

1 **Neuronal adenosine A_{2A} receptors signal ergogenic effects of**
2 **caffeine**

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7 Coimbra) for making available the treadmill and gas analyzer.

8

9 **Contributions**

10 A.S.A.Jr designed and performed the experiments, prepared the figures, and
11 wrote the manuscript. A.E.S performed the experiments. P.M.C. designed the
12 experiments and wrote the manuscript. R.A.C. designed the experiments and
13 wrote the manuscript. All authors revised the manuscript.

14

15 **Competing Interests**

16 The authors declare no conflict of interest.

17

1 **Abstract**

2 Ergogenic aid is a substance or method used for enhancing exercise and sports
3 performance. Caffeine is the most used ergogenic aid for athletes, but the
4 mechanisms are still unknown. Forty-two adult female (19±0.6 g) and 40 male
5 mice (24±0.4 g) from a global and forebrain A_{2A}R knockout and colony (FMUC,
6 University of Coimbra) underwent an open field and ergospirometry exercise
7 test. Caffeine (15 mg/kg, i.p.) and SCH 58261 (1 mg/kg, i.p.) were administered
8 15 minutes before the animals ran to exhaustion. We also evaluate the estrous
9 cycle and infrared temperature (rest and recovery). Caffeine was
10 psychostimulant in wild type females and males, but we observed this expected
11 effect of SCH-58261 only in males. Caffeine and SCH-58261 were also
12 ergogenic for wild type animals, that is, they increased running power and
13 maximal O₂ consumption ($\dot{V}O_{2max}$). The psychostimulant and ergogenic effects
14 of caffeine and SCH-58261 disappeared in A_{2A}R knockout females (global) and
15 males (forebrain). The estrous cycle did not influence any evaluated
16 parameters, as well as exercise-induced hyperthermia was similar between
17 savages and knockouts. Our results suggest that the neuronal A_{2A}R receptors
18 signal the ergogenic effects of caffeine in female and male mice.

19 **Keywords:** Caffeine; Ergogenic; Fatigue; Oxygen consumption; SCH-58261.

20

1 INTRODUCTION

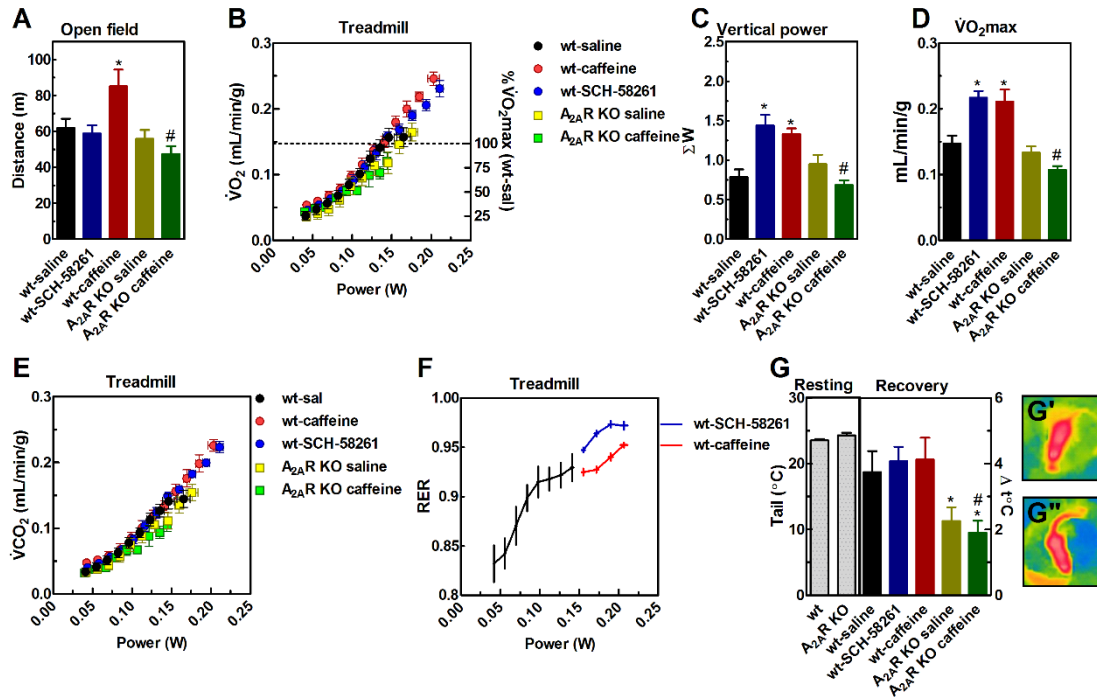
2 Caffeine is the most used ergogenic substance for athletes. Caffeine spike
3 exercise performance in rodents¹⁻⁵ and humans⁶⁻⁸. It increases endurance in
4 submaximal cycling in humans⁶⁻⁸ and on the treadmill in rodents¹⁻⁵. Candidate
5 mechanisms are controversial due to *in vivo* toxicity, such as blocking GABA_A
6 receptors, inhibition of phosphodiesterase and increased calcium mobilization
7 achieved with millimolar concentrations of caffeine⁹⁻¹⁰. This is the evidence used
8 by the sports sciences. However, adenosine receptors have a high caffeine
9 affinity for caffeine⁹⁻¹⁰. We hypothesized that adenosine A_{2A} receptors (A_{2A}R)
10 are essential for the ergogenic effects of caffeine, and evaluated its effects in an
11 exercise test.

12

13 RESULTS

14 Caffeine and SCH-58261 are not ergogenic in global A_{2A}R knockouts

15 Ergospirometry evaluates exercise submaximal and maximal performance
16 during ergometer exercise (power, O₂ and CO₂ kinetics) and exclude subjective
17 measures of fatigue^{1,3,11}. The test assessed the ergogenic effects of Caffeine
18 and SCH-58261 (a potent and selective A_{2A}R antagonist) and A_{2A}R knockout
19 (KO) mice. We used females in the first set of experiments, due to the
20 characteristic of our animal colony. There is no impairment in the use of females
21 for performance evaluation¹. In the open field, the basal motor behavior was not
22 different between wildtype (WT) and A_{2A}R KO ($t_{18} = 0.8$, Fig.1A). SCH-58261
23 did not modify the locomotion ($t_{18} = 0.4$, Fig.1A), and caffeine was
24 psychostimulant for WT animals, but not for A_{2A}R KO mice ($F_{1,36} = 5.8$, $P <$
25 0.05, Fig.1A).



1

2 Ergospirometry increased running power ($F_{7,210} = 6243$, $P < 0.05$, Fig.1B)

3 and O_2 consumption ($\dot{V}O_2$, $F_{7,196} = 255$, $P < 0.05$, Fig.1B) in a gradual manner,

4 without submaximal $\dot{V}O_2$ differences at speeds $15 \rightarrow 50$ cm/s (SCH-58261 $F_{7,77}$

5 = 0.8; caffeine \times A_{2A}R KO $F_{7,133} = 2.1$). These speeds ($15 \rightarrow 50$ cm/s)

6 correspond to exercise test stages fulfilled by all groups, and also the maximal

7 O_2 uptake ($\dot{V}O_{2max}$) of WT controls (wt-saline, Fig.1B – dotted line indicating

8 100%). High-performance speeds ($55 \rightarrow 65$ cm/s) were mainly reached by WT

9 animals treated with SCH-58261 or caffeine, reflecting the ergogenic profile of

10 A_{2A}R antagonists.

11 We demonstrated for the first time that SCH-58261 was ergogenic, that

12 is, it increase running power ($t_{16} = 2.1$, $P < 0.05$, Fig.1D) and $\dot{V}O_{2max}$ ($t_{16} = 3.3$,

13 $P < 0.05$, Fig.1D) of WT females. The ergogenic effects of caffeine were

14 demonstrated in rodents²⁻⁶. These effects were reproduced in our Lab by

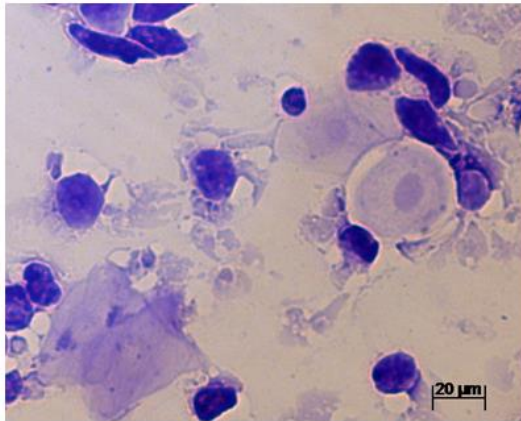
15 demonstrating increased running power ($F_{1,32} = 21$, $P < 0.05$, Fig.1C) and

1 $\dot{V}O_2\text{max}$ ($F_{1,32} = 11$, $P < 0.05$, Fig.1D). According to hypothesis, caffeine was
2 not ergogenic for $A_{2A}R$ KO mice; caffeine unchanged running power ($F_{1,32} = 21$,
3 $P < 0.05$, Fig.1C) and $\dot{V}O_2\text{max}$ ($F_{1,32} = 11$, $P < 0.05$, Fig.1D).

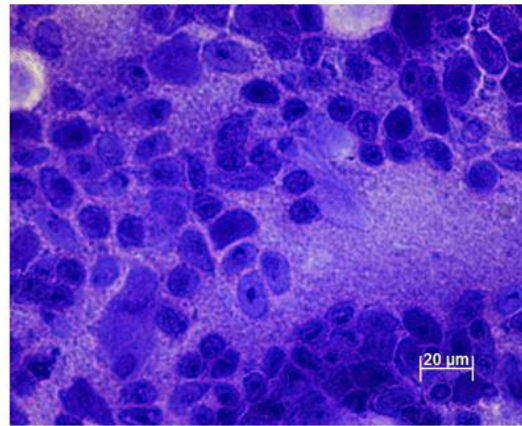
4 Ergospirometry also evaluates substrate utilization during exercise
5 through respiratory-exchange ratio ($RER = \dot{V}CO_2/\dot{V}O_2$). CO_2 production ($\dot{V}CO_2$)
6 had similar kinetics to $\dot{V}O_2$ during the exercise test at speeds $15 \rightarrow 50$ cm/s
7 ($F_{7,210} = 257$, $P < 0.05$, Fig.1E). RER increased during exercise ($F_{1,189} = 15.8$, P
8 < 0.05 , Fig.1F) at these speeds, without any effect of SCH-58261 ($F_{7,77} = 0.8$) or
9 caffeine \times genotype ($F_{7,133} = 1.2$).

10 Exercise and substrate oxidation produce heat; we have described tail
11 hyperthermia as an index of exercise-induced thermoregulation¹. That away, 3
12 females at estrous (Fig.S1C) were excluded due to large exercise-induced tail
13 hyperthermia at this stage of estrous cycle¹. The following results refer to
14 females in diestrus (Fig.S1A), proestrus (Fig.S1B) and metestrus (Fig.S1D). Tail
15 resting IR temperature was similar among wildtype and $A_{2A}R$ KO mice ($t_{34} = 3.4$,
16 Fig.1G). The Fig.1G' shows the IR profile of female tails at rest, and the tail
17 heating (candle effect) caused by high-intensity exercise (Fig.1G''). Tail
18 temperature increased approximately $4 \pm 0.3^\circ C$ for WT and $2.1 \pm 0.3^\circ C$ for $A_{2A}R$
19 KO mice, with a significant effect of genotype factor ($F_{1,27} = 15$, $P < 0.05$,
20 Fig.1G). There were no significant effects of SCH-58261 ($t_{16} = 0.5$, Fig.1G) or
21 caffeine ($F_{1,27} = 1.6$, Fig.1G) on exercise-induced tail heating.

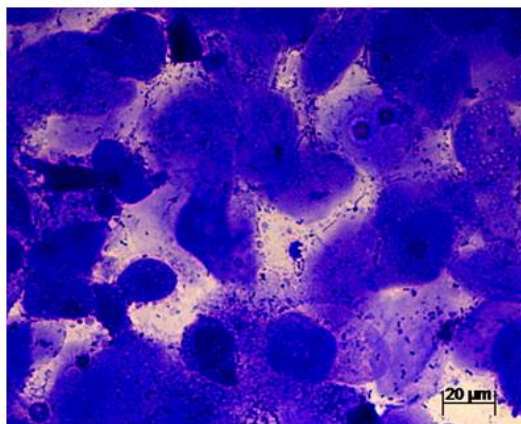
A Diestrus



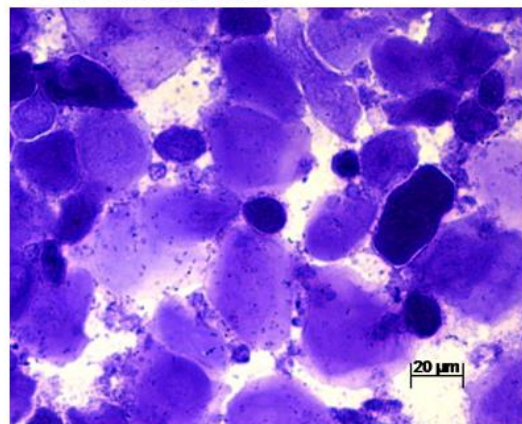
B Proestrus



C Estrus



D Metestrus



1

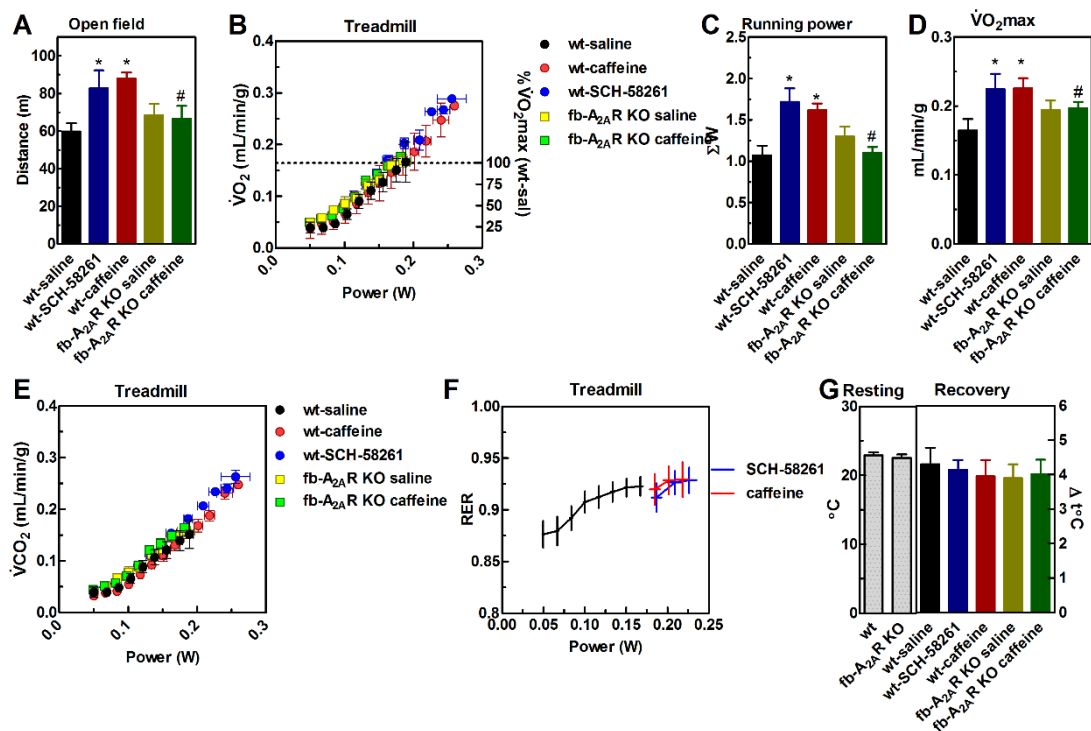
2 **The ergogenic effects of caffeine and SCH-58261 depend on neuronal**

3 **A_{2A}R receptors**

4 These results suggest the robust role of A_{2A}R in the ergogenic effects of
5 caffeine. We use forebrain neuron-specific A_{2A}R KO mice (fb-A_{2A}R KO) to
6 understand whether the role of A_{2A}R is cell-type specific. ‘Floxed’ A_{2A}R mice
7 were crossed with calmodulin-dependent protein kinase II α subunit (CaMKII α)-
8 Cre transgenic line⁷. This experiment was carried out with males, again due to
9 the characteristics of our animal colony.

10 SCH-58261 ($t_{21} = 2.3$, $P < 0.05$, Fig.2A) and caffeine ($F_{1,34} = 8.6$, $P <$
11 0.05 , Fig.2A) were psychostimulants for WT mice in the open field. But caffeine

1 did not change the locomotion of fb-A_{2A}R KO mice. There were no submaximal
 2 differences in ergospirometry for speeds 15 → 55 cm/s (Fig.2B-F). Fig.2C-D
 3 shows the ergogenic effect of SCH-58261 on running power ($t_{16} = 3.3$, $P < 0.05$)
 4 and $\dot{V}O_{2max}$ ($t_{16} = 2.1$, $P < 0.05$) in WT mice, as did caffeine (Power $F_{1,26} =$
 5 10.7; $\dot{V}O_{2max}$ $F_{1,30} = 5.0$). Caffeine was no longer ergogenic in fb-A_{2A}R KO
 6 mice.



7

8 Then we see no differences in RER (Fig.2F) and tail temperature
 9 (Fig.2G). SCH-58261 ($F_{1,56} = 0.3$) and caffeine \times genotype ($F_{1,98} = 0.2$)
 10 unchanged RER (Fig.2F). Tail temperature was similar at rest ($t_{30} = 0.5$) and
 11 after maximal exercise ($F_{1,26} = 0.07$) for all groups.

12

1 DISCUSSION

2 Caffeine increases exercise performance in rodents¹⁻⁵ and humans⁶⁻⁸. Early
3 evidence demonstrated metabolic changes during exercise, through
4 glycolysis/glycogenolysis inhibition (and glycogen economy) by increasing fat
5 oxidation (and increased RER and thermogenesis)⁶⁻⁷. Authors speculated on
6 the phosphodiesterase inhibition and calcium mobilization evidence⁹⁻¹⁰. But
7 there is a serious lack of support for all these metabolic changes^{4,13-17}.
8 Increased fat oxidation should decrease RER. However, we did not observe
9 submaximal differences in ergospirometry ($\dot{V}O_2$, $\dot{V}CO_2$, and RER), only in peak
10 exercise performance (power and $\dot{V}O_{2max}$) in SCH-58261 and caffeine-treated
11 WT animals. These effects were shown to be $A_{2A}R$ -dependent. The main
12 substrate typically changed during the exercise test, from initially fat (RER \approx
13 0.7) to carbohydrate (RER \approx 1.0). This pattern remained even in $A_{2A}R$ KO mice.
14 Caffeine and SCH-58261 also did not change exercise-induced tail heating, or
15 thermogenesis. This effect of caffeine was been ruled out in exercising
16 subjects^{8,9}. Our data does not support the metabolic mechanisms of caffeine for
17 its ergogenic effects.

18 Caffeine is lipophilic, easily crosses blood-brain barrier and reaches CNS
19 20-50 μ M levels after 1-3 cups of coffee⁹, sufficient to antagonize A_1R and
20 $A_{2A}R$. Caffeine is psychostimulant^{9,19,20} and decreases the rate of perceived
21 exertion (RPE) during exercise^{5,8,17}, which opens the central fatigue hypothesis
22 of caffeine also for its ergogenic effects. Here, caffeine was not psychostimulant
23 and ergogenic in fb- $A_{2A}R$ KO mice. There are two other pharmacological
24 studies in this same line of evidence. The intracerebroventricular injection of
25 caffeine (200 μ g) was ergogenic in rats⁴, and the nonselective adenosine

1 receptor agonist, 5'-(N-Ethylcarboxamido)adenosine (NECA) defeat this effect⁴.
2 In a reverse experimental design, Zheng & Hasegawa² showed that systemic
3 caffeine reversed the poor running performance of NECA-treated rats. Highlight
4 for the non-selective mechanism of NECA, in contrast to highly selectivity of
5 SCH-58261 by A_{2A}R. Our data reinforce the ergogenic role of A_{2A}R antagonism
6 in the CNS, which shows similarities to psychostimulant effects of caffeine and
7 SCH-58261. The psychostimulant effects of caffeine depend on dopaminergic
8 signaling. As for its less investigated ergogenic effect, caffeine increases
9 extracellular levels of dopamine in the cerebrospinal fluid of running rats².
10 Caffeine is also a negative allosteric modulator of D₂ receptors of A_{2A}R-D₂R G-
11 protein coupled receptor (GPCR) heteromers in the basal ganglia^{10,11}. Selective
12 A_{2A}R antagonists improve dopaminergic signaling through increased availability
13 of D₂/D₃ receptors¹¹, causing wakefulness and psychostimulation. Caffeine,
14 methylphenidate (dopamine and norepinephrine reuptake inhibitor) and
15 reboxetine (selective noradrenaline reuptake inhibitor) are ergogenic¹²⁻¹⁴ and
16 reduce saccade eye fatigue after exercise, a marker of central fatigue^{14,15}.
17 Caffeine also reduces central fatigue induced by transcranial magnetic
18 stimulation (TMS)¹⁶. In summary, our data supports the well-set role of neuronal
19 A_{2A}R for ergogenic effects of caffeine.

20

21 **METHODS**

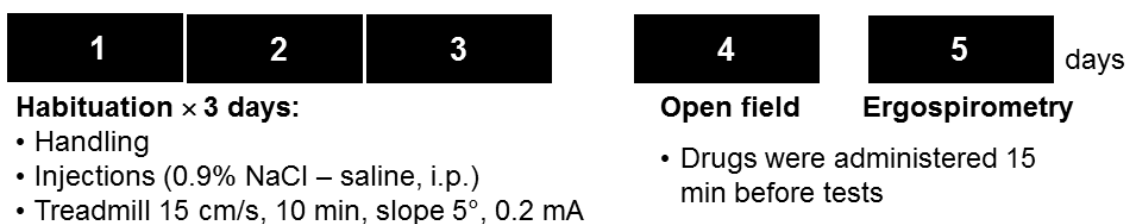
22 **Animal colony and drugs**

23 The animals used were male (23.9 ± 0.4 g, 8-10 weeks old) and female mice
24 (20 ± 0.2 g, 8-10 weeks old) from our global-A_{2A}R knock-out (KO) mice (A_{2A}R
25 KO)¹⁷ and forebrain-A_{2A}R KO (fb-A_{2A}R KO)¹⁸ inbred colony and wildtype (WT)

1 littermates. Cre-LoxP method generate fb-A_{2A}R KO¹⁸. The generation and
2 genotyping of A_{2A}R KO and fb-A_{2A}R KO mice were previously described^{17,18}.

3 Mice were housed in HEPA-filtered ventilated racks and collective cages
4 (n = 3-5) under controlled environment (12 h light-dark cycle, lights on at 7:00
5 AM, and room temperature of 21 ± 1°C) with *ad libitum* access to food and
6 water. Housing and handling were performed according to European Union
7 guidelines. The study was approved by the Ethical Committee of the Center for
8 Neuroscience and Cell Biology (University of Coimbra).

9 Experimental design is shown in Fig.S2. The animals were habituated to
10 handling, injections (0.9% NaCl – saline, i.p.) and treadmill for 3 days. SCH-
11 58261 (1 mg/kg, i.p., dissolved in 10% DMSO in 0.9% NaCl – saline) and
12 caffeine (15 mg/kg, i.p., dissolved in saline) were freshly prepared and
13 administered systemically (volume 10 ml/kg body weight) on days 4 and 5, 15
14 minutes before open field (4th day) and ergospirometry (5th day). All behavioral
15 tests and drug treatments were carried out between 9:00 and 17:00 hours in a
16 sound-attenuated and temperature/humidity controlled room (20.3±0.6 °C,
17 62.8±0.4 %H₂O) under low-intensity light (≈ 10 lux). Open field and treadmill
18 were cleaned with 10% EtOH between individual experiments. Allocation to the
19 experimental groups was random. For each test, the experimental unit was an
20 individual animal.



1 **Open field**

2 The exploration of an open field (38 × 38 cm) was analyzed for 15 min using the
3 ANY-maze™ video tracking system (Stoelting Co.).

4

5 **Ergospirometry**

6 Mice were accustomed with a single-lane treadmill (Panlab LE8710, Harvard
7 apparatus) at speed 15 cm/s (10 min, slope 5°, 0.2 mA) with 24 h interval
8 between each habituation session (Fig.S2). The incremental running protocol
9 started at 15 cm/s with an increment of 5 cm/s every 2 min at 5° inclination. The
10 exercise lasted until running exhaustion, defined by the inability of the animal to
11 leave the electrical grid for 5 seconds^{1,19,20}. We estimated the power output for
12 treadmill running based on a standard conversion of the vertical work, body
13 weight and running speed^{1,21,22}.

14 Oxygen uptake ($\dot{V}O_2$) and carbon dioxide production ($\dot{V}CO_2$) were
15 estimated in a metabolic chamber (Gas Analyzer ML206, 23 × 5 × 5 cm, AD
16 Instruments, Harvard) coupled to treadmill. The animals remained in the
17 chamber for 15 min prior to exercise testing. Atmospheric air ($\approx 21\%$ O₂, $\approx 0.03\%$
18 CO₂) was renewed at a rate 120 mL/min, using the same sampling rate for the
19 LASER oxygen sensor (Oxigraf X2004, resolution 0.01%) and infrared carbon
20 dioxide sensor (Servomex Model 15050, resolution 0.1%).

21

1 **Vaginal cytology**

2 We evaluated the estrous cycle immediately after the exercise test, through 4-5
3 consecutive vaginal lavages (with 40-50 μ L of distilled H₂O) then mounted on
4 gelatinized slides (76 x 26 mm)^{23,24}. These procedures lasted no more than 3-5
5 minutes, and there were no major temporal delays between behavioral
6 experiments and fluid collection for vaginal cytology¹.

7 The vaginal smear were desiccated at room temperature and covered
8 with 0.1% crystal violet for 1 min, then twice washed with 1 mL H₂O and
9 desiccated at room temperature. The slides were mounted with Eukitt medium
10 (Sigma-Aldrich) and evaluated under an optical microscope at 1x, 5x and 20x
11 (Zeiss Axio Imager 2). The characterization of the estrous cycle was performed
12 according to literature^{23,24}. Females were categorized for initial (metestrus) or
13 late (diestrus) follicular phase, ovulation (proestrus), or luteal phase (estrus)

14

15 **Thermal imaging**

16 An infrared (IR) camera (FLiR C2, emissivity of 0.95, FLiR Systems) placed
17 overtop (25 cm height) of a plastic tube (25 cm diameter) was used to acquire a
18 static dorsal thermal image. IR images were taken immediately before and after
19 exercise tests, namely at resting and recovery (Fig.2G), respectively. IR images
20 were analyzed with FLiR Tools software (Flir, Boston)^{1,25}.

21

1 **Statistics**

2 Data are presented as mean \pm Standard Error of the Mean (SEM). Data were
3 evaluated through Student's t-test or Analysis of Variance (ANOVA), followed by
4 the Bonferroni post-hoc test. The differences were considered significant when
5 P < 0.05.

6

7 **Data Availability**

8 The datasets generated and analyzed during the current study are available
9 from the corresponding author on reasonable request.

10

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11

1 LEGENDS TO FIGURES

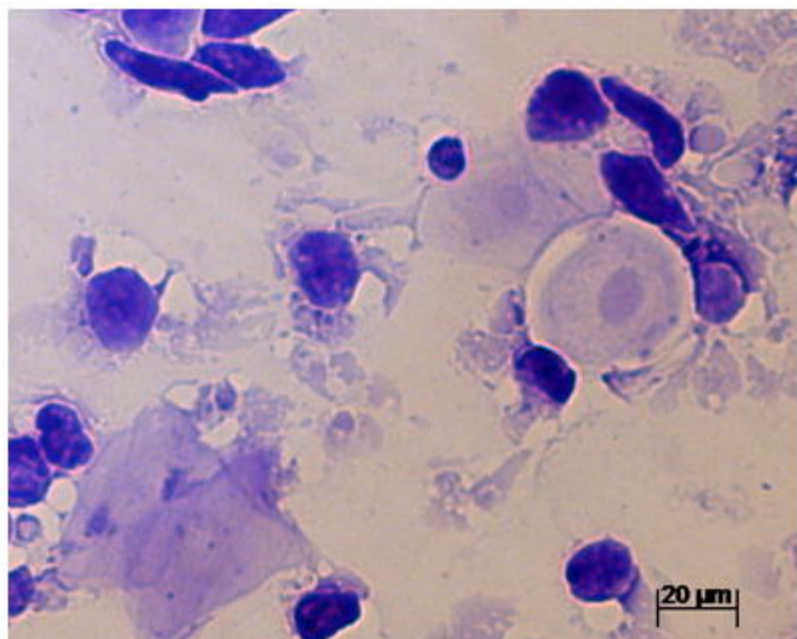
2 Fig.1 – Effect of caffeine (15 mg/kg, i.p.) and SCH-58261 (1 mg/kg, i.p.) on the
3 basal locomotion and exercise performance of female mice (A). Caffeine was
4 psychostimulant in the open field, but not in caffeine-treated $A_{2A}R$ KO mice.
5 Ergospirometry increased $\dot{V}O_2$ (B), $\dot{V}CO_2$ (E), RER (D) and running power (B)
6 until the animals reached fatigue. Caffeine and SCH-58261 increased running
7 power (C) and $\dot{V}O_{2max}$ of WT (B), but not $A_{2A}R$ KO. Resting tail temperature
8 was not different (G). Exercise-induced tail hyperthermia was greater in WT
9 than in $A_{2A}R$ KO, with no caffeine or SCH-58261 effect. Infrared Fig.G'-G''
10 illustrates the tails lighting up with the heat. Values are expressed as mean \pm
11 standard error of the mean (SEM). N = 8-9 animals/group for 12 independent
12 experiments. * P < 0.05 vs. saline for SCH-58261 (Student's t-test) or caffeine
13 (ANOVA, Bonferroni post hoc test). # P < 0.05 vs. wt-caffeine (ANOVA,
14 Bonferroni post hoc test).

15

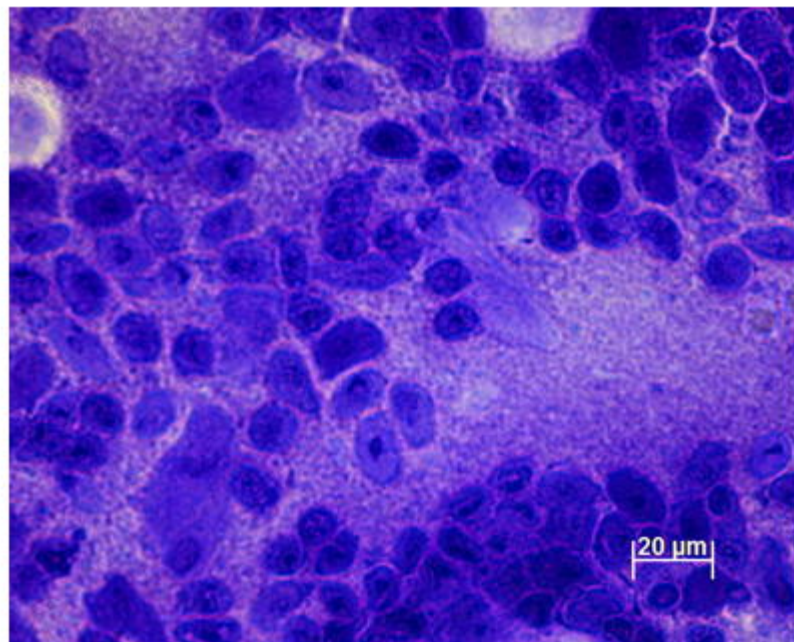
16 Fig.2 – Effect of caffeine (15 mg/kg, i.p.) and SCH-58261 (1 mg/kg, i.p.) on the
17 basal locomotion and exercise performance of male mice (A). Caffeine and
18 SCH-58261 were psychostimulant in the open field, but not in caffeine-treated
19 forebrain- $A_{2A}R$ KO mice. Ergospirometry increased $\dot{V}O_2$ (B), $\dot{V}CO_2$ (E), RER (D)
20 and running power (B) until the animals reached fatigue. Caffeine and SCH-
21 58261 increased running power (C) and $\dot{V}O_{2max}$ of WT (B), but not forebrain-
22 $A_{2A}R$ KO. Tail temperature was not different at resting and after exercise (G).
23 Values are expressed as mean \pm standard error of the mean (SEM). N = 8-9
24 animals/group for 12 independent experiments. * P < 0.05 vs. saline for SCH-

- 1 58261 (Student's t-test) or caffeine (ANOVA, Bonferroni post hoc test). # P <
- 2 0.05 vs. wt-caffeine (ANOVA, Bonferroni post hoc test).

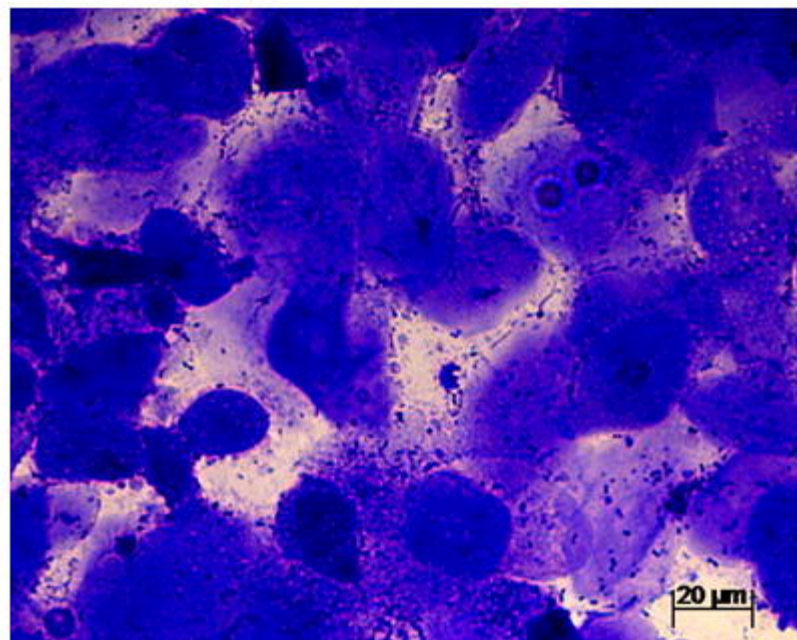
A Diestrus



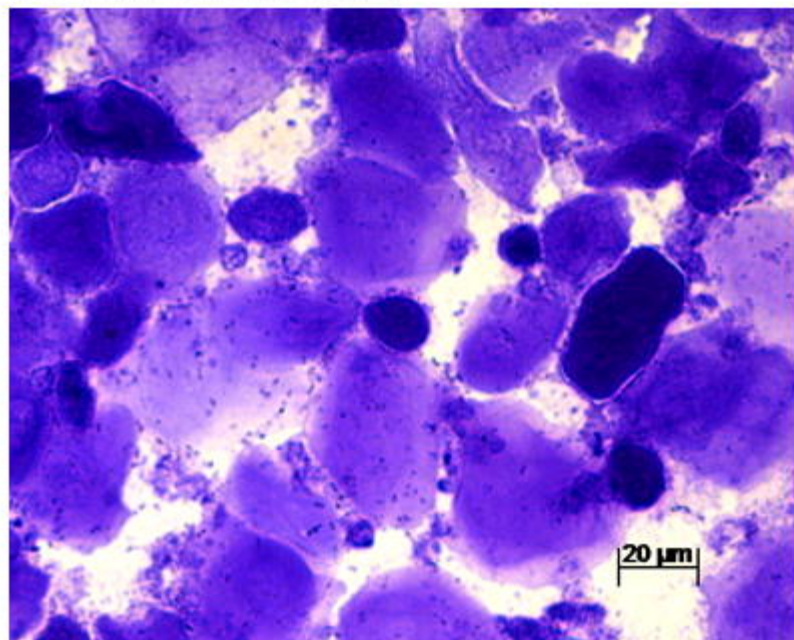
B Proestrus



C Estrus



D Metestrus



1

2

3

4

5

days

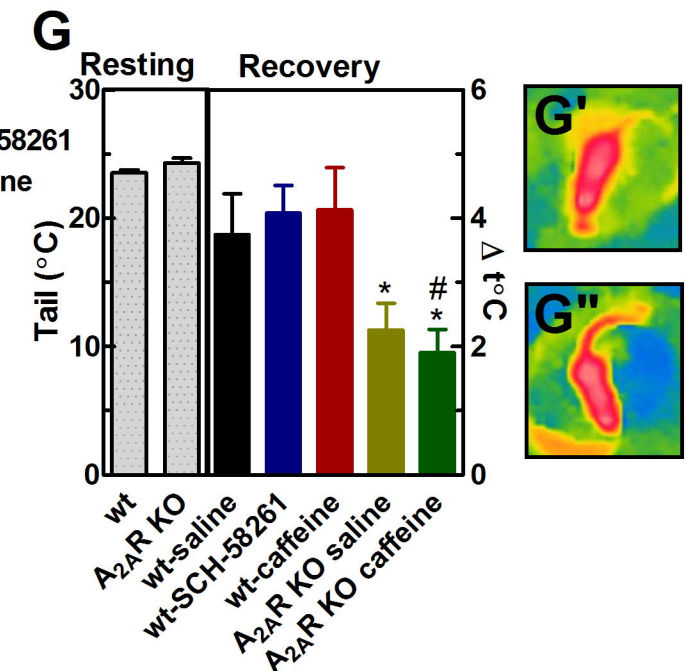
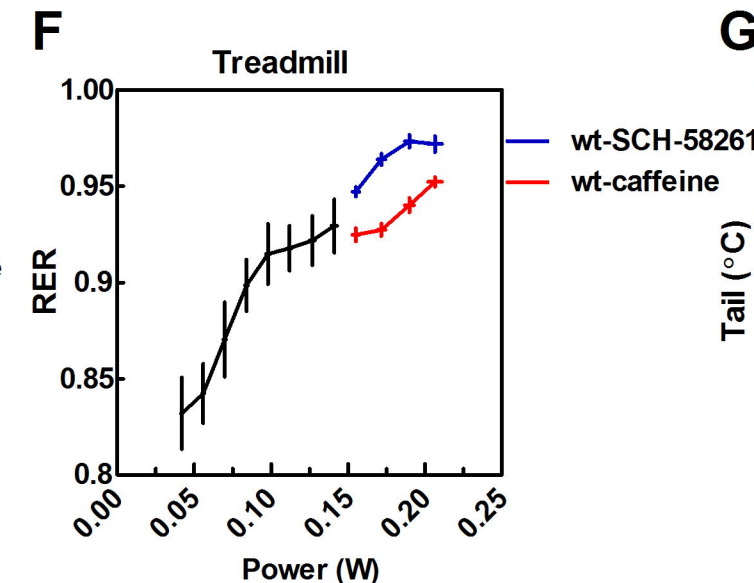
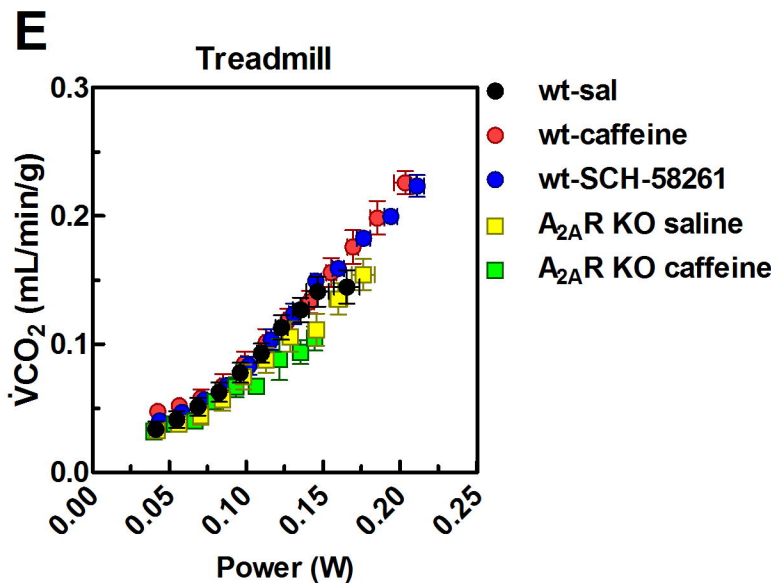
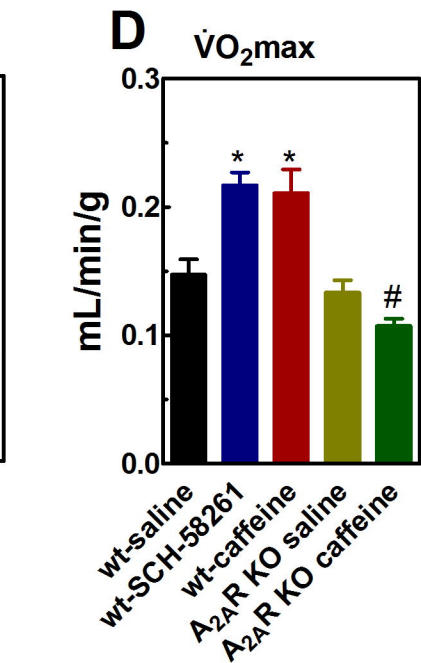
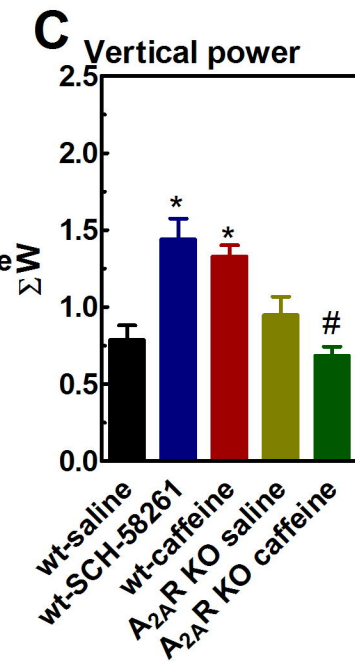
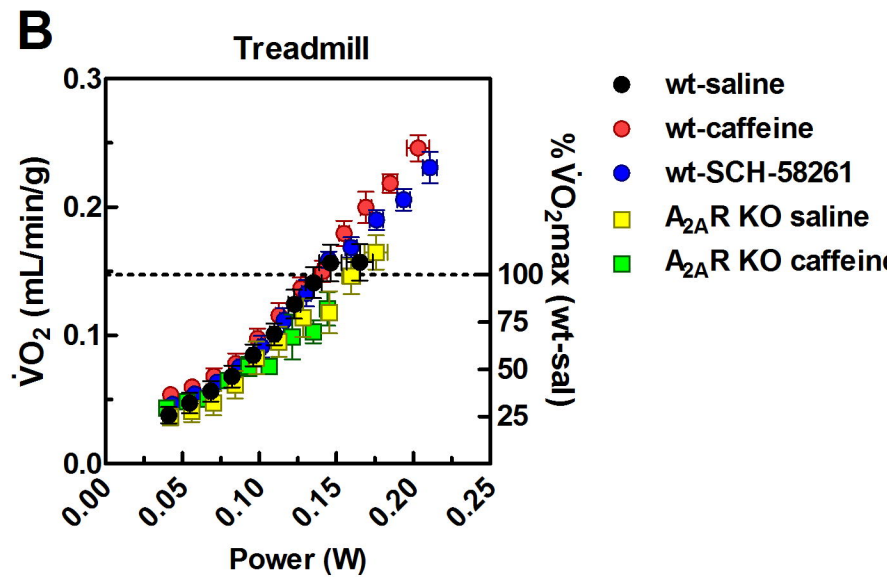
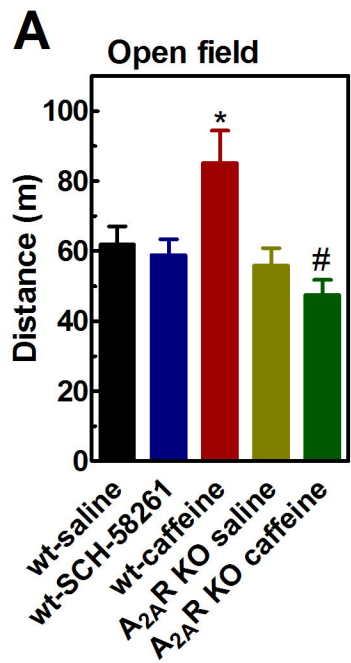
Habituation × 3 days:

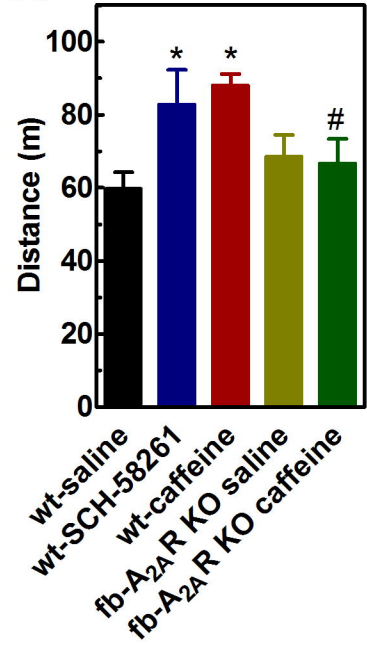
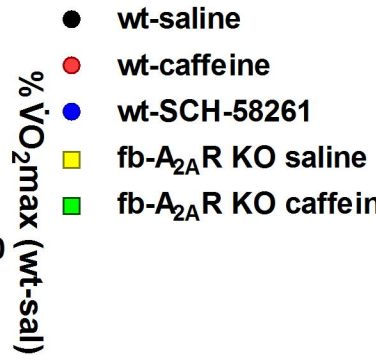
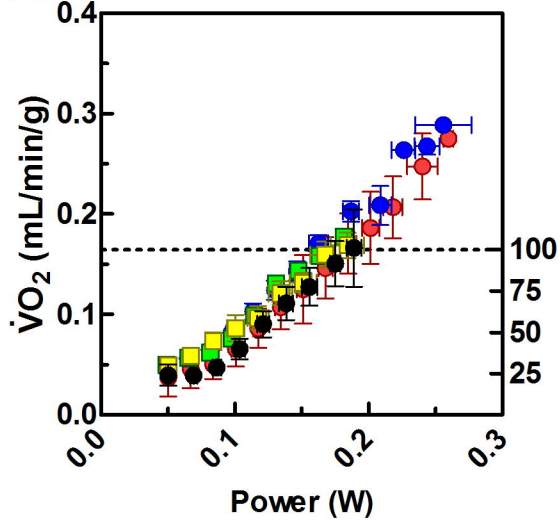
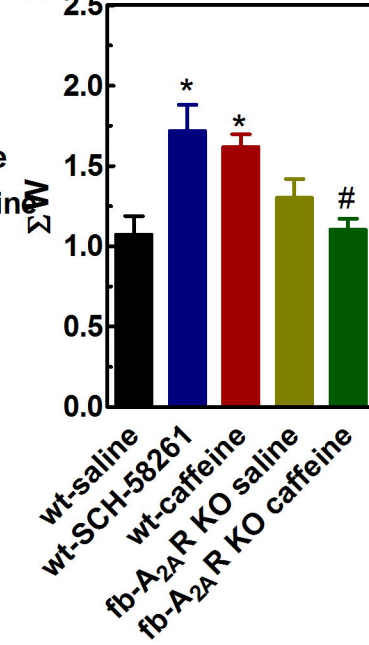
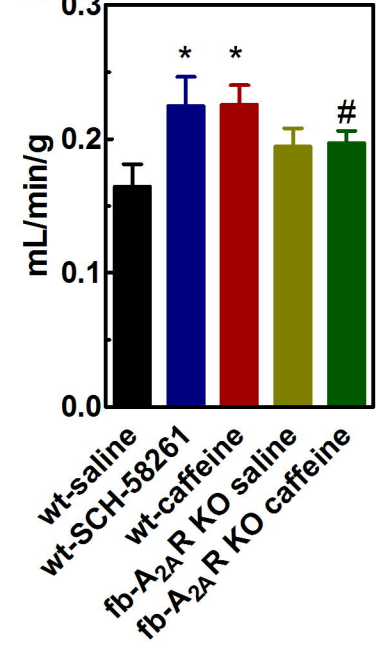
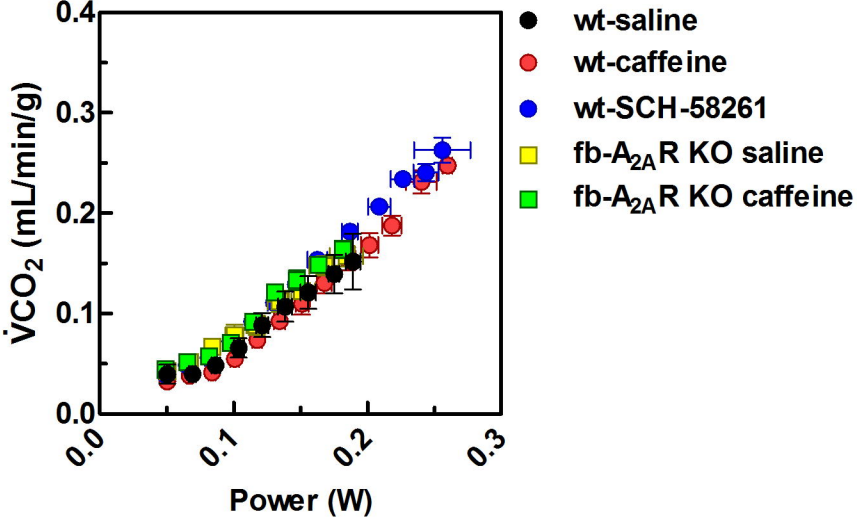
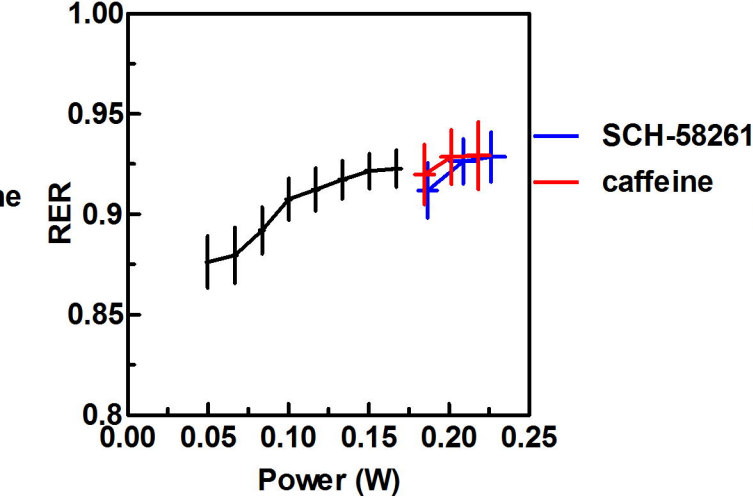
- Handling
- Injections (0.9% NaCl – saline, i.p.)
- Treadmill 15 cm/s, 10 min, slope 5°, 0.2 mA

Open field

- Drugs were administered 15 min before tests

Ergospirometry



A Open field**B** Treadmill**C** Running power**D** $\dot{V}O_2$ max**E** Treadmill**F** Treadmill**G** Resting Recovery