

Some explanations of stochastic epigenetic mutations

Greenhouse spatial effects detected in the barley (*Hordeum vulgare* L.) epigenome underlie stochasticity of DNA methylation

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25 Abstract

26 Environmental cues are known to alter the methylation profile of genomic DNA, and thereby
27 change the expression of some genes. A proportion of such modifications may become adaptive
28 by adjusting expression of stress response genes but others been shown to be highly stochastic,
29 even under controlled conditions. The influence of environmental flux on plants adds an
30 additional layer of complexity that has potential to confound attempts to interpret interactions
31 between environment, methylome and plant form. We therefore adopt a positional and
32 longitudinal approach to study progressive changes to barley DNA methylation patterns in
33 response to salt exposure during development under greenhouse conditions. Methylation-
34 Sensitive Amplified Polymorphism (MSAP) and phenotypic analyses of nine diverse barley
35 varieties were grown in a randomized plot design, under two salt treatments (0 mM and 75 mM
36 NaCl). Combining environmental, phenotypic and epigenetic data analyses, we show that at least
37 part of the epigenetic variability, previously described as stochastic, is linked to environmental
38 micro-variations during plant growth. Additionally, we show that differences in methylation
39 increase with time of exposure to micro-variations in environment. We propose that subsequent
40 epigenetic studies take into account microclimate-induced epigenetic variability.

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41 1 Introduction

42 Plant epigenetic mechanisms that can alter gene expression include the actions of short-interfering
43 RNAs (siRNAs), chemical modification of histone tails and DNA methylation (Vanyushin, 2006;
44 Sawan *et al.*, 2008). These have been variously implicated in orchestrating developmental
45 processes (Kohler and Makarevich, 2006; Ishida *et al.*, 2008; Ay *et al.*, 2014; Jung *et al.*, 2015;
46 Kooke *et al.*, 2015), cell and organ differentiation (Joyce *et al.*, 2003; Kohler and Makarevich,
47 2006; Kitimu *et al.*, 2015; Kooke *et al.*, 2015; Konate *et al.*, 2020), reproduction (Yaish *et al.*,
48 2011; Podio *et al.*, 2014), parental imprinting (Gehring *et al.*, 2006), acquired transgenerational
49 trait inheritance (Tricker *et al.*, 2013a; Tricker *et al.*, 2013b) and adaptation to stress (Bird and
50 Jaenisch, 2003; Boyko and Kovalchuk, 2008; Tricker *et al.*, 2012).

51 DNA methylation has emerged as the prominent epigenetic signature for past or contemporary
52 exposure of a plant to environmental insults (e.g. Xie *et al.* (2017) and has been implicated in the
53 moderation of stress response (Bird and Jaenisch, 2003; Zilberman and Henikoff, 2007; Boyko
54 and Kovalchuk, 2008). For instance, Tricker *et al.* (2012) reported that *Arabidopsis thaliana*
55 responded to high relative humidity stress by suppressing the expression of two genes that control
56 stomatal development through DNA methylation. DNA methylation has been similarly implicated
57 in the response of various plant species to a range of stresses, including excess salt (Karan *et al.*,
58 2012; Konate *et al.*, 2018), temperature extremes (Steward *et al.*, 2002; Bastow *et al.*, 2004;
59 Hashida *et al.*, 2006; Pecinka *et al.*, 2010; Song *et al.*, 2012), herbivory (Herrera and Bazaga,
60 2011; Herrera and Bazaga, 2013) and heterogeneous environmental pressure (Wang *et al.*, 2016).
61 However, the relationship between DNA methylation and the stress effect is imprecise. Many of
62 the methylation changes observed under stress fail to occur consistently across all genotypes or
63 populations studied, and many others are not obviously associated with exonic regions. Fewer still
64 can be directly tied to a particular stress response gene. Such observations have been described as
65 stochastic (Karan *et al.*, 2012; Tricker *et al.*, 2012), spontaneous (Raj and van Oudenaarden,
66 2008; Becker *et al.*, 2011; van der Graaf *et al.*, 2015), and without clear triggering factors (i.e.
67 occurring randomly in the genome independently of stress). Many have considered the random
68 and spontaneous alteration of DNA methylation is an adaptive biological process in its own right;
69 one that drives diversity and evolution in a Lamarckian-like fashion (Feinberg and Irizarry, 2010;
70 Meyer and Roeder, 2014; Soen *et al.*, 2015; van der Graaf *et al.*, 2015; Vogt, 2015) and with the
71 clear potential to alter fitness (Consuegra and Rodríguez López, 2016). Additionally, Soen *et al.*
72 (2015) proposed a conceptual framework of random variations in the genome, instigated in
73 response to environmental cues. They hypothesized that imposition of diverse types of stress upon
74 individual organisms during development gives rise to an adaptive improvisation which deploys
75 random phenotypic variations that allows some individuals to cope with unstable ambient
76 conditions. However, the authors did not suggest an epigenetic mechanism that might be involved
77 in the regulation of such adaptive phenotypic variation.

78 In a pivotal piece, Vogt (2015) provided insight into the concept of random variability. The author
79 linked 'stochastic developmental variation' to stochastic occurrence of DNA methylation (Bird
80 and Jaenisch, 2003; Field and Blackman, 2003). However, Vogt did not consider in depth the
81 possible role that microclimatic variation may play in this apparent stochasticity. Herrera and
82 Bazaga (2010) suspected a role for mesoclimate in driving the epigenetic variability of natural
83 populations but did not anticipate marked environmental differences to occur under controlled
84 experimental conditions (greenhouse, growth room).

85 Moreover, since genome-by-environment interactions have been shown to be at least partially
86 regulated by DNA methylation (Verhoeven *et al.*, 2010), even minor perturbations of growing
87 conditions attributable to positional effects within a controlled growing environment has the
88 potential to introduce confounding variation in methylation patterning. One way of dealing with

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89 spatial variation, if it cannot be prevented, is to deploy an appropriate experimental design in
90 order to distinguish treatment from positional effects (Brien *et al.*, 2013; Cabrera-Bosquet *et al.*,
91 2016). Experimental design normally accounts for such variability by combining blocking and
92 randomization, along with appropriate statistical analyses (Addelman, 1970; Ruxton and
93 Colegrave, 2011). Despite the usefulness of this approach, experimental design cannot entirely
94 remove environmental variability (microclimate). This presents a potential challenge when
95 attempting to link changes in DNA methylation to environmental stimuli. It is difficult to
96 discriminate between the so-called stochastic methylation and position-dependent methylation
97 due to the capacity of plants to promptly sense and epigenetically respond to subtle variation in
98 ambient conditions (Gutzat and Mittelsten Scheid, 2012; Meyer, 2015).

99 In the present study, we combine Methylation-Sensitive Amplified Polymorphism (MSAP) and
100 phenotypic analyses to assess the effect of microclimate on DNA methylation of barley plants
101 growing under greenhouse conditions. Nine spring barley varieties were grown in a randomized
102 plot design under mild soil salt stress or control conditions. Environmental, phenotypic and DNA
103 methylation data collected at two time points are used to explore whether stochastic epigenetic
104 may be linked to trivial environmental fluctuations. We also explore how phenotypic variability
105 observed in these experiments correlates with differences in DNA methylation patterns.

106 2 Materials and Methods

107 2.1 Plant material and experimental design

108 Nine varieties of spring barley (Table 1) were grown in a controlled temperature greenhouse at
109 the Plant Accelerator[®] (Australian Plant Phenomics Facility (APPF), Waite Campus, University
110 of Adelaide, Australia) from 26 June to 12 October 2013. Varieties with similar flowering times
111 (Menz, 2010) were selected to minimize discrepancies in sampling times between varieties. The
112 experiment comprised eight randomized blocks with two plants of the same variety per plot
113 (Figure 1). Three seeds were sown in white pots (20 cm height × 15 cm diameter, Berry Plastics
114 Corporation, Evansville, USA) containing 2400 g potting mixture (composed of 50% UC
115 (University of California, Davis) potting mix, 35% coco-peat and 15% clay/loam (v/v)). Seedlings
116 were thinned to one seedling per pot 2 weeks after sowing. Two soil salt treatments (0 mM and 75
117 mM NaCl ('control' and 'salt stress', respectively, hereafter) were applied to three-leaf stage
118 seedlings (25 days after sowing (DAS)), using the protocol described by Berger *et al.* (2012). Pots
119 were watered every 2 days for up to 60 days after sowing to 16.8% (g/g) gravimetric water
120 content, corresponding to 0.8 × field capacity. From day 61 after sowing, plants were watered
121 daily to 16.8% (g/g) until seed set. Leaf samples (50-100 mg) were taken for DNA extraction
122 from blocks 1, 3, 4, 6 and 8 (Figure 1) at two time points, viz.: 4th leaf blade after full emergence
123 (15 days after salt treatment and 40 DAS) and flag leaf blade from the primary tiller at anthesis
124 (62 days after salt treatment and 87 DAS). Samples were immediately snap frozen in liquid
125 nitrogen and stored at -80 °C until DNA extraction. Whole plants were harvested at maturity and
126 above-ground biomass was dried and weighed.

127 2.2 Greenhouse environmental conditions

128 The experiment was conducted in a 24 m² greenhouse (~8 m x 3 m), with a gable roof 4.5 m
129 above the floor at the lowest and 6 m at the highest point. The greenhouse (34°58'16 S,
130 138°38'23 E) was oriented West-East (Figure 1). To investigate the possible causes of position
131 dependent variability of barley response across the greenhouse, environmental factors
132 (temperature, relative humidity and photosynthetic active rate) were recorded during the same
133 period of the year (26 June to 12 October 2015), using four sensor-nodes located along the
134 benches (Figure 1). Based on this period of the year, we deemed daytime to be between 7 AM and
135 6 PM.

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136 The sensor-nodes were positioned 2 metres apart and 1 metre from the east and west walls (Figure
137 1). Each node had a combination of sensors for photosynthetic active radiance (PAR) (model
138 Quantum, LI-COR, Lincoln, Nebraska, USA) and for humidity/temperature (Probe HMP60,
139 Vaisala INTERCAP[®], Helsinki, Finland). Environmental data was recorded every minute for the
140 duration of the experiment using wireless data loggers (National Instruments, Sydney, New South
141 Wales, Australia). Before use for further analyses, recorded data were quality controlled to
142 remove time slots when data were not present for all four nodes. To show the overall daily
143 fluctuation of environmental factors between sensor-nodes during the experiment, the average
144 measure of each factor per hour was plotted for each node. Then, the vapour pressure deficit
145 (VPD) for each time point was calculated according to Murray (1967):

$$VPD = \left(1 - \left(\frac{RH}{100}\right)\right) * \left(610.7 * 10^{\frac{7.5T}{(237.3+T)}}\right)$$

146 Where RH = relative humidity, T = temperature, and the factor $610.7 * 10^{\frac{7.5T}{(237.3+T)}}$ = saturated
147 vapour pressure (SVP).

148 Pairwise comparisons of each environmental factor at sensor-node positions were performed
149 using the Wilcoxon signed-rank test (Wilcoxon, 1945), on the R package “*ggpubr*” (Kassambara,
150 2019). These comparisons were performed independently for day and night periods.

151 2.3 DNA extraction

152 Frozen plant material was homogenized in a bead beater (2010-Geno/Grinder, SPEX
153 SamplePrep[®], USA) prior to DNA extraction using a Qiagen DNeasy kit according to the
154 manufacturer’s instructions. DNA samples were then quantified in a NanoDrop[®] 1000
155 Spectrophotometer (V 3.8.1, ThermoFisher Scientific Inc., Australia) and concentrations were
156 standardized to 10 ng/μl for subsequent MSAP analyses.

157 2.4 MSAP

158 2.4.1 DNA restriction and adapter ligation

159 MSAP was used for the DNA methylation profiling of barley plants according to the method of
160 Rodríguez López *et al.* (2012). To ensure marker reproducibility, DNA samples were analysed in
161 two technical replicates. Thus, samples were digested using a methylation insensitive restriction
162 enzyme *EcoRI* in combination with either *HpaII* or *MspI* (isoschizomers), which show differential
163 sensitivity to cytosine methylation at CCGG positions. Digested DNA fragments were ligated to
164 adapters (Table 1) with one end cohesive with restriction products generated by *EcoRI* or
165 *HpaII/MspI*. Digestion and ligation reactions were performed in a single solution of 11 μl
166 comprising: 1.1 μl T4 ligase buffer; 0.1 μl *HpaII*; 0.05 μl *MspI*; 0.25 μl *EcoRI*; 0.05 μl T4 ligase;
167 0.55 μl BSA ; 1.1 μl NaCl ; 1 μl Adapter *EcoRI*; 1 μl Adapter *HpaII/MspI*; 5.5 μl DNA sample
168 and 0.3 μl pure water. Enzymes and buffer were acquired from New England Biolabs, Australia
169 (NEB) and oligos were produced at Sigma-Aldrich, Australia. The solution was incubated for 2h
170 at 37°C, then enzymes were inactivated at 65°C for 10 min.

171 2.4.2 PCR

172 Two PCR amplifications were performed using products of the restriction/ligation reaction. First,
173 a pre-amplification PCR was performed, in which primers complementary to adaptors but with 3’
174 overhangs for a unique nucleotide (*HpaII/MspI* primer +C and *EcoRI* primer +A, Table 1) were
175 used in a pre-optimised PCR master mix (BioMix[™], Bionline, Meridian Bioscience; Australia)
176 following the manufacturer’s instructions. DNA digestion/ligation product (0.5 μl) was used for
177 PCR amplification, with the following profile as per Rois *et al.* (2013): 72□ for 2 min, 29 cycles

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178 of 30 s denaturing at 94°C, 30 s annealing at 56°C and 2 min extension at 72°C, ending with 10 min
179 at 72°C to ensure completion of the extension.

180 Pre-amplification products were quality assessed by 1% w/v agarose electrophoresis (80V for 2
181 h), before performing the selective amplification using two selective primer combinations,
182 *EcoRI*_AAG vs. *HpaII/MspI*_CCA and *EcoRI*-ATG vs. *HpaII/MspI*_CAA. Amplified fragment
183 detection through capillary electrophoresis was facilitated by labelling *HpaII/MspI* selective
184 primers with the 6-FAM reporter molecule (6-CarboxyFluorescein). Just 0.3 µl of pre-
185 amplification product was used in the pre-optimised PCR master mix and the PCR was performed
186 as follows (Rois *et al.*, 2013); 94°C for 2 min, 12 cycles of 94°C for 30 s, 65°C (and decreasing by
187 0.7°C each cycle) for 30 s, and 72°C for 2 min, followed by 24 cycles of 94°C for 30 s, 56°C for 30
188 s, and 72°C for 2 min, ending with 72°C for 10 min.

189 2.4.3 Capillary electrophoresis

190 The products of the selective PCR were fractionated by capillary electrophoresis on an ABI
191 PRISM 3730 (Applied Biosystems, Foster City, California, USA) at the Australian Genome
192 Research Facility Ltd (Adelaide, Australia). For this, 2 µl of selective PCR products were first
193 combined with 15 µl of HiDi formamide (Applied Biosystems) and 0.5 µl of GeneScan™ 500
194 ROX™ Size Standard (Applied Biosystems). The mixture was then denatured at 95°C for 5 min
195 and snap-cooled on ice for 5 min before sample fractionation at 15 kV for 6 s and at 15 kV for 33
196 min at 66°C.

197 2.4.4 MSAP data analysis

198 MSAP profiles obtained using *HpaII* and *MspI* were used to generate; 1) a qualitative binary
199 matrix of allelic presence/absence scores, and 2) a quantitative matrix of allelic peak height using
200 GeneMapper Software v4 (Applied Biosystems). Qualitative epigenetic changes associated with
201 greenhouse positional effect were analysed using fragment sizes between 100 and 550 base pairs,
202 which were selected to estimate epigenetic distance between individual plants (EpiGD) and
203 subpopulations of plants (PhiPT) and perform Principal Coordinate Analyses (PCoA), using
204 GenAlex 6.501 (Peakall and Smouse, 2012).

205 Quantitative analysis of peak height was used to examine the effect of position on the methylation
206 status of individual loci. We searched for MSAP markers that were differentially methylated
207 between experimental blocks by comparing the fragment peak heights to survey for position
208 effects on the plant methylation profile (Rodríguez López *et al.*, 2012). Before differential
209 methylation analysis, model-based normalization factors were calculated for the peak height
210 libraries using the weighted trimmed mean method of Robinson and Oshlack (2010). For each
211 variety and sampling method, peak heights were extracted and analysed individually using the
212 modelling approach of McCarthy *et al.* (2012). To ensure the peak heights could be compared
213 between positions, the individual models contained a term to account for variation between blocks
214 as well as a term to capture the differences between the control and salt stress treatments. A
215 likelihood ratio test was then performed to determine whether estimated coefficients for the
216 positions were equal (McCarthy *et al.*, 2012). The p-values from these tests were then adjusted for
217 multiple comparisons using the false discovery rate method of Benjamini and Hochberg (1995).
218 Analyses were conducted using the R package *edgeR* (Robinson *et al.*, 2010), in the R statistical
219 computing environment (R Core Team, 2019).

220 The extent of epigenetic divergence between salt treatments at the two developmental stages (4th
221 leaf and anthesis) was assessed, first by performing a multiple correspondance analysis (MCA) on
222 MSAP marker data. A linear discriminant analysis (LDA) was then performed on the MCA
223 results. These analyses, referred to as MC-LDA thereafter, were done using the R packages

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224 FactoMineR and MASS (Lê *et al.*, 2008; R Core Team, 2019). To visualise the results of
225 comparisons involving more than two groups, the first two linear discriminant factors (LD1 and
226 LD2) were plotted. Otherwise, a density plot of LD1 was performed.

227 **2.5 Assessment of correlations between epigenetic profiles and plant phenotype**

228 Epigenetic and phenotypic variability were estimated using averaged data per position for all nine
229 barley varieties (Bishop *et al.*, 2015). The software GraphPad Prism 6 v008 (Graph-Pad Software,
230 San Diego, California, USA) was used to perform statistical analyses. Values of above-ground
231 plant biomass were normalized by computing the ratio of plant biomass over the mean biomass
232 for each individual experiencing the same treatment across all positions. The same formula was
233 applied to grain yield. This normalization was intended to address quantitative variability between
234 treatments and among barley genotypes. Then, biomass and yield distance matrices were
235 generated using the difference between normalized values of any two individual plants.

236 We performed a Mantel Test (Mantel, 1967) to estimate the significance of the correlations
237 between epigenetic distance and plant biomass, and position in the greenhouse. For this, we used
238 matrices generated from epigenetic distance, physical distance and phenotypic (biomass or yield)
239 differences estimated as described above. In all cases, the level of significance of the observed
240 correlations was tested using 9,999 random permutations. Since both enzymes (*HpaII*, *MspI*) are
241 methylation sensitive (Walder *et al.*, 1983; Reyna-López *et al.*, 1997), these enzymes can
242 independently show epigenetic marks across the genome. Therefore, our inferences about plant
243 epigenetic profile thereafter relate to results obtained using either enzyme or a combination of
244 both.

245 **3 Results**

246 **3.1 Microclimatic variability in the greenhouse**

247 Data quality control of climatic data provided 47,144 and 54,983 time-points of data recording for
248 the periods of day and night, respectively. These correspond to time-points when recording was
249 obtained simultaneously in all sensor-nodes. There was clear evidence of both spatial and
250 temporal variation for temperature, photosynthetically active radiation (PAR) and relative
251 humidity (RH) within the experimental area (Figures 2 and 3).

252 The average dynamics of climatic data in the greenhouse showed a higher PAR between 8 AM
253 and 10 AM at the East side than the rest of the greenhouse (node D, Figure 1). The PAR was also
254 variable during the day between node positions, with sensor-node B (Centre-West, Figure 1)
255 recording the lowest PAR values around 12 PM (Figure 2A). The average temperatures evolved
256 broadly in the same way at all node positions, with only around 1.5 °C difference between the most
257 divergent nodes at the warmest time of day (Figure 2B). The RH was the highest at node A (West
258 side of the greenhouse, Figure 1) during both day and night, and was significantly different from
259 the rest of the positions during the day (Figures 2C and 3). The node D (East end of the
260 greenhouse) presented the lowest RH during the day; it was not significantly different from nodes
261 B and C (Figure 3A).

262 Although there was no clear evidence of gradient between sensor-nodes for any of the climatic
263 factors (i.e. RH, temperature, VPD and PAR, the pairwise comparison of data from sensor-nodes
264 using Wilcoxon paired signed-rank test showed significant differences between positions for each
265 variable (Figure 3A-G). Such differences were present during both day and night periods in the
266 greenhouse. The RH appeared particularly variable at night between all positions of sensor-nodes
267 (Figure 3B).

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268 3.2 Correlation between DNA methylation profile and plant position in the greenhouse

269 Plant DNA methylation profiles derived from MSAP data generated 269 alleles with sizes
270 between 100 and 550 base pairs across samples from all nine barley varieties. PCoA of MSAP
271 profiles for barley variety at anthesis showed grouping of samples more by plant position than salt
272 treatment, regardless of the enzyme combination used (Figures 4A and B). The first coordinate
273 Eigen space matched with the position of the plants in the greenhouse in the West-East direction
274 (Figure 4). The Mantel test using all treatment samples together showed weak correlations
275 between plant epigenetic profiles and plant positions in the greenhouse at 4th leaf stage, and more
276 significant correlations at anthesis (Table 3). For instance, for the variety Schooner, the Mantel test
277 between pairwise epigenetic distance and plant position at the 4th leaf stage of barley development
278 resulted in weak correlations for both *HpaII* ($R^2 = 0.11$, P-value = 0.025, Figure 5A) and *MspI*
279 ($R^2 = 0.12$, P-value < 0.022, Figure 5C). Apart from two varieties (Buloke and Schooner), none of
280 the remaining varieties showed a significant correlation between position and epigenetic profile at
281 the 4th leaf stage (Table 3, Figures S1). Conversely, these correlations were stronger at anthesis
282 for the same variety Schooner ($R^2 = 0.48$ and $R^2 = 0.45$, for *HpaII* and *MspI*, respectively, Figure
283 5B and D), with greater significance of the P-values (0.001). Additionally, all the remaining
284 varieties showed significant correlation (P-value at least < 0.05) between DNA methylation
285 profile at anthesis and the plant position in the greenhouse (Table 3; Figure S1). The correlations
286 at anthesis were high ($R^2 > 0.3$) for all varieties, except Buloke and Maritime (Table 3).

287 The comparison of peak heights of MSAP markers generated from plants growing in different
288 positions revealed significant differences between positions for some alleles (Figure 6). In
289 general, significant differences in peak height were observed between plants in position P1 and
290 the other positions (Figure 6). Overall, peak heights showed logarithmic trends (both positive and
291 negative), significantly associated with the West-East distribution of the samples. A few markers
292 were significantly different in peak heights over all positions (Table 4).

293 However, positional effect did not thwart the ability to differentiate between salt-stressed and
294 control plants. The MC-LDA on MSAP marker data was able to separate salt stressed plants from
295 those given control conditions (Figures 7A-B). Furthermore, epigenetic divergence between
296 treatment groups increased with time, with control and stress plants consistently more similar at
297 the 4th leaf stage than at anthesis across all varieties (Figures 7 A-B and S2). MC-LDA of salt
298 treatments could nevertheless discriminate treatments at both stages even though epigenetic
299 divergence was strongly influenced by developmental stage (Figures 7 C and S2).

300 3.3 Correlations between barley phenotype, epigenome and position

301 There was a clear trend in the final biomass of all nine barley varieties according to position, with
302 a progressive increase from position P1 (West side of the greenhouse) to position P5 (East side)
303 (Figure 8A). This relationship was a logarithmic trend, both in the control and stressed plants. The
304 average grain yield of the barley varieties showed the same West-East trend as the biomass
305 (Figure 8B). However, when varieties were examined separately, both logarithmic and
306 polynomial trends were observed (Figure S3).

307 Assessment of the relationship between pairwise differences in epigenetic distance and in grain
308 yield showed significant correlations (P-values < 0.05) in control plants of six of nine varieties
309 (Buloke, Commander, Fathom, Maritime, Schooner, Yarra), with R^2 varying between 0.247 and
310 0.907 (Table 5; Figure S4). Likewise, stress plants showed significant correlations (P-values at
311 least < 0.05) between grain yield and methylation profile in six varieties (Barque 73, Buloke,
312 Commander, Flagship, Maritime, Schooner), with R^2 between 0.164 and 0.921 (Table 5; Figure
313 S4). An example of significant correlations between grain yield and epigenetic distance is
314 presented in Figure 9A-D, for the variety Schooner.

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315 4 Discussion

316 4.1 Stochastic DNA methylation is explained by microclimatic differences

317 The randomized block design aims to minimise unexplained variation between treatments, and
318 has emerged as a preferred method in plant field trials and in controlled environment experiments
319 (Edmondson, 1989; Guertal and Elkins, 1996; Brien *et al.*, 2013). However, while block
320 homogeneity is difficult to achieve, variability between blocks in the same experimental setting is
321 often either ignored, attributed to randomness (Raj and van Oudenaarden, 2008; Karan *et al.*,
322 2012; Tricker *et al.*, 2012) or in the context of epigenetic research, explained by spontaneous
323 occurrence of the methylation (Becker *et al.*, 2011; Baulcombe and Dean, 2014; van der Graaf *et*
324 *al.*, 2015).

325 In this study, we found evidence suggesting that microclimatic variation within a greenhouse was
326 sufficient to trigger variability in the plant DNA methylation profile in a manner that was both
327 independent of the experimental treatment and greater in magnitude. The clarity of the climatic
328 variables measured across the experimental blocks, and the associated cline in methylation
329 patterning is suggestive that each plant experienced a unique combination of climatic factors
330 during the experimental period, and that this induces, at least partly, changes in methylation
331 patterning. Similar observations were also reported for other greenhouse studies (Brien *et al.*,
332 2013; Both *et al.*, 2015; Cabrera-Bosquet *et al.*, 2016). This finding is inconsistent with
333 spontaneous DNA methylation being entirely responsible for the plant-plant variability in such
334 experiments (Becker *et al.*, 2011; van der Graaf *et al.*, 2015), and throws into question how best
335 to discriminate epigenetic responses to micro-environment fluctuations from those attributable to
336 stochastic noise. Moreover, the effect of position can easily be overlooked in snap-shot exposure
337 experiments, since the timeframe from stress exposure to induction of position-dependent
338 methylation markers is critical but also likely to vary between loci. Support for this reasoning can
339 be taken from our findings that it was possible to separate salt and control samples by
340 discriminate analysis at the 4th leaf stage and at anthesis but with higher divergence at the later
341 stage. At the same time, correlation between epigenetic differences and physical distance among
342 plants at anthesis (87 DAS) was stronger than at the 4th leaf stage (40 DAS), indicating that
343 exposure to the stressor and positional microclimates both have a cumulative effect on the plant
344 epigenome. These observations are congruent with the concept that plant adaptive improvisation,
345 through DNA methylation, is proportional to the severity and duration of the environmental cue to
346 which the plant was exposed (Soen *et al.*, 2015). In this sense, the scale of the effect induced by
347 intervention stress (salt) needs to be weighed against those imposed by coincidental stresses
348 (microenvironment effects) but also by those associated with development or ageing, as was
349 reported in humans (Gentilini *et al.*, 2015). Any truly stochastic DNA methylation would
350 represent residual variation. Previous studies have observed the influence of mesoclimatic
351 conditions (Herrera and Bazaga, 2010) and factors such as temperature (Hashida *et al.*, 2006),
352 humidity (Tricker *et al.*, 2012) or light (Barneche *et al.*, 2014; Meyer, 2015) on methylome
353 variability. However, the current study suggests, for the first time, that even slight variations in
354 climatic factors (temperature, humidity or light) are sufficient to induce modifications in the plant
355 DNA methylation profile, and that this can be sufficient to mask effects of mild stresses, as was
356 observed here for salt stress. We certainly do not contend that all nascent methylation arises in
357 response to environmental or biotic effectors but we do argue that far more care is needed before
358 discounting unaccounted epigenetic variation as stochastic noise.

359 4.2 Positional effect affects salt stress-induced DNA methylation changes in barley

360 Positional effects in greenhouse experiments are well established and if not properly accounted
361 for can generate uncharacterised background noise that can mask the effect of the experimental

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362 treatment (Edmondson, 1989; Guertal and Elkins, 1996; Brien *et al.*, 2013). Spatial variability in
363 coincident environmental factors has potential to introduce variability between replicate plants'
364 development and response to experimental treatments (Edmondson, 1989; Guertal and Elkins,
365 1996). Such spatial variability is liable to introduce flaws in measurements and observations
366 between replicates that, in fact, were not experiencing exactly the same constraints (Addelman,
367 1970). This can compromise the search for relationships between experimentally controlled
368 stressors (in our study, soil salt stress) and perturbations in epigenetic profiles. Indeed, in the
369 present work the observed negative correlation between RH and differences in epigenetic
370 differentiation between control and salt stressed pairs of plants growing in the different positions
371 suggests that variations in environmental factors has interfered with reaction of the plant to mild
372 salt stress. One possible mechanistic explanation is that the observed West to East decrease in RH
373 changed the plant's requirement for water (Barnabás *et al.*, 2008; Verslues and Juenger, 2011),
374 and this in turn may have affected the level of salt stress experienced by each plant. In this way,
375 plants were grown under the same salt treatment but because they experienced different RH, are
376 likely to exhibit a different response to the salt stress; hence the inconsistent salt-induced DNA
377 methylation profiles.

378 **4.3 Phenotypic differences associated to greenhouse microclimates correlate with** 379 **epigenetic differences**

380 The finding here of a plastic response by barley plants in terms of biomass and grain yield to
381 subtle differences associated with greenhouse position corroborates earlier work by Lacaze *et al.*
382 (2008) who suggested that barley is responsive to fluctuations in ambient conditions. We
383 postulate that the irregularity of phenotypic variability patterns across barley varieties and
384 treatments may have emerged from two complementary factors; 1) the genetic variability among
385 barley varieties leading to differential responsiveness to positional effect, as reported elsewhere
386 (Lacaze *et al.*, 2008; Kren *et al.*, 2015), and 2) the randomness of spatial microclimatic
387 conditions, which did not have a linear spatial gradient. The influence of a genotype-by-
388 environment effect on plant phenotype was expected (Gianoli and Palacio-López, 2009;
389 Aspinwall *et al.*, 2015), but the scale of phenotypic variation induced by small-scale
390 environmental variation was not. Our findings highlight the possibility for plants to show
391 substantial phenotypic responses to even slight variations in ambient conditions, and that
392 homogeneity in temperature control does not have over-riding importance. Furthermore, our
393 discovery of a significant correlation between barley MSAP profiles and grain yield suggests that
394 DNA methylation could at least reflect and possibly contribute towards the plastic variation in
395 plant phenotypes. These results are in accordance with a mounting body of evidence that plant
396 plasticity is at least partly epigenetically governed (Boyko and Kovalchuk, 2008; Rois *et al.*,
397 2013; Baulcombe and Dean, 2014; Aspinwall *et al.*, 2015). Considered together, our results
398 demonstrate a tight interplay between plant epigenome, environment and phenotype.

399 **5 Conclusions**

400 Homogeneity of environmental conditions is practically difficult to obtain in a greenhouse
401 (Edmondson, 1989; Guertal and Elkins, 1996; Brien *et al.*, 2013). Awareness of plant sensitivity
402 to microclimate is therefore important, especially in epigenetic studies, where plant epigenomes
403 seem to be extremely responsive to small fluctuations in environmental factors. This study reveals
404 that at least some of the DNA methylation previously considered stochastic is likely to have been,
405 at least partially, induced by 1) positional effects on growth conditions, 2) differences in the
406 length of plant exposure to relatively trivial variations in environment and 3) synergistic effects of
407 stress treatment (mild salt stress in this case) and microclimatic conditions. The correlation
408 between phenotypic DNA methylation differentiations between plants grown in different
409 microclimates suggests that position-induced DNA methylation, previously ignored or considered

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410 as stochastic, may be a substantial source of phenotypic variability. Accordingly, we advocate
411 that future epigenetic analyses should take into account the effect of micro-variations in
412 environmental factors by careful experimental design and by considering position-induced DNA
413 methylation markers as strong candidates for finely-tuned response to small environmental
414 changes. We also propose that further research is needed to untangle microclimate-induced
415 epigenetic variations from epigenome instability due to experimental treatment and
416 developmental stage.

417 **6 Conflict of Interest**

418 The authors declare that the research was conducted in the absence of any commercial or financial
419 relationships that could be construed as a potential conflict of interest.

420 **7 Author Contributions**

421 M.K. performed the experiments, analysed the data and wrote the manuscript; J.T. performed the
422 statistical analysis of MSAP peak heights; M.J.W., E.S.S., B.B. and C.M.R.L. conceived the
423 experiments and supervised the work. All authors read and commented on the manuscript.

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437 Collaborative Research Infrastructure Strategy of the Australian Commonwealth.

438 **10 Supplementary Material**

439 The Supplementary Material for this article can be found online at:

440 **Figure S1:** Correlation between epigenetic distance (Epi-GD) and geometric distance between
441 plants (cm, centimetre) using the Mantel test, which was performed on data from nine barley
442 varieties (Barque 73, Buloke, Commander, Fathom, Flagship, Hindmarsh, Maritime, Schooner
443 and Yarra) and methylation sensitive enzymes *HpaII* (a-f) and *MspI* (g-l). Analyses involved
444 control and stress plants together (a, b, g and h), control plants only (c, d, i and j) or stress plants
445 only (e, f, k and l). Correlations were generally lower at 4th leaf stage (a, c, e, g, i and k) than at
446 anthesis (b, d, f, h, j and l), indicating that positional effect is cumulative during plant
447 development.

448 **Figure S2:** Multiple Correspondence and Linear Discriminant Analyses (MC-LDA) of MSAP
449 markers in barley varieties (Barque73, Buloke, Fathom, Flagship, Hindmarsh, Maritime,
450 Schooner and Yarra) under salt stress (75 mM) and control (0 mM) conditions. The panel shows

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451 density plots of LD function between stress and control plants, at 4th leaf stage (A, D, G, J, M, P,
452 S, V) and at anthesis (B, E, H, K, N, Q, T, W). Dashed vertical lines represent the mean LD1 in
453 comparisons of two groups. Graphs of panel C, F, I, L, O, R, U and X are MC-LDA plots
454 comparing the salt treatment groups at both 4th leaf and anthesis stages.

455 **Figure S3:** Variability of biomass and yield (grammes) between plant positions (P1-5) in the
456 greenhouse for the nine barley varieties; Barque73, Buloke, Commander, Fathom, Flagship,
457 Hindmarsh, Maritime, Schooner and Yarra.

458 **Figure S4:** Correlation between epigenetic distance using *HpaII* (a, b) or *MspI* (c, d) profiles and
459 yield from control (a, c) and stress (b, d) plants (varieties: Barque73, Buloke, Commander,
460 Fathom, Flagship, Hindmarsh, Maritime, Schooner and Yarra).

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667 Table 1: List and description of barley genotypes used in this study

No	Variety	Earliness	Year* of release	Pedigree*	
				Parent 1	Parent 2
1	Barque 73	6	1997	Triumph	Galleon
2	Buloke	5	2005	Franklin/VB9104	VB9104
3	Commander	5	2009	Keel/Sloop	Galaxy
4	Fathom	6	2011	NA	NA
5	Flagship	5	2006	Chieftan/Barque	Manley/VB9104
6	Hindmarsh	6	2007	Dash	VB9409
7	Maritime	6	2004	Dampier/A14//Krisna/3 /Clipper	M11/4/DampierA14//Krisna/3 /Dampier/A14//Union
8	Schooner	5	1983	Proctor/PrioA (WI2128)	Proctor/CI3578 (WI2099)
9	Yarra	5	2005	VB9018/Alexis/VB9104	NA

668 Earliness to flowering score is based on a 0-9 scale, with 0 indicating very late varieties and 9
669 very early ones (SARDI, 2015). *Year of release and pedigree after Menz (2010), NA = not
670 available.

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672 Table 2: Adapter and primer sequences used for the MSAP (Rodríguez López et al., 2012).

Oligo name	Function	Sequence
HpaII/MspI adaptor Reverse	Adapter	CGCTCAGGACTCAT
HpaII/MspI adaptor Forward	Adapter	GACGATGAGTCCTGAG
EcoRI adaptor Reverse	Adapter	AATGGTACGCAGTCTAC
EcoRI adaptor Forward	Adapter	CTCGTAGACTGCGTACC
Pre-EcoRI	Preselective primer	GACTGCGTACCAATTCA
Pre-HpaII/MspI	Preselective primer	GATGAGTCCTGAGCGGC
EcoRI-ATG	Selective primer	GACTGCGTACCAATTCATG
EcoRI_AAG	Selective primer	GACTGCGTACCAATTC AAG
HpaII/MspI_CCA	Selective primer	GATGAGTCCTGAGCGGCCA
HpaII/MspI_CAA	Selective primer	GATGAGTCCTGAGCGGCAA

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676 Table 3: Correlation between pairwise epigenetic distance and physical distance. Nine barley
 677 varieties were used, comprising ten individuals per variety, five replicates for control and stress
 678 plants. Samples were collected from the 4th leaf (at 4th leaf stage) and flag leaf (at anthesis).
 679 Epigenetic distances correspond to the Phi statistics of the MSAP markers between plant
 680 individuals. The coefficient of determination (R^2) was calculated according to Mantel (1967)
 681 using GenAlex 6.5. Asterisks (*), (**) and (***) indicate significant correlation between
 682 treatments for P-value < 0.05, 0.01 and 0.001, respectively, estimated based on 9999
 683 permutations.

Varieties	Coefficient of determination (R^2)			
	<i>HpaII</i>		<i>MspI</i>	
	4th leaf	Anthesis	4th leaf	Anthesis
Barque73	0.003	0.320**	0.010	0.315
Buloke	0.103*	0.001	0.059	0.220*
Commander	0.052	0.332**	0.050	0.332**
Fathom	0.038	0.425****	0.079*	0.527****
Flagship	0.038	0.451****	0.001	0.214*
Hindmarsh	0.008	0.305**	0.004	0.233*
Maritime	0.014	0.130*	0.071*	0.144*
Schooner	0.112*	0.476****	0.120*	0.447***
Yarra	0.002	0.147*	0.027	0.385*
Average	0.041	0.287	0.047	0.313

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687 Table 4: List of salt-induced methylation marker alleles showing significant peak height
688 differences between the five experimental blocks. logFC = log fold change; logCPM = log counts per
689 million; LR = likelihood ratio statistics; FDR = false discovery rate.

Variety	Sample tissue	Enzyme/Primer	allele	logFC	logCPM	LR	PValue	FDR
Barque73	Flag leaf	<i>HpaII</i> /ATG-CAA	403.76	0.884	12.895	12.082	0.001	0.019
Barque73	Flag leaf	<i>HpaII</i> /ATG-CAA	221.61	-1.749	14.043	9.817	0.002	0.032
Flagship	4th leaf	<i>HpaII</i> /ATG-CAA	221.61	-1.202	13.901	10.507	0.001	0.036
Yarra	Leaf before flag	<i>HpaII</i> /ATG-CAA	361.55	-0.653	12.238	10.505	0.001	0.036
Yarra	Leaf before flag	<i>HpaII</i> /ATG-CAA	167.6	-0.796	12.866	8.726	0.003	0.040
Yarra	Leaf before flag	<i>HpaII</i> /ATG-CAA	543.70	0.816	12.508	8.286	0.004	0.040

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693 Table 5: Correlation between epigenetic distance and grain yield of nine barley varieties.
 694 Epigenetic distance between plants was calculated based on MSAP data generated using *HpaII*
 695 and *MspI*. Coefficients of determination (R^2) were computed according to Mantel (1967) using
 696 five replicates for each treatment per variety. Asterisks (*) and (**) indicate significant
 697 correlation between treatments for P-value < 0.05, and 0.01, respectively, estimated based on
 698 9999 permutations.

Varieties	Coefficient of determination (R^2)			
	Control (0 mM NaCl)		Stress (75 mM NaCl)	
	<i>HpaII</i>	<i>MspI</i>	<i>HpaII</i>	<i>MspI</i>
Barque73	0.843	0.483	0.525	0.921*
Buloke	0.405*	0.445*	0.269*	0.164*
Commander	0.447	0.663*	0.911	0.897*
Fathom	0.030	0.247*	0.004	0.039
Flagship	0.394	0.393	0.815*	0.886
Hindmarsh	0.310	0.003	0.468	0.503
Maritime	0.271	0.902*	0.590*	0.855*
Schooner	0.907*	0.828*	0.841**	0.807*
Yarra	0.778	0.834*	0.000	0.060
Average	0.487	0.533	0.492	0.570

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702 Figure 1: Experimental layout and plan of the greenhouse (24 m²). Blocks 1, 3, 4, 6 and 8 were
703 used in this study and are respectively assigned to positions P1 to P5. Blocks 2, 5, and 7 contained
704 empty pots. Four sensor-nodes (Node A, B, C, and D) were placed along benches, 2 metres apart
705 and one metre from the East and West walls. Circles represent plant position in the block: hollow
706 circles are control plants (0 mM NaCl) and full circles are treated plants (75 mM NaCl). Colours
707 indicate barley varieties: ●● = Barque73; ●● = Buloke; ●● = Commander; ●● = Fathom; ●● =
708 Flagship; ●● = Hindmarsh; ●● = Maritime ●● = Schooner; ●● = Yarra; ● = Sensor-nodes. AC
709 = air conditioning unit.

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714 Figure 2: Average daily fluctuations of climatic conditions in the greenhouse. (A) light, (B)
715 temperature and (C) relative humidity were recorded over the period from 26 June to 12 October
716 2015, at four positions (Node A-D from West to East) in the greenhouse.

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718 Figure 3: Variability of climatic factors in the greenhouse. The boxplots show variations within
719 positions and compare data between sensor-nodes based on Wilcoxon paired signed-test.
720 Asterisks (*), (**) and (***) indicate the significance of the difference between positions (nodes)
721 for P-value < 0.05, 0.01 and 0.001, respectively; ns = difference not significant. The PAR was
722 deemed as null at night.

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725 Figure 4: Principal coordinates analysis (PCoA) of MSAP (methylation sensitive amplified
726 polymorphism) markers in barley variety Commander. MSAP markers were generated using five
727 replicates of control (0 mM NaCl) and stress (75 mM NaCl) plant samples, for *Hpa*II (A) and
728 *Msp*I (B). Positions 1 to 5 indicate experimental block numbers; Symbols filled in black and
729 hollow symbols represent salt stress (-S) and control (-C) samples, respectively. The PCoAs show
730 sample distribution in the first two principal coordinates. Numbers in brackets represent the
731 proportion of variation explained by the coordinate.

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734 Figure 5: Correlation between pairwise epigenetic distance (Epi GD) and plant position in the
735 greenhouse. The epigenetic distance was estimated at 4th leaf stage (a, c; 40 days after sowing)
736 and anthesis (c, d; 87 days after sowing) of barley variety Schooner, using *HpaII* (a, b) and *MspI*
737 (c, d) for the MSAP (methylation sensitive amplified polymorphism) analysis. Five replicates of
738 control (0 mM NaCl) and stress (75 mM NaCl) were analysed together and dots represent
739 pairwise comparisons between individual plants. Equations represent the formula of the
740 regression line, R^2 represents the coefficient of determination, calculated according to Mantel
741 (1967) using GenAlex 6.5. Asterisks (*) and (***) indicate significant correlation between
742 treatments for P-value < 0.05 and 0.001, respectively, estimated based on 9999 permutations.

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746 Figure 6: Exemplars of MSAP (methylation sensitive amplified polymorphism) alleles that show
747 significant differences in peak height between positions in the greenhouse. Markers were detected
748 in control (0 mM NaCl, red symbols) and stress (75 mM NaCl, blue symbols) plants; Vertical axis
749 shows logarithm 2 (\log_2) of peak height intensity and the horizontal axis represents positions in
750 the greenhouse, in the West to East direction. The grey number in each plot represents $-\log_{10}$ of
751 p-values. The title of each plot shows the enzyme used (either *HpaII* (HPA) or *MspI* (MSP), the
752 variety, and the allele identity number.

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754 Figure 7: Multiple Correspondence and Linear Discriminant Analyses (MC-LDA) of MSAP
755 markers in barley variety Commander under salt stress (75 mM) and control (0 mM) conditions.
756 The panel shows density plots of LD function between stress and control plants, at 4th leaf stage
757 (A) and at anthesis (B). Dashed vertical lines represent the mean LD1 in 2 groups' comparisons.
758 The graph C shows MC-LDA plots comparing the salt treatment groups at both 4th leaf and
759 anthesis stages. Similar plots for the other varieties are presented in supplementary Figure S2.

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762 Figure 8: Box plots showing biomass and grain yield range per position (P1-5) in the greenhouse
763 (n = 9). (a) biomass per position for control and stress plants; (b) grain yield per position for
764 control and stress plants; The average data was obtained from nine barley varieties (Barque 73,
765 Buloke, Commander, Fathom, Flagship, Hindmarsh, Maritime, Schooner and Yarra).

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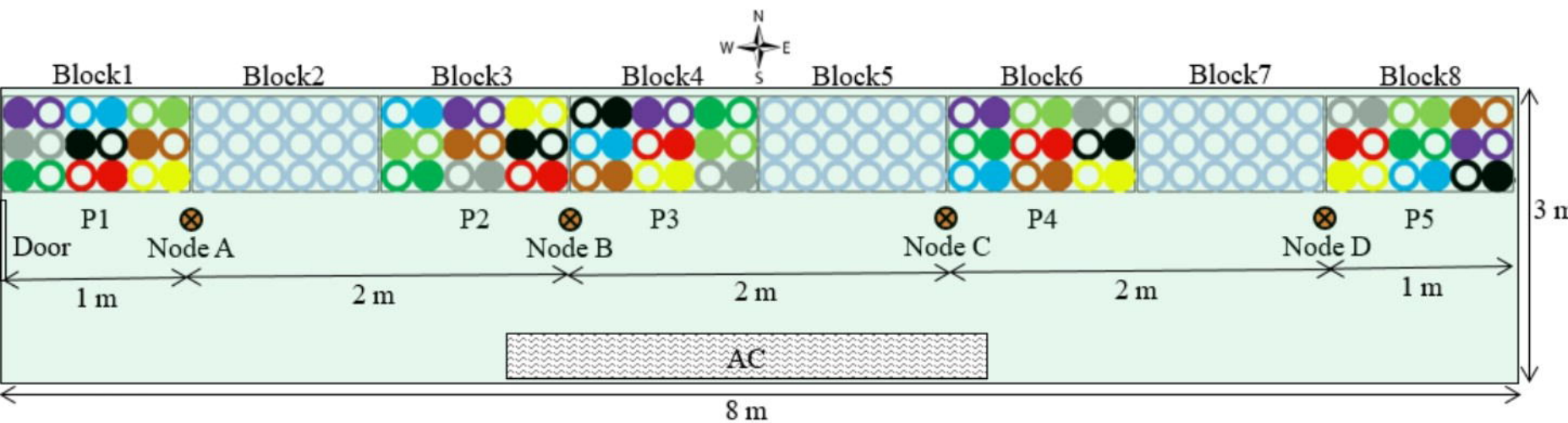
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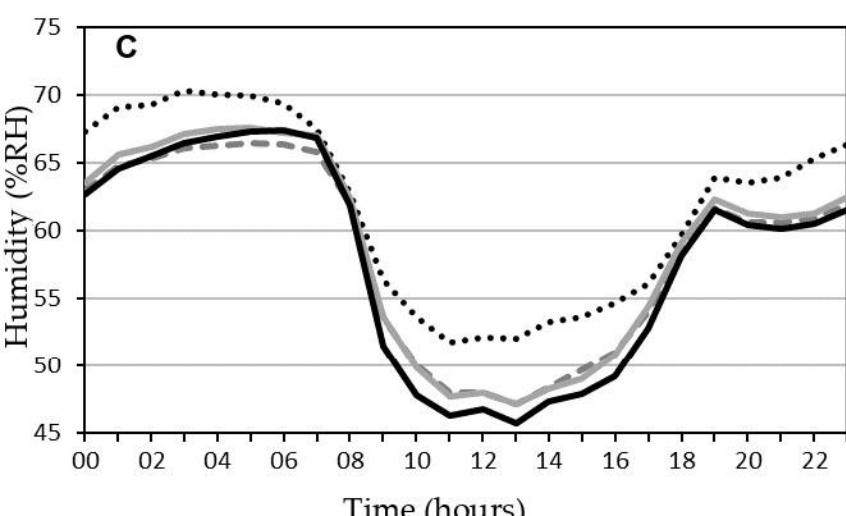
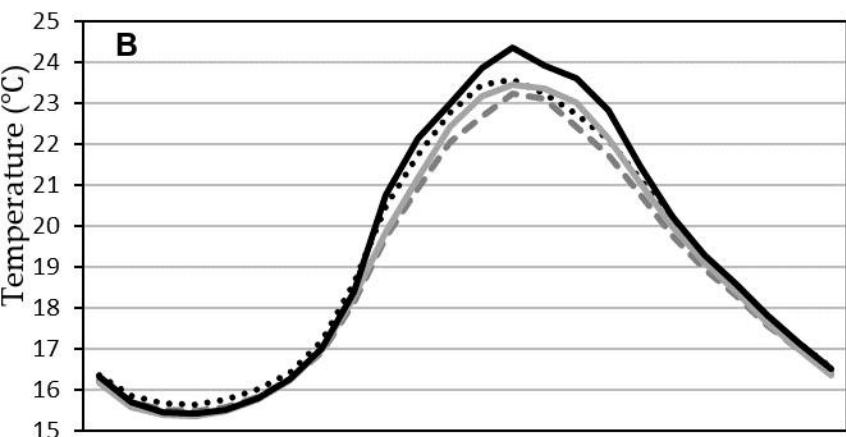
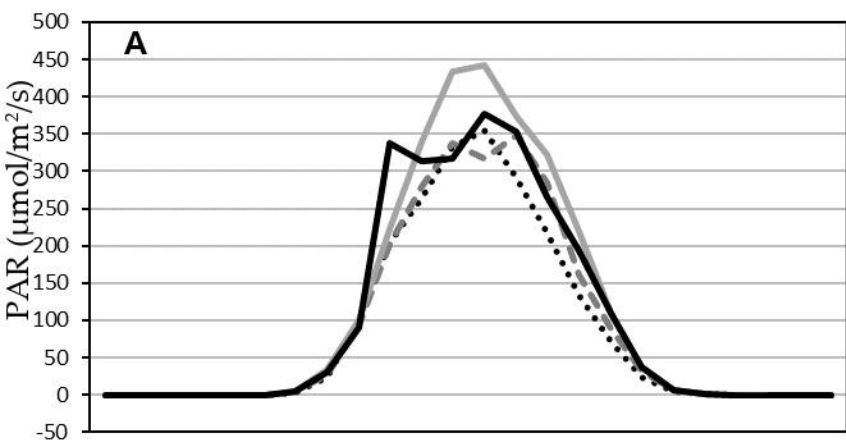
769 Figure 9: Correlation between pairwise epigenetic distance (EpiGD) and pairwise difference in
770 grain yield between plants of the variety Schooner. The correlation was tested according to
771 Mantel (1967) using GenAlex 6.5. Epigenetic distance between plants was calculated based on
772 MSAP (methylation sensitive amplified polymorphism) data generated using *HpaII* (a, b) and
773 *MspI* (c, d). Pairwise differences in grain yield between plants were calculated separately for
774 control (a, c) and stress (b, d) plants. Values of grain yield were normalized by computing the
775 ratio of each individual plant grain yield over the mean grain yield for the same treatment across
776 all positions. The dots represent pairwise comparisons between individual plants; equations
777 represent the formulae of the regression line; R^2 represents the coefficient of determination of the
778 Mantel test; asterisk (*) and (**) indicate significant correlation between treatments for P-value <
779 0.05, and 0.01, respectively, estimated based on 9999 permutations.

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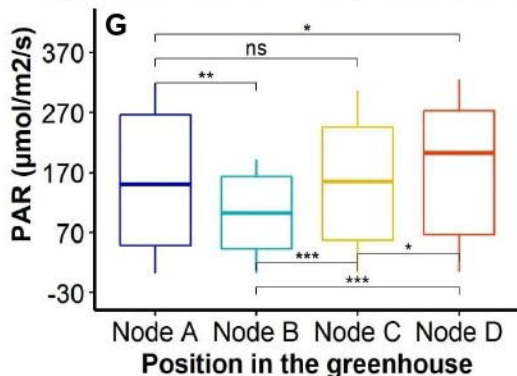
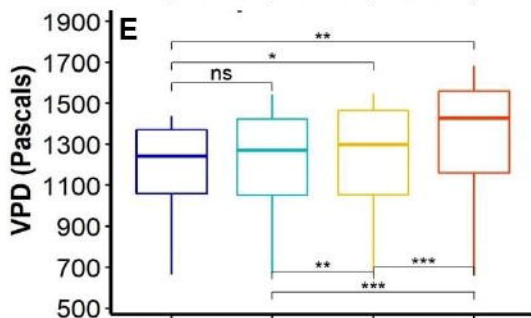
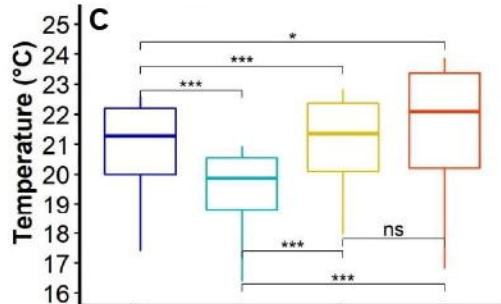
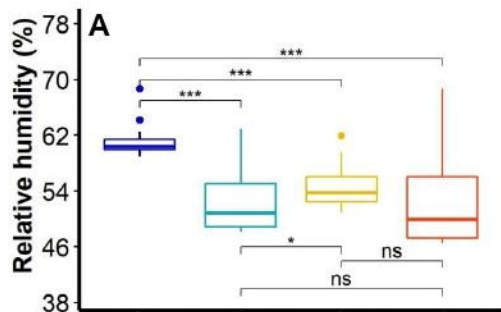
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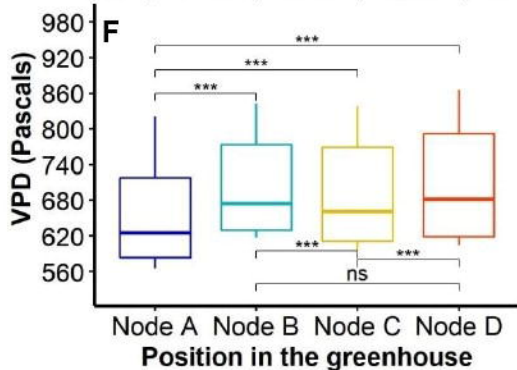
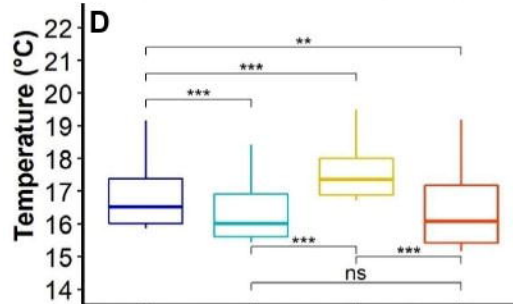
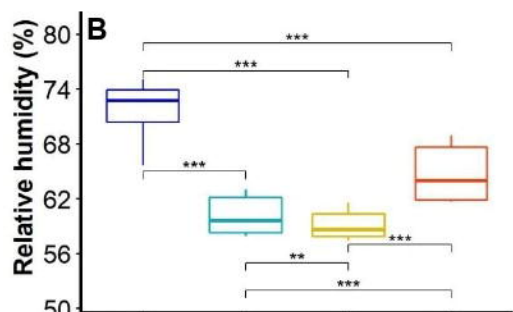
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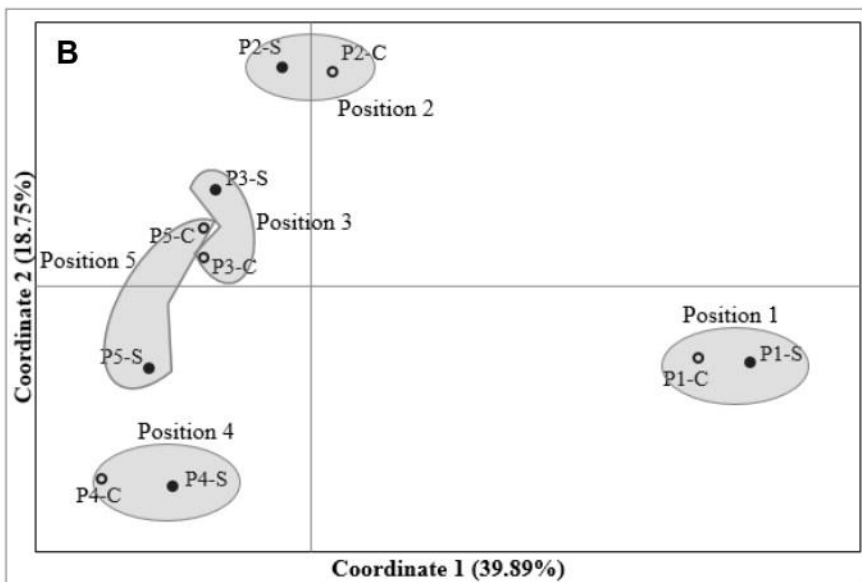
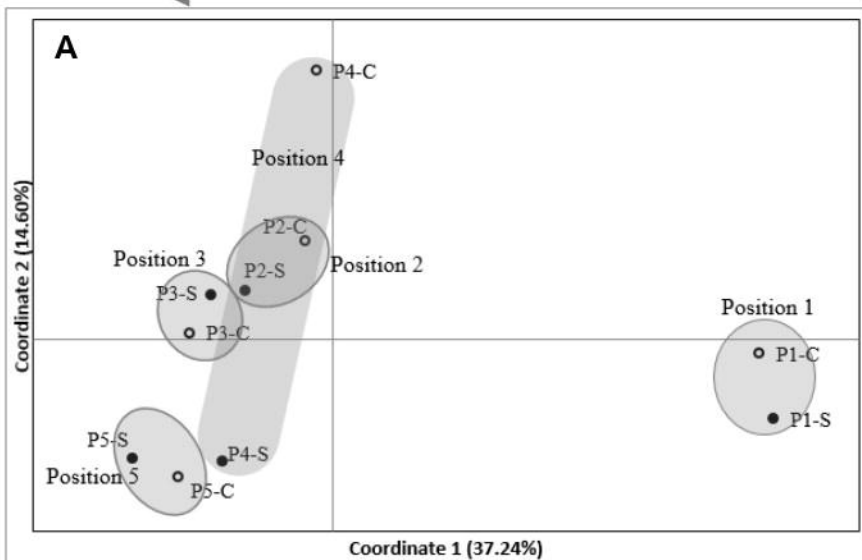


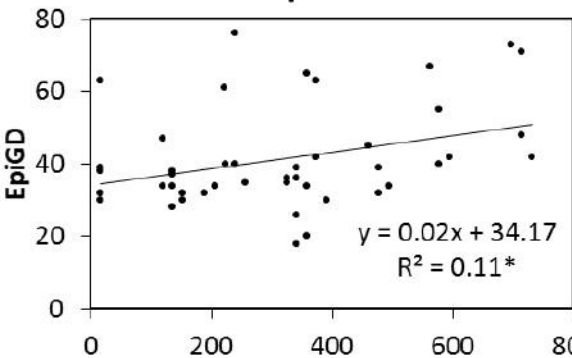
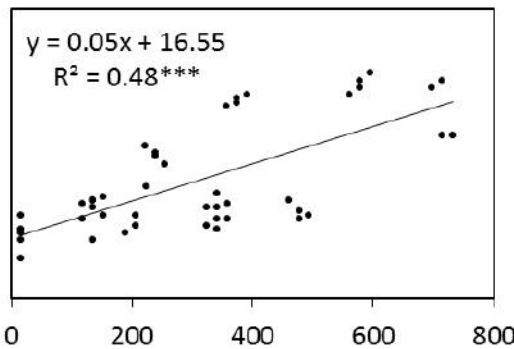
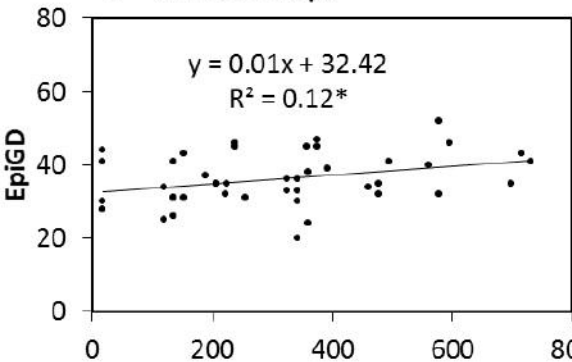
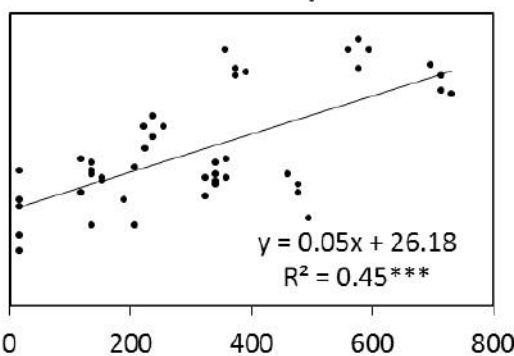
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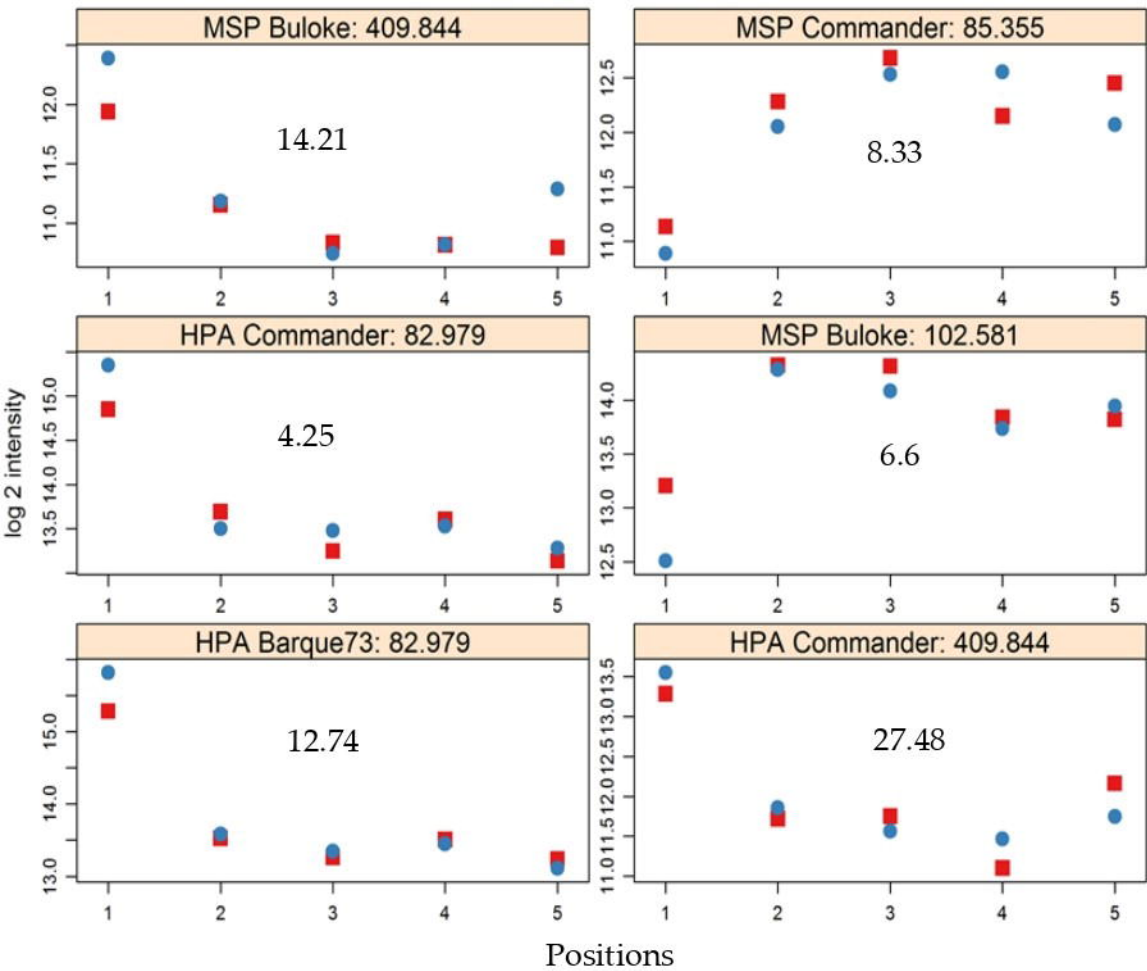
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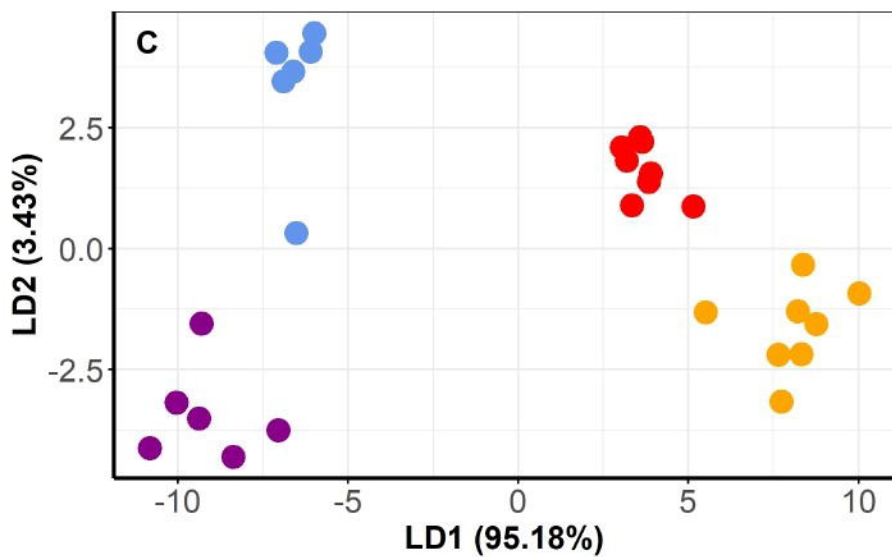
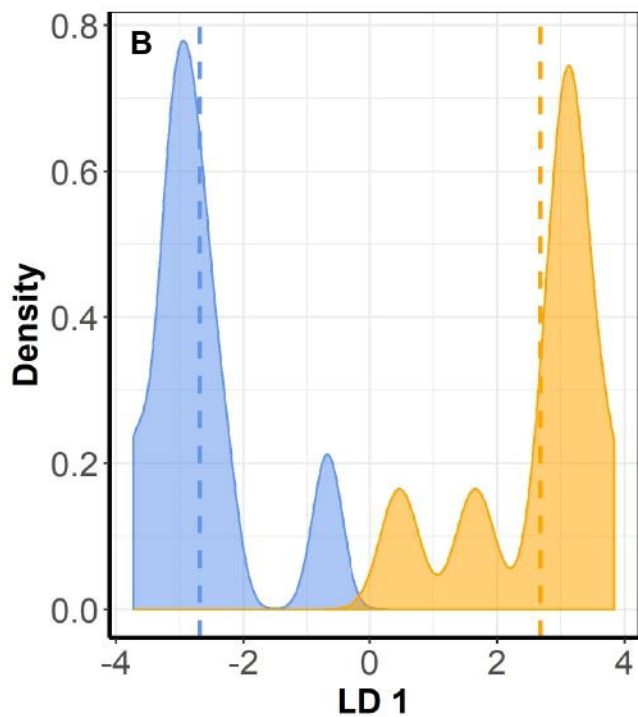
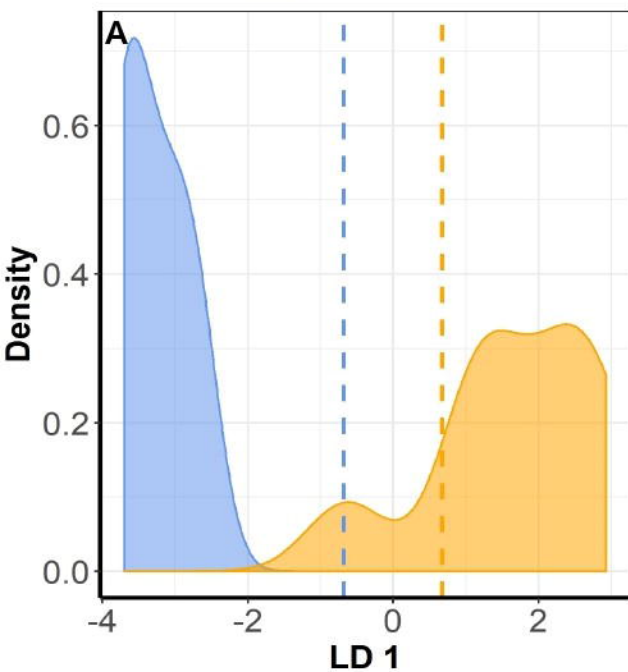


A 4th leaf Hpall**B Anthesis Hpall****C 4th leaf MspI****D Anthesis MspI**

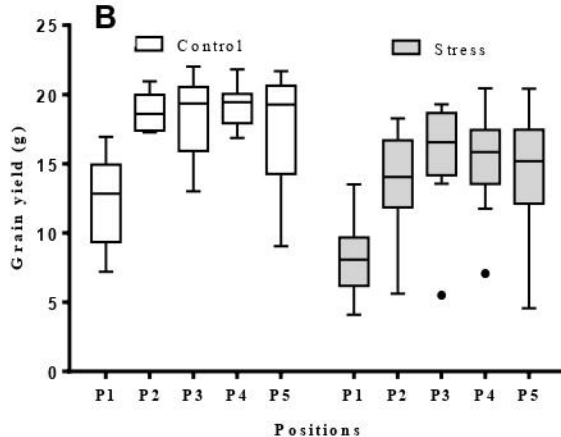
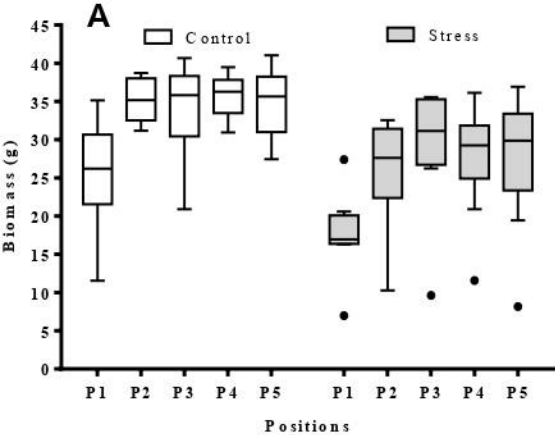
0mM ■ 75mM ●

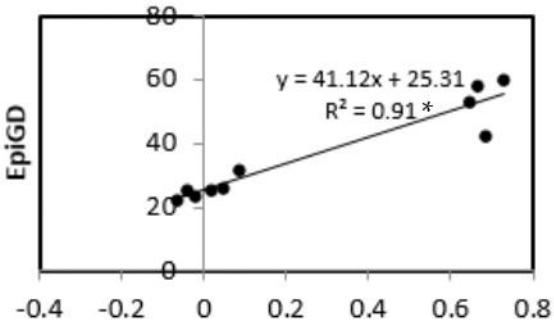
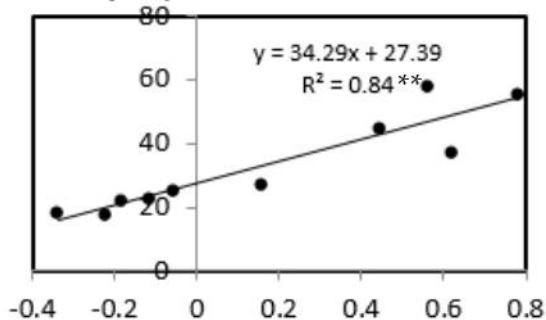
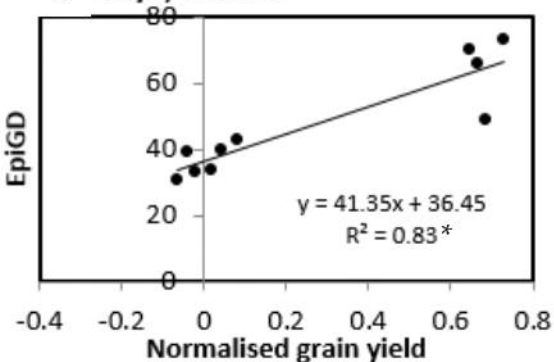


Control (0 mM NaCl)
Stress (75 mM NaCl)



● 4th leaf, 0 mM ● 4th leaf, 75 mM ● Anthesis, 0 mM ● Anthesis, 75 mM



A *Hpall*, Control**B** *Hpall*, Stress**C** *Mspl*, Control**D** *Mspl*, Stress