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SOFTWARE

KOMB: Taxonomy-oblivious Characterization of Metagenome Dynamics via K-core Decomposition

Advait Balaji¹, Nicolae Sapoval¹, R. A. Leo Elworth¹, Santiago Segarra^{1,2} and Todd J. Treangen^{1*}

*Correspondence:

treangen@rice.edu ¹Department of Computer Science, Rice University, 6100 Main St, 77005 Houston, Texas, USA Full list of author information is available at the end of the article

Abstract

Background: Taxonomic classification of microbiomes has provided tremendous insight into the underlying genome dynamics of microbial communities but has relied on known microbial genomes contained in curated reference databases. **Methods:** We propose K-core graph decomposition as a novel approach for

tracking metagenome dynamics that is taxonomy-oblivious. K-core performs hierarchical decomposition which partitions the graph into shells containing nodes having degree at least K called K-shells, yielding O(E + V) complexity.

Results: The results of the paper are two-fold: (1) KOMB can identify homologous regions efficiently in metagenomes, (2) KOMB reveals community profiles that capture intra- and inter-genome dynamics, as supported by our results on simulated, synthetic, and real data.

Software Availability: KOMB is available for use on Linux systems at https://gitlab.com/treangenlab/komb.git

Keywords: De Bruijn graph; graph-based analysis; K-core decomposition; metagenome; microbiome; unitigs

3 Background

1

Graph-based representations and analyses paved the way for several advances in computational biology over the last few decades [1–3]. This is particularly evident in the progress made in the field of genome assembly, both for isolate genome assembly [4, 5] and metagenome assembly, as well as efficient detection of structural variants [6–8] using genome graphs [9–11]. Indeed, state-of-the-art graphbased metagenome assemblers [12–15] have achieved remarkable improvements in both run-time and accuracy in recent years [16] through the use of efficient data

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structures and clever heuristics. Recent examples include compact De Bruijn graph construction and traversal for assembly [17,18] as well as scaffold graphs for metagenomic samples that can generate scaffolds from contiguous overlapping sequences (contigs), which are then stitched together by using paired-end read information to obtain the complete genome [19,20]. There has also been a recent emphasis towards constructing De Bruijn graphs that encode the underlying metagenomic population information such as succinct colored De Bruijn graphs [21, 22, 22].

Despite recent advances, genome assembly remains challenging due to the presence 18 of repetitive sequences and sequencing error, both of which confound graph traversal 19 needed to generate consensus sequences [23]. This occurs in part due to the presence 20 of repetitive sequences in the genome that tangle the assembly graph resulting in 21 nodes with high degrees. This assembly graph tangling creates a non-trivial graph 22 traversal problem [24]. This is further exacerbated when dealing with metagenomes 23 as the sequences can contain intra-genomic repeats as well as inter-genomic ho-24 mology. Distinguishing paralogs from orthologs, and repeats from homologs can be 25 challenging, especially if the sample is enriched for closely-related species or strains. 26 Assemblers or scaffolders often deal with resolving this ambiguity in two ways; ei-27 ther by assuming that branches in the graph were a result of base calling errors 28 and hence collapsing the node, or by stopping the traversal to reveal a fragmented 29 stretch of unique contiguous subsequences of the genome (unitig) [25, 26]. Thus, for 30 optimal assembly, it becomes imperative to correctly identify sequences that are 31 part of these tangled nodes. 32

Another area where repetitive regions play a confounding role is in the identifica-33 tion of genomic variations in a large metagenomic sample. Inter-genomic homology 34 can often link unrelated regions of different but closely related genomes. In addition 35 to the repetitive regions, non-uniform coverage can result in an increase in false pos-36 itive repeats as core genome regions of highly abundant species can be labelled as 37 repeats [27]. Distinguishing and separating out these genomic regions with varying 38 degrees of similarities and differences becomes a crucial step for any downstream 39 metagenomic analysis and allows for careful tracking of genomic diversity within 40 the sample [28-30]. 41

A popular solution that has emerged in the literature is to identify tangled nodes
caused by these different phenomena using the concept of node centrality on graphs.

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More specifically, the general idea is to employ node centrality measures to separate high-similarity nodes from non-repeat nodes. Since tangled nodes have on average 45 a larger degree than other nodes and are well-connected within the graph, it is rea-46 sonable to employ centrality measures to identify these nodes. In this context, tools 47 like MetaCarvel [31] and Bambus2 [25] are examples of methods developed by the 48 community grounded on the idea of centrality-based repeat detection for the spe-49 cific case of betweenness centrality [32,33]. Although methods based on betweenness 50 centrality can achieve high levels of specificity, they tend to miss out on multiple 51 repetitive regions leading to loss in sensitivity [24]. Another fundamental drawback of betweenness centrality is its high computational complexity O(VE) [34,35]. 53 where V denotes the number of nodes and E the number of edges in the graph, making impractical its implementation on large metagenomic datasets. To allevi-55 ate these concerns, a recent method employs an approximate betweenness central-56 ity measure [36, 37] to improve the scalability of the approach. The approximate 57 betweenness centrality relies on subsampling the nodes in the graph to estimate 58 betweenness centralities in the complete graph. While approximate betweenness 59 centrality is in practice an order of magnitude faster than the exact counterpart, it 60 depends on thresholding strategies to achieve good levels of specificity. Moreover, 61 it was later shown [24] that an ensemble approach using a random forest classifier 62 and various features from the contig graph (including coverage, contig length, and 63 centrality) resulted in a slight improvement over using just betweenness centrality 64 as a measure of repeat detection. 65

In parallel to repeat and homolog detection, there has been an increasing need in 66 the research community for methods that visually and quantitatively identify mi-6 crobial community structures and sequence diversity among the organisms present 68 in metagenomic samples, particularly in response to perturbations [38]. A tool that 69 can accurately and efficiently identify and extract information from assembly, con-70 tig, or unitig graphs in an intuitive and theoretically grounded framework could 71 help biologists understand and characterize microbial communities using repeats 72 and homologous regions of the organisms in their samples [39-42]. 73

In this work we present KOMB, a tool that does not rely on reference databases and can capture highly-connected repetitive regions in an entire genome or a metagenomic community. We present a novel way of achieving this using the K-

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core decomposition of a unitig graph that hierarchically separates out repetitive 77 regions into various shells that can then be used to analyze genomic variation in 78 the sample. We show that the distribution of nodes could lead to a new method-79 ology that describes metagenomic community structure based on sample specific 80 signatures obtained from KOMB profiles. In Methods, found towards the end of 81 the manuscript, we describe the pipeline of the tool, explain unitig graph construc-82 tion, and elaborate on the concept of K-core decomposition. In the Results section, 83 we provide a rigorous validation of our novel K-core decomposition tool KOMB as 84 applied to unitig graphs constructed from simulated data as well as synthetic and real metagenomes. We demonstrate its effectiveness in identifying repetitive regions 86 across sample types and sizes and illustrate how KOMB profiles can be used to visualize community structure. Finally, in the Discussion and Conclusions we cover 88 the salient points and main conclusions from our study and lay out future directions of our research. 90

91 Results

We present a thorough validation of KOMB as applied to various simulated, syn-92 thetic, and real datasets. We do this through three major sets of experiments. 93 First, we demonstrate the efficacy of the application of the K-core decomposition 94 algorithm in genomics by testing it on simulated genomes constructed as random 95 sequences to which we have added known repeat families. The simulated backbone 96 sequences are constructed by appending base pairs uniformly at random until the 97 desired length is achieved. We then simulate two families of repeats and insert 98 them into these random backbone sequences using the multinomial distribution to determine the spacing between the repeats. We simulate two families of repeats, 100 intra-genomic repeats that are all contained within a particular random genome, 101 and a second family of inter-genomic repeats contained within multiple genomes. 102 The results on the simple simulated dataset validate the theoretical results on the K-103 shell profiles as discussed in the Methods section and demonstrate KOMB's ability 104 to unveil repetitive unitigs (Additional File 1, Fig S1). 105

Further, we also analyzed the effects of different read quality control methods that are traditionally used by biologists. Specifically, we show that read filtering via k-mer filtering techniques and read correction can significantly impact the profile

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of shells. We show that error prone reads can lead to fragmentation of shells in 109 contrast to the ideal case and can significantly impact the profile of the sample. 110 We also reason that discarding reads with low abundance k-mers could be a better 111 approach to prevent fragmented peaks in the KOMB profile as opposed to any 112 read correction that may introduce noise (Additional File 1, Figure S2). Next, we 113 repeat the simulated experiment, this time embedding repeats into real microbial 114 genomes in lieu of a random backbone. In addition to the expected signal, this 115 introduces some interference from the sequences that we expect to encounter in real 116 datasets due to the presence of repeats in bacterial genomes. We show that the 117 peaks containing unitigs bordering the inserted simulated repeats are still observed 118 clearly with a small shift in shells at which these peaks occur (Additional File 1, 119 Figure S3). 120

KOMB uses an internal unitig filter where unitigs shorter than read length are 121 not considered for downstream analysis. Though beneficial in reducing noise while 122 analyzing isolate genomes, this could cause loss of information in metagenomes or 123 samples containing closely related strains or species. In such cases, the resulting 124 de Bruijn graph is expected to be highly fragmented yielding shorter unitigs. We 125 discuss the effect of unitig filtering in the context of species diversity through sim-126 ulations on five closely related E. coli strains and reason that unitig filtering based 127 on length must be turned off in order to capture the complete profile. We also show 128 the difference in signatures obtained when we have multiple genomes in a sample 129 that are closely related versus a sample containing more distantly related genomes. 130 (Additional File 1, Figures S4, S5 and, S6) 131

Lastly, we run KOMB on real metagenomic samples to show both how the shell 132 profile can be an indicator of the community structure present in the samples as well 133 as its scalability to handle large metagenomic datasets. We first show the results on a 134 synthetic metagenomic dataset [43] which allows us to identify community structure 135 in the presence of ground truth data. We also run KOMB on real metagenomic 136 samples from the Human Microbiome Project (HMP) and show that samples from 137 the same body site tend to be more closely matched compared to the samples 138 from other body sites based on their KOMB profiles. Finally, we run KOMB on 139 approximately 1TB of longitudinal gut microbiome data to show that KOMB can 140 help capture and visualize perturbations in microbiome communities. 141

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142 KOMB validation on a simulated *E.coli* and *B.Cereus* sample

To validate KOMB on a simple simulated data model, we consider 2 genomes in a 143 sample, E. coli and B. cereus. We embed one family of intra-genomic 400×400 bp 144 repeats in E. coli and one family of intra-genomic 200×400 prepeats in B. cereus, 145 along with one shared family of inter-genomic 500×500 prepeats. Figure 1 shows 146 the results on the combined sample as well as the individual genomes of E. coli and 147 B. cereus separately. Based on the theoretical analysis (see KOMB profile in the 148 Methods section), we expect a peak close to 1000 (for the inter-genomic repeats) 149 and peaks close to shells 400 and 200 as well. Figure 1 shows that we do indeed see 150 peaks close to 1000 that represent the shared simulated repeats. More interestingly 151 we see peaks around shell 200 and 400 but also see some discernible peaks between 152 200-400 and 400-600. These are unitigs with two different types of repeats at their 153 edges causing a shift beyond the expected number of shells for the intra-genomic 154 repeats. 155



Figure 1 Combined KOMB profile of *E. coli* (intra: 400×400 bp, inter $500 \times x500$ bp) and *B. cereus* (intra: 200×400 bp, inter 500×500 bp) repeats (Left), *E. coli* single genome (Middle), and *B. cereus* single genome (Right). For the combined profile of both bacteria (L), there is a clear formation of peaks close to position 1000 (984 and 979), which indicate the inter-genomic repeats, and peaks at shell numbers 277, 396 and 540. This agrees with the theoretical model in the case of unitigs with mixed repeats at its end as discussed in Methods. We also plot the individual profiles of *E.coli* and *B.cereus*. For *E.coli* (M) we see three peaks at 396, 496 and, 539 given its higher copy number of intra-genomic repeats (400). For *B.cereus*, we observe peaks at 276 and 492 signalling its intra and inter-genomic repeats, respectively.

In order to validate the signature we receive from the above combined plot of $E. \ coli$ and $B. \ cereus$, we create a ground truth dataset of repetitive unitigs by mapping back the unitigs to the reference genomes. Given that we know the position of the simulated repeats in the genome, we mark any unitigs mapping to a region overlapping the embedded repeats into three categories, either inter-genomic repeats

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or one of the intra-genomic family of repeats. Figure 2 confirms that unitigs in the highest shell do indeed have inter-genomic repeats at their ends. Also, as expected, the families of intra-genomic repeats fall in peaks around 200 and 400 and the unitigs with mixed repeats at their ends form peaks in between those shells. Finally, we observe that the initial shells have no repeats hitting them. This demonstrates the ability of KOMB to delineate repeat families while being robust to background noise.



exclusively with inter-genomic repeats (FI) whereas the shells around 200 are overlapping *B. cereus* simulated intra-genomic repeats (F1) and shells around 400 are overlapping *E. coli* simulated intra-genomic repeats (F2). Finally, the first shells contain the background noise (colored black).

168 KOMB on Metagenomes

To address the question of visualization and characterization of communities within metagenomic samples we have run KOMB on a synthetic metagenomic community of 64 organisms [43] and real metagenomes obtained from the Human Microbiome Project (HMP) [45] [46]. Finally, we also show that KOMB can reveal shifts in large scale longitudinal metagenomic studies [47].

174 Synthetic Metagenome Dataset

We ran KOMB on the Shakya et al [43] synthetic metagenome community and carried out an in-depth analysis of the KOMB profile. The Shakya metagenomic dataset consists of 64 organisms - 16 archea and 48 bacteria. In order to validate and analyze KOMB, we also downloaded the reference genomes of all the organisms in the sample. These 64 genomes were then concatenated into a single fasta file and

¹⁸⁰ used as input to nucmer for repeat finding in order to determine the ground truth



¹⁸¹ (Additional File 1, Section 1.5).

182 Analysis of KOMB Profiles

As part of the preprocessing step in the KOMB pipeline, the paired-end reads were 183 filtered using the k-mer filter tool from Stacks [48]. We then ran KOMB with no 184 unitig filter to replicate a run with no prior knowledge of the community structure. 185 Figure 3 shows the KOMB profile obtained. We observe that, similar to the case of 186 simulated repeats with a real genome backbone, we obtain some peaks in the initial 187 shells that represent the inherent background similarities in the genome which decay 188 as we approach shell number 50. Post the 50th shell, we observe 5 distinct peaks in 189 the profile (marked with colors) at shells 101, 136, 264, 283 and 345, respectively. 190 Shell 345 is also the last shell of the profile, hence, we find consistent behaviour on 191 the synthetic metagenome data with our simulated validations that produce a peak 192 containing inter-genomic repeats. To further closely analyze the graph topology, 193 we plot the largest connected component of an induced subgraph of the data. The 194 induced subgraph is constructed such that it only contains the nodes present in 195 shells above the 50th shell where we observe the initial peaks decay. Figure 4 shows 196 the result of this visualization. We color each of the nodes occurring in our five 197

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peaks of interest as dark blue (101), cyan (136), orange (264), red (283) and purple (345), and use a spring graph layout to plot the graph. We observe that shells 283, ad5 and 136 form dense subgraphs whereas the 264 and 101 shells are more spread out over the connected component. This, in fact, is also a characteristic of K-core where shells can represent dense subgraphs as well as long-range connections that are important to the global structure of the graph.



We further analyze each of the repetitive unitigs in each of the peaks as well as the 204 rest of the shells. We first plot the total number of distinct genomes hit by unitigs in 205 each shell. This gives us information as to whether particular shells are inclined at 206 identifying inter-genomic homologous regions and which shells capture unitigs that 207 map predominantly to fewer organisms. In Figure 5, we see a distinctive last shell 208 spike much like the KOMB profiles, here it indicates that the densely connected 209 subgraph does in fact represent inter-genomic repeat unitigs. We see some similar 210 patterns in the early shells after the 50^{th} shell cutoff (50-161). For each of the five 211 peaks observed in the KOMB profile we have the following number of genomes per 212 shell, 101: 59, 136: 54, 264: 24, 286:17, 345:42. We see that the shells 264 and 286 213 have significantly less number of genomes per shell, indicating that the majority 214

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of the repeats captured by that shell are more intra-genomic rather than intergenomic in nature. Unitigs in the last shell mapped to 42 genomes (out of the total of 64) displaying a larger diversity than that of the intermediate shells and underlying KOMB's ability to capture high copy number repeat unitigs appearing across organisms in the metagenome.



Figure 5 The number of genomes mapped by unitigs per shell. For each repeat unitig from the ground truth occurring in each shell we sum the total number of unique genomes mapped. We observe that the total number of unitigs mapped is high in the earlier shells as well as in the last shell. In the intermediate shells, we get a mixture of shells containing unitigs from multiple references and some containing unitigs from one or a small number of references. The former can be interpreted as shells capturing a more inter-genomic homology profile whereas those shells having unitigs mapped to fewer reference genomes indicate a species specific signature. For the peaks observed in Figure 3, we observe that shells 101 and 136 have unitigs mapped to 59 and 54 genomes respectively, while the number of genomes hit by unitigs in peak 264 and 286 are 24 and 17, respectively. The last shell sees a recovery of more inter-genomic signature with 42 genomes being mapped by the unitigs. The red dotted line marks shell 50. The more informative part of the plot lies to the right of this line since there is inherent noise in signals obtained from the shells before 50.

We also coin a new metric called repeat density to further analyze the copy number 220 of repeated unitigs in each shell. We define KOMB repeat density for each shell as 221 the copy number per genome per unitig. This is a two step calculation. First, for 222 each repetitive unitig in the shell we sum up its copy number and divide the sum 223 by the total number of distinct genomes it was mapped to, this gives us the copy 224 number per genome. Second, we divide this by the total number of unitigs in the 225 shell (repetitive and non-repetitive) which gives us a measure of how dense is the 226 repeat information contained in a given shell. This also provides a holistic view of 227

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²²⁸ how the copy number per shell normalized by the number of genomes and unitigs

²²⁹ varies across the KOMB Profile. We observe in Figure 6 that the repeat density of

 $_{230}$ the profile is higher in the higher shells, thus confirming our hypothesis that we are

- ²³¹ more likely to capture repeats accurately in the later shells where there is a stronger
- ²³² signal representing dense subgraphs.





²³³ Human Microbiome Project Samples

We have selected 4 distinct body sites among the available samples: external nares, 234 supragingival plaque, fecal, and bucal mucosa. For each distinct site, we arbitrarily 235 picked 4 samples, each with between 20,000,000 and 30,000,000 paired-end Illumina 236 reads. We filtered the read sets by running k-mer filter with k-mer size 21, abundance 237 threshold 2, and k-mer per read abundance of 80%. Thus, we only retained the reads 238 that consist of 80% or more of 21-mers that occur at least twice in the sample. 239 We then ran the KOMB pipeline, with k-mer size 50 used for de Bruijn graph 240 construction. Since we are likely to encounter some closely related organisms in the 241 samples, we have turned off unitig filtering. Thus, we have retained the unitigs that 242 fall below read length in the graph. We then plotted the obtained profiles as stacked 243

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violin plots presented in Figure 7. We observe that samples from different sites give
rise to different profiles, as evidenced by Figure 7 zoomed in on the first 40 shells.
We note that while there are outliers present for each site, the overall intra-site
similarity of profiles is high. Furthermore, the inter-site comparison suggests that
the profiles determined by KOMB are distinct for different sites.



Figure 7 HMP metagenomes taken from 4 different body sites (4 samples each). Numbers indicate the last shell for each of the samples. We observe that the captured KOMB profiles are distinct for samples coming from different sites, and similar for samples originating from the same site. We also note that external naris and bucal mucosa sites have larger variances in the number of shells across the samples. Furthermore, these two sites also tend to have a much larger last shell than the gingiva and fecal samples.

249 Analysis on the Human Gut Microbiome

The study of the population diversity and stability of the human gut microbiome has gained increasing prominence given its impact on disease conditions and various pathologies [49–51]. Given its importance, it becomes imperative to enable large scale analysis of gut metagenomes and visualize significant shifts in community structure, particularly in cases of external perturbation like introduction of dietary changes or antibiotics. Here, we show that the KOMB profile can offer novel insights into longitudinal microbiome studies such as that of the human gut.

To demonstrate KOMB's ability to derive insights from large scale metagenomic analysis, we considered the temporal gut metagenome study by Voigt et al [47]. This study contains almost 1TB worth of human gut microbiome sequencing data collected from 7 subjects (5 male and 2 female) at different time points spread over two years. Figure 8 shows the KOMB profiles of each of 6 subjects from the initial four time points (Days 0, 2, 7 and, 60). Though we ran KOMB on the entire set of

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reads in this study we exclude one male subject Halbarad from the figure because 263 the sample at day 60 was missing. According to the study no external disruptions or 264 sample variabilities were reported for any of the subjects during these time points. 265 A qualitative analysis of KOMB profiles reveals two important observations. First, 266 we observe that the general profiles of the gut microbiome closely resemble that of 26 the fecal samples reported in Figure 7 and are very distinct from other body sites 268 indicating KOMB's ability to consistently capture body site specific community sig-269 natures. Second, we observe a high degree of intra-sample similarity over the three 270 time points and also observe some fundamental difference between the initial shells 27 of the profile based on gender, which is also reported by previous studies [52] [53]. 272 The only exception to this trend is the subject Bugkiller which showed significant 273 variability in the early samples as compared to other male subjects Alien, Peace-274 maker and Scavenger which exhibited fairly consistent profiles. We reason that this 275 deviation could be mostly due to errors or contamination in the sequences as none 276 of the other 6 samples show such variability. To get a more quantitative understand-27 ing of the data and the effects of external disruptions on the gut microbiome we 278 focus our attention on the subject Alien who was the only subject exposed to an 279 antibiotic intervention and bowel cleanse procedure during the course of the study. 280



Figure 9 outlines the entire longitudinal trajectory of the Alien's gut microbiome over the course of 14 time points spread across two years. The KOMB profiles focus on the first 200 shells at each time point. We observe a significant compression of shells on Days 376, 377, 378, and 380 which coincides with samples taken post antibiotic intake and corresponding to a significant perturbation to the diversity and

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community composition as reported in the study. This is also mirrored in the unitig 286 count of the samples which is decreased by an order of magnitude. It is important 287 to note here that the total number of reads in the individual time points are similar 28 and, hence, the difference in the number of unitigs is more likely to be caused by 289 shifts in the composition of the microbiome. We see that antibiotic intervention 290 causes not only a reduction in the total number of shells but also alters the unitigs 291 present in the initial shells, though this tends to recover slightly towards the end 292 of the antibiotic cycle on Day 380. We also observe complete unitig distribution 293 recovery in the initial shells twelve days after the last post-antibiotic sample on 29 Day 392. Following this, the number of unitigs recovers close to earlier levels by 295 Day 600. We observe similar but less drastic shell compression and quick recovery 29 after bowel cleanse indicating that antibiotics cause a far greater disruption in 297 microbiome community structure, a finding corroborated by the authors in Voigt 298 et al [47] as well as an earlier study [54]. 299



To further gauge if the perturbation caused was significant, we calculated the total variation of probability measure between the shell profiles (normalized to 1). Figure 10 shows the pairwise distances as calculated by the proposed measure. More precisely, for discrete probability distributions P and Q, the distance $\delta(P,Q)$ between them is computed as $\delta(P,Q) = \frac{1}{2}||P - Q||_1 = \frac{1}{2}\sum_{w\in\Omega}|P(w) - Q(w)|$, where Ω is the (discrete) sample space [55]. To get a better estimate of the difference between each probability distribution we grouped samples from three of the subjects

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Alien, Bugkiller and Peacemaker according to time points, namely initial comprising Days 0, 2, 7, and 60, post-antibiotic comprising Days 376, 377, 378, and 380, and 308 only from Alien and later comprising Days 392 (3 samples) and 773. We aimed 309 to reason that the distance between Alien initial and Alien post-antibiotic was 310 significantly greater than a change that could be explained merely by a difference in 311 time duration. Indeed, we observe that Alien post-antibiotic has significantly greater 312 pairwise distance to all other samples (Avg dist = 0.622). This also happens to be far 313 more than the distance between samples of subjects at initial and later time points 314 (Avg dist = 0.312). Observing samples collected from Alien, the average pairwise 315 distance between Alien initial and other samples (excluding Alien post-antibiotic) 316 is 0.227 and that between Alien later and other samples (excluding Alien post-317 antibiotic) is 0.38. The distance confirms our hypothesis that antibiotic intervention 318 does in fact cause significant perturbation in KOMB profiles. Apart from total 319 probability measure, we also implemented other distances between probabilities 320 distributions such as the Earth mover's distance [56, 57] and KL Divergence [58]. 321 Similar findings were obtained with these alternative distances; see Additional File 322 2, Figures S1, S2 and S3 for more details. 323

324 Performance

KOMB is written in C++ and Python. It uses the igraph C graph library [59] 325 for the unitig construction and K-core decomposition implementations. KOMB also 326 uses OpenMP support [60] to use multi-threading wherever available to increase the 327 efficiency of the unitig graph construction step to ensure its scalability to a large 328 number of metagenome samples. Table 1 shows the runtime and memory usage of 329 KOMB on the datasets used in our study. The experiments were run on a server 330 with 64 Intel(R) Xeon(R) Gold 5218 CPU @ 2.30GHz processors having 372 GB of 331 RAM. We observed that KOMBs memory usage and runtime largely depend on the 332 number of reads. ABySS unitig generation is the most memory intensive step in the 333 pipeline while read mapping using bowtie2 is the most computationally intensive 334 step in the pipeline. We observe that in the case of Shakya and HMP there is a large 335 memory difference despite having similar numbers of reads. We reason that this is 336 likely due to the de Bruijn graph size and topology difference as the peak occurs 337 during the ABySS stage. Nevertheless, we observe that KOMB can run on samples 338

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Peacemaker (392(3), 773)	0.128	0.599	0.356	0.160	0.263	0.233	0.000
Peacemaker (0,2,7,60)	0.242	0.606	0.419	0.178	0.459	0.000	0.233
Bugkiller (392(3),773)	0.273	0.639	0.386	0.369	0.000	0.459	0.263
Bugkiller (0,2,7,60)	0.160	0.612	0.405	0.000	0.369	0.178	0.160
Alien (392(3), 773)	0.334	0.683	0.000	0.405	0.386	0.419	0.356
Alien (376,377, 378,380)	0.633	0.000	0.683	0.612	0.639	0.606	0.599
Alien (0,2,7,60)	0.000	0.633	0.334	0.160	0.273	0.242	0.128
	Alien (0,2,7,60)	Alien (376,377, 378,380)	Alien (392(3), 773)	Bugkiller (0,2,7,60)	Bugkiller (392(3),773)	Peacemaker	beacemaker (392(3), 773)

and column represents a subject and days (four each) for which the samples are considered (in parenthesis). Day 392 had 3 samples in the dataset which are all considered here. The samples represented by Alien (Days 376, 377, 378, and, 380), also marked in red, are the ones collected during antibiotic perturbation. Higher total variation of probability denotes greater distance between two distributions. Days 0,2,7,60 correspond to the initial time points and Days 392(3) and Day 773 correspond to later time points.

with a large number of reads and can process 4 samples of HMP data in under 50 minutes and the Shakya synthetic metagenome (64 organisms) in 79 minutes. If run sequentially, the temporal gut microbiome data (70 samples, 1TB of data) can be run in approximately 2 days. As KOMB is also extremely memory efficient, one can process multiple metagenomic samples simultaneously on any modern workstation to reduce the runtime on entire datasets even further.

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Table 1 Time and memory usage for KOMB. SSG: Simulated single genome; EBG: <i>E. coli</i> and <i>B.</i>
cereus. EBSG: E. coli, B. cereus and S. aureus genomes; 5EG: Five genomes of closely-related E. coli
strains; Shakya: Shakya et al (2013); HMP (I); individual HMP samples; HMP (A); combined HMP
samples and TGM(Av); average across Temporal Gut Microbiome samples . Read filtering is treated
as a pre-processing step, therefore the time and memory usage for it is not reported in this table.

Dataset	Performance metrics					
	Reads	Nodes	Edges	Wall clock	CPU time	RAM
SSG	625,000	1,336	159,060	79.46s	26m42s	1.54 GB
EBG	1,256,682	5,127	991,019	178.98s	71m50s	2.00 GB
EBSG	1,609,352	9,708	2,512,192	4m37s	132m31s	2.22 GB
5EG	3,453,508	40,769	162,606	4m12s	84m24s	2.60 GB
Shakya	53,997,046	160,083	1,767,445	79m36s	1814m43.80s	38.35 GB
HMP (I)	14,007,285	74,918	4,093,367	14m42s	211m7.2s	3.64GB
HMP (A)	56,029,140	409,370	7,496,925	47m41.95s	1995m24.6s	18.09 GB
TGM (Av)	26,520,076	776,058	7,286,158	44m41s	810m48s	20.22GB

345 Discussion

Identifying and visualizing homologous regions in metagenomes using current tools based on assembly graphs and contig graphs is often challenging as these graphs contain tangled intra-genomic and inter-genomic repeats. K-core decomposition can give accurate information capturing unitigs that have repeats, which can be visualized as peaks in a histogram. A peak indicates a dense subgraph of nodes in the unitig graph representing nodes connected to other homologous nodes, enabling an easy extraction for the purposes of assembly or scaffolding.

We outline the novelty of KOMB, both as a theoretical approach and as a usable 353 tool. KOMB addresses some of the limitations of the previously used approaches 354 based on contig graphs and betweenness centrality to identify both intra and inter-355 genomic repetitive structures in metagenomes. In contrast, KOMB constructs a 356 unitig graph that captures edges within and between genomes, representing a more 357 holistic network for homology detection. This prevents shortcomings occurring as a 358 result of collapsing bubbles or branches by many modern assemblers, which leads 350 to a loss of homology information among unitigs. K-core decomposition is also a 360 natural choice to separate repeats based on their abundances as proved by our the-361 oretical validations and is agnostic to the length of the individual repeat families. 362 Though in our results we have shown that the background genome can have some 363 baseline repetitiveness (low copy number), the end user can – based on the down-364 stream applications – choose any particular shell as the cutoff to mark the unitigs 365 as repeats, and can thus integrate KOMB into their pipeline. KOMB is also signifi-366

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cantly different from k-mer frequency based approaches. Though k-mer frequencies can provide general information on unique vs repetitive k-mers in a sample, KOMB more holistically captures information based off of read mapping that connects networks of similar genomic regions, which in turn represent intra and inter-genomic homology. Often, in metagenomic applications and assembly approaches, identifying contigs with highly repetitive k-mers and high coverage is a proxy for identifying repetitive contigs. KOMB, however, is an exact approach that provides information for scaffolding and exploration of the graph-based structure of the community.

Our results favorably support the utility of KOMB for the identification of homol-375 ogous regions in real metagenomic samples. Though KOMB represents a promising 376 new approach for elucidating genome dynamics within metagenomes, there still exist 37 several challenges to develop a further understanding of how to interpret metage-378 nomic community profiles and the separation of homologous regions in samples of 379 varying diversity and abundance. To this end, we have classified future investiga-380 tion into three separate categories. First we discuss extending our current theoret-381 ical framework to deconstruct and interpret the K-core decomposition results in 382 a more intuitive fashion. We also discuss possible challenges that need to be ad-383 dressed to interpret information on unitigs in higher shells that may not necessarily 384 be peaks. Second, we focus on extending functionalities to a wider variety of input 385 data, specifically long read data and other overlap graph types. Finally, we discuss 386 possible approaches to further optimize the runtime and memory requirements. 387

³⁸⁸ Improving theoretical validation on metagenomes

In our validation on simulated genomes we have addressed the effects of identical 389 simulated repeats on the K-shell profile of genomes and metagenomes. However, 390 there exist some important limitations to our study. First, all repeats within the 391 same repeat family were constructed to be identical. This is not necessarily the 392 case in real genomes, since two regions can contain a few base pair differences 393 yet be considered repeats from the biological standpoint. Though the results on 394 synthetic and real metagenomic data containing such repeats have been promising, 395 we are planning to extensively test KOMB with simulated homologous but not fully 396 identical repeats in the future. 397

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Second, we have been using multinomial distribution to space out the repeats throughout the backbone. However, in the real genomes, repeats can be less uniformly distributed with an extreme case being the tandem repeats. It is important to analyze these cases both in terms of the resulting topology of the graph, and in terms of our method's performance in these scenarios.

Third, we have considered repeats of lengths 200, 400, 500, 700 and 1000 base 403 pairs. In a real genome, the length of a repeat can be significantly smaller or larger 404 [61] [62], which further complicates the picture. As now some of the repeats will be 405 causing shifts in the graph topology and manifest as increased background signal 406 in the corresponding profile. However, other repeats will still be cleanly appearing 407 as peaks. Deconvolution of such mixed signal in the general setting is an extremely 408 complex problem and one that may need a combination of other graph theory and 409 signal processing approaches. However, we aim to understand some of the simpler 410 scenarios which have enough biological motivation. KOMB may also be prone to 411 accumulating noisy unitigs in the higher shells as a result of being adjacent to 412 repeat unitigs. Hence, a further filtering process within the shells would enable 413 greater specificity of repeat unitigs [63]. 414

One of the ways to tackle these questions will be to analyze the effects of realworld repeat patterns on the shell profiles in the simulated setting. Embedding real repeats into increasingly more complex simulated backbones, will gives us a different viewpoint on the shell profiles. It will also improve our overall understanding of the repeat induced profiles and provide a way to further deconvolve the signal obtained from metagenomic datasets.

421 Extending functionality

Currently, KOMB supports paired-end short reads as the input. However, we also 422 have the capability of inputting graphs directly by using the GFA format. Graphs 423 directly derived from the de Bruijn graph, such as the unitig overlap graph produced 424 by SPAdes, do not have enough signal for effective KOMB processing. On the other 425 hand, read overlap graphs obtained from long read datasets can potentially yield 426 interesting results when processed with KOMB. Fully extending the pipeline to 427 capture those cases and enable the effective analysis of long read datasets is one of 428 the directions we plan to pursue in the future work. 429

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430 Optimizing performance

KOMB performs highly efficient parallel graph construction and K-core decom-431 position. However, the memory requirements of the pipeline still calls for usage of 432 workstations for processing metagenomic datasets. While this is customary for soft-433 ware working with paired-end read data, we are looking forward to supporting long 434 read data and smaller personal devices. We plan to address this in future releases 435 by fine tuning initial steps of the pipeline to allow low memory footprint execution. 436 Together with compact long read sequencers, this would enable usage of KOMB as 437 a quick profiling tool outside of the research laboratory environments. 438

439

440 Conclusions

In this paper, we present KOMB - an efficient and scalable tool to identify repeti-441 tive regions in metagenomes. We present a rigorous analysis of KOMB on simulated 442 and synthetic data to capture consistent and accurate peak signatures representing 443 repetitive unitigs. Another feature of KOMB, as shown by our validation exper-444 iments, is that the signals obtained are robust to confounding noise occurring as 445 a result of read errors and insert size variability. This noise can be corrected to 446 obtain near ground truth signals. We also show, through our experiments on real 447 metagenomic samples, that KOMB profiles can be used as an indicator for sam-448 ple specific signatures and diversity, with promising applications to a wide array of 449 metagenomic analyses. 450

451 Methods

In this section, we describe the methodology behind KOMB and the various software 452 tools and algorithms used in the pipeline. KOMB makes use of three popular bioin-453 formatics software tools, namely k-mer filter [48] for read correction as an optional 454 pre-processing step, ABySS [64] or SPAdes [65] for efficient de Bruijn graph creation 455 and unitig construction, as well as Bowtie2 [66] for fast and accurate read mapping. 456 In addition to this, our tool uses the igraph C package [59] and OpenMP [60] li-457 braries for the K-core implementation and the fast parallel construction of the unitig 458 graph, respectively. KOMB offers two primary operation modes. Users can either 459 use the KOMB unitig builder pipeline which relies on ABySS [64] for de Bruijn 460 graph construction and unitig generation or alternatively use the SPAdes unitig 461

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generator which can output a unitig graph directly in the GFA format. We can use the GFA output directly as an input to KOMB. Using the SPAdes graph output is 463 much faster since we avoid the graph construction step of the algorithm. However, 464 the resulting graph only connects unitigs based on the k-mer overlap. This results in 465 a highly compressed shell profile and weak signal for KOMB analysis. Thus, we will 466 be using the ABySS unitig construction step in all analyses that follow. Another use 467 for the GFA extension is that it provides users with a way to input an overlap graph 468 or any assembly or contig graph directly into KOMB and visualize the results of the 469 analysis. This can be particularly useful for overlap graphs constructed from long 470 read data. For the purpose of comparing different read pre-processing methods we 471 also use the short read correction tool Lighter [67]. The paired-end read simulator 472 wgsim [68] is used for all simulated experiments. 473

474 Pipeline

In order to understand the workflow, we first describe a unitig graph. A unitig is 475 a maximal consensus sequence usually obtained from traversing a de Bruijn graph. 476 Unitigs by definition terminate at branches caused by repeats and variants, and 477 unlike contigs, are non-overlapping. Before constructing the set of unitigs, we run 478 the previously described k-mer filter as a preprocessing step. The first filtering step 479 is iterating through all reads and counting occurrences of each k-mer, in our case the 480 k-mer size is 15. A k-mer is marked as abundant if it occurs in the dataset more than 481 twice. The next step is iterating through the reads again, and considering the k-mers 482 present in each read separately. If less than 80% of k-mers in the read are abundant, 483 then we discard the read. For the purposes of this work, the unitig graph refers to a 484 graph having unitigs as its vertices and the edges being representative of adjacent 485 or homologous unitigs. After the unitigs are obtained, in our case performed by 486 running ABySS on the corrected reads, we follow three additional steps for careful 487 construction of unitig graphs from short paired-end read data (Fig.11). First, all 488 of the reads are mapped to unitigs by Bowtie2 using its sensitive global alignment 489 module. Each read of a read pair (forward and reverse) is mapped individually 490 and we allow for a maximum of 1000 alignments per read (this parameter can be 491 adjusted by the user). We also trim the tail of both pairs to ensure that we get 492 accurate alignments. The number of base pairs that we trim off the ends of the 493

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reads is equal to the difference between the read length and the k-mer size used to construct the de Bruijn graph. As a secondary filtering step, we also filter out 495 mapped reads without a pair as well as read pairs mapped to one unitig. This allows 496 us to only consider reads with paired-end information and speeds up the process of 497 unitig graph construction. Second, for each read we create a set of all unitigs that 498 mapped to that read. For a given forward and reverse read pair, we also check if 499 each individual read in the pair mapped to different unitigs, which would represent 500 potentially adjacent unitigs in the genome. In this way, for a given read pair we have 501 unitigs associated with each read, e.g., in Fig. 11 unitigs 1, 3, and 8 are associated 502 with one read of the purple pair whereas unitig 4 is associated with the other read. 503 We then connect all the unitigs associated with a specific read pair (nodes 1, 3, 4, 504 and 8 for the purple read pair) where we distinguish between the notion of a vertical 505 edge, i.e. an edge linking unitigs associated with the same read such as 1 and 3, 506 and a horizontal edge, i.e. an edge linking unitigs mapped to different reads in the 507 same pair such as 1 and 4. 508



Figure 11 Construction of the unitig graph. (Step 1) unitigs are obtained from running ABySS on the filtered paired-end reads. (Step 2) We map the forward and reverse reads individually to the unitigs using Bowtie2 with the parameters -k (maximum alignments) set to 1000. Further, we trim the 3 and 5 ends of forward and reverse reads respectively by a length equal to the read length minus the k-mer size, using the parameter -3 and -5. (Step 3) We group the unitigs that were mapped by the same read and also the unitigs that had two ends (F and R) of the same paired-end read mapped to them. This results in a final set of unitigs mapped by a given read pair (Step 4) We construct the graph with unitigs as the nodes, and connecting two nodes with an edge whenever the corresponding unitigs are in the same group after the read mapping.

509 K-core decomposition

K-core decomposition is a popular graph-theoretical concept used in network science
to identify influential nodes in large networks [69–71]. It has been previously shown
to accurately calculate node influence in Susceptible-Infected-Recovered (SIR) network models in epidemiological studies [63]. K-core decomposition partitions the

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node set of a graph into layers (or shells) from more peripheral to more central 514 nodes. More precisely, the K-core of a graph is defined as the maximal induced 515 subgraph where every node has (induced) degree at least K. Based on this se-516 quence of K-cores, we say that a node belongs to the K-shell if it is contained in 517 the K-core but not in the (K+1)-core. For any given graph, one can iteratively and 518 efficiently decompose it into shells with complexity O(V+E), which is significantly 519 faster than the computation of most exact centrality measures. This makes it ef-520 fective for decomposing large and dense networks. Several implementations of the 521 K-core decomposition have been proposed. In this work, we rely on the igraph C 522 package [59], which implements a variation of the algorithm proposed in [72]. In 523 contrast to centrality-based methods, the K-core algorithm identifies densely con-524 nected cliques and groups them into shells. Fig. 12 shows the decomposition of a 525 toy graph into its K-shells. Fig. 13 shows the complete pipeline of KOMB as a 526 flowchart. 527



Figure 12 K-core decomposition of a graph into K-shells. The algorithm starts by considering all the vertices of degree 1. It iteratively removes those vertices and continues the execution on the resulting induced subgraph removing vertices having degree 1 after every iteration. Once the induced subgraph has no vertices of degree 1, this process stops and all discarded vertices are marked as belonging to the 1-shell (green). Then the process continues, now considering vertices of degree 2 to obtain the 2-shell (red) and, subsequently, the 3-shell (purple). The last shell is a dense subgraph of the original graph.

528 KOMB profile

We refer to the output of KOMB either as a KOMB profile or as the shell profile of a given sample. This is visualized as a bar plot depicting the number of nodes

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KOMB directly for the K-core decomposition. Once we have the set of unitigs available, we construct the graph via the procedure described in Fig. 11. Finally, we perform the K-core decomposition on the unitig graph and generate text files with shell labels for unitigs.

per shell. As the read error, insert sizes, diversity, community structure, and sample sizes vary, we expect a corresponding shift in the bar plot as each of these conditions would alter the node distribution in shells. In Results, we have presented simulated experiments varying the above mentioned conditions that corroborate this hypothesis. Here, we present a theoretical analysis to calculate shifts in peaks occurring as a result of having two distinct repeat families through an example. Each shell kobtained after K-core decomposition is an induced subgraph of degree k which may or may not be disconnected. In a unitig graph, based on our construction, these would contain regions of shared homology or repetitive regions and k would depend on the abundance or copies of these shared region across the genomes in the sample. These shells containing repetitive or homologous regions tend to occur as distinct peaks at higher shells versus the rest of the background. By definition, the background contains regions more sparsely connected. Given a simulated experiment, it is possible to theoretically ascertain the shells at which we expect discernible peaks. For example, if we have a repeat R_1 with copy number K, then based on our read mapping and unitig construction steps we would expect a peak in the K^{th} shell. This would contain all unitigs having an overlap of k with the repetitive region, where k is the k-mer size used to generate the de Bruijn graph. The case is a little more complex when we have two families of repeats R_1 and R_2 with copy numbers K_1 and K_2 respectively. Depending on the placements of the repeats we can classify

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the unitigs obtained into different classes based on the family of repeat it overlaps at its breakpoints; see Fig. 14. We have 3 possible categories of unitigs as shown in the figure depending on the repeats at the ends of the unitig. Category 2 in the figure refers to unitigs with both repeats at its ends. An important observation here is that according to our graph construction method, a node in this category will be connected to other nodes in the same category as well as all nodes in the other categories as it carries both repeats. We can estimate the expected number of unitigs in each of the categories as follows: Let N_1 be the number of unitigs overlapping the repeat R_1 , N_2 be the number of unitigs overlapping the repeat R_2 , and N_M be the number of unitigs overlapping both repeats. Assuming uniform probability distribution over all possible permutations of repeats in the genome, we obtain the following expected values:

$$\mathbb{E}(N_1) = \frac{|R_1|(|R_1|-1)}{|R_1|+|R_2|-1} \approx \frac{|R_1|^2}{|R_1|+|R_2|},$$
$$\mathbb{E}(N_2) = \frac{|R_2|(|R_2|-1)}{|R_1|+|R_2|-1} \approx \frac{|R_2|^2}{|R_1|+|R_2|},$$
$$\mathbb{E}(N_M) = \frac{|R_1||R_2|+|R_2||R_1|}{|R_1|+|R_2|-1} \approx \frac{2|R_1||R_2|}{|R_1|+|R_2|}.$$

Subsequently, in the case when the insert is larger than the length of the repeat 529 and given enough paired-end reads, we should observe two peaks in the shell profile, 530 namely, we will have a peak at $\mathbb{E}(N_1) + \mathbb{E}(N_M)$ and another one at $\mathbb{E}(N_2) + \mathbb{E}(N_M)$. 531 These two shells are obtained since the unitig graph would consist of two overlapping 532 cliques, one of size $\mathbb{E}(N_1) + \mathbb{E}(N_M)$ and another one of size $\mathbb{E}(N_2) + \mathbb{E}(N_M)$, with an 533 overlap of size $\mathbb{E}(N_M)$ (represented in Figure 14 with red and black lines). However, 534 notice that when the insert size is shorter than the length of the repeat, the two 535 types of unitigs overlapping both repeats would not be connected between them in 536 the graph (represented in Figure 14 with black lines only). This results on a shift 537 in the position of the second shell. 538

539 Comparison to other repeat identification methods

A novel feature of our study is using unitig graphs to analyze repetitive regions in metagenomes using K-core decomposition in contrast to contig graph commonly used in previous approaches like MetaCarvel [31] and Bambus [25]. While our focus is on metagenomic repeat detection, it is worth discussing other graph based

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be connected by an edge in the unitig graph. The black edges are present for both settings whereas the red edges are only present when the repeat length is less than the insert length.

tools that been previously applied for repeat detection in isolate genomes. A graph 544 based hierarchical agglomerative clustering [73] approach was suggested by Novák 545 et al [74] and used the Fruchterman and Reingold algorithm [75] to help visual-546 ize reads with similarities, but its quadratic time complexity $O(V^2 + E)$ makes it 547 difficult to scale to large metagenomic datasets. Recently, two tools, namely, REPde-548 novo [76] and REPLong [77] have used underlying contig graph based structures for 549 repeat identification. Both these methods have been applied to eukaryotic genomes 550 to ascertain repetitive regions. REPdenovo uses abundant k-mers and assembles 551 them to repeat contigs. It then further stitches repeat contigs into longer consensus 552

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repeats and uses coverage based information to filter non-specific repeat contigs. 553 An important point to note is that the formation of larger consensus repeats from 554 raw repeat contigs is very similar to scaffolding where a directed raw contig overlap 555 graph is constructed and then a topological sort is carried out on each strongly 556 connected component to obtain a linear order of raw unitigs. The traversal of the 55 graph to identify long consensus sequences is then carried out by using path finding 558 heuristics. REPLong, on the other hand, is a more recent tool and is specific to long 559 read data. It uses the concept of community detection in long read overlap graphs 560 to construct repeat libraries. In addition to graph-based approaches, an alternative 56 method to efficiently identify repeats on large genome scale datasets is by using 562 k-mer frequency estimation, which accounts for both identical and nearly identical 563 k-mers to identify repeats. Examples of these include ReAS [78], RepeatScout [79], 564 WindowMasker [80], Repseek [81], Tallymer [82], RED [83], RepARK [84] at the 56 genome level and more recently at short read level RF [85] identification D_2^R statis-566 tic [86] based on a variation of the D_2 statistic that have been previously used 56 for sequence comparison [87-89]. K-mer frequency based approaches depend on 568 identifying candidate k-mers that may contain repeats based on their statistical 569 significance compared to background. Most k-mer based repeat identification tools 570 have shown to capture a small subset of specific repeats and size, mainly either 571 transposable elements (TE) or tandem repeats (TR). RED can detect both TE 572 and TR with greater sensitivity in both bacterial and eukaryotic genome including 573 the Human genomes [83]. RepARK creates de-novo repeat libraries by identifying 574 abundant k-mers which are then assembled by a de novo genome assembly pro-575 gram (such as Velvet) into repeat consensus sequences. While these k-mer based 576 tools have been shown considerable accuracy in identifying repeats, these have only 57 been applied to assembled and un-assembled isolate genomes. Thus, their use case 578 in metagenomic samples where repeats may be both intra and inter-genomic with 579 varying abundances is extremely limited and remains untested. The recently in-580 troduced D_2^R statistic can be applied to metagenomes directly and is a read level 581 mapping tool that indicates a measure of repetitiveness in a given read. This method 582 was tested on real metagenomes and could aid the identification of CRISPR sites 583 with high accuracy. Though indicating the presence and absence of repeats is infor-584 mative, the D_2^R statistic on read level repeat information is more suited to identify 585

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short regions consisting of clearly defined and distinct motifs. There still exists a need for a more rigorous theoretical basis that generalizes over different kinds of repeats and community diversity in metagenomes where there are far more varied and often confounding repeat structures of larger lengths that are highly sample dependent. Another potential drawback is that reads are often noisy and error prone and have some inter-sample variability which may affect its performance.

In contig graph approaches, methods based on betweenness centrality have been 592 the preferred choice to mark repetitive contigs. This approach, though specific, has 593 not achieved high levels of sensitivity and often tends to miss out on a lot of repet-594 itive contigs. This served as the core basis for further investigations in this study. 595 To the best of our knowledge, KOMB is the first tool using K-core decomposi-596 tion on unitig graphs. In order to understand the advantages of our approach, it 597 is imperative to understand topological differences captured by different methods. 598 Most modern assemblers tend to collapse information obtained by a single read 599 mapping to multiple unitigs. This tends to affect the vertical edges in the graph 600 that we discussed when describing Fig. 11. This graph simplification often leads 601 to loss of information of homologous regions present in other parts of the genome 602 and can affect sensitivity. Moreover, as contigs contain repeat regions, paired-end 603 data tends to reveal very little information about the presence of repeats within the 604 contig. These structures in the contig graph tend to resemble a single node (col-605 lapsed branches) having a high degree and centrality. But the centrality threshold to 606 mark repeats is hard to ascertain and arbitrary thresholds may lead to sub-optimal 607 repeat detection. This is a key difference of unitig graphs in KOMB as compared 608 to contig graphs in MetaCarvel. Contig graphs are connected only on paired end 609 read information. Though appropriate for scaffolding, this feature precludes the 610 successful identification of homology. In contrast, KOMB takes into consideration 611 all unitigs mapped by the same read, preserving homology information, while also 612 preserving positional information through paired mapping where (given sufficient 613 insert size) links can connect two adjacent unitigs bordering the same repeat. In 614 this way, all unitigs having repeats on their edges tend to form dense subgraphs 615 which can be efficiently detected using K-core decomposition, yielding clear peaks 616 at shells containing repetitive unitigs. Hence, a unitig graph can be thought of as a 617 richer graphical representation to identify repetitive structures in metagenomes and 618

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⁶¹⁹ K-core decomposition offers the most efficient and exact method to recover these

⁶²⁰ signals irrespective of the sample diversity.

Another related application based on a combination of k-mer and graph based ap-621 proach to uncover genomic variants is DBGWAS [90]. DBGWAS relies on a compact 622 de Bruijn graph representation that helps identify the connected components of the 623 graph induced by the neighbourhoods of all significant unitigs. DBGWAS tests for 624 the association of each variant, indicated by the presence or absence of unitig in 625 a particular genome, against a particular set of phenotypes using a linear mixed 626 model. It relies on the assumption that subgraphs defined by significant unitigs are 627 a reflection of the genomic environment, and ranks such subgraphs based on their 628 association to the phenotype. Though this work shares similarity with our unitig 629 graph based approach, it requires draft assemblies and prior phenotypic informa-630 tion to capture subgraph significant unitigs. KOMB, on the contrary, requires just 631 metagenomic reads as input and uses K-core decomposition to capture unitigs that 632 highlight genomic diversity in a sample. 633

Since KOMB is a novel method that is fundamentally different from previous 634 contig graph based or k-mer based approaches, it is difficult to perform a one to 635 one comparison of KOMB with any of the previous methods. Specifically, the con-636 struction of unitig graph specific network signatures captured by KOMB are unique 637 and not measured by any other previous method. In this work, through a series of 638 meticulous validations on simulated, synthetic, and real metagenomes we demon-639 strate that KOMB offers a novel solution to capture underlying repetitive regions 640 in metagenomic data. 641

642 Ethics approval and consent to participate

- 643 Not applicable
- 644 Consent for publication
- 645 Not applicable
- 646 Additional Files
- 647 Additional file 1
- 648 Validation of KOMB on simulated repeats in single genome (random backbone), E. coli backbone. Effects of error
- and error correction approaches on KOMB profiles. Effect of unitig filter on taxonomically similar and diverse
- 650 samples.

651 Additional file 2

652 Contains supporting results for distances between samples in Voigt et al. (2015) study using Earth mover's distance

653 and KL Divergence

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654 Availability of data and materials

- All scripts, datasets, and results produced and used in this manuscript are available for download at:
- 656 https://rice.box.com/v/komb-manuscript

657 Competing interests

658 The authors declare that they have no competing interests.

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669 Authors contributions

- A.B , T.J.T, S.S developed the study. A.B wrote and implemented the software, performed the validation and
- analyses. N.S performed the validation and analyses. R.A.L.E, S.S and T.J.T contributed to the design of the
- 672 validation and the interpretation of the results. All authors wrote the paper. All authors read and approved the final 673 manuscript.

674 Author details

- ⁶⁷⁵ ¹Department of Computer Science, Rice University, 6100 Main St, 77005 Houston, Texas, USA. ²Department of
- 676 Electrical and Computer Engineering, Rice University, 6100 Main St, 77005 Houston, Texas, USA.

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