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3	Spt5 phosphorylation and the Rtf1 Plus3 domain promote Rtf1 function through distinct
4	mechanisms
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#### 21

#### 22 Abstract

23

24 Rtf1 is a conserved RNA polymerase II (RNAPII) elongation factor that promotes co-25 transcriptional histone modification, RNAPII transcript elongation, and mRNA processing. Rtf1 26 function requires phosphorylation of Spt5, an essential RNAPII processivity factor. Spt5 is 27 phosphorylated within its C-terminal domain (CTD) by cyclin-dependent kinase 9 (Cdk9), 28 catalytic component of positive transcription elongation factor b (P-TEFb). Rtf1 recognizes 29 phosphorylated Spt5 (pSpt5) through its Plus3 domain. Since Spt5 is a unique target of Cdk9, 30 and Rtf1 is the only known pSpt5-binding factor, the Plus3/pSpt5 interaction is thought to be a 31 key Cdk9-dependent event regulating RNAPII elongation. Here we dissect Rtf1 regulation by 32 pSpt5 in the fission yeast Schizosaccharomyces pombe. We demonstrate that the Plus3 domain of 33 Rtf1 (Prf1 in S. pombe) and pSpt5 are functionally distinct, and that they act in parallel to 34 promote Prf1 function. This alternate Plus3 domain function involves an interface that overlaps 35 with the pSpt5 binding site and that can interact with single-stranded nucleic acid or with the 36 Polymerase Associated Factor (PAF) Complex in vitro. We further show that the C-terminal 37 region of Prf1, which also interacts with PAF, has a similar parallel function with pSpt5. Our 38 results elucidate unexpected complexity underlying Cdk9-dependent pathways that regulate 39 transcription elongation.

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#### 41

## 42 Introduction

43

44 Mechanisms regulating RNAPII transcription elongation are potential therapeutic targets in 45 cancer, heart disease, and pathogenesis of HIV (1-3). Although a number of conserved positive 46 and negative regulators of elongation have been identified, their mechanisms of action remain 47 poorly understood (4,5). Rtf1 is a multi-functional elongation factor primarily implicated in 48 promoting co-transcriptional histone modifications; it also has roles in RNAPII elongation and 49 mRNA processing (4,6,7). Rtf1 is functionally linked to Cdk9, the catalytic component of P-50 TEFb and key driver of RNAPII elongation in all eukaryotes (4). The most extensively 51 characterized Cdk9 targets are Rpb1, the largest subunit of RNAPII, and Spt5, an essential 52 RNAPII processivity factor, both of which are phosphorylated on repeated amino acid motifs 53 that comprise their C-terminal domains (CTDs)(8,9). The Spt5 CTD repeat is more variable than 54 that of Rpb1 CTD in size and sequence, both within and between species. A related repeat motif 55 is conserved between S. pombe (consensus motif: TPAWNSKS) and human [consensus motif: 56 TP(M/L)YGS(R/Q)], in which the Thr1 residue is the Cdk9 target (10-12). The roles of the Spt5 57 CTD and its phosphorylation in RNAPII elongation are mostly unknown, despite the fact that it 58 is a primary and exclusive Cdk9 target both in vitro and in vivo (8-10,13). In fact, the only 59 established function of phosphorylated Spt5-T1 (pSpt5) is to create a binding site for Rtf1 (Prf1 60 in S. pombe)(14-16). Rtf1 recognizes pSpt5 through its conserved Plus3 domain, so named for 61 three positively charged amino acids that are invariant among Rtf1 orthologs (17,18). The Plus3 62 domain is essential for the localization of Rtf1 to transcribed genes (14,17). Rtf1 also contains a 63 highly conserved histone modification domain (HMD). The HMD directly stimulates activity of 64 the E2 ubiquitin conjugating enzyme Rad6, leading to the mono-ubiquitylation of histone H2B (H2Bub1)(19,20). H2Bub1, in turn, directly promotes the activity of the histone H3K79 65 66 methyltransferase Dot1, as well as the Set1 histone H3K4 methyltransferase complex, and 67 regulates chromatin dynamics during transcription (21-23). The C-terminal domain of Rtf1 68 interacts with the Polymerase Associated Factor (PAF) complex; this domain in human Rtf1 also 69 stimulates RNAPII elongation in vitro (17,24,25). Therefore, Rtf1 Plus3 domain interaction with 70 pSpt5 is thought to be part of a key regulatory pathway linking Cdk9 activity to co-

71 transcriptional histone modification.

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73 A crystal structure of the Plus3 domain in complex with phosphorylated Spt5 CTD has provided 74 a high-resolution view of this interaction, and mutations that eliminate or decrease the interaction 75 between the Plus3 domain and pSpt5 abrogate Rtf1 association with transcribed genes in vivo 76 (15). Similarly, Spt5 CTD mutations that eliminate the Cdk9-dependent phosphorylation site also 77 prevent the association of Rtf1 with chromatin and diminish H2Bub1 levels (14,16,26,27), 78 consistent with pSpt5 recognition by Plus3 domain playing a central role in Rtf1 function. 79 However, the Plus3 domain has also been shown to have other functions. For example, Plus3 80 contains a subdomain with structural similarity to the nucleic acid-binding PAZ domains found 81 in Argonaute family proteins (18,28). The Plus3 domain has been shown to interact with single-82 stranded DNA (ssDNA) in vitro. The physiological significance of the nucleic acid interaction is not understood, nor is the relationship between pSpt5 binding and nucleic acid binding. Previous 83 84 biochemical studies argue that these two functions are likely to be separable, although this has 85 not been formally tested (18,28). In the fission yeast S. pombe, genetic ablation of H2Bub1 or of

the Rtf1 ortholog Prf1 cause cell division and morphology phenotypes that are not caused by

- 87 Spt5-T1 mutations (13,16,29,30). These data call into question the idea of a simple, linear
- 88 pathway connecting Cdk9 activity to H2Bub1 through Plus3 domain binding to pSpt5.
- 89
- 90 We have used the model eukaryote *S. pombe* to evaluate the physiological significance of the
- 91 putative Cdk9-Spt5-Prf1 pathway. Surprisingly, our data suggests that both pSpt5 and the Prf1
- 92 Plus3 domain act independently to mediate Prf1 function in elongation. The additional Plus3
- domain interaction involves an interface that overlaps the pSpt5 binding site, is necessary for
- 94 Prf1 chromatin association, and shares function with a C-terminal region of Prf1 that interacts
- 95 with the PAF complex. Our results suggest that recruitment of Prf1/Rtf1 to sites of transcription
- 96 involves multiple interactions that are modulated both directly and indirectly by Cdk9-dependent
- 97 Spt5 phosphorylation.
- 98

# 99 Materials and Methods:

- 100 Yeast strains. S. pombe strains used in this study are listed in Table S1. All genetic
- 101 manipulations were conducted using standard techniques as previously described (31). Standard
- 102 YES media (5g/L yeast extract, 30g/L D-glucose, 250mg/L of each histidine, leucine, adenine,
- 103 and uracil) and 30°C was used for the growth of all liquid cultures.
- 104 To integrate C-terminal truncation mutations into the chromosomal prfl + locus, primers were
- 105 designed to amplify the C-terminal TAP tag from pJT9 (pFA6a-kanMX6-CTAP2) as described
- 106 (32). PCR products were transformed into competent JT204 S. pombe cells as described (33). To
- 107 integrate Plus3 domain point mutations into the chromosomal prfl + locus, EagI-XhoI digests of
- 108 plasmids pJT161, pJT162, or pJT163 (described below) were transformed into competent JT204
- 109 cells as described above. Positive transformants were verified by sequencing and western
- 110 blotting.111
- 112 **Plasmids.** Plasmids used in this study are listed in Table S2. Full-length Prf1, the N-terminal
- region (amino acids 1-213), the Plus3 domain (amino acids 214-345), and the C-terminal region
- 114 (amino acids 346-563) were PCR amplified from *S. pombe* cDNA and cloned into pGEX-6P-1.
- 115 For the full-length protein, a C-terminal 6xhistidine tag was introduced by PCR. Plus3 domain
- 116 point mutations were introduced using the Phusion Site-Directed Mutagenesis kit (ThermoFisher
- 117 Scientific) and verified by sequencing.
- 118 To integrate mutations into the chromosomal  $prfl^+$  locus, a ~4.5 kilobase region spanning the
- 119 locus and including ~250 base pairs of 5' and 3' homology was PCR amplified from strain
- 120 JT202 (*prf1-TAP::kanMX6*) and cloned into pGEX-6P-1 to create pJT150. Plus3 domain
- 121 mutations were introduced by site-directed mutagenesis as described above. Wild-type and
- 122 mutant *prf1-TAP::kanMX6* constructs were verified by sequencing.
- 123
- 124 **Expression and purification of recombinant Prf1.** GST-fusion proteins were expressed in *E*.
- 125 coli BL21. Log-phase cultures (500 mL) were induced with 1mM isopropyl-β-D-1-
- 126 thiogalactopyranoside (IPTG) and grown at 16°C for 12-16 hours. The cells were then harvested
- 127 by centrifugation and resuspended in 25 mL of lysis buffer (20mM Tris [pH 7.5], 200mM NaCl,
- 128 20% glycerol, 1mM ethylenediamine tetra acetic acid (EDTA), 1mM dithiothreitol (DTT), 1mM
- 129 phenylmethylsulfonyl fluoride (PMSF), 1 mM benzamidine, protease inhibitor cocktail (Roche
- 130 Applied Sciences)) with 2.5 mg of lysozyme. After 30 mins on ice, the cell extract concentration
- 131 was adjusted to 350mM of NaCl and 0.5% Triton X-100 and then sonicated with the Misonix
- 132 Sonicator 3000 (30 s ON/OFF for 14 rounds, output 5.0). All subsequent steps were conducted at

4°C. The suspension was centrifuged for 10 minutes at 25,000g and the lysate supernatant was
incubated for 3 hours with 1 mL of glutathione sepharose beads (GE Healthcare) pre-washed in
lysis buffer. The beads were collected, transferred to a small column (Bio-Rad), and washed with
20 mL of wash buffer (20mM Tris [pH 7.5], 350mM NaCl, 20% glycerol, 1mM EDTA, 0.1%
Triton X-100, 1mM DTT, 1mM PMSF, 1mM benzamidine). Beads were eluted stepwise with
10x0.5 mL elution buffer (20mM Tris [pH 7.5], 350mM NaCl, 20% glycerol, 1mM EDTA,
100mM reduced glutathione, 1mM DTT, 1mM PMSF, 1mM benzamidine). Peak fractions were

- 140 pooled and dialyzed overnight against 2L of dialysis buffer (20mM HEPES [pH 7.6], 20%
- 141 glycerol, 0.15M KOAc, 10mM Mg(OAc)<sub>2</sub>, 1mM EDTA, 1mM DTT). For full-length Prf1, a
- second purification step was performed before dialysis. Briefly, eluates were supplemented with
- imidazole at a final concentration of 10mM and incubated for 2 hours with 200  $\mu$ L of Ni-NTA
- agarose beads (Qiagen) that were prewashed in buffer C (20mM HEPES [pH 7.6], 150mM KCl,
- 145 5% glycerol, 10mM imidazole, 0.1% NP-40, 1mM PMSF, 1mM  $\beta$ -mercaptoethanol). The beads
- 146 were collected, washed four times with 1 mL of buffer C, and eluted into 1 mL elution buffer 2
- 147 (20mM Tris [pH 7.5], 150mM KCl, 5% glycerol, 200mM Imidazole, 0.1% NP-40, 1mM PMSF,
- 148  $1 \text{mM} \beta$ -Mercaptoethanol). The eluate was then dialyzed overnight as described above.
- 149

150 Immobilized Peptide Binding Assays. Spt5-CTD peptides (16) were synthesized as described 151 (34). 10  $\mu$ g of either phosphorylated or unphosphorylated peptide were immobilized on 15  $\mu$ L of 152 pre-washed streptavidin Dynabeads (Invitrogen) in 200 µL 1X PBS. After a 3-hour incubation at 153 room temperature on a rocking platform, beads were collected on a magnet and washed twice 154 with wash buffer (20mM HEPES [pH 7.6], 5% glycerol, 0.1% Triton X-100, 1mM EDTA, 155 350mM KOAc, 10mM  $\beta$ -glycerophosphate, 1mM PMSF). 50 ng of purified protein was added to 156 beads and the volume made to 200 µL with binding buffer (20mM HEPES [pH 7.6], 0.1% Triton 157 X-100, 50mM KOAc, 10mM β-glycerophosphate, 1mM PMSF, 1mg/mL BSA). The reaction 158 was incubated at 4°C for 1 hour with rocking. The beads were collected, washed four times with 159 1mL of wash buffer, and resuspended in 20 µL of 1X SDS sample buffer. All samples (5% input 160 and 50% beads) were boiled at 95°C for 2 minutes, centrifuged, and analyzed by SDS-PAGE and 161 immunoblotting.

- 162
- 163 **GST Pulldowns.** GST-fusion proteins and purified factors were added in equimolar amounts
- 164 (approximately 20nM) in a 200µL binding reaction containing 20mM HEPES [pH 7.6], 0.1%
- 165 Triton X-100, 50mM KOAc, 1mM PMSF, 10mM  $\beta$ -glycero-3-phosphate, 0.1 mg/mL BSA.
- 166 Binding reactions were incubated for 2 hours at 4°C on a rocking platform. GST-fusions were
- 167 recovered by addition of 25  $\mu$ L of glutathione sepharose beads (prewashed twice with 400  $\mu$ L of
- 168 binding buffer) and incubation for a further 1 hour at 4°C with rocking. The beads were collected
- and then washed four times with wash buffer (20mM HEPES [pH 7.6], 5% glycerol, 0.1% Triton
- 170 X-100, 1mM EDTA, 350mM KOAc, 10mM  $\beta$ -glycerophosphate, 1mM PMSF). The beads were
- 171 then resuspended with  $25\mu$ L of 1X SDS sample buffer. All samples (5% input and 50% beads)
- 172 were boiled at 95°C for 2 minutes, centrifuged, and analyzed by SDS-PAGE and
- 173 immunoblotting.
- 174
- 175 Immunoblotting. Whole-cell extracts prepared in trichloroacetic acid or purified proteins were
- analyzed by SDS-PAGE and immunoblotting as described previously (13). The following
- 177 commercial antibodies were used: TAP tag (ThermoFisher Scientific #PICAB1001), Rpb1
- 178 (8WG16; Covance #MMS-126R-200), histone H3 (Abcam #ab1791), ubiquityl-histone H2B

(Millipore #05-1312-I), GST-tag (ThermoFisher Scientific #8-326), HIS-tag (Sigma-Aldrich 180 H1029), and Streptactin-HRP (ThermoFisher Scientific #21130). Alpha-tubulin antibody (TAT-181 1) was provided by Dr. Keith Gull (35). Images were acquired on Amersham Imager 600 (GE 182 Healthcare) or on film. Images were processed using ImageJ software for quantification. 183 184 Electrophoretic Mobility Shift Assays. Reactions contained 0.1µM of FITC-labeled 185 deoxynucleotide probe [5'-CCGCCCGCC-(T)<sub>10</sub>-CCCGCCGCCC-FITC], 10mM Tris [pH 7.5], 186 10% glycerol, 100mM NaCl, 0.1 mg/mL BSA, and 0.1-0.5µM recombinant GST-Plus3 protein in a final volume of 20 µL. For reactions containing the "bubble" probe the probe above was first 187 188 hybridized to 5'-GGGCGGGGGG(T)<sub>10</sub>-GGCGGGGGGGGGG. After a 20-minute incubation on ice, 189 reactions were briefly centrifuged and loaded on native 5% polyacrylamide gels. Gels were 190 prepared and run in 0.5X Tris-borate-EDTA and run at 100 V for 1 hour at 4°C. Images were 191 acquired on the Typhoon Trio Variable Mode Imager system (GE Healthcare). Rpb1 peptides 192 used in competition experiments were synthesized as described (33) with sequence biotin-193 PSYSPTSPSYSPTSPS (unphos) or biotin-PSYSPTS\*PSYS\*PTSPS (phos; asterisks follow 194 phosphoserines). 195 196 TAP-tagged Protein Purification. Tpr1-TAP was purified from whole cell extracts as described 197 previously (16,36). 10  $\mu$ L of the purified material was analyzed by SDS-PAGE alongside BSA 198 standards followed by Coomassie or silver staining. 199 200 Fluorescence Microscopy. Diamino-phenylindole (DAPI) and calcofluor staining was 201 conducted as previously described with minor changes (13). Cells were viewed using a Leica 202 DM 5000b microscope with Lumenera's Infinity 3-1UR camera at 40X objective. Images were 203 processed and cells were counted using ImageJ software. Phenotypes scored were unseparated 204 chains of cells (with septa in between each nuclei) and "twinned" septa (multiple septa 205 separating two nucleic) (13,16). Each strain was scored three times based on images of >100206 cells. 207 208 Chromatin Immunoprecipitation (ChIP). TAP-ChIP was conducted as previously described 209 (37) with some modifications. ChIP experiments were normalized to spiked-in S. cerevisiae 210 chromatin (prepared from strain JTY41 (genotype MATa his $3\Delta 1 \ leu 2\Delta 0 \ LYS2 \ ura 3\Delta 0 \ RPB1$ -TAP::kanMX6)) unless otherwise indicated. S. pombe chromatin was prepared as described using 211 212  $1.5 \times 10^7$  cells per crosslinked sample in a final volume of 1mL. S. *cerevisiae* chromatin was

- 213 prepared using the same protocol but with  $3.0 \times 10^7$  cells per crosslinked sample.  $50 \mu L$  of S. *cerevisiae* spike-in chromatin was added to each *S. pombe* chromatin sample prior to conducting 214
- 215 the immunoprecipitation (IP) step. A 100 µL sample of input was then taken from each sample.
- 216 The IP was conducted by adding 20µL of IgG sepharose beads (GE Healthcare) to each IP
- 217 sample for a 4-hour incubation at  $4 \circ C$ . Following the IP, the beads were eluted and then washed
- 218 with 150µL of TE. For the DNA purification, all samples were incubated with 0.5 µL of RNase
- 219 A (10 mg/mL) and 1  $\mu$ L of glycogen (20 mg/mL) for an hour at 37°C, followed by 1.25  $\mu$ L
- 220 Proteinase K (20 mg/mL) for 3 hours at 37°C. Samples were then extracted with 250 µL of
- 221 phenol:chloroform:isoamyl alcohol and 250 µL chloroform as described. Primers for qPCR
- 222 analysis are listed in Table S3. A primer pair in the coding region of the S. cerevisiae PMA1 gene
- 223 was used for normalization.
- 224 **Results**

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## 225

# 226 Functional divergence of Prf1 Plus3 domain and phosphorylated Spt5

To examine the physiological significance of pSpt5 binding by the Plus3 domain in *S. pombe*, we introduced a point mutation at Arg227 of  $prf1^+$  that is predicted to disrupt the pSpt5 binding pocket (R227A; Figure 1A) (15,18). We verified the effect on pSpt5 interaction using

- immobilized peptide pulldowns. Biotinylated peptides corresponding to either unmodified or
- phosphorylated Spt5 CTD repeats were immobilized on streptavidin beads and incubated with purified recombinant Plus3 domain, and bound proteins were analyzed by immunoblot.
- 232 purification of the immunoblot signals showed that wild-type Plus3 preferentially bound to the
- pSpt5 peptide compared to the unmodified peptide. This preference was completely abrogated by
- the R227A mutation, as expected (Figures 1B and S1A). We also verified that the HMD and C-
- terminal regions of Prf1 did not contribute to pSpt5 binding (Figure S1A and S1B).
- 237
- 238 To examine the impact of pSpt5 binding on Prf1 function *in vivo*, we introduced the R227A
- mutation into the endogenous  $prfl^+$  locus and compared it to the effect of mutations in the Spt5
- 240 CTD that abolish all of the Cdk9-dependent phosphorylation sites. Spt5 CTD mutations (T1A or
- T1E) were engineered in the context of a truncated, 7-repeat *spt5*<sup>+</sup> CTD domain whose function
- is comparable to wild-type [*spt5*(7)] (16,29,38). We also analyzed the *spt5-\Delta C* mutant in which
- 243 the entire CTD is deleted. In chromatin immunoprecipitation (ChIP)-qPCR assays, Prf1-R227A
- recruitment to transcribed genes was significantly decreased (up to 5-fold) throughout gene
- bodies compared to wild-type to levels close to those obtained in the untagged control (Figures
- 1C and S2). A comparable effect on Prf1 chromatin association was elicited by *spt5-T1A* and *spt5-T1E* mutants (16). The *prf1-R227A* mutation caused a more modest, two-fold reduction in
- 248 Prf1 protein levels, which argues that the reduced chromatin occupancy reflects an impaired
- interaction (Figures 1D and S3). Despite the strong effects of Plus3 or Spt5 phosphorylation
- 250 mutations on Prf1 chromatin occupancy, we observed relatively modest effects on H2Bub1
- 251 levels. Immunoblotting of whole-cell extracts indicated that H2Bub1 levels were not
- significantly affected by *prf1-R227A* and were reduced two-fold by *spt5-T1A*; a complete loss
- 253 was observed in the *spt5-\Delta C* mutant (Figures 1E, 1F, and S3). These results suggest that the
- 254 Plus3 domain/pSpt5 interaction is necessary for Prf1 chromatin binding but is only partially
- required for mediating Prf1 function and the effect of Cdk9 activity on H2Bub1.
- 256

257 Genetic ablation of H2Bub1 (*htb-K119R*) or of Prf1 (*prf1* $\Delta$ ) lead to cell division defects

- 258 (13,16,30). These include unseparated chains of cells with division septa in between each nuclei, 259 and "twinned" septa (multiple septa separating two nuclei) (13,16). We examined prf1-R227A
- 260 cells stained with DAPI and calcofluor by fluorescence microscopy and saw no differences from
- 200 cens statiled with DAP1 and calconduor by hubrescence microscopy and saw no differences from 261 wild type (Figure 2A) quantified in Figure 2B). The set 5.714 mutant was also similar to wild
- wild-type (Figure 2A; quantified in Figure 2B). The *spt5-T1A* mutant was also similar to wild-
- type in these assays, consistent with the partial requirement of the Plus3 domain/pSpt5  $\frac{262}{100}$
- interaction for H2Bub1 formation (Figure 2B) (29).
- 264

We also created double mutants harboring *prf1-R227A* in combination with each of the *spt5* 

- 266 mutations. Given that the R227A mutation lies within a well-characterized binding site for
- 267 pSpt5, we anticipated that any phenotypic effects would be due solely to loss of pSpt5 binding,
- and thus we predicted an epistatic relationship between *prf1-R227A* and *spt5-T1A*. Surprisingly,
- when the *prf1-R227A* mutation was combined with the *spt5-T1A*, the resulting double mutants
- 270 displayed a significant increase in the cell division phenotypes characteristic of  $prfl\Delta$  and htb-

271 *K119R* cells (Figures 2A and 2B; the overall septation indices for these strains are shown in

- Figure S4). This indicated a synthetic, rather than epistatic relationship between the mutations,
- which argues that they affect different pathways. No phenotype was observed in strains with
- 274 *prf1-R227A* in combination with the *spt5(7)* allele. The modest synthetic effects observed in
- 275 combination with the *spt5-T1E* or *spt5-\Delta C* alleles were not statistically significant, indicating
- that the synthetic effects were specifically related to loss of pSpt5.
- 277

We performed immunoblots to monitor Prf1 protein levels in single and double mutant strains. Interestingly, the *spt5-T1A* and *spt5-\Delta C* mutations, but not *spt5-T1E*, resulted in decreased Prf1

- 280 protein levels in the wild-type *prf1-TAP* strain, supporting a direct or indirect functional link
- between pSpt5 and Prf1. However, introduction of *prf1-R227A* into these strains did not
- significantly reduce Prf1 levels relative to those in wild-type *prf1-TAP* (Figures 2C and 2D). We
- conclude that the synthetic phenotypes observed in *prf1-R227A spt5-T1A* double mutants are due
- to an impaired Prf1 interaction distinct from that with pSpt5.
- 285
- 286 The  $prfl\Delta$  and htb-K119R mutants are sensitive to thiabendazole (TBZ), a microtubule-
- 287 destabilizing agent that perturbs mitotic chromosome segregation, and methyl methanesulfonate
- 288 (MMS), a DNA-damaging agent (39-41)(Figure S5). To determine whether these phenotypes
- were subject to similar synthetic effects, we assessed growth of *prf1-R227A*, *spt5* CTD single
- 290 mutants, and double mutants in the presence of TBZ or MMS. The double mutant strain with 201 mt5 T1A but not with mt5(7) or mt5 T1E showed a morled decrease in growth or the control
- *spt5-T1A*, but not with *spt5*(7) or *spt5-T1E*, showed a marked decrease in growth on the control media compared to either single mutant, consistent with the observed cell division phenotypes
- (Figure S5). In the presence of either TBZ or MMS, growth of this double mutant was
- specifically suppressed, whereas no effect on growth was observed for either single mutant or the
- other double mutant combinations. The *spt5-\Delta C* mutant was sensitive to TBZ and MMS on its
- 296 own, and this sensitivity was enhanced in combination with *prf1-R227A*. Together, these
- synthetic phenotypes establish an additional function for the pSpt5-binding surface of the Plus3
- domain, as well as a Plus3-independent function for pSpt5.
- 299 300

# Nucleic acid binding activity of the Plus3 domain is required for Prf1 recruitment to chromatin and is competitive with pSpt5 binding

- We investigated whether the additional function of the Plus3 domain could be attributed to its ability to bind nucleic acids. We first used electrophoretic mobility shift assays (EMSA) to assess binding of recombinant Prf1 Plus3 domain to a fluorescently labeled ssDNA probe (30
- 306 deoxynucleotides in length). In the presence of increasing amounts of Plus3 domain, the intensity
- 307 of the band corresponding to the free probe diminished and intensity of a diffuse band close to
- 308 the well increased; we also noted a general increase in signal intensity throughout the lane
- 309 (Figure 3A; see arrow). This pattern likely reflects formation of heterogeneous protein-nucleic
- acid complexes, similar to what was previously observed for the Plus3 domain from human Rtf1
- 311 (18). Prf1 Plus3 domain also bound a "bubble" DNA substrate (a double-stranded probe with a
- 312 central region of non-complementarity designed to mimic the transcription bubble) (Figure 3A).
- 313 Competition experiments showed no apparent binding to dsDNA, but indicated that RNA
- competes for binding to labeled ssDNA probe just as or more effectively than ssDNA (Figure
   S6A-C). Thus, nucleic acid binding is a conserved property of the Plus3 domain.
- 316

317 Previous studies showed that residues in the predicted PAZ subdomain, distant from the pSpt5

- 318 binding pocket, were critical for ssDNA binding (15,18). We substituted two equivalent
- 319 positions in the Prf1 Plus3 domain-Arg262 and Arg296-with glutamates (Figure 3B). These
- 320 substitutions had minimal effect on interaction with pSpt5 in immobilized peptide pulldowns,
- 321 although the R262E mutation decreased (but did not eliminate) phospho-binding preference
- 322 (Figure 3C). As was found for human Plus3, both mutations abolished the nucleic acid-binding 323 of Prf1 Plus3 (Figure 3D). Interestingly, the R227A mutation also dramatically decreased the
- 324 Plus3 domain's nucleic acid binding activity, although some mobility shift could be discerned at
- 325 higher protein concentrations (Figure 3D). This suggests that pSpt5-binding and nucleic acid-
- 326 binding functions may reside in overlapping regions of the Plus3 domain. To confirm this, we
- 327 performed EMSA assays with wild-type Plus3 domain in the presence of either phosphorylated
- 328 or unphosphorylated Spt5 CTD peptides. We found that the pSpt5 peptide, but not the
- 329 unphosphorylated peptide nor a phosphorylated Rpb1-CTD peptide, effectively competed for
- 330 binding to ssDNA (Figure 3E, S6D, and S6E). Therefore, pSpt5 and nucleic acid interact with
- 331 the Plus3 domain on overlapping binding surfaces in a mutually exclusive manner.
- 332

333 To determine the physiological relevance of nucleic acid binding, we conducted *in vivo* 

334 examination of R262E and R296E mutants. Both the prf1-R262E and prf1-R296E mutations

335 significantly decreased recruitment of Prf1 to chromatin in ChIP-qPCR assays; prf1-R262E

336 conferred a ~5-fold decrease similar to *prf1-R227A*, whereas *prf1-R296E* conferred a more

337 modest ~2-fold decrease (Figure 4A and S7). Neither of the *prf1-R262E* and *prf1-R296E* 

- 338 mutations significantly affected Prf1 protein or H2Bub1 levels (Figures 4B, 4C, and S3). These 339 data define nucleic acid binding as a biochemical activity distinct from pSpt5 binding that is 340
- 341

required for Prf1 association with transcribed genes.

- 342 The *prf1-R262E* and *prf1-R296E* mutants displayed phenotypic profiles that were very similar to 343 that of *prf1-R227A*: they did not show any cell division/morphology deficits or drug sensitivity 344 on their own, but showed strong synthetic phenotypes in combination with spt5-T1A (Figure 4D 345 and S8). The prf1-R296E mutation had milder synthetic effects with spt5-T1A on drug sensitivity 346 than either of the other Plus3 domain mutations, although it interacted strongly with  $spt5-\Delta C$ 347 (Figure S8). This may be a reflection of its milder effect on Prf1 function in the ChIP assay 348 (Figure 4A). Prf1 protein levels in the prf1-R262E spt5 and prf1-R296E spt5 double mutants 349 were not significantly different in comparison to Prf1 levels from the respective *spt5* single 350 mutant (Figure S9). These results show that the nucleic acid binding surface of the Plus3 domain 351 is important for in vivo function of Prf1 independently of the pSpt5 interaction.
- 352

#### 353 Evidence that the Plus3 domain and the Prf1 C-terminus share a common function

354 In an effort to characterize other functional regions of S. pombe Prf1, we analyzed a series of

355 truncations of the Prf1 C-terminus, a region of the protein previously implicated in binding to the 356 PAF complex (17,24,25). C-terminal truncation mutants terminating at amino acids 345, 458, or

357 472 ( $prf1 - \Delta 345$ ,  $prf1 - \Delta 458$ ,  $prf1 - \Delta 472$ ) were still recruited to transcribed genes by ChIP-qPCR at

358 levels similar to or even greater than those for wild-type (Figure 5A and S10). The increased

359 ChIP-qPCR signals correlated with increases in Prf1 protein levels by immunoblot (Figures 5B

360 and S3). However, H2Bub1 levels were decreased in all three mutants (Figure 5C). Thus, C-

361 terminally truncated Prf1 proteins are functionally impaired in a manner distinct from Prf1

362 mutants that disrupt the Plus3 domain.

### 363

364 The Prf1 C-terminal truncations did not give rise to cell growth and morphology phenotypes on 365 their own (Figure 5D). However, like Plus3 domain mutations, they exhibited synthetic 366 phenotypes in combination with mutations in the Spt5 CTD. For the  $prf1-\Delta 472$  and  $prf1-\Delta 458$ 367 double mutant strains, the synthetic phenotypes were observed for all assays tested in 368 combination with *spt5-\Delta C*. Double mutants with *spt5-T1A* exhibited assay-dependent effects: 369  $prf1-\Delta 472$  caused septation defects, and MMS sensitivity, whereas  $prf1-\Delta 458$  caused septation 370 defects, TBZ sensitivity, and MMS sensitivity (Figure 5D and S11). Modest septation 371 phenotypes were observed in double mutants with *spt5-T1E* but these were not statistically 372 significant, suggesting that the function of this C-terminal portion of Prf1 is related to loss of 373 Spt5 CTD phosphorylation (Figure 5D and S11). The largest prfl truncation mutation, prfl-374  $\Delta 345$ , displayed cell division/ morphology deficits and drug sensitivity with the *spt5-T1A*, *T1E* 375 and  $\Delta C$  mutants (Figure 5D and S11). The fact that alanine and glutamate substitutions at the T1 376 position were similarly deleterious in this background suggests that the larger truncation 377 impinges on a function that is either stringently dependent on T1, or dependent on 378 phosphorylated T1 in a way that is not compensated by the negatively charged side-chain. Levels 379 of the C-terminally truncated Prf1 proteins were unchanged or increased compared to those of 380 the wild-type Prf1 in the respective spt5 mutant backgrounds (Figure S12). Taken together, these 381 results suggest that amino acids 459-562 of Prf1 participate in an interaction that functions in 382 parallel with Spt5-T1 phosphorylation, similar to the Plus3 domain, and that amino acids 345-383 458 of Prf1 participate in an additional function that is more generally sensitive to Spt5 CTD 384 structure.

385

#### 386 Prf1 Plus3 domain and C-terminal region both interact with the PAF complex

387 We hypothesized that the Prf1 Plus3 domain and C-terminal region may share a common 388 physical interactor that accounts for their shared function. This is unlikely to be nucleic acid, as 389 we have not detected any nucleic acid binding by the Prf1 C-terminal region (data not shown). 390 The C-terminal region also has no affinity for the Spt5 CTD (Figure S1). Given that the PAF 391 complex has previously been shown to interact with the C-terminal regions of human and S. 392 *cerevisiae* Rtf1, we investigated interaction between Prf1 and PAF complex using purified 393 proteins (17,24,25). We observed that full-length Prf1, the Plus3 domain, and the C-terminal 394 region (amino acids 345-562), produced as recombinant GST fusion proteins (Figure S1A), 395 associated with native S. pombe PAF complex (purified via the TAP method; Figure 6A) in 396 GST-pulldown experiments (Figure 6B). The N-terminal HMD domain did not pull down PAF, 397 indicating that PAF interacts specifically with the Plus3 domain and C-terminal region in vitro 398 (Figure 6B). As interaction between the Plus3 domain and PAF has not previously reported, we 399 used surface plasmon resonance (SPR) to confirm it. Specific dose-dependent binding between 400 the PAF complex and the Plus3 domain was also apparent in SPR experiments (Figure S13A). 401 Importantly, the R227A, R262E, and R296E mutations in the Plus3 domain all reduced this 402 interaction (Figure 6C, Figure S13B). As these mutations all affect nucleic acid binding with the 403 Plus3 domain, we tested whether the interaction between the Plus3 domain and PAF is nucleic 404 acid-dependent. We observed similar interaction between Plus3 domain and PAF in the presence 405 of ethidium bromide, suggesting that it reflects a direct protein-protein interaction (Figure S13C). 406 Indeed, addition of exogenous ssDNA reduced the efficiency of the Plus3 domain-PAF interaction in GST pulldowns (data not shown). Thus, interaction with the PAF complex is an 407

408 additional Plus3 domain function that may operate in parallel with pSpt5. We have not yet

- 409 identified genetic interactions between PAF complex mutations and *spt5* CTD mutations that
- 410 support this (data not shown). This is likely due to the fact that interaction of the Plus3 domain
- 411 with the PAF complex seems to involve multiple individual PAF complex subunits based on our
- 412 *in vitro* characterization, including Leo1, the N-terminal half of Tpr1 (Tpr1N), and the C-
- 413 terminal half of Paf1 (Paf1C) (Figure S13D-H). However, ChIP-qPCR assays showed a
- 414 significant decrease in *prf1-TAP* chromatin occupancy at the  $act1^+$ ,  $spbc354.10^+$  and  $nup189^+$
- 415 genes in a  $tpr1\Delta$  strain compared to wild-type (Figure 6D-F). As  $tpr1\Delta$  is predicted to eliminate
- the PAF complex, this indicated that PAF, like the Plus3 domain and pSpt5, is necessary for Prf1
- 417 chromatin association. PAF chromatin occupancy showed a locus-specific dependence on Prf1,
- 418 as *paf1-TAP* recruitment was affected by  $prf1\Delta$  at  $act1^+$  but not at the other two loci; this may
- 419 reflect locus-specific functions for the Prf1-PAF interaction (Figure 6F). These data suggest that
- 420 a direct Prf1-PAF interaction, mediated in part by the Plus3 domain, promotes Prf1 function in 421 conjunction with pSpt5.
- 422

# 423 **Discussion**

- 424 This study provides novel insights into the function of the Rtf1 Plus3 domain and its relationship
- 425 to the Spt5 CTD. Previous studies have centered on the direct interaction between the Plus3
- 426 domain and Spt5 CTD repeats phosphorylated at the conserved T1 position and have emphasized
- 427 its importance for recruitment of Rtf1 and the PAF complex to transcribed genes (14,15). Our
- 428 genetic and biochemical analyses strongly argue that 1) the Plus3 domain engages in an
- 429 additional interaction, exclusive of that with pSpt5, that is critical for Prf1/Rtf1 function and
- 430 recruitment *in vivo*; and 2) pSpt5 promotes Prf1/Rtf1 function in parallel through another factor.
- 431

432 We observed broad phenotypic overlap between prf1-R227A, which abolishes pSpt5 recognition, 433 and both prf1-R262E and prf1-R296E, which retain pSpt5 binding. The phenotypic effects of

- these mutations were strongest in *spt5-T1A* and *spt5-\Delta C* genetic backgrounds, and absent or
- 435 weak in combination with *spt5-T1E*, indicating that introduction of a negative charge at the T1
- 436 position is important for Prf1 function when the Plus3 domain is compromised. The fact that
- 437 phenotypic enhancement was observed with *spt5-\Delta C* (albeit to varying extents) negates the
- 438 possibility that another CTD phosphorylation site is bound by the mutant Plus3 domains, or that
- 439 Plus3 domain binding to the unmodified CTD drives the phenotypic effects. We cannot exclude
- the possibility that a physical interaction occurs between Prf1 and Spt5 that is independent of the
- 441 Spt5 CTD altogether but that requires the Plus3 domain. This would be an entirely different
- 442 interaction than that suggested by previous work in budding yeast (14).
- 443

All of these mutations reduce Prf1 occupancy on gene coding regions by ChIP. This effect is particularly pronounced for *prf1-R227A* and *prf1-R262E*, both of which exhibit occupancy levels

- 446 close to background. Thus, the pSpt5-independent interaction of the Plus3 domain is important
- for Prf1 recruitment to chromatin, consistent with the role of the Plus3 domain previously
- 448 defined in *S. cerevisiae* (14,17). The *spt5-T1A* mutation reduces Prf1 chromatin occupancy as
- 449 well as Prf1 protein levels, although we argue that these effects are not solely attributable to
- 450 interaction with Prf1 (16). Thus, Prf1 chromatin occupancy requires both Plus3 domain function
- 451 and pSpt5, but Prf1 function can be maintained in the absence of either one. These findings
- 452 suggest that Prf1 function does not require its stable association with chromatin and is
- 453 compatible with more dynamic associations that are not captured by ChIP (42).
- 454

455 Recombinant Plus3 domain binds to purified, native PAF complex in a manner that is also 456 sensitive to prf1-R227A, prf1-R262E, and prf1-R296E mutations. Prf1 interaction with the PAF 457 complex also involves its C-terminal region, truncation of which leads to synthetic phenotypes in 458 combination with *spt5-T1A*. Direct interaction between Prf1 and PAF was previously 459 demonstrated using purified components and was primarily attributed to the C-terminal region of 460 Prf1 (25,43). Our finding that PAF can also directly interact with the Plus3 domain is also 461 consistent with crosslinking mass spectrometry analysis of the S. cerevisiae Rtf1/PAF complex, 462 in which both Plus3 and C-terminal regions could be crosslinked to PAF (43). These results 463 support a model in which the Plus3 domain and the C-terminal region both interact with the PAF 464 complex, thereby promoting Prf1 function in parallel to pSpt5. This idea is further supported by 465 the fact that the PAF complex is necessary for Prf1 chromatin occupancy. However, given that 466 Prf1 function is maintained in cases where its chromatin occupancy is greatly reduced, how 467 interaction with PAF contributes to Prf1 function remains unclear. Greater insight into the 468 significance of this interaction will require identification of additional pSpt5-binding factors, as 469 the genetics argues that pSpt5 contributes in parallel to PAF's function in this context. We detect 470 interaction between multiple PAF subunits and the Plus3 domain in vitro, but interactions 471 between Prf1 and the PAF complex are weak or undetectable in extracts (as is the case in 472 metazoans), further complicating efforts to dissect the function of the interaction in vivo (16,44). 473 A more detailed picture of the molecular basis for the cell division and morphology phenotypes 474 of  $prf1\Delta$  will also be critical to understanding the significance of Prf1 interactions. 475 476 The *prf1-R227A*, *prf1-R262E*, and *prf1-R296E* mutations all impair a nucleic acid binding 477 activity of the Plus3 domain. This activity prefers ssDNA over dsDNA, as has been 478 demonstrated previously for the human Plus3 domain (18). We also show that the affinity of Prf1 Plus3 domain for RNA is similar to that for ssDNA. This is consistent with studies showing 479 480 interaction of S. cerevisiae Rtf1 with RNA in vitro and in vivo (45.46). Whether or not nucleic 481 acid is a physiologically relevant binding partner for the Plus3 domain *in vivo* remains to be 482 determined. It is clear, however, that the binding of the Plus3 domain to pSpt5 and nucleic acid 483 are mutually exclusive, because 1) prf1-R227A abrogates both, and 2) pSpt5 competes with 484 nucleic acid for Plus3 domain binding. Nucleic acid also competes with PAF complex for Plus3 485 binding (data not shown). The differential effects of R262E and R296E on nucleic acid binding 486 (and PAF complex binding) versus pSpt5 binding suggest that the interaction interface for the 487 former may be larger. Nonetheless, results of the competition experiments suggest that the Plus3 488 domain can interact with multiple partners through a common interface (or distinct but 489 overlapping interfaces). We suggest that multiple Plus3 domain interactions could occur in the 490 context of an extended Spt5 CTD with multiple phosphorylated repeats. Whereas Prf1 may 491 directly bind to pSpt5 at some repeats, alternate modes of association may predominate at others. 492 Our data also suggest that all modes of interaction are needed to observe stable association of 493 Prf1 with chromatin, but that this apparent plasticity could explain how function is maintained 494 when either pSpt5 or the Plus3 domain is compromised. Determining whether this plasticity

495 might be regulated, and what the functional consequences might be for transcription, are496 important avenues for future study.

496 497

498 Our results point to additional Spt5 CTD interactors that are regulated by CTD phosphorylation

and that promote function of Prf1/Rtf1. Few direct interactions with the Spt5 CTD have been

500 described previously, and the Plus3/CTD interaction is the only one known to be phospho-

- 501 specific. Factors involved in 5' and 3' mRNA processing also interact with the Spt5 CTD (47-
- 502 49). Phospho-specificity of cleavage and polyadenylation factor interaction with the Spt5 CTD
- 503 has not been determined, whereas capping enzyme interaction is blocked by T1 phosphorylation
- 504 (47). Interestingly, we observed that T1 phosphorylation also blocks interaction of the PAF
- 505 complex with the Spt5 CTD, although the physiological relevance of this interaction is not
- 506 known (16). Further investigation of the functional relationship between the Spt5 CTD and
- 507 Prf1/Rtf1 may uncover novel mechanisms linking Spt5 CTD phosphorylation to RNAPII
- 508 elongation control.
- 509
- 510

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#### 673 Figure Legends

674

Figure 1. The prf1-R227A mutation abolishes pSpt5-binding and chromatin association, but 675 676 preserves Prf1 function. (A) Pymol illustration mapping the location of Prf1 R227 on the 677 crystal structure of the human Plus3 domain in complex with a pSpt5 peptide (PDB 4L1U). R366 678 is the equivalent position in the human protein (15). (B) Immobilized peptide pulldowns with the 679 indicated Spt5 CTD peptides and the indicated recombinant GST fusion proteins. Binding 680 reactions were analyzed by SDS-PAGE and immunoblotting with GST antibody. Top: Representative GST immunoblot. "IN" denotes a 10% input. Bottom left: Quantification of ratio 681 682 between bound signal of phosphorylated Spt5 CTD and unphosphorylated Spt5 CTD peptides. 683 Error bars denote standard error of the mean from 4 independent experiments. \*  $p \le 0.05$ ; two-684 sided t-test. Bottom right: Quantification of bound signal relative to input for each of the 4 685 independent experiments. Lines between phosphorylated Spt5 CTD and unphosphorylated Spt5 686 CTD indicate corresponding signals within each experiment. (C) TAP-tag ChIP was performed 687 on the indicated strains and quantified with qPCR using the indicated primers in  $act1^+$ ; % IP 688 values were normalized using a primer pair in the S. cerevisiae PMA1 gene. Length of gene (in 689 base pairs) and position of PCR amplicons shown in diagram at the top. Error bars denote 690 standard error of the mean from 3 independent experiments. A two-way ANOVA was conducted 691 followed by two-sided t-tests with Bonferroni correction between each strain and wild-type within a specific primer pair. \*\* p < 0.01, \*\*\*\* p < 0.0001. (**D**) Quantification of immunoblots 692 693 analyzing Prf1-TAP protein levels normalized to tubulin and then wild-type for the prf1-R227A 694 strain. (E) Ouantification of H2Bub1 levels normalized to total H3 levels and then wild-type for 695 the prf1-R227A strain. (F) Quantifications of H2Bub1 levels normalized to total H3 levels in spt5 696 mutant strains. spt5-(7) levels were set to 1. For (D)-(F), error bars denote standard error of the 697 mean from 3 independent experiments. A one-sample two-sided t-test was conducted between 698 each strain and its relative normalized wild-type. \*  $p \le 0.05$ , \*\*\*  $p \le 0.001$ .

699

Figure 2. The Plus3 domain and pSpt5 function in parallel pathways. (A) The indicated
 strains were stained with DAPI and calcofluor and visualized by fluorescence microscopy. (B)

702 Quantification of septation defects in indicated strains normalized to the number of septated cells

counted in each indicated strain. Error bars represent standard error of the mean from 3

independent experiments; at least 100 cells were counted for each strain per experiment. A one-

- way ANOVA was conducted across all strains followed by two-sided t-tests with Bonferroni
- 706 correction between each strain and the wild-type *prf1-TAP* strain, for each specific morphology
- 707 defect.  $\# p \le 0.01$ ,  $\ddagger p \le 0.001$ ,  $\nexists p \le 0.0001$ . (C) Immunoblots of whole cell extracts from the 708 indicated strains. Antibodies are indicated on the left. (D) Quantification of Prf1-TAP protein
- Revels in *prf1-R227A spt5* double mutant strains and *spt5* single mutant strains. Ratios of
- 710 TAP/Rpb1 signals for each sample were normalized to that in *prf1-TAP spt5*<sup>+</sup>. Error bars denote
- standard error of the mean from 3 independent experiments. A one-way ANOVA was conducted
- across all *prf1* strains within a *spt5* background followed by two-sided t-tests with Bonferroni
- correction between each *prf1* mutant strain and the wild-type *prf1-TAP* strain in the same *spt5*

714 background.

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716 Figure 3. Nucleic acid binding activity of the Plus3 domain is mutually exclusive with pSpt5 717 binding. (A) EMSAs containing a FITC-labelled ssDNA (left) or "bubble" (bubDNA; right) DNA probe and a 1x to 5x molar equivalent of the Prf1 Plus3 domain. The predominant shifted 718 719 band is denoted with an arrow. \* indicates the free probe. All experiments were repeated at least 720 3 times and representative images are shown. (B) Pymol illustration mapping the location of Prf1 721 R262 and R296 on the human Plus3 domain/pSpt5 crystal structure (PDB 4L1U). Conservation 722 of the equivalent positions in the human protein is indicated (15). (C) Immobilized peptide 723 pulldowns with the indicated Spt5 CTD peptides and the indicated recombinant GST fusion 724 proteins. Binding reactions were analyzed by SDS-PAGE and immunoblotting with GST 725 antibody. Left: Representative GST immunoblot (blot for GST, GST-Plus3, GST-R227A is 726 reproduced from Figure 1B). "IN" denotes a 10% input. The left half of this blot is identical to 727 Figure 1B. Middle: Quantification of ratio between bound signal of phosphorylated Spt5 CTD 728 and unphosphorylated Spt5 CTD peptides. A two-sided t-test was conducted between the each 729 Plus3 mutant and the Plus3 wild-type signal ratios. Error bars denote standard error of the mean 730 from 4 independent experiments. \*  $p \le 0.05$ . Right: Quantification of bound signal relative to 731 input for each of the 4 independent experiments. Lines between phosphorylated Spt5 CTD and 732 unphosphorylated Spt5 CTD indicate corresponding signals within each experiment. (D) EMSAs 733 containing a FITC-labelled ssDNA probe and a 1x to 5x molar equivalent of the indicated Prf1 734 Plus3 domain. Lane marked "Plus3" contains wild-type Plus3 domain at 1x concentration. (E) 735 Competition experiments containing ssDNA probe, a 1x molar equivalent of Prf1 Plus3 domain, 736 and Spt5-CTD peptide (either phosphorylated or unphosphorylated) added at 1x to 5x molar ratio 737 to probe. For (D) and (E) experiments were repeated at least 3 independent times and 738 representative images are shown. 739

- 740 Figure 4. Disruption of Plus3 domain nucleic acid binding and pSpt5 binding have similar
- 741 **phenotypic outcomes.** (A) TAP-tag ChIP was performed on the indicated strains and quantified
- with qPCR using the indicated primers in  $act1^+$ ; % IP values were normalized using a primer
- pair in the *S. cerevisiae PMA1* gene. Length of gene (in base pairs) and position of PCR
- amplicons shown in diagram at the top. Error bars denote standard error of the mean from 3
- independent experiments. A two-way ANOVA was conducted followed by two-sided t-tests with Bonferroni correction between each strain and wild-type within a specific primer pair. \*  $p \le 0.05$ ,
- 747 \*\*  $p \le 0.01$ , \*\*\*  $p \le 0.001$ , \*\*\*\*  $p \le 0.0001$ . (**B**) Quantification of immunoblots analyzing Prf1-
- 748 TAP protein levels normalized to tubulin and then wild-type of the indicated strains. (C)
- 749 Quantifications of H2Bub1 levels normalized to total H3 levels and then wild-type of the
- 750 indicated strains. For (B) and (C), error bars denote standard error of the mean from 3
- 751 independent experiments. A one-sample two-sided t-test was conducted between each strain and
- 752 its relative normalized wild-type. (**D**) Quantification of septation defects in indicated strains
- normalized to the number of septated cells counted in each indicated strain. At least 100 cells

- vere counted for each strain per experiment. Error bars denote standard error of the mean from 3
- 755 independent experiments. A one-way ANOVA was conducted across all strains followed by two-
- sided t-tests with Bonferroni correction between each strain and the wild-type *prf1-TAP* strain,
- for each specific morphology defect. \*  $p \le 0.05$ , #  $p \le 0.01$ , †  $p \le 0.001$ , ¥  $p \le 0.0001$ .
- 758

# 759 Figure 5. The Prf1 C-terminal region and the Plus3 domain have a shared function. (A)

760 TAP-tag ChIP was performed on the indicated strains and quantified with qPCR using the

- indicated primers in  $act1^+$ ; % IP values were normalized using a primer pair in the S. cerevisiae
- 762 *PMA1* gene. Length of gene (in base pairs) and position of PCR amplicons shown in diagram at
- the top. Error bars denote standard error of the mean from 3 independent experiments. A twoway ANOVA was conducted followed by two-sided t-tests with Bonferroni correction between
- each strain and wild-type within a specific primer pair. \*\*  $p \le 0.01$ , \*\*\*  $p \le 0.001$ , \*\*\*\*  $p \le 0.001$ , \*\*\*\*  $p \le 0.001$ , \*\*\*\*  $p \le 0.001$ , \*\*\*\*
- 766 0.0001. (**B**) Quantification of immunoblots analyzing Prf1-TAP protein levels normalized to
- tubulin and then wild-type of the indicated strains. (C) Quantifications of H2Bub1 levels
- normalized to total H3 levels and then wild-type of the indicated strains. For (B) and (C), error
- bars denote standard error of the mean from 3 independent experiments. A one-sample two-sided
- t-test was conducted between each strain and its relative normalized wild-type. \*  $p \le 0.05$ , \*\*  $p \le$
- 0.01. (**D**) Quantification of septation defects in indicated strains normalized to the number of
- septated cells counted in each strain. At least 100 cells were counted for each strain per
- experiment. Error bars denote standard error of the mean from 3 independent experiments. A
   one-way ANOVA was conducted across all strains followed by two-sided t-tests with Bonferroni
- correction between each strain and the wild-type *prf1-TAP* strain, for each specific morphology
- 776 defect. \*  $p \le 0.05$ , #  $p \le 0.01$ , †  $p \le 0.001$ , ¥  $p \le 0.0001$ .
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778 Figure 6. Prf1 interacts with the PAF Complex through its Plus3 domain and C-terminal

- 779 **region.** (A) Native PAF complex purified from a *tpr1-TAP* strain was analyzed by SDS-PAGE
- and Coomassie staining. Subunits of the complex are labeled on the right; size markers are
- 781 indicated on the left. "Tpr1-CBP" refers to Tpr1 fused to calmodulin-binding peptide that is
- 782 present after TAP purification. (**B**) GST pulldowns of the native PAF Complex (purified via
- 783 Tpr1-TAP) with full-length, recombinant GST-Prf1 or the indicated domains tagged with GST
- were analyzed by SDS-PAGE and immunoblotting with the indicated antibodies (left). "Plus3-C-
- term" denotes a fragment of Prf1 consisting of both the Plus3 domain and C-terminus. "I"
- denotes input (5%); "IP" denotes bound fraction (50%). All experiments were repeated at least 3
- independent times and representative blots are shown. (C) As in (B) with the indicated GST-
- 788 Plus3 domain fusions. (**D-F**) TAP-tag ChIP was performed on the indicated strains and
- quantified with qPCR using the indicated primers in  $nup189^+$ ,  $spb354^+$ , and  $act1^+$ ; % IP values
- were normalized to the input of each corresponding strain and primer pair. Length of gene (inbase pairs) and position of PCR amplicons shown in diagram at the top. Error bars denote
- 791 base pairs) and position of FCK amplicons shown in diagram at the top. Error bars denote
  792 standard error of the mean from 3 independent experiments. A two-way ANOVA was conducted
- standard error of the mean nom 5 independent experiments. A two-way ANOVA was conducted
- followed by two-sided t-tests with Bonferroni correction between each strain and untagged

794 within a specific primer pair. \*  $p \le 0.05$ , \*\*  $p \le 0.01$ , \*\*\*  $p \le 0.001$ , \*\*\*\*  $p \le 0.0001$ .

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# Figure 5



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