

1 **Title: Proteomic fingerprint identification of Neotropical hard tick species (*Acari:***
2 ***Ixodidae*) using a self-curated mass spectra reference library**

3 Short Title: Fingerprinting of Neotropical hard ticks using a self-curated mass spectra library

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27 **Abstract (219 words)**

28 Matrix-assisted laser desorption/ionization (MALDI) time-of-flight mass spectrometry is
29 an analytical method that detects macromolecules that can be used as biomarkers for
30 taxonomic identification in arthropods. The conventional MALDI approach uses fresh
31 laboratory-reared arthropod specimens to build a reference mass spectra library with high-
32 quality standards required to achieve reliable identification. However, this may not be possible
33 to accomplish in some arthropod groups that are difficult to rear under laboratory conditions, or
34 for which only alcohol preserved samples are available. Here, we generated MALDI mass
35 spectra of highly abundant proteins from the legs of 18 Neotropical species of adult field-
36 collected hard ticks, several of which had not been analyzed by mass spectrometry before. We
37 then used their mass spectra as fingerprints to identify each tick species by applying machine
38 learning and pattern recognition algorithms that combined unsupervised and supervised
39 clustering approaches. Both principal component analysis (PCA) and linear discriminant
40 analysis (LDA) classification algorithms were able to identify spectra from different tick species,
41 with LDA achieving the best performance when applied to field-collected specimens that did
42 have an existing entry in a reference library of arthropod protein spectra. These findings
43 contribute to the growing literature that ascertains mass spectrometry as a rapid and effective
44 method for taxonomic identification of disease vectors, which is the first step to predict and
45 manage arthropod-borne pathogens.

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48 **Author Summary (153 words)**

49 Hard ticks (Ixodidae) are external parasites that feed on the blood of almost every
50 species of terrestrial vertebrate on earth, including humans. Due to a complete dependency on
51 blood, both sexes and even immature stages, are capable of transmitting disease agents to
52 their hosts, causing distress and sometimes death. Despite the public health significance of
53 ixodid ticks, accurate species identification remains problematic. Vector species identification
54 is core to developing effective vector control schemes. Herein, we provide the first report of
55 MALDI identification of several species of field-collected Neotropical tick specimens preserved
56 in ethanol for up to four years. Our methodology shows that identification does not depend on
57 a commercial reference library of lab-reared samples, but with the help of machine learning it
58 can rely on a self-curated reference library. In addition, our approach offers greater accuracy
59 and lower cost per sample than conventional and modern identification approaches such as
60 morphology and molecular barcoding.

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63 **Text Word Count: 3,950**

64 **Introduction**

65 Hard ticks (Ixodidae) are hematophagous ectoparasites that feed on almost every
66 species of terrestrial vertebrate on earth, including *Homo sapiens sapiens* [1, 2]. Due to a
67 complete dependency on blood as a food source, both sexes of adults and immature ticks are
68 capable of transmitting disease pathogens to their hosts, causing significant morbidity and
69 sometimes even death [3, 4]. Research on hard ticks has increased recently in the Neotropics,
70 where a growing number of outbreaks of tick-borne related illnesses have been documented
71 [5-8]. Despite these efforts, comprehensive studies about the ecology, behavior and control of
72 hard ticks relevant to public health remain elusive in Central America due to the shortcomings
73 of traditional taxonomic methods to species identification. Taxonomic identification of
74 Neotropical Ixodidae has traditionally relied on adult morphological characters [9]; however,
75 morphological keys for immature stages (i.e., larvae and nymphs) are lacking and experts are
76 often unable to reliably identify immature ticks to species [9, 10]. Moreover, morphological
77 identification of ticks is unrealistic in epidemiological settings because assessing the role of
78 ticks as disease vectors usually involves identifying hundreds of individuals for pathogen
79 screening, an extremely time-consuming effort, which may be further impeded by the lack of
80 qualified taxonomic specialists [11].

81 Matrix-assisted laser desorption/ionization (MALDI) time-of-flight mass spectrometry is
82 an analytical technique that allows for sensitive and accurate detection of complex molecules
83 such as proteins, peptides, lipids and nucleic acids [12-14]. The conventional MALDI approach
84 has been used successfully to generate markers for proteomic identification of microorganisms
85 such as pathogenic bacteria and fungi, which can be cultured in the laboratory and form
86 discrete colonies with very consistent mass spectra that facilitates the development of

87 reference libraries for identification of unknown samples [15, 16]. In fact, a commercial
88 program offered by the manufacturers of the MALDI technology is capable of determining
89 statistical similarities between the spectra of unknown samples and a well-curated, proprietary
90 reference library of bacteria and fungi to identify the species of the unknown specimen. This is
91 analogous to the process of matching fingerprints, and offers a simplified comparison score
92 that ranges from 0.0 to 3.0. Scores above or equal to 2.3 represent a confident match at the
93 genus rank, and high probability at the species level, while values below 1.7 are considered as
94 non-reliable identifications [15-17].

95 Although more challenging than identifying bacteria and fungi due to the size and
96 heterogeneity of the specimen, MALDI has also been used to discriminate among species of
97 invertebrates, including mosquitoes (*Culicidae* - *Anopheles*), fleas (*Pulicidae* -
98 *Ctenocephalide*), biting midges (*Ceratopogonidae* - *Culicoides*), sandflies (*Psychodidae* -
99 *Phlebotomus*, *Lutzomyia*) and ticks (*Ixodidae* - *Rhipicephalus*) [18-26]. A key finding from
100 these studies is that protein spectra obtained from body sections or whole specimens were
101 similar among individuals of the same morphological species but differed noticeably across
102 different species. Therefore, MALDI protein spectra can be used as a tool to delimit species
103 boundaries in arthropods that are vectors of pathogens. Nevertheless, fresh laboratory-reared
104 specimens are routinely needed to build a reference library that meets the high-quality
105 standards required for classification. This represents an important limitation for some arthropod
106 groups, or assemblages, that are difficult to rear under laboratory conditions. In addition,
107 epidemiological studies often rely on field-collected specimens preserved in ethanol for long-
108 term storage in reference collections. To overcome these limitations, previous studies have
109 opted for adjusting the comparison scores minimum-threshold limit for identification, lowering
110 the manufacturer's recommended scores from 2.3 to 1.8 [21, 27] or even 1.3 [22, 28]. Hence,

111 mass fingerprinting for the identification of field-collected specimens that do not exist in a
112 reference spectra library (or for those from which reference spectra cannot be generated under
113 ideal conditions) requires an alternative, objective approach [11]. Moreover, most existing
114 applications of MALDI to identify arthropod disease vectors have focused on relatively species-
115 poor vector assemblages from Europe. This technique has been tested less-frequently in the
116 new world tropics [19, 20, 22, 24, 27-36], where vector species richness is the greatest on
117 Earth.

118 Here, we used MALDI as a scheme to identify Neotropical specimens of adult hard ticks
119 derived from ethanol-preserved field collections. Specifically, we used machine learning and
120 pattern recognition algorithms to classify protein spectra from the legs of field-collected
121 specimens in order to identify a group of unknown samples with a self-curated reference
122 library. MALDI is a promising tool for cataloging and quickly identifying large arthropod groups
123 such as ticks [11]. Our results should contribute to the growing body of literature trying to
124 address questions about feasibility, reliability and universality of the methodology for different
125 environments and species that have not been evaluated before. Properly identifying disease
126 vectors such as Ixodidae in highly diverse Neotropical countries, such as Panama, is a critical
127 first step to predict and manage tick-borne zoonotic pathogens such as *Rickettsia* and
128 arboviruses (e.g., arthropod-borne viruses).

129

130

131 **Methods**

132 **Sample preparation**

133 Ticks stored in ethanol for up to 5 years, and previously identified based on
134 morphological characters, were taken from long-term storage in a -20 °C freezer (S1 Table). A
135 total of 103 specimens from the following species were included in this study: *Amblyomma*
136 *mixtum* (*cajennense*), *Amblyomma calcaratum*, *Amblyomma dissimile*, *Amblyomma geayi*,
137 *Amblyomma nodosum*, *Amblyomma oblongoguttatum*, *Amblyomma ovale*, *Amblyomma*
138 *pecarium*, *Amblyomma sabanerae*, *Amblyomma varium*, *Amblyomma naponense*,
139 *Amblyomma tapirellum*, *Ixodes affinis*, *Ixodes boliviensis*, *Dermacentor nitens*, *Haemaphysalis*
140 *juxtackochi*, *Rhipicephalus microplus* and *Rhipicephalus sanguineus*. Samples were prepared
141 following previously published protocols with minor modifications [21, 22]. Briefly, we removed
142 either the left or the right anterior leg from each tick using a scalpel. The leg was then put in
143 tube with 300 µL ultrapure water followed by the addition of 900 µL of 100% ethanol. These
144 tubes were vortexed for 15 s and centrifuged at 13,000 RPM for 2 min. After centrifugation, the
145 supernatant was poured off from the sample tube, which was left to dry for 15 min.
146 Subsequently, the legs were resuspended in 60 µL 70% formic acid and 60 µL 100%
147 acetonitrile and homogenized in the microtube using a manual pestle. The samples were
148 placed in a Branson 1510 ultra-sonicator (Branson, Danbury, CT, USA) for 60 minutes in ice
149 water, and then vortexed for 15 s and centrifuged again at 13,000 RPM for 2 min. 1 µL of
150 supernatant was pipetted onto a polished steel MALDI plate and covered with 1 µL of HCCA
151 matrix. After letting the plate dry, it was inserted into the MALDI mass spectrometer to record
152 the protein spectra from tick legs.

153

154

155 **MALDI mass spectrometry parameters**

156 We used an UltrafleXtreme III spectrometer (Bruker Daltonics, Bremen, Germany) to
157 generate the protein mass spectra of each specimen. The equipment has a MALDI source, a
158 time-of-flight (TOF) mass analyzer, and a 2KHz Smartbeam™-II neodymium-doped yttrium
159 aluminum garnet (Nd:YAG) solid-state laser ($\lambda=355$ nm) that we used in positive polarization
160 mode. All spectra were automatically acquired in the range of 2,000 to 20,000 m/z in linear
161 mode for the detection of the most abundant protein ions. Each spectrum represented the
162 accumulation of 5,100 shots with 300 shots taken at a time, and the acquisition was done in
163 random-walk mode with a laser power in the range of 50% to 100% (global laser attenuation at
164 30%). The software FlexAnalysis™ (Bruker) was used to analyze the mass spectra initially
165 and to evaluate number of ion peaks and their intensity. Visual comparisons of the mass
166 spectra from different tick species gave initial indications of dominant ion peaks that would
167 suggest possible classification into discrete groups. Mass spectra that did not include at least
168 one ion peak with an intensity of 1000 a.u. or more, were considered low quality and filtered
169 out. All samples were placed and measured on three individual target wells with three technical
170 replicates of the mass spectra collected per well.

171

172 **Data analysis, clustering algorithms and statistics**

173 The methodology has been described in detail previously by our group for the
174 identification of adult mosquito legs [26], based on similar data analysis for face recognition
175 [37, 38] and spectral classification using mass spectrometry [39, 40]. In brief, 239 mass
176 spectra generated across 103 samples for all 18 species of morphologically-identified
177 Neotropical hard ticks were classified using Principal Component Analysis (PCA) and Linear
178 Discriminant Analysis (LDA), which are linear transformation techniques from the field of

179 Machine Learning that are commonly used for dimensionality reduction and classification.
180 Dimensionality reduction can help decrease computational costs for classification, as well as
181 avoid overfitting by minimizing the error in parameter estimation.

182 PCA is an “unsupervised” algorithm that generates vectors that correspond to the
183 direction of maximal variance in the sample space. On the other hand, LDA is a “supervised”
184 algorithm that considers class information to provide a basis that best discriminates the
185 classes (*i.e.*, tick species) [37]. For both PCA and LDA analyses, we calculated the Euclidean
186 distance between the vector describing the test sample and the average vector describing
187 each class to identify a test sample. The class with the minimum distance with respect to the
188 test sample was assigned as the identified species for that test sample. The LDA was applied
189 over the data set expressed in terms of the coefficients (*i.e.*, principal components) obtained by
190 the PCA. Thus, PCA reduced the dimensionality of the data, and the LDA provided the
191 supervised classification.

192 The performance of the clustering algorithms was tested using Monte Carlo simulations
193 over 1000 iterations per species to optimize training and cross-validation prediction success
194 rates (Table 2). For each iteration, the data elements in each class were split randomly in
195 approximately, but not less than, 20% of the elements for testing and the rest of the elements
196 for training, for each species. For this analysis, we used the first 150 principal components
197 from the PCA stage that explained 99.9% of the total variance, which after being projected for
198 the LDA algorithm, also generated a 150-components data set. The number of components
199 was chosen after a performance analysis, again using a Monte Carlo approach, that provided
200 the best identification rates. Global and class positive identification rates were calculated to
201 establish the classification capacity of the algorithm (Table 2). The positive identification rate

202 corresponds to the percent ratio between positive identifications performed by the algorithm
203 and the real positive cases in the data.

204 For visualization purposes in the plots, species that were morphologically identified
205 within the *Rhipicephalus* and *Ixodes* genera were separately compared against *Dermacentor*
206 and *Haemaphysallis* for which there was only one species in each. All species that were
207 morphologically identified within the *Amblyomma* genus were separately compared between
208 themselves or against the *Ixodes* genera.

209

210 **Results**

211 Optical micrographs from 18 species of Neotropical hard ticks showed very clear
212 differences among species in terms of adult morphological features (Fig 1, S1 Fig), which was
213 well aligned with the expected unique mass spectra generated from each sample and taxon
214 (Fig 2). The global automatic acquisition rate was 77% for all species (Table 1), confirming
215 that, overall, the mass spectra of field-collected and ethanol preserved specimens allowed
216 automatic acquisition of spectra. In fact, automatic acquisition of spectra results in faster and
217 more objective data acquisition than performing spectra collection manually. The percentage of
218 automatic spectra acquisition with the MALDI ranged from 50 % for *A. mixtum (cajennense)*, *I.*
219 *boliviensis* and *R. sanguineus* to 100% for several of the species, including *A. calcaratum*, *A.*
220 *geayi*, *A. sabanerae*, *I. affinis*, and *R. microplus* (Table 1). The time stored in ethanol or the
221 location of sample origin did not seem to explain the variable percentages of automatic spectra
222 collection (S1 Table). Spectra from freshly collected specimens stored dry at -20 °C, used to
223 establish the methodology, exhibited the best signals, with better-defined spectral peaks and
224 higher signal-to-noise ratio.

225 In addition, the specimens within each species showed consistently similar protein
226 profiles, regardless of their taxonomic genera, sex, collection date and/or sampling location
227 (Fig 2, Table S1). Mean protein spectra for tick species differed visually among taxa and the
228 differences appeared to be related to their degree of phylogenetic relatedness (Fig 2). For
229 example, species within the genera *Ixodes*, *Rhipicephalus*, and *Amblyomma* were more similar
230 among themselves in terms of the ions peak number and mass over charge (m/z) position in
231 their mass spectra than species from different genera. Nonetheless, some closely related
232 species within the *Amblyomma* genus such as *A. mixtum (cajennense)*, *A. varium*, and *A.*
233 *tapirellum* also showed fairly distinct protein spectra (Fig 2), which motivated the application of
234 clustering algorithms for their classification.

235

236 **Figure 1. Optical micrographs of Neotropical hard ticks.** The image shows the dorsal and ventral sides for 6 of
237 the 18 species of hard ticks in the genus *Amblyomma*, *Dermacentor*, *Haemaphysalis*, *Ixodes* and *Rhipicephalus*.
238 The images for the full assemblage of 18 species can be found in S1 Fig.

239

240

241 **Table 1. Description of samples subjected to analysis with the MALDI mass**
 242 **spectrometry procedure.**

Species Name	# of samples	Locality code	# of expected spectra	# of obtained spectra	MALDI automatic spectra acquisition rate (%)
<i>Amblyomma mixtum (cajennense)</i>	4	a	12	6	50%
<i>Amblyomma calcaratum</i>	5	a, b	15	15	100%
<i>Amblyomma dissimile</i>	4	c	12	9	75%
<i>Amblyomma geayi</i>	4	d	12	12	100%
<i>Amblyomma nodosum</i>	4	a	12	10	83%
<i>Amblyomma oblongoguttatum</i>	4	a, e	12	8	67%
<i>Amblyomma ovale</i>	4	e	12	11	92%
<i>Amblyomma pecarium</i>	4	e	12	11	92%
<i>Amblyomma sabanerae</i>	3	f	9	9	100%
<i>Amblyomma varium</i>	4	g	12	9	75%
<i>Amblyomma naponense</i>	5	f	15	9	60%
<i>Amblyomma tapirellum</i> *	26	e, g	78	56	72%
<i>Ixodes affinis</i>	4	e	12	12	100%
<i>Ixodes boliviensis</i>	4	e	12	6	50%
<i>Dermacentor nitens</i>	4	c	12	9	75%
<i>Haemaphysalis juxtackochi</i>	6	a, e	18	11	61%
<i>Rhipicephalus microplus</i>	10	c, d	30	30	100%
<i>Rhipicephalus sanguineus</i>	4	a	12	6	50%
Total	103	a-g	309	239	77%

243
 244 (a) = Panama: Chorrera, Las Pavas; (b) = Panama: Colon, Madden Road; (c) = Panama: Colon, Achiote; (d)
 245 = Panama: Panama, Capira; (e) Panama: Colon, Barro Colorado Island; (f) Panama: Colon, Sierra Llorona
 246 Lodge; (g) Panama: Colon, Gamboa. (*) Indicates some specific samples that upon collection were stored
 247 fresh in Silica Gel (For more metadata information about these samples see also S1 Table).

248

249 **Figure 2.** Baseline-corrected and smoothed spectra for 18 species of ticks in the genus *Amblyomma*, *Dermacentor*,
250 *Haemaphysalis*, *Ixodes* and *Rhipicephalus*. Major ion peaks and their molecular weights are annotated in the range
251 of 2,000 to 20,000 m/z for all species.

252

253 Distinct mass spectra profiles between morphologically identified ixodid species could
254 be classified by an unsupervised PCA algorithm to identify specimens. The quantitative
255 performance of the PCA algorithm was assessed per species (Table 2), and visually confirmed
256 with the graphic clustering presented in 3D plots (Fig 3). The PCA global positive identification
257 rate was 91.2%, with 14 out of 18 species having higher than 90 % positive identification rate.
258 The PCA graphs showed that most species separated in well-defined clusters, and the
259 distance among clusters seemed to be related to the degree of phylogenetic relatedness as
260 evidenced by the clear separation from the specimens of *Dermacentor* and *Rhipicephalus* with
261 those from *Haemaphysallis* and *Ixodes* (Fig 3A, B), or just between the specimens of
262 *Amblyomma* (Fig 3C). When comparing species within the genus *Amblyomma* against those
263 from *Ixodes*, again the spectra from specimens of each species clustered together with limited
264 overlap between groups and those from different genera were clearly separated (Fig 3D).

265

266 **Figure 3.** Principal component analysis (PCA) of individual species plotted against first, second and third principal
267 components (PC). All species were classified using a Monte Carlo simulation with 1000 iterations, in which 80%
268 of the samples were used as training set (□) and the remaining 20% as test set (• for positive identifications and +
269 for negative ones). The cluster centroid of each species is also presented in the graph (◇). The plots show (A) the
270 training and test sets for the species belonging to the *Dermacentor*, *Haemaphysalis*, *Ixodes* and *Rhipicephalus*
271 genera, and (B) only the test sets for better visualization; as well as the training set and test set of (C)
272 *Amblyomma* species alone or (D) *Amblyomma* in combination with *Ixodes* genera. The unsupervised PCA
273 algorithm had a global positive identification rate of 91.2%. These 3D plots represent only one of the 1000 Monte
274 Carlo iterations performed with the algorithm.

275

276 In addition, the LDA clustering analysis showed a global positive identification rate of
277 94.2% (Fig 4; Table 2), with 14 out of 18 species having higher than 97.8 % positive
278 identification rate. The range of positive identification rates went from 100% (best score
279 possible) for *A. mixtum (cajennense)*, *A. nodosum*, *A. oblongoguttatum*, *A. ovale*, *A. varium*, *A.*
280 *naponense* and *R. sanguineus* to 45.6% for *D. nitens*. The 3D representation plots of the LDA
281 clustering displayed that the separation between species was more pronounced than with PCA
282 when comparing species from different genera, confirming the improved quantitative results of
283 the performance of the LDA algorithm (Table 2).

284

285 **Figure 4.** Linear Discriminant Analysis (LDA) applied to spectra from tick species of the genera *Amblyomma*,
286 *Dermacentor*, *Haemaphysalis*, *Ixodes* and *Rhipicephalus*. The plots show (A) the training and test sets for species
287 in the *Dermacentor*, *Haemaphysalis*, *Ixodes* and *Rhipicephalus* genera projected over the first three components
288 of the LDA, as well as (B) only the test set for better visualization; and also the training and test sets for (C) the
289 *Amblyomma* genus alone, as well as (D) the *Amblyomma* genus compared to the *Ixodes* genus. These 3D plots
290 represent only one of the 1000 Monte Carlo iterations performed with the algorithm. The supervised LDA
291 algorithm had a 94.2% global positive identification rate.

292 **Table 2. Performance of PCA and LDA clustering algorithms.**

Species Name	PCA Positive Identification Rate (%)	LDA Positive Identification Rate (%)	Spectra per Class	# Training Elements	# Test Elements
<i>Amblyomma mixtum</i> (<i>cajennense</i>)	100.0%	100.0%	6	4000	2000
<i>Amblyomma calcaratum</i>	100.0%	99.6%	15	12000	3000
<i>Amblyomma dissimile</i>	67.6%	67.6%	9	7000	2000
<i>Amblyomma geayi</i>	99.1%	99.6%	12	9000	3000
<i>Amblyomma nodosum</i>	100.0%	100.0%	10	8000	2000
<i>Amblyomma oblongoguttatum</i>	100.0%	100.0%	8	6000	2000
<i>Amblyomma ovale</i>	100.0%	100.0%	11	8000	3000
<i>Amblyomma pecarium</i>	99.8%	99.0%	11	8000	3000
<i>Amblyomma sabanerae</i>	69.3%	85.9%	9	7000	2000
<i>Amblyomma varium</i>	99.8%	100.0%	9	7000	2000
<i>Amblyomma naponense</i>	100.0%	100.0%	9	7000	2000
<i>Amblyomma tapirellum</i>	97.8%	97.8%	56	44000	12000
<i>Dermacentor nitens</i>	21.7%	45.6%	12	9000	3000
<i>Haemaphysalis juxtackochi</i>	90.9%	97.8%	6	4000	2000
<i>Ixodes affinis</i>	84.0%	89.5%	9	7000	2000
<i>Ixodes boliviensis</i>	96.8%	98.8%	11	8000	3000
<i>Rhipicephalus microplus</i>	93.1%	98.7%	30	24000	6000
<i>Rhipicephalus sanguineus</i>	100.0%	100.0%	6	4000	2000
Global	91.2%	94.2%	239	183000	56000

293

294

295 **Discussion**

296 Our results show that MALDI mass spectra of highly abundant proteins in arthropod
297 legs served as fingerprints to identify samples of 18 species of Neotropical hard ticks using
298 machine learning and pattern recognition algorithms to create a self-curated reference library.
299 We compared smoothed and baseline-corrected spectra generated from unknown field-
300 collected tick samples against the mean spectra from a subset of the same field samples that
301 had already been identified through traditional means. To systematize this process, we used
302 PCA and LDA algorithms to classify mass spectra without prior establishment of a high-quality
303 reference library, which typically requires laboratory-reared specimens that may not be
304 possible to obtain for all species. Global positive identification rates of up to 94.2% were
305 achieved with this methodology, offering a rapid, reliable and objective approach to identify
306 hard tick species, which will likely improve as more specimens are evaluated and included in
307 our database.

308 These outcomes agree with our previous work [26] in which we used a similar approach
309 to classify field-collected samples of 11 morphologically-identified species of *Anopheles*
310 mosquitoes. In that study, Neotropical *Anopheles* samples were stored dry in silica gel at -20
311 °C, which seemed to avoid sample degradation and maintain spectral quality. This contrasts
312 with the present study, where most of our specimens were stored in ethanol at -20 °C for
313 several years. Thus, our findings confirm that our novel analytical approach using MALDI and
314 PCA/LDA clustering algorithms is robust for species classification regardless of the arthropod
315 assemblage, sample storing conditions, and the lack of a high-quality reference library. Our
316 results herein also show that both classification algorithms, PCA and LDA, were capable of
317 clustering and recognizing spectra from up to 18 different tick species, including roughly 50 %
318 of Ixodid taxa (e.g., both ecologically dominant and rare taxa) reported for Panama [26, 41].

319 LDA outcomes were more discriminant and robust than PCA overall, but PCA also classified
320 species from different genera with over 91 % accuracy and consistency. LDA was able to
321 cluster each of the 18 species of ticks with validation and cross-validation scores above 94 %,
322 both between and within genera. As expected, the clustering algorithm was most accurate for
323 distinctly related phylogenetic species (i.e., *Ixodes*, *Rhipicephalus* and *Haemaphysalis*
324 genera), with higher than 97 % success rate in most of these cases, than for closely related
325 species (i.e., *Amblyomma* genus).

326 Although the number of samples analyzed for some ixodid species was relatively low,
327 several of these taxa are considered cryptic species complexes [42] and have been implicated
328 as vectors of human pathogens in Panama as well as more broadly, including *A. mixtum*
329 (*cajennense*) and *D. nitens*, the likely vectors of *Rickettsia rickettsii*, known to cause Rocky
330 Mountain spotted fever [43]. We also included samples of *A. tapirellum*, *A. oblongoguttatum*
331 and *H. juxtakochi*, three species from which human pathogens have been previously isolated
332 [44], such as: *Coxiella*, whose members cause Q fever; *Ehrlichia*, which causes ehrlichiosis
333 infection; and *Rickettsia*, which causes a variety of bacterial infections in humans and other
334 animals. These results are important because our species identification platform can serve as
335 an additional tool for Health Ministries in Panama and other countries, to monitor, predict and
336 manage tick-borne zoonotic pathogens.

337 Morphological taxonomic identification of ixodid ticks can be enhanced by molecular
338 techniques such as the DNA barcoding [8, 45], but this procedure is laborious, expensive and
339 needs a well-trained lab-technician. Studies show that typical DNA barcoding costs can range
340 from \$2 to \$5 per sample, with difficult-to-extract samples increasing the cost two-fold or more
341 [46, 47]; while costs associated to MALDI species identification have been calculated to be
342 less than \$0.50 per sample [48-50]. Furthermore, a comprehensive repository of DNA

343 sequences (e.g., DNA barcodes) is needed in order to test species limits, yet only a handful of
344 Neotropical tick species are represented in Genbank [51] or BOLD [52] repositories, which
345 could limit identification to the most common taxa only. In addition, DNA barcoding
346 occasionally fails to delimit species boundaries due to ambiguous evolutionary relationships
347 among closely related tick species [45].

348 The long-term goal of our analytical approach with MALDI is to offer an open-source,
349 web-based platform where users can upload the protein mass spectra of their known and
350 unknown specimens to increase the number of species covered and to improve the power of
351 our clustering algorithms. This crowd-sourced approach could be more cost effective, given
352 that it is not necessary to generate a reference library of well-curated samples. Instead, field
353 samples can be taxonomically assigned as they arrive to the laboratory using a correctly
354 matched protein fingerprint, while unidentified samples can be identified with traditional
355 methods and added as new entries into the growing self-curated reference database.

356 In conclusion, the present study used MALDI mass spectrometry as a tool to rapidly
357 identify Neotropical specimens of adult hard ticks that had been preserved in ethanol for
358 several years. Our algorithms were capable of identifying specimens from the 18 tick species
359 evaluated, based on their protein spectra “fingerprint” with up to 94% cross-validation
360 capability. This is the first report of the protein mass spectra from the leg for most of these
361 Neotropical tick species. Large arthropod groups such as ticks are difficult to identify with
362 currently available strategies from commercial vendors, forcing the user to lower the “quality”
363 bar of a positive match to enhance the percentage of correct identification. Our MALDI/self-
364 curated library approach, although still serving as an auxiliary technique to traditional
365 identification methods (and not necessarily replacing them), would reduce considerably the
366 number of samples that would require morphological identification or DNA barcoding. This will

367 reduce the time and cost needed to integrate these techniques in routine surveillance
368 programs in Neotropical regions where tick diversity remains relatively uncharacterized.

369

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376

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547

549 **Supporting information**

550 **Supporting figures and tables**

551

552 **S1 Figure. Optical micrographs of Neotropical hard ticks.** The image shows the dorsal and
553 ventral sides for all 18 species of hard ticks in the genus *Amblyomma*, *Dermacentor*,
554 *Haemaphysalis*, *Ixodes*, and *Rhipicephalus* used to generate protein spectra with our MALDI
555 mass spectrometry approach.

556

557 **S1 Table. Metadata of specimens and species of hard tick (e.g., Ixodidae) collected in**
558 **Panama. Available at: https://github.com/mjmillerlab/maldi_ticks**

559

560 **List of abbreviations**

561 MALDI: matrix-assisted laser desorption/ionization; PCA: principal component analysis; LDA:
562 linear discriminant analysis; DNA: deoxyribonucleic acid; INDICASAT: Institute for Scientific
563 Research and High Technology Services; STRI: Smithsonian Tropical Research Institute; SNI:
564 National System of Investigation; UTP: Technological University of Panama; TOF: time-of-
565 flight; MiAmbiente: Ministry of Environment.

566

567 **Ethics approval and consent to participate (Ethics statement)**

568 Not applicable

569

570 **Consent for publication**

571 Not applicable

572

573 **Availability of data and material**

574 The datasets used and/or analyzed during the current study are available from the
575 corresponding author on reasonable request.

576

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583

584 **Competing interests**

585 The authors declare that they have no competing interests.

586

587 **Authors' contributions**

588 JRL and RAG designed and developed the experiments. JRL, EA and HJE collected and
589 identified the ticks. AA, RH and MD performed the tests with the MALDI. JRL, JSG, FM, JK
590 and RG analyzed the data and produced the graphs. JRL and RAG wrote the first draft of the
591 paper and EA, LM, KLB, JSG, FM, JK, MJM, HJE, RH, MD, and LFL contributed comments to
592 subsequent versions on it. All authors read and approved the final manuscript.

593

Figure 1:

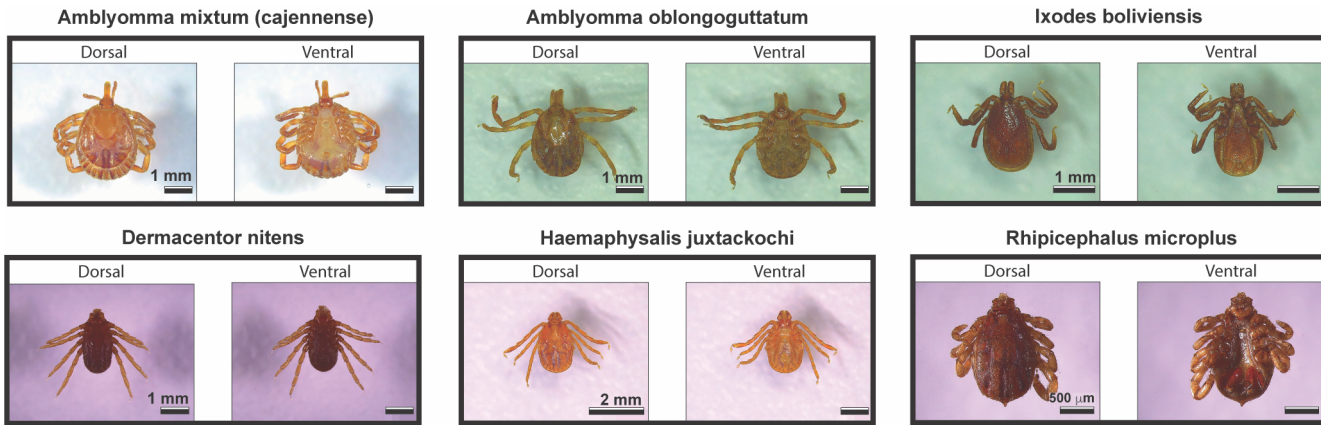


Figure 2:

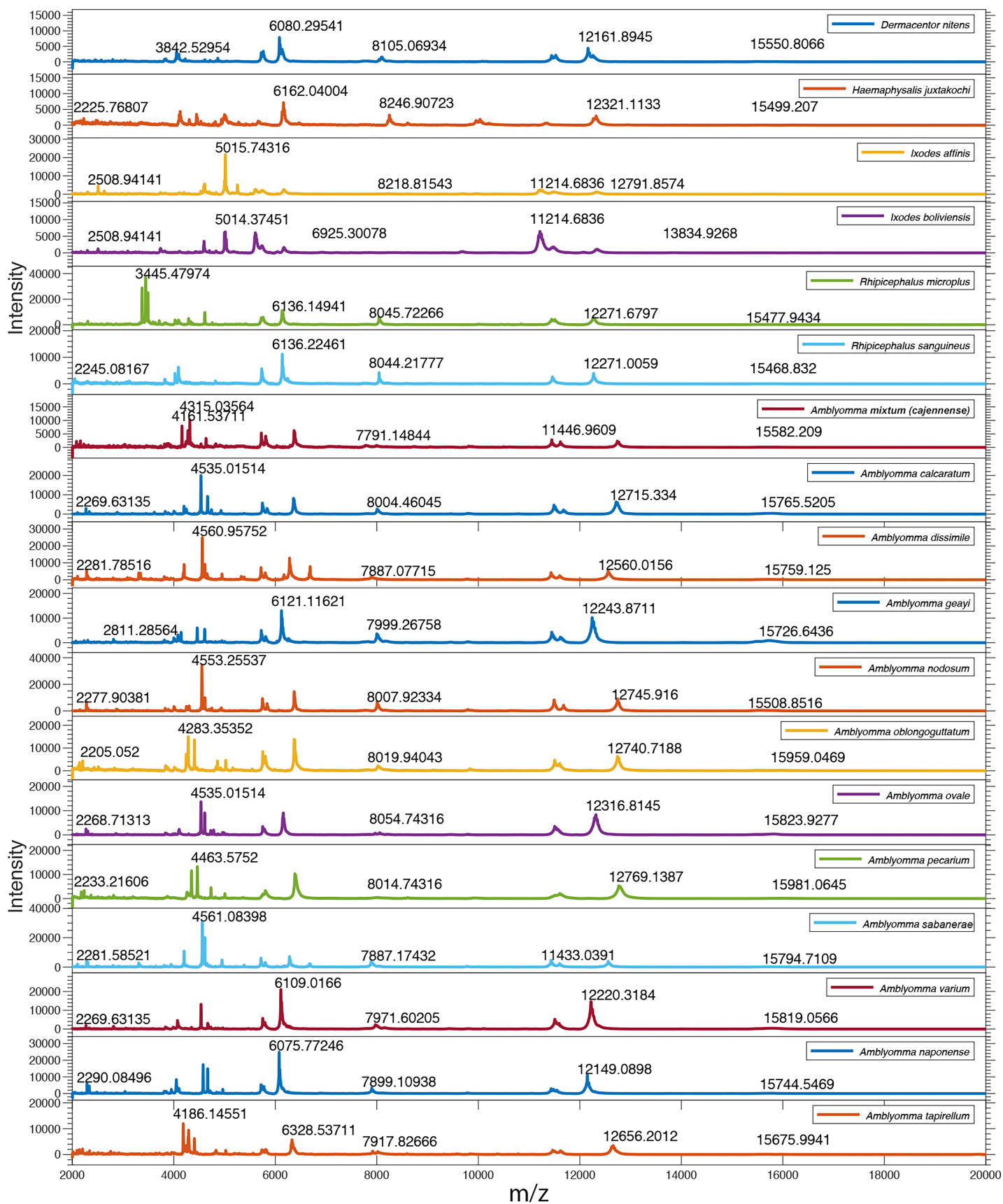


Figure 3:

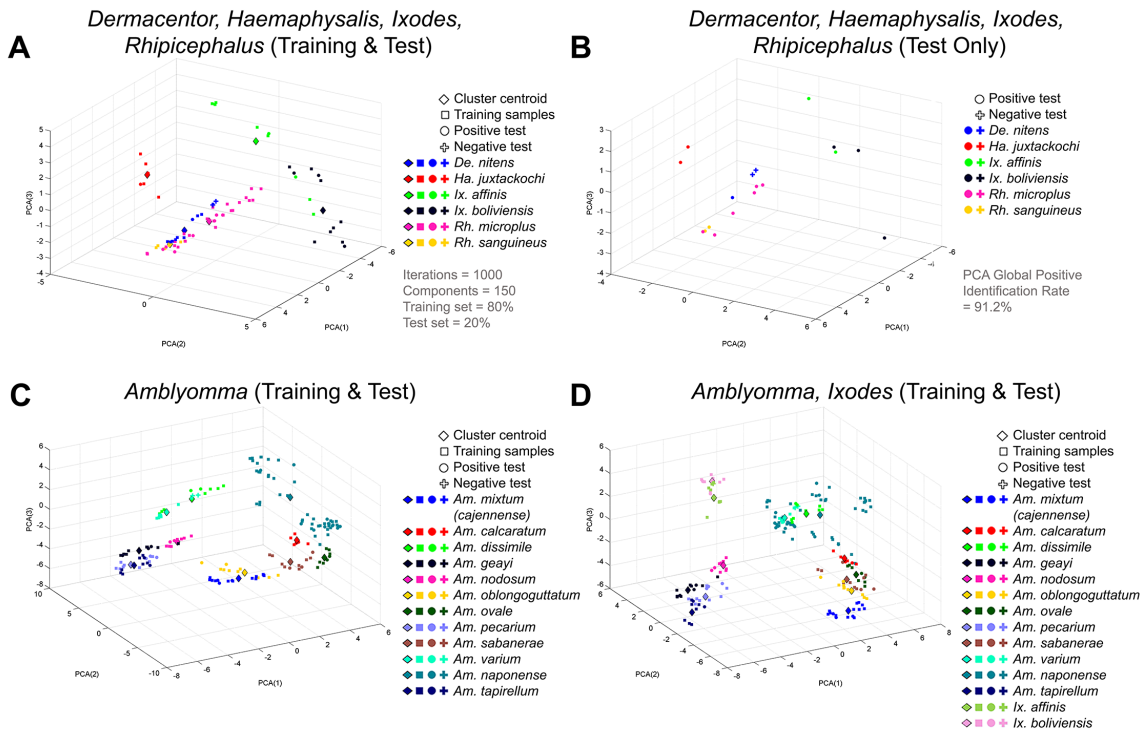


Figure 4:

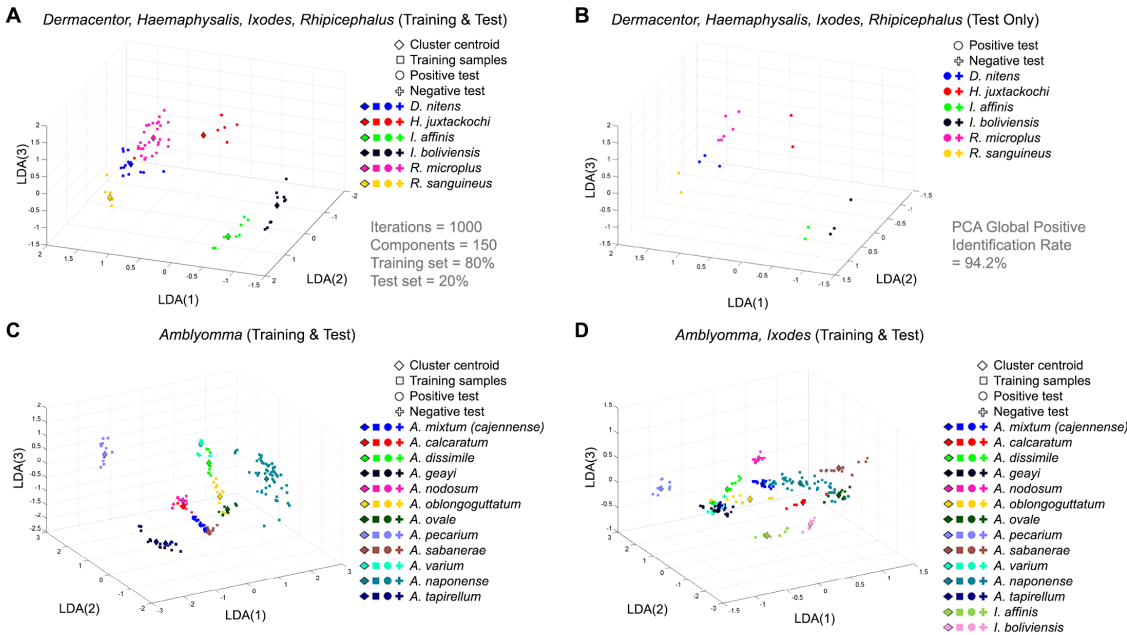


Figure S1:

