# Characterizing genetic intra-tumor heterogeneity across 2,658 human cancer genomes

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Stefan C. Dentro<sup>1,2,3,#</sup>, Ignaty Leshchiner<sup>4,#</sup>, Kerstin Haase<sup>1,#</sup>, Maxime Tarabichi<sup>1,2,#</sup>, Jeff 4 Wintersinger<sup>5,#</sup>, Amit G. Deshwar<sup>5,#</sup>, Kaixian Yu<sup>6,#</sup>, Yulia Rubanova<sup>5,#</sup>, Geoff Macintyre<sup>7,#</sup>, 5 Jonas Demeulemeester<sup>1,8,#</sup>, Ignacio Vázquez-García<sup>2,9,10,11</sup>, Kortine Kleinheinz<sup>12,13</sup>, 6 Dimitri G. Livitz<sup>4</sup>, Salem Malikic<sup>14</sup>, Nilgun Donmez<sup>14</sup>, Subhajit Sengupta<sup>15</sup>, Pavana 7 Anur<sup>16</sup>, Clemency Jolly<sup>1</sup>, Marek Cmero<sup>17</sup>, Daniel Rosebrock<sup>4</sup>, Steven Schumacher<sup>4</sup>, Yu 8 Fan<sup>6</sup>, Matthew Fittall<sup>1</sup>, Ruben M. Drews<sup>7</sup>, Xiaotong Yao<sup>18,19</sup>, Juhee Lee<sup>20</sup>, Matthias 9 Schlesner<sup>10</sup>, Hongtu Zhu<sup>6</sup>, David J. Adams<sup>2</sup>, Gad Getz<sup>4</sup>, Paul C. Boutros<sup>5,21</sup>, Marcin 10 Imielinski<sup>18,19</sup>, Rameen Beroukhim<sup>4</sup>, S. Cenk Sahinalp<sup>22</sup>, Yuan Ji<sup>15,23</sup>, Martin Peifer<sup>24</sup>, 11 Inigo Martincorena<sup>2</sup>, Florian Markowetz<sup>7</sup>, Ville Mustonen<sup>25</sup>, Ke Yuan<sup>7,26</sup>, Moritz 12 Gerstung<sup>27</sup>, Paul T. Spellman<sup>16</sup>, Wenyi Wang<sup>6,#</sup>, Quaid D. Morris<sup>5,#</sup>, David C. Wedge<sup>3,28,#</sup>, 13 Peter Van Loo<sup>1,#,\*</sup>, on behalf of the PCAWG Evolution and Heterogeneity Working 14 15 Group<sup>29</sup> and the PCAWG consortium.

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<sup>1</sup>The Francis Crick Institute, London, United Kingdom; <sup>2</sup>Wellcome Trust Sanger Institute. 17 18 Cambridge, United Kingdom; <sup>3</sup>Big Data Institute, University of Oxford, Oxford, United 19 Kingdom; <sup>4</sup>Broad Institute of MIT and Harvard, Cambridge, MA, USA; <sup>5</sup>University of 20 Toronto, Toronto, Canada; <sup>6</sup>The University of Texas MD Anderson Cancer Center, 21 Houston, TX, USA; <sup>7</sup>Cancer Research UK Cambridge Institute, University of Cambridge, 22 Cambridge, United Kingdom; <sup>8</sup>Department of Human Genetics, University of Leuven, 23 Leuven, Belgium; <sup>9</sup>University of Cambridge, Cambridge, United Kingdom; 24 <sup>10</sup>Computational Oncology, Memorial Sloan Kettering Cancer Center, New York, NY, 25 USA; <sup>11</sup>Irving Institute for Cancer Dynamics, Columbia University, New York, NY, USA; 26 <sup>12</sup>German Cancer Research Center (DKFZ), Heidelberg, Germany; <sup>13</sup>Heidelberg University, Heidelberg, Germany; <sup>14</sup>Simon Fraser University, Vancouver, Canada; 27 28 <sup>15</sup>NorthShore University HealthSystem, Evanston, IL, USA; <sup>16</sup>Molecular and Medical

Genetics, Oregon Health & Science University, Portland, OR, USA; <sup>17</sup>University of 29 Melbourne, Melbourne, Australia;<sup>18</sup>Weill Cornell Medicine, New York, NY, USA;<sup>19</sup>New 30 York Genome Center, New York, NY, USA; <sup>20</sup>University of California Santa Cruz, Santa 31 Cruz, CA, USA; <sup>21</sup>University of California, Los Angeles, Los Angeles, CA, USA; <sup>22</sup>Indiana 32 University, Bloomington, IN, USA; <sup>23</sup>The University of Chicago, Chicago, IL, USA; 33 34 <sup>24</sup>University of Cologne, Cologne, Germany; <sup>25</sup>Organismal and Evolutionary Biology Research Programme, Department of Computer Science, Institute of Biotechnology, 35 University of Helsinki, Helsinki, Finland; <sup>26</sup>School of Computing Science, University of 36 Glasgow, Glasgow, United Kingdom; <sup>27</sup>European Molecular Biology Laboratory, 37 European Bioinformatics Institute, Cambridge, United Kingdom; <sup>28</sup>Oxford NIHR 38 39 Biomedical Research Centre, Oxford, United Kingdom.

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41 <sup>#</sup>These authors contributed equally.

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43 \*To whom correspondence may be addressed:

Peter Van Loo, The Francis Crick Institute, 1 Midland Road, London, NW1 1AT, United
Kingdom. Tel: +44 (0) 20 3796 1719, e-mail: Peter.VanLoo@crick.ac.uk.

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<sup>29</sup>A list of members of the PCAWG Evolution and Heterogeneity Working Group can be
found at the end of the manuscript.

# 49 SUMMARY

50 Intra-tumor heterogeneity (ITH) is a mechanism of therapeutic resistance and therefore an important clinical challenge. However, the extent, origin and drivers of ITH across cancer 51 52 types are poorly understood. To address this question, we extensively characterize ITH 53 across whole-genome sequences of 2,658 cancer samples, spanning 38 cancer types. Nearly 54 all informative samples (95.1%) contain evidence of distinct subclonal expansions, with 55 frequent branching relationships between subclones. We observe positive selection of 56 subclonal driver mutations across most cancer types, and identify cancer type specific 57 subclonal patterns of driver gene mutations, fusions, structural variants and copy-number 58 alterations, as well as dynamic changes in mutational processes between subclonal 59 expansions. Our results underline the importance of ITH and its drivers in tumor evolution, 60 and provide an unprecedented pan-cancer resource of comprehensively annotated 61 subclonal events from whole-genome sequencing data.

#### 62 INTRODUCTION

63 Cancers accumulate somatic mutations as they evolve (Nowell, 1976; Tabin et al., 1982). 64 Some of these mutations are drivers that confer fitness advantages to their host cells and can lead to clonal expansions (Garraway and Lander, 2013; Greaves and Maley, 2012; 65 Stratton et al., 2009; Vogelstein et al., 2013). Late clonal expansions, spatial segregation, 66 and incomplete selective sweeps result in genetically distinct cellular populations that 67 68 manifest as intra-tumor heterogeneity (ITH) (Nowell, 1976). Clonal mutations are shared 69 by all cancer cells, whereas subclonal mutations are present only in a fraction of cancer 70 cells.

71 ITH represents an important clinical challenge, as it provides genetic variation that may 72 drive cancer progression and lead to the emergence of drug resistance (Maley et al., 2006; 73 McGranahan and Swanton, 2017; Mroz et al., 2013). Subclonal drug resistance and 74 associated driver mutations are common (Gerlinger et al., 2012; Gundem et al., 2015; 75 Landau et al., 2013; McGranahan et al., 2015; Shaw et al., 2016; Yates et al., 2015). ITH can impact clinical trial design (Hiley et al., 2014), predict progression (Maley et al., 2004), 76 77 and can be directly prognostic (Espiritu et al., 2018). For example, ITH at the level of copy 78 number aberrations (CNAs) is associated with increased risk of relapse in non-small cell 79 lung cancer (Jamal-Hanjani et al., 2017), head and neck cancer (Mroz and Rocco, 2013; 80 Rocco, 2015) and glioblastoma multiforme (Brastianos et al., 2017).

81 ITH can be characterized from massively parallel sequencing data (Campbell et al., 2008; 82 Landau et al., 2013; McGranahan et al., 2015; Nik-Zainal et al., 2012; Sottoriva et al., 83 2013), as the cells comprising a clonal expansion share a unique set of driver and passenger 84 mutations derived from the expansion-initiating cell. Each mutation within this shared set is present in the same proportion of tumor cells (known as cancer cell fraction, CCF), which 85 86 may be estimated by adjusting mutation allele frequencies for local copy number and 87 sample purity. Subsequent clustering of mutations based on their CCF yields the 'subclonal 88 architecture' of a sample (Dentro et al., 2017): estimates of the number of tumor cell 89 populations in the sequenced sample, the CCF of each population, and assignments of 90 mutations to each population.

91 To date, ITH remains poorly characterized across cancer types, and there is substantial 92 uncertainty concerning the selective pressures operating on subclonal populations. 93 Previous pan-cancer efforts used the principles above to characterize subclonal events, but 94 have been limited to exomes, which restricts the number and resolution of somatic mutation 95 calls and ignores structural variation (Andor et al., 2016). Two recent studies using pan-96 cancer data from The Cancer Genome Atlas found that actionable driver mutations are 97 often subclonal (McGranahan et al., 2015), and that ITH has broad prognostic value (Andor 98 et al., 2016).

99 Recent studies have relied on multi-region whole-genome, exome or targeted sequencing 100 to characterize ITH in detail in specific cancer types (Jamal-Hanjani et al., 2017; 101 McPherson et al., 2016; Turajlic et al., 2018b; Yates et al., 2015). Due to the 'illusion of 102 clonality' (de Bruin et al., 2014), variants found as clonal in one sample may be subclonal 103 in other samples from the same tumor, and therefore single-sample analyses can 104 underestimate the extent of ITH. The converse is also true: any mutations detected as 105 subclonal in any single sample, will by definition be subclonal no matter how many 106 samples have been assayed. Therefore, through analyzing single cancer samples, a 107 conservative lower limit of ITH can be established.

108 Here, we develop a robust consensus strategy that maintains conservative inferences to call 109 copy number and cluster mutations in order to assess ITH, its origin, its drivers, and its role 110 in tumor development. We apply these approaches to 2,658 tumors from 38 histologically 111 distinct cancer types from the Pan-Cancer Analysis of Whole Genomes (PCAWG) 112 initiative (The ICGC/TCGA Pan-Cancer Analysis of Whole Genomes Consortium, 2020). 113 In comparison to exome sequencing, whole-genome sequencing data provides orders of 114 magnitude more point mutations, greater resolution to detect CNAs and the ability to call 115 structural variants (SVs). Collectively, these substantially increase the breadth and depth 116 of our ITH analyses permitting us to find pervasive ITH across all cancer types. We are 117 further able to observe frequent branching patterns of subclonal evolution and clear signs 118 of positive selection in subclones. We identify subclonal driver mutations in known cancer 119 genes and unanticipated changes in mutation signature activity across many cancer types. 120 In total, these analyses provide detailed insight into tumor evolutionary dynamics.

# 121 **RESULTS**

# 122 Consensus-based characterization of intra-tumor heterogeneity in 2,658 cancers

We set out to characterize ITH across cancer types, including single-nucleotide variants (SNVs), indels, SVs and CNAs, as well as subclonal drivers, subclonal selection, and mutation signatures. We leveraged the PCAWG dataset, encompassing 2,778 wholegenome sequences from 2,658 human tumors across 38 distinct histological cancer types (Alexandrov et al., 2020; Gerstung et al., 2020; Rheinbay et al., 2020; The ICGC/TCGA Pan-Cancer Analysis of Whole Genomes Consortium, 2020).

First, to generate high-confidence calls, we developed ensemble approaches for variant calling, copy number calling and subclonal reconstruction (**Figure 1A**, **STAR Methods**). Specifically, to maximize sensitivity and specificity of calling clonal and subclonal mutations, the PCAWG consortium developed and extensively validated a robust consensus approach integrating the output of four SNV calling algorithms (The ICGC/TCGA Pan-Cancer Analysis of Whole Genomes Consortium, 2020). Similar consensus approaches were employed for indels and SVs.

136 As previous studies report that the quality of copy number calls has a large effect on the 137 robustness of subclonal reconstruction (Andor et al., 2016; Salcedo et al., 2020), we 138 devised a systematic approach to consensus copy number calling, integrating results from 139 six state-of-the-art copy number callers (Figure 1A, STAR Methods). Each algorithm was 140 run twice, first to identify all copy number breakpoints and construct a consensus 141 segmentation. To improve sensitivity and obtain breakpoints at base-pair resolution, SV 142 breakpoints were also inserted into these first runs (STAR Methods). In a second run, this 143 consensus segmentation was enforced on all CNA callers, resulting in copy number calls 144 with identical breakpoints across algorithms.

Purity and ploidy assessment of cancer samples can be challenging, as for some samples multiple purity/ploidy combinations can be theoretically possible and these may be difficult to distinguish (Carter et al., 2012; Van Loo et al., 2010). Consensus purity and ploidy were determined by establishing agreement between the six CNA callers (**STAR Methods**). An expert panel reviewed and resolved cases where the callers disagreed. We found that the 150 purity values correlate strongly with a recent cross-omics analysis of tumor purity (Aran et 151 al., 2015) (Figure S1). After establishing agreement on the purity and ploidy, we defined 152 samples that had undergone whole-genome duplication in an objective and automated way, 153 based on tumor ploidy and the extent of loss of heterozygosity (Figure 1B, STAR 154 Methods). This classification shows 98.7% agreement with an alternative approach 155 leveraging the mode of the major allele (Carter et al., 2012). However, our classification 156 correctly classifies difficult tumors with many large chromosome gains, such as 157 medulloblastomas or pancreatic endocrine tumors, whereas the alternative approach is less 158 suitable for these tumors and occasionally makes errors. In addition, samples with wholegenome duplications showed synchronous chromosomal gains (Gerstung et al., 2020), 159 160 further validating our approach. To further support high-quality subclonal reconstruction, 161 the whole genome of each tumor was annotated for the confidence in the consensus copy 162 number calls, which were assigned 'tiers' based on the level of agreement between different 163 callers. On average, we reached a high confidence consensus on 93% of the genome 164 (median: 95%, standard deviation: 13%) (Figure 1C, STAR Methods).

165 Consensus copy number profiles, SNVs, and purity estimates served as input to 11 166 subclonal architecture reconstructing methods, and the results of these methods were 167 combined into a single consensus reconstruction for each tumor (Figure 1A, STAR 168 **Methods**). Due to the probabilistic nature of subclonal reconstruction, we developed three 169 consensus approaches using different summary outputs of individual methods. We 170 validated the results of the consensus strategies on two independently simulated datasets 171 and assessed their robustness on the real data. The consensus methods performed comparably to the best individual methods on both simulated datasets, with the top-172 173 performing individual methods also displaying high similarity scores (Figure 1D, STAR 174 Methods). Whereas true mutations and CNAs were used in the analysis of simulated data, 175 in the real data the true subclonal mutations and CNAs are unknown. On the real data, the 176 highest similarities were observed for the consensus approaches, and not among individual 177 methods (Figure 1D), confirming that our consensus approaches yield the most robust 178 subclonal reconstruction outcome. Furthermore, using one simulated dataset with 965 179 samples, we evaluated the performances of our consensus methods over all 2,035 possible combinations of 11 individual methods. We observed that the most robust performance, when the best callers are not known *a priori*, was achieved when all 11 callers were combined (**STAR Methods**). Hence, we used the output of one of our consensus methods, combining all 11 individual callers, as the basis for our global assignment strategy (**STAR Methods**). Through this approach, we obtained the number of detectable subclonal expansions, the fraction of subclonal SNVs, indels, SVs and CNAs, as well as the assignment of SNVs, indels and SVs to subclones for each tumor.

187 To obtain unbiased estimates of the number of mutations in the detected subclones and 188 their CCFs, we accounted for a detection bias introduced by somatic variant calling. 189 Specifically, as the CCF of a subclone decreases, so does the power to detect the SNVs 190 associated with that subclone. This leads to biases in the estimates of subclone parameters, 191 such as an overestimation of the subclone's CCF, akin to the "winner's curse" (Nik-Zainal 192 et al., 2012). In addition, an increasing number of uncalled SNVs in the subclone leads to 193 an underestimation of the number of associated mutations. The larger number of SNVs 194 revealed by WGS (compared to whole-exome sequencing) facilitates quantitation and 195 correction of these biases. We developed two methods to do this, validated them on simulated data (STAR Methods, Figure 2A), and combined them to correct the estimated 196 197 number of SNVs and the CCF of each subclone. We estimate that, on average, 14% of 198 SNVs in detectable subclones are below the somatic caller detection limits (Figures 2B-199 C). In particular, in subclones with CCF < 30%, on average 21% of SNVs are missed. Due 200 to the complexity in modelling sensitivity of indel and SV calling as a function of the 201 number of variant reads, similar models could not be developed for these mutation types. 202 However, we anticipate that higher fractions of SVs and indels are likely missed because 203 of the lower sensitivity of existing algorithms (The ICGC/TCGA Pan-Cancer Analysis of 204 Whole Genomes Consortium, 2020). In addition, these values only include SNVs missed 205 in detected subclones, not SNVs in subclones that remain undetected due to limited 206 sequencing depth.

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# 209 Pervasive intra-tumor heterogeneity across cancer types

210 We first evaluated the number of subclones identified by our consensus approach. We 211 noted a strong correlation between the average effective read depth along the genome 212 (sequencing coverage per haploid genome copy, i.e. the amount of sequencing signal) and 213 the number of identified subclones (Figure S2), and therefore focused on 1,705 cancer 214 genomes where our approach is powered to detect subclones encompassing >30% of tumor 215 cells (STAR Methods). One or more subclonal expansions were evident in 1,621 tumors 216 (95.1%), while only 84 tumors (4.9%) were clonal at the resolution of our methods (Figure 217 **3A**). Importantly, these estimates, based on single-sample reconstruction and a median 218  $\sim$ 46X read coverage, provide only a conservative lower bound for the number of subclones, 219 as this study is not powered to detect rare subclonal populations.

Looking across cancer types (**Figure 3A**), our consensus approach finds a high proportion of samples with at least one subclone (>75%) in all cancer types, except cutaneous melanoma, where subclones were detectable by our approach in only half of the samples (31/62). In contrast, acral melanomas followed the pattern observed in other cancer types with higher frequencies of subclonal expansions (14/16 samples, 87.5%). Twenty-five out of 30 cancer types with more than 10 cases comprised >90% of samples with at least one detectable subclone, indicating pervasive ITH across cancer types.

The fraction of subclonal SNVs identified after winner's curse correction varies widely across cancer types (**Figure 3B**). Squamous cell carcinomas typically show low fractions of subclonal SNVs (head-and-neck,  $9.7\% \pm 11.9$ ; lung,  $6.1\% \pm 6.7$ ; cervix,  $20.6\% \pm 19.6$ ; mean  $\pm$  standard deviation), while prostate adenocarcinoma ( $41.2\% \pm 21.8$ ), thyroid adenocarcinoma ( $42.8\% \pm 19.6$ ), chromophobe renal cell carcinomas ( $45.2\% \pm 22.7$ ), pancreatic neuroendocrine tumors ( $46\% \pm 24.7$ ) and pilocytic astrocytomas ( $61.3\% \pm 14.8$ ) showed the highest fractions of subclonal SNVs.

Indels, SVs and CNAs also revealed similarly large differences between cancer types. For indels, the subclonal fraction ranged from  $6.2\% \pm 11.7$  in lung squamous carcinomas to  $43.4\% \pm 23.7$  in pancreatic neuroendocrine tumors (**Figure 3C**). For SVs, liposarcomas and cutaneous melanomas showed the lowest subclonal fraction ( $8.0\% \pm 14.1$  and  $8.9\% \pm$  238 15.5 respectively) and chromophobe renal cell cancers the highest (56.8%  $\pm$  31.6) (Figure 239 **3D**). The fraction of subclonal copy number changes was lowest in chromophobe renal cell 240 cancers  $(13.3\% \pm 16.8)$  and highest in prostate adenocarcinoma  $(53.8\% \pm 32.0)$  (Figure **3E**). Comparing these values to the SNV burden (Figure 3F), the fraction of the genome 241 242 affected by CNAs (Figure 3G), the frequency of WGD per cancer type (Figure 3H), and 243 the power to identify subclones per cancer type (Figure 3I) showed that none of these 244 metrics explain this wide variation. While we observed that cancer types with higher 245 mutation burden showed lower fractions of subclonal SNVs (Figures 3B and 3F), we did 246 not see a similar relationship when evaluating individual tumors (STAR Methods). The 247 proportions of subclonal indels and SNVs are strongly correlated ( $R^2 = 0.73$ ). SVs follow a similar trend ( $R^2 = 0.62$  with indels,  $R^2 = 0.51$  with SNVs), except for liver, colorectal 248 and ovarian tumors, which show higher fractions of subclonal SVs than SNVs (Figures 249 250 **3B-E and S3**). In contrast, the average proportions of subclonal large-scale CNAs and SNVs are only weakly correlated ( $R^2 = 0.24$ ), indicating these could be driven by 251 252 independent mutational processes.

Some cancer types had limited ITH across all mutation types (e.g. biliary cancers, squamous cell carcinomas and stomach cancers), while other cancer types showed an abundance of ITH in specific somatic variant categories. For example, chromophobe kidney cancers and pancreatic neuroendocrine tumors have few subclonal CNAs but a high subclonal burden across all other variant categories (**Figures 3B-E**). Finally, among the tumors of each cancer type, we find substantial diversity in the fraction of subclonal variants (**Figures 3B-E**).

These findings highlight the high prevalence of ITH across cancer types. Nearly all tumors assayed here, irrespective of cancer type, show evidence of subclonal expansions giving rise to detectable subclonal populations, even at a limited read depth. In addition, we find that the average proportions of subclonal SNVs, indels, SVs and CNAs are highly variable across cancer types. These analyses paint characteristic portraits of the nature of ITH, suggesting distinct evolutionary narratives for each histological cancer type.

### 267 Complex phylogenies among subclones revealed by whole genome sequencing

Whole-genome sequencing provides an opportunity to explore and reconstruct additional 268 269 patterns of subclonal structure by performing phasing of pairs of mutations in the same 270 read pair, to assess evolutionary relationships of subclonal lineages (Figures 4A-B). Two 271 subclones can be either linearly related to each other (parent-child relationship), or have a 272 common ancestor, but develop on branching lineages (sibling subclones). Establishing 273 evolutionary relationships between subclones is challenging on single-sample sequencing 274 data due to the limited resolution to separate subclones and the uncertainties on their CCF 275 estimates. We can, however, examine pairs of SNVs in WGS data that are covered by the 276 same read pairs (*i.e.* phaseable SNV pairs), to reconstruct this relationship. Specifically, 277 evidence for a parent-child relationship between two clones is given by a SNV pattern in a 278 region without copy number gains, where the SNV attributed to the child clone is only 279 found on a subset of the reads that carry the SNV attributed to the parent clone (Figure 4A, 280 **STAR Methods**). Similarly, evidence for a sibling relationship between two clones is 281 given by an SNV pattern in a haploid region where overlapping read pairs carry either the 282 SNV attributed to one clone or the other (but not both) (Figure 4B, STAR methods). As 283 the number of read pairs carrying two variants depends strongly on mutation burden and 284 specific copy number context, they are generally extremely rare. Our large, curated dataset, 285 however, enables us to identify a sizeable total of these and explore their phylogenetic 286 information content in detail.

287 We find that of 1,537 tumors with sufficient power and at least one phaseable pair in the 288 correct context, 245 show discordant in-cis SNVs pairs, indicating parent-child 289 relationships (Figure 4A). Annotating SNVs with their clone or subclone assignment from 290 CCF clustering, the vast majority of these samples (233, 95.1%) show pairs supporting the 291 expected clone-subclone relationship. In addition, there are 8 samples with pairs assigned 292 to different subclones and 16 samples with pairs assigned to the same subclone, 293 highlighting collinear evolution among subclones. Of 995 tumors, 51 carry discordant in-294 trans SNVs pairs, with 32 and 27 of these samples having pairs assigned to the same or 295 different subclones, respectively (8 have both), confirming the occurrence of two sibling 296 subclones having expanded in parallel (Figure 4B).

297 The identification of subclones from phaseable SNV pairs can be considered largely 298 independent of the consensus subclonal reconstruction. One can therefore use phasing 299 results to assess the performance of subclonal reconstruction and vice versa. Indeed, tumors 300 identified to contain higher numbers of subclones according to the consensus 301 reconstruction are enriched for linear and branching pairs (p-value =  $3.9 \times 10^{-15}$ , Figure 4C). 302 Nevertheless, our identification of 16 and 32 samples with SNV pairs assigned to the same 303 subclone but showing in-cis and in-trans discordance, respectively, confirms that our 304 consensus approach identifies only a lower limit on the number of subclonal expansions. 305 Interestingly, 8 of the 13 cutaneous melanomas showing linear or branching pairs had been 306 deemed clonal by CCF clustering but had phasing evidence for 1–2 subclones (3 had linear, 307 7 had branching, and 3 had both linear and branching SNV pairs). This analysis suggests 308 that, similarly to other cancer types, the large majority of cutaneous melanomas contain 309 subclonal expansions. However, these might be obscured by the large numbers of clonal 310 mutations in these extremely highly mutated tumors.

311 The frequency of branching versus linear evolution can be assessed directly by subsetting 312 the phasing analysis to haploid regions and to pairs where both SNVs have been assigned 313 to subclones, as both linear and branching relationships may be detected with similar power 314 in this subset. Our results indicate that, in the pan-cancer setting, two subclones are 3.11 315 times more likely to be siblings than to have a parent-child relationship (bootstrapped 95%) 316 confidence interval [1.71; 7.50], Figure 4D). This result is consistent with the complex 317 phylogenies obtained from multi-region sequencing efforts such as the TRACERx 100 318 non-small-cell lung cancer cohort (Jamal-Hanjani et al., 2017), where the odds of 319 branching vs. linear evolution are 2.86 (bootstrapped 95% confidence interval [1.93; 5.07], Figure 4E, STAR Methods). These results are also in line with observations of mutual 320 321 exclusivity of subclonal drivers and extensive parallel evolution (Turajlic et al., 2018a; 322 Turajlic et al., 2018b).

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# 324 Patterns of subclonal mutation signature activity changes across cancers

325 Mutation processes can differ in their activity between clonal and subclonal lineages

326 (McGranahan et al., 2015). To explore the subclonal dynamics of mutation signatures in 327 detail, we examined subclonal mutations for changes in signature activity. We reasoned 328 that if a mutation process is activated during a specific subclonal expansion, only the post-329 expansion mutations will carry the corresponding mutation signature. Signature activity 330 change points can therefore be identified in SNVs that are rank-ordered by their CCFs 331 estimates (Rubanova et al., 2020) (STAR Methods). Of the 2,552 samples with sufficient 332 SNVs to perform this analysis, 1,944 (76%) have an activity change of at least 5% in at 333 least one signature (a conservative threshold established *via* permutation and bootstrapping 334 analyses, STAR Methods). We detect an average of 1.77 mutation signature activity 335 clusters per sample.

336 Overall, mutation signature activity is remarkably stable. The most frequently changing 337 signature (Signature SBS12, etiology unknown (Alexandrov et al., 2020), active in 198 of 338 326 (61%) liver cancers), is variable in approximately 60% of the cases in which it is active 339 (Figure 5A). In addition, we find that the activity of Signature SBS9 (Pol  $\eta$  activity on 340 AID lesions) decreases as a function of decreasing CCF in over half the tumors in which 341 this signature is active (CLL and B-cell non-Hodgkin lymphoma). When only considering 342 pairs of signatures that change in the same tumor, we see that 6 out of the top 10 pairs 343 involve SBS5 (etiology unknown but hypothesized to reflect lower-fidelity DNA repair 344 pathways (Kim et al., 2016)). Such changes in proportions are often anti-correlated, as the 345 activity of one mutation process may be changing at the proportional expense of the activity 346 of another.

347 We next evaluated signature trajectories per cancer type (Figure 5A). In CLL, SBS9 348 always decreases and SBS5 nearly always increases. In contrast, in ovarian cancers, most 349 signature activity changes go both up and down in similar, relatively low proportions of 350 tumors. On average, signature activity changes are modest in size, with the maximum 351 average activity change recorded in CLL (33%, SBS9). Some changes are observed across 352 many cancer types - e.g., SBS5 and SBS40, of unknown etiology - while others are found 353 in only one or a few cancer types. For example, in hepatocellular carcinomas, we observe 354 an increase in SBS35 and a decrease in SBS12 (both etiology unknown), and in esophageal 355 adenocarcinomas, we see an increase in SBS3 (double-strand break-repair) and a decrease

in SBS17 (etiology unknown).

357 The average signature activity change across cancers of the same type is most often 358 monotonic as a function of CCF. In other words, the activities of mutation processes 359 consistently either decrease or increase (Figures 5B and S4). CLLs and lung 360 adenocarcinomas initially exhibit a sharp change in signature activity when transitioning 361 from clonal to subclonal mutations, but activity of the signatures appears to remain stable 362 across multiple subclonal expansions (Figure 5B). In contrast, esophageal adenocarcinomas show a steady decrease in SBS17 activity, while thyroid 363 364 adenocarcinomas often display a continuing increase in SBS2 and SBS13 (APOBEC) 365 activity. These patterns observed across samples are also consistent at the single-sample 366 level, for example in individual CLL samples (Figure 5C).

367 Interestingly, the SBS9 activity changes in CLL and B-cell non-Hodgkin lymphoma reflect 368 the anatomical journey B cells have undergone in their evolution to cancers. Tumors 369 showing SBS9 (pol n associated with AID activity) activity originate from post-germinal 370 center B cells (Seifert et al., 2012). In these cases, SBS9 contributes to clonal but not 371 subclonal mutations, because only the tumor-founding cell was exposed to somatic 372 hypermutation in the germinal center. Later cells in this lineage have left the germinal 373 center and are no longer exposed to AID. Similarly, the strong decrease of SBS7 (UV light) 374 activity in cutaneous melanoma cases suggests these tumors have progressed to invade 375 inner layers of the skin (Breslow, 1970), out of reach of damaging UVB exposure (Dupont 376 et al., 2013). Finally, the co-occurring decrease of SBS4 (smoking) and increase of 377 SBS2/13 (APOBEC) activity suggests that in lung cancers, cell-intrinsic mutation 378 processes take over after early tumor evolution is fueled by external mutagens (Jamal-379 Hanjani et al., 2017).

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# 381 Mutation signature activity changes mark subclonal boundaries

We next compared the mutation signature change points (shifts in activity) with the CCF of detected subclones, reasoning that these would correspond well if the emergence of subclones is associated with changes in mutation process activity. In such a scenario, we 385 expect that the signature change points coincide with the CCF boundaries between 386 subclones, assuming that clustering partitioned the SNVs accurately. In accordance with 387 previous studies that highlight changes in signature activity between clonal and subclonal 388 mutations (Jamal-Hanjani et al., 2017; McGranahan et al., 2015), we find that 34.5-54.7% 389 of clone-subclone boundaries and 34.5%-57.3% of subclone-subclone boundaries coincide 390 with a signature change point (Figure 5D, STAR Methods). This not only validates our 391 clustering approach, but also demonstrates that subclonal expansions are often associated 392 with changes in signature activity. It further suggests that increased ITH would correspond 393 to greater activity change. Indeed, the samples with the largest changes in activity tend to 394 be the most heterogeneous (Figure 5E). Conversely, an average of 0.49 changes per sample 395 are not within a window of subclonal boundaries (Figures 5F-G), suggesting that some 396 detected CCF clusters represent multiple subclonal lineages (STAR Methods), consistent 397 with our mutation phasing results above.

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# 399 The landscape of subclonal driver mutations

400 We leveraged the comprehensive whole-genome view of driver events across these cancer 401 genomes (Rheinbay et al., 2020) to gain insight into clonal vs. subclonal driver SNVs, 402 indels and SVs. Out of 5,414 high-confidence SNV and indel driver mutations in 389 403 genes, we found 385 (7.1%) subclonal driver mutations across 147 distinct genes (Figure 404 **6A**). In total, 86% of samples with at least one subclone (1,576/1,831) contain no identified 405 subclonal driver SNVs or indels, and only 11% of all detected subclones (280/2,542) were 406 associated with acquisition of a clear subclonal driver SNV or indel. In contrast, clonal 407 driver SNVs or indels were detected in 77% of samples (1,812/2,367).

As our whole-genome sequencing approach also allowed us to assess the clonality of SVs (Cmero et al., 2020), we next sought to examine the clonality of SV drivers. We considered an SV to be a driver if it was associated with a region of significantly recurrent breakpoints (Rheinbay et al., 2020) at non-fragile sites. By this analysis, 56.9% of samples analyzed (825/1,450) have a clonal SV driver, 14.7% (213/1,450) have at least one subclonal SV driver (**Figure 6A**), and 6.1% (89/1,450) have exclusively subclonal SV drivers. Pilocytic 414 astrocytomas, non-Hodgkin's lymphomas, biliary adenocarcinomas, and thyroid
415 adenocarcinomas showed no evidence of subclonal SV drivers, while the remaining 26 of
416 30 cancer types analyzed all contained at least one subclonal SV driver in this cohort.

417 One explanation for the relative dearth of subclonal driver mutations is that subclonal 418 driver mutations have a lower population prevalence than clonal ones. Specifically, driver 419 identification depends on prevalence of the mutation within the cancer cohort. Our previous 420 analysis demonstrated that the most prevalent drivers are also those that occur earliest in 421 tumor development (Gerstung et al., 2020). This suggests that methods to annotate 422 mutations (or genes) as drivers would be particularly prone to missing subclonal driver 423 mutations. As such, we adapted a strategy from population genetics, to assess whether there 424 was subclonal selection, even in the absence of discernible subclonal drivers. Selective 425 pressures acting on the coding regions of cancer genomes can be quantified using the dN/dS 426 ratio, which compares the rates of non-synonymous and synonymous mutations 427 (Martincorena et al., 2017). A dN/dS ratio larger than 1 indicates positive selection, while 428 smaller ratios characterize negative selection, and  $dN/dS \approx 1$  points towards neutral 429 evolutionary dynamics. Previously, dN/dS > 1, evidence of positive selection, has been 430 shown for cancer driver genes in all somatic mutations (Martincorena et al., 2017). When 431 analyzing clonal mutations separately in our dataset, we confirm this signature of selection 432 within a set of 566 well-established driver genes (STAR Methods). When specifically 433 assaying our consensus subclonal mutations for the same set of drivers, we observe 434 dN/dS > 1 for nonsense, missense and splice-site SNVs (Figure 6B). This indicates that 435 selection for driver mutations, rather than neutral evolutionary dynamics (Williams et al., 2016), frequently shapes subclonal expansions, in agreement with our earlier study 436 437 (Tarabichi et al., 2018). However, when considering dN/dS ratios for individual cancer 438 types, we observe that in only a subset, the 95% confidence intervals exceed the threshold 439 of positive selection (Figure 6C). The cancer types with evidence for selection had a 440 significantly higher number of tumors sequenced ( $P = 1.6 \times 10^{-3}$ , Mann-Whitney U test), 441 suggesting that the absence of conclusive signal in the remaining cancer types may be due 442 to statistical power limitations.

443 The driver SNV and indel landscape indicates that specific genes recurrently harbor

444 subclonal driver mutations across cancer types (Figure 6A). For example, the SETD2 445 tumor suppressor is frequently subclonally mutated in clear cell renal cell carcinomas, as 446 previously observed in multi-region sequencing experiments (Gerlinger et al., 2012), and 447 in pancreatic neuroendocrine cancers. Interestingly, mutations in some driver genes that 448 are exclusively clonal in most cancer types, are observed subclonally in others. For 449 example, we find subclonal driver mutations in *MEN1* in pancreatic neuroendocrine tumors 450 (6/30); TP53 in prostate and breast cancers (4/12 and 5/59 respectively); and CDKN2A in 451 pancreatic adenocarcinomas (5/42). Gene set analysis (STAR Methods) revealed an 452 enrichment of subclonal mutations in genes responsible for chromatin remodeling, 453 suggesting an important role of these processes in subclonal variegation. Indeed, we find 454 that e.g. ARID1A, PBRM1, KMT2C/D and SETD2 are enriched for subclonal driver 455 mutations. Other genes often mutated in subclones are splicing factor SF3B1 and, in breast 456 and pancreatic adenocarcinomas, tumor suppressor SMAD4.

457 We similarly observed substantial variation in SV driver clonality across cancer types, 458 implying cancer type-specific roles for SVs during tumor evolution (Figure 6A). Ten 459 cancer types have a significant clonal bias for SV drivers (Figure 6A), when matched for 460 power, suggesting that these cancers are driven by early SV events. These include SVs in 461 the genomic region around *KIAA1549* in pilocytic astrocytomas, which likely result in the 462 BRAF-KIAA1549 fusion gene (Faulkner et al., 2015). Ovarian adenocarcinoma and soft-463 tissue leiomyosarcoma show the highest rates of SV driver subclonality (33.7% and 40.0% 464 respectively).

465 No significant subclonal enrichment was observed for SV drivers within a tumor type. 466 However, enrichment was observed for specific SV drivers across cancer types (Figures 467 6A and S5). Clonally enriched SV drivers (Figure 6A, q-value < 0.05, rank-based 468 permutation test) include those involving the IGH locus (97% of which occurred in 469 lymphomas), or targeting CDK12, TERT, MDM2, CDKN2A, LRP5/PPP6R3, MYC, EGFR 470 and gene poor region 8p11.21. In contrast, subclonally enriched SV drivers include those 471 targeting RB1, AKR1C1/2/3, KLF5, PTEN and the gene poor 5p12 region. Interestingly, 472 previous studies have linked *RB1* loss to tumor progression in liver (Bollard et al., 2017), 473 liposarcoma (Schneider-Stock et al., 2002; Takahira et al., 2005), and breast cancer 474 (Condorelli et al., 2017).

To further understand the clonality of gain-of-function driver SVs across cancer types, we specifically focused on previously known and curated oncogenic driver fusion SVs (**STAR Methods**). We found that known driver fusions are more likely to be clonal compared to other SVs (p = 0.0284, Fisher's exact test, **Figure 6D**), with some recurrent fusions appearing exclusively clonal or highly enriched for clonal events (*CCDC6-RET*, *BRAF*-*KIAA1549*, *TMPRSS2-ERG*), pointing to a model where gain-of-function SVs tend to appear early rather than late during tumor development.

Finally, to assess the potential impact of ITH on clinical decisions, we evaluated the clonality of actionable subclonal driver mutations, reasoning that targeting mutations that

484 are not present in all tumor cells will likely result in ineffective treatment (Schmitt et al.,

485 2016). Restricting our analysis to genes and mutations for which inhibitors are available,

486 we find that 60.1% of tumors have at least one clinically actionable event (Figure 6E). Of

these, 9.7% contain at least one subclonal actionable driver, and 4.7% show only subclonal

488 actionable events. As our results represent conservative lower bound estimates of the

489 subclonality at the level of the whole tumor, these results reinforce the importance of

490 assessing the clonality of actionable mutations.

# 491 **DISCUSSION**

492 We have developed consensus approaches to characterize genome-wide ITH for 38 cancer 493 types, building on high quality SNVs, indels, SVs, CNAs, and curated driver mutations and 494 mutation signatures, leveraging the largest set of whole-genome sequenced tumor samples 495 compiled and analyzed to date. Remarkably, although these single region-based results are 496 conservative and place a lower bound estimate on ITH, we detect subclonal tumor cell 497 populations in 95.1% of 1,705 tumors. Individual subclones in the same tumor frequently 498 exhibit differential activity of mutation signatures, implying that subclonal expansions can 499 act as witnesses of temporally and spatially changing mutation processes. We extensively 500 characterized the clonality of SNVs, indels, SVs, and CNAs. For SNVs and indels, we 501 identified patterns of subclonal driver mutations in known cancer genes and average rates 502 of subclonal driver events per tumor (Jamal-Hanjani et al., 2017; Landau et al., 2013; 503 McGranahan et al., 2015; Yates et al., 2015). For SVs, we analyzed both candidate driver 504 and passenger events, revealing how SVs influence tumor initiation and progression. 505 Clonality estimates from CNAs suggest a complementary role of chromosomal instability 506 and mutagenic processes in driving subclonal expansions. Finally, our results show rich 507 subclonal architectures, with both linear and branching evolution in many cancers.

508 Analysis of dN/dS ratios in subclonal SNVs falling in exons of known cancer genes 509 revealed clear signs of positive selection across the detected subclones and across cancer 510 types. Although our analyses do not exclude the possibility that a small fraction of tumors 511 evolve under weak or no selection, they show that selection is widespread across cancer 512 types. Recent methodological advances to quantify selection in individual tumors from 513 explicit tumor growth models have emerged and could shed further light on the 514 evolutionary dynamics of individual tumors through single (Williams et al., 2018) and 515 multiple (Sun et al., 2017) tumor biopsies. Our findings extend Peter Nowell's model of 516 clonal evolution (Nowell, 1976): as neoplastic cells proliferate under chromosomal and 517 genetic instability, some of their daughter cells acquire mutations that convey further 518 selective advantages, allowing them to become precursors for new subclonal lineages. 519 Here, we have demonstrated that selection is ongoing up to and beyond diagnosis, in 520 virtually all tumors and cancer types. The ubiquiotous presence of subclones provides

evidence for ongoing selective sweeps, and akin to results of multi-region-based studies,
we also detect widespread branching evolution, implying co-existence and competition of
subclones.

524 Our observations highlight a considerable gap in knowledge about the drivers of subclonal 525 expansions. Specifically, only 11% of the 2,542 detected subclones have a currently known 526 SNV or indel driver mutation. Thus, late tumor development is either driven largely by 527 different mechanisms (copy number alterations, genomic rearrangements (Jamal-Hanjani 528 et al., 2017; Mamlouk et al., 2017), or epigenetic alterations), or most late driver mutations 529 remain to be discovered. In support of the latter, our recent study (Gerstung et al., 2020) 530 finds that late driver mutations occur in a more diverse set of genes than early drivers. For 531 now, the landscape of subclonal driver mutations in localized cancer remains largely 532 unexplored, in part due to limited resolution and statistical power to detect recurrence of 533 subclonal drivers. Nonetheless, each tumor type has its own characteristic patterns of 534 subclonal SNVs, indels, SVs and CNAs, revealing distinct evolutionary narratives. Tumor 535 evolution does not end with the last complete clonal expansion, and it is therefore important 536 to account for ITH and its drivers in clinical studies.

We show that regions of recurrent genomic rearrangements, harboring likely driver SVs, also exhibit subclonal rearrangements. This suggests that improved annotations must be sought for both SVs and SNVs, in order to comprehensively catalogue the drivers of subclonal expansion. By combining analysis of SV clonality with improved annotations of candidate SV drivers (Rheinbay et al., 2020), we highlight tumor types that would benefit from further characterization of subclonal SV drivers, such as pancreatic neuroendocrine cancers and leiomyosarcomas.

These observations have a number of promising clinical implications. For example, there is subclonal enrichment for SVs causing *RB1* loss across multiple cancer types, expanding on the known behavior of *RB1* mutations in breast cancer (Condorelli et al., 2017). These SVs may be linked to known resistance mechanisms to emerging treatments (*e.g.* CDK4/6 inhibitors in breast (Condorelli et al., 2017) and bladder (Pan et al., 2017) cancer). If profiled in a resistance setting, they may provide a pathway to second-line administration of cytotoxic therapies such as cisplatin or ionizing radiation, which show improved efficacy

in tumors harboring *RB1* loss (Knudsen and Knudsen, 2008).

552 Our study builds upon a wealth of data of cancer whole-genome sequences generated under the auspices of the International Cancer Genome Consortium and The Cancer Genome 553 554 Atlas, allowing detailed characterization of ITH from single tumor samples across 38 555 cancer types. It builds a consensus reconstruction of CNAs from 6 methods and consensus 556 subclonal reconstruction from 11 methods. In establishing this reconstruction, we found 557 that each individual method makes errors that are corrected by the consensus. Our 558 consensus-building tools and techniques thus provide a set of best practices for future 559 analyses of tumor whole-genome sequencing data. In addition, our high-quality curated 560 consensus subclonal reconstructions on 2,658 tumor whole genomes spanning 38 cancer 561 types constitute a rich resource for future studies.

# 562 **STAR METHODS SUMMARY**

#### 563 Consensus copy number analysis

564 As the basis for our subclonal architecture reconstruction, we needed a confident copy 565 number profile for each sample. To this end, we applied six copy number analysis methods 566 (ABSOLUTE, ACEseq, Battenberg, cloneHD, JaBbA and Sclust) and combined their 567 results into a robust consensus (see STAR Methods for details). In brief, each individual 568 method segments the genome into regions with constant copy number, then calculates the 569 copy number of both alleles for the genomic location. Some of the methods further 570 distinguish between clonal and subclonal copy number states, *i.e.* a mixture of two or more 571 copy number states within a genomic region. Disagreement between methods mostly stems 572 from either difference in the segmentation step, or uncertainty on whole genome 573 duplication (WGD) status. Both issues were resolved using our consensus strategy.

To identify a set of consensus breakpoints, we combined the breakpoints reported by the CNA methods with the consensus structural variants (SVs). If a hotspot of copy number breakpoints could be explained by an SV, we removed the copy number breakpoints in favor of the base-pair resolution SV. The remaining hotspots were merged into consensus calls to complement the SV-based breakpoints. This combined breakpoint set was then used as input to all methods in a second pass, where methods were required to strictly adhere to the provided breakpoints.

Allele-specific copy number states were resolved by assessing agreement between outputs of the individual callers. A consensus purity for each sample was obtained by combining the estimates of the copy number methods with the results of the subclonal architecture reconstruction methods that infer purity using only SNVs.

585 Each copy number segment of the consensus output was rated with a star-ranking 586 representing confidence.

587 To create a subclonal copy number consensus, we used three of the copy number methods

that predicted subclonal states for segments and flagged the segment as subclonal when at

589 least two methods agreed the segment represented subclonal copy number.

# 590 Consensus subclonal architecture clustering

We applied 11 subclonal reconstruction methods (BayClone-C, Ccube, CliP, cloneHD, CTPsingle, DPClust, PhylogicNDT, PhyloWGS, PyClone, Sclust, SVclone). Most were developed or further optimized during this study. Their outputs were combined into a robust consensus subclonal architecture (see **STAR Methods** for details). During this procedure, we used the PCAWG consensus SNVs and indels [Synapse ID syn7118450] and SVs [syn7596712].

597 The procedure to create consensus architectures consisted of three phases: a run of the 11 598 callers on a subset of SNVs that reside on copy number calls of high-confidence, merging 599 of the output of the callers into a consensus and finally assignment of all SNVs, indels and 500 SVs.

Each of the 11 subclonal reconstruction callers outputs the number of mutation clusters per tumor, the number of mutations in each cluster, and the clusters' proportion of (tumor) cells (cancer cell fraction, CCF). These data were used as input to three orthogonal approaches to create a consensus: WeMe, CSR and CICC. The results reported in this paper are from the WeMe consensus method, but all three developed methods lead to similar results, and were used to validate each other (**STAR Methods**).

The consensus subclonal architecture was compared to the individual methods on two independent simulation sets, one 500-sample for training and one 965-sample for validation, and on the real PCAWG samples to evaluate robustness. The metrics by which methods were scored account for the fraction of clonal mutations, number of mutation clusters and the root mean square error (RMSE) of mutation assignments. To calculate the overall performance of a method, ranks of the three metrics were averaged per sample.

Across the two simulated datasets, the scores of the individual methods were variable, whereas the consensus methods were consistently among the best across the range of simulated number of subclones, tumor purity, tumor ploidy and sequencing depth. The highest similarities were observed among the consensus and the best individual methods in the simulation sets, and among the consensus methods in real data, suggesting stability of the consensus in the real set. Increasing the number of individual methods input to the 619 consensus consistently improved performance and the highest performance was obtained 620 for the consensus run on the full 11 individual methods, suggesting that each individual 621 method has its own strengths that are successfully integrated by the consensus approaches

# 622 (STAR Methods).

All SNVs, indels and SVs were assigned to the clusters that were determined by the consensus subclonal architecture using MutationTimer (Gerstung et al., 2020). Each mutation cluster is modelled by a beta-binomial distribution and probabilities for each mutation belonging to each cluster are calculated. This results in the final consensus subclonal architecture, and in addition, it also timed mutations relative to copy number gains (**STAR Methods**).

629

# 630 SV clonality analysis

631 Due to the difficulty in determining SV VAFs from short-read sequence data, and 632 subsequent CCF point estimation (Cmero et al., 2020), we elected to explore patterns of 633 putative driver SV clonality using subclonal *probabilities*, allowing us to account for 634 uncertainty in our observations of SV clonality (STAR Methods). After excluding 635 unpowered samples, highly mutated samples, and cancer types with less than ten powered 636 samples (STAR Methods), we analyzed 125,920 consensus SVs from 1,517 samples, 637 across 28 cancer types. SVs were divided into candidate driver SVs and candidate 638 passenger SVs using annotations from a companion paper (Rheinbay et al., 2020). SVs 639 were considered candidate drivers if they were annotated as having significantly recurrent 640 breakpoints (SRBs) at non-fragile sites, and candidate passenger SVs otherwise (STAR 641 Methods).

Subclonal probabilities of driver and passenger SVs across tumor types were observed using weighted median and interquartile ranges (STAR Methods). Any tumor types with interquartile ranges exceeding subclonal probabilities of 0.5 were considered as having evidence of subclonal SVs. Permutation testing was used to determine significant differences in the weighted medians between driver and passenger SVs (STAR Methods). To test if any genomic loci were enriched for clonal or subclonal SVs across cancer types, we employed a GSEA-like (Subramanian et al., 2005) rank-based permutation test (STAR
Methods).

650

# 651 "Winner's curse" correction

652 Because somatic mutation callers require a minimum coverage of supporting reads, in 653 samples with low purity and/or small subclones, the reported CCF values and cluster sizes 654 will be biased. As variants observed in a lower number of reads have a higher probability 655 to be missed by somatic mutation callers, rare subclones will show lower apparent mutation 656 numbers and higher apparent CCF values. We refer to this effect as the "Winner's curse". To adjust mutation clusters both in size and in CCF, we developed two methods, 657 658 PhylogicCorrectBias and SpoilSport. Results from both methods were integrated to 659 produce a consensus correction, and our correction approach was validated on simulated 660 data (STAR Methods).

661

# 662 Mutation signatures trajectory analysis

Given the mutation signatures obtained from PCAWG [syn8366024], we used TrackSig (Rubanova et al., 2020) to fit the evolutionary trajectories of signature activities. Mutations were ordered by their approximate relative temporal order in the tumor, by calculating a pseudo-time ordering using CCF and copy number. Time-ordered mutations were subsequently binned to create time points on a pseudo-timeline to which signature trajectories can be mapped.

At each time point, mutations were classified into 96 classes based on their trinucleotide context and a mixture of multinomial distributions was fitted, each component describing the distribution of one active signature. Derived mixture component coefficients correspond to mutation signature activity values, reflecting the proportion of mutations in a sample that were generated by a mutation process. By applying this approach to every time point along the evolutionary timeline of a sample, a trajectory of the activity of signatures over time was obtained. We applied likelihood maximization and the Bayesian Information Criterion to simulations to establish the optimal threshold at which signature activity changes can be detected. This threshold was determined to be 6%. Subsequently, a pair of adjacent mutation bins was marked as constituting a change in activity if the absolute difference in activity between the bins of a at least one signature was greater than the threshold.

681 Signature trajectories were mapped to our subclonal reconstruction architectures by 682 dividing the CCF space according to the proportion of mutations per time point belonging 683 to a mutation cluster determined by the consensus reconstruction. By comparing distances 684 in pseudo-time between trajectory change points and cluster boundaries, change points 685 were classified as "supporting" a boundary if they are no more than three bins apart.

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#### 862 FIGURE LEGENDS

## 863 Figure 1. Consensus-based characterization of intra-tumor heterogeneity.

864 (A) Schematic representation of our consensus-based intra-tumor heterogeneity (ITH) 865 reconstruction from sequencing data. (B) Samples with and without whole-genome 866 duplications separate in two clusters according to their consensus ploidy and the fraction 867 of the genome showing loss of heterozygosity. (C) Agreement between the six copy 868 number callers using a multi-tier consensus copy number calling approach. The three lines 869 denote the fraction of the genome at which agreement is reached at different levels of 870 confidence: (near-)complete agreement on both alleles of clonal copy number, a strict 871 majority agreement on both alleles of clonal copy number and (near-)complete or strict 872 majority agreement on both alleles of rounded subclonal copy number (see STAR 873 Methods). At the third level, agreement is reached on an average 93% of the genome. (D) 874 Heatmap of the normalized average pairwise similarities of subclonal architectures 875 identified by 11 individual, 3 consensus, and 3 control reconstruction methods. Each 876 method is represented by one colored square on the diagonal. On rows and columns, each method is compared to all other methods. The upper triangle shows the average pairwise 877 878 similarities on the 2,778 PCAWG samples, the lower triangle shows the same on a 879 validation set of 965 simulated samples. In the leftmost column similarities are computed 880 against the truth of the simulated set. Color intensities scale with the similarities and were 881 normalized separately for PCAWG, simulations and truth.

882

### 883 Figure 2. Winner's curse correction.

(A) Validation of our approach to adjust for the "winner's curse-like effect, and (B-C) the
estimated cluster-CCF and mutation adjustment in all mutation clusters identified in the
study. Subclonal clusters show a shift to larger CCF values after correction (B) and the
majority of clusters are estimated to contain additional missed SNVs (C).

888

## 890 Figure 3. Overview and characterization of ITH across cancer types.

891 Evidence of ITH is shown for 1,705 samples with sufficient power to detect subclones at 892 CCF > 30% (see STAR Methods). Samples have been limited to those with less than 2% 893 tumor contamination in the matched normal sample and no activity of any of the identified 894 artefact signatures (Alexandrov et al., 2020). Only representative samples (The 895 ICGC/TCGA Pan-Cancer Analysis of Whole Genomes Consortium, 2020) from multi-896 sample cases are shown. (A) Bar plot showing the fraction of samples with given number 897 of subclones; (B-E) Scatter plots showing the fractions of subclonal SNVs, indels, SVs and 898 subclonal arm-level CNAs (the latter two mutation types are only plotted for samples that 899 have at least 5 events, sample order is determined by increasing fraction of subclonal SNVs 900 and conserved in the other three panels); Violin plots showing the total mutation burden 901 (F) and overall fraction of the genome that does not have a copy number state of 1+1, or 902 2+2 in WGD samples (G); Heatmaps showing the fraction of tumor samples with whole 903 genome duplications (H) and the mean power to identify subclones per cancer types 904 (number of reads per clonal copy – nrpcc, see STAR Methods) (I).

905

# 906 Figure 4. Further characterization of ITH using mutation phasing.

907 (A-B) Proportion of powered tumors with evidence of linear and branching phylogenies, 908 through analysis of phased reads of variants in-cis (A) or in-trans (B) among tumors with 909 at least one phaseable pair in the appropriate context. (C) Fraction of powered samples, 910 stratified by number of consensus subclones, with at least one linear or branching pair ( $\chi^2$ -911 test for independence). (D) Number of samples with linear or branching pairs when sets 912 are filtered to be comparable. Error bars indicate the 95% bootstrap interval. Samples are 913 colored by tumor type and boxed (orange) when they present with pairs of both types. (E) 914 Probabilities of observing a linear vs. branching relationship when picking two random 915 subclones from TRACERx 100 trees (Jamal-Hanjani et al., 2017). Error bars indicate the 916 95% bootstrap interval.

# Figure 5. Subclonal boundaries are associated with changes in mutation signature activity.

920 (A) Mutation signature changes across cancer types. Bar graphs show the proportion of 921 tumors in which signature (pairs) change and radial plots provide a view per cancer type. 922 Each radial plot contains the signatures that are active in at least 5 tumors and change ( $\geq$ 923 6%) in at least 3 tumors. The left and right side of the radial plot represent signatures that 924 become less and more active, respectively. The height of a wedge represents the average 925 activity change (log scale), the color denotes the signature and the transparency shows the 926 fraction of tumors in which the signature changes (as a proportion of the tumors in which 927 the signature is active). Signatures are sorted around the radial plot (top-to-bottom) by 928 maximum average activity change. (B) Average signature trajectories for selected cancer 929 types. Each line is colored by signature and corresponds to the average activity across 930 tumors of this cancer type in which the signature is active. The width of the line represents 931 the number of tumors that are represented. Mutations are split into clonal and subclonal, 932 visually divided by a red vertical line. (C) Signature trajectories for selected individual 933 CLL tumors. Each line corresponds to an activity trajectory derived from a bootstrap 934 sample of SNVs. The grey vertical grid represents the mutation bins. These are colored 935 grey when a significant change in signature activity is detected. Red vertical lines represent 936 consensus subclonal mutation clusters. (D) The fraction of signature change points that 937 coincide with boundaries between mutation clusters, as compared to what is expected when 938 randomly placing change points. (E) The number of subclones detected in tumors grouped 939 by the maximum detected signature activity change. (F) An overview of coinciding SNV 940 cluster boundaries and signature activity change points. (G) The average number of 941 additional signature change points detected per tumor.

942

# 943 Figure 6. Driver mutations and subclonal selection.

944 (A) Heatmap of the fraction of samples of the different cancer types with clonal (orange)
945 and subclonal (blue) driver substitutions and indels (left panel) and structural variants (right
946 panel). Marginal bar plots represent the fraction of clonal and subclonal driver mutations

947 in each cancer type (side) and each driver gene or candidate region (top). Only genes with 948 at least 4 subclonal driver mutations are shown. For SNVs and indel drivers (top left panel), 949 gene set and pathway annotations highlight an enrichment of subclonally mutated drivers in chromatin remodeling. (B) dN/dS values for clonal and subclonal SNVs in 566 950 951 established cancer genes across all primary tumors. Values for missense, nonsense, splice 952 site, and all mutations are shown, along with the 95% confidence intervals. (C) Cancer and 953 mutation types for which dN/dS is significantly greater than 1 (95% confidence 954 intervals>1) for clonal and subclonal mutations. Cancer types are ordered by the total number of samples. (D) Proportions of (sub)clonal driver gene fusions versus non-driver 955 956 fusions. (E) Survey of targetable driver mutations across cancer types, stratified by clonal 957 status.

## 958 Supplementary Figure Legends

### 959 Figure S1. Validation of consensus purity values.

The lower triangle shows pairwise scatterplots of the purities obtained through expression profiles of a panel of immune and stromal genes (ESTIMATE), somatic copy number data (ABSOLUTE), leukocyte unmethylation (LUMP), image analysis by hematoxylin and eosin staining (H&E staining), and consensus purity as derived by Aran *et al.* (Aran et al., 2015) (CPE). The top triangle shows the respective Pearson correlation coefficients and the number of samples that have both purity estimates available.

966

#### 967 Figure S2. Power analysis of the consensus subclonal architecture approach.

968 (A) Our ability to detect subclones depends, not on the number of detected SNVs, but on 969 the number of reads per clonal copy (nrpcc) available. This metric takes tumor purity, 970 ploidy and sequencing coverage into account (see **STAR Methods**). We control for this 971 effect by including only tumors with nrpcc  $\geq 10$ . In these tumors, we should be sufficiently 972 powered to detect a subclone at a CCF as low as 30% (see **STAR Methods**). This becomes 973 clear from (B) which shows the minimum CCF of the detected clusters in each tumor 974 against the number of reads per chromosome copy.

975

#### 976 Figure S3. Correlation in ITH between SNVs, indels, CNAs and SVs by cancer type.

977 Evidence of ITH is shown for 1,705 samples with sufficient power to detect subclones 978 above 30% CCF (see STAR Methods), as in Figure 3. Pairwise scatter plots in the upper 979 triangle show the fractions of subclonal SNVs, indels, CNAs and SVs per tumor sample. 980 Pearson's correlation coefficient, R, is separately computed for each panel across all 981 samples. Panels on the diagonal show the kernel density estimate of the distribution of 982 subclonal fractions. In the lower triangle, each point shows the median subclonal fraction 983 per cancer type and intervals indicate the interquartile range. Panels only include samples 984 with at least 5 arm-level CNAs (1,238 / 1,705) and at least 5 SVs (1,609 / 1,705).

985

#### 986 Figure S4. Summary signature trajectories per cancer type.

987 The average trajectories for mutation signatures were calculated across tumors of the same 988 cancer type. The color of the line denotes the signature and its width reflects the number 989 of contributing tumors. The trajectories have been centered around the activity at the 990 boundary between clonal and subclonal mutations in order to highlight relative changes in 991 signature activity.

992

## 993 Figure S5. Clonality analysis of significantly recurrent breakpoints.

(A) Number and clonality of SVs observed at 52 loci with significantly recurrent
breakpoints (SRBs) (Rheinbay et al., 2020). SVs with a subclonal probability larger than
50% were considered subclonal and clonal otherwise. (B) Proportion of cancer types
contributing to the enrichment of clonal or subclonal SVs in a locus (see Figure 6A). The
genes on the y-axis represent the most likely driver gene for each locus (Rheinbay et al.,
2020).

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# 1030 MEMBERS OF THE PCAWG EVOLUTION AND HETEROGENEITY1031 WORKING GROUP

Stefan C. Dentro<sup>1,2,3,\*</sup>, Ignaty Leshchiner<sup>4,\*</sup>, Moritz Gerstung<sup>5,\*</sup>, Clemency Jolly<sup>1,\*</sup>, Kerstin 1032 Haase<sup>1,\*</sup>, Maxime Tarabichi<sup>1,2,\*</sup>, Jeff Wintersinger<sup>6,7,\*</sup>, Amit G. Deshwar<sup>6,7,\*</sup>, Kaixian Yu<sup>8,\*</sup>, 1033 Santiago Gonzalez<sup>5,\*</sup>, Yulia Rubanova<sup>6,7,\*</sup>, Geoff Macintyre<sup>9,\*</sup>, Jonas Demeulemeester<sup>1,10,\*</sup>, 1034 David J. Adams<sup>2</sup>, Pavana Anur<sup>11</sup>, Rameen Beroukhim<sup>4,12</sup>, Paul C. Boutros<sup>6,13</sup>, David D. 1035 Bowtell<sup>14</sup>, Peter J. Campbell<sup>2</sup>, Shaolong Cao<sup>8</sup>, Elizabeth L. Christie<sup>14,15</sup>, Marek Cmero<sup>15,16</sup>, 1036 Yupeng Cun<sup>17</sup>, Kevin J. Dawson<sup>2</sup>, Nilgun Donmez<sup>18,19</sup>, Ruben M. Drews<sup>9</sup>, Roland Eils<sup>20,21</sup>, 1037 Yu Fan<sup>8</sup>, Matthew Fittall<sup>1</sup>, Dale W. Garsed<sup>14,15</sup>, Gad Getz<sup>4,22,23,24</sup>, Gavin Ha<sup>4</sup>, Marcin 1038 Imielinski<sup>25,26</sup>, Lara Jerman<sup>5,27</sup>, Yuan Ji<sup>28,29</sup>, Kortine Kleinheinz<sup>20,21</sup>, Juhee Lee<sup>30</sup>, Henry 1039 Lee-Six<sup>2</sup>, Dimitri G. Livitz<sup>4</sup>, Salem Malikic<sup>18,19</sup>, Florian Markowetz<sup>9</sup>, Inigo Martincorena<sup>2</sup>, 1040 Thomas J. Mitchell<sup>2,31</sup>, Ville Mustonen<sup>32</sup>, Layla Oesper<sup>33</sup>, Martin Peifer<sup>17</sup>, Myron Peto<sup>11</sup>, 1041 Benjamin J. Raphael<sup>34</sup>, Daniel Rosebrock<sup>4</sup>, S. Cenk Sahinalp<sup>19,35</sup>, Adriana Salcedo<sup>36</sup>, 1042 Matthias Schlesner<sup>20</sup>, Steven Schumacher<sup>4</sup>, Subhajit Sengupta<sup>28</sup>, Ruian Shi<sup>6</sup>, Seung Jun 1043 Shin<sup>8,37</sup>, Lincoln D. Stein<sup>36</sup>, Oliver Spiro<sup>4</sup>, Ignacio Vázquez-García<sup>2,31,38,39</sup>, Shankar 1044 Vembu<sup>6</sup>, David A. Wheeler<sup>40</sup>, Tsun-Po Yang<sup>17</sup>, Xiaotong Yao<sup>25,26</sup>, Ke Yuan<sup>9,41</sup>, Hongtu 1045 1046 Zhu<sup>8</sup>, Wenyi Wang<sup>8,#</sup>, Quaid D. Morris<sup>6,7,#</sup>, Paul T. Spellman<sup>11,#</sup>, David C. Wedge<sup>3,42,#</sup>, Peter Van Loo<sup>1,#</sup> 1047

1048

<sup>1</sup>The Francis Crick Institute, London NW1 1AT, United Kingdom; <sup>2</sup>Wellcome Trust Sanger 1049 Institute, Cambridge CB10 1SA, United Kingdom; <sup>3</sup>Big Data Institute, University of Oxford, 1050 1051 Oxford OX3 7LF, United Kingdom; <sup>4</sup>Broad Institute of MIT and Harvard, Cambridge, MA 02142, 1052 USA; <sup>5</sup>European Molecular Biology Laboratory, European Bioinformatics Institute, Cambridge 1053 CB10 1SD, United Kingdom; <sup>6</sup>University of Toronto, Toronto, ON M5S 3E1, Canada; <sup>7</sup>Vector 1054 Institute, Toronto, ON M5G 1L7, Canada; <sup>8</sup>The University of Texas MD Anderson Cancer Center, 1055 Houston, TX 77030, USA; 9Cancer Research UK Cambridge Institute, University of Cambridge, 1056 Cambridge CB2 0RE, United Kingdom; <sup>10</sup>Department of Human Genetics, University of Leuven, 1057 B-3000 Leuven, Belgium; <sup>11</sup>Molecular and Medical Genetics, Oregon Health & Science University, Portland. OR 97231, USA; <sup>12</sup>Dana-Farber Cancer Institute, Boston, MA 02215, USA; <sup>13</sup>University 1058 of California, Los Angeles, Los Angeles, CA 90095, USA; <sup>14</sup>Peter MacCallum Cancer Centre, 1059 1060 Melbourne, VIC 3000, Australia; <sup>15</sup>University of Melbourne, Melbourne, VIC 3010, Australia;

<sup>16</sup>Walter + Eliza Hall Institute, Melbourne, VIC 3000, Australia; <sup>17</sup>University of Cologne, 50931 1061 1062 Cologne, Germany; <sup>18</sup>Simon Fraser University, Burnaby, BC V5A 1S6, Canada; <sup>19</sup>Vancouver 1063 Prostate Centre, Vancouver, BC V6H 3Z6, Canada; <sup>20</sup>German Cancer Research Center (DKFZ), 69120 Heidelberg, Germany; <sup>21</sup>Heidelberg University, 69120 Heidelberg, Germany; 1064 1065 <sup>22</sup>Massachusetts General Hospital Center for Cancer Research, Charlestown, Massachusetts 02129, USA; <sup>23</sup>Massachusetts General Hospital, Department of Pathology, Boston, Massachusetts 02114, 1066 USA; <sup>24</sup>Harvard Medical School, Boston, 02215, USA; <sup>25</sup>Weill Cornell Medicine, New York, NY 1067 10065, USA; <sup>26</sup>New York Genome Center, New York, NY 10013, USA; <sup>27</sup>University of Ljubljana, 1068 1069 1000 Ljubljana, Slovenia; <sup>28</sup>NorthShore University HealthSystem, Evanston, IL 60201, USA; <sup>29</sup>The University of Chicago, Chicago, IL 60637, USA: <sup>30</sup>University of California Santa Cruz, Santa Cruz, 1070 1071 CA 95064, USA; <sup>31</sup>University of Cambridge, Cambridge CB2 0QQ, United Kingdom; 1072 <sup>32</sup>Organismal and Evolutionary Biology Research Programme, Department of Computer Science, 1073 Institute of Biotechnology, University of Helsinki, 00014 Helsinki, Finland; <sup>33</sup>Carleton College, Northfield, MN 55057, USA; <sup>34</sup>Princeton University, Princeton, NJ 08540, USA; <sup>35</sup>Indiana 1074 University, Bloomington, IN 47405, USA; <sup>36</sup>Ontario Institute for Cancer Research, Toronto, ON 1075 1076 M5G 0A3, Canada; <sup>37</sup>Korea University, Seoul, 02481, Republic of Korea; <sup>38</sup>Computational Oncology, Memorial Sloan Kettering Cancer Center, New York, NY 10065. USA: <sup>39</sup>Irving Institute 1077 for Cancer Dynamics, Columbia University, New York, NY 10027, USA; <sup>40</sup>Human Genome 1078 Sequencing Center, Baylor College of Medicine, Houston, TX 77030, USA; <sup>41</sup>School of 1079 1080 Computing Science, University of Glasgow, Glasgow G12 8RZ, United Kingdom; <sup>42</sup>Oxford NIHR 1081 Biomedical Research Centre, Oxford OX4 2PG, United Kingdom.

1082 \*: These authors contributed equally

1083 <sup>#</sup>: These authors jointly directed the work

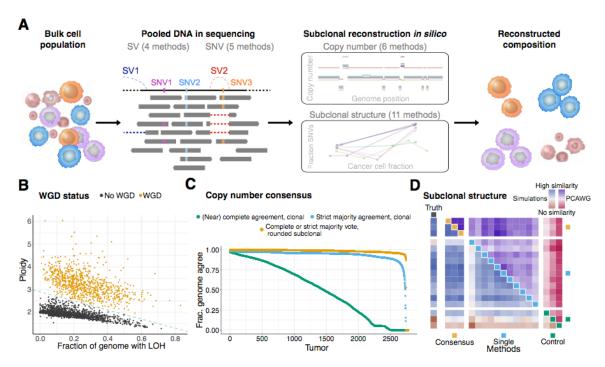


Figure 1. Consensus-based characterization of intra-tumor heterogeneity.

(A) Schematic representation of our consensus-based intra-tumor heterogeneity (ITH) reconstruction from sequencing data. (B) Samples with and without whole-genome duplications separate in two clusters according to their consensus ploidy and the fraction of the genome showing loss of heterozygosity. (C) Agreement between the six copy number callers using a multi-tier consensus copy number calling approach. The three lines denote the fraction of the genome at which agreement is reached at different levels of confidence: (near-)complete agreement on both alleles of clonal copy number, a strict majority agreement on both alleles of clonal copy number and (near-)complete or strict majority agreement on both alleles of rounded subclonal copy number (see STAR **Methods**). At the third level, agreement is reached on an average 93% of the genome. (D) Heatmap of the normalized average pairwise similarities of subclonal architectures identified by 11 individual, 3 consensus, and 3 control reconstruction methods. Each method is represented by one colored square on the diagonal. On rows and columns, each method is compared to all other methods. The upper triangle shows the average pairwise similarities on the 2,778 PCAWG samples, the lower triangle shows the same on a validation set of 965 simulated samples. In the leftmost column similarities are computed against the truth of the simulated set. Color intensities scale with the similarities and were normalized separately for PCAWG, simulations and truth.

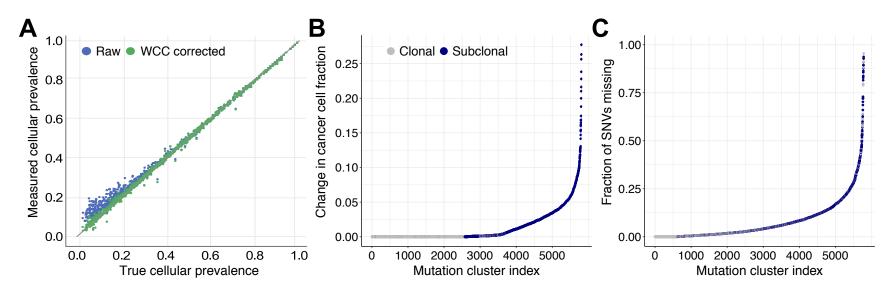


Figure 2. Winner's curse correction.

(A) Validation of our approach to adjust for the "winner's curse-like effect, and (B-C) the estimated cluster-CCF and mutation adjustment in all mutation clusters identified in the study. Subclonal clusters show a shift to larger CCF values after correction (B) and the majority of clusters are estimated to contain additional missed SNVs (C).

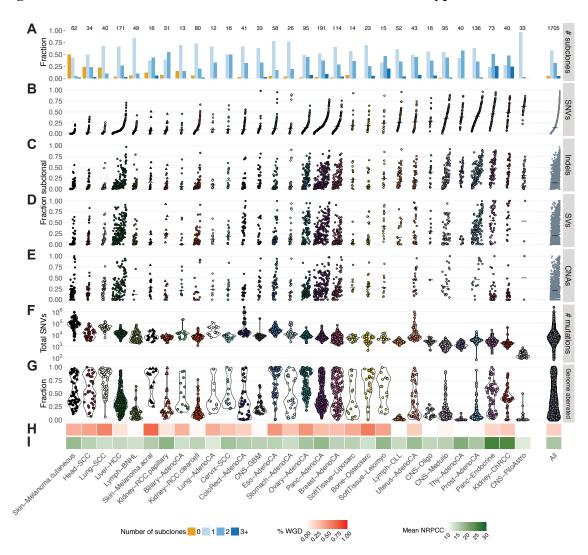
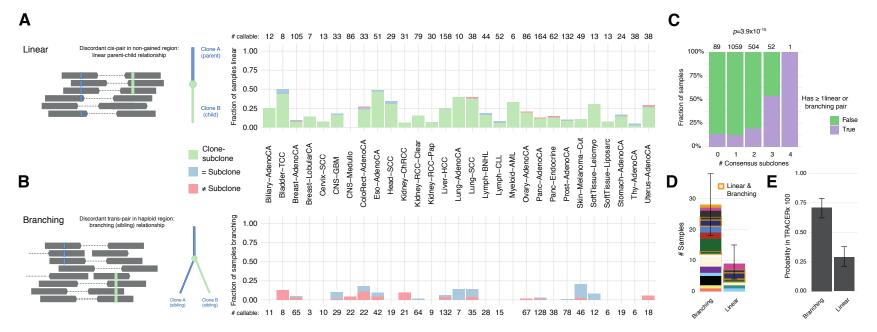


Figure 3. Overview and characterization of ITH across cancer types.

Evidence of ITH is shown for 1,705 samples with sufficient power to detect subclones at CCF > 30% (see **STAR Methods**). Samples have been limited to those with less than 2% tumor contamination in the matched normal sample and no activity of any of the identified artefact signatures (Alexandrov et al., 2020). Only representative samples (The ICGC/TCGA Pan-Cancer Analysis of Whole Genomes Consortium, 2020) from multi-sample cases are shown. (A) Bar plot showing the fraction of samples with given number of subclones; (B-E) Scatter plots showing the fractions of subclonal SNVs, indels, SVs and subclonal arm-level CNAs (the latter two mutation types are only plotted for samples that have at least 5 events, sample order is determined by increasing fraction of subclonal SNVs and conserved in the other three panels); Violin plots showing the total mutation burden (F) and overall fraction of the genome that does not have a copy number state of 1+1, or 2+2 in WGD samples (G); Heatmaps showing the fraction of tumor samples with whole genome duplications (H) and the mean power to identify subclones per cancer types (number of reads per clonal copy – nrpcc, see **STAR Methods)** (I).



# Figure 4. Further characterization of ITH using mutation phasing.

(A-B) Proportion of powered tumors with evidence of linear and branching phylogenies, through analysis of phased reads of variants in-*cis* (A) or in-*trans* (B) among tumors with at least one phaseable pair in the appropriate context. (C) Fraction of powered samples, stratified by number of consensus subclones, with at least one linear or branching pair ( $\chi^2$ -test for independence). (D) Number of samples with linear or branching pairs when sets are filtered to be comparable. Error bars indicate the 95% bootstrap interval. Samples are colored by tumor type and boxed (orange) when they present with pairs of both types. (E) Probabilities of observing a linear *vs.* branching relationship when picking two random subclones from TRACERx 100 trees (Jamal-Hanjani et al., 2017). Error bars indicate the 95% bootstrap interval.

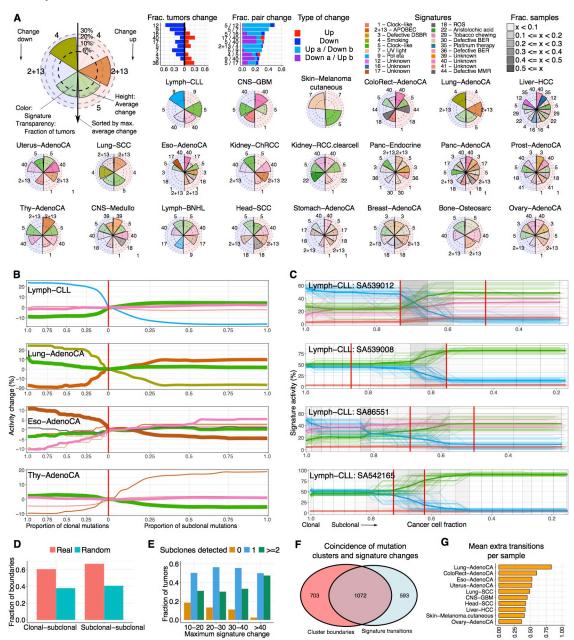
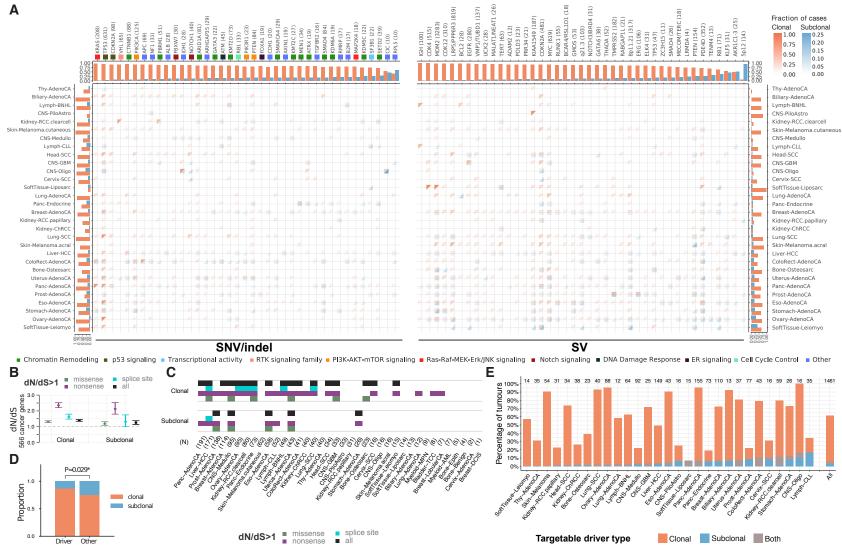


Figure 5. Subclonal boundaries are associated with changes in mutation signature activity.

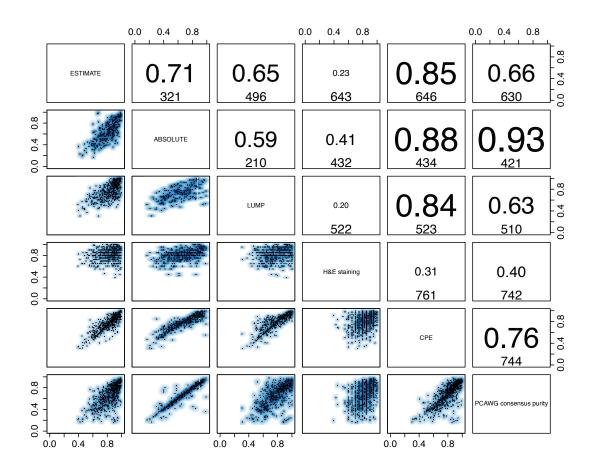
(A) Mutation signature changes across cancer types. Bar graphs show the proportion of tumors in which signature (pairs) change and radial plots provide a view per cancer type. Each radial plot contains the signatures that are active in at least 5 tumors and change ( $\geq 6\%$ ) in at least 3 tumors. The left and right side of the radial plot represent signatures that become less and more active, respectively. The height of a wedge represents the average activity change (log scale), the color denotes the signature and the transparency shows the fraction of tumors in which the signature changes (as a proportion of the tumors in which the signature are sorted around the radial plot (top-to-bottom) by

maximum average activity change. (B) Average signature trajectories for selected cancer types. Each line is colored by signature and corresponds to the average activity across tumors of this cancer type in which the signature is active. The width of the line represents the number of tumors that are represented. Mutations are split into clonal and subclonal, visually divided by a red vertical line. (C) Signature trajectories for selected individual CLL tumors. Each line corresponds to an activity trajectory derived from a bootstrap sample of SNVs. The grey vertical grid represents the mutation bins. These are colored grey when a significant change in signature activity is detected. Red vertical lines represent consensus subclonal mutation clusters. (D) The fraction of signature change points that coincide with boundaries between mutation clusters, as compared to what is expected when randomly placing change points. (E) The number of subclones detected in tumors grouped by the maximum detected signature activity change. (F) An overview of coinciding SNV cluster boundaries and signature activity change points. (G) The average number of additional signature change points detected per tumor.



#### Figure 6. Driver mutations and subclonal selection.

(A) Heatmap of the fraction of samples of the different cancer types with clonal (orange) and subclonal (blue) driver substitutions and indels (left panel) and structural variants (right panel). Marginal bar plots represent the fraction of clonal and subclonal driver mutations in each cancer type (side) and each driver gene or candidate region (top). Only genes with at least 4 subclonal driver mutations are shown. For SNVs and indel drivers (top left panel), gene set and pathway annotations highlight an enrichment of subclonally mutated drivers in chromatin remodeling. (B) dN/dS values for clonal and subclonal SNVs in 566 established cancer genes across all primary tumors. Values for missense, nonsense, splice site, and all mutations are shown, along with the 95% confidence intervals. (C) Cancer and mutation types for which dN/dS is significantly greater than 1 (95% confidence intervals>1) for clonal and subclonal mutations. Cancer types are ordered by the total number of samples. (D) Proportions of (sub)clonal driver gene fusions versus non-driver fusions. (E) Survey of targetable driver mutations across cancer types, stratified by clonal status.



#### Figure S1. Validation of consensus purity values.

The lower triangle shows pairwise scatterplots of the purities obtained through expression profiles of a panel of immune and stromal genes (ESTIMATE), somatic copy number data (ABSOLUTE), leukocyte unmethylation (LUMP), image analysis by hematoxylin and eosin staining (H&E staining), and consensus purity as derived by Aran *et al.* (Aran et al., 2015) (CPE). The top triangle shows the respective Pearson correlation coefficients and the number of samples that have both purity estimates available.

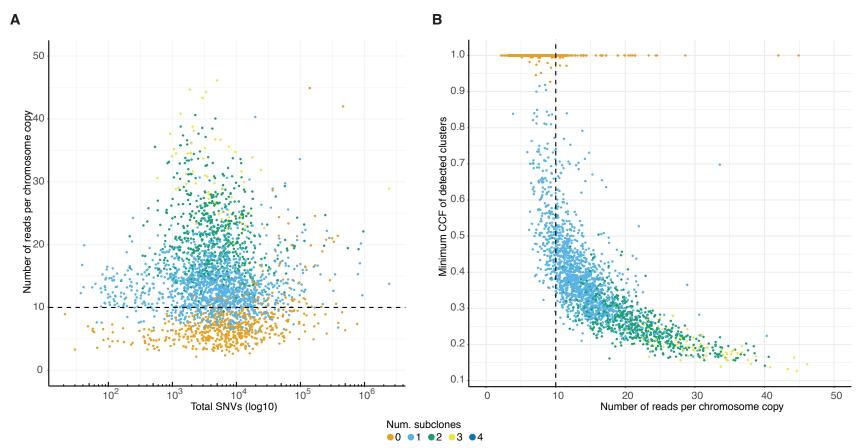


Figure S2. Power analysis of the consensus subclonal architecture approach.

(A) Our ability to detect subclones depends, not on the number of detected SNVs, but on the number of reads per clonal copy (nrpcc) available. This metric takes tumor purity, ploidy and sequencing coverage into account (see **STAR Methods**). We control for this effect by including only tumors with nrpcc  $\geq 10$ . In these tumors, we should be sufficiently powered to detect a subclone at a CCF as low as 30% (see **STAR Methods**). This becomes clear from (B) which shows the minimum CCF of the detected clusters in each tumor against the number of reads per chromosome copy.

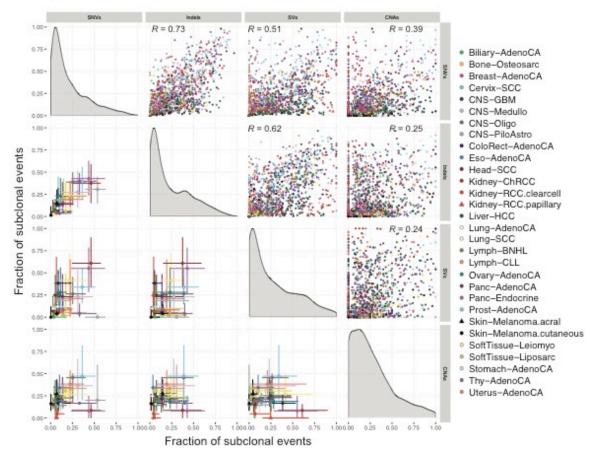


Figure S3. Correlation in ITH between SNVs, indels, CNAs and SVs by cancer type.

Evidence of ITH is shown for 1,705 samples with sufficient power to detect subclones above 30% CCF (see **STAR Methods**), as in **Figure 3**. Pairwise scatter plots in the upper triangle show the fractions of subclonal SNVs, indels, CNAs and SVs per tumor sample. Pearson's correlation coefficient, R, is separately computed for each panel across all samples. Panels on the diagonal show the kernel density estimate of the distribution of subclonal fractions. In the lower triangle, each point shows the median subclonal fraction per cancer type and intervals indicate the interquartile range. Panels only include samples with at least 5 arm-level CNAs (1,238 / 1,705) and at least 5 SVs (1,609 / 1,705).

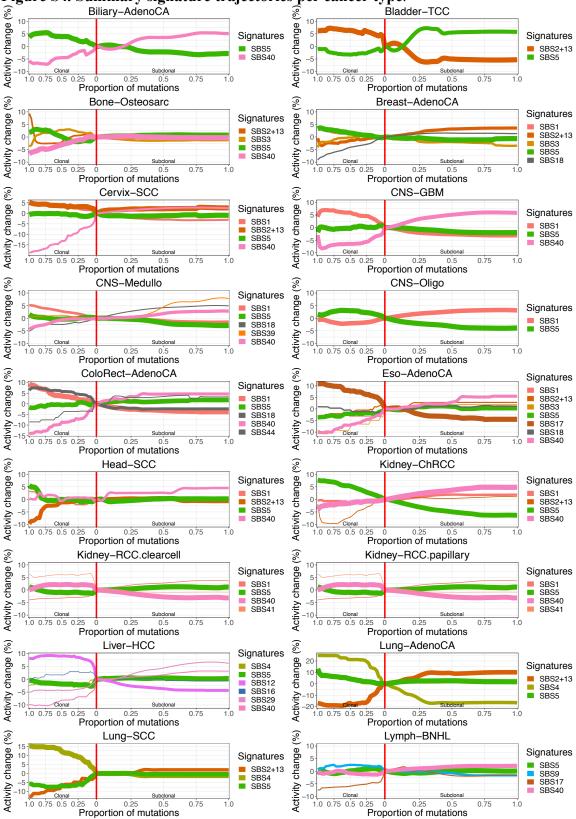
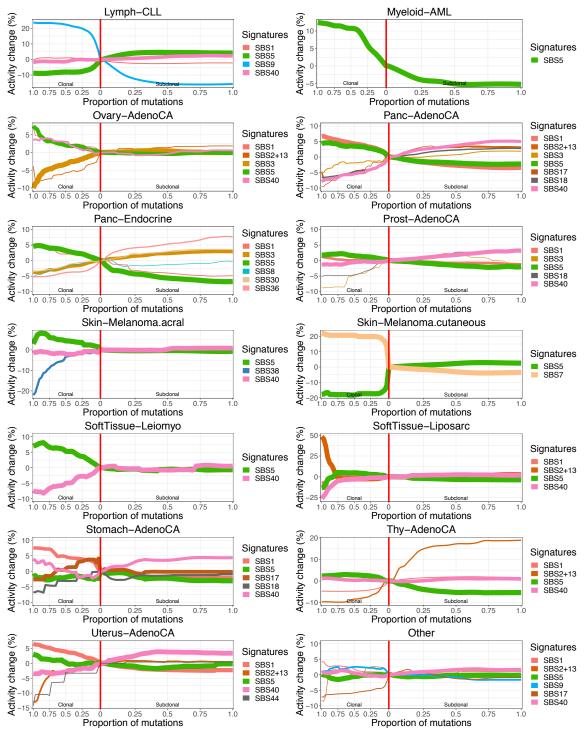


Figure S4. Summary signature trajectories per cancer type.



The average trajectories for mutation signatures were calculated across tumors of the same cancer type. The color of the line denotes the signature and its width reflects the number of contributing tumors. The trajectories have been centered around the activity at the boundary between clonal and subclonal mutations in order to highlight relative changes in signature activity.

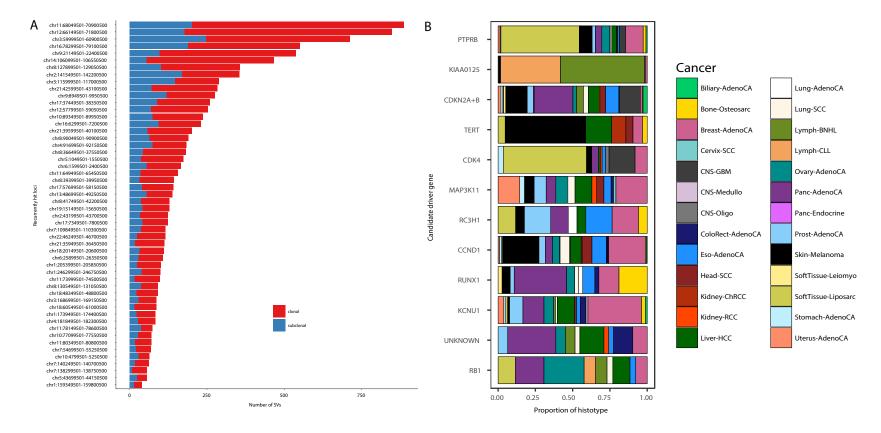


Figure S5. Clonality analysis of significantly recurrent breakpoints.

(A) Number and clonality of SVs observed at 52 loci with significantly recurrent breakpoints (SRBs) (Rheinbay et al., 2020). SVs with a subclonal probability larger than 50% were considered subclonal and clonal otherwise. (B) Proportion of cancer types contributing to the enrichment of clonal or subclonal SVs in a locus (see **Figure 6A**). The genes on the y-axis represent the most likely driver gene for each locus (Rheinbay et al., 2020).