agostino-Pearson test; 2-way-ANOVA with Bonferroni correction was applied with p<0.05 deemed as significant.





b

Fig.4. Effect of silencing viral transcription in HBV transgenic mice on hypoxia target gene transcripts. HBV transgenic mice (n=6 per group) were treated with liver directed siRNAs targeting the HBx region (siHBV) which is commonly shared by all viral RNAs or with a control siRNA (siCtrl). Seven days later we assessed the efficacy of siHBV silencing by quantifying: serum HBeAg levels (**a**), HBV RNAs in the liver (**b**) and hypoxia target gene (*CAIX, VEGFA, GLUT1* and *PHD2*) RNAs (**d**). Hypoxia target genes values are expressed as Δ Ct values by subtracting the Ct value of the housekeeping gene β -actin from Ct value of the gene of interest. Mann Whitney test (**a**) or 2-way-ANOVA with Bonferroni correction (b) test were applied with p<0.05 deemed as significant.

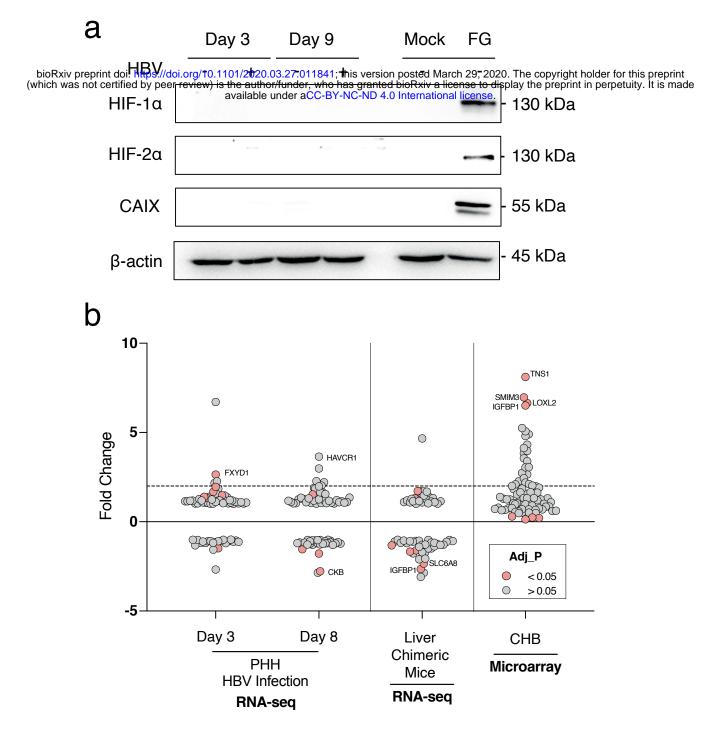


Fig.5. Comparing hypoxia gene signatures in HBV infected hepatocytes and humanized liver chimeric mice. Mock or HBV-infected HepG2-NTCP cells (MOI 200) were harvested after 3 or 9 days, lysed and assessed for HIF-1 α , HIF-2 α or CAIX expression and the housekeeping gene B-actin by western blotting. As a positive control HepG2-NTCP cells were treated with the HIF PHD inhibitor FG4592 (FG, 30 μ M) for 24h and protein lysates analysed by western blotting (**a**). Induction of hypoxic genes (Supplementary Tabe 1) in transcriptomic data of HBV infected primary human hepatocytes⁴⁸, HBV infected human liver chimeric mice and a CHB cohort (**b**). Fold change was calculated for each of the 80 genes in HBV infection against the healthy controls, where the dotted line represents a 2 fold change. For the CHB cohort, fold change was calculated from the raw Affymetrix, differential expression was tested using multiple t-tests and significance determined by p value <0.05.

Supplementary Table 1

80 Hypoxia signature genes

. ·	C -ma C		
Rank 1	Gene_Symbol LOXL2	Gene_Description Lysyl oxidase like 2	Accession_Number(s) NM 002318
		Small integral membrane protein 3	NM_002318 NM_032947
		Family with sequence similarity 115, member c pseudogene	NR 015421
		Wd repeat domain 54	NM_032118 /// XM_005264586 /// XM_006712111
		Docking protein 3	NM_001144875 /// NM_001144876 /// NM_001308235 /// NM_001308236 /// NM_024872 /// XM_00
		Hydroxycarboxylic acid receptor 3	NM_006018
_		Eh domain containing 2	NM_014601
8	TNS1	Tensin 1	NM_001308022 /// NM_001308023 /// NM_022648 /// XM_011511711 /// XM_011511712 /// XM_01
	HK1 SLC2A3	Hexokinase 1 Solute carrier family 2 member 3	NM_000188 /// NM_033496 /// NM_033497 /// NM_033498 /// NM_033500 /// XM_005269735 /// NM_006931
	GYS1	Glycogen synthase 1	NM 001161587 /// NM 002103 /// NR 027763
		Placenta specific 8	NM 001130715 /// NM 001130716 /// NM 016619
		Insulin like growth factor binding protein 1	NM_000596 /// NM_001013029
14	FXYD1	Fxyd domain containing ion transport regulator 1	NM_001278717 /// NM_001278718 /// NM_005031 /// NM_021902
15	LSP1	Lymphocyte-specific protein 1	NM_001013253 /// NM_001013254 /// NM_001013255 /// NM_001242932 /// NM_001289005 /// NM
-		Solute carrier family 6 member 8	NM_001142805 /// NM_001142806 /// NM_005629
17 18	TFF1 TUBB1	Trefoil factor 1 Tubulin beta 1 class vi	NM_003225 NM_030773
		Ribosomal modification protein rimk like family member a	NM 173642 /// XM 006710585
		6-phosphofructo-2-kinase/fructose-2,6-biphosphatase 3	NM 001145443 /// NM 001282630 /// NM 001314063 /// NM 004566 /// XM 005252463 /// XM 00
	СКВ	Creatine kinase b	NM_001823
22	RASSF5	Ras association domain family member 5	NM_031437 /// NM_182663 /// NM_182664 /// NM_182665
		Solute carrier family 51 alpha subunit	NM_152672
		Sperm associated antigen 4	NM_003116 /// XM_005260519 /// XM_005260520 /// XM_011529009 /// XM_011529010 /// XM_01
		Hepatitis a virus cellular receptor 1	NM_001099414 /// NM_001173393 /// NM_001308156 /// NM_012206 /// XM_006714840 /// XM_01 NM_001039667 /// NM_016109 /// NM_139314 /// NR_104213 /// XM_005272484 /// XM_00527248
	ANGPIL4 LOX	Angiopoietin like 4 Lysyl oxidase	NM_001039667 /// NM_016109 /// NM_139314 /// NK_104213 /// XM_005272484 /// XM_00527248 NM_001178102 /// NM_001317073 /// NM_002317
27	CA9	Carbonic anhydrase 9	NM_0011/8102 // NM_00131/0/5 // NM_00231/ NM_001216 /// XM_006716869 /// XM_006716870 /// XR_428428
		Iglon family member 5	NM 001101372
		6-phosphofructo-2-kinase/fructose-2,6-biphosphatase 4	
		Pleckstrin homology domain containing a2	NM_021623 /// XM_011544605 /// XM_011544606 /// XM_011544607 /// XM_011544608
		Lysyl oxidase like 3	NM_001289164 /// NM_001289165 /// NM_032603 /// XM_011533134
		Carbohydrate (n-acetylgalactosamine 4-sulfate 6-o) sulfotransferase 15	NM_001270764 /// NM_001270765 /// NM_014863 /// NM_015892 /// XM_005269891 /// XM_00526
	TMCC1 BHLHE40	Transmembrane and coiled-coil domain family 1 Basic helix-loop-helix family member e40	NM_001017395 /// NM_001128224 /// NM_015008 /// NR_033361 /// XM_006713542 /// XM_00671 NM_003670
		Egf like domain multiple 7	NM_005070 NM_016215 /// NM_201446 /// NR_045110 /// NR_045111 /// NR_046367 /// XM_006717141 ///
		Egl-9 family hypoxia inducible factor 3	NM 001308103 // NM 022073 // XM 006720015
		Potassium channel tetramerization domain containing 11	NM_001002914
39	FGF11	Fibroblast growth factor 11	NM_001303460 /// NM_004112 /// NR_130156
	TMEM45A	Transmembrane protein 45a	NM_018004 /// XM_005247569
	ISM2	Isthmin 2	NM_182509 /// NM_199265 /// NM_199296 /// XM_011536489
		N-myc downstream regulated 1 Serpin family e member 1	NM_001135242 /// NM_001258432 /// NM_001258433 /// NM_006096 /// XM_011516791 /// XM_01 NM_000602 /// NM_001165413
-		Espin	NM_00102 /// NM_001103413 NM_031475 /// XM_005263501 /// XM_011542231 /// XM_011542232 /// XM_011542233 /// XM_01
-	ADM	Adrenomedullin	NM 001124
46	RNASET2	Ribonuclease t2	NM_003730
47	FAM110C	Family with sequence similarity 110 member c	NM_001077710 /// XM_011510372 /// XM_011510373 /// XM_011510374
		Insulin like growth factor binding protein 3	NM_000598 /// NM_001013398
		Pyruvate dehydrogenase kinase 1	NM_001278549 /// NM_002610 /// NR_103729 /// NR_103731 /// XM_006712594 /// XM_00671259
		Platelet derived growth factor subunit b	NM_002608 /// NM_033016
		Protein unc-93 homolog a Protein phosphatase 2 regulatory subunit bgamma	NM_001143947 /// NM_018974 /// XM_011535905 /// XM_011535906 /// XM_011535907 /// XM_01 NM_001206994 /// NM_001206995 /// NM_001206996 /// NM_020416 /// NM_181876 /// XM_00524
		Family with sequence similarity 13 member a	NM_001265594 /// NM_001265578 /// NM_001265579 /// NM_001265578 /// NM_001265579 // NM_001265579 // NM_0001000000000000000000000000000000000
		Perilipin 2	NM_001122 /// NR_038064 /// XM_006716719
		Glycogen banching enzyme	NM_000158
56	LCN15	Lipocalin 15	NM_203347 /// XM_006717105 /// XM_011518672
		Zinc finger protein 395	NM_018660
		Ras-related protein rab-42	NM_001193532 /// NM_152304
		Phosphoglycerate kinase 1 Protein phosphatase 2 regulatory subunit 3c	NM_000291 NM_005398
		Bcl2/adenovirus e1b 19kda protein-interacting protein 3-like	NM_003336 NM_004331 /// XM_005273617 /// XM_011544630
	ANKRD37	Ankyrin repeat domain 37	NM_181726 /// XM_005262981
		Ankyrin repeat and zinc finger domain containing 1	NM_001042410 /// NM_001282792 /// NM_018089 /// XM_005246663 /// XM_011511392 /// XR_42
	APOL1	Apolipoprotein I1	NM_001136540 /// NM_001136541 /// NM_003661 /// NM_145343 /// NM_145344 /// XM_00526179
		Dna damage inducible transcript 4	NM_019058
		Patatin-like phospholipase domain-containing protein 7	NM_001098537 /// NM_152286 /// XM_006717102 /// XM_006717104 /// XM_011518664 /// XR_92
		Bcl2 interacting protein 3	NM_004052 NM_002943 /// NM_134260 /// NM_134261 /// NM_134262 /// XM_005254584 /// XM_011521873 /
		Rar related orphan receptor a Ndufa4 mitochondrial complex associated like 2	NM_002943 /// NM_134260 /// NM_134261 /// NM_134262 /// XM_005254584 /// XM_0115218/3 / NM_020142 /// XM_005269033 /// XM_011538573
		Phophatidylinositol glycan anchor biosynthesis class z	NM_025163 /// XM_005713758 /// XM_011513190 /// XM_011513191 /// XM_011513192
		Atp binding cassette transporter a7	NM_019112 /// NM_033308 /// XM_006722616 /// XM_010722617 /// XM_006722618 /// XM_01152
		Protein hairless	NM_005144 /// NM_018411 /// XM_005273569 /// XM_006716367
		Erythropoietin	NM_000799
		Transmembrane protein 145	NM_173633 /// XM_005258781 /// XM_011526791 /// XM_011526792
		Mir210 host gene	NR_038262
	CITED2 PLOD2	Cbp/p300 interacting transactivator with glu/asp rich carboxy-terminal domain 2 Procollagen-lysine,2-oxoglutarate 5-dioxygenase 2	NM_001168388 /// NM_001168389 /// NM_006079 NM_000935 /// NM_182943 /// XM_005247535 /// XM_005247536
		Inhibin alpha	NM 002191
_		Baculoviral iap repeat containing 7	NM_022161 /// NM_139317
	ALDOC	Aldolase, fructose-bisphosphate c	NM_005165 /// XM_005257949 /// XM_011524556
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