

1 **Expression from DIF1-motif promoters of *hetR* and *patS* is**
2 **dependent on HetZ and modulated by PatU3 during heterocyst**
3 **differentiation**

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12 **Received** April , 2020 **Accepted**

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14 **Running title:** Role of HetZ and PatU3 in gene regulation

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22 **Abstract**

23 HetR and PatS/PatX-derived peptides are the activator and diffusible inhibitor for cell
24 differentiation and patterning in heterocyst-forming cyanobacteria. HetR regulates
25 target genes via HetR-recognition sites. However, some genes (such as *patS/patX*)
26 upregulated at the early stage of heterocyst differentiation possess DIF1 (or DIF⁺)
27 motif (TCCGGA) promoters rather than HetR-recognition sites; *hetR* possesses both
28 regulatory elements. How HetR controls heterocyst-specific expression from DIF1
29 motif promoters remains to be answered. This study presents evidence that the
30 expression from DIF1 motif promoters of *hetR*, *patS* and *patX* is more directly
31 dependent on *hetZ*, a gene regulated by HetR. The HetR-binding site upstream of *hetR*
32 is not required for its autoregulation. PatU3 (3' portion of PatU) that interacts with
33 HetZ may modulate HetZ-dependent gene expression. These findings contribute to
34 understanding of the mutual regulation of *hetR*, *hetZ-patU* and *patS/patX* in a large
35 group of multicellular cyanobacteria.

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43 **Introduction**

44 Cyanobacteria were the first group of microorganisms that performed oxygenic
45 photosynthesis [1, 2]. In the early earth environment, nitrogen nutrient was a limiting
46 factor for propagation of microbes. Under this selective pressure, *nif* genes spread
47 among bacteria, and some cyanobacteria acquired the N₂ fixation capability. With the
48 rise of atmospheric oxygen, certain filamentous species developed the capability to
49 form specialized N₂-fixing cells, called heterocysts, to protect nitrogenase from
50 inactivation by oxygen [3-5]. Nowadays, heterocyst-forming cyanobacteria contribute
51 significantly to nitrogen fixation in the earth's biosphere [6-8]. In species from
52 different genera of heterocyst-forming cyanobacteria, heterocysts are differentiated at
53 one end, two ends, or intercalary positions of filaments [9]. *Anabaena* sp. PCC 7120
54 (hereafter *Anabaena* 7120) was derived from a species that produces semi-regularly
55 spaced single heterocysts along non-branched filaments in response to nitrogen
56 stepdown. It is the most often used model strain for molecular studies on
57 heterocyst-related topics [10].

58 Heterocyst differentiation and pattern formation largely depend on the key
59 regulator HetR [11] and RGSGR-containing peptides, which are derived from PatS
60 [12, 13], PatX [14] or HetN [15], representing an example of the most ancient
61 activator-inhibitor (reaction-diffusion) patterning process [16-18]. In *Anabaena* 7120,
62 PatS is the main source of morphogen for de novo pattern formation [13], while HetN
63 is required for maintenance of the pattern [19]. HetR is the only known target of

64 RGSGR-containing peptides [20], and it binds to consensus recognition sites
65 upstream of *hetP* [21, 22], *hetZ* [23] and several other genes, including its own
66 encoding gene [24-26]. Among these genes, *hetZ* is involved in control of heterocyst
67 differentiation at an early stage [27], and *hetP* is required for commitment to
68 heterocyst differentiation [28]. *hetZ* and *hetP* functionally overlap with each other,
69 and co-expression of these two genes was shown to restore heterocyst formation in
70 *hetR*-null mutants [29]. In a different substrain of *Anabaena* 7120, expression of *hetZ*
71 alone restored heterocyst formation in a *hetR*-deletion mutant [30]. The variable
72 requirement for *hetP* expression may depend on differences in genetic backgrounds of
73 substrains [31]. *hetP* and *hetZ* are both upregulated in differentiating cells, as a result
74 of the accumulation of HetR [21, 23]. *patS* is also upregulated in differentiating cells
75 [12], but no consensus recognition site for HetR is present in the sequence upstream
76 of *patS*.

77 Immediately downstream of *hetZ* in many filamentous cyanobacteria is a gene
78 called *patU*; these two genes, together with *hetR*, are listed among the core set of
79 genes for filamentous species [27, 32]. In *Anabaena* 7120, *patU* is split into *patU5*
80 and *patU3* [27]. *hetZ* and *patU3* play opposite roles in heterocyst differentiation: *hetZ*
81 promotes, while *patU3* inhibits [27].

82 Before the consensus HetR-recognition sequence was identified, DIF⁺ (later
83 called DIF1) motif (TCCGGA) had been bioinformatically identified in sequences
84 upstream of *hetR* and several other genes in *Anabaena* 7120 [33]. More recently, the
85 DIF1 motif was proposed as a consensus regulatory sequence (centered at -35 region)

86 for *patS* and *patX* in heterocyst-forming cyanobacteria [34]. The role of DIF1 motif in
87 expression of the *nsiR* promoter [33] and a synthetic minimal promoter has been
88 reported [35]. However, the role of predicted DIF1 motif promoters in expression of
89 *hetR*, *patS* and *patX* has not been shown experimentally. In particular,
90 HetR-recognition site and DIF1 motif are both present upstream of *hetR*. Most
91 importantly, which of HetR, HetZ and HetP is required for the regulation of
92 DIF1-motif promoters? In *Anabaena* 7120, deletion of *hetZ* blocked the induced
93 expression of *hetR*, *hetP* and *patS*, whereas *hetP* showed no effects on these genes
94 [30]. This result excluded HetP, but did not establish either HetR or HetZ, as the
95 factor required for the induced expression of *hetR* and *patS*. More generally, the
96 expression from DIF1 motif promoters is dependent on a functional *hetR* [33, 36]. In
97 this study, we found that HetZ is more directly involved in the regulation of
98 DIF1-motif promoters of *hetR* and *patS*. In addition, PatU3 that interacts with HetZ
99 may modulate the expression of these genes.

100

101 **Materials and methods**

102 **General**

103 *Anabaena* 7120 and derivatives, listed in Table S1, were cultured in BG11 medium in
104 the light of 30 $\mu\text{E m}^{-2} \text{s}^{-1}$ on a rotary shaker. Erythromycin (5 $\mu\text{g ml}^{-1}$), neomycin (20
105 $\mu\text{g ml}^{-1}$) or spectinomycin (10 $\mu\text{g ml}^{-1}$) was added to the medium as appropriate. For
106 nitrogen stepdown, *Anabaena* 7120 grown in BG11 (OD_{730} , 0.7~0.9) was collected by
107 centrifugation, washed 3 times with BG11₀ (without nitrate) and resuspended in the

108 same medium for indicated hours. Microscopy was performed as previously described
109 [38].

110

111 **Construction of plasmids and *Anabaena* strains**

112 Plasmid construction processes are described in Table S1 in the supplemental
113 materials. DNA fragments cloned by PCR were confirmed by sequencing.

114 Plasmids were introduced into *Anabaena* 7120 and mutants by conjugation [48].

115 Homologous double-crossover recombinants were generated based on positive
116 selection with *sacB* [49]. The complete segregation of mutants was confirmed by PCR.

117 *Anabaena* strains and primers are listed in Table S1.

118

119 **Transcription analyses**

120 RNA extraction, elimination of residual DNA and reverse transcriptase quantitative
121 polymerase chain reaction (RT-qPCR) were performed as we described before [29].

122 PCR primers (indicated with ‘RT’ in name) are listed in Table S1.

123 Promoter activities were visualized using *gfp* (green fluorescence protein) as the
124 reporter gene. Relative copy numbers of zeta- or pDU1-based plasmids (relative to
125 *rnpB*) were evaluated by quantitative PCR as described in the reference [26] using
126 primers *gfp*-1/*gfp*-2, pDU1-1/pDU1-2 and *rnpB*-1/*rnpB*-2 listed in Table S1.

127

128 **Rapid amplification of cDNA ends (RACE)**

129 RACE was performed according to Zhang et al. [27], using universal

130 primer/hetR-race-1 and nested universal primer/hetR-race-2 as the primers for 2
131 rounds of PCR. The universal primer and nested universal primer were provided with
132 the SMART RACE cDNA amplification kit (Clontech, TaKaRa Bio., Otsu, Japan);
133 hetR-race-1 and hetR-race-2 are listed in Table S1. Transcription start points were
134 determined based on sequencing of RACE products. Two biological repeats showed
135 similar results.

136

137 **Western blot analysis**

138 *Anabaena* 7120 was deprived of fixed nitrogen for 24 h, harvested by centrifugation,
139 washed with 20 mM Tris-HCl (pH 8.0) containing 1 mM PMSF and resuspended in
140 the same buffer. Cells were broken with a French press (SCIENTZ, China) at 240
141 MPa (cell pressure) and centrifuged at $12,000 \times g$ for 15 min. The supernatant was
142 used as cell extracts for the Western blot analysis.

143 Proteins were separated by 12% SDS-PAGE and electro-blotted onto NC filters.
144 HetR and HetZ were detected with rabbit antiserum against purified HetR or HetZ
145 overproduced in *Escherichia coli*, visualized using alkaline phosphatase-conjugated
146 secondary antibody specific for rabbit IgG (Thermo Scientific, USA) with NBT and
147 BCIP as substrates. Two biological repeats showed similar results.

148

149 **Results**

150 **Upregulated expression from P_{hetR} and P_{patS} in *hetR*-minus heterocysts**

151 In a *hetR*-minus mutant, heterocyst differentiation is not initiated, and genes otherwise

152 specifically expressed in heterocysts are mostly not upregulated after nitrogen
153 stepdown. Such genes could be directly or indirectly regulated by HetR. Under our
154 conditions, co-expression of *hetZ* and *hetP* from P_{ntcA} (rather than expression of *hetZ*
155 or *hetP* alone from the same promoter) enabled the *hetR* mutant, 7120*hetR*::C.CE2, to
156 form functional heterocysts at the ends of filaments [29]. Such a phenotype was
157 probably due to the lack of expression of *patA*, a gene required for heterocyst
158 formation at intercalary positions, in vegetative cells of the *hetR* mutant [26].
159 Formation of functional *hetR*-minus heterocysts [29] indicated that genes required for
160 the function of heterocysts are properly expressed but not necessarily that P_{hetR} and
161 P_{patS} are upregulated in (pro)heterocysts. This system allowed us to test the promoters
162 of *hetR* and *patS* in (pro)heterocysts without the presence of HetR.

163 Plasmids carrying P_{ntcA} -*hetZ*-*hetP* and the structure ‘ Ω -promoter-*gfp*’ (the Ω
164 cassette terminates background transcription, ref. 37; *gfp*, green fluorescence protein)
165 were constructed and introduced into the *hetR* mutant. The tested promoters included
166 P_{hetR} , P_{patS} , P_{hepB} and P_{hglD} . *hepB* and *hglD* are involved in the formation of heterocyst
167 envelope polysaccharide layer and glycolipid layer respectively, therefore P_{hepB} and
168 P_{hglD} were included as the controls for heterocyst-specific expression [38]. Without a
169 functional *hetR*, overexpression of *hetZ* and *hetP* led to heterocyst formation at the
170 ends of filaments and upregulated expression of *gfp* from P_{hetR} , P_{patS} , P_{hepB} and P_{hglD} in
171 heterocysts relative to vegetative cells (Fig. 1). Clearly, HetR is not essential for the
172 expression from all these promoters.

173

174 **Fig 1.** Light (I), autofluorescence (II) and GFP fluorescence (III) photomicrographs showing the
175 expression of *gfp* from the promoter of *hetR*, *patS*, *hepB* or *hglD* in *hetR*-minus heterocysts.
176 Plasmids with P_{nrcA} -*hetP*-*hetZ* and Ω -promoter-*gfp* (P_{hetR} , P_{patS} , P_{hepB} and P_{hglD} carried on pHB6316,
177 pHB6226, pHB6317 and pHB6318 respectively) were introduced into *Anabaena* 7120
178 *hetR*::C.CE2. Solid arrowheads point to heterocysts.
179

180 **Upregulation of *patS* in heterocysts depends on the DIF1 motif and *hetZ***

181 In the previous study [29], we examined the expression of several genes in *Anabaena*
182 7120 and *hetZ*, *hetP* mutants. Using the same mRNA samples, we also performed
183 RT-qPCR analysis of *patS*. The expression of *patS* was shown to be dependent on
184 *hetZ* rather than *hetP* (S1 Fig). Consistently, *patS* was upregulated in a Δ *hetP* mutant
185 but not in a Δ *hetZ* mutant [30].

186 To confirm the role of HetZ in expression of *patS*, we further generated a partial
187 deletion mutant, 7120*hetZ*del4-201, of *Anabaena* 7120 with 66 amino acids near the
188 N-terminus of HetZ deleted in frame while preserving the putative promoter internal
189 to *hetZ* serving *patU5*-*patU3* [27]. This mutant showed no morphologically
190 discernible heterocyst differentiation but formed some cells with less autofluorescence
191 after nitrogen stepdown. These cells initiated differentiation, but the differentiation
192 process ceased at the very early stage. The decreased autofluorescence is due to the
193 degradation of phycobilisomes [39]. A non-replicative plasmid (pHB6069) containing
194 P_{patS} (-1070 ~ +48 relative to the translational start site of *patS*) upstream of *gfp* was
195 integrated into the genomes of *Anabaena* 7120 and the derivative strain
196 7120*hetZ*del4-201 via homologous single-crossover recombination. *Anabaena*

197 7120::pHB6069 showed moderate expression of *gfp* specifically in (pro)heterocysts,
198 whereas 7120*hetZ*del4-201::pHB6069 showed much weaker (but visible) expression
199 of *gfp* in differentiating cells (Fig. 2A).

200

201 **Fig 2.** Expression of *gfp* from the *patS* promoter in *Anabaena* 7120 and 7120*hetZ*del4-201. Light
202 (I), autofluorescence (II) and GFP fluorescence (III) photomicrographs of *Anabaena* derivative
203 strains were taken at 24 h after nitrogen stepdown. Solid and empty arrowheads point to
204 heterocysts and differentiating cells. Means \pm SD are relative copy numbers of plasmids (relative
205 to the copy number of *rnpB* in the genome). (A) Expression of *gfp* from the full-length *patS*
206 promoter in the genome. The plasmid pHB6069 with P_{*patS*}-*gfp* was integrated into the chromosome
207 of *Anabaena* 7120 and the *hetZ* mutant via homologous single-crossover recombination. In the
208 schematic diagram for the structure of full-length P_{*patS*} fused to *gfp*, the bent line with an empty
209 arrowhead indicates the transcription start point of the DIF1-motif promoter. (B) Expression of *gfp*
210 from the minimal DIF1-motif promoter on zeta-based plasmids in *Anabaena* 7120 and the *hetZ*
211 mutant. pHB6486 and pHB6458 are plasmids with the minimal DIF1-motif promoter of *patS*, with
212 TCCGGA substituted or not. The stem-loop structure stands for the transcription terminator at the
213 end of Ω cassette.

214

215 Employing *gfp* as a reporter gene in *Anabaena* 7120, we delimited the promoter
216 of *patS* to the region -662 ~ -457 upstream of the start codon (S2 Fig, see
217 photomicrographs for expression of *gfp* from fragments i, ii and iii). In this region,
218 there is a DIF1 motif (TCCGGA) located 35 bp upstream of the *tsp* (transcriptional
219 start point) -580 of *patS* [34]. We constructed a zeta-based plasmid with the minimal
220 DIF1-motif promoter (a 41-bp fragment) positioned upstream of *gfp* (pHB6458) and a
221 similar plasmid with TCCGGA replaced with GATATC (pHB6486). GFP was
222 expressed in (pro)heterocysts of *Anabaena* 7120 [pHB6458] but not in differentiating

223 cells of 7120*hetZ*del4-201 carrying the same plasmid; substitutions at TCCGGA
224 abolished the expression of *gfp* in the wild-type strain (Fig. 2B). These results
225 established that activation of *patS* in (pro)heterocysts largely depends on HetZ and the
226 DIF1-motif promoter. Similarly, expression from the DIF1-motif promoter of *patX* is
227 also dependent on the function of *hetZ* (S3 Fig).

228

229 **Upregulation of *hetR* in heterocysts depends on the DIF1 motif and *hetZ***

230 As shown with RT-qPCR, *hetR* was upregulated in the 7120*hetZ*del4-201 strain at 6 h
231 after nitrogen stepdown (S4 Fig). However, the expression of *hetR* in *hetZ* mutants
232 was probably not patterned [27].

233 *hetR* is an autoregulated gene [40], and a potential HetR-binding site has been
234 identified upstream of the *tsp* -271 (for heterocyst-specific expression) [23, 26].
235 Upstream of the same *tsp*, there is also a potential DIF1-motif promoter [33]. To
236 clarify the role of the HetR-binding site and the DIF1 motif in expression of *hetR*, we
237 compared the expression of *gfp* from the promoter (-695 ~ -250 relative to the
238 translational start site) of *hetR* and the same DNA fragment without the HetR-binding
239 site or the DIF1 motif. Expression from the promoter of *hetR* was upregulated in
240 (pro)heterocysts of *Anabaena* 7120, and the upregulated expression was abolished by
241 substitutions at the DIF1 motif but not at the HetR-binding site (Fig. 3).

242

243 **Fig 3.** Light (I) and GFP fluorescence (II) photomicrographs showing the expression of *gfp* from

244 the wild type or mutated promoter of *hetR* in *Anabaena* 7120. pHB6321: with the wild type
245 promoter (-695 ~ -250) of *hetR*; pHB6322: with GGGN₅CCC (potential HetR-binding site) in the
246 promoter of *hetR* substituted with AAAN₅TTT; pHB6323: with TCCGGA (DIF1 motif) in the
247 promoter of *hetR* substituted with CAATTG. Solid arrowheads point to heterocysts; means \pm SD
248 are relative copy numbers of plasmids.

249

250 To confirm the role of the DIF1 motif in heterocyst-specific expression of *hetR*,
251 we constructed a zeta-based plasmid with the minimal DIF1-motif promoter (a 40-bp
252 fragment) upstream of *gfp* (pHB6821) and introduced the plasmid into *Anabaena*
253 7120 and the *hetZ* mutant. As shown in Fig. 4, GFP was expressed in (pro)heterocysts
254 in *Anabaena* 7120 [pHB6821] but barely expressed in differentiating cells of the *hetZ*
255 mutant. The copy numbers of zeta-based plasmids showed some changes in different
256 strains but were still comparable in those in the same figure. Apparently, the
257 upregulated expression of *hetR* in (pro)heterocysts is also mediated by HetZ via the
258 DIF1 motif promoter.

259

260 **Fig 4.** Expression of *gfp* from the minimal DIF1-motif promoter of *hetR* on a zeta-based plasmid
261 in *Anabaena* 7120 and 7120*hetZ*del4-201. Top: the minimal sequence of DIF1-motif promoter
262 cloned upstream of *gfp* in pHB6821. Photographs: light (I), autofluorescence (II) and GFP
263 fluorescence (III) photomicrographs of *Anabaena* 7120 and the *hetZ* mutant with pHB6821 at 24 h
264 after nitrogen stepdown. Solid and empty arrowheads point to heterocysts and differentiating cells;
265 relative copy numbers of plasmids are indicated as means \pm SD.

266

267 We further generated a mutant of *Anabaena* 7120, P_{*hetR*}-DIF1⁻, with the DIF1

268 motif substituted with GATATC in the chromosomal DNA. Compared to the wild
269 type, the P_{hetR} -DIF1⁻ strain showed delayed heterocyst differentiation and lowered
270 heterocyst frequency (Fig. 5). Using RACE-PCR, we confirmed that the tsp at
271 nucleotide -272 (-271 in previous reports [41, 42]) upstream of *hetR* in the wild-type
272 strain disappeared in P_{hetR} -DIF1⁻. Clearly, the DIF1 motif is required for the
273 heterocyst-specific expression of *hetR* and normal heterocyst differentiation.

274

275 **Fig 5.** Differences between *Anabaena* 7120 and the P_{hetR} -DIF1⁻ strain in heterocyst differentiation
276 and expression of *hetR*. (A) Photomicrographs of *Anabaena* 7120 and the P_{hetR} -DIF1⁻ strain at 24 h
277 and 48 h after nitrogen stepdown. Frequencies of heterocysts/proheterocysts are indicated. (B) A
278 stretch of sequence upstream of *hetR* showing the DIF1 motif, potential HetR-binding sequence
279 and the tsp at -272.

280

281 **PatU3 interacts with HetZ and modulates the expression of *patS* and *hetR***

282 *hetZ* and *patU3* play opposite roles in heterocyst differentiation, whereas *patU5*
283 (which lies between *hetZ* and *patU3*) is not involved in heterocyst differentiation [27].
284 Employing the yeast two-hybrid system, we found that PatU3 interacts with HetZ (Fig.
285 6A-i); by a pull-down experiment, we confirmed the interaction between the two
286 proteins (Fig. 6B). As indicated in the two-hybrid assay, HetZ without the C-terminal
287 portion no longer interacted with PatU3 (Fig. 6A-ii).

288

289 **Fig 6.** Interaction of PatU3 with HetZ. (A) Yeast two-hybrid assays of the interaction between
290 PatU3 and HetZ. i) 1, pGBKT7-Lam + pGADT7-T, as the negative control; 2, pGBKT7-53 +

291 pGADT7-T, as the positive control; 3, pGBKT7-PatU3 + pGADT7-HetZ. ii) 1, pGBKT7-PatU3 +
292 pGADT7-HetZ[2-144]; 2, pGBKT7-PatU3 + pGADT7-HetZ[145-288]; 3, pGBKT7-PatU3 +
293 pGADT7-HetZ[289-401]. Bracketed numbers (amino acid residue no.) indicate the portion deleted
294 from HetZ (which has a full length of 401 aa). (B) Pull-down assays of the interaction. Proteins
295 were separated by SDS-PAGE (I) and analyzed with Western blot detection using anti-HA
296 monoclonal antibody (II). 1, EF-Ts(HA)-HetZ; 2, MBP-PatU3 + MBP·Bind resin +
297 EF-Ts(HA)-HetZ; 3, MBP-PatU3 + MBP·Bind resin + EF-Ts(HA); 4, MBP + MBP·Bind resin +
298 EF-Ts(HA)-HetZ; 5, MBP + MBP·Bind resin + EF-Ts(HA); 6, EF-Ts(HA). (C) RT-qPCR
299 analysis of mRNA abundance of *hetR*, *patS* and *hetZ* in *Anabaena* 7120 and the *patU3::C.K4*
300 mutant at 6 h after nitrogen stepdown. Data are means \pm SD of 3 technical replicates.

301

302 The interaction between PatU3 and HetZ may modulate HetZ-dependent gene
303 expression. Based on RT-qPCR analysis, we compared the expression of *hetR* and
304 *patS* in the wild type and the 7120*patU3::C.K4* strain at 6 h after nitrogen stepdown
305 (Fig. 6C). Relative to the wild type level, the mRNA level of *patS* was greatly
306 increased in the *patU3* mutant, whereas that of *hetR* was slightly increased. Increased
307 expression of *patS* probably inhibited the transcription of *hetZ* in the mutant ($P_{hetZ-gfp}$
308 in the mutant had shown a similar result, see ref. 27). However, the *patU3::C.K4*
309 mutation did not change the abundance of proteins HetR and HetZ in *Anabaena*
310 filaments (S5 Fig).

311

312 Discussion

313 HetR and PatS-derived peptides are key players for heterocyst differentiation and
314 patterning in *Anabaena* 7120. How their encoding genes are regulated is an important

315 question for understanding the molecular mechanism of the differentiation/patterning
316 process. In this study, we show that the DIF1 motif plays an important role in
317 regulation of these genes and that expression from DIF1 promoters depends on the
318 function of *hetZ*.

319 HetR is often considered as the master regulator of heterocyst differentiation,
320 and it directly regulates the expression of *hetP* [21] and *hetZ* [23] in developing
321 heterocysts via HetR-recognition sequences and is required for the expression of *patA*
322 in vegetative cells [26]. How HetR controls the expression of *patS* and its own gene
323 has not been clarified. By examining gene expression in *hetR*-minus heterocysts, we
324 were able to show that HetR is non-essential for the upregulated expression from
325 promoters of *hetR* and *patS* during heterocyst differentiation. Therefore, HetR may
326 control the expression of these genes through other regulatory factors.

327 In sequences upstream of *hetR*, *patS* and *patX*, there are predicted DIF1-motif
328 promoters. Synthetic minimal promoters of these genes all showed upregulated
329 expression during heterocyst differentiation. Substitutions at the DIF1 motif greatly
330 reduced the transcription activity of P_{patS} . A mutant of *Anabaena* 7120 with the DIF1
331 motif of *hetR* substituted in the genome showed no transcription from the *tsp* -272 (or
332 -271), which would otherwise be specifically activated in developing heterocysts [41].
333 Upstream of *hetR*, there is also a potential HetR-recognition site, but that site was
334 shown to be not required for the upregulated expression. These results provided
335 experimental evidence for the role of DIF1-motif promoters in heterocyst-specific
336 expression of *hetR*, *patS* and *patX*.

337 On a plasmid with *gfp* as the reporter gene, minimal DIF1-motif promoters for
338 *hetR*, *patS* and *patX* showed greatly weakened transcription in the 7120 *hetZdel4-201*
339 strain compared to those in the wild type. Expression of *gfp* from the full-length
340 promoter of *patS* in the chromosome produced a similar result. Therefore, *hetZ* is
341 required for the expression of DIF1-motif promoters.

342 Videau et al. showed that deletion of *hetZ* blocked the induced expression of
343 *hetR*, *hetP* and *patS* [30]. A similar effect of *hetZ* mutation on the expression of *patS*
344 had been shown in our previous study [27]. These observations could be explained as
345 dependence of the expression of *patS* on either HetR or HetZ or both. In this study,
346 we showed that HetR is not essential for the heterocyst-specific expression of *patS*
347 and that HetZ is more directly involved in regulation of *patS*. For *hetR*, we found that
348 the DIF1 motif rather than the HetR-binding site is required for the heterocyst-specific
349 expression.

350 For the results we presented, two points need to be addressed in particular. (1)
351 HetR and the global nitrogen regulator NtcA are dependent on each other for
352 upregulated expression during heterocyst differentiation [43], how to explain the
353 upregulation of P_{hetR} in a *hetR*-minus background? There is no evidence that NtcA and
354 HetR directly regulates each other. In at least one substrain of *Anabaena* 7120, NrrA
355 mediates the regulation of *hetR* by NtcA [44, 45]. Proteins that mediate the regulation
356 of *ntcA* by HetR have not been identified. Formation of functional heterocysts in the
357 *hetR* mutant with P_{ntcA} -*hetZ*-*hetP* implies that genes regulated by NtcA are properly
358 expressed in developing cells. Presumptively, the expression of *hetZ* and *hetP* from

359 P_{ntcA} allowed sufficient expression of NtcA in developing cells, and NtcA in turn
360 enhances the expression of P_{ntcA} -*hetZ*-*hetP* and indirectly upregulates P_{hetR} . (2) How to
361 explain the differentiating cells in the 7120del*hetZ*4-201 mutant? In this *hetZ* mutant
362 generated with the substrain of *Anabaena* 7120 in our laboratory, we found that the
363 mRNA level of *hetR* was increased after nitrogen stepdown as in the wild type (S4
364 Fig), even though the expression was probably not patterned. The expression of *hetR*
365 can initiate cell differentiation (that ceases at the very early stage) in a less regular
366 pattern (consistent with the low expression of *patS*).

367 In addition to HetZ, we also analyzed PatU3, an inhibitory protein factor for
368 heterocyst differentiation. Protein interaction assays indicated that PatU3 interacts
369 with HetZ. In a *patU3* mutant, the transcription of *patS* was greatly enhanced, that of
370 *hetR* slightly enhanced, *hetZ* greatly inhibited, relative to the wild type levels;
371 however, the abundance of proteins HetR and HetZ remained unchanged. PatU3
372 appeared to exert complicated effects on the expression of *hetR*, *hetZ* and *patS* at
373 mRNA and protein levels. Presumptively, PatU3 can regulate the cellular
374 concentration of free HetZ (relative to the PatU3-bound form) and affect the stability
375 of HetZ, therefore modulate HetZ-dependent gene expression. However, PatU3 may
376 also have additional functions that indirectly affect the expression of these genes.

377 As a gene directly regulated by HetR, *hetZ* is involved in initiation of heterocyst
378 differentiation and regulation of *patS/patX* and *hetR*. Although there is no evidence
379 that HetZ directly interacts with the DIF1 motif promoter, it is clear that HetZ is more
380 direct than HetR in regulation of *patS/patX*. Therefore, HetR, HetZ and PatS/PatX

381 form a molecular circuit of mutual regulation (but the role of *patX* in de novo
382 heterocyst patterning awaits experimental investigation). This conclusion is important,
383 because HetZ provides an additional site for modulation of the expression of
384 *patS/patX*, which are the sources of diffusible inhibitor for de novo pattern formation
385 at the early stage. PatU3 is a candidate for the modulator. It interacts with HetZ and
386 somehow modulates the expression of *hetR*, *hetZ* and *patS*. This coordination scenario
387 involving multiple activating/inhibiting factors may help to refine the current models
388 [46, 47] for heterocyst differentiation and patterning.

389

390 **Acknowledgements**

391 This work was supported by the National Natural Science Foundation of China (Grant
392 numbers 31770044 and 31270132), the State Key Laboratory of Freshwater Ecology
393 and Biotechnology at IHB, CAS (2019FBZ09) and the Knowledge Innovation Project
394 of Hubei Province (2017CFA021).

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535 **Supporting Information**

536 **S1 Fig.** RT-qPCR analyses showing the role of *hetZ* in expression of *patS* during
537 heterocyst differentiation.

538 **S2 Fig.** Expression of *gfp* fused to fragments upstream of *patS* on a pDU1-based
539 plasmid in *Anabaena* 7120.

540 **S3 Fig.** Light (I), autofluorescence (II) and GFP fluorescence (III) photomicrographs
541 showing the expression of *gfp* from the DIF1-motif promoter of P_{*patX*} in *Anabaena*
542 7120 and 7120*hetZ*4-201.

543 **S4 Fig.** RT-qPCR analysis of the expression of *patS* and *hetR* in the wild type and the
544 mutant 7120*hetZ* del4-201 at 0 and 6 h after nitrogen stepdown.

545 **S5 Fig.** Detection of HetR and HetZ in the wild type and the *patU3* mutant of
546 *Anabaena* 7120 at 24 h after nitrogen stepdown.

547 **S1 Table.** *Anabaena* strains, plasmids and primers.

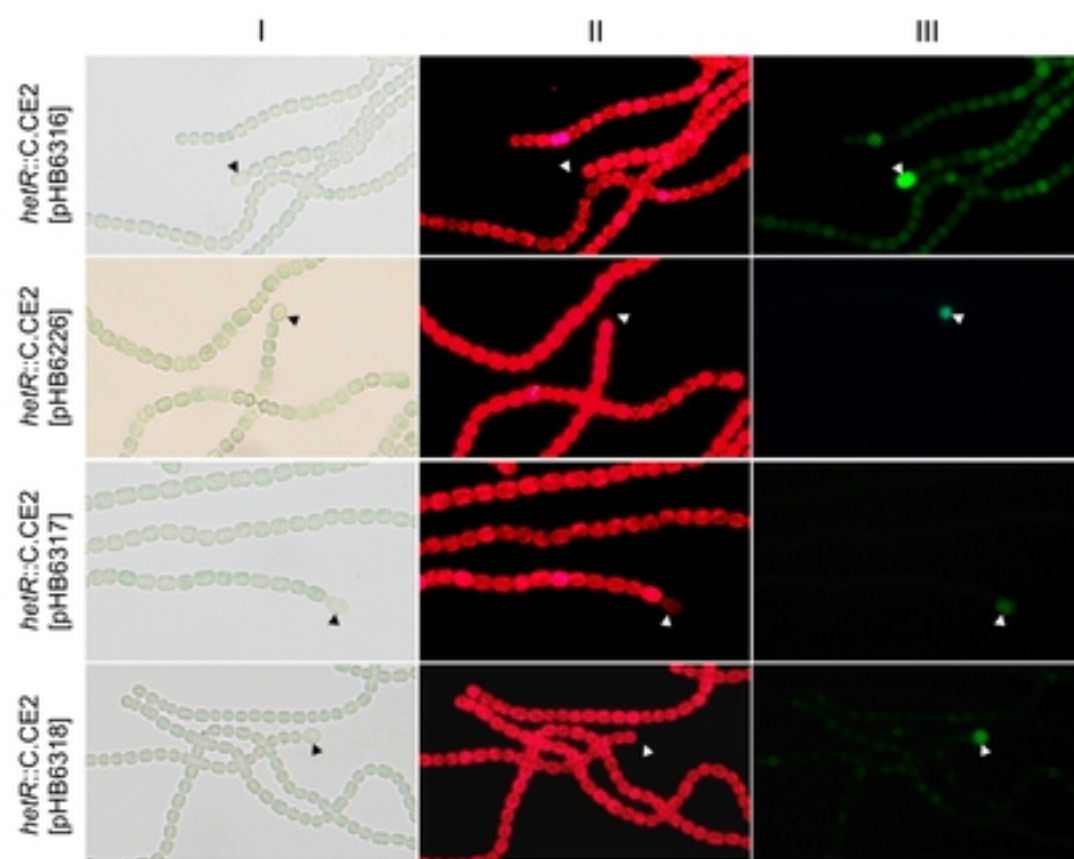


Fig. 1

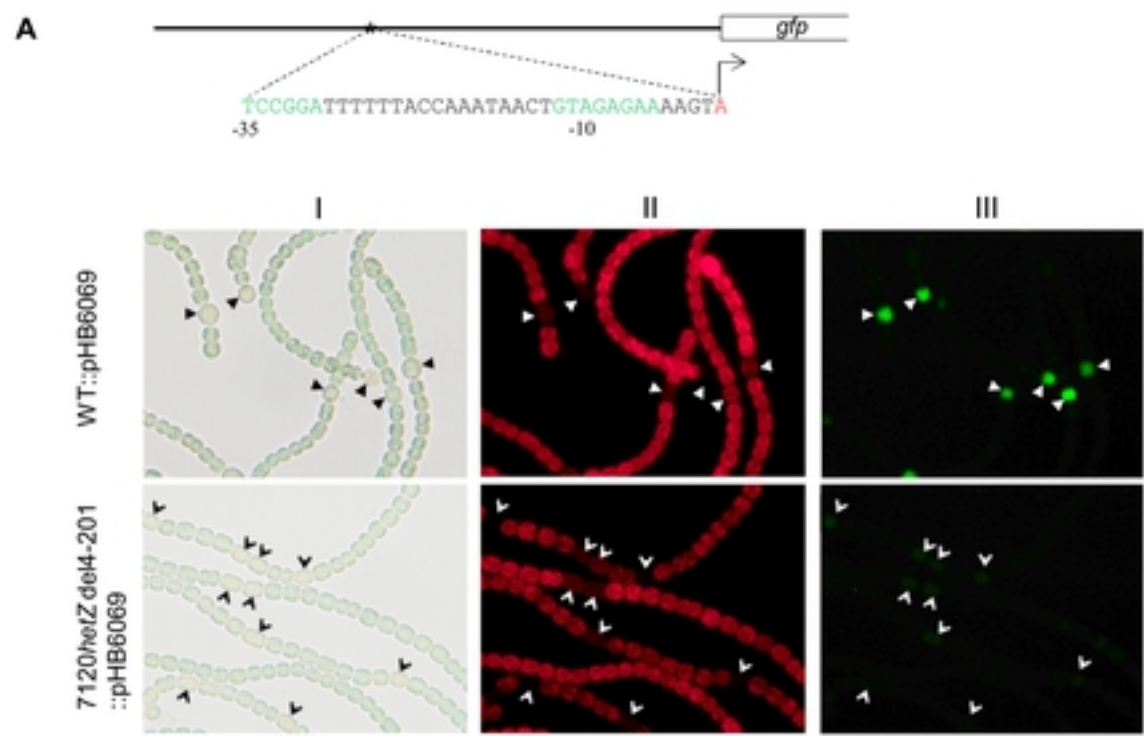


Fig. 2

Fig2A

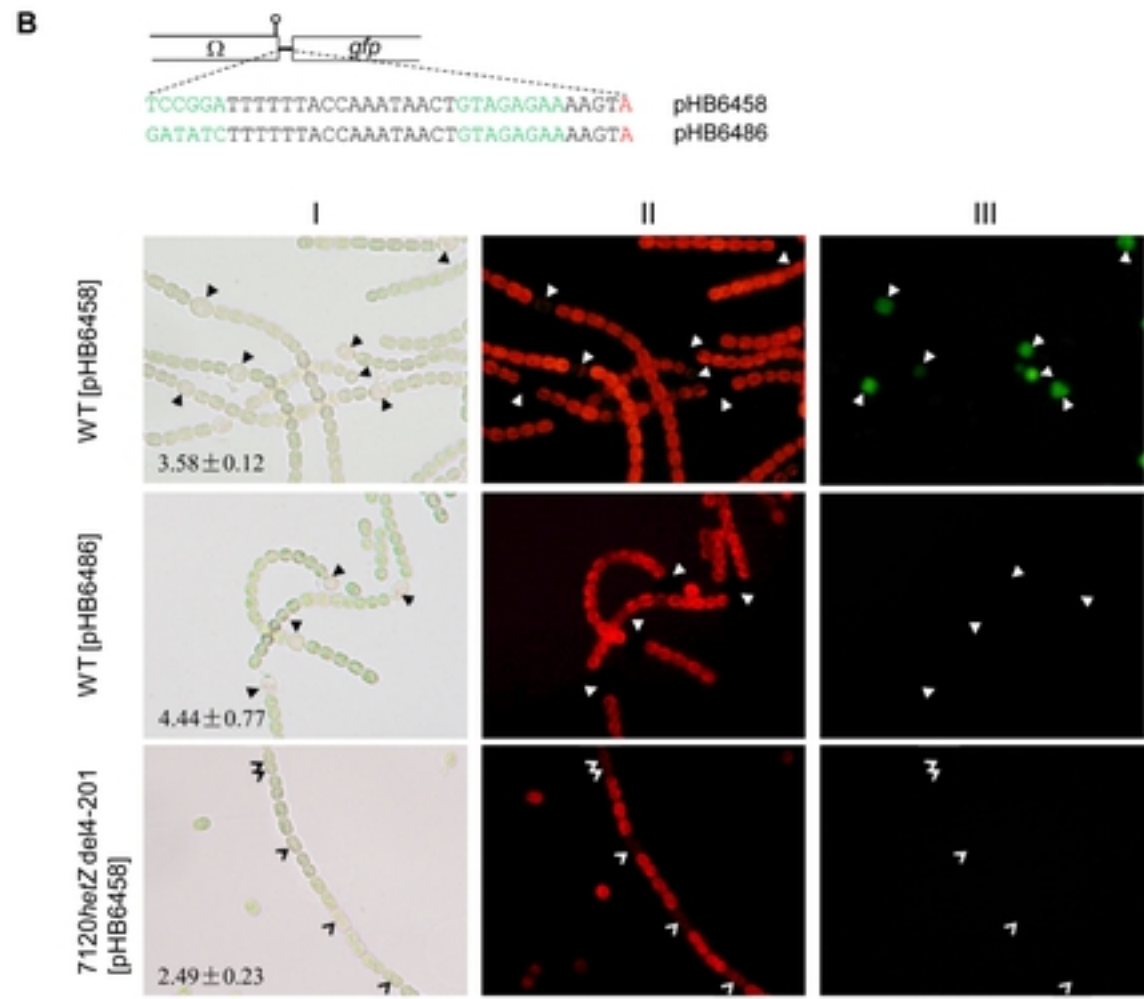


Fig. 2 (continued)

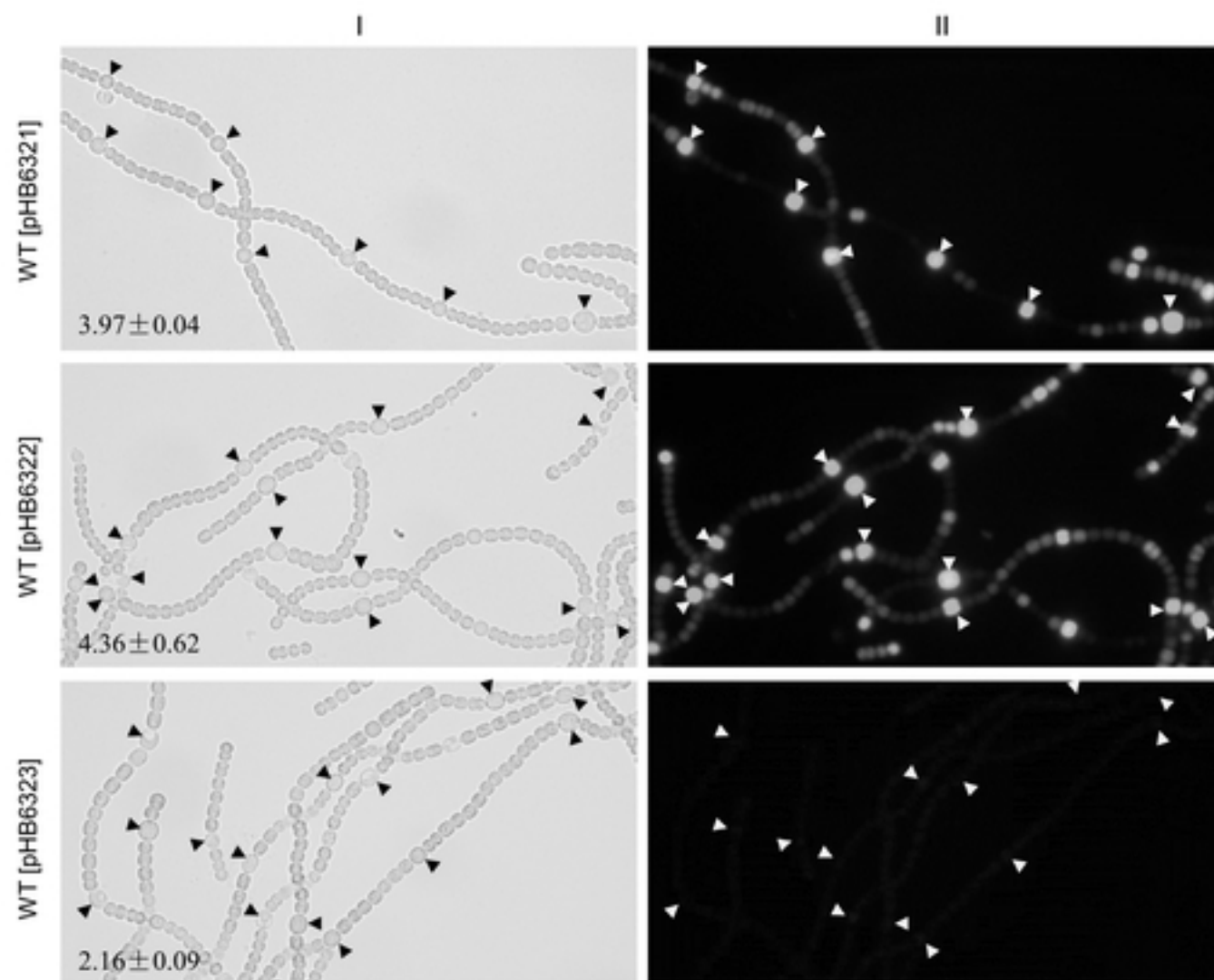


Fig. 3

Fig3

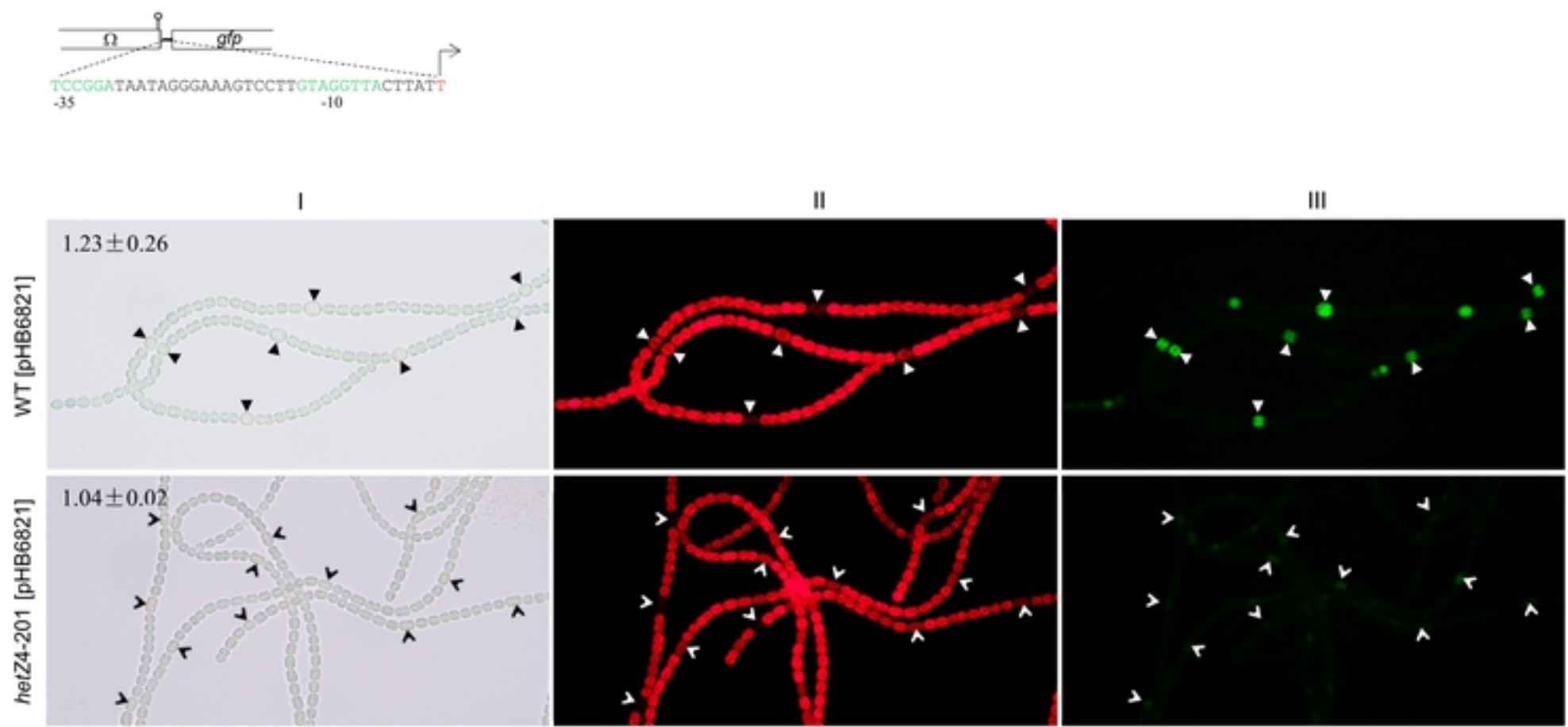


Fig. 4

Fig4

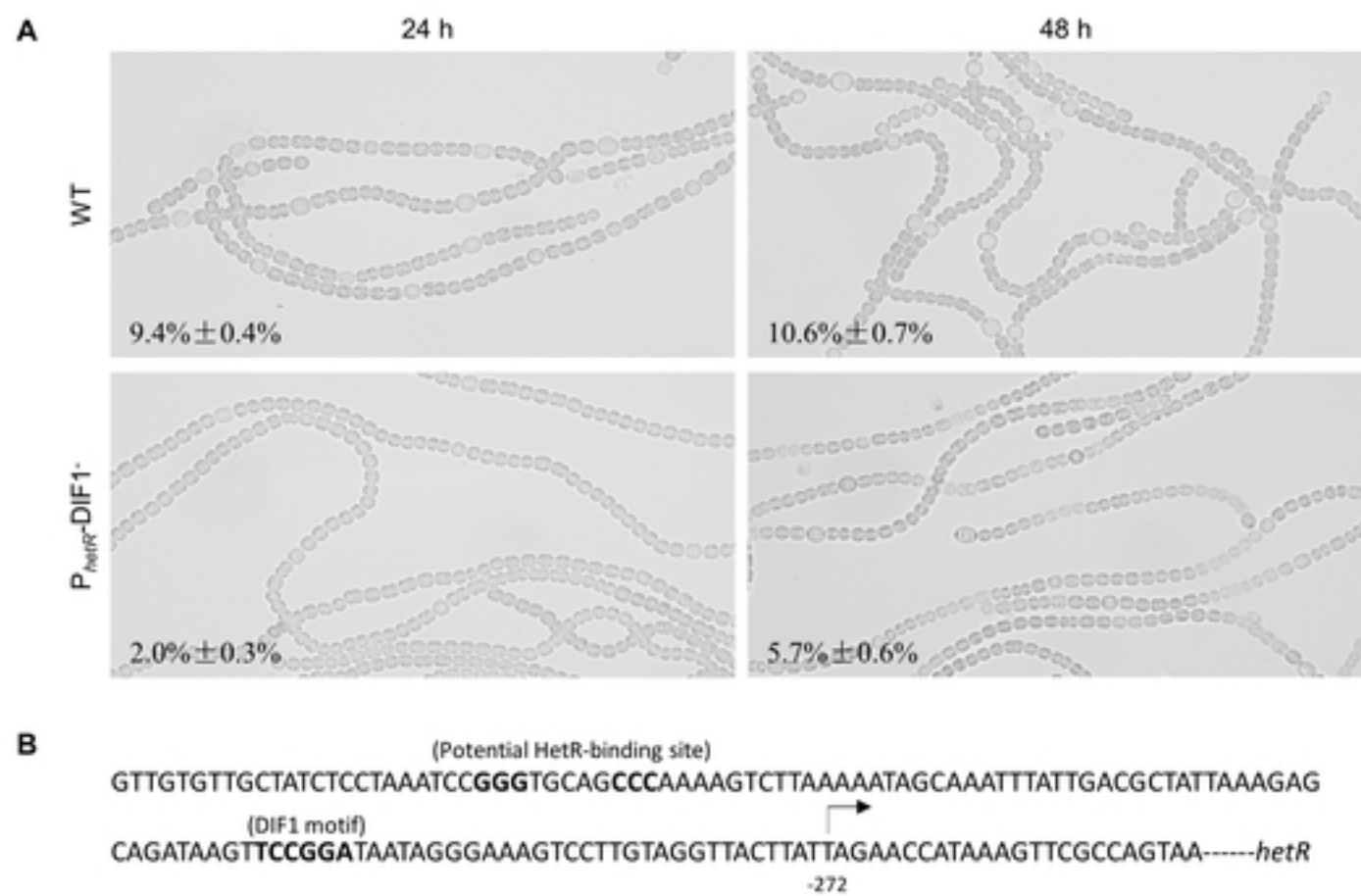


Fig. 5

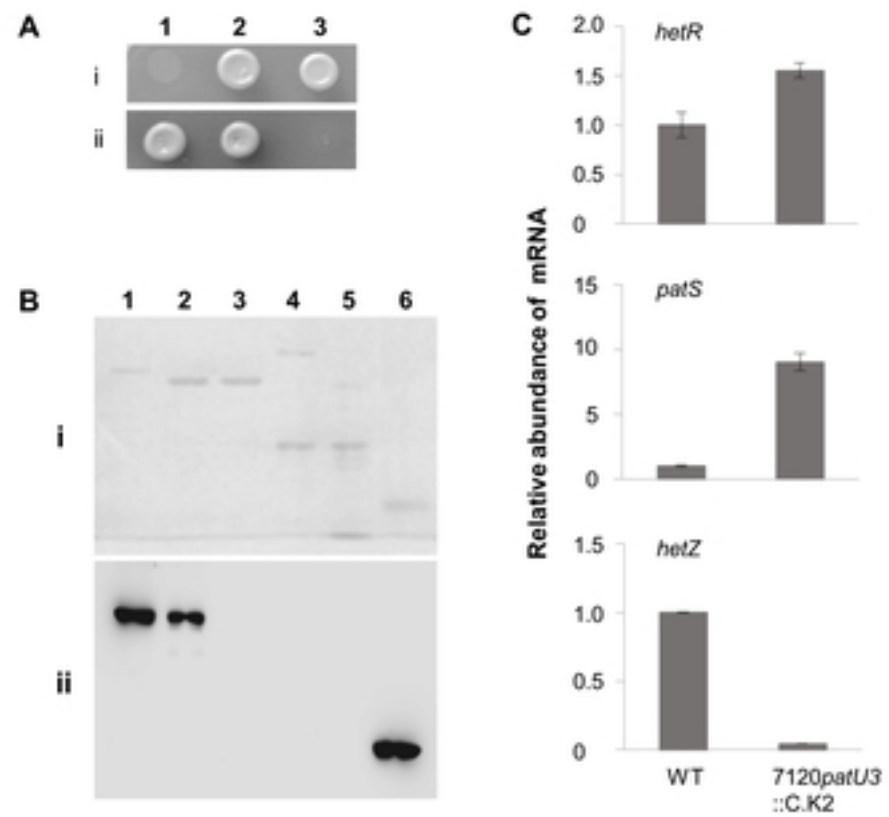


Fig. 6