

1 **Ancient mitochondrial DNA pathogenic variants putatively associated with mitochondrial**
2 **disease**

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21 **Keywords:** mitochondrial genomes, ancient DNA, pathogenic variants

22 **Abstract**

23 Mitochondrial DNA variants associated with diseases are widely studied in contemporary
24 populations, but their prevalence has not yet been investigated in ancient populations. The publicly
25 available AmtDB database contains 1443 ancient mtDNA Eurasian genomes from different
26 periods. The objective of this study was to use this data to establish the presence of pathogenic
27 mtDNA variants putatively associated with mitochondrial diseases in ancient populations. The
28 clinical significance, pathogenicity prediction and contemporary frequency of mtDNA variants
29 were determined using online platforms. The analyzed ancient mtDNAs contain six variants
30 designated as being “*confirmed pathogenic*” in modern patients. The oldest of these, m.7510T>C
31 in the *MT-TS1* gene, was found in a sample from the Neolithic period dated 5800-5400 BCE. All
32 six have well established clinical association, and their pathogenic effect is corroborated by very
33 low population frequencies in contemporary populations. In addition, ten variants designated as
34 possibly or likely pathogenic were detected. The oldest of these were two variants in the *MT-TD*
35 gene, m.7543A>G and m.7554G>A, from Neolithic samples dated 8205-7700 BCE. A novel
36 mutation in contemporary populations, m.4440G>A in the *MT-TM* gene, is established in 12 ancient
37 mtDNA samples from different periods ranging from 2800 BCE to 920 CE. The pathogenic effect
38 of these possibly/likely pathogenic mutations is not yet well established, and further research is
39 warranted. All detected mutations putatively associated with mitochondrial disease in ancient
40 mtDNA samples are in tRNA coding genes. Most of these mutations are in a mt-tRNA type (Model
41 2) that is characterized by loss of D-loop/T-loop interaction. Seven mutations are located in CS-
42 Anticodon stem, 4 are located in AS-Acceptor stem, 2 in TS-TΨC stem, and single mutations are
43 found in DL-Dihydrouridine Loop, CL-Anticodon Loop and DS-Dihydrouridine stem. Exposing
44 pathogenic variants in ancient human populations expands our understanding of their origin.

45 **Introduction**

46 The scarcity of prehistoric human remains hampers obtaining complete picture of disorder
47 incidence in ancient times. Altered or affected bones in skeletal remains might provide information
48 about certain diseases, such as cancers (1, 2) and rheumatic diseases (3). A lesion on an archaic
49 *Homo* mandible from Kanam, Kenya (Middle to Late Pleistocene) (4) and a fibrous dysplasia on a
50 Neanderthal rib (older than 120000 years) from the site of Krapina, Croatia (5) are early
51 confirmation of neoplastic disease. Neoplastic tumors have however been detected in early *Homo*
52 samples as old as 1.7 million years ago, and these provide further insight into the outset of human
53 cancers (6). Mummified human remains of a 5300-year-old Neolithic man (Ötzi, The Tyrolean
54 Iceman) show hardening of the arteries, suggesting predisposition for coronary heart disease (7).
55 Based on information on subsistence, geography and sample age, Berens *et al* (2017) estimate the
56 genetic disease risk for 3180 loci in 147 ancient genomes, and find it to be similar to that of modern
57 day humans (8). Focusing on individual genomes, however, they estimate that the overall genomic
58 health of the Altai Neanderthal is worse than 97% of present day humans and that Ötzi the Tyrolean
59 Iceman had a genetic predisposition to gastrointestinal and cardiovascular diseases (8).

60 Data on the prehistoric origin of mitochondrial diseases is however notably lacking. The first
61 disease linked directly to mtDNA mutation was discovered in 1988 (9). Recently, whole-genome
62 sequencing of mtDNA has led to significant advances in our understanding of mitochondrial
63 diseases. Rare pathogenic mutations in mitochondrial DNA cause monogenic mitochondrial
64 diseases involving multiple systems and are associated with variable clinical phenotypes. The
65 severity of the clinical and biochemical phenotype caused by pathogenic mtDNA mutations has
66 however been found to be roughly proportionate to the percent mutant heteroplasmy (10, 11).
67 Nucleotide polymorphisms accumulate in mtDNA during human evolution forming mitochondrial

68 haplogroups, and these also might alter the penetrance of mitochondrial diseases (12). Specific
69 subclades of haplogroup J, for example, have been shown to affect the penetrance and
70 pathogenicity of Leber's hereditary optic neuropathy (13). Certain mtDNA mutations and
71 haplogroups are also predictors of both lifespan and risk of various age-associated disease,
72 including degenerative diseases, cancer, diabetes, heart failure, sarcopenia and Parkinson's disease
73 (14).

74 We had previously performed whole-genome sequencing on 25 Thracian mtDNA samples dated
75 3000-2000 BCE, and 608 mtDNA variants were detected (15). Only one of these, however,
76 m.15326A>G (rs2853508) is designated as likely pathogenic, associated with familial breast cancer
77 (16). This variant was found in all analyzed by us samples, and MitoMap (2019, update nr.3)
78 database estimates 0.98 population frequency (17). Such high frequency leads to the conclusion
79 that this variant is common and probably not disease related.

80 The objective of this study was to investigate pathogenic mutations in ancient mtDNA and thus to
81 provide further insight into the emergence of mitochondrial diseases.

82 **Materials & Methods**

83 We used the comprehensive data of the ancient mtDNA genome sequences from the Ancient
84 mtDNA database (18) which compiles human mitochondrial variation studies in ancient
85 populations. We analyzed the *fasta* files of 1443 samples from different periods: Paleolithic 10,
86 Mesolithic 96; Neolithic 341; Copper 242; Bronze 368; Iron 152 and Middle Ages 234, analyzed
87 by whole genome sequencing. The total number of variants detected in these samples was 3191.

88 We used various publicly available databases to gather information to identify mtDNA sequence
89 variants and to gather information about each variant, including its clinical significance and
90 contemporary population frequencies:

91 • MitoMap (2019, update nr.3) is a human mitochondrial genome database that contains
92 14383 SNVs, 49135 full-length sequences and 72235 control region sequences (17).

93 MitoMap classifies a variant as being “confirmed pathogenic” if it meets the set of criteria outlined
94 by Mitchell et al 2006 (19), Yarham et al 2011 (20), Wong 2007 (21) and Gonzalez-Vioque et al
95 2014 (22): (1) independent reports of two or more unrelated families with evidence of similar
96 disease; (2) evolutionary conservation of the nucleotide (for RNA variants) or amino acid (for
97 coding variants); (3) presence of heteroplasmy; (4) correlation of variant with phenotype /
98 segregation of the mutation with the disease within a family; (5) biochemical defects in the
99 OXPHOS genes constituent complexes I, III, or IV in affected or in multiple tissues; (6) functional
100 studies showing differential defects segregating with the mutation (cybrid or single fiber studies);
101 (7) histochemical evidence of a mitochondrial disorder; and (8) for fatal or severe phenotypes, the
102 absence or extremely rare occurrence of the variant in large mtDNA sequence databases.

103 • MITOMASTER was used to identify, annotate and evaluate the potential biological
104 significance of nucleotide variants (23).

105 • GenBank database provides access to the most up-to-date and exhaustive DNA sequence
106 information, and was used to get information on variant frequencies in contemporary
107 populations (24).

108 • MitoTIP is an *in silico* tool for predicting pathogenicity of novel mitochondrial tRNA
109 variants (25). It integrates multiple sources of information, including the position of the
110 variant within the tRNA, conservation across species and population frequencies, to provide
111 a prediction for the likelihood that novel single nucleotide variants would cause disease.

112 • HmtVar uses algorithms to determine the importance of the variant position in tRNAs and
113 was utilized to predict the pathogenicity and potential impact of mtDNA variants (26).

- 114 • Complementing the information obtained using the abovementioned tools, literature survey
115 was performed on variants designated as “*confirmed pathogenic*” in an effort to acquire
116 comprehensive picture of the evidence for their disease causing effect.

117 **Results**

118 Out of 3191 unique mtDNA variants established in the 1443 analyzed ancient samples, six are
119 designated as being “*confirmed pathogenic*” and 10 as likely/possibly pathogenic by MitoMap. For
120 each of these variants, we review the available evidence in HmtVar and in the scientific literature
121 for their pathogenic effects.

122 *Confirmed pathogenic mutations*

123 **m.5703G>A (rs199476130)**

124 The variant m.5703G>A was found in four ancient mtDNA samples, one from the Neolithic period,
125 three from the Iron Age and one from the Middle Ages. The two Iron Age samples are from the
126 same site in Russia, extracted from the remains of a male and a female, and these two individuals
127 might have been related (27). This is also the pathogenic variant established in ancient mtDNA
128 from archeological sites spanning the widest geographical range, i.e. from Poland to Mongolia
129 (Figure 1). This mutation is in the *MT-TN* gene, which encodes tRNA Asparagine (Table 1).
130 MitoMap and HmtVar designate this mutation as pathogenic.

131 Figure 1. Geographic location of the Eurasian archaeological sites where mtDNA samples
132 containing “*confirmed pathogenic*” variants had been found (cf. Table 1). Map source: Google
133 Maps

134 Our literature survey for the m.5703G>A mutation finds that it has been reported to cause
135 mitochondrial myopathy and ophtalmoplegia (MM) (28, 29). Recently, its phenotypic spectrum

136 was broadened by a report of a patient with typical myoclonic epilepsy with ragged red fiber
137 (MERRF) syndrome carrying a heteroplasmic m.5703G>A mutation (30, 31). In another recent
138 study, however, it has been argued that investigations carried out to confirm pathogenicity of this
139 mutation are insufficient (32).

140 **m.3243A>G (rs199474657)**

141 This variant was detected in a sample from a site in Germany from the Bronze Age (2029-1911
142 BCE) (Table 1, Figure 1). The mutation is in the *MT-TL1* gene, which encodes tRNA Leucine.
143 MitoMap and HmtVar designate this mutation as pathogenic.

144 Population-based studies suggest the m.3243A>G mutation is the most common disease-causing
145 mtDNA mutation, with a carrier rate of 1 in 400 people (33). The pathogenic mitochondrial DNA
146 m.3243A>G mutation has been shown to be associated with a wide range of symptoms (34).
147 Elevated heteroplasmy at this mtDNA site has been shown to lead to neurologic, sensory,
148 movement, metabolic, and cardiopulmonary impairments (35). This mutation is associated with
149 mitochondrial encephalopathy lactic acidosis and stroke-like episodes (MELAS) (36), maternally
150 inherited deafness and diabetes (MIDD) (37) and chronic progressive external ophthalmoplegia
151 (CPEO) (38). Other reported features include renal failure (39), isolated myopathy,
152 cardiomyopathy, seizures, migraine, ataxia, cognitive impairment, bowel dysmotility and short
153 stature (40). Low to moderate levels of mutant heteroplasmy in the m.3243G>A mutation are often
154 associated with MIDD, whereas higher levels are variably associated with myopathy, high
155 frequency sensorineural hearing loss, short stature, epilepsy, strokes and dementia (11, 41, 42).

156 **m.5650G>A**

157 This variants was found in 3 samples from the Iron Age, but they are from different Central Asian
158 sites and time periods (ranging from 900 BCE to 134 BCE), so the individuals they were taken
159 from are in all likelihood unrelated. This mutation is in the *MT-TA* gene, which encodes tRNA
160 Alanine. MitoMap and HmtVar designate this mutation as pathogenic (Table 1, Figure 1).

161 McFarland et al. (2008) report a family where proximal myopathy has become increasingly severe
162 with successive generations of the maternal lineage, and this pure myopathy is shown to be caused
163 by the m.5650G>A mutation (43). Finnila et al. (2001) describe a patient with MERRF, who had
164 a *de novo* m. 5650G>A mutation in the tRNA Alanine gene (44). The mutation was heteroplasmic,
165 with the proportions of the mutant genome being up to 99% in muscle. They suggest that the
166 mtDNA mutation is pathogenic as it was associated with a relevant clinical phenotype, it is absent
167 in controls, and it alters a structurally important segment in the amino acid acceptor stem in the
168 tRNA Alanine (Figure 2).

169 **m.8340G>A**

170 The variant was found in one sample from the Middle Ages (10-375 CE). This mutation is in the
171 *MT-TK* gene, which encodes tRNA Lysine. MitoMap and HmtVar designate this mutation as
172 pathogenic.

173 A number of studies have established association between the m.8340G>A variant and various
174 clinical conditions. Jeppesen et al. (2014) report two patients with a *de novo* m.8340G>A variant
175 associated with exercise intolerance, CPEO and myopathy (45). Gill et al (2017) report a patient
176 carrying this mutation with cataracts, pigmented retinopathy, rod-cone dysfunction and sensory
177 neural deafness without myopathy (46). Tamopolsky et al. (2019) report a case with a *de novo*
178 m.8340G>A DNA mutation associated with mitochondrial myopathy, ptosis and

179 ophthalmoparesis, corroborating the pathogenicity of the m.8340G>A mutation (47). The
180 collective data is consistent with a categorization of “pathogenic” given that the variant is found in
181 much higher frequency in patients (3 reports) vs a control population and with much higher
182 heteroplasmy levels reported in ragged blue fibers and COX-negative vs healthy fibers (45, 46).

183 **m.14674T>C**

184 The variant was found in one sample from Poland from the Bronze Age (1592-1591 BCE). This
185 mutation is in the *MT-TE* gene, which encodes tRNA Glutamic acid. MitoMap and HmtVar
186 designate this mutation as “pathogenic” (Table 1, Figure 1).

187 A study by Houshmand et al (1994) describes two patients with Mitochondrial myopathy (MM)
188 that are carriers of the m.14674T>C mutation. Even though it is absent in controls, the authors
189 presume this mutation, as it does not change nucleotides that are conserved between species, is not
190 likely to be pathogenic. This homoplasmic mutation has been identified in reversible infantile
191 cytochrome c oxidase deficiency (or “Benign COX deficiency”) (48). Carriers of this mutation
192 experience subacute onset of profound hypotonia, feeding difficulties and lactic acidosis within the
193 first months of life. Although recovery may occur, mild myopathy persists into adulthood (49).

194 **m.7510T>C (rs199474820)**

195 This variants was found in one sample from Bulgaria from the Neolithic period, estimated to be
196 from 5800-5400 BCE, and is thus the oldest pathogenic variant detected in this study. This mutation
197 is located in the *MT-TSI* gene, which encodes tRNA Serine. MitoMap and HmtVar designates this
198 mutation as pathogenic (Table 1, Figure 1).

199 A number of studies have established association between the m.7510T>C mutation and non-
200 syndromic sensorineural hearing impairment (SNHL) (50-53). Review of the published cases

201 suggests that there is interfamilial variability in the age of onset, accompanying symptoms, and
202 haplogroup background (50). The results of Kytövuori *et al* (2017) suggest that in addition to
203 sensorineural hearing impairment, the m.7510T>C mutation is associated with a spectrum of
204 mitochondrial disease clinical features including migraine, epilepsy, cognitive impairment, ataxia,
205 and tremor, and with evidence of mitochondrial myopathy (54).

206 *Likely/possibly pathogenic mutations*

207 This group includes mutations for which there is discrepancy in their clinical effect designation
208 between the two used platforms. Whereas HmtVar classifies them as pathogenic, MitoMap
209 classifies them as likely or possibly pathogenic (Table 1).

210 **Table 1.** Mitochondrial DNA mutations established in ancient samples putatively associated with mitochondrial disease.

Pathogenic mutations							
Nr	Variants (17, 18)/ Gene product/ Strand (26)	Period/Years (18)	Country / Location / Sample	GB Freq.	Mito Tip classification	HmtVar Prediction	Mitochondrial Disease (MitoMap)
1	m.5703G>A (rs199476130) tRNA Asparagine /L	Neolithic (2880-2776 BCE)	Poland / Koszyce / RISE1170 (55)	0.00%	Cfrm Path.	Pathogenic (M2-P27)	CPEO / MM / COX deficiency (Heteroplasmy)
		Iron Age (900-600 BCE)	Russia / Grishkin Log 1 / DA4 (27)				
		Iron Age (156-134 BCE)	Mongolia / Omnogobi / DA45 (27)				
		Middle Ages (10-375 CE)	Poland / Kowalewko, Greater Poland / PCA0002 (56)				
2	m.3243A>G (rs199474657) tRNA Leucine/H	Bronze Age (2029-1911 BCE)	Germany / Haunstetten, Postillionstr . / POST_16 (57)	0.02%	Cfrm Path.	Pathogenic (M0-P14)	MELAS / LS / DMDF / MIDD / SNHL / CPEO / MM / FSGS / ASD / Cardiac+multi-organ dysfunction (Heteroplasmy)
3	m.5650G>A tRNA Alanine/L	Iron Age (900-600 BCE)	Russia / Grishkin Log 1 / DA5 (27)	0.00%	Cfrm Path.	Pathogenic (M2-P6)	Myopathy (Heteroplasmy)
		Iron Age (766-729 BCE)	Kazakhstan / Kurgan Borli, Osakarovskij / DA11 (27)				
		Iron Age (156-134 BCE)	Mongolia / Omnogobi / DA45 (27)				
4	m.8340G>A, tRNA Lysine/H	Middle Ages (10-375 CE)	Poland / Kowalewko, Greater Poland / PCA0018 (56)	0.00%	Cfrm Path	Pathogenic (M2-P51)	Myopathy/Exercise Intolerance/Eye disease+SNHL

5	m.14674T>C, tRNA Glutamic acid/L	Bronze Age (1592-1591 BCE)	Mongolia / Mitjurino / DA231 (27)	0.01%	Cfrm Path	Pathogenic (M2-P73)	Reversible COX deficiency myopathy (Homoplasmy)
6	m.7510T>C (rs199474820) tRNA Serine/L	Neolithic (5800-5400 BCE)	Bulgaria / Malak Preslavets / I1109 (58)	0.00%	Cfrm Path	Pathogenic (M1-P5)	SNHL (Heteroplasmy)
Likely/Possibly pathogenic							
7	m.1624C>T tRNA Valine/H	Middle Ages (10-375 CE)	Poland / Kowalewko, Greater Poland / PCA0037 (55)	0.00%	PP	Pathogenic (M2-P25)	Leigh Syndrome (Homoplasmy)
8	m.4440G>A tRNA Methionine/H	Neolithic (2800-2776 BCE)	Poland / Koszyce/ PCA0037 (55)	0.00%	PP	Pathogenic (M2-P42)	MM (Heteroplasmy)
		Iron Age (900- 600 BCE)	Russia / Grishkin Log 1 / DA9 (27)				
		Iron Age (800- 773 BCE)	Kazakhstan / Birlik, Kurgan 12, Bajanaul DA17 (27)				
		Iron Age (600- 400 BCE)	Kazakhstan / Karaganda, Kurgan Sjartas, Shetskiy / DA10 (27)				
		Iron Age (357- 342 BCE)	Kazakhstan / Kurgan Sjiderti 17, Burial 1, Sjiderte, Pavlodar / DA20 (27)				
		Iron Age (201- 148 BCE)	Mongolia / Hovsgol, Grave #18 / DA38 (27)				
		Iron Age (49 BCE-53 CE)	Mongolia / Arkhangai, Grave #1 / DA39 (27)				

		Iron Age (47 BCE-24 CE)	Kazakhstan / Naurzum, Kurgan (3), Naurzumskijzapovednik DA30 (27)				
		Iron Age (177-190 CE)	Kazakhstan / Kurgan nr. 50, Japyryk / DA81 (27)				
		Iron Age (397-570 CE)	Kazakhstan / Kurgan nr. 1, Baskya 2 / DA385 (27)				
		Middle Ages (550-850 CE)	Kazakhstan / Bt, 2015, area 1, element 1, layer 3, skeleton 6/ DA228 (27)				
		Middle Ages (901-920 CE)	Kazakhstan / Almaly, Kurgan 1, Object 1, Issyk, Tian Shan / DA126 (27)				
9	m.5628T>C, tRNA Alanine/L	Iron Age (400-200 BCE)	Moldova / Glinoe / SCY308 (59)	0.19%	LP	Pathogenic (M2-P31)	CPEO / DEAF enhancer / gout (Heteroplasmy)
10	m.7543A>G tRNA Aspartic acid /H	Neolithic (8200-7700 BCE)	Iran / Tepe Abdul Hosein, Central Zagros / AH1 (60)	0.09%	PP	Pathogenic (M2-P29)	MEPR (Heteroplasmy)
11	m.7554G>A, tRNA Aspartic acid/H	Neolithic (8205-7756 BCE)	Iran / Tepe Abdul Hosein, Central Zagros / AH2 (60)	0.00%	PP	Pathogenic (M2-P40)	Myopathy, ataxia, nystagmus, migraines, lactic acidosis (Heteroplasmy)
		Middle Ages (10-375 CE)	Poland / Kowalewko, Greater Poland / PCA0018 (55)				
12	m.8296A>G tRNA Lysine/H	Bronze (2872-2583 BCE)	Russia / Grachevka, Sok River, Samara / I0371 (58)	0.07%	PP	Pathogenic (M2-P2)	DMDF / MERRF / HCM / epilepsy (Heteroplasmy)
13	m.8328G>A tRNA Lysine/H	Middle Ages (80-260 CE)	Poland / Kowalewko, Greater Poland / PCA0054 (55)	0.00%	LP	Pathogenic (M2-P39)	Mito Encephalopathy / EXIT with myopathy and ptosis (Heteroplasmy)

14	m.8342G>A rs118192103/ tRNA- Lysine/H	Middle Ages (10-375 CE)	Poland / Kowalewko, Greater Poland / PCA0018 (55)	0.00%	LP	Pathogenic (M2-P53)	CPEO and Myoclonus (Heteroplasmy)
15	m.12300G>A tRNA Leucine/H	Middle Ages (80-260 CE)	Poland / Kowalewko, Greater Poland / PCA0004 (55)	0.00%	PP	Pathogenic (M0-P36)	MERRF (Heteroplasmy)
16	m.15915G>A tRNA Threonine/H	Middle Ages (80-120 CE)	Poland / Kowalewko, Greater Poland / PCA0032 (55)	0.00%	PP	Pathogenic (M2-P30)	Encephalomyopathy (Heteroplasmy)

207 CB Freq – GenBank frequencies; CPEO - Chronic progressive external ophthalmoplegia; MM - Mitochondrial myopathies; MERRF - Myoclonic epilepsy
210 with ragged red fibers; MEPR - Myoclonic epilepsy and psychomotor regression; DMDF - Diabetes Mellitus + Deafness. HCM - Hypertrophic
213 cardiomyopathy; MERRF – Myoclonic epilepsy with ragged red fibers; MEPR - Myoclonic epilepsy and psychomotor regression; MELAS - Mitochondrial
214 Encephalomyopathy, lactic acidosis, and stroke-like episodes; MIDD - Maternally inherited diabetes and deafness; SNHL - Sensorineural hearing loss; NARP
215 Neuropathy, ataxia and retinitis pigmentosa; Cfm Path – confirmed pathogenic; LP – likely pathogenic; PP – possibly pathogenic; M - tRNA model; P –
216 position in tRNA model (HmtVar).

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220 Discussion

221 This study establishes for the first time the presence of pathogenic mtDNA variants in 1443 ancient
222 mtDNA Eurasian genomes from different periods, publicly available in the AmtDB database. Among
223 the 3191 unique variants in the analyzed samples six are “confirmed pathogenic” with well-
224 established clinical association in the contemporary patients.

225 The established “*confirmed pathogenic*” mutations are detected in samples estimated to be between
226 1600 and 7800 years old. The oldest of these, m.7510T>C, is detected in a Neolithic sample (5800-
227 5400 BCE). The m.5703G>A mutation was detected in a Neolithic sample that could be as old as
228 4800 years, but it is found again in samples from later periods, Iron Age (900-600 BCE) and Middle
229 Age (10-375 CE). Other mutations with established long histories, detected in >4000 years old Bronze
230 Age samples are m.3243A>G "MELAS", the most common mtDNA mutation with pathogenic effect,
231 present in contemporary populations with 0.02% frequency, and m.14674T>C, found in a 2600 old
232 Bronze Age sample, and also found in in contemporary populations with 0.01% frequency (cf. Table
233 1). The m.5650G>A mutation is detected in an Iron Age sample that could be as old as 2900 years.
234 The low population frequency of these variants in contemporary populations is further corroboration
235 of their putative pathogenic significance.

236 Two mutations with likely/possibly pathogenic effect, m.7543A>G and m.7554G>A, in the *MT-TD*
237 gene (tRNA Aspartic acid), detected in Neolithic period samples from the Neolithic period, from the
238 same archeological site and time span, are the oldest established mutations with putatively pathogenic
239 effect (10200-10000 years old). Also, two Bronze Age mutations, m.8296A>G and m.4440G>A,
240 could be as old as 4800 years. It is noteworthy that one of these, m.4440G>A, is detected in as many
241 as 12 ancient samples from different time periods and locations. Its pathogenic effect is corroborated
242 by that it is the most common clinically significant mutation detected in ancient mtDNA samples in

243 this study, yet it has been described as a novel mutation causing mitochondrial myopathies in
244 contemporary patients (61). The remaining mutations in this category are detected in samples from
245 later periods (2200-2000 years old).

246 It is noticeable that all the established ancient mutations putatively associated with diseases are located
247 in tRNA genes, and none is in genes encoding the 13 essential polypeptides of the OXPHOS system,
248 even though tRNAs comprise only about 10% of the total coding capacity of the mitochondrial
249 genome (62). Epidemiological studies have highlighted that point mutations in the mt-tRNA genes
250 are among the most common defects observed (63, 64). Mitochondrial tRNA mutations have been
251 shown to be the most prevalent genetic defect by a survey of an adult population with mtDNA disease,
252 accounting for more than 50% of all genetically diagnosed cases (65). More than 150 different point
253 mutations have been described in mt-tRNA genes including novel disease-causing mutations and
254 associated pathogenic mechanisms continue to be identified (66), yet mtRNA mutations' role in
255 interfering with the translation mechanism remains unclear.

256 Ancient mutations putatively associated with mitochondrial diseases are in different tRNA genes and
257 affect nucleotides in different functional parts of the encoded tRNA molecule (Table2).

258 **Table 2.** Nucleotide position in tRNA cloverleaf secondary domains of ancient mutations in tRNAs

Nr	Mutation	Gene	Nucleotide position in tRNA cloverleaf secondary domains (loops and stems)
Confirmed Pathogenic			
1	m.5703G>A	<i>MT-TN</i>	Position 27 in CS - Anticodon Stem
2	m.3243A>G	<i>MT-TLI</i>	Position 14 in DL - Dihydrouridine Loop
3	m.5650G>A	<i>MT-TA</i>	Position 6 in AS - Acceptor Stem
4	m.8340G>A	<i>MT-TK</i>	Position 51 in TS - T ψ C Stem
5	m.14674T>C	<i>MT-TE</i>	Position 73 in E - 3' End
6	m.7510T>C	<i>MT-TSI</i>	Position 5 in AS - Acceptor Stem
Likely/Possibly Pathogenic			
7	m.1624C>T	<i>MT-TV</i>	Position 25 in DS - Dihydrouridine Stem

8	m.4440G>A	<i>MT-TM</i>	Position 42 in CS - Anticodon Stem
9	m.5628T>C	<i>MT-TA</i>	Position 31 in CS - Anticodon Stem
10	m.7543A>G	<i>MT-TD</i>	Position 29 in CS - Anticodon Stem
11	m.7554G>A	<i>MT-TD</i>	Position 40 in CS - Anticodon Stem
12	m.8296A>G	<i>MT-TK</i>	Position 2 in AS - Acceptor Stem
13	m.8328G>A	<i>MT-TK</i>	Position 39 in CS - Anticodon Stem
14	m.8342G>A	<i>MT-TK</i>	Position 53 in TS - T ψ C Stem
15	m.12300G>A	<i>MT-TL2</i>	Position 36 in CL - Anticodon Loop
16	m.15915G>A	<i>MT-TT</i>	Position 30 in CS - Anticodon Stem

259

260 The gene *MT-TK* coding tRNA Lysine is most commonly affected by mutations putatively associated
261 with mitochondrial diseases in ancient mtDNA samples, i.e. one confirmed pathogenic (m.8340G>A)
262 and three likely/possibly pathogenic (m.8296A>G, m.8328G>A and m.8342G>A). It is conceivable
263 that these mutations have different clinical significance related to their impact on the stability of the
264 tRNA protein.

265 A recent study on another mtDNA mutation in the same gene - m.8344 A>G, known to be associated
266 with MERRF, has demonstrated that tRNA modifications have distinct effects on the stability and
267 synthesis of mitochondrial proteins (45). Such regulating mechanisms conceivably contribute to
268 human disease, and new RNA sequencing approaches to mitochondria should provide insights. Two
269 ancient mutations were established in the *MT-TD* coding Aspartic acid. Aspartic acid is
270 neurotransmitter and recent studies show that it may be involved in the pathogenesis of a stroke-like
271 episode (46). The remaining putatively pathogenic mutations established in ancient mtDNA are
272 located in different tRNA genes.

273 It is noteworthy that the established ancient mutations are located in different cloverleaf models of
274 tRNAs. (Figure 2). The tRNA model indicates one of the four possible groups human mt-tRNAs are
275 classified based on their structural diversity and tertiary interactions (67). Model 0 model represents

276 the quasi-canonical cloverleaf structure, with standard D-loop/T-loop interaction; Model 1- a single
277 tRNA with an atypical anticodon stem; Model 2 – the most common among mt-RNAs, is characterized
278 by loss of D-loop/T-loop interaction and and Model 3 - lack of D-stem. Thirteen out of the sixteen
279 mutations putatively associated with mitochondrial disease presented in this study are in Model 2.

280 Seven out of the 16 mutations considered here are located in CS-Anticodon Stem, four in AS-Acceptor
281 Stem, two in TS-TΨC Stem, and single mutations are located in DL-Dihydrouridine Loop, CL-
282 Anticodon Loop and DS-Dihydrouridine Stem (Fig. 2).

283 **Figure 2.** Location on tRNA nucleotide sequence of ancient Cfrm/LP/PP mutations (HmtVar). The
284 positions of the tRNA nucleotide sequence affected by mutations are indicated with a black arrows
285 for cfrm mutations and blue arrows for LP/PP mutations.

286 Confirmed pathogenic mutation m.5703G>A is located on position 27 in Model 2 tRNA which is
287 involved in post-transcriptional modifications and is adjacent to position 26, which participates in
288 tertiary folding and is also subject to posttranscriptional modifications. Confirmed pathogenic
289 mutation m.3243A>G in Model 0 tRNA affects position 14, which is involved in tertiary folding with
290 interactions represented by lines on Fig. 2. Mutation m.14674T>C in Model 2 tRNA is in position 73
291 in 3' End of the acceptor stem, which participates in 3' end-editing in the final stages of tRNA
292 formation. The role of the remaining three confirmed pathogenic mutations is more difficult to be
293 determined. Mutation m.5650G>A is in position 6 of the acceptor stem of a Model 2 tRNA.
294 Pathogenic mutation m.8340G>A in position 51 of a Model 2 tRNA is located next to position 50
295 nucleotide involved in post-transcriptional modifications.

296 Three mutations with putatively pathogenic effect are in positions that affect the structure and the
297 function of the tRNA, m.12300G>A in position 36 of the CL-Anticodon Loop, m.8328G>A in
298 position 39 and m.7554G>A in position 40 that all have impact on post-transcriptional modifications.

299 Mutations in tRNA genes could lead to disturbances of three dimensional structure, the absence of
300 post-transcriptional modifications of the tRNA, rise in the number of errors and thus tRNA
301 destabilization.

302 These changes could have an effect on the codon decoding speed, leading to accumulation of cell
303 damaging proteins and cutback of mitochondrial protein synthesis, including reduction of OXPHOS
304 proteins and insufficiency of the OXPHOS constituent complexes I, III, and IV. Despite the clinical
305 significance, the molecular mechanisms leading to such disturbances remain poorly understood.

306 As is often happens in ancient DNA analyses, because the amount of endogenous template DNA is
307 typically very low, the surviving molecules are typically short and affected by post-mortem cytosine
308 deamination damage which appears as C>T and G>A variants in sequence data (68). It is noteworthy
309 to mention that 12 out of the 19 (63.2%) pathogenic or putatively pathogenic mutations that we detect
310 in the analyzed ancient mtDNA samples are G>A or C>T substitutions. Review of the publications
311 that present the analyzed ancient mtDNA genomes substantiates that the authors have employed
312 adequate analyses to mitigate the effect of post mortem damage (PMD), strengthening our confidence
313 that these are real variants and not the result of PMD (27, 69, 70).

314 Still, pathogenic mutations in mitochondrial DNA, often show highly variable phenotypes for any
315 given point mutation and severity of the clinical and biochemical phenotype has been roughly
316 proportionate to the percent mutant heteroplasmy (10, 41). Identifying heteroplasmic variants and
317 establishing the level of heteroplasmy in ancient samples is not a trivial task. Heteroplasmic variants
318 however constitute the bulk of disease-associated mtDNA variants in contemporary humans, and most

319 of the detected pathogenic or putatively pathogenic variants in our study have pathogenic effect in
320 heteroplasmic state. Nevertheless due to insufficient phenotypic data about the human remains, there
321 is no way of exactly knowing if disease-associated mutations, or those predicted to have a strong
322 functional effect, were indeed pathogenic in ancient populations.

323 **Conclusion**

324 The established mtDNA pathogenic mutations in the analyzed ancient samples are putatively
325 associated with a wide range of mitochondrial diseases found in contemporary populations. Studying
326 putative pathogenic mutations from ancient mtDNA informs on the mitochondrial disease spectrum
327 in ancient times, and comparing their frequencies among populations separated by significant time
328 periods sheds light on the history of the disease. Our findings suggest that disease associated genes
329 are often genes with long history rather than newly evolved genes (71), warranting further research
330 attention. The dynamics of the prevalence of putative pathogenic variants in paleogenetic and
331 contemporary genetic data can be used to predict the future course of human microevolution.

332 **Contributions**

333 D.T. – conceived the study, analyzed results, discussed data and wrote the paper; D.S., S.K.-Y.,
334 D.N. – analyzed results, discussed data. All authors reviewed the manuscript.

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337 **Competing interests**

338 The authors declare no competing interests.

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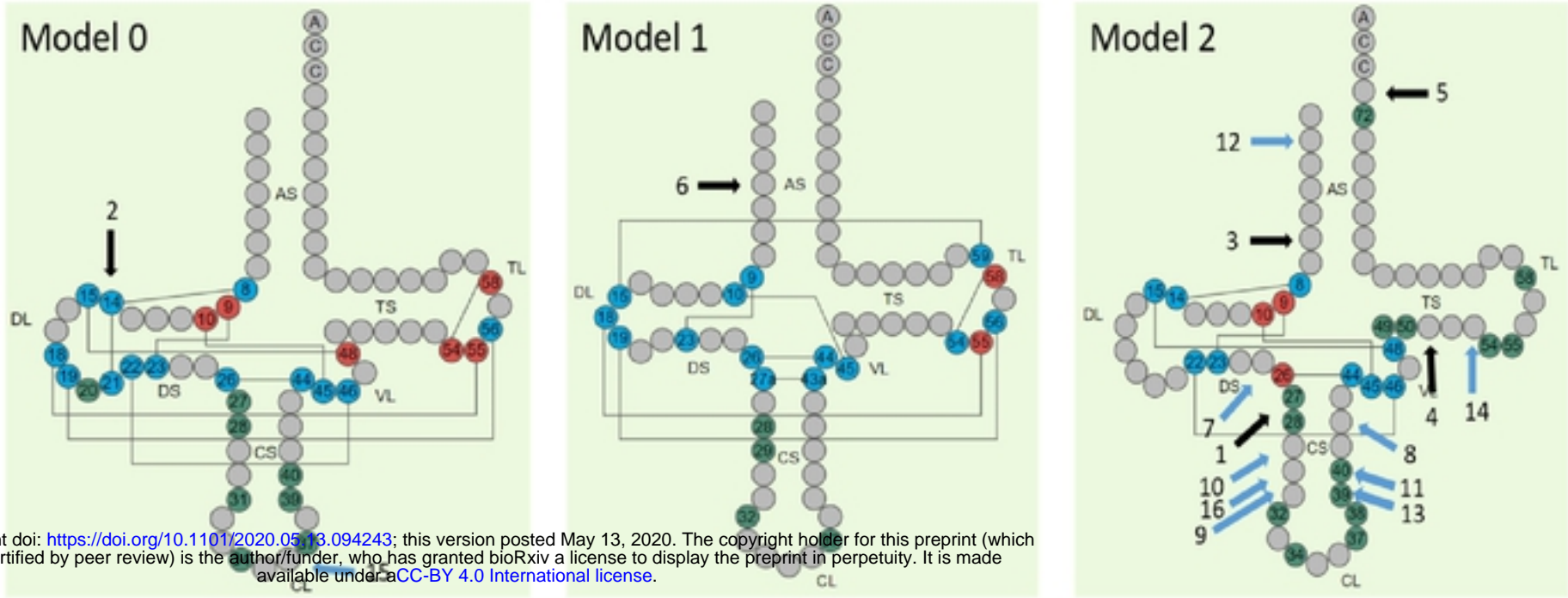
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Figure 1.



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Figure 2.



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