

1 Parkinson Disease

2 Flanker task-elicited Event Related Potential sources reflect human recombinant 3 Erythropoietin differential effects on Parkinson's patients

4 Maria L Bringas Vega^{1,2*}, Shengnan Liu¹, Min Zhang¹, Ivonne Pedroso Ibañez², Lilia M.
5 Morales Chacon², Lidice Galan Garcia³ Vanessa Perez Bocourt⁴, Marjan Jahanshahi^{1,5}, Pedro
6 A Valdes-Sosa^{1,3*}

- 7 1. The Clinical Hospital of Chengdu Brain Science Institute, MOE Key Lab for Neuroinformatics,
8 University of Electronic Science and Technology of China, Chengdu, China;
9 2. Centro Internacional de Restauracion Neurologica CIREN, La Habana, Cuba;
10 3. Centro de Neurociencias de Cuba CNEURO La Habana Cuba
11 4. Miami Dade College, Florida USA
12 5. UCL Queen Square Institute of Neurology, London UK;

13 *These authors contributed equally to the paper.

14 Correspondence ML Bringas Vega and Pedro Valdes-Sosa [maria.bringas@neuroinformatics-](mailto:maria.bringas@neuroinformatics-collaboratory.org)
15 [collaboratory.org](mailto:pedro.valdes@neuroinformatics-collaboratory.org) pedro.valdes@neuroinformatics-collaboratory.org

16 Abstract

17 We used EEG source analysis to identify which cortical areas were involved in the automatic
18 and controlled processes of inhibitory control on a flanker task and compared the potential
19 efficacy of recombinant-human erythropoietin (rHuEPO) on the performance of Parkinson's
20 Disease patients.

21 The samples were 18 medicated PD patients (nine of them received rHuEPO in addition to
22 their usual anti-PD medication through random allocation and the other nine patients were
23 on their regular anti-PD medication only) and 9 age and education-matched healthy controls
24 (HCs) who completed the flanker task with simultaneous EEG recordings. N1 and N2 event-
25 related potential (ERP) components were identified and a low-resolution tomography
26 (LORETA) inverse solution was employed to localize the neural generators.

27 Reaction times and errors were increased for the incongruent flankers for PD patients
28 compared to controls. EEG source analysis identified an effect of rHuEPO on the lingual gyri
29 for the early N1 component. N2-related sources in middle cingulate and precuneus were
30 associated with the inhibition of automatic responses evoked by incongruent stimuli
31 differentiated PD and HCs.

32 From our results rHuEPO, seems to mediate an effect on N1 sources in lingual gyri but not on
33 behavioural performance. N2-related sources in middle cingulate and precuneus evoked by
34 incongruent stimuli differentiated PD and HCs.

35 Introduction

36 Discovering neuroprotective agents to slow down the progression of Parkinson's Disease (PD)
37 and, importantly, to improve cognitive deficits is an active area of research (Athauda &
38 Foltynie, 2015). The search for agents to supplement usual dopaminergic treatments directed
39 towards motor symptoms is not surprising since the characteristic motor impairment of
40 patients is usually accompanied by cognitive deficits (Kehagia, Barker, & Robbins, 2010). Since
41 cognitive dysfunction has a negative impact on the quality of life of patients (Schrag,
42 Jahanshahi, & Quinn, 2000); finding effective therapies that target cognition in PD is of
43 paramount importance. As an example, we found that human recombinant erythropoietin
44 (rHuEPO) (Pedroso et al., 2012) improved general measures of cognition in chronically
45 medicated PD patients, an additional benefit to that obtained on their usual medical
46 treatment. This result extends to PD the evidence for neuroprotective properties of rHuEPO
47 already described in other neurologic diseases (Brines & Cerami, 2005) and is supported by
48 the anti-apoptotic, anti-inflammatory and cytoprotective effects of EPO in PD animal models
49 (Sirén, Faßhauer, Bartels, & Ehrenreich, 2009) (Xue, Zhao, & Guo, 2007). This promising result
50 suggested the need to further study the effect of rHuEPO on cognition in PD.

51 We believe that to further understand the effect of rHuEPO on cognition in PD patients we
52 need to examine its effect on specific stages of information processing. This is because the
53 overt behavioural measures used in our previous study: a) do not have temporal sensitivity,
54 being the end outcome of many sequential processes, and b) do not reflect localized neural
55 activity. Consequently, and as a first objective, we zeroed in on very early automatic neural
56 processes involved in inhibitory control, the lack of which is so common in non-demented PD
57 patients. This early lack of inhibitory control is easily measured in a number of tasks such as
58 the Stop signal, go no-go, Stroop, Hayling Sentence Completion task and the Simon task
59 described in (Obeso et al., 2011) and (Seer, Lange, Georgiev, Jahanshahi, & Kopp, 2016).
60 However, we decided to use a very well-studied paradigm: Eriksen's Flanker Task (Eriksen &
61 Eriksen, 1974). It explores the lack of inhibition related to the difficulty in suppressing
62 interference by incongruent stimuli. It allows the evaluation of very short latency automatic
63 activation to incongruent flankers around 100 msec. and other, controlled processes, around
64 200 msec. These produce increased reaction times (RTs) and errors in incongruent trials
65 versus congruent trials in PD patients in comparison with normal (eg. (P Praamstra, Stegeman,
66 Cools, & Horstink, 1998; Peter Praamstra, Plat, Meyer, & Horstink, 1999; S A Wylie et al., 2009;
67 Scott A Wylie, Stout, & Bashore, 2005). It is, however, the early ERP responses that are of
68 interest here, not the overt behavioural response indexed by the RT which occurs later about
69 400 msec.

70 There is no clear way to study these early responses behaviourally. However, these
71 processes might be probed by direct measurements of fast neural responses such as those
72 provided by event-related responses (ERPs). In particular, the Flanker task elicits the N1, N2
73 and P3 ERP components, which are related to automatic and controlled process respectively
74 (Pires, Leitai, Guerrini, & Simoes, 2014). Here, we will focus only on the early components
75 N1 and N2. The N1 component has not been, to our knowledge sufficiently studied in the
76 Flanker task in PD. However, the fronto-central N2 on incongruent trials of flanker tasks in
77 patients with PD have received more attention (M Falkenstein, Willemsen, Hohnsbein, &
78 Hielscher, 2006; J. R. Folstein & Van Petten, 2008; Verleger et al., 2010; S A Wylie et al.,
79 2009; Scott A Wylie et al., 2005). The comparison of medicated PD patients and drug-naïve

80 de novo PD patients showed that neither the presence of PD (see also (Verleger et al., 2010)
81 nor dopaminergic medication modulates N2 amplitude variability on incongruent conditions
82 of flanker tasks (for a discussion see a review of ERP and cognition in PD by Seer et al.,
83 2017). It seems logical then to determine if the additional cognitive improvement produced
84 by rHuEPO with respect to dopaminergic treatment, is accompanied by changes in the early
85 components in the N1 and N2 ERP components, helping us to pinpoint one of the stages of
86 cognitive processing affected by this drug. Furthermore, in addition to finer grained timing
87 information, it is possible to leverage source localization methods to identify the neural
88 sources of any ERP component change.

89 Therefore, the aim of our study is to use a flanker task to identify if rHuEPO improves
90 automatic and controlled inhibitory control in PD patients and to locate the neural generators
91 of these processes. This could be a first step in identifying an ERP biomarker for this type of
92 cognitive process to be used in clinical trials.

93 **Materials and Methods**

94 **Methods:**

95 **Description of the Sample and Clinical Trial**

96 Eighteen PD patients (Hoehn and Yahr stages I to III, mean age 53.9, SD 3.2 years) were
97 recruited at the Clinic of Movement Disorders and Neurodegeneration, Centro Internacional
98 de Restauracion Neurologica (CIREN) in La Habana, Cuba to participate in a safety clinical
99 assay of Erythropoietin (rHuEPO) in PD. The design of this investigation, results, scheme of
100 application and doses employed may be found in (Pedroso et al., 2012). Inclusion criteria were
101 a clinical diagnosis of idiopathic PD according to the UK Brain Bank criteria and a good
102 response to dopaminergic treatment and aged between 45-75 years (Hughes, Daniel, Kilford,
103 & Lees, 1992). Exclusion criteria were manifestation or indicative signs of major cognitive
104 impairment, psychotic symptoms, and/or presence of other chronic diseases. Nine of the PD
105 patients, through random allocation, received additionally to their usual anti-parkinsonism
106 medication, rHuEPO for five weeks and the other nine did not. rHuEPO approved and
107 registered for use in humans was obtained at the Centro de Inmunologia Molecular, La
108 Habana Cuba (ior[®] EPOCIM). There were no significant differences in age, years of education
109 or duration of illness between the two PD groups. To exclude dementia and major depression,
110 the Mini Mental State Examination and the Hamilton Depression Scale were respectively
111 administered (M. F. Folstein, Folstein, & McHugh, 1975; Hamilton, 1960). All patients were
112 assessed on the motor subscale of the Unified Parkinson's Disease Rating Scale (UPDRS) both
113 during "on" (mean 6.3, SD1.1) and "off" medication (mean 21.7, SD 4.3) states.

114 For the purpose of comparisons, 9 healthy controls (HCs) matched in age (mean 51.2, SD 3.9
115 years) and educational level were recruited at the same clinic. The PD patients were tested
116 on their usual anti-parkinsonism medication. The patients and controls signed an informed
117 consent to participate in this study as a complement of the clinical trial following the CIREN
118 ethics committee regulations.

119 **Eriksen's Flanker Task**

120 All participants completed the Eriksen's Flanker task, while the EEG was simultaneously
121 recorded. Each trial of the task consisted of the presentation of a set of 5 ordered letters
122 (HHHHH or SSSSS) for the congruent condition and 5 letters with H or S at the centre and
123 different laterals or flankers (SSHSS or HSHSH) for the incongruent condition. Participants
124 were instructed to respond to the central letter, whether H or S, by pressing a key with the
125 index finger of the right or left hand respectively. Participants were instructed to respond as
126 fast and as accurately as possible. A total of 480 trials in two blocks, each lasting 8 minutes
127 were completed. In each block 80 stimuli were shown for the congruent condition and 160
128 for the incongruent. Only the correct responses with reaction times (RTs) >150 and <800
129 msec. were selected for analysis.

130 The physical characteristics of the stimuli were black letters on a white frame with a height =
131 1.5 cm. and Length= 7 cm., under 6° a visual angle. The distance of the participant to the
132 computer monitor was 60 cm. Each stimulus was presented at the centre of the screen for
133 190 msec., followed by a fixed interstimulus interval (ITI) of 1735 msec. A training block of 40
134 stimuli was designed to ensure task instructions were understood.

135 **ERP measurement**

136 The Electroencephalogram (EEG) was continuously recorded at a sampling rate of 512 Hz from
137 64 electrodes located at standard positions of the International 10/20 System using a Brain
138 Vision system (https://www.brainproducts.com/products_by_apps.php?aid=5) (Jasper,
139 1958). Linked ears were used as on-line reference and the front as earth. To monitor eye
140 movement artefacts, the electro-oculogram (EOG, horizontal and vertical) was recorded from
141 electrodes placed 1 cm to the left and right of the external canthi, and from an electrode
142 beneath the right eye.

143 Data were filtered using 1-30 Hz and a notch filter to eliminate the 60Hz powerline artefact.
144 All data were referenced using an average reference to all the channels. The baseline was
145 corrected between -200 to -0 msec. Epochs with electric activity exceeding baseline activity
146 by 100 µV were considered as artefacts and were automatically rejected from further
147 processing (15% of epochs related to hits and 11% of the epochs related to errors). For the
148 analysis, several electrodes were excluded (EOG, ECG, TP9 and TP10).

149 ERPs were obtained from the EEG recordings for each participant for all the electrodes
150 within the two experimental conditions and averaged over the two groups using Analyzer
151 software (<https://www.brainproducts.com/productdetails.php?id=17>). Epochs of 800 msec.
152 (from -200 msec. (baseline) until 600 msec. post-stimulus onset) were analyzed locked to
153 the stimulus. We selected two windows to examine the stimulus-locked ERPs, using only the
154 correct response averages for the N1 (80-180 msec.) and N2 (200-300 msec.) components in
155 the expected time-windows (see ERPs guidelines in (Picton et al., 2000). Henceforth we will
156 refer to these averages simply as the amplitude of the N1 and N2 components. The average
157 waveform for each participant and each condition was estimated in all the electrodes, but
158 the averaged waveform for group are plotted below for the electrode with the higher
159 statistics amplitudes.

160 In order to localize the generators of the ERP components, a lead field was constructed for
161 each participant to calculate the (volume-constrained) inverse solution, at the two selected
162 latencies using LORETA (Low Resolution Tomography) (<http://www.uzh.ch/keyinst/loreta>) .
163 (Pascual-Marqui et al., 1999) For LORETA, the intracerebral volume is partitioned into 6239
164 voxels at 5mm spatial resolution.

165 **Statistical analysis**

166 We now summarize the experimental design. Our sample is divided into 3 **Groups**: 9
167 Parkinson patients with the usual treatment (PD Control), 9 patients with the usual treatment
168 plus EPO (PD rHuEPO), and 9 healthy controls (HCs). Additionally, the ERPs for each
169 participant was recorded in two conditions: congruent and incongruent.

170 For each participant the following variables were used in this paper:

- 171 1. Reaction Time and errors to the Flanker task
- 172 2. Amplitude of the N1 and N2 ERP component at the 60 EEG scalp electrodes.
- 173 3. Power of the N1 and N2 sources component for the 6239 source voxels.

174 The statistical analyses performed were:

- 175 a) Reaction Times and errors were analysed using a two-way repeated measure ANOVA
176 with the with Group (HCs, PD Control and PD rHuEPO) as the between group factor
177 and the experimental condition (incongruent versus congruent) as the within-subject
178 repeated measures factor. We report the F statistic and the p value for tests of the
179 main effect and the interaction. The Greenhouse-Geisser adjustment was applied
180 since lack of sphericity was observed. These analyses were completed with STATISTICA
181 7.0.
- 182 b) An exploratory analysis of the differences in ERP amplitude topographies between the
183 HCs and PD Control +PD rHuEPO groups was carried out by means of a multivariate t
184 test that corrects for multiple comparisons by means of a permutation technique. The
185 permutation test has the following advantages: the tests are distribution free that
186 control the experiment-wise error for the simultaneous univariate comparisons, no
187 assumptions of an underlying correlation structure are required, and they provide
188 exact p-values valid for any number of subjects, timepoints and all 60 electrodes. The
189 overall significance level was selected to be 0.05. The method is described in (Galán,
190 Biscay, Rodríguez, Pérez-Abalo, & Rodriguez, 1997; Galan, Biscay, Valdes, Neira, &
191 Virues, 1994) as implemented in the software NEEST from Neuronic
192 <http://www.neuronicsa.com/>. This allowed the selection of a:
 - 193 1- A subset of electrodes to be subjected to Multivariate Analysis of Variance
194 (MANOVA) to be described in c) below.
 - 195 2- The selection of most representative electrodes to plot the N1 and N2 grand
196 average ERPs.
 - 197 3- The analysis of time intervals to be further studied.
- 198 c) Examine for each ERP component, and for their selected group of electrodes, a
199 repeated measures Multivariate Analysis of Variance (r-MANOVA) for the design
200 Group by Condition with a significance level set at the 0.05 level. The different

201 contrasts for the interaction and main effects were tested by using the Wilk's lambda,
 202 approximated by an F function and the p value reported. Note that this allows a
 203 simultaneous confidence interval for contrasts on group differences and to examine
 204 which electrode contribute to the effects. The MANOVA was that implemented in the
 205 STATISTICA 7.0. package.

206 d) Further analysis for selected differences of the ERP component source images
 207 between selected groups was carried out using the LORETA-built-in voxel-wise
 208 randomization tests with 2000 permutations (Nichols & Holmes, 2001), based on
 209 statistical nonparametric mapping. Voxels with significant differences ($p < 0.01$,
 210 corrected for multiple comparisons) between contrasted conditions were located with
 211 the coordinates of the AAL (Automated Anatomical Labelling of Activations) 116
 212 structures atlas of the Montreal Neurological Institute (MNI) (Tzourio-Mazoyer et al.,
 213 2002).

214 Results

215 Behavioural results:

216 **Reaction time.** The differences between the three groups were significant for Factor Group:
 217 ($F(2,24)=7.47$, $p=0.003$), the Condition was not significant as we predicted in the preliminary
 218 analysis ($F(2,24)=3.22$, $p=0.06$). The interaction of Group*Condition also was not significant
 219 ($p > 0.8$). The contrast between the two groups of patients (PD Control and PD rHuEPO) didn't
 220 show differences in the reaction time ($F(2,15)=0.62$, $p=0.55$). Table 1 shows the performance
 221 of the PD groups separately and Table 2 the fusion of PD patients versus HCs.

222 **Errors.** The differences between the errors in the three groups were significant for Factor
 223 Group: ($F(2,15)=10.49$, $p=0.0014$), and for Condition, ($F(2,24)=11.6$, $p=0.0003$), but not for the
 224 interaction Group*Condition ($p=0.1$). The comparison between the two PD groups were
 225 significant only for Condition, incongruent ($F(1,16)=55.3$, $p=0.00001$, and not for the
 226 congruent condition ($F(1,16)=1.88$, $p=0.18$).

	PD rHuEPO n=9		PD Control n=9	
	congruent	incongruent	congruent	incongruent
	Means (SD)	Means (SD)	Means (SD)	Means (SD)
Reaction times msec.	459.33 (71.76)	479.89 (49.43)	460.22 (72.10)	488.22 (63.76)
Percent errors	13.22 (7.76)	43.22 (21.37)	8.78 (6.76)	32.00 (15.79)

227 **Table 1: The results of the reaction times and the percent errors for the congruent and incongruent trials**
 228 **for the PD patients with and without rHuEPO. The values in the table are means with standard deviations**
 229 **in parenthesis.**

230 When using the contrast comparing all PD patients and HCs (Table 2), the results were
 231 consistent with previous findings where the RTs increased with incongruent flankers
 232 compared to congruent for both groups.

233

	(PD rHuEPO + PD Control) n=18		HCs n=9	
	congruent	incongruent	congruent	incongruent
	Means (SD)	Means (SD)	Means (SD)	Means (SD)
Reaction time msec.	459.78 (69.79)	484.06 (55.51)	411.22 (52.00)	431.33 (43.47)
Percent errors	9.00 (3.81)	37.61 (19.12)	3.33 (2.40)	11.00 (7.42)

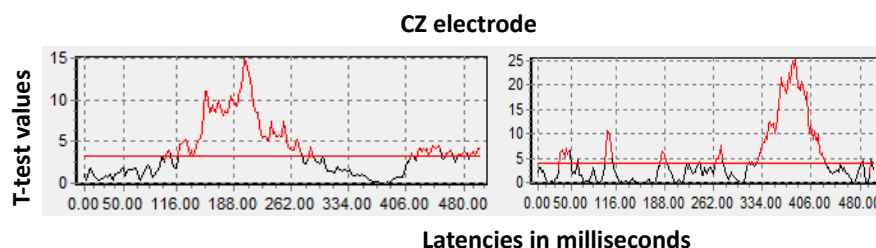
234 **Table 2: The results of the reaction times and percent errors for the congruent and incongruent trials for the**
 235 **Parkinson's. disease (PD) patients and healthy control (HCs) groups. The values in the table are means with**
 236 **standard deviations in parenthesis.**

237 Exploratory results of ERPs

238 As mentioned in the Methods, the multivariate t tests corrected for multiple comparisons
 239 with permutation tests provides exact p-values, valid for any number of participants,
 240 timepoints and recording sites yielded as significant the ERP components in the midline at the
 241 0.05 level. Within this group the most significant ERP was Oz for N1 and Cz for N2 as described
 242 in the literature. We will therefore concentrate on these electrode sets henceforth since they
 243 all are significant above the globally valid significance threshold.

244 The same procedure allows, additionally, to select the time windows and which factor
 245 (Condition or Group) to be further analyzed. Figure 1 illustrates, for one derivation, the
 246 statistics shown above the red line, the latencies with significance for each factor (Group or
 247 Condition) in all the time window for analysis. The interaction between them was not
 248 significant at any time. The exploratory analysis between experimental conditions did not
 249 reflect significant differences in the time range for the early ERP components N1 and N2
 250 (around 100 and 200 msec. respectively).

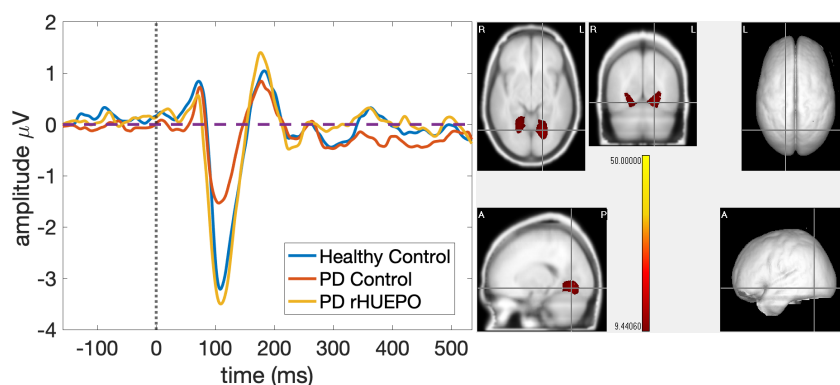
251 Note that the significant differences for Condition are in the range of the P300 or later, not in
 252 the scope of our study. For that reason, we focus all the further analysis on the incongruent
 253 condition, which is the condition which elicits inhibitory control. Nevertheless, henceforth we
 254 continue to report the full two-way analysis (Group x Condition), though concentrating on the
 255 Group Factor analyses.



256
 257 **Figure 1. Left: t values for the tests of differences between Groups independently of Condition. Right: the t**
 258 **values tests for differences between Condition independently of Group. The read line indicates the statistical**
 259 **significant threshold (corrected for all electrodes and all times by a multivariate permutation test).**

260 Analysis of the N1 component:

261 We tested the N1 amplitudes with the repeated measures rMANOVA (Group X Condition),
262 and examined the main effects and the interaction between them. The interaction and the
263 factor Condition were not significant ($p=0.23$). However, the main effect of Group was
264 significant with a Wilk's Lambda=0.40, $F(8,42)=2.97$, $p=0.009$. A contrast between the two
265 groups of patients was also significant with a Wilk's Lambda=0.47, $F(4,13)=1.2$, $p=0.003$.
266 Furthermore, with electrode-wise contrasts 13 electrode sites **F4, FC2, FC4, FC6, C2, C4, C6,**
267 **CP2, O1, O2, Oz, PO3, PO4, PO7, PO8** retained significance. Note that the N1 at the O1
268 electrode followed the following pattern (See Figure 2): the amplitude of the PD rHuEPO
269 group ($-4.2 \mu\text{V}$) was not different statistically from that of the HCs. On the other hand, the
270 amplitude of the PD Control group ($-1.2 \mu\text{V}$) was significantly lower.



271
272 **Figure 2: Left: the group average N1 waveform for each group in the window (80-180 msec.) in the electrode**
273 **site O1 with the highest amplitude. The N1 peak was at 152 msec. Right: The Lingual Gyri are the sources of**
274 **the N1 component according to AAL coordinates (X=92, Y=76, Z=172). The scale of statistical significance is**
275 **self generated using the real values of the original data. All the voxels plotted were significant at $p < 0.01$.**

276 The localization of the differences between the two Parkinson groups of this component are
277 localized anatomically by means of the randomized nonparametric test for LORETA. This
278 showed that the PD rHuEPO had a larger N1 component than the PD Control group at the $p <$
279 0.01 level (corrected for multiple comparisons) at the lingual gyri (See right side of Figure 2).

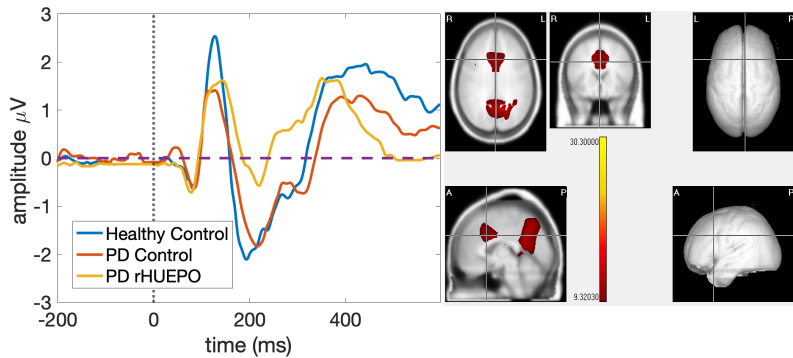
280 Analysis of the N2 component:

281 We tested the N2 amplitudes with the repeated measures rMANOVA (Group X Condition),
282 and examined the interaction and the main effects. The interaction was not significant with a
283 Wilk's Lambda=0.43, $F(6,44)=2.97$ and the factor Condition was also not significant ($p=0.323$).

284 The main effect of Group (comparing **three groups**) was significant, $F(2,24)=6.14$, $p=0.006$, in
285 seven fronto-central electrodes: Cz ($F(2,24)=6.50$, $p=0.005$), CPz ($F(2,24)=4.43$, $p=0.02$), CP1
286 ($F(2,24)=5.9$, $p=0.008$), CP2 ($F(2,24)=5.6966$, $p=0.00945$), C1 ($F(2,24)=3.6125$, $p=0.04251$), C2
287 ($F(2,24)=4.6242$, $p=0.02$).

288 A contrast between the **two groups** of patients was also significant in fronto-central areas,
289 the electrodes Cz ($F(2,24)=4.43$, $p=0.002$), CPz ($F(2,24)=6.5$, $p=0.005$) and FC1, FC2, C1, C2
290 ($p < 0.05$). There were no significant differences between conditions or interaction between
291 factors.

292 Note that the N2 grand average at the Cz electrode followed an opposite pattern than N1
 293 (See Figure 4): the amplitude of the PD Control group (-2.10 μ V) and healthy controls (-2.46
 294 μ V) was not different statistically. On the other hand, the amplitude of the PD rHuEPO group
 295 (-0.67 μ V) was significantly lower than both of them. See Table 3 for details of amplitude and
 296 latencies of N2 in Cz.



297
 298 **Figure 3: Left: the N2 waveform averaged by groups in the window (200-300 msec.) in the electrode site Cz**
 299 **with the highest amplitude. Note for the HC group the early 195 msec. latency and for both PD patients a later**
 300 **peak around 224 msec. Right: The N2 component showed maximal activation at middle cingulum and**
 301 **precuneus bilaterally (left located at X=92, Y=108, Z=156). To the right the localization of the precuneus left.**
 302 **The bicolor scale is showing all the significant values after Bonferroni correction and using permutations.**

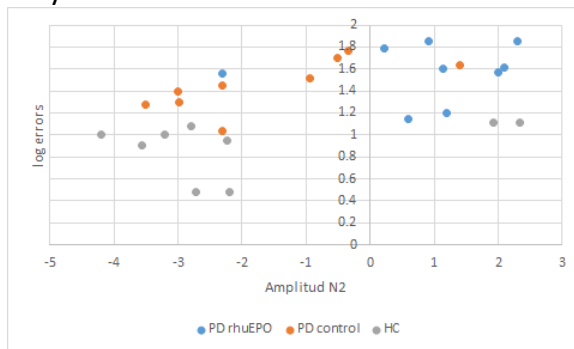
Grupos	Amplitude (μ V)		Latency (msec.)	
	Cz- Cong	Cz- Incong	Cz- Cong	Cz- Incong
HCs	-2.4	-2.45	195	199
PD Control	-2.10	-2.09	226	224
PD rHuEPO	-0.56	-0.67	224	223

303 **Table 3: The measures of amplitude and latency of the N2 component for the two conditions Congruent and**
 304 **Incongruent at the electrode Cz which exhibited the highest amplitude.**

305 The source analysis of the differences (**comparing the three groups**), for the N2 component,
 306 was localized anatomically by means of the LORETA randomized nonparametric test ($p < 0.01$
 307 level corrected for multiple comparisons) at the the middle cingulum and precuneus
 308 bilaterally. See Figure 3, right side.

309 In order to know if the errors were related to the N2 amplitude, we select a linear mixed
 310 effect model, and carried out a repeated measures ANOVA log(errors) x Group x amplitudeN2.
 311 But the results were not significant for the interaction of log(errors) with the N2 amplitude,

312 only the main effect for Group ($p=0.001675$). See Figure 4.



313

314 **Figure 4: the plot of the N2 and log(errors) of the three groups. Note the variability of the data with 2 outliers**
315 **of the HCs and 1 outlier of the PD Control group with positive amplitudes of N2.**

316 Discussion

317 The current study was designed to examine if the novel rHuEPO neuroprotective compound,
318 given to Parkinson patients in addition to their usual medication changed the amplitude of
319 ERP components during an inhibitory control task.

320 The behavioural results were consisted with previous studies in PD patients in both the
321 rHuEPO and PD Control groups. Both groups showed significantly increased reaction times
322 and a higher number of errors to the incongruent stimuli during the performance of the
323 flanker task as compared to age and education matched HCs. These higher error rates in PD
324 controls are consistent with the proposal that the basal ganglia together with the anterior
325 cingulate (Botvinick, Cohen, & Carter, 2004) participate in the monitoring of incongruence
326 and error monitoring (Brázdil et al., 2002)(Michael Falkenstein, Christ, & Hohnsbein, 2000)
327 which may be impaired in PD due to the dopamine deficiency (for a recent revision of how
328 the progressive dopamine deficiency reduces striatal cholinergic interneuron activity see
329 (McKinley et al., 2019).

330 It should be noted that we did not find the expected beneficial effect of rHuEPO on
331 behavioural performance (RT and accuracy) in PD patients who received the
332 neuroprotective agent as compared to those that only received the usual treatment. Rather,
333 the differences between groups of patients were found in the ERP components. This is in
334 accordance with our hypothesis that an overall behavioural response might be noisier than
335 some of its time parsed substages. This suggests further studies to identify overt
336 behavioural responses at similar short time scales as ERP components. On the other hand,
337 as it sometimes happens with this type of clinical study the small sample size may lead to
338 lack of power to detect subtle effects.

339 **Regarding the N1 component.** This component reflects selective attention, linked to the basic
340 characteristics of a stimulus, and also to the recognition of a specific visual pattern (Luck,
341 Woodman, & Vogel, 2000). N1 amplitude also has been hypothesized to reflect sustained
342 covert visual attention (Di Russo, Martinez, & Hillyard, 2003) being associated with the
343 intensity of covert attention to the central target in the flanker task. In terms of spatial
344 localization, the N1 amplitude is greater in occipital regions (Luck et al., 2000; Mangun &
345 Hillyard, 1990). The neural sources of the N1 in Flanker tasks were located at the brain visual
346 areas of the occipital cortex (Herrmann & Knight, 2001; Hillyard & Anllo-Vento, 1998; Luck et

347 al., 2000). For example, Bokura (2001) using LORETA identified additional sources of the visual
348 N1 in the occipito-temporal lobe (Bokura, Yamaguchi, & Kobayashi, 2001) and Zhang (2017)
349 (Zhang, Brandt, Schrepf, Beste, & Stock, 2017) also localized N1 for Flanker source in extra-
350 striate visual cortex. We thus expected the differences between PD groups to be localized on
351 the scalp in the occipital electrodes and the sources to be in brain occipital areas.

352 This is what we found: the generators of N1, both in the scalp topography and using LORETA,
353 in the visual areas of the occipital lobe of both hemispheres. The activation of the source for
354 the PD patients who received rHuEPO was much larger that of the PD group who did not
355 receive it. In fact, the response of the rHuEPO group became statistically indistinguishable
356 from that of the HCs, suggestive of a possible neuroprotective effect of rHuEPO on the lingual
357 gyrus, a region associated with the early and automatic processing of visual stimuli. In
358 summary, our findings suggested an effect of rHuEPO on the visual attentional window in the
359 early information-processing stage, thus enhancing the automatic processing of flankers
360 regardless of their compatibility.

361 **Regarding the N2 component.** The second component N2 has been found in several studies
362 of inhibition using the Flanker task and its amplitude and latency was unaltered in medicated
363 PD patients (for a review see (Seer et al., 2016)). Van Veen and Carter (Veen & Carter, 2002)
364 used BESA source localization to study inhibition and response conflict in the Eriksen Flanker
365 Task, determining that the N2 amplitude associated with incongruent trials can be explained
366 by a dipole that is located in the ACC. Bokura et al. (Bokura et al., 2001) also conducted an
367 experiment to understand the anatomical structures that are involved in N2 using a visual
368 modality of the Flanker paradigm and LORETA which located the N2 generators at cingulate
369 and the right lateral orbitofrontal cortex.

370 In our study, we found that the amplitude of N2 component for the PD control and HC groups,
371 were statistically indistinguishable. But the N2 amplitude in the rHuEPO PD group was
372 diminished with respect to the other two groups. These effects were topographically located,
373 as expected, in the fronto-central areas, with neural generators of these differences localised
374 to the posteromedial portion of the parietal lobe, the precuneus, a structure involved in the
375 processing of perceptual ambiguities of stimuli (Cavanna & Trimble, 2006) and in the middle
376 cingulate cortex, probably related to monitoring of conflict in the Flanker task (Enriquez-
377 Geppert et al., 2013). In comparison with previous reports, we concur with Van Eimeren who
378 found dysfunction of the default mode network and particularly deactivation of the posterior
379 cingulate cortex and the precuneus (van Eimeren, Monchi, Ballanger, & Strafella, 2009) in PD
380 relative to healthy controls, considering these changes in PD closely related to higher errors
381 in executive tasks in PD compared with healthy controls.

382 However, in our study the striking decrease of the N2 produced by rHuEPO needs further
383 research to find an adequate explanation.

384 **Behaviour versus ERPs**

385 Contrary to our expectation, rHuEPO was not associated with a significant improvement in
386 behavioural performance and did not influence the neural generators of the N2.

387 The ERP allows neural activity tracking on a millisecond time scale and represents a
388 continuous measure of information processing, for that reason we selected the ERP to study
389 a more refined measure of the process of inhibitory control.

390 This apparent contradiction between behavioural and electrophysiological results could be
391 related to their different temporal course. Note that the inhibition is a complex process that
392 can be automatically initiated in the first 100 msec. post-stimulus and extend its action
393 through both automatic and controlled processes until 800 msec. Reaction time, on the other
394 hand, started much later >400 milliseconds after the stimulus presentation, with a strong
395 motor component to complete the response.

396 Therefore, the aim of our study is to use a flanker task to identify if rHuEPO improves
397 automatic and controlled inhibitory control in PD patients and to locate the neural generators
398 in these processes. This could be a first step in identifying an ERP biomarker for this type of
399 cognitive process to be used in clinical trials.

400 **Limitations**

401 Since this study was completed as part of a safety trial, the samples and the doses employed
402 were small. This might also explain the lack of clear correlations with behaviour, for example
403 reaction time with N2 amplitude. Thus, the results require confirmation with larger samples
404 in future studies. However, the results highlighted the role of EEG source analysis and
405 advantages of electrophysiology with its high temporal resolution and insensitivity to placebo
406 effects, in identifying brain changes after an intervention such as rHuEPO.

407 **Conclusions**

408 -We found that rHuEPO improved automatic inhibitory control in PD patients but did not
409 improve behavioural performance.

410 -The differences between PD rHuEPO and PD Control groups was in the N1 component at the
411 lingual gyrus. The differences between PD and healthy controls was on the N2 component in
412 the cingulate and precuneus.

413 -Electrophysiology is potentially a useful tool for identifying effects of neuroprotective
414 compounds on different stages of information processing.

415 -The components N1 and N2 as well as others like P3 should be further studied as possible
416 biomarkers for the evaluation of neuroprotective drugs in Parkinson's Disease.

417 **Data Availability**

418 The tables with the behavioural performance (reaction time, hits and errors) and the N2
419 amplitude for the averaged time window (200-300 milliseconds) of the samples was
420 submitted in the supplementary material 1. The raw and pre-processing EEG recordings in
421 BrainVision format with all the individual and grand average potentials for group and
422 condition can be available by request to maria.bringas@neuroinformatics-collaboratory.org

423 **Conflicts of Interest**

424 The authors declare that there is no conflict of interest regarding the publication of this
425 paper.

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435 **Supplementary Materials**

436 The supplementary material 1 consisted in one excel table with the behavioural
437 performance of the subjects during the Flanker task. Tab “Answers”: the hits, errors and
438 non-answers in the congruent and incongruent condition. Tab “Reaction Time”: the mean
439 and standard deviation (SD) of the hits, errors of each subject in each group for congruent
440 and incongruent trials.

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