# 1 Chronic Perinatal Hypoxia Delays Cardiac Maturation in a Mouse Model for

# 2 Cyanotic Congenital Heart Disease

- 3 Short Title: Romanowicz, Perinatal Hypoxia Delays Cardiac Maturation
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- 24 **Subject Terms:** Animal Models of Human Disease, Developmental Biology, Congenital Heart
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### 26 Abstract

Background: Compared to acyanotic congenital heart disease (CHD), cyanotic CHD has an
increased risk of lifelong mortality and morbidity. These adverse outcomes may be attributed to
delayed cardiomyocyte maturation, since the transition from a hypoxic fetal milieu to oxygen rich
postnatal environment is disrupted. To test the hypothesis that chronic perinatal hypoxia impairs
cardiac maturation and functioning, we established a rodent model that replicates hypoxic
myocardial conditions spanning perinatal cardiac development as observed in cyanotic CHD.

33 Methods: Mouse dams were housed in hypoxia beginning at embryonic day 16, coinciding with

34 myocardial reliance on coronary flow. Pups stayed in hypoxia until postnatal day (P)8 when

cardiac development is nearly complete. Global gene expression was quantified at P8 ( $n\geq4$ ) and

at P30 after recovering in normoxia ( $n\geq 4$ ). Phenotypic testing included electrocardiogram (P8

 $n \ge 10$ , P30  $n \ge 4$ ), echocardiogram (P30  $n \ge 3$ ), and *ex-vivo* electrophysiology study (P30 n = 4).

Results: Hypoxic animals were 48% smaller than controls, consistent with intrauterine growth
restriction observed in cyanotic neonates. Global gene expression was grossly altered by

40 hypoxia at P8 (1427 genes affected), including changes in ion channels, sarcomere structure,

41 and calcium handling. Gene expression changes normalized after recovery (P30).

42 Electrocardiograms revealed bradycardia and slowed conduction velocity in hypoxic animals at

43 P8, which resolved after recovery (P30). Notable differences that persisted after recovery (P30)

44 included a 65% prolongation in ventricular effective refractory period, universal sinus node

45 dysfunction, and a 24% reduction in contractile function in animals exposed to hypoxia.

Conclusions: This is the first study to investigate the impact of chronic hypoxia on the
developing heart using both pre- and postnatal periods of hypoxia. Perinatal hypoxia was
associated with changes in gene expression and cardiac functioning. Persistent changes to the

- 49 electrophysiologic substrate and contractile function warrant further investigation, and may
- 50 contribute to adverse outcomes observed in the cyanotic CHD population.
- 51 Key Words: congenital heart disease, cardiac development, perinatal hypoxia, mouse, animal
- 52 model cardiovascular disease

### 53 Introduction

54 Outcomes for patients with cyanotic congenital heart disease (CHD) remain guarded despite countless advances in clinical care strategies over the last decades. CHD affects 1% of live 55 births<sup>1</sup>, with one guarter of CHD representing cyanotic conditions<sup>2</sup>. Infants with cyanotic CHD 56 57 are at an 8-fold increased risk of death<sup>2</sup> compared to acyanotic CHD, and up to 39% of all patients with CHD develop heart failure during childhood<sup>3</sup>. With clinical advances, the CHD 58 population is increasingly surviving to adulthood, leading to an increased burden of CHD-59 60 associated heart failure<sup>4</sup>. Despite the high incidence of morbidity and mortality in the cyanotic CHD population, the underlying risk factors are not fully understood<sup>3</sup>. 61

Normal embryology of the heart directs streaming of the most highly saturated blood to the head 62 63 vessels and coronary arteries. For a fetus with complex CHD, this streaming pattern is disrupted 64 and the ascending aorta blood is desaturated<sup>5</sup>. Chronic hypoxic conditions in the developing 65 heart persist after birth until definitive repair. At the time of cardiac surgery, the cyanotic myocardium has depleted endogenous antioxidants<sup>6</sup>, higher tissue lactate levels<sup>7</sup>, more 66 troponin I release<sup>8</sup>, higher levels of oxidative stress<sup>9</sup>, and less available adenosine triphosphate 67 (ATP)<sup>10</sup> compared to acyanotic myocardium. Moreover, cyanotic infants exhibit more myocardial 68 69 injury during bypass surgery and worse postoperative outcomes<sup>8,10</sup>. Little is known about the 70 mechanisms that contribute to the cyanotic myocardium's vulnerability to metabolic derangements during surgery. 71

The transition from the hypoxic fetal milieu to the oxygen-rich postnatal environment is thought to stimulate postnatal maturation<sup>11</sup>. Accordingly, a limited number of studies suggest that hypoxia delays cardiac maturation. In mice, postnatal hypoxia prolongs the neonatal period of cardiomyocyte proliferative ability<sup>12</sup>; and in chickens, prenatal hypoxia results in immature calcium handling<sup>13</sup>. Moreover, cardiomyocytes sampled from human patients with hypoplastic left heart syndrome show some persistence in fetal gene programming<sup>14</sup>. However, the direct

effects of chronic perinatal hypoxia on the developmental processes of the cardiomyocyteremain largely unknown.

To the best of our knowledge, this is the first study to examine the combined effects of pre- and 80 81 postnatal hypoxia on the developing heart. The current study aimed to establish a rodent model 82 of chronic perinatal hypoxia, as would be seen in cyanotic CHD, to investigate the 83 developmental status of the cardiomyocyte under these conditions. We hypothesized that exposure to chronic hypoxia, beginning prenatally and continuing through the neonatal period, 84 85 would perturb cardiomyocyte gene expression, contractile function, and the electrophysiologic substrate of the heart. The Cardiac Safety Research Consortium has implored the research 86 87 community to perform more studies of developmental cardiac physiology to better understand the substrate on which therapies may work in the pediatric population<sup>15</sup>, and this study intended 88 89 to contribute to that call for knowledge.

### 90 Methods

#### 91 Ethical Approval

Animal experiments were approved by the Institutional Animal Care and Use Committee at
Children's National Research Institute, in compliance with the *NIH Guide for the Care and Use*of Laboratory Animals.

95 Animal Model

96 Wild-type pregnant CD1 mouse dams (6-8-week-old Crl:CD1(ICR) mice, Charles River

27 Laboratories) were kept in a hypoxic chamber (BioSpherix, Redfield, NY) starting on embryonic

98 day (E)16, a time that coincides with myocardial reliance on coronary flow for oxygen delivery

and the beginning of a period of rapid growth of the ventricular myocardium, similar to the

- second trimester in human fetuses<sup>16,17</sup> (**Figure 1**). During the experiment, the oxygen
- 101 concentration was maintained, monitored, and recorded continuously with sensors placed inside

the chamber to achieve a level of  $10.5 \pm 0.5\%$  (Pro:Ox Model 360, BioSpherix, Redfield, New York). Nitrogen gas was used to displace oxygen. Dams gave birth in the hypoxic chamber and pups remained in hypoxia until postnatal day (P)8, when cardiomyocyte maturation is nearly complete<sup>18,19</sup>. Strain and age-matched normoxic dams were kept in normoxia and gave birth under normoxic conditions. After P8, hypoxic animals were moved to normoxic conditions and allowed to recover until further testing at P30, thus simulating the return to normal oxygen saturations in human infants who have undergone definitive repair of cyanotic CHD.

### 109 Gene Expression

Whole hearts were excised and flash frozen in liquid nitrogen. Total RNA was isolated using an 110 111 RNeasy fibrous tissue kit. Verification of RNA integrity and RNA quantification were done by spectrophotometry and an RNA 6000 Nano assay (Bioanalyzer 2100, Agilent Technologies). 112 113 The RNA integrity number for all samples was >6 (mean=8.6 +/- SEM 0.19). Total RNA (250 ng) 114 was primed for the entire length of RNA, including both poly(A) and non-poly(A) mRNA and 115 reverse transcribed to generate sense-strand targets that were biotin-labelled using a WT Plus Reagent kit, and then hybridized to Affymetrix GeneChip Mouse Clariom S arrays for 16 hours 116 (48°C), following manufacturer's instructions (Thermo Fisher Scientific). Hybridization cocktails 117 118 were removed, and arrays were washed and stained on a Fluidics Station 450 (mouse Clariom 119 S arrays). Arrays were scanned on the Affymetrix GCS3000 7G scanner and initial quality 120 control data evaluated using Affymetrix Expression Console software (Thermo Fisher Scientific). 121 Microarray data was imported and analyzed using the Transcriptome Analysis Console (Applied Biosciences). Gene ontology (GO) enrichment analysis was performed with the GOrilla tool 122 using a single rank-ordered gene list<sup>20,21</sup>. The GOrilla *Mus musculus* GO database is updated 123 frequently, and was last updated May 16, 2020 at the time of analysis. 124

### 125 In-Vivo Electrocardiography

Non-invasive electrocardiogram (ECG) recordings were obtained using an ecgTUNNEL system
(emka Technologies). ECG waveforms were recorded for two minutes on P8 conscious and
isoflurane-sedated animals as well as P30 conscious animals. ECG segments were quantified
using ecgAuto software (emka Technologies) for heart rate, heart rate variability, atrial
depolarization time (P-wave duration), atrioventricular conduction time (PR interval), ventricular
depolarization time (QRS duration), and ventricular repolarization time (QT interval). Heart rate
variability was measured as a root mean square of the successive differences (RMSSD)<sup>22,23</sup>.

### 133 Ex-Vivo Electrophysiology Study

134 P30 animals were anesthetized with 4% isoflurane, the heart was rapidly excised and the aorta 135 cannulated. The heart was transferred to a temperature-controlled (37°C) constant-pressure (70 mmHg) Langendorff perfusion system. Excised hearts were perfused with Krebs-Henseleit 136 buffer bubbled with carbogen, as previously described<sup>23,24</sup>. A stimulation electrode was placed 137 externally on the right atrium, and an atrial pacing protocol was used to determine Wenckebach 138 139 cycle length (WBCL) and atrioventricular nodal effective refractory period (AVNERP). WBCL is the shortest pacing cycle length during atrial pacing that causes the Wenckebach phenomenon. 140 141 AVNERP is the shortest extrastimulus interval during atrial pacing that fails to conduct through 142 the atrioventricular (AV) node, as indicated by loss of ventricular capture. For ventricular pacing, 143 a stimulation electrode was placed on the LV epicardium. To determine the ventricular effective refractory period (VERP), dynamic pacing was performed with stepwise decrements in the 144 pacing cycle length (S1-S2) until loss of capture was noted. Baseline rhythms were monitored 145 throughout the duration of the studies for detection of dysrhythmias including ectopy, sinus node 146 147 dysfunction, and AV nodal block.

148 High Frequency Ultrasound Echocardiography

149 P30 animals underwent sedated transthoracic echocardiography to assess the persistent effects

- 150 of chronic perinatal hypoxia on left ventricular systolic function. Anesthesia was initiated and
- 151 maintained with inhaled isoflurane (1.5-2%). A pre-clinical high-frequency ultrasound system
- 152 (VisualSonics Vevo 770, 30mHz probe) was used to obtain fractional shortening in a parasternal
- short axis view using M-mode measurements.

### 154 Statistical Analysis

- 155 Statistical analysis was performed using GraphPad Prism. Data normality was confirmed by
- 156 Shapiro-Wilk test. Datasets were compared using two-sample Student's independent t-test or 2-
- 157 way ANOVA with 0.1 false discovery rate to control for multiple comparisons testing (q value
- reported). Nonparametric datasets were compared using the Mann-Whitney test. Significance
- 159 was defined as p<0.05.

## 160 **Results**

### 161 Hypoxia decreased litter size and pup weight

Hypoxic litters had markedly fewer pups than normoxic litters ( $n\geq 5$  litters per group, mean 5.7 vs 12.4 pups per litter, p=0.0005, **Figure 2A**). Intrauterine growth restriction is observed in human newborns with cyanotic CHD<sup>25,26</sup>. Similarly, we observed that our hypoxic animals were smaller than normoxic controls at P8 ( $n\geq 25$ , mean 3.4 vs 6.5 g, p<0.0001, **Figure 2B-C**). Despite lower body weight, heart weight was preserved in hypoxia such that it did not differ from control (n=10, mean 46 vs 44 mg, p=0.58). As a result, heart-to-body-weight ratios were higher in hypoxic animals (n=10, mean 8.0 vs 15.4 mg/g, p<0.0001).

169 After P8, hypoxic animals recovered in normoxic conditions, thus simulating the return to normal

170 oxygen saturations that occurs after definitive repair of cyanotic CHD. At P30, hypoxic animals

- 171 had undergone catch-up growth such that their body weight was only slightly lower than
- normoxic controls (n≥14, mean 20.0 vs 22.7 g, p=0.015, **Figure 2D**). Heart weight trended

toward slightly lower in hypoxic animals compared to control (n > 9, mean 119 vs 141 mg,

p=0.079), such that heart-to-body-weight ratios were equivalent between the groups ( $n \ge 9$ , mean

175 6.4 vs 6.3 mg/g, p=0.95).

176 Hypoxia altered global gene expression at P8

177 Gene expression arrays were performed on whole heart samples isolated at P8 to assess the 178 effects of hypoxia at the end of the neonatal period of rapid cardiomyocyte development, and 179 again at P30 after hypoxic animals had recovered in normoxia. Principal component analysis 180 demonstrated that experimental groups (normoxia versus hypoxia) were well-separated by their 181 mRNA expression profiles at P8, but this separation was negligible at P30 (Figure 3A). Using a 182 1.5-fold expression cut-off and a 10% false discovery rate to correct for multiple testing<sup>27</sup>, a total 183 of 1427 mRNAs were differentially expressed between hypoxic and control hearts at P8 (Figure 184 **3B**; complete gene list in **Supplemental Table 1**). Differentially-expressed genes important to 185 cardiac functioning and development are highlighted in the Figure 3C volcano plot. Within 186 treatment groups, hypoxic animals exhibited a greater number of gene changes between P8 and P30 (4593 genes; Figure 3B) than the normoxic group (2147 genes), suggesting that the 187 hypoxic group underwent more developmental changes over this time period after transitioning 188 189 to normoxia. Interestingly, only one gene was expressed differentially between groups at P30: 190 Wsb2 (WD repeat and SOCS box-containing 2).

Differentially expressed genes at P8 were significantly overrepresented in >400 GO categories (319 biological processes, 42 molecular functions, 46 cellular components; complete GO list in **Supplemental Table 2**). Categories associated with phenotypic changes observed in our experimental studies included extracellular matrix structural constituent (GO:0005201), ion channel binding (GO:0044325), glycolytic process (GO:0006096), hypoxia-inducible factor-1alpha signaling pathway (GO:0097411), mitotic cell cycle process (GO:1903047), and cell maturation (GO:0048469) (**Figure 4**).

#### 198 Hypoxia altered transmembrane ion channel expression

199 Multiple transmembrane ion channels were differentially expressed in P8 hypoxic animals, 200 including potassium (K<sup>+</sup>), sodium (Na<sup>+</sup>), and calcium (Ca<sup>++</sup>) channels involved in the cardiac action potential and maintenance of a stable resting membrane (Figure 5A). In ventricular 201 202 myocytes, phase 0 of the cardiac action potential is characterized by rapid depolarization via 203 Na<sup>+</sup> influx (I<sub>Na</sub>); voltage-gated Na<sup>+</sup> channel gene expression was upregulated with hypoxia (Scn3b: fold change +3.4, p=0.0002, q=0.017; Scn1b: fold change +1.3, p=0.018, q=0.15). 204 205 Phase 1 is characterized by a fast transient outward K<sup>+</sup> current ( $I_{to}$ ), which was downregulated in 206 hypoxia (Kcnd2: fold change -8.02, p=0.0003, q=0.019; Kcnip2: fold change -3.17, p=0.0012, q=0.042; Kcnd3: fold change -1.46, p=0.0079, q=0.10). Notably, decreased I<sub>to</sub> current is also 207 observed in patients with atrial fibrillation<sup>28</sup> and heart failure<sup>29</sup>, and can impair electromechanical 208 coupling and prolong action potential duration<sup>30</sup>. Phase 1 also includes slow Na<sup>++</sup> efflux via the 209 210 Na<sup>+</sup>/Ca<sup>++</sup> exchanger (NCX1), which was upregulated in hypoxia (Slc8a1: fold change +2.78, p=0.0001, q=0.012). The plateau phase (phase 2) is primarily responsible for action potential 211 duration. Membrane potential is held stable by balancing Ca<sup>++</sup> influx and K<sup>+</sup> efflux, and both 212 were affected by hypoxia. Ca<sup>++</sup> influx continues via NCX1 (upregulated in hypoxia, see above), 213 214 and L-type voltage-gated Ca<sup>++</sup> channels open to increase Ca<sup>++</sup> influx (I<sub>CaL</sub>). I<sub>CaL</sub> channels were downregulated in hypoxia (Cacna2d1: fold change -1.96, p=0.0014, g=0.045). Calmodulin 215 expression was increased (Calm3: fold change +1.67, p=0.0009, q=0.036) which modulates 216 217 both action potential duration and excitation-contraction coupling by modifying I<sub>CaL</sub>. Slow K<sup>+</sup> 218 efflux (I<sub>Ks</sub>) occurs via voltage-gated K<sup>+</sup> channels, which were upregulated in hypoxia (Kcnq1: fold change +2.54, p=0.0057, q=0.089). Final rapid repolarization (phase 3) occurs mainly by K<sup>+</sup> 219 efflux (I<sub>Kr</sub>). Both genes associated with I<sub>Kr</sub> trended toward downregulation (Kcne2: fold change -220 221 1.47, p=0.22, q=0.51; Kcnh2: fold change -1.24, p=0.28, q=0.57). Finally, phase 4 represents

222 the resting membrane potential which is maintained via constant K<sup>+</sup> efflux ( $I_{K1}$ ,  $I_{Ach}$ ,  $I_{ATP}$ ) through 223 inwardly rectifying  $K^+$  channels; these genes were largely unaffected by hypoxia.

224 In cardiac pacemaker cells, the T-type Ca<sup>++</sup> channel generates Ca<sup>++</sup> influx (I<sub>CaT</sub>) to initiate an action potential (gene = Cacna1g). Cacna1g had a 3.13 fold down-regulation which did not meet 225 226 significance (p=0.052, q=0.26). Additional studies are needed to examine regional differences in 227 T-type Ca<sup>++</sup> channel expression, which can result in bradycardia and sinus node dysfunction if localized to pacemaker cells. Finally, gap junctions connect neighboring cardiomyocytes and 228 229 allow for rapid spread of an action potential from one cell to the next. Gap junction expression 230 was downregulated in hypoxia (Gja1: fold change -2.57, p=0.0022, q=0.056; Gja6: fold change -1.74, p=0.0067, q=0.096), which can lead to slower electrical conduction.

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232 Hypoxia altered expression of genes important to the contractile apparatus

233 Multiple genes important to the contractile apparatus were differentially expressed in P8 hypoxic 234 animals, including those involved in both calcium handling and sarcomere structure (Figure 235 **5B**). Within the cardiac sarcomere, isoform switching occurs during perinatal development for many key structural proteins. In hypoxic P8 animals, there was persistence of immature 236 isoforms of Troponin-I (Tnni1: fold change +13.13, p=9.71E-05, g=0.011), alpha-actin (Acta1: 237 238 fold change +5.57, p=0.012, q=0.12), gamma-actin (Actg1: fold-change +3.05, p=0.010, 239 q=0.12), and myosin heavy chain (Myh7: fold change +1.48, p=0.083, q=0.32); although only Troponin-I met the predetermined threshold for significance. The isoform switch for myosin light 240 241 chain was unaffected (MyI7 $\rightarrow$ MyI2), however expression of two myosin light chain regulators 242 were altered (Myl3: fold change -1.79, p=0.0045, q=0.08; Myl9: fold change +5.48, p=0.0025, 243 q=0.06).

244 Multiple stabilizing components of the cardiac sarcomere were also altered in P8 hypoxic animals. Titin, the cardiac myofilament responsible for passive tension, was downregulated in 245

246 hypoxia (fold change -2.56, p=0.0055, q=0.088), which may cause an increased risk for diastolic dysfunction<sup>31</sup>. Desmin provides strength of attachment of myofibrils to the Z-disk, and was 247 upregulated in hypoxia (Des: fold change +2.31, p=0.0023, g=0.057). Desmosomes and 248 249 adherens junctions provide structural integrity between cells, and both demonstrated 250 downregulation of components in hypoxia (Pkp1: fold change -1.55, p=0.0022, q=0.056; Pcdh7: 251 fold change -1.82, p=0.0025, g=0.061; Dsc2; fold change -2.04, p=0.016, g=0.14). Vimentin expression was increased (Vim: fold change +3.08, p=0.0017, q=0.050) indicating an increased 252 253 fibroblast population, but collagen expression was decreased (extracellular matrix cluster in 254 Figure 4B), suggesting decreased fibroblast functioning and a less robust extracellular matrix to act as a scaffold for muscle contraction. 255 256 Calcium handling genes were also altered in hypoxic P8 animals. In mature cardiomyocytes, 257 synchronized ryanodine receptors facilitate a rapid increase in cytosolic Ca<sup>++</sup> concentration 258 which is necessary for excitation-contraction coupling. Ryanodine receptor expression was decreased in hypoxic animals (Ryr2: fold change -3.74, p=0.0038, g=0.073; Ryr1: fold change -259 1.16, p=0.029, q=0.20), consistent with a delay in maturation. Further, extracellular Ca<sup>++</sup> entry 260 may be altered in hypoxic animals due to decreased expression of L-type calcium channels 261 262 (Cacna2d1, see above) and increased expression of the Na<sup>+</sup>/Ca<sup>++</sup> exchanger (Slc8a1, see above). The sarco-endoplasmic reticulum Ca<sup>++</sup>-ATPase (SERCA) pumps calcium from the 263 cytoplasm back into the sarcoplasmic reticulum in preparation for the next cardiac cycle. 264 265 Hypoxia decreased SERCA expression (Atp2a3: fold change -2.03, p=0.0005, g=0.027), which 266 could decrease sarcoplasmic reticulum Ca<sup>++</sup> load, reduce contractility, and slow lusitropy. 267 Hypoxia caused bradycardia, slowed conduction velocity, and isoflurane effects at P8 268 With the observed changes in ion channel expression on gene arrays, we collected *in-vivo* ECG tracings at P8 (Figure 6A) to identify alterations in the electrophysiologic substrate of the heart 269 270 immediately after chronic perinatal hypoxia. During normal murine development, heart rate

increases as maturation progresses. At P8, hypoxic animals were bradycardic compared to normoxic controls (n $\geq$ 10, mean 308 vs 543 beats per minute, p<0.0001), consistent with a delay in normal postnatal maturation (**Figure 6B**). We examined the effects of isoflurane sedation on ECG measurements, as cyanotic newborns with CHD are subjected to anesthesia at the time of surgical repair. Isoflurane sedation had a bradycardic effect in both groups; however, the hypoxic animals remained more bradycardic than normoxic when sedated (n $\geq$ 10, mean 204 vs 272 beats per minute, q=0.0020, **Figure 6C**).

278 Conduction speed is expected to increase throughout development as cell-cell interactions

279 mature. P8 hypoxic animals had a longer P-wave duration ( $n \ge 7$ , mean 15.0 vs 12.2 msec,

280 p=0.017), PR interval (n≥8, mean 53.0 vs 37.5 msec, p<0.0001), QRS duration (n≥10, mean

281 15.5 vs 13.3 msec, p=0.012), and QT interval (n≥10, mean 61.3 vs 38.8 msec, p<0.0001)

compared to normoxic controls (**Figure 6B**). QT intervals were analyzed in sedated animals, as

this parameter could not be measured accurately in active P8 animals. Isoflurane sedation

prolonged PR interval in both groups, but the effect was more dramatic in hypoxic animals ( $n \ge 8$ ,

interaction p=0.031, F=5.03, **Figure 6C**). Anesthetic agents have been reported to slow

atrioventricular conduction in animal models $^{32,33}$  and human case reports $^{34,35}$ , and our results

suggest that perinatal hypoxia exaggerates this effect. We also observed a significantly lower

heart rate variability in sedated hypoxic animals compared with normoxic controls ( $n \ge 10$ ,

289 median 1.7 vs 3.4 msec, q=0.0009, **Figure 6C**). Importantly, heart rate variability increases with 290 age during normal development<sup>23</sup>.

291 ECG measurements normalized at P30 after a period of recovery in normoxia

292 Since genetic differences had resolved at P30 after recovering in normoxia, ECG

293 measurements were repeated at P30 to determine if electrophysiologic differences had also

normalized. At P30, there was no difference in heart rate ( $n \ge 4$ , mean 407 vs 406 beats per

minute, p=0.98), heart rate variability (n=4, median 13.6 vs 8.0 msec, q=0.34), or QRS duration

296 (n $\geq$ 4, mean 15.1 vs 15.3 msec, p=0.84) between groups (**Figure 6B**). Hypoxic animals still had 297 a trend toward longer P-wave duration (n $\geq$ 4, mean 19.3 vs 16.1 msec, p=0.24), PR interval 298 (n $\geq$ 4, mean 44.4 vs 38.9 milliseconds, p=0.39), and QT interval (n $\geq$ 4, mean 23.9 vs 21.9 msec,

- p=0.29) (**Figure 6B**). The significant differences in ECG parameters observed at P8 largely
- abated after the 22 day period of recovery in normoxia, consistent with gene expression data
- 301 (Figure 3A-B).
- 302 *Ex-vivo electrophysiology study revealed persistent underlying changes to the*
- 303 electrophysiologic substrate after hypoxia

Although ECG measurements largely normalized by P30, we conducted more rigorous testing

305 of the cardiac electrophysiologic substrate in the absence of autonomic influences.

306 Electrophysiology studies performed at P30 revealed that perinatal hypoxia caused persistent

- prolongation of VERP compared to normoxic controls (n=4, mean 76.5 vs 46.5 msec, p=0.013),
- a parameter that normally decreases with age (**Figure 7A**). No difference in atrioventricular
- 309 conduction was observed between groups, measured by WBCL (n=4, mean 84.0 vs 84.5 msec,
- 310 p=0.94) and AVNERP (n=4, mean 72.8 vs 70.5 msec, p=0.76) (**Figure 7A**). *Ex-vivo* studies also
- revealed sinus node dysfunction in 100% of the hypoxic hearts, as opposed to 25% of the
- normoxic control hearts (**Figure 7B,C**). Cyanotic CHD carries a high incidence of sinus node

dysfunction<sup>36,37</sup>, and our results suggest that hypoxia may play a role in creating the substrate

314 for sinus node dysfunction.

# 315 *Perinatal hypoxia caused a persistent decrease in contractile function*

Gene expression changes indicated differences in the sarcomere and calcium handling at P8,

- and we sought to measure phenotypic contractile function after recovery in normoxia.
- 318 Transthoracic echocardiography at P30 demonstrated decreased fractional shortening in

animals exposed to hypoxia as compared to normoxic controls ( $n \ge 3$ , mean 0.29 vs 0.38,

320 p=0.027, **Figure 8**), consistent with worse contractile function.

## 321 Discussion

Our results support our hypothesis that cardiac maturation is perturbed in a mouse model of chronic perinatal hypoxia. Chronic perinatal hypoxia altered both the electrophysiologic substrate and the contractile apparatus. Although the majority of differences detected at P8 normalized after recovering in normoxia, there were persistent alterations at P30 that may contribute to lifelong mortality and morbidity in the cyanotic CHD population.

327 Numerous genetic and phenotypic differences were detected at P8. Hypoxia altered global gene 328 expression and ECG parameters, including bradycardia, slowed conduction speed, and 329 decreased heart rate variability and exaggerated PR prolongation with sedation. In our animal model, P8 represents the time of surgical repair, and therefore, phenotypic differences in 330 331 hypoxic animals may have implications for surgical outcomes and the immediate post-surgical course. Disturbances in ion channel expression may explain ECG disturbances at P8, and may 332 predispose the hypoxic heart to arrhythmias. Specifically, reduced Ito current and an altered 333 334 plateau phase can prolong the action potential, and reduced gap junctions can slow electrical 335 conduction across the heart. Likewise, decreases in extracellular matrix collagen and alterations 336 in the contractile apparatus have the potential to affect the strength of cardiac contraction. Electromechanical coupling development was especially delayed in hypoxic animals, as 337 demonstrated by decreased L-type Ca<sup>++</sup> channel, ryanodine receptor, and SERCA pump 338 expression; all of which can contribute to a blunted increase in cytosolic Ca<sup>++</sup> concentration and 339 340 therefore weaker contraction. Increased dependence on glycolysis (Figure 4) may reduce myocardial energy reserves, as observed in infants undergoing surgical repair<sup>6-10</sup>. Furthermore, 341 if myocardial growth continues by cell proliferation instead of hypertrophy, there is an increased 342 risk of cell structure abnormalities. Notably, some differentially expressed genes in our study are 343

associated with clinical sudden arrhythmic death syndromes<sup>38</sup> (long QT syndrome, Brugada
syndrome, arrhythmogenic right ventricular dysplasia, catecholaminergic polymorphic
ventricular tachycardia) and clinical cardiomyopathies<sup>39</sup> (dilated, hypertrophic, left ventricular
noncompaction).

348 In our model, P30 represents recovery after early definitive repair of cyanotic CHD. Gene expression and ECG differences observed at P8 largely resolved by P30, suggesting that the 349 heart was able to complete development after a return to normal oxygen conditions. This is an 350 351 optimistic sign that many of the observed effects from chronic perinatal hypoxia may be 352 reversible with early repair. However, ex-vivo electrophysiology studies revealed persistent 353 changes to the underlying electrophysiologic substrate including prolonged VERP and 354 increased sinus node dysfunction, and echocardiogram revealed persistent decreased 355 contractile function. This is similar to previous animal studies of pre- or postnatal hypoxia, which 356 demonstrated both systolic and diastolic dysfunction<sup>13,40–42</sup>; however, this is the first study 357 demonstrating persistent electrophysiologic changes after perinatal hypoxia. Importantly, 358 measurements of gene expression do not necessarily reflect differences in protein expression 359 and localization, ion channel current, or the myofilament and sarcomere architecture. Studies 360 suggest that prenatal hypoxia imprints on a fetus and causes lifelong changes to the cardiovascular system, such as increased susceptibility to systemic hypertension and metabolic 361 syndrome and worse response to myocardial infarction<sup>43</sup>. Further investigation is required to 362 363 define proteomic, metabolic, and ion current changes that persist after recovery from chronic 364 perinatal hypoxia.

To date, there has been limited investigation into the effects of hypoxia on the developing heart, and thus there is no established animal model. The main embryological progression of heart development is the same between humans and rodents<sup>44</sup>. The early embryonic heart is thinwalled and relies on diffusion of oxygen from the chambers until the coronaries connect to the

aorta<sup>44</sup>, at which point the myocardium starts to grow and thicken<sup>18,44</sup>. This timeline was the 369 370 rationale for starting hypoxia on embryonic day 16, when the coronary development is complete<sup>17,45</sup>. This timing captures the period of rapid ventricular growth that occurs in both 371 species once the myocardium is reliant on the coronary circulation for oxygen delivery. For both 372 373 humans and rodents, there are similar changes in myocyte proteins for the remainder of 374 gestation, and both demonstrate rapid and marked development in the first postnatal week. reaching definitive adult cell function and morphology quickly after the postnatal change in 375 loading conditions and oxygenation<sup>18,19</sup>. 376

377 Our study aimed to be a proof of concept, that chronic perinatal hypoxia disrupts the process of normal cardiac development. To our knowledge, this is the first study to include both pre- and 378 379 postnatal hypoxia to model the range of cardiac development affected by hypoxia in cyanotic 380 CHD. Further, we incorporated a period of recovery to simulate definitive repair of cyanotic 381 CHD. Toward this goal, our mice displayed characteristics consistent with human cyanosis, such as lower birth weight<sup>25,26</sup>, and replicated increased heart-to-body-weight ratios reported in 382 previous hypoxia animal studies<sup>46,47</sup>. Limitations of our model include the inherent constraints of 383 using small animals to model human disease, and the risk of introducing maternal stress into 384 385 gestation<sup>48,49</sup>. Litter size was reduced in our animals, which may be evidence for maternal stress. Importantly, the comparative effect of degree of hypoxia between species is unknown. 386 387 We chose 10.5% for the degree of hypoxia, as a fractional inspired oxygen concentration (FiO<sub>2</sub>) of 10% correlates with pulse oximetry readings of 55-70% in rodents<sup>50</sup>. For comparison, human 388 389 fetuses with cyanotic CHD have a mean oxygen saturation of 48% in the ascending aorta<sup>5</sup>, and 390 neonates have target oxygen saturation ranges of 70-85%. Despite the unknowns between species, we believe this study is a first step toward understanding the impact of hypoxia on 391 392 cardiac development.

- 393 The Cardiac Safety Research Consortium has implored the research community to perform
- 394 more studies of developmental cardiac physiology to better understand the substrate on which
- cardiac therapies may work in the pediatric population<sup>15</sup>. Further studies regarding the effects of
- 396 hypoxia on cardiac development may allow us to better target cardiac therapeutics for the
- 397 cyanotic CHD population. A better understanding of the effects of chronic perinatal hypoxia on
- 398 cardiac development could lead to improved surgical outcomes and overall improved
- 399 cardiovascular health in the cyanotic CHD population.

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- 411 CM, NGP analyzed data; JR, DG, NGP prepared figures; JR, NGP drafted manuscript; JR, ZD,
- 412 NI, NGP conceived and designed experiments; all authors approved manuscript.

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## 556 Figures with Figure Legends:

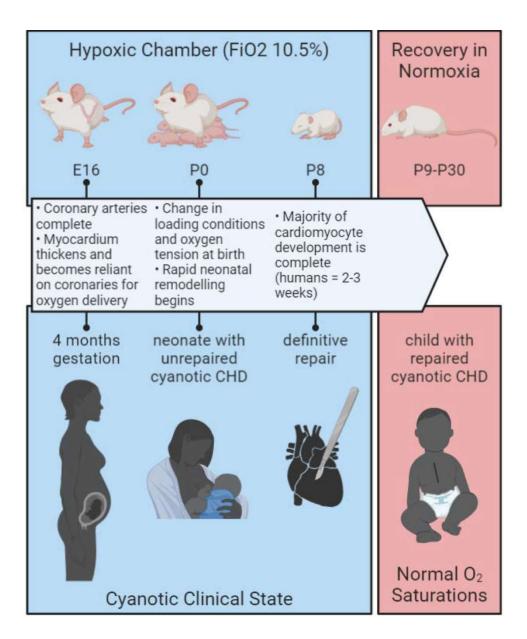




Figure 1: Chronic Perinatal Hypoxia Mouse Model. Pregnant CD1 mice were placed in
hypoxia starting on embryonic day (E)16, corresponding with rapid growth of the ventricular
myocardium and reliance on coronary arteries, similar to a 4 months gestation human fetus.
Hypoxic pups were born and reared in hypoxia until postnatal day (P)8 when the majority of
cardiomyocyte development is complete. Removal from the hypoxic chamber represents

- 563 definitive repair in human neonates with cyanotic CHD. Mice recovered in normoxia until further
- testing at P30, representing a child with repaired cyanotic CHD and corresponding
- normalization in oxygen saturations. FiO2, fractional inspired oxygen concentration. Image
- 566 created with Biorender.com.

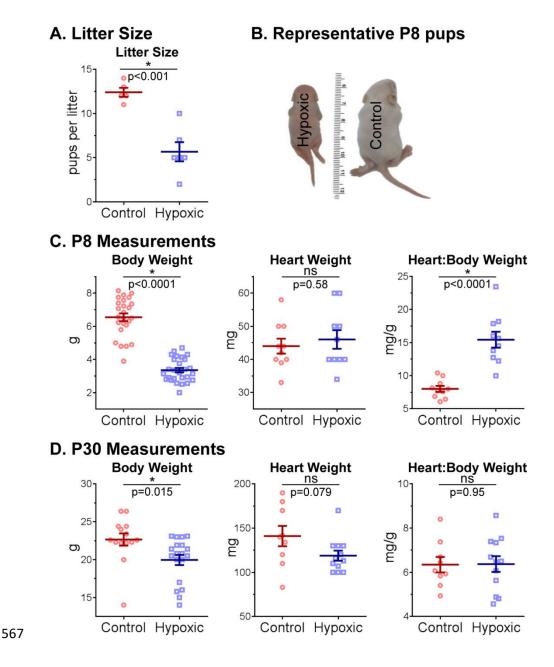
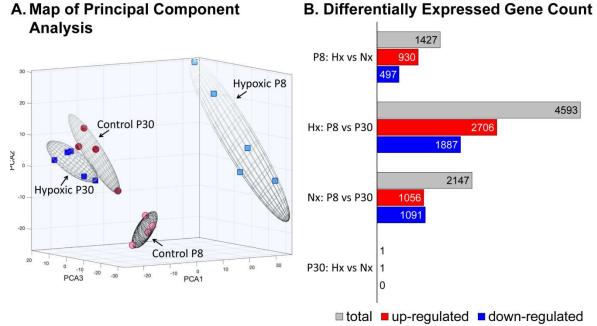


Figure 2: Litter Size and Body Measurements. A: Hypoxia reduced mean litter size. B, C: At
P8, hypoxic animals were markedly smaller than control; however, heart weight was preserved,
and thus heart-to-body-weight ratio was higher in hypoxic animals. D: By P30, hypoxic animals
underwent catch-up growth such that they were only slightly smaller than control; heart weight
trended toward slightly lower in hypoxic animals, and heart-to-body weight ratios were
equivalent between groups. All data presented as mean ± SEM. \*p<0.05, ns=not significant.</li>



C. Volcano Plot of Differentially Expressed Genes Gene

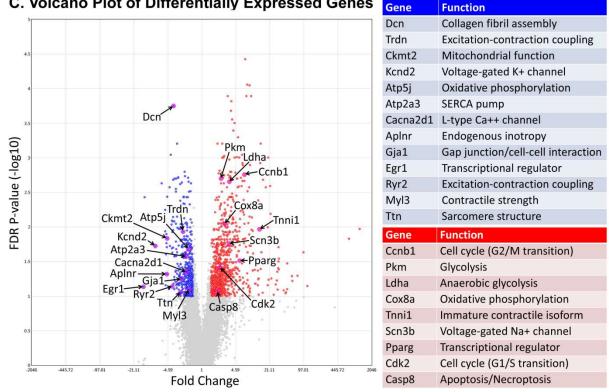


Figure 3: Global Gene Expression. A: Principal component analysis demonstrated that 575 576 experimental groups were well separated by their mRNA expression profiles at P8, but this separation was negligible in P30 samples. B: Using a 1.5-fold expression cut-off with 0.1 false-577

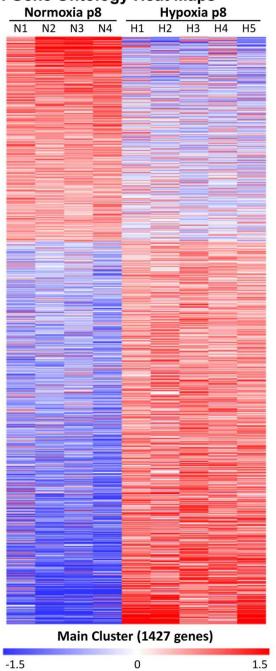
30

- 578 discovery rate, a total of 1427 mRNAs were differentially expressed between hypoxic (Hx) and
- 579 normoxic control (Nx) hearts at P8, with near resolution of differences by P30. **C:** Specific genes
- 580 important to cardiovascular functioning and development are highlighted in a volcano plot of all
- 581 differentially expressed genes between groups at P8.

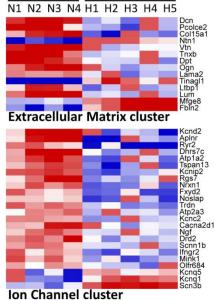
# A. Gene Ontologies

Key Downregulated GOs	р	FDR q	Enrichment	
Extracellular matrix structural constituent	5.42E-6	2.42E-3	5.08	
Ion channel binding	2.52E-4	3.34E-2	2.90	
Key Upregulated GOs	р	FDR q	Enrichment	
Glycolytic process	3.66E-9	6.01E-6	19.00	
Hypoxia-inducible factor-1alpha signaling pathway	1.70E-5	1.86E-3	47.65	
Mitotic cell cycle process	1.63E-6	2.72E-4	2.11	
Cell maturation	7.43E-4	3.4E-2	2.77	

# B. Gene Ontology Heat Maps



Normoxia p8 Hypoxia p8



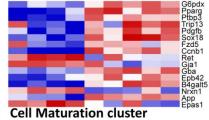


Pdk1 Pdk3 Cybb

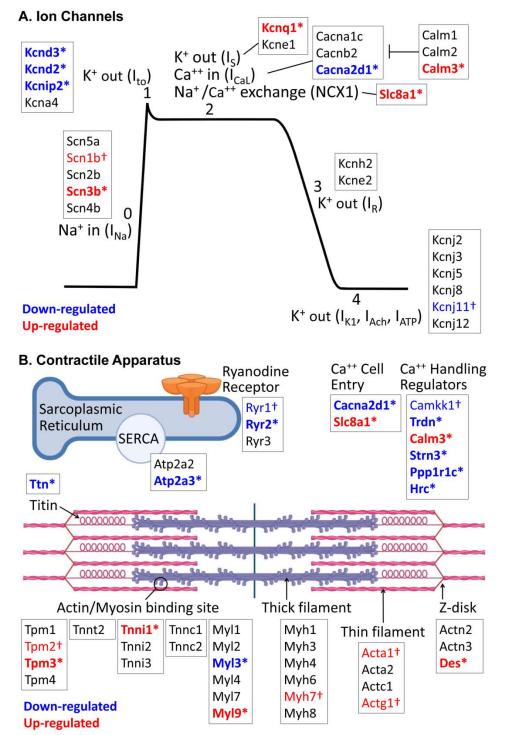
HIF1a Signaling cluster



Mitotic cluster



- 583 **Figure 4: Gene Ontologies. A:** Highlighted gene ontologies (GOs) important to cardiac
- development and functioning that were different between groups at P8. **B**: Heat maps of the
- 585 main cluster of differentially expressed genes, as well as selected gene ontologies demonstrate
- 586 differential expression at P8 between groups. Each gene is median-centered, with data
- 587 displayed as fold-change.



<sup>588</sup> 

589 Figure 5: Genes Affecting Ion Channels and the Contractile Apparatus. A: At P8, hypoxia

affected genes involved with most phases of the cardiac action potential. **B:** Hypoxia affected

591 multiple genes important to the contractile apparatus, including both the sarcomere and calcium

592 handling machinery, at P8. \*p<0.05 and FDR q<0.1 and †p<0.05 and FDR q>0.1. Image

593 created with Biorender.com.

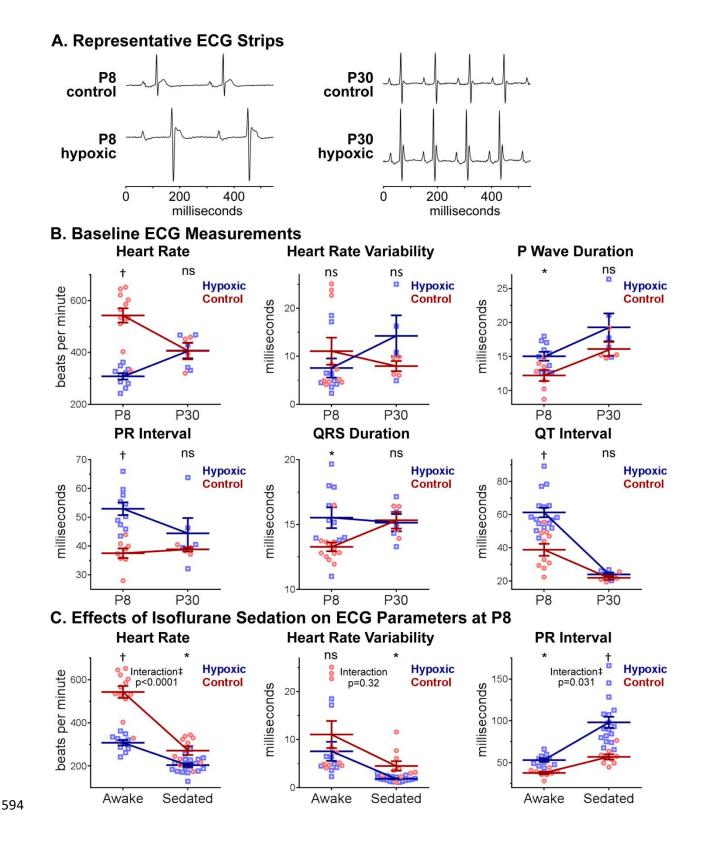
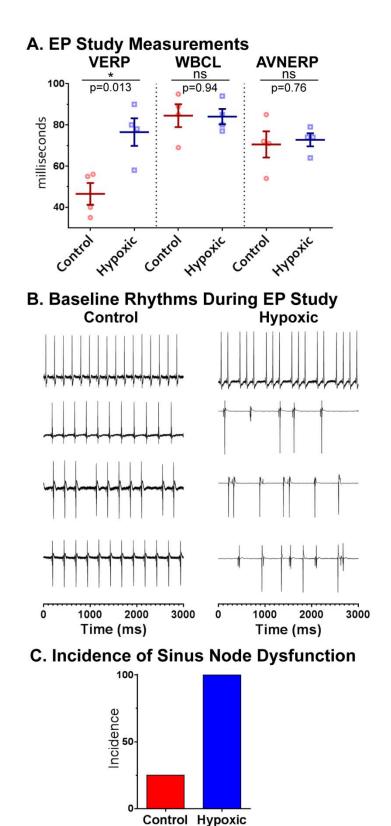


Figure 6: In-Vivo Electrocardiogram Measurements. A,B: Hypoxic P8 animals had lower
 heart rates compared to control, and hypoxia prolonged all ECG intervals measured, consistent

- 597 with slowed conduction speed. At P30, all ECG measurements had normalized. C: At P8,
- isoflurane sedation caused more PR prolongation in hypoxic animals than control. Data
- 599 expressed as mean ± SEM. \*p<0.05 hypoxic versus control. †p<0.0001 hypoxic versus control.
- <sup>600</sup> ‡p<0.05 for two-way ANOVA interaction between sedation and group. ns=not significant.

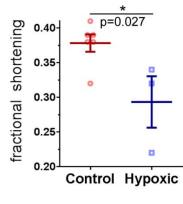






- 603 exposed to hypoxia. There was no difference for WBCL or AVNERP. **B:** Baseline rhythm during
- electrophysiology studies is displayed for each animal. **B**, **C**: 100% of hypoxic and 25% of
- 605 control animals had sinus node dysfunction during the study. All data expressed as mean ±
- 606 SEM. VERP, ventricular effective refractory period. WBCL, Wenckebach cycle length. AVNERP,
- atrioventricular nodal effective refractory period. \*p<0.05. ns=not significant.





# **B. Measurement of FS**

