# Abl kinase deficiency promotes AKT pathway activation and prostate cancer progression and metastasis

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Running title: Abl kinases suppress prostate cancer progression

#### 1 Abstract

2 Abl family kinases function as proto-oncogenes in various leukemias, and pro-tumor functions 3 have been discovered for Abl kinases in solid tumors as well. However, a growing body of 4 evidence indicates that Abl kinases can function to suppress tumor cell proliferation, motility, 5 and in vivo tumor growth in some settings. To investigate the role of Abl kinases in prostate 6 cancer, we generated Abl-deficient cells in a pre-clinical model of spontaneously metastatic, 7 androgen-indifferent prostate cancer. Loss of Abl family kinase expression resulted in a highly 8 aggressive, metastatic phenotype in vivo that was associated with AKT pathway activation, 9 increased growth on 3D collagen matrix, and enhanced cell motility in vitro. Treatment of Abl 10 kinase-expressing cells with the Abl kinase inhibitor imatinib phenocopied the malignant 11 phenotypes observed in Abl-deficient tumor cells. In addition, inhibiting AKT pathway signaling 12 abolished the increased 3D growth of Abl-deficient cells. Our data reveal that Abl family kinases 13 can function as suppressors of prostate cancer progression and metastasis by restraining AKT 14 signaling, a signaling pathway known to be associated with emergence of metastatic castration-15 resistant prostate cancer.

### 1 Introduction

2

3	There will be an estimated 33,330 deaths due to prostate cancer in 2020 due largely to the
4	emergence in patients of metastatic castration-resistant disease (mCRPC) [1]. Most mCRPC
5	that emerges after first line androgen deprivation therapy remains androgen receptor (AR)-
6	driven [2]. As a result, the potent next-generation AR pathway inhibitors (ARPIs) abiraterone
7	and enzalutamide provide clinical benefits to a significant proportion of mCRPC patients [3, 4].
8	Nevertheless, the eventual emergence of mCRPC that resists all currently available ARPIs
9	remains a major clinical challenge.
10	
11	Mechanisms of resistance to ARPIs include AR amplification [5], AR splice variants and point
12	mutations [6-9], and upregulation of the glucocorticoid receptor, which can promote transcription
13	of AR target genes [10]. However, a subset of ARPI-resistant prostate cancers display basal,
14	mesenchymal, squamous, neuroendrocrine (NE)-like, or AR/NE-double negative phenotypes
15	[11-14]. These "AR-indifferent" tumors activate alternative pathways, including neuronal, cell
16	adhesion, epithelial-mesenchymal transition (EMT), and stem cell pathways [12, 13]. One recent
17	study found evidence of NE-like disease in 17% of 160 patients whose cancer had progressed
18	on abiraterone or enzalutamide, a feature associated with shorter overall survival [15]. No
19	targeted therapies exist for AR-indifferent mCRPC, thus there is an urgent need to identify
20	clinically actionable targets that promote proliferation and survival of mCRPC cells that no
21	longer rely on AR signaling.
22	
23	Hyperactivation of PI3K/AKT signaling due to PTEN deficiency can promote the development of
24	mCRPC [16, 17], which may be linked mechanistically to reciprocal inhibition between the AR

25 and PI3K/AKT signaling pathways [18-20]. The emerging view is that the PI3K/AKT and AR

signaling pathways mutually inhibit each other, and therapeutic inhibition of either pathway
alone leads to compensatory activation of the other pathway. This insight led to a recent clinical
trial using the AKT inhibitor ipatasertib in combination with abiraterone in patients with mCRPC,
resulting in improved radiographic progression-free survival compared to abiraterone alone,
particularly for PTEN-deficient tumors [21]. However, the presence or absence of AR-indifferent
or NEPC-like disease was not evaluated in this trial, and the potential utility of targeting AKT
signaling specifically in AR-indifferent mCRPC remains to be explored.

9 Although Abl kinases can have tumor promoting functions [22], a growing body of evidence 10 indicates that Abl kinases can function to restrain malignant behavior in multiple cancers [23-11 32]. We previously identified Abl kinases as potential metastasis suppressors operating 12 downstream of a3 integrin in a PTEN-deficient model of mesenchymal, AR-indifferent mCRPC 13 [33]. However, the role of Abl kinases in mCRPC progression and dissemination in vivo was 14 unknown, and the molecular mechanism by which Abl kinases can restrain malignant behaviors 15 of mCRPC cells remained to be defined. We now find that Abl kinase-deficiency dramatically 16 potentiates CRPC progression and metastasis in vivo, which corresponds to upregulation of 17 AKT signaling and tumor cell growth on 3D matrix, and increased tumor cell motility. Our results 18 reveal a novel mechanism by which Abl kinases function to inhibit tumor progression, and 19 support the rationale of targeting AKT signaling in PTEN-deficient, AR-indifferent mCRPC.

20

#### 21 Materials and methods

#### 22 Cell lines and culture

GS689.Li cells are a metastatic variant of PC-3 prostate cancer cells, that were created by *in vivo* passaging of PC-3 prostate cancer cells in SCID mice [34], and subsequently reverified by
STR analysis (IDEXX Bioresearch) [33]. TEM4-18 cells are a subpopulation of PC-3 prostate

1 cancer cells selected for enhanced transendothelial migration in vitro [34], DU-145 cells, LNCaP cells, VCaP cells, and 22Rv1 cells were obtained directly from ATCC. Growth base media and 2 3 supplements were from Gibco ThermoFisher. GS689.Li cells, TEM4-18 cells, and DU-145 cells 4 were cultured in DMEM:F12, LNCaP cells and 22Rv1 cells were cultured in RPMI, and VCaP 5 cells were cultured in DMEM. Growth media were supplemented with glutamine, 6 penicillin/streptomycin, non-essential amino acids, and 10% FBS (Atlanta Biologicals). All cell 7 lines harbored a pQCXIN retroviral expression vector encoding luciferase. 8 9 Antibodies and reagents 10 This study utilized antibodies from Cell Signaling Technology against FOXA2 (#8186), Rb 11 (#9309), c-ABL (#2862), FAK (#3285), SRC (#2110), phospho-SRC Tyr416 (#6943), ERK 12 (#4696), phospho-ERK (#9101), phospho-AKT Ser473 (#4060), phospho-AMPKα Thr172 13 (#2535), Cyclin D3 (#2936), phospho-S6 Ribosomal Protein Ser240/244 (#5364), and AXL 14 (#8661). Also used were antibodies from BD Transduction against E-cadherin (#610181), 15 phospho-FAK Tyr397 (#611806), and AKT (#610860), as well as  $\alpha$ -tubulin (12G10, 16 Developmental Studies Hybridoma Bank), phospho-AXL Tvr779 (MAB6965, R&D Systems). 17 chromogranin A (NB100-79914, Novus Biologicals), and ARG (Arg 11, generous gift from 18 Anthony Koleske, PhD, Yale University). Secondary antibodies used were goat-anti-mouse and 19 goat-anti-rabbit antibodies conjugated to Alexa 790, 680, 594, or 488 (Invitrogen). Other 20 reagents were D-luciferin (Gold Biotechnology), rat tail collagen I (Corning & Advanced 21 Biomatrix), imatinib (Cayman Chemical), and MK-2206 and R428 (Selleckchem). 22 23 **RNA** interference 24 The specificity and efficacy of ABL and ARG knockdown was previously validated by multiple

25 independent RNAi targeting vectors [33]. We used the most effective RNAi vectors from our

26 previous study to create Abl (ABL KD) and Arg (ARG KD) single and double knockdown

(ABL/ARG KD) GS689.Li cells. The Abl sh3 retroviral construct has a pZIP-mCMV-ZsGreen
 backbone (Transomics Technologies) and the Arg sh2 retroviral construct has a pSIREN
 RetroQ vector backbone (Clontech).
 All four cell lines were carefully matched by passage number and contained both vector
 backbones. Thus, vector controls (NT/NT) contained both non-targeting shRNAs in the

7 corresponding vector backbones, single knockdowns contained one targeting shRNA in one

8 vector backbone and one non-targeting shRNA in the other vector backbone, and double

9 knockdowns contained both targeting shRNAs in the corresponding vector backbones. Because

10 each cell line in the set contained a pZIP-mCMV-ZsGreen vector, all cells were fluorescently

11 labeled. Cells were maintained as stably transduced, polyclonal populations. The RNAi targeting

12 sequences used for the present study were as follows: Abl sh3, 5'-

13 GCAGTCATGAAAGAGATCAAA-3' (ULTRA-3267084, Transomics); and Arg sh2, 5'-

14 CCTCAAACTCGCAACAAAT-3'.

15

#### 16 Orthotopic prostate cancer model

17 All animal protocols were approved by the University of Iowa Institutional Animal Care and Use Committee (Approval #8031328). On the day of inoculation, 5 X 10<sup>4</sup> NT/NT, ABL KD, ARG KD, 18 19 and ABL/ARG KD GS689.Li cells were implanted in the left or right anterior lobe of the prostate 20 of 10 SCID/NCr (BALB/C) mice/cell line. Bioluminescent imaging (BLI) was performed using an 21 Ami X imaging system (Spectral Instruments Imaging) as described previously [33]. Upon 22 sacrifice, livers, kidneys, and lungs were dissected for imaging of disseminated cells by 23 fluorescence microscopy using an Olympus SZX12 stereomicroscope, as described [33]. 24 GS689.Li cells from primary tumors were recovered by mincing with a sterile razor blade and 25 digesting with 200 U/ml collagenase II (Worthington Biochemical Corporation) for 15 min at 26 37°C. Explanted cells were grown out under G418 to select for luciferase-positive tumor cells.

### 2 Time-lapse motility assays

3	A total of 2.3 x $10^5$ cells were plated in serum-free medium [SFM; DMEM:F12, supplemented
4	with 5 mg/mL BSA, glutamine, penicillin/streptomycin, non-essential amino acids, 25 mM
5	HEPES pH 7.2, and insulin-transferrin-selenium (ITS)] on 35-mm dishes coated with 10 ug/mL
6	rat tail collagen I. Time-lapse images were acquired as described previously [33], and migration
7	speed and displacement were calculated using the mTrackJ plugin [35]. ImageJ software was
8	used to measure the morphological characteristics of migrating cells (at t = 20 minutes) by
9	making cell traces using the Freehand selections tool, followed by the Measure (Shape
10	descriptors) command.
11	
12	Immunostaining
13	Cells were fixed with 10% formalin in HBSM (HEPES-buffered saline with 1 mM MgCl <sub>2</sub> ), rinsed
14	with Tris-buffered saline, then blocked and permeabilized with 0.1% NP-40 detergent in 10%
15	goat serum prior to exposure to primary antibodies. Primary antibodies were detected with
16	Alexa 488 or 594-labeled secondary antibodies and coverslips were rinsed and mounted in
17	ProLong Gold with DAPI (ThermoFisher Scientific) for fluorescence microscopy.
18	
19	3D collagen growth assays
20	Twenty-four-well plates were coated with 350 $\mu L$ of rat tail collagen I (0.8 mg/mL in DMEM) per
21	well and allowed to polymerize for 45 minutes at 37°C. A total of 1.5x10 <sup>4</sup> cells per well were
22	seeded in 500 $\mu L$ of SFM. After 7–10 days, cell number was quantified by BLI. Six wells per cell
23	type per condition were quantified in each trial.
24	
25	

#### 1 Standard growth assays

A total of 1.5x10<sup>4</sup> cells per well were plated in uncoated 24-well plates in 500 µL of standard
growth medium. After 4–7 days, cell number was quantified by BLI. Six wells per cell type were

- 4 quantified in each trial.
- 5

#### 6 Immunoblotting

7 Cells were rinsed twice with HBSM and lysed in Laemmli buffer. For analysis of cells growing in 3D conditions, cells growing on 0.8 mg/ml collagen I were rinsed twice with HBSM and then 9 incubated two minutes at room temperature with Laemmli buffer. Lysate was collected from the 10 surface of the 3D matrix by pipetting. Protein concentrations of lysates were normalized using 11 the RED 660 Protein Assay (G Biosciences) prior to SDS-PAGE and immunoblotting. Blots

12 were analyzed using a LiCOR Odyssey blot imager.

13

#### 14 Reverse phase protein array (RPPA)

Cells growing on 3D collagen for 4 days were lysed in 10% glycerol, 2% SDS, 0.0625 M Tris-15 16 HCL, pH 6.8, and 2.5% beta-mercaptoethanol, and lysate concentrations were adjusted to 1.0 17 ug/uL. Duplicate lysates of NT/NT and ABL/ARG KD cells were analyzed at the Functional 18 Proteomics RPPA core facility (MD Anderson Cancer Center). Normalized linear protein levels 19 for each of 304 signaling proteins included in the analysis were used to calculate the normalized 20 linear fold change [(normalized linear value ABL/ARG KD)/(normalized linear value NT/NT)], 21 and a cutoff was set for an average fold change of 1.2 or greater or 0.8 or less. A heatmap was 22 generated using median centered log<sub>2</sub> values from targets meeting the cutoff criteria, using the 23 Heatmapper tool (http://www.heatmapper.ca/). 24

- 25
- 26

#### 1 Statistics

2 Statistical analyses were performed using GraphPad Prism Version: 7.02 (GraphPad Software,

3 Inc.) Student's t-test and ANOVA were employed for pairwise and multiple comparisons,

4 respectively. When appropriate, Mann-Whitney Rank Sum and ANOVA on Rank (Kruskal-

5 Wallis) tests were also used.

6

7 Results

#### 8 GS689.Li cells are a model of mCRPC with mesenchymal and neuroendocrine-like

9 features

10 We previously described the isolation of GS689.Li cells, a metastatic variant of the PC-3 11 prostate carcinoma [34]. As described by other groups [36], we found that cells recovered from 12 the rare metastases that emerge from PC-3 xenografts were more metastatic upon re-injection 13 [34]. Strikingly, these metastatic variants displayed loss of E-cadherin and upregulation of a 14 mesenchymal gene expression program [34]. Since PC-3 cells have been reported to possess 15 NE-like features [37, 38], and EMT and NE phenotypes are intertwined [13], we investigated 16 that interconnection in GS689.Li cells. Both parental PC-3 and GS689.Li cells appeared positive 17 for the NE marker chromogranin A (Fig. S1A, B), as previously reported for parental PC-3 cells 18 [39]. The PC-3 parental cell population is p53-null [40], and dual loss of p53 and Rb can drive 19 an NE-like phenotype [41, 42]. Nevertheless, both PC-3 and GS689.Li cells retain Rb 20 expression (Fig. S1C, D), as previously described for the parental PC-3 cells [43]; however, PC-21 3 cells are PTEN-deficient [44], and loss of PTEN or activation of AKT can also contribute to an 22 aggressive phenotype with neuroendocrine features [41, 45]. Recently, transcription factor 23 FOXA2 has been described as a marker for a significant fraction of neuroendocrine-like prostate 24 cancers [37]. We detected a FOXA2-positive subpopulation in the parental PC-3 cell line that 25 corresponded largely to the E-cadherin-negative sub-population in double labeling experiments

(Fig. S1E). Strikingly, 100% of the GS689.Li cells were E-cadherin-negative/FOXA2-positive,
 with strong nuclear FOXA2 localization (Fig. S1F). Collectively, these data reveal that parental
 PC-3 cells already express some features of NE-like CRPC, but that *in vivo* passaging selected
 for a metastatic, FOXA2-positive, mesenchymal subpopulation.

5

#### 6 Abl kinases function as suppressors of mCRPC tumor progression and metastasis

7 To investigate the role of the Abl family kinases in mCRPC tumor growth and metastasis, we 8 depleted ABL1 (ABL KD), ABL2 (ARG KD) or both kinases (ABL/ARG KD) in GS689.Li cells. 9 Luciferase/ZsGreen dual-labeled, Abl kinase-deficient and non-targeting control cells (NT/NT), 10 were orthotopically implanted, and in vivo tumor progression was monitored weekly via BLI. By 11 day 35 after implantation, mice harboring ABL KD cells and ABL/ARG KD cells displayed a 12 markedly higher apparent tumor burden than mice harboring ARG KD or NT/NT control cells 13 (Fig. 1A). Across the course of the experiment, Abl kinase-deficient tumors progressed more 14 rapidly than control tumors (Fig. 1B). Loss of ABL had a large effect, but loss of both ABL and 15 ARG had the largest effect, resulting in tumor burdens over an order of magnitude greater than 16 NT/NT control tumors by day 35.

17

18 To attempt to control for effects of primary tumor size, we allowed mice in the different groups to 19 age out for different times until their total tumor burdens approached a similar value, although 20 the vector control group still had lower average tumor burden at the time of sacrifice. Shown in 21 Fig. 1C are gross necropsy images of mice with NT/NT control, ABL KD, ARG KD, and 22 ABL/ARG KD tumors. The apparent tumor burden of all four mice was ~1 X 10<sup>9</sup> photons/sec 23 prior to sacrifice, yet the dissemination of Abl kinase-deficient tumor cells appeared qualitatively 24 substantially increased compared to vector control tumor cells (Fig. 1C). Analysis of tumor cells 25 explanted at the time of sacrifice revealed an 80-85% reduction in Abl and Arg proteins (Fig.

S2), which was similar to what we observed in ABL and ARG KD cells prior to implantation (Fig.
 1D), demonstrating that ABL and ARG knockdown was maintained in tumor cells *in vivo*.

3

We used GFP fluorescence microscopy to further investigate tumor cell dissemination to specific organs. ABL KD and ABL/ARG KD tumor cells both displayed significantly increased dissemination to kidney (primarily in the adjacent adrenal gland), liver, and lung compared to vector control cells (Fig. 2A-L, quantified in M-O). For ARG KD single knockdown cells, there was a trend towards increased kidney colonization, although it did not rise to the level of statistical significance in this analysis (Fig. 2M).

10

11 Because it is difficult to fully account for the contribution of more rapid progression of the 12 primary tumor to increased metastatic dissemination, we also compared the motility of Abl-13 deficient tumor cells to vector control cells via time lapse video microscopy. ABL/ARG KD cells 14 displayed dramatically increased motility compared to vector control cells, as visualized by cell 15 motility tracks (Fig. 3A), with ABL KD and ARG KD single knockdown cells displaying an 16 intermediate phenotype. Quantification revealed a striking ~2-fold increase in migration speed 17 and net displacement of ABL/ARG KD cells compared to vector control cells, with ARG single 18 knockdown producing a larger effect than ABL single knockdown on cell motility. (Fig. 3B, C). 19 The enhanced motility of Abl kinase-deficient cells was associated with a morphological change 20 from round, spread vector control cells to highly polarized Abl kinase-deficient cells, with 21 prominent leading edge lamellipodia (Fig. S3A-D). This morphological change is quantitatively 22 captured as a significant increase in the aspect ratio of ARG KD and ABL/ARG KD cells (Fig. 23 S3E), and a significant reduction in roundness (Fig. S3F).

24

Taken together, these data revealed Abl kinases can function as suppressors of progression
 and metastatic dissemination of mCRPC cells, restraining tumor growth, metastatic colonization,

1	and cell motility. Loss of ABL had a larger effect on tumor growth, while loss of ARG had a
2	larger effect on cell motility. These observations may help to explain why loss of both kinases
3	produced the most aggressive phenotype in the <i>in vivo</i> tumor progression model.
4	
5	Loss of Abl kinase expression or activity promotes AKT activation and enhanced 3D
6	growth of androgen-indifferent mCRPC cells
7	To begin to investigate the molecular basis of increased tumor growth of Abl kinase-deficient
8	cells, we examined low anchorage growth on a soft 3D collagen matrix, an assay that aligns
9	with the in vivo behavior of our mCRPC model [33]. Similar to the tumor growth in vivo,
10	ABL/ARG KD cells displayed dramatically enhanced 3D growth compared to vector control
11	cells, with ABL single knockdown producing a larger effect than ARG single knockdown (Fig.
12	4A). These differences were context specific, and largely not observed under standard tissue
13	culture conditions (Fig. 4B). We found no major changes in focal adhesion kinase (FAK), Src
14	kinase, or ERK kinase activation among the four cell types growing on 3D matrix (Fig. S4).
15	However, Abl-deficient cells displayed a prominent increase in AKT activation, in a pattern that
16	aligned with their 3D growth. ABL/ARG KD cells had the highest level of AKT activation,
17	followed by ABL single knockdown cells and ARG single knockdown cells (Fig. 4C).
18	Quantification of activated pAKT S473 revealed an ~3.9-fold increase in ABL/ARG KD cells, and
19	~2.2-fold and ~1.4-fold increase in ABL and ARG single knockdown cells respectively, relative
20	to NT/NT vector control cells. Under standard tissue culture conditions, all four cell lines
21	displayed similar levels of AKT activation, consistent with their similar growth under those
22	conditions (Fig. 4D).
23	

We next utilized pharmacological manipulation in conjunction with our 3D growth assay and immunoblot analysis. We predicted that if increased pAKT S473 in ABL KD and ABL/ARG KD cells growing in 3D is a direct result of Abl kinase depletion, then imatinib treatment should

produce a large fold change in the growth and phosphorylation of AKT at S473 of non-targeting 1 2 vector control cells, compared to the fold change for imatinib-treated ABL/ARG KD cells, with 3 much less ABL and ARG protein expression. When we treated Abl family kinase-depleted 4 mCRPC cells growing in 3D with imatinib, we saw significant increases in growth for all cell 5 types relative to their DMSO controls at both 3 uM and 10 uM imatinib, with the higher dose 6 generating an even greater response (Fig. 5A). The fact that ABL/ARG KD cells still displayed 7 increased 3D growth upon treatment with imatinib mostly likely reflects inhibition of residual 8 amounts of ABL (15%) and ARG (20%) remaining in these cells. As anticipated, NT/NT cells 9 experienced the greatest fold change in growth compared to all other cell types at both doses of 10 imatinib, and ABL/ARG KD cells exhibited the smallest fold change in growth, with single 11 knockdown cells displaying an intermediate phenotype (Fig. 5B). In parallel with its ability to 12 promote 3D growth, imatinib treatment dramatically increased phospho-AKT S473 in vector 13 control cells and ARG single knockdown cells, but had minimal to no effect on ABL and 14 ABL/ARG KD cells, in which phospho-AKT levels were already very high (Fig. 5C).

15

To evaluate the generality of the link between Abl kinase signaling and 3D growth of prostate cancer cells, we tested the effect of imatinib on the 3D growth of 5 additional prostate cancer cell lines. DU145 cells and TEM4-18, another derivative of PC-3 cells [34], are androgen indifferent, while LNCaP, 22Rv1, and VCaP are androgen-responsive cell lines. We found that imatinib promoted the 3D growth of the androgen indifferent cell lines to a significantly greater extent than the androgen responsive cell lines, on which it had little or no effect (Fig. 5D).

22

To determine the extent to which AKT signaling is required for the increased 3D growth of Abl
kinase-deficient cells, we treated cells with increasing doses of the AKT inhibitor MK-2206. MK2206 abolished the 3D growth of all 4 cell types, even the ABL/ARG KD cells with the highest
level of 3D growth (Fig. 6A), and extinguished active AKT in the cells (Fig. 6B). In contrast,

1 under standard culture conditions, the same doses of MK-2206 slowed but did not abolish cell 2 proliferation (Fig. 6C). Together with the previous data, these results indicate that one major 3 consequence of Abl kinase deficiency is to promote AKT signaling, which can result in 4 increased 3D growth of androgen-indifferent prostate cancer cells. 5 6 Reverse phase protein array (RPPA) analysis reveals upstream and downstream 7 effectors of AKT signaling in Abl kinase-deficient mCRPC cells 8 To investigate the link between Abl family kinases and AKT signaling we generated lysates of 9 NT/NT and ABL/ARG KD cells growing in 3D conditions for analysis by RPPA using 304 10 antibodies to cancer-relevant signaling proteins. An arbitrary cut off was set for an average fold 11 change of 1.2 or 0.8. Antibodies with average fold changes meeting the cutoff criteria were 12 visualized by a heatmap using median centered normalized log<sub>2</sub> values (Fig. 7A; see Table S1 13 for full results of the RPPA). 14 As expected, pAKT S473 (fold change = 1.5; black arrow Fig. 7A) and c-ABL (fold change = 0.7; 15 16 red arrow Fig. 7A) were among the list of 64 differentially expressed antigens (DEAs). 17 Additionally, several canonical AKT signaling components were differentially expressed 18 (selected list in Fig. 7B). Pathway analysis with DAVID showed that 26.3% of DEAs were associated with the PI3K-AKT signaling pathway (P =  $6.7 \times 10^{-8}$ ). Cell cycle factors were also 19 20 greatly enriched in DEAs (selected list in Fig. 7C), with DAVID pathway analysis showing 15.8% being associated with the cell cycle ( $P = 2.2 \times 10^{-6}$ ). Proteins involved in metabolism and 21 22 lipogenesis (selected list in Fig. 7D) were also prevalent among DEAs and 15.8% of them were associated with AMPK signaling (DAVID pathway analysis;  $P = 2.2 \times 10^{-6}$ ). 23 24 25 We further validated our RPPA data by immunoblotting proteins from each of the categories

26 identified during DAVID pathway analysis (Fig. S5). Expression of the canonical AKT signaling

target S6 Ribosomal Protein phosphorylated at serine 240/244 (pS6 S240/244) (Fig. S5A, D),
and cyclin D3 (Fig. S5B, E), mirrored the pattern of pAKT S473 expression in Abl family kinasedepleted cells, with the highest expression levels of these antigens in ABL/ARG KD double
knockdown and ABL KD single knockdown cells. Conversely, expression of AMPKα
phosphorylated at its activating threonine172, a site negatively regulated by AKT [46], was
downregulated in ABL/ARG KD cells (Fig. S5C, F).

7

8 AXL, a receptor tyrosine kinase and known activator of PI3K/AKT signaling [47], was also 9 among the DEAs identified in our RPPA dataset. Therefore, we assessed expression of total 10 AXL, as well as expression of an autophosphorylation site at tyrosine 779 (pAXL Y779) known 11 to bind the p85 $\alpha$  and p85 $\beta$  subunits of PI3K [47]. Both phospho-AXL and total AXL levels were 12 increased in the ABL single knockdown and ABL/ARG double knockdown cells relative to 13 NT/NT vector control cells. Quantification revealed an ~1.2 fold increase pAXL Y779 in ABL 14 single knockdown cells and ~1.4 fold increase in ABL/ARG double knockdown cells, while 15 expression of total AXL was substantially higher in ABL KD cells (~2.3 fold increase) and 16 ABL/ARG KD cells (~3.3 fold increase) (Fig. 8A). Moreover, pharmacological inhibition of Abl 17 kinase activity in NT/NT cells using imatinib led to an ~1.4-fold increase in total AXL expression 18 in cells growing on 3D matrix (Fig. S6).

19

To investigate whether increased AXL expression in Abl-deficient cells contributes to AKT
activation and 3D growth, we treated cells with the specific AXL inhibitor, R428 (BGB324) [48].
R428 strongly suppressed the 3D growth of Abl-deficient cells (Fig. 8B) and partially canceled
the increased AKT activation seen in ABL kinase single knockdown and ABL/ARG double
knockdown cells (Fig. 8C). Taken together, results of our RPPA analysis and subsequent
validation studies solidify AKT activation as a major pro-tumor outcome of Abl kinase deficiency

and identify increased AXL expression as a candidate mediator of increased AKT signaling and
 3D growth of Abl kinase-deficient cells.

3

#### 4 Discussion

5 A major finding of our study was the dramatic increase in the rate of tumor progression and 6 metastasis of Abl kinase-deficient tumor cells, establishing that Abl kinases can function as 7 suppressors of progression and metastasis in a pre-clinical model of mCRPC. Interestingly, in 8 the TRAMP mouse model of prostate cancer, treatment with the Abl kinase inhibitor imatinib 9 resulted in the selective outgrowth of neuroendocrine-like tumors [49], and imatinib failed in 10 mCRPC clinical trials, where it was associated with a lower probability of PSA decline and 11 reduced overall and progression-free survival [50]. Both of these observations are consistent 12 with progression and metastasis suppressor functions for Abl kinases in mCRPC.

13

14 Although inhibiting Abl kinase activity has been advanced as potential therapeutic strategy for 15 treating solid tumors [22], our new results add to a growing number of studies that point to the 16 ability of Abl kinases to suppress cell motility, promote apoptosis, and negatively regulate cell 17 proliferation and tumor progression in multiple settings [23, 26-29, 32, 51-55]. Anti-tumor activity 18 of Abl kinases has been linked to signaling by tumor suppressor EphB4 [29], p53-P21 signaling 19 [23, 27, 55], negative regulation of Ras-MAP kinase signaling [26], and conversion of the proto-20 oncogenic YAP1 transcription factor from a pro-growth to a pro-apoptotic function upon DNA 21 damage [54].

22

Our new data point to additional anti-tumor functions for Abl kinases that are distinct from those
that have previously been described. We find that Abl family kinase depletion results in a
dramatic upregulation of AKT pathway signaling in our p53-null, PTEN-deficient mCRPC model,
with no obvious impact on signaling via the MAP kinase pathway (Figure S4). Pre-clinical data

link hyperactivation of the AKT pathway to the emergence of mCRPC [16-20], but our new data
 suggest that AKT signaling can continue to sustain the 3D growth of fully AR-indifferent mCRPC
 cells. Moreover, our data support the view that even in the context of PTEN deficiency other
 factors, such as Abl family kinase signaling, can continue to restrain the full oncogenic capacity
 of the AKT pathway.

6

7 The mechanism by which Abl kinase deficiency results in upregulation of the AKT pathway 8 requires further study. However, our RPPA data nominated increased protein expression of the 9 receptor tyrosine kinase AXL as one major input into the increased AKT signaling in Abl-10 deficient cells. In prostate cancer, AXL signaling has been associated with metformin, taxotere, 11 and docetaxel resistance [56-58], as well as EMT-like events, increased migration and invasion, 12 proliferation and tumor growth [59-61]. Conversely, some studies have reported anti-proliferative 13 effects for AXL, which may be related to its ability to induce tumor cell dormancy in some 14 settings [58, 62]. AXL has also recently emerged as a direct target of tumor suppressive micro-15 RNAs in prostate cancer models [63, 64].

16

17 The new findings presented here have important implications for ongoing clinical efforts to target 18 AKT in mCRPC, such as the phase III trial combining AKT inhibitor ipatasertib with abiraterone 19 (NCT03072238). The scientific rationale for this combination trial involves reciprocal feedback 20 between androgen receptor signaling and PI 3-kinase/Akt signaling in PTEN-deficient prostate 21 cancer [21], such that inhibiting one pathway activates the other, suggesting dual inhibition as a 22 strategy. However, this clinical trial is not designed to investigate efficacy related to the 23 presence or absence of AR-indifferent and neuroendocrine-like disease and also will not 24 address the potential utility of AKT inhibition in mCRPC that has already progressed to an AR-25 indifferent state. Our data suggest the possibility that tumors that evolve resistance to AR 26 inhibitors such as abiraterone yet remain AR-driven may be less amenable to AKT inhibitors

1	than tumors that evolve to an AR-indifferent state. Important future directions based on results
2	presented here include testing the efficacy of AKT and AXL inhibitors in pre-clinical in vivo
3	models of AR-indifferent and AR-driven metastatic prostate cancer and investigating at the
4	protein level AXL and AKT expression and markers of Abl kinase activity in mCRPC clinical
5	specimens. It will also be important to investigate the mechanism by which Abl kinase deficiency
6	results in upregulation of AXL protein and the extent to which factors in addition to AXL
7	expression contribute to AKT pathway hyperactivation in Abl deficient cells. Such investigations
8	may yield additional treatment strategies for AR-indifferent, NE-like prostate cancers, especially
9	those with PTEN deficiency, which represents ~50-60% of all NE-like mCRPC [13].
10	
11	Acknowledgements
12	Dr. Brown is the current University of Iowa Andersen-Hebbeln Professor in Prostate Cancer
13	Research. This endowed professorship provided financial support related to this research effort.
14	Core facilities used in these studies were supported by NIH grant P30 CA086862.
15	
16	Competing interests

17 None to disclose.

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### **Figure Legends**

# Figure 1: Loss of Abl family kinase expression promotes *in vivo* mCRPC tumor progression and metastatic dissemination

(A) Representative bioluminescence (BLI) images of orthotopic tumors in mice implanted with Abl family kinase-deficient (ABL KD, ARG KD, and ABL/ARG KD) and non-targeting (NT/NT) mCRPC cells at 35 days post-implantation. (B) Semi-log plot depicting average tumor burden (log of photon flux [photons/s]) over time (day) for mice bearing NT/NT (blue line), ABL KD (green line), ARG KD (orange line) and ABL/ARG KD (red line) tumors. Data points indicate mean +/- SEM for  $\geq$  7 mice/cell type. \*, \*\*, and \*\*\*\* signify statistically significant p-values of < 0.05, < 0.01, < 0.001, and < 0.0001, respectively. Kruskal Wallis with Dunn's multiple comparison test and Mann Whitney test at  $\alpha$  = 0.05. (C) Representative images of BLI-assisted gross necropsies performed immediately after sacrifice for mice harboring NT/NT (sacrificed day 63), ABL KD (sacrificed day 52), ARG KD (sacrificed day 59), and ABL/ARG KD (sacrificed day 45) tumors. PT: primary tumor; Kid: kidney; Li: liver; Lu: lung. (D) Immunoblot analysis of ABL and ARG proteins in all mCRPC cell types prior to implantation. % values represent blot intensities normalized by an  $\alpha$ -tubulin loading control and expressed as percent relative to NT/NT. Color scales in A. and C. indicate photons/sec/cm<sup>2</sup>/sr.

#### Figure 2: Abl kinase-deficient tumor cells exhibit enhanced metastatic colonization

Representative fluorescent micrographs of kidneys (1<sup>st</sup> column), livers (2<sup>nd</sup> column), and lungs (3<sup>rd</sup> column) colonized by GFP-labeled NT/NT (**A**, **B**, **& C**), ABL KD (**D**, **E**, **& F**), ARG KD (**G**, **H**, **& I**), and ABL/ARG KD (J, **K**, **&** L) mCRPC cells. Quantification of kidney (**M**), liver (**N**), and lung (**O**) colonization as the percentage of total surface area occupied by GFP-labeled mCRPC cells. Bars in M, N, & O indicate mean +/- SEM for  $\geq$  5 organs/cell type. \* and \*\* in M, N, & O denote

statistically significant p-values of < 0.05 and < 0.01, respectively, and n.s. stands for not significant. Kruskal-Wallis with Dunn's multiple comparison test,  $\alpha = 0.05$ .

#### Figure 3: The Abl family kinases act to constrain the motility phenotypes of mCRPC cells

Abl family kinase-deficient (ABL KD, ARG KD, and ABL/ARG KD) and non-targeting (NT/NT) mCRPC cells were plated on 2D collagen I and had their migration monitored via time-lapse microcopy for 90 minutes. (A) Motility tracks; n = 20 tracks/cell type. X/Y axes in um, 0 represents point of origin. (B) Graph of average migration speed (um/hr.), n = > 34 cells/cell type. (C) Graph of average displacement (um) from point of origin, n = > 34 cells/cell type. Bars in B & C indicate mean +/- SEM. \* and \*\*\*\* in B & C indicate statistically significant p-values of < 0.05 and < 0.0001, respectively, and n.s. stands for not significant. One-way ANOVA w/ Dunnett's multiple comparison,  $\alpha = 0.05$ .

# Figure 4: Increased AKT activation and 3D growth of mCRPC cells is associated with Abl kinase deficiency

Graphs of tumor cell growth (photon flux [photons/s]) for AbI family kinase-deficient (ABL KD, ARG KD, ABL/ARG KD) and non-targeting (NT/NT) mCRPC cells growing under **(A)** 3D and **(B)** standard (STD) conditions for seven days. Bars indicate mean +/- SEM for 6 wells/cell type/condition. \*\*\* and \*\*\*\* denote statistically significant p-values of < 0.001 and < 0.0001, respectively, and n.s. stands for not significant. One-way ANOVA with Sidak multiple comparison test,  $\alpha$  = 0.05. Immunoblot analysis of AKT phosphorylated on serine 473 (pAKT S473), total AKT (AKT), and an  $\alpha$ -tubulin loading control for AbI family kinase-deficient and non-targeting mCRPC cells growing under **(C)** 3D and **(D)** STD conditions for 4 days.

## Figure 5: Loss of Abl family kinase activity preferentially enhances the 3D growth of androgen receptor (AR) indifferent prostate tumor cell lines

Graphs of **(A)** tumor cell growth (photon flux [photons/s]) and **(B)** fold change in tumor cell growth relative to vehicle for Abl family kinase-deficient (ABL KD, ARG KD, and ABL/ARG KD) and non-targeting (NT/NT) mCRPC cells growing in 3D and treated with DMSO (white), 3 uM imatinib (light gray), or 10 uM imatinib (black) for 7 days. Bars in A & B indicate mean +/- SEM for 6 wells/cell type/condition. \*\*\*\* in A. indicates statistically significant p-values < 0.0001 within each cell type for a specific dose of imatinib relative to DMSO control. Unpaired t-test with Holm-Sidak correction for multiple t-tests,  $\alpha = 0.05$ . \*\*\* and \*\*\*\* in B. denote statistically significant p-values of < 0.001 and < 0.0001, respectively, for each Abl family kinase-deficient cell type relative to NT/NT cells for a specific dose of imatinib. Two-way ANOVA with Sidak multiple comparison test,  $\alpha = 0.05$ . **(C)** Immunoblot analysis of AKT phosphorylated on serine 473 (pAKT S473), total AKT (AKT), and an  $\alpha$ -tubulin loading control for Abl family kinase-deficient and non-targeting mCRPC cells growing in 3D and treated with DMSO or 3 uM imatinib for 4 days. **(D)** Graph of fold change in growth relative to vehicle for AR-indifferent (black) and AR-driven (light gray) prostate cancer cell lines growing in 3D conditions with 3 uM imatinib.

# Figure 6: The AKT inhibitor, MK-2206, abolishes the increased 3D growth and AKT activation of Abl family kinase-deficient mCRPC cells

Graphs of tumor cell growth (photon flux in [photons/s]) for AbI family kinase-deficient (ABL KD, ARG KD, ABL/ARG KD) and non-targeting (NT/NT) mCRPC cells under 3D (**A**) and standard (**C**) conditions and treated with DMSO (white), 0.1 uM MK-2206 (light gray), 0.3 uM MK-2206 (dark gray), or 1.0 uM MK-2206 (black) for 7 days. Bars in A & C indicate mean +/- SEM for 6 wells/cell type/condition. \*\*\*\* in A signifies statistically significant p-values <0.0001. Unpaired t-test with Holm-Sidak correction for multiple t-tests,  $\alpha = 0.05$ . (**B**) Immunoblot analysis of AKT

phosphorylated on serine 473 (pAKT S473), total AKT (AKT), and an  $\alpha$ -tubulin loading control for AbI family kinase-deficient and non-targeting mCRPC cells growing in 3D for 4 days and treated with DMSO or 1.0 uM MK-2206 for 1 day.

# Figure 7: Reverse phase protein array (RPPA) analysis of Abl family kinase-deficient mCRPC cells growing in 3D

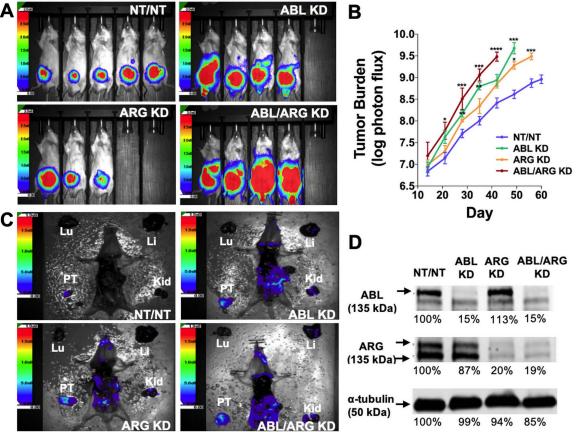
(A) Heatmap displaying median-centered, normalized log<sub>2</sub> values of differentially expressed antigens (DEAs). Lysates of NT/NT and ABL/ARG KD mCRPC cells grown under 3D conditions for 4 days were analyzed by RPPA. Antibodies with average normalized linear fold changes > 1.2 or < 0.8 were considered DEAs. Rows corresponding to pAKT S473 (black) and c-Abl (red) are marked with arrows. Tables of average normalized linear fold changes for selected groupings of DEAs: (B) AKT signaling components, (C) cell cycle factors, and (D) genes involved in lipogenesis and metabolism.

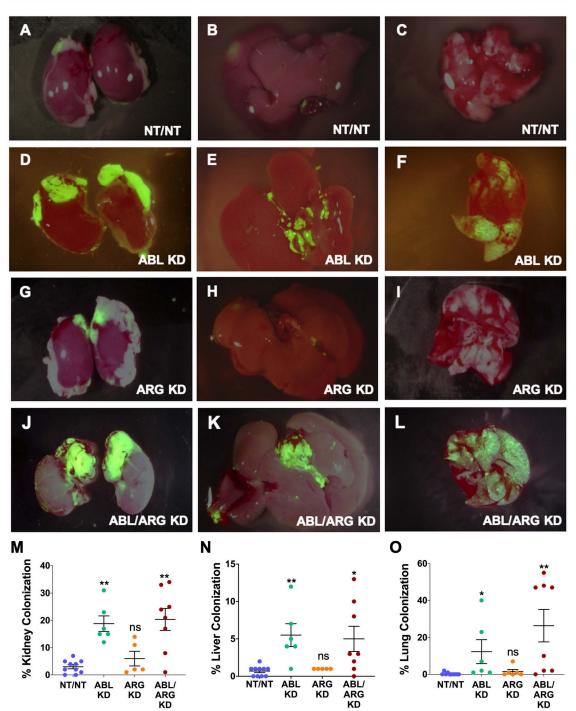
# Figure 8: AXL activation and expression is associated with the 3D growth phenotype and AKT hyperactivity of AbI family kinase-deficient mCRPC cells

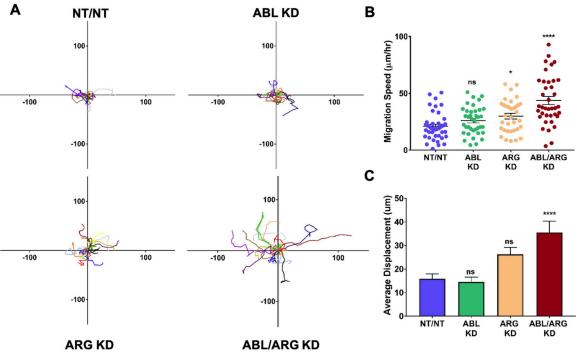
(A) Immunoblot analysis of AXL autophosphorylated on tyrosine 779 (pAXL Y779), total AXL (AXL), and  $\alpha$ -tubulin loading control for Abl family kinase-deficient (ABL KD, ARG KD, ABL/ARG KD) and non-targeting (NT/NT) mCRPC cells growing in 3D for 4 days. (B) Graph of tumor cell growth (photon flux [photons/s]) of Abl family kinase-deficient and non-targeting mCRPC cells growing in 3D and treated with DMSO (white), 2.5 uM (gray), or 5 uM (black) of the specific AXL inhibitor, R428 for 7 days. Bars indicate mean +/- SEM for 6 wells/cell type/condition. \*\*\*\* indicates statistically significant p-values  $\leq$  0.0001. Unpaired t-test with Holm-Sidak correction for multiple t-tests,  $\alpha$  = 0.05. (C) Immunoblot analysis of AKT phosphorylated on serine 473 (pAKT S473), total AKT (AKT), and an  $\alpha$ -tubulin loading control

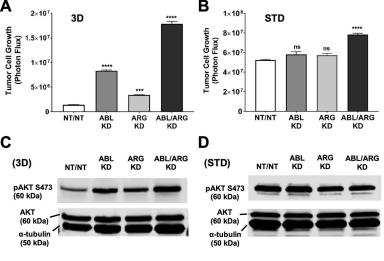
for Abl family kinase-deficient and non-targeting mCRPC cells growing in 3D for 4 days and

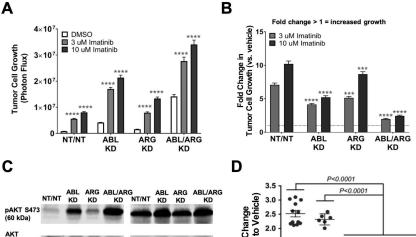
treated with DMSO or 5 uM R428 for 1 day.

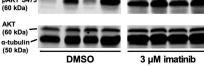


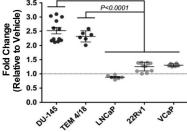


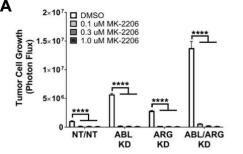


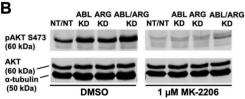


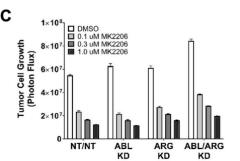


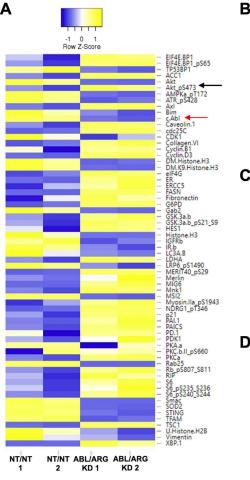












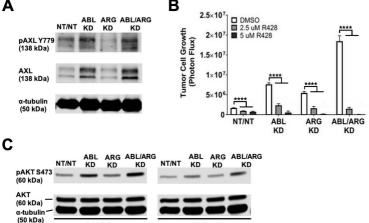
#### В

С

Canonical AKT Components	Signaling
Gene Name	Average RPPA Fold Change
4E-BP1 pS65	1.3 🕇
AKT pS473	1.5 🕇
GSK3 α/β pS21/S9	1.2 🕇
PDK1	1.2
pS6 S240/244	1.4

Cell Cycle		
Gene Name	Average RPPA Fold Change	
CDC25c	1.3	
Cyclin B1	1.5	
Cyclin D3	1.3 🕇	
p21	1.2	
Rb pS807/S811	1.2	

Lipogenesis and Metabolism			
Gene Name	Average RPPA Fold Change		
ACC1	1.6 🕇		
AMPKα pT172	0.7 🔶		
FASN	1.5 🕇		
G6PD	1.5 🕇		
PAICS	1.3 🕇		



5 µM R428

DMSO