

1 **Intralaboratory evaluation of luminescence based high-throughput**
2 **Serum Bactericidal Assay (L-SBA) to determine bactericidal activity of**
3 **human sera against *Shigella***

4 **O. Rossi¹, E. Molesti¹, A. Saul¹, C. Giannelli¹, F. Micoli¹, F. Necchi^{1*}**

5 ¹ GSK Vaccines Institute for Global Health s.r.l (GVGH), Siena, 53100, Italy

6
7 * **Corresponding author:** Dr Francesca Necchi, GSK Vaccines Institute for Global Health, Via
8 Fiorentina 1, 53100, Siena (Italy). Tel: +39 0577 243846. e-mail: francesca.x.necchi@gsk.com

9
10
11 **Running title:** characterisation L-SBA on human sera

12
13 **Key words:** *Shigella*, GMMA, vaccine, Serum Bactericidal Assay (SBA), human, luminescence,
14 functional assay

15

16

17 **ABSTRACT**

18 Despite the huge decrease in deaths caused by *Shigella* worldwide in the last decades, shigellosis is
19 still causing over 200,000 deaths every year. No vaccine is currently available, and the morbidity of
20 disease coupled with the rise of antimicrobial resistance renders the introduction of an effective
21 vaccine extremely urgent. Although a clear immune correlate of protection against shigellosis has not
22 been established yet, the demonstration of bactericidal activity of antibodies induced upon
23 vaccination may provide one means of functionality of antibodies induced on protecting against
24 *Shigella*. The method of choice to evaluate the complement-mediated functional activity of vaccine-
25 induced antibodies is the Serum Bactericidal Assay (SBA).

26 Here we present the development and intra-laboratory characterisation of a high-throughput
27 luminescence-based SBA (L-SBA) method, based on the detection of ATP as a proxy of surviving
28 bacteria, to evaluate the complement-mediated killing of human sera. We demonstrated the high
29 specificity of the assay against homologous strain without any heterologous aspecificity detected
30 against species-related and not species-related strains. We assessed linearity, repeatability and
31 reproducibility of L-SBA on human sera.

32 This work will guide the bactericidal activity assessment of clinical sera raised against *S. sonnei*. The
33 method has the potential of being applicable with similar performances to determine bactericidal
34 activity of any non-clinical and clinical sera that rely on complement mediated killing.

35

36 **IMPORTANCE**

37 *Shigella* is an important cause of diarrhoea worldwide and antimicrobial resistance is on rise, thus
38 efforts by several groups are ongoing to produce a safe and effective vaccine against shigellosis.
39 Although a clear immune correlate of protection has not been established, demonstration of
40 bactericidal capacity of sera from patients immunised with *Shigella* vaccines may provide one means

41 of protecting against shigellosis. We have developed and fully characterised a novel high-throughput
42 L- SBA method for evaluation of functionality of antibodies raised against *S. sonnei* in human sera.
43 This work will allow the clinical testing of human sera raised against GMMA-based and potentially
44 all vaccines producing antibodies than can work *via* complement mediated manner.

45

46

47 INTRODUCTION

48

49 Diarrheal diseases, such as shigelloses and salmonelloses, are the second leading cause of death
50 worldwide, resulting in millions of deaths per year, mostly in developing countries (1). *Shigella* is
51 major cause of sustained endemic bacterial diarrhoea, especially in low and middle-income countries
52 where accessibility to clean water is restricted. Although the improvement of hygienic conditions in
53 the last decade has dramatically reduced the burden of the disease, *Shigella* is still responsible for
54 more than 200,000 deaths, with a third of them being in young children (1). On top of deaths in
55 endemic countries, enteric diseases are causing diarrhoea to travellers and militaries in developed
56 countries, further increasing the burden and the economic and social impact of them. Therefore, the
57 huge morbidity and mortality of the disease coupled with the rise of antimicrobial resistance (2)
58 render the introduction of a vaccine a priority for public health. Although several approaches have
59 been tried during the years by several groups worldwide, no vaccines are licensed yet. Among the
60 different approaches used to produce *Shigella* vaccines, many of the candidate vaccines target the
61 serotype-specific O-Antigen (OAg) part of the lipopolysaccharide (LPS), as OAg has been identified
62 as a key antigen recognized by the immune system after natural infection (3). In fact, although
63 multiple immune mechanisms may provide protection against *Shigella* and are not yet fully
64 elucidated, it is well established that antibodies directed to OAg can fix complement and kill target
65 bacteria in a serotype-specific manner (3, 4). Genus *Shigella* is composed by four subgroups (*S.*

characterisation L-SBA on human sera

66 *flexneri*, *S. sonnei*, *S. dysenteriae*, and *S. boydii*) and each of them, with the exception of *S. sonnei*, is
67 composed by different serotypes, for a total of over 50 different serotypes based on the structure of
68 the OAg, with relative prevalence of serotypes changing geographically and over time (5). As LPS
69 antibody production can confer protection from homologous serotypes, a multivalent *Shigella*
70 vaccine is necessary to induce antibodies to LPS OAg from multiple serotypes in order to confer
71 broad protection.

72 Several approaches are currently in development to deliver the O-antigen to the immune system,
73 including whole cell attenuated bacteria (6), vaccines in which the O-antigen are chemically- (7) or
74 bio-conjugated to carrier proteins (8), synthetic vaccine conjugates (9), and GMMA based vaccines
75 (10). GMMA are outer membrane exosomes from Gram-negative bacteria, genetically modified to
76 induce hyperblebbing and to reduce the reactogenic potential of lipid A (11, 12). GMMA are easy
77 and inexpensive to produce, and highly immunogenic (10, 13-16). The most advanced GMMA based
78 vaccine, 1790GAHB (10) has been tested in phase I and IIa clinical trials, conducted in European
79 (17) and endemic sites (18), and has been demonstrated to be well tolerated, immunogenic, and able
80 to induce a strong anamnestic response after boosting (19).

81 On top of vaccine immunogenicity, traditionally assessed through measurement of serum antibodies
82 *via* antigen specific ELISA, also the functionality of antibodies raised needs to be documented.
83 Although no correlate of protection has been yet established for *Shigella*, different approaches to
84 assess functionality of antibodies as immunological endpoint against *Shigella* have been evaluated
85 and have been recently reviewed (20). Among them, the serum bactericidal assay (SBA) constitutes
86 the method of choice to measure complement-mediated bacterial killing. SBA has been accepted as
87 an *in vitro* correlate of protection for the evaluation of immunogenicity of other bacterial vaccines,
88 such as cholera (21) and meningococcal disease (22).

89 The working principle of SBA relies on reconstituting *in vitro* conditions in which antibodies
90 recognize antigen on the surface of target bacterium and bind to exogenous complement, activate the

characterisation L-SBA on human sera

91 classical pathway, thus resulting in bacteriolysis and death of the target organism. The major problem
92 with traditional SBA is that it relies on plating and counting the target bacteria. Therefore,
93 conventional SBA has been often considered time-consuming and labor-intensive for screening large
94 datasets and clinical samples (22). However a lot of efforts have been made in order to increase the
95 analytical throughput of the assay, resulting in the development of both conventional (23) and non-
96 conventional (24, 25) high throughput SBA. We have previously demonstrated the usefulness of an
97 high-throughput SBA method based on luminescence (L-SBA) as survival readout for several
98 pathogens (including *S. flexneri* and *S. sonnei*, *Salmonella* Typhimurium, Enteritidis and Paratyphi
99 A) using both animal (24) and human sera (26). The number of viable bacterial cells surviving the
100 complement-mediated antibody dependent killing is quantified by measuring their metabolic ATP.
101 After bacteria lysis, ATP becomes available to trigger a luciferase-mediated reaction, resulting in a
102 measurable luminescence signal. In L-SBA the level of luminescence detected is proportional to the
103 number of living bacteria present in the assay wells, which is inversely proportional to the level of
104 functional antibodies present in the serum (24). Result of the assay is the IC50, the dilution of sera
105 able to kill half of the bacteria present in the assay, thus representing the SBA titer of the sera. We
106 have already demonstrated the possibility to use the L-SBA to determine the bactericidal activity of
107 sera raised against *S. sonnei* GMMA in pre-clinical models (14). Here we present the further
108 development of this method, showing its full characterisation using human sera, and in particular
109 sera raised against *S. sonnei* GMMA based vaccine (1790GAHB) as model. We have characterised
110 the method intralaboratory by assessing its specificity, linearity, and precision.

111 The L-SBA assay described here is a useful tool for measuring functional antibodies elicited not only
112 by GMMA based vaccines, but in general to assess *Shigella*-specific functional antibodies *in vitro*,
113 and potentially of all vaccines that induce antibodies capable of complement mediated killing, either
114 from preclinical and clinical sera.

115

116 **RESULTS**

117

118 **Development and optimisation of L-SBA on human sera raised against *S. sonnei* GMMA.** In
119 order to determine the possibility to assess serum bactericidal activity of human sera against *S.*
120 *sonnei* by L-SBA, NVGH2863, an anti-*S. sonnei* IgG human standard serum already in use to assess
121 quantity of human antibodies raised upon vaccination with *S. sonnei* GMMA in clinical trials (17,
122 18), has been used to set-up the assay conditions with human sera and characterise the assay prior
123 moving on with testing the functionality of clinical samples. Initial experiments were conducted to
124 test the behaviour in L-SBA of NVGH2863 under experimental conditions already established with
125 pre-clinical sera (20% exogenous baby rabbit complement (BRC) and *S. sonnei* with stabilized LPS
126 expression *in vitro* as target bacteria). Experiments were conducted in comparison to mouse standard
127 serum NVGH1894, already used extensively in pre-clinical studies (24), thus serving also as
128 bridging. Assay conditions developed in pre-clinical studies resulted to be optimal also when using
129 human sera, without detection of prozone effect when assaying sera finally diluted at 1:30 in the
130 assay (Fig. 1A). A prozone effect is defined for a curve readout vs dilution (in this case luminescence
131 vs serum dilution) a condition in which for the first points tested (the least diluted) the readout value
132 (luminescence) is higher than readout value obtained with points highly diluted.

133 We did an initial assessment of the homoscedasticity of the data produced at the different sera
134 dilutions, performing 12 independent sera dilution series repeated in 6 different plates. The test for
135 equal variance of the data obtained per each sera dilution (per each plate) confirmed the lack of
136 homoscedasticity of the data (Fig. S1). In the 4-Parameter Logistic (PL) fitting of luminescence
137 versus Log transformed sera dilutions, the sum of squared residuals weighted for the inverse of
138 luminescence² was minimized. An improved analysis method to directly obtain SBA titres from
139 raw luminescence data was also implemented. The aim was to minimise any raw data manipulation
140 by operator (i.e. not to normalise for the dilution giving the highest luminescence for each dilution

characterisation L-SBA on human sera

141 series, and not to individually select this value and do calculations for each sample within each run),
142 thus reducing at minimum risk of errors. The latter is a critical aspect when testing clinical samples
143 to ensure integrity of the data. To further improve the analysis, we also included in the 4PL fitting the
144 luminescence value of a well with no serum, by assigning to it an arbitrary Log dilution of 15 to
145 mimic the luminescence obtained from a serum several billions time diluted, and thus representing
146 the maximum growth of bacteria in the assay. The use of luminescence detected on the well with no
147 serum, coupled with mathematically forcing the 4PL regression to have a bottom luminescence
148 below the level detected with high bactericidal sera (400 counts per second - CPS), provided solid
149 upper and lower asymptotes of the 4PL curve fitted to data, minimising any impact of prozone (Fig.
150 1B).

151 With the identified assay conditions and improved analysis method we then moved to L-SBA
152 characterisation by assessing precision (both in terms of repeatability and intermediate precision),
153 specificity, linearity, as well as to determine limit of detection and quantitation of the assay.

154

155 **Precision.** Precision of the method expresses the closeness of agreement among multiple analyses of
156 the same homogeneous representative sample tested under the prescribed conditions. It was
157 considered at two levels: repeatability (intra-assay variation) and reproducibility as intermediate
158 precision (inter-assay variation). ANOVA with variance component analysis (general linear model
159 with random factors) was used to estimate the intermediate precision (defined as the variability
160 among different days, different operators), the repeatability (defined as the variability under the same
161 operating conditions over a short interval of time) and to evaluate the contributions of the operator
162 and day of analysis to the variability. Thus, to assess precision of the assay, the IC₅₀ for NVGH2863
163 serum was determined independently by two operators, twelve times per day, in 3 different days (72
164 measurements in total). Log-transformed IC₅₀s obtained by both operators on each day have been
165 used to determine repeatability and intermediate precision of the assay (Fig. S2).

166 The analysis was characterized by an intermediate precision (CV% IP) of 6.15% and a repeatability
167 (CV% R) of 6.15%. All the variance has been in fact attributed to the repeatability: both day and
168 operators resulted to be not significant (p values = 0.605 and 0.625 respectively). The average
169 LogIC₅₀ from all the measurements resulted to be 3.36 (or IC₅₀ = 2528).

170

171 **Linearity.** To assess linearity of the assay, NVGH2863 serum was pre-diluted in PBS (neat, 2-fold,
172 4-fold, 8-fold, 16-fold and 32-fold times respectively) before being probed against *S. sonnei* in L-
173 SBA. Each pre-dilution was prepared independently by the two operators on the same day and
174 assayed two times. We considered the average of IC₅₀ of the undiluted serum as “true value” and
175 from this one we calculated the expected IC₅₀ based on the dilutions by volume performed (IC₅₀
176 theoretical). A regression analysis was done on Log(IC₅₀ experimentally obtained) vs Log(IC₅₀
177 theoretical) (Fig. 2). From the analysis of variance, the linear model was significant (p < 0.001) and
178 lack of fit not significant (p = 0.122) (Figure S3A). The residuals of the linear regression model were
179 normally distributed (Figure S3B), the intercept was not significantly different from zero (95% CI: -
180 1.412; 0.096), and the slope not significantly different from 1 (95% CI: 0.856; 1.400) (Figure S3A).

181

182 **Specificity.** Specificity of the assay is the ability of an analytical procedure to determine solely the
183 concentration of the analyte that it intends to measure. In case of *S. sonnei* 1790GAHB vaccine, the
184 target antigen is considered the LPS OAg.

185 We initially assessed the homologous specificity by pre-incubating homologous *S. sonnei* purified
186 LPS at different concentrations with test serum prior to perform the L-SBA. The aim was to
187 determine the lowest concentration of LPS able to inhibit $\geq 70\%$ of the IC₅₀. Homologous
188 competitor was spiked to NVGH2863 at the final concentrations of 50, 20, 5, 1, 0.1 $\mu\text{g/mL}$; the
189 undepleted control was represented by NVGH2863 serum incubated with an equal volume of PBS
190 alone. All samples were assayed in duplicate. Percentage of inhibition was determined by calculating

191 the decrease in the observed SBA titer between samples pre-treated with competitor and undepleted
192 control. The lowest *S. sonnei* LPS concentration, among the ones tested, that could cause a reduction
193 of the IC50 $\geq 70\%$ compared to the undepleted control sample resulted to be 0.1 $\mu\text{g}/\text{mL}$ with over
194 90% depletion of the SBA titer. This concentration was then selected to assess the heterologous
195 specificity.

196 A second set of experiments was performed to determine the heterologous specificity. This was
197 carried out by pre-incubating NVGH2863 serum with an equal volume of heterologous competitor at
198 the final concentration of 0.1 $\mu\text{g}/\text{mL}$. For heterologous specificity *S. flexneri* 1b, *S. flexneri* 2a, *S.*
199 *flexneri* 3a OAg (heterologous but from the same species) and *Salmonella* Typhimurium OAg
200 (heterologous from a different species) were tested; internal controls for these experiments were
201 represented by serum preincubated with an equal volume of PBS alone (undepleted), and by serum
202 preincubated with *S. sonnei* LPS (to further confirm homologous specificity). Specificity was
203 determined as % IC50 inhibition; this was calculated using the following formula:

204 $(\text{IC}_{50} \text{ of the undepleted sample}) - (\text{IC}_{50} \text{ of the sample pre-treated with competitor}) / (\text{IC}_{50} \text{ of the}$
205 $\text{undepleted sample}) * 100.$

206 Depletion with 0.1 $\mu\text{g}/\text{mL}$ of *S. sonnei* LPS (homologous competitor) caused an inhibition of IC50 of
207 95%, confirming high specificity of the assay for *S. sonnei* LPS, whereas depletion with heterologous
208 antigens resulted in an absent or a marginal ($< 30\%$) decrease in SBA titer, suggesting the absence of
209 any non-*S. sonnei* polysaccharide-specific response in the assay (Table 1).

210

211 **Limit of detection (LoD) and Limit of quantitation (LoQ).** Finally, we determined the LoD and
212 LoQ of the assay, representing respectively the lowest SBA titer than can be detected under the assay
213 conditions, and the lowest SBA titer that can be quantified with a suitable precision. To do so
214 NVGH2863 was pre-diluted in PBS to generate a sample with low but detectable SBA titer. These
215 conditions simulated the worst-case scenario possible for the assay, and thus the one expected to give

216 the highest variability. Twelve independent serial curves were tested and IC50 calculated as reported
217 in Table 2.

218 Limit of detection (LoD) and limit of quantitation (LoQ) of the assay were calculated accordingly to
219 the ICH guideline Q2(R1) (27), by applying the following formulas:

$$220 \quad \text{LoD} = 10^{(3.3 * \text{SD})} * X$$

$$221 \quad \text{LoQ} = 10^{(10 * \text{SD})} * X$$

222 where X represents the lowest serum dilution tested in the assay (in our case = 30) and SD represents
223 the standard deviation of IC50 obtained for the samples. LoD and LoQ resulted to be equal to an
224 IC50 of 45 and of 100 respectively.

225

226 **DISCUSSION**

227 The predominant readout for *Shigella* vaccine immunogenicity has been traditionally considered the
228 serum IgG antibody level against LPS (28), that can be assessed through LPS-specific ELISA.
229 Several assays can be considered as immunological functional readouts to determine effectiveness of
230 antibodies raised upon vaccination, like opsonophagocytosis or serum bactericidal assay, to
231 determine cell-mediated and cell-independent bactericidal activity of antibodies respectively (20).
232 Although not being an established correlate of protection for *Shigella* effectiveness, ability to cause
233 complement mediated killing has been assessed several times in sera both from convalescent patients
234 and from vaccinated individuals (3, 4, 7). An *in vitro* assay to assess complement mediated killing
235 represents a key indication of functional activity of antibodies raised upon vaccination with *Shigella*
236 vaccine candidates, and this assay is traditionally represented by the SBA. The traditional SBA
237 method used to determine the bactericidal activity of *Shigella* sera from clinical trials relies in a
238 laborious process of plating bacteria on solid media, overnight incubation, colony counting (29), end
239 point titer calculation without an interpolation of all sera dilutions tested (8). Such method is
240 therefore often time consuming, highly variable, operator dependent and thus difficult to perform

characterisation L-SBA on human sera

241 with consistency (Table 3). To overcome these limitations, we have recently developed an high-
242 throughput SBA method based on luminescence readout (L-SBA), that has been already extensively
243 described to determine the level of functional antibodies *in vitro* (24). In this study we have
244 presented the further optimization and characterization of L-SBA on human sera. GVGH is working
245 on developing a multivalent vaccine against *Shigella* based on GMMA technology. The most
246 advanced *S. sonnei* component (1790GAHB), has been tested in phase I and phase II clinical trials.
247 Immunogenicity has been evaluated so far in terms of anti-LPS IgG response induced (17, 18) (19).
248 The work performed here will allow the analysis of the clinical samples by SBA, confirming if the
249 antibodies elicited by 1790GAHB are able to kill *Shigella* (i.e. functionality of antibodies induced).
250 We have successfully optimised the fitting of the data, including in data analysis a point mimicking a
251 sera billion times diluted, allowing to establish conditions on which, without any normalisation, SBA
252 titers can be directly obtained from raw luminescence values. The latter represents a crucial aspect to
253 increase the throughput of the assay, but especially to reduce any potential bias due to manipulation
254 of raw data when testing clinical samples.

255 In this work we have characterised L-SBA on human sera, demonstrating that in the working
256 conditions tested, it is able to detect sera having a SBA titer as little as 45, with virtually no upper
257 limit of detection, and to quantify with precision sera with IC50 of 100. Work is currently ongoing to
258 further reduce LoD and LoQ. The assay showed low variability, in particular the repeatability
259 corresponded to the intermediate precision (CV% of 6.15%). Neither operator nor day of analysis
260 resulted to be significant on the overall variability. Furthermore, L-SBA resulted to be highly specific
261 for the key active ingredient of the vaccine candidate, as by depleting the serum with as little as 0.1
262 µg/mL of homologous LPS, over 95% reduction of IC50 was observed, whereas no depletion was
263 observed when depleting sera with *S. flexneri* 2a, *S. flexneri* 3a and *Salmonella* Typhimurium OAg,
264 with only a marginal SBA titer depletion (28%) observed after incubation with *S. flexneri* 1b OAg.
265 Linearity of the assay was also assessed resulting to be good within the tested range, with a slight

characterisation L-SBA on human sera

266 deflection with more diluted samples, as reported for similar assays (23). In line with that, good
267 fitting of the data was obtained with a second order exponential model (Fig.S4).

268 Using the SBA configuration described here, up to 132 specimens can be tested per day by a single
269 operator, including also a standard serum to validate each plate (12 plates can be assayed per day per
270 operator). The analytical throughput of the described *Shigella* SBA is superior to that of another
271 high-throughput assay recently described by Nham et al. (23), not only in terms of number of
272 samples that can be assayed by one operator in one day, but also in terms of not having to rely on
273 overnight incubation of plates to enable the bacteria to grow and become colonies (Table 3). As our
274 assay uses standard reagents and requires only a luminometer to detect ATP, L-SBA can be
275 considered simple enough to be adopted by laboratories around the world. Although inter-laboratory
276 variability was not evaluated in our study, in case this would be observed, results could be
277 normalised with the use of reference serum (23).

278 In conclusion, L-SBA applied to human sera represents an assay fully suitable to perform clinical
279 analysis in high-throughput. Due to its specificity and versatility L-SBA can be applied to determine
280 bactericidal activity of clinical sera raised against different *Shigella* serotypes, helping the
281 development of vaccines not only in single component but also when they are in multi-component
282 formulations. Our L-SBA method can be easily extended to other pathogens, as the method has been
283 already demonstrated to have similar performances against a broad range of pathogens using animal
284 samples (24, 26).

285

286 **MATERIALS AND METHODS**

287

288 **Bacterial strains and reagents.**

289 Working aliquots of *S. sonnei virG::cat* (30), a strain with stabilized major virulence plasmid (pSS),
290 thus resulting in a stabilized OAg expression *in vitro* when grown in presence of antibiotic, stored

characterisation L-SBA on human sera

291 frozen at -80°C in 20% glycerol stocks, were grown overnight (16-18 hours) at 37°C in Luria Bertani
292 (LB) medium supplemented with 20 µg/mL chloramphenicol, stirring at 180 rpm. The overnight
293 bacterial suspension was then diluted in fresh LB medium supplemented with 20 µg/mL of
294 chloramphenicol to an optical density at 600 nm (OD₆₀₀) of 0.05 and incubated at 37°C with 180 rpm
295 agitation in an orbital shaker, until reaching OD₆₀₀ of 0.22 +/- 0.02.

296 Baby (3- to 4-week-old) rabbit complement (BRC) was purchased from Cederlane, stored in 10 mL
297 frozen aliquots, thawed at 4°C overnight when used. PBS was used for serum and bacteria dilutions.

298 LPS was extracted from *S. sonnei* by hot phenol extraction as previously reported (10), whereas OAg
299 was extracted from *S. flexneri* 1b, 2a 3a and from *Salmonella* Typhimurium by direct acid hydrolysis
300 as previously reported (14). All extracted polysaccharides were fully characterised in terms of sugar
301 content, protein and nuclei acid impurities by a combination of analytical techniques, including
302 High-Performance Liquid Size Exclusion Chromatography (31), micro BCA and absorption at 260
303 nm as previously reported (14).

304

305 **Serum samples**

306 The human serum tested was an anti-human *S. sonnei* IgG standard serum (NVGH2863) that was
307 created by pooling sera from adult subjects immunised with 1790 GAHB in non-endemic European
308 populations (17). NVGH2863 has been already used as standard serum for *S. sonnei* LPS IgG
309 assessment by ELISA (17-19). Frozen 50 µL working aliquots of the serum were stored at -80°C
310 until use. In setup experiments a standard serum obtained from mice immunised with 1790GAHB
311 (24) was also included.

312 All samples tested in SBA were previously Heat Inactivated (HI) at 56 °C for 30 min to remove
313 endogenous complement activity.

314 Various aliquots of HI NVGH2863 serum have been used and treated as described below to
315 determine different assay parameters.

316 *Samples to assess repeatability and intermediate precision:* each sample consists on the same
317 HI NVGH2863 serum; 12 identical samples were assayed each day by two operators and the assay
318 was repeated in three different days by each of the two operators independently (72 samples in total,
319 36 per operator, 12 on each day).

320 *Samples to assess limit of detection and limit of quantitation:* HI NVGH2863 was diluted 20
321 times v:v in PBS to generate a sample with low but detectable SBA titer (expected IC₅₀ to be around
322 100). Twelve identical NVGH2863 prediluted serum samples were assayed on the same day by the
323 same operator.

324 *Samples to assess linearity:* HI NVGH2863 serum was assayed neat or diluted 2, 4, 8, 16, 32-
325 fold (v:v) with PBS prior performing the assay; samples were prepared independently by two
326 operators on the same day, with each sample assayed twice by the same operator on the same day (4
327 IC₅₀ obtained for each dilution, 2 IC₅₀ by each operator).

328 *Samples to assess specificity:* two sets of samples were prepared to assess homologous and
329 heterologous specificity of the assay using HI NVGH2863 serum diluted 1:1 (v:v) in PBS alone or
330 PBS supplemented with different quantity of homologous or heterologous purified polysaccharides.
331 In the first experiment HI NVGH2863 serum was spiked with homologous (*S. sonnei*) purified LPS
332 at different final concentrations (50, 20, 5, 1, 0.1 µg/mL respectively) and compared with sample
333 spiked 1:1 with PBS alone, incubated overnight (16-18 hours) at 4°C shaking at 200 rpm in an orbital
334 shaker prior being tested. Each spiked sample was assayed in duplicate by the same operator on the
335 same day. The lowest concentration of LPS between the ones tested able to inhibit >70% the IC₅₀
336 was then used in a second experiment to determine the heterologous specificity. In the second
337 experiment HI NVGH2863 serum diluted 1:1 (v:v) in PBS supplemented with *S. flexneri* 1b, *S.*
338 *flexneri* 2a, *S. flexneri* 3a OAg (heterologous but from the same species) or *Salmonella* Typhimurium
339 OAg (heterologous from a different species) was prepared and assayed in comparison to sample
340 preincubated overnight with an equal volume of PBS alone (undepleted) and a sample preincubated

341 with *S. sonnei* LPS (to confirm homologous specificity). All samples were incubated overnight (16-
342 18 hours) at 4°C shaking at 200 rpm in an orbital shaker prior being tested. Each spiked sample was
343 assayed in duplicate by the same operator on the same day.

344

345 **Luminescent-SBA (L-SBA)**

346 Serum bactericidal assay based on luminescent readout (L-SBA) was performed in 96-well round
347 bottom sterile plates (Corning) – the SBA plate - by incubating different dilutions in PBS of HI test
348 sera in presence of exogenous complement (BRC) and bacteria.

349 HI sera were serially diluted in PBS in the SBA plate (10 µL/well). The starting dilution of each
350 serum in the assay was 1:30 (final dilution), followed by 3-fold dilution steps up to 7 dilution points,
351 plus one control well with no sera added. Up to 12 different sera can be assayed within each SBA
352 plate.

353 Log-phase cultures ($OD_{600}=0.22 \pm 0.02$) were prepared as described above and diluted to
354 approximately 1×10^6 Colony Forming Unit (CFU)/mL in PBS. An adequate volume of reaction
355 mixture containing the target bacterial cells (10 µL/well) and BRC (20 µL/well) as external source of
356 complement in PBS medium (60 µL/well) was prepared; 90 µL/well of reaction mixture were added
357 to each well of the SBA plate containing HI serum (final reaction volume 100 µL), mixed and
358 incubated for 3 hours at 37°C.

359 At the end of the incubation, the SBA plate was centrifuged at room temperature for 10 min at
360 4000×g. The supernatant was discarded to remove ATP derived from dead bacteria and SBA
361 reagents. The remaining live bacterial pellets were resuspended in PBS, transferred in a white round-
362 bottom 96-well plate (Greiner) and mixed 1:1 v:v with BacTiter-Glo Reagent (Promega). The
363 reaction was incubated for 5 min at room temperature on an orbital shaker, and the luminescence
364 signal measured by a luminometer (Synergy HT, Biotek).

365

366 **Calculations**

367 The level of luminescence detected is directly proportional to the number of living bacteria present in
368 the wells, which is inversely proportional to the level of functional antibodies present in the serum
369 (24).

370 A 4-parameter non-linear regression was applied to raw luminescence (no normalisation of data was
371 applied) obtained for all the sera dilutions tested for each serum; an arbitrary serum dilution of 10^{15}
372 was assigned to the well containing no sera. Fitting was performed by weighting the data for the
373 inverse of luminescence² and constraining the curves to have a bottom between 0 and 400 CPS. 400
374 CPS is the approximate value corresponding to the lowest luminescence detected at T180 for sera in
375 all wells in which bacteria are killed (300 CPS) plus the SD of luminescence detected on those wells
376 (100).

377 Results of the assay are expressed as the IC₅₀ (the dilution of sera able to kill half of the bacteria
378 present in the assay), represented by the reciprocal serum dilution that results in a 50% reduction of
379 luminescence (and thus raising 50% growth inhibition). GraphPad Prism 7 software (GraphPad
380 Software, La Jolla, CA) was used for fitting and IC₅₀ determination.

381

382 **Statistical analysis**

383 Statistical analyses were performed with Minitab 18, Minitab Inc as described in results section.
384

385 **Ethical statement**

386 The human serum pool used in this study was derived from subjects enrolled in the clinical trial
387 registered with ClinicalTrials.gov number NCT02017899. Relevant ethics and regulatory approval
388 was obtained from respective institutional and national ethics review committees. Written informed
389 consent was obtained before enrollment from the subjects and the trial was designed and done in
390 accordance with Good Clinical Practice Guidelines and the Declaration of Helsinki (17).

391

393 **CONTRIBUTIONS AND ACKNOWLEDGMENT**

394 Conceived and designed the experiments: OR, EM, AS, FMi, FN. Performed the experiments: OR;
395 EM. Analysed the data: OR, EM, AS, CG, FMi, FN. Contributed to the writing of the manuscript:
396 OR, EM, AS, CG, FMi, FN. This study was undertaken at the request of and sponsored by
397 GlaxoSmithKline Biologicals SA. GSK Vaccines Institute for Global Health (Srl) (GVGH) is an
398 affiliate of GlaxoSmithKline Biologicals SA. This work was funded in part by a grant from the Bill
399 & Melinda Gates Foundation (OPP1133860). The funders had no role in study design, data
400 collection and analysis, decision to publish, or preparation of the manuscript. We thank Dr Laura
401 Bartle Martin and Dr Audino Podda for project leadership and for critically discussion about results.
402 We would like to thank all investigators and volunteers for participation to the French study
403 (ClinicalTrials.gov number NCT02017899).

404

405 **CONFLICT OF INTEREST**

406 All authors were employees of the GSK Vaccines Institute for Global Health at the time in which the
407 study was conducted. GSK Vaccines Institute for Global Health Srl is an affiliate of
408 GlaxoSmithKline Biologicals SA. AJS possess GSK shares. This does not alter the authors'
409 adherence to all Journal policies on data and material sharing.

410

411 **FIGURE LEGENDS**

412

413 **Figure 1. 4PL fitting using different models.** Representative results obtained by **A)** Fitting
414 performed after normalisation of luminescence raw data (counts per second - CPS) for the highest
415 luminescence detected in sera dilutions, as per (24); **B)** Fitting directly to raw luminescence (CPS),
416 adding a weighting factor of luminescence² in the least mean squares calculation, assigning to
417 luminescence detected in well with no sera an arbitrary Log dilution of 15, and forcing bottom
418 luminescence to be between 0 and 400. Within graphs IC₅₀ obtained testing NVGH1894 (mouse
419 serum) and NVGH2863 (human serum) are reported in orange and green respectively.

420

421 **Figure 2. Linearity.** Log(IC₅₀ theoretical) obtained for each sample versus Log(IC₅₀ observed) are
422 reported. Single datapoints are indicated with blue dots. Red solid line represents the linear
423 regression and green dashed line the 95% confidence interval (CI).

424

425

426 **TABLES**

427

428 **Table 1. Specificity determination.** Depleted samples were spiked with with 0.1 µg/mL of
429 homologous or heterologous purified polysaccharide; undepleted samples were spiked with PBS
430 only.

		IC ₅₀	Average IC ₅₀	% IC ₅₀ inhibition
Undepleted		922	890	-
		859		
Homologous specificity	Depleted with <i>S. sonnei</i> LPS	39	46	95
		54		
Heterologous specificity	Depleted with <i>S. flexneri</i> 1b OAg	665	654	27
		644		
	Depleted with <i>S. flexneri</i>	912	1253	0

characterisation L-SBA on human sera

	2a OAg	1594		
	Depleted with <i>S. flexneri</i> 3a OAg	1205	1210	0
		1215		
	Depleted with <i>S. Typhimurium</i> OAg	1668	1605	0
		1543		

431

432 **Table 2. Determination of Limits of detection and quantitation of the assay.** IC50 obtained in
433 samples with low SBA titer.

Repeat	1	2	3	4	5	6	7	8	9	10	11	12	Average	SD
IC50	109	112	92	89	93	91	94	119	89	118	90	84	104	8.9

434

435 **Table 3. Evaluation of throughput between traditional and L-SBA.**

	L-SBA	Traditional CFU-based SBA
Total time of execution	7 hours ¹	1.5 working day ²
Data acquisition	2 minutes/SBA plate	2-3 hours/SBA plate ²
Reproducibility	Higher operator independency	Lower operator independency
Assay throughput	1 operator/day: 132 individual sera in single (12 SBA plates total)	1 operator/1.5 day: 12 individual sera in single (1 SBA plate ²)
	¹ to execute 1 set of 12 SBA plates ² to execute 1 SBA plate, plating each reaction well in 1 full agar plate: 1 SBA plate corresponds to 96 agar plates	

436

437

438

439 **REFERENCE LIST**

440

- 441 1. Collaborators GBDCoD. 2018. Global, regional, and national age-sex-specific mortality for 282 causes
442 of death in 195 countries and territories, 1980-2017: a systematic analysis for the Global Burden of
443 Disease Study 2017. *Lancet* 392:1736-1788.
- 444 2. Kotloff KL, Riddle MS, Platts-Mills JA, Pavlinac P, Zaidi AKM. 2018. Shigellosis. *Lancet* 391:801-812.
- 445 3. Cohen D, Block C, Green MS, Lowell G, Ofek I. 1989. Immunoglobulin M, A, and G antibody response
446 to lipopolysaccharide O antigen in symptomatic and asymptomatic *Shigella* infections. *J Clin*
447 *Microbiol* 27:162-7.
- 448 4. Cohen D, Green MS, Block C, Rouach T, Ofek I. 1988. Serum antibodies to lipopolysaccharide and
449 natural immunity to shigellosis in an Israeli military population. *J Infect Dis* 157:1068-71.
- 450 5. Anderson M, Sansonetti PJ, Marteyn BS. 2016. *Shigella* Diversity and Changing Landscape: Insights
451 for the Twenty-First Century. *Front Cell Infect Microbiol* 6:45.
- 452 6. Frenck RW, Jr., Baqar S, Alexander W, Dickey M, McNeal M, El-Khorazaty J, Baughman H, Hoepfer A,
453 Barnoy S, Suvarnapunya AE, Kaminski RW, Venkatesan MM. 2018. A Phase I trial to evaluate the
454 safety and immunogenicity of WRSs2 and WRSs3; two live oral candidate vaccines against *Shigella*
455 *sonnei*. *Vaccine* 36:4880-4889.
- 456 7. Cohen D, Ashkenazi S, Green M, Lerman Y, Slepon R, Robin G, Orr N, Taylor DN, Sadoff JC, Chu C,
457 Shiloach J, Schneerson R, Robbins JB. 1996. Safety and immunogenicity of investigational *Shigella*
458 conjugate vaccines in Israeli volunteers. *Infect Immun* 64:4074-7.
- 459 8. Riddle MS, Kaminski RW, Di Paolo C, Porter CK, Gutierrez RL, Clarkson KA, Weerts HE, Duplessis C,
460 Castellano A, Alaimo C, Paolino K, Gormley R, Gambillara Fonck V. 2016. Safety and Immunogenicity
461 of a Candidate Bioconjugate Vaccine against *Shigella flexneri* 2a Administered to Healthy Adults: a
462 Single-Blind, Randomized Phase I Study. *Clin Vaccine Immunol* 23:908-917.
- 463 9. van der Put RM, Kim TH, Guerreiro C, Thouron F, Hoogerhout P, Sansonetti PJ, Westdijk J, Stork M,
464 Phalipon A, Mulard LA. 2016. A Synthetic Carbohydrate Conjugate Vaccine Candidate against
465 Shigellosis: Improved Bioconjugation and Impact of Alum on Immunogenicity. *Bioconjug Chem*
466 27:883-92.
- 467 10. Gerke C, Colucci AM, Giannelli C, Sanzone S, Vitali CG, Sollai L, Rossi O, Martin LB, Auerbach J, Di
468 Cioccio V, Saul A. 2015. Production of a *Shigella sonnei* Vaccine Based on Generalized Modules for
469 Membrane Antigens (GMMA), 1790GAHB. *PLoS One* 10:e0134478.
- 470 11. Rossi O, Caboni M, Negrea A, Necchi F, Alfini R, Micoli F, Saul A, MacLennan CA, Rondini S, Gerke C.
471 2016. Toll-Like Receptor Activation by Generalized Modules for Membrane Antigens from Lipid A
472 Mutants of *Salmonella enterica* Serovars Typhimurium and Enteritidis. *Clin Vaccine Immunol* 23:304-
473 14.
- 474 12. Rossi O, Pesce I, Giannelli C, Aprea S, Caboni M, Citiulo F, Valentini S, Ferlenghi I, MacLennan CA,
475 D'Oro U, Saul A, Gerke C. 2014. Modulation of endotoxicity of *Shigella* generalized modules for
476 membrane antigens (GMMA) by genetic lipid A modifications: relative activation of TLR4 and TLR2
477 pathways in different mutants. *J Biol Chem* 289:24922-35.
- 478 13. Berlanda Scorza F, Colucci AM, Maggiore L, Sanzone S, Rossi O, Ferlenghi I, Pesce I, Caboni M, Norais
479 N, Di Cioccio V, Saul A, Gerke C. 2012. High yield production process for *Shigella* outer membrane
480 particles. *PLoS One* 7:e35616.
- 481 14. De Benedetto G, Alfini R, Cescutti P, Caboni M, Lanzilao L, Necchi F, Saul A, MacLennan CA, Rondini S,
482 Micoli F. 2017. Characterization of O-antigen delivered by Generalized Modules for Membrane
483 Antigens (GMMA) vaccine candidates against nontyphoidal *Salmonella*. *Vaccine* 35:419-426.

- 484 15. Koeberling O, Ispasanie E, Hauser J, Rossi O, Pluschke G, Caugant DA, Saul A, MacLennan CA. 2014. A
485 broadly-protective vaccine against meningococcal disease in sub-Saharan Africa based on
486 generalized modules for membrane antigens (GMMA). *Vaccine* 32:2688-95.
- 487 16. Micoli F, Rondini S, Alfini R, Lanzilao L, Necchi F, Negrea A, Rossi O, Brandt C, Clare S, Mastroeni P,
488 Rappuoli R, Saul A, MacLennan CA. 2018. Comparative immunogenicity and efficacy of equivalent
489 outer membrane vesicle and glycoconjugate vaccines against nontyphoidal *Salmonella*. *Proc Natl
490 Acad Sci U S A* 115:10428-10433.
- 491 17. Launay O, Lewis DJM, Anemona A, Loulergue P, Leahy J, Scire AS, Maugard A, Marchetti E, Zancan S,
492 Huo Z, Rondini S, Marhaba R, Finco O, Martin LB, Auerbach J, Cohen D, Saul A, Gerke C, Podda A.
493 2017. Safety Profile and Immunologic Responses of a Novel Vaccine Against *Shigella sonnei*
494 Administered Intramuscularly, Intradermally and Intranasally: Results From Two Parallel Randomized
495 Phase 1 Clinical Studies in Healthy Adult Volunteers in Europe. *EBioMedicine* 22:164-172.
- 496 18. Obiero CW, Ndiaye AGW, Scire AS, Kaunyangi BM, Marchetti E, Gone AM, Schutte LD, Riccucci D,
497 Auerbach J, Saul A, Martin LB, Bejon P, Njuguna P, Podda A. 2017. A Phase 2a Randomized Study to
498 Evaluate the Safety and Immunogenicity of the 1790GAHB Generalized Modules for Membrane
499 Antigen Vaccine against *Shigella sonnei* Administered Intramuscularly to Adults from a Shigellosis-
500 Endemic Country. *Front Immunol* 8:1884.
- 501 19. Launay O, Ndiaye AGW, Conti V, Loulergue P, Scire AS, Landre AM, Ferruzzi P, Nedjaai N, Schutte LD,
502 Auerbach J, Marchetti E, Saul A, Martin LB, Podda A. 2019. Booster Vaccination With GVGH *Shigella*
503 *sonnei* 1790GAHB GMMA Vaccine Compared to Single Vaccination in Unvaccinated Healthy
504 European Adults: Results From a Phase 1 Clinical Trial. *Front Immunol* 10:335.
- 505 20. Ndungo E, Pasetti MF. 2019. Functional antibodies as immunological endpoints to evaluate
506 protective immunity against *Shigella*. *Hum Vaccin Immunother*
507 doi:10.1080/21645515.2019.1640427:1-9.
- 508 21. Son MS, Taylor RK. 2011. Vibriocidal assays to determine the antibody titer of patient sera samples.
509 *Curr Protoc Microbiol* Chapter 6:Unit6A 3.
- 510 22. Borrow R, Carlone GM, Rosenstein N, Blake M, Feavers I, Martin D, Zollinger W, Robbins J, Aaberge I,
511 Granoff DM, Miller E, Plikaytis B, van Alphen L, Poolman J, Rappuoli R, Danzig L, Hackell J, Danve B,
512 Caulfield M, Lambert S, Stephens D. 2006. *Neisseria meningitidis* group B correlates of protection
513 and assay standardization--international meeting report Emory University, Atlanta, Georgia, United
514 States, 16-17 March 2005. *Vaccine* 24:5093-107.
- 515 23. Nahm MH, Yu J, Weerts HP, Wenzel H, Tamilselvi CS, Chandrasekaran L, Pasetti MF, Mani S, Kaminski
516 RW. 2018. Development, Interlaboratory Evaluations, and Application of a Simple, High-Throughput
517 *Shigella* Serum Bactericidal Assay. *mSphere* 3.
- 518 24. Necchi F, Saul A, Rondini S. 2017. Development of a high-throughput method to evaluate serum
519 bactericidal activity using bacterial ATP measurement as survival readout. *PLoS One* 12:e0172163.
- 520 25. Mak PA, Santos GF, Masterman KA, Janes J, Wacknov B, Vienken K, Giuliani M, Herman AE, Cooke M,
521 Mbow ML, Donnelly J. 2011. Development of an automated, high-throughput bactericidal assay that
522 measures cellular respiration as a survival readout for *Neisseria meningitidis*. *Clin Vaccine Immunol*
523 18:1252-60.
- 524 26. Necchi F, Saul A, Rondini S. 2018. Setup of luminescence-based serum bactericidal assay against
525 *Salmonella Paratyphi A*. *J Immunol Methods* 461:117-121.
- 526 27. ICH. 1995. ICH Q2(R1) Validation of Analytical Procedures: Text and Methodology. ICH,
527 [https://www.ich.org/fileadmin/Public_Web_Site/ICH_Products/Guidelines/Quality/Q2_R1/Step4/Q2
528 R1_Guideline.pdf](https://www.ich.org/fileadmin/Public_Web_Site/ICH_Products/Guidelines/Quality/Q2_R1/Step4/Q2_R1_Guideline.pdf).
- 529 28. Cohen D, Meron-Sudai S, Bialik A, Asato V, Goren S, Ariel-Cohen O, Reizis A, Hochberg A, Ashkenazi S.
530 2019. Serum IgG antibodies to *Shigella* lipopolysaccharide antigens - a correlate of protection against
531 shigellosis. *Hum Vaccin Immunother* 15:1401-1408.
- 532 29. Shimanovich AA, Buskirk AD, Heine SJ, Blackwelder WC, Wahid R, Kotloff KL, Pasetti MF. 2017.
533 Functional and Antigen-Specific Serum Antibody Levels as Correlates of Protection against Shigellosis
534 in a Controlled Human Challenge Study. *Clin Vaccine Immunol* 24.

characterisation L-SBA on human sera

- 535 30. Caboni M, Pedron T, Rossi O, Goulding D, Pickard D, Citiulo F, MacLennan CA, Dougan G, Thomson
536 NR, Saul A, Sansonetti PJ, Gerke C. 2015. An O antigen capsule modulates bacterial pathogenesis in
537 *Shigella sonnei*. *PLoS Pathog* 11:e1004749.
- 538 31. Micoli F, Rondini S, Gavini M, Pisoni I, Lanzilao L, Colucci AM, Giannelli C, Pippi F, Sollai L, Pinto V,
539 Berti F, MacLennan CA, Martin LB, Saul A. 2013. A scalable method for O-antigen purification applied
540 to various *Salmonella* serovars. *Anal Biochem* 434:136-45.

541

542

543

544 **SUPPLEMENTARY MATERIAL**

545 **Figure S1. Test for equal variances for homoscedasticity.** Variances (x axis) from 12 replicates
546 versus Log sera dilutions (y axis) were plotted for each of 6 different plates tested.

547

548 **Figure S2.** ANOVA with variance component analysis obtained from the 72 individual LogIC50
549 produced by two operators in 3 different days, each day assaying independently twelve times the
550 same sera.

551

552 **Figure S3. A)** Regression analysis for linearity assessment. **B)** Residual plots for LogIC50.

553

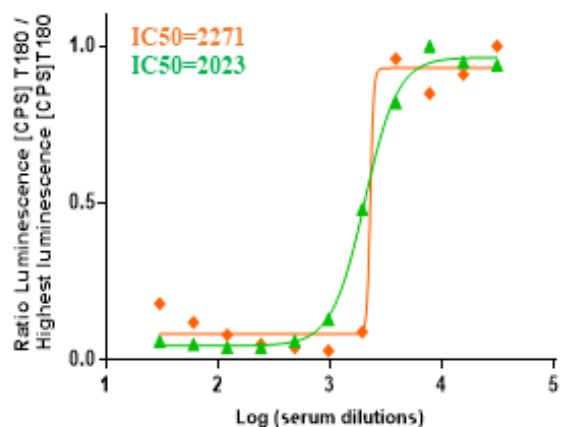
554 **Figure S4. Linearity.** Log(IC50 theoretical) obtained for each sample versus Log(IC50 observed)
555 are reported. Single datapoints are indicated with blue dots. Red solid line represents second order
556 exponential regression and green dashed line the 95% confidence interval (CI).

557

558

Fig. 1

A) Normalised to highest luminescence detected at T180



B) 4PL fitting directly on raw data, weighting for $1/Y^2$

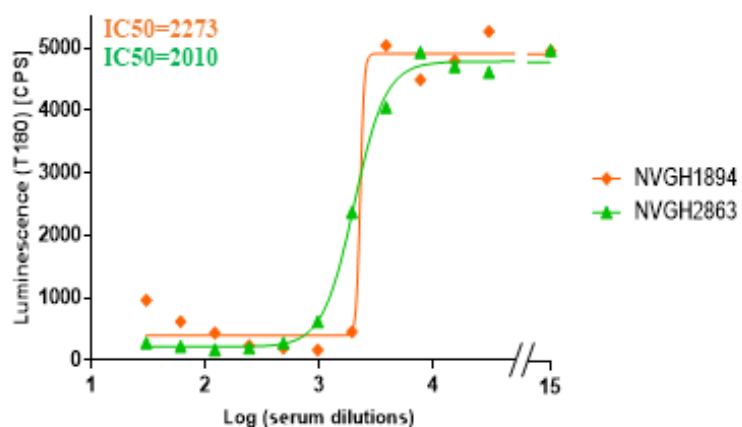


Fig. 2

