

1 **Title:** Fractalkine-induced microglial vasoregulation occurs within the retina and is altered  
2 early in diabetic retinopathy

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24 full access to all the data. They take responsibility for the integrity and accuracy of the data.

25 **This pdf includes**

1 Main text

2 Fig. 1-6

3

## 1 **Abstract**

2 Local blood flow control within the CNS is critical to proper function and is dependent on  
3 coordination between neurons, glia and blood vessels. Macrogia such as astrocytes and  
4 Müller cells, contribute to this neurovascular unit within the brain and retina, respectively.  
5 This study explored the role of microglia, the innate immune cell of the CNS, in retinal  
6 vasoregulation and highlights changes during early diabetes. Structurally, microglia were  
7 found to contact retinal capillaries and neuronal synapses. In the brain and retinal explants,  
8 the addition of fractalkine, the sole ligand for monocyte receptor Cx3cr1, resulted in capillary  
9 constriction at regions of microglial contact. This vascular regulation was dependent on  
10 microglial involvement, since mice lacking Cx3cr1, exhibited no fractalkine-induced  
11 constriction. Analysis of the microglial transcriptome identified several vasoactive genes,  
12 including angiotensinogen, a constituent of the renin-angiotensin system (RAS). Subsequent  
13 functional analysis showed that RAS blockade via candesartan, abolished microglial-induced  
14 capillary constriction. Microglial regulation was explored in a rat streptozotocin (STZ) model  
15 of diabetic retinopathy. Retinal blood flow was reduced after 4 weeks due to reduced  
16 capillary diameter and this was coincident with increased microglial association. Functional  
17 assessment showed loss of microglial-capillary response in STZ-treated animals and  
18 transcriptome analysis showed evidence of RAS pathway dysregulation in microglia. While  
19 candesartan treatment reversed capillary constriction in STZ-treated animals, blood flow  
20 remained decreased likely due to dilation of larger vessels. This work shows microglia  
21 actively participate in the neurovascular unit, with aberrant microglial-vascular function  
22 possibly contributing to the early vascular compromise during diabetic retinopathy.

23

1 **Significance Statement**

2 This work identifies a novel role for microglia, the innate immune cells of the CNS, in the  
3 local control of the retinal vasculature and identifies deficits early in diabetes. Microglia  
4 contact neurons and vasculature and express several vasoactive agents. Activation of  
5 microglial fractalkine-Cx3cr1 signalling leads to capillary constriction and blocking the  
6 renin-angiotensin system (RAS) with candesartan abolishes microglial-mediated  
7 vasoconstriction in the retina. In early diabetes, reduced retinal blood flow is coincident with  
8 capillary constriction, increased microglial-vessel association, loss of microglial-capillary  
9 regulation and altered microglial expression of the RAS pathway. While candesartan restores  
10 retinal capillary diameter early in diabetes, targeting of microglial-vascular regulation is  
11 required to prevent coincident dilation of large retinal vessels and reduced retinal blood flow.

12

13



## 1 **Introduction**

2 The retina is one of the most metabolically active organs in the body, and in most mammals  
3 is supplied by an outer (choroidal) and inner (retinal) vascular network (1). While the choroid  
4 provides for the light-detecting photoreceptors within the outer retina, the retinal blood  
5 supply supports the numerous neurons and glia found in the ganglion cell and inner nuclear  
6 layers of the retina (2). The arterioles of the retinal blood supply enter at the optic disc and  
7 branch to form sequentially smaller vessels, including the retinal capillaries, establishing the  
8 superficial vascular plexus. These capillaries penetrate the inner retina, forming the relatively  
9 sparse intermediate vascular plexus, and deeper towards the outer retina forming the highly  
10 anastomosed deep vascular plexus. Completing the vascular circuit, blood returns via the  
11 venules on the retinal surface, which exit alongside the optic nerve (3, 4).

12  
13 Blood flow throughout the retina is largely dependent on vessel calibre, which is tightly  
14 regulated to meet the metabolic demands of neuronal activity (5). An example of this is the  
15 well-defined hyperaemic response, whereby increased neuronal activity (via flickering light)  
16 results in arteriole dilation and increased inner retinal blood flow (6). Unlike peripheral blood  
17 vessels, retinal and brain vasculature have no direct neuronal input to modulate vascular tone,  
18 rather macroglial cells (Müller cells and astrocytes) are thought to actively regulate vascular  
19 calibre in response to changes in neural activity (7, 8). This type of coupling has given rise to  
20 the idea of a neurovascular unit, encompassing neurons, glia and blood vessels (7). While  
21 studies within the retina identified neuronal-dependent calcium increase in Müller cells to  
22 mediate vessel diameter change (9), more recent data suggest regulation of the inner retinal  
23 vasculature is more complex (10). Evidence for this comes from the fact that the same light  
24 stimulus can induce either vasoconstriction or vasodilation, and Müller cell-dependent

1 calcium signalling only controls capillaries within the intermediate vascular plexus (11, 12).

2 This suggests the existence of multiple regulatory pathways within the retina.

3

4 Recently it has been proposed that microglia, the innate immune cells of the retina, may also

5 play a role in the neurovascular unit, although direct functional evidence is lacking (13). The

6 conventional view of microglia is that they contribute to disease via the release of pro-

7 inflammatory and neurotoxic cytokines (14-16). However, it is now recognised that microglia

8 play several important, inflammation-independent roles in the normal brain and retina, such

9 as dynamic synaptic surveillance and synaptic pruning (17-19). Despite this, the

10 inflammation-independent response of microglia to neuronal signalling and their role in the

11 regulation of vascular tone has yet to be confirmed.

12

13 While regulation of retinal blood flow is critical to retinal function (20), vascular dysfunction

14 is known to occur in several pathologies, including diabetic retinopathy (DR). Early in the

15 progression of DR, vascular pathology such as reduced retinal blood flow, micro-aneurysms

16 and areas of vascular non-perfusion occur (21). Reduced retinal blood flow, in particular,

17 presents early in humans with diabetes (22-24), and in animal models of diabetes (24).

18 Altered inner retinal vascular regulation is considered a likely precursor to the development

19 of severe vascular pathology in DR (25).

20

21 The present study investigates whether retinal microglia form a functional component of the

22 neurovascular unit, and whether signalling through the fractalkine-Cx3cr1 pathway

23 modulates vascular diameter. In addition, the work explores whether altered microglial

24 involvement with the inner retinal vasculature may help explain the reduced retinal blood

25 flow that occurs early during diabetes. Exploring the mechanisms responsible for the tight

- 1 regulation between retinal neuronal activity and the local blood supply is critical to
- 2 understanding retinal function in health and disease and may provide an empirical framework
- 3 for future therapies targeting vascular pathogenesis.

## 1 **Results**

### 2 **Microglia contact both retinal vasculature and neuronal synapses**

3 Microglia within the CNS have a close association with the vasculature, particularly during  
4 injury and disease (26). However, less is known about microglial-vascular interactions in  
5 normal tissue. Within the retina, microglial cell bodies typically reside in the plexiform  
6 layers, while their processes extend throughout the retina (see *SI appendix*, Fig. S1).  
7 Inspection of the superficial vascular plexus shows microglia tiling the whole tissue (Fig. 1A,  
8 Cx3cr1<sup>GFP/+</sup> mouse retina, EGFP, green) and in close association with retinal vasculature  
9 (Fig. 1A *inset*; IB4, red). When microglial process contact with retinal vessels of different  
10 diameters is quantified relative to the respective area of each vessel diameter class, microglia  
11 are seen to interact with smaller retinal vessels ( $\leq 15\mu\text{m}$ ), particularly the smallest retinal  
12 capillaries ( $< 10\mu\text{m}$ ), when compared to the larger vessels (Fig. 1B; one-way ANOVA,  $p <$   
13  $0.05$ ,  $0.001$  for  $15\text{-}20\mu\text{m}$  and  $> 20\mu\text{m}$ , respectively). At the ultrastructural level (Fig. 1C;  
14 Cx3cr1<sup>GFP/+</sup> mouse retina), a microglial process (MC, stained for EGFP) abuts a pericyte  
15 (PC), which lies over an endothelial cell (EC) lining the capillary lumen (CL). This  
16 microglial-pericyte contact is also investigated immunohistochemically using the NG2-  
17 DsRed reporter mouse, which labels pericyte somata and processes (Fig. 1D, red). A  
18 microglial cell (Iba-1, green) is observed to make contact with two pericyte somata (red),  
19 with nuclei immunolabelled with DAPI (blue). Orthogonal projections (top and right) from  
20 the boxed area, show direct contact between the two cell types. The contact indicated with the  
21 asterisk was further imaged at higher resolution to show direct contact between the microglial  
22 process (green) and the pericyte soma (red; asterisk in Fig. 1E, also see *SI appendix*, Fig. S2  
23 and Video S2). The extent of microglial contact with pericytes somata, processes (NG2-  
24 labelled) and capillary areas devoid of pericyte contact (NG2 negative / IB4 positive regions)  
25 was quantified in rat retina, with no preference observed for microglial-pericyte or

1 microglial-vessel contact (Fig. 1F). In addition to contacting retinal vessels (IB4, magenta,  
2 asterisk in Fig. 1G), microglia (EGFP, green) are also observed to extend processes into the  
3 inner plexiform layer (IPL), where neuronal synapses reside (Fig. 1G, VGLUT1 red, arrow  
4 heads; DAPI blue). The *inset* shows a rendering of these microglial-neuronal interactions at  
5 higher magnification. This is also observed in the human retina (Fig.1H, DAPI, blue) with  
6 microglia (Iba-1, green) contacting both retinal vessels (vitronectin, magenta, asterisk) and  
7 neuronal synapses (VGLUT1, red. arrow heads). When quantified in the *Cx3cr1<sup>+GFP</sup>* mouse  
8 retinae, the majority of microglia (EGFP, green) in the inner retina contact both neuronal  
9 synapses (VGLUT1, blue) and retinal vessels (IB4, red; Fig. 1I inset,  $73 \pm 13\%$ , rat retina).  
10 All individual channels for immunolocalization are shown in *SI appendix* (Fig. S3)

11

## 12 **Microglia modulate vessel diameter and express vasoactive genes**

13 Within the brain and retina, macroglial (astrocyte and Müller cell) cell contact with neuronal  
14 synapses and vasculature is critical for local control of blood supply in response to neuronal  
15 activity (7, 8). To determine whether microglia play a similar role, *Cx3cr1<sup>GFP/+</sup>* retinae were  
16 isolated and maintained *ex vivo*. Microglia were visualised via their expression of EGFP (Fig.  
17 2A; green) and vessels were labelled with rhodamine B (Fig. 2A; red). As the fractalkine-  
18 *Cx3cr1* axis is thought to mediate neuronal-microglial communication, blood vessels and  
19 microglia were imaged while fractalkine (200ng/ml) or PBS was perfused into the chamber  
20 (*SI appendix*, Video S1). Vessel diameter change was monitored and expressed relative to the  
21 baseline value for the same region of vessel.

22

23 In response to fractalkine, blood vessel regions that were associated with microglial processes  
24 (m+) constricted (Fig. 2B m+; 2-way ANOVA; PBS versus fractalkine,  $p < 0.001$ ), while  
25 those regions that were further away from microglial processes (m-) exhibited no significant

1 alteration in capillary diameter (Fig. 2B m-; 2-way ANOVA; PBS versus fractalkine,  $p =$   
2 0.26). These *ex vivo* preparations showed minimal microglial process movement at the  
3 vascular level throughout the imaging, including during fractalkine exposure (*SI appendix*,  
4 Video S1 and Fig. S4). When explants taken from animals lacking Cx3cr1 ( $Cx3cr1^{GFP/GFP}$ )  
5 were exposed to fractalkine, no alteration in vessel diameter was observed compared to PBS  
6 controls at regions with (m+;  $105.7 \pm 2.7\%$  versus  $94.7 \pm 2.3\%$ , 2-way ANOVA  $p=0.52$ ) or  
7 without (m-;  $98.8 \pm 1.2\%$  versus  $97 \pm 1.3\%$ , 2-way ANOVA  $p=0.999$ ) microglial contact  
8 (Fig. 2B). Finally, to explore whether this vasomodulatory function of fractalkine was retina-  
9 specific, superficial vessels within the rat brain were imaged using a thin skull preparation.  
10 These preliminary data showed that while vehicle delivery resulted in no alteration in vessel  
11 diameter, the subdural addition of fractalkine lead to a significant constriction of the smaller  
12 vessels (Fig. 2 C; RM 2-way ANOVA, vessels  $\leq 15\mu\text{m}$ ,  $p < 0.05$ ). While both tissues show a  
13 fractalkine-induced constriction, the difference in vessel kinetic response likely reflects the  
14 different systems used to explore microglial vasoregulation (*ex vivo* and *in vivo*,  
15 respectively).

16  
17 Since the  $Cx3cr1^{GFP/GFP}$  retina showed no fractalkine-induced vessel constriction, microglial  
18 contact with retinal vessels and neurons was explored. High resolution immunocytochemical  
19 analysis of microglia (EGFP, green) contact with neuronal synapses (VGLUT1, red) and  
20 vessels (IB4, light blue) was undertaken to enable specific areas of contact to be quantified  
21 (Fig. 2D). When the volume of contact per individual microglia was calculated,  
22  $Cx3cr1^{GFP/GFP}$  animals had fewer vessel contacts than animals with one functional copy of  
23 Cx3cr1 (Fig. 2E;  $Cx3cr1^{GFP/+}$   $7.5 \pm 0.4\%$  versus  $Cx3cr1^{GFP/GFP}$   $5.5 \pm 0.3\%$ ,  $t$ -test  $p=0.004$ ).  
24 While there was no difference in neuronal contacts between the two genotypes,  
25  $Cx3cr1^{GFP/GFP}$  animals showed less microglial process branching (Fig. 2E;  $Cx3cr1^{GFP/+}$  111.5

1  $\pm 7.2$  versus  $Cx3cr1^{GFP/GFP}$   $92.2 \pm 2.1$ ,  $t$ -test  $p=0.03$ ), reflecting the literature showing  
2  $Cx3cr1^{GFP/GFP}$  to have a more activated inflammatory profile (28). When retinal capillary  
3 diameters were compared to C57bl6 control animals,  $Cx3cr1^{GFP/+}$  capillaries were similar to  
4 controls (Fig. 2F; C57bl6  $11.3 \pm 0.3\mu\text{m}$  versus  $Cx3cr1^{GFP/+}$   $10.9 \pm 0.2\mu\text{m}$ , 1-way ANOVA  
5  $p=0.66$ ), while  $Cx3cr1^{GFP/GFP}$  showed increased capillary diameters (Fig. 2F;  $Cx3cr1^{GFP/+}$   
6  $10.9 \pm 0.2\mu\text{m}$  versus  $Cx3cr1^{GFP/GFP}$   $12 \pm 0.4\mu\text{m}$ , 1-way ANOVA  $p=0.047$ ). There was no  
7 difference in larger vessel diameter for any genotype (Fig. 2F inset;  $p=0.87$  and  $0.94$  for  
8  $Cx3cr1^{GFP/+}$  and  $Cx3cr1^{GFP/GFP}$ , respectively).

9  
10 RNA-Seq was performed on FACS-isolated microglia collected from 12-week-old dark  
11 agouti rats to determine whether vasomodulatory factors were contained within the microglial  
12 transcriptome. To confirm the purity of sample, the mapped genes were compared to a  
13 published list of microglial markers (29), with 23/29 markers identified in our gene  
14 population, including the microglial-specific marker *Tmem119* (*SI appendix*, Table S1)(41).  
15 The microglial transcriptome was also compared to microglial-enriched genes reported in  
16 several studies, with significant overlap observed, while there was little contamination from  
17 known neuronal genes (*SI appendix*, Fig. S5). The expressed gene population was compared  
18 against genes known to be involved in angiogenesis (GO:0001525, 407 genes) and regulation  
19 of blood vessel diameter (GO:0097746, 310 genes). In total, 268 genes expressed in the  
20 microglial population were identified to have roles in angiogenic pathways (Fig. 2G, and *SI*  
21 *appendix*, table S2), such as hypoxia inducible factor 1 alpha (*Hif1a*) and vascular endothelial  
22 growth factor A and B (*Vegf A/B*). When vessel diameter regulation was explored, 41 genes  
23 were found to have a role in vasodilation such as phospholipase A2 (*Pla2g6*) and sirtuin 1  
24 (*Sirt1*), while 39 genes were identified with vasoconstriction, including endothelin 1, 3

1 (*Edn1*, 3) and arachidonate 5-lipoxygenase (*Alox5*) and angiotensinogen (*Agt*; Fig. 2G, and SI  
2 appendix, tables S3 and S4, respectively).

3

4 As angiotensinogen is a constituent of the renin-angiotensin system (RAS), which is involved  
5 in retinal vessel regulation via the angiotensin II receptor type 1 (AT1R) (30, 31), *ex vivo*  
6 experiments were performed using the AT1R antagonist, candesartan. Baseline capillary  
7 diameter was averaged over 10 minutes in rat retinal explants exposed to Ames (black trace)  
8 and Ames + candesartan (230 nM; red trace) and after which time fractalkine was added  
9 (shaded area in Fig. 2H). Similar to that observed in the *Cx3cr1<sup>GFP/+</sup>* mouse (Fig. 2A, 2B),  
10 exposure of the rat retinae to fractalkine induced capillary constriction, while exposure to  
11 candesartan blocked any fractalkine-induced constriction (Fig. 2H). When grouped data were  
12 analysed, candesartan abolished the fractalkine-induced vasoconstriction (Fig. 2I, *t*-test,  
13  $p < 0.01$ ). To further support the role of RAS in microglial-mediated vessel regulation, control  
14 C57bl6 and *Cx3cr1<sup>GFP/GFP</sup>* were exposed *ex vivo* to fractalkine (FKN) for 2 hours, microglia  
15 isolated and the expression of angiotensinogen (*Agt*) quantified (Fig. 2I inset). While  
16 exposure to fractalkine increased *Agt* expression in control retinae, *Cx3cr1<sup>GFP/GFP</sup>* retinae  
17 which previously exhibited no microglial-mediated constriction (Fig. 2B), showed no  
18 expression change (Fig. 2I inset; +FKN, C57bl6  $21.8 \pm 3.5$  copies/1000 copies *Hprt* versus  
19 *Cx3cr1<sup>GFP/+</sup>*  $7.7 \pm 0.6$  copies/1000 copies *Hprt*, 2-way ANOVA  $p = 0.017$ ). The current data  
20 show that microglia are capable of modulating vascular constriction within the retina and  
21 broader regions of the CNS via the fractalkine-Cx3cr1 pathway. While they express several  
22 gene transcripts for known vasoactive agents, microglial regulation of retinal vessels occurs  
23 via AT1R activation.

24

25 **Retinal blood flow and capillary diameter is changed in early diabetes**



1 The regulation of retinal blood supply is critical to normal function, with retinal pathologies,  
2 such as DR, exhibiting early retinal blood flow defects and abnormal neurovascular coupling  
3 (22, 24, 32). To explore whether microglial vasoregulation was altered during early diabetes,  
4 adult dark agouti rats were rendered diabetic via a single injection of STZ with significant  
5 hyperglycaemia evident throughout the 4-week experimental period (*SI appendix*, table S5).

6  
7 As reduced retinal blood flow is a consistent and early alteration in patients with diabetes and  
8 animal models (23, 24), quantitative vessel-dependent kinetic analysis using sodium  
9 fluorescein (33) was used to confirm vascular dysfunction. Average normalised fluorescence  
10 intensity was calculated over time for every pixel within the fundus image (see Fig. 3A, B, C  
11 *insets*), grouped on vessel type, and *en face* heat-maps produced (Fig. 3A, B, C, fill times),  
12 with warmer colours indicating greater time taken to fill (slower blood flow). Vessel-  
13 dependent kinetic analysis revealed arterioles in STZ-treated animals took longer to fill (Fig.  
14 3D; median regression analysis,  $p < 0.05$ ), reflecting reduced blood flow. Due to the serial  
15 nature of the retinal vasculature, this increase in fill time was also observed in retinal  
16 capillaries and venules (Fig. 3D; median regression analysis,  $p < 0.05$ ), with no vessel-  
17 specific deficit identified (median regression analysis,  $p > 0.05$ ). Drain times were also longer  
18 in all retinal vessels (Fig. 3E; median regression analysis,  $p < 0.05$ ), with the effect  
19 significantly greater than that observed for fill times (median regression analysis,  $p < 0.05$ ).  
20 The reduced arteriolar and venular blood flow in STZ-treated animals was verified using  
21 velocimetry (*SI appendix*, Fig. S6) and the clinically relevant arterio-venous transit time was  
22 also exhibited reduced blood flow (increased transit time, *SI appendix*, Fig. S6D). The  
23 decrease in retinal blood flow kinetics was independent of systemic change, with systolic  
24 blood pressure, blood haematocrit and intraocular pressure unaltered (*SI appendix*, Fig. S7).

25

1 As vessel change affects blood flow in DR (34, 35), the morphology of large diameter vessels  
2 was assessed from fluorescein images at peak fluorescent intensity. No change in retinal large  
3 vessel tortuosity (Fig. 3F; 2-way ANOVA, arterioles  $p = 0.52$ , venules  $p = 0.98$ ), or arteriole  
4 / venule diameter (arteriovenous ratio, Fig. 3F inset;  $t$ -test,  $p = 0.48$ ) was observed between  
5 the two cohorts of animals. Similarly, when arteriole, capillary and venule densities were  
6 separately quantified using retinal wholemount immunohistochemistry (Fig. 3G inset shows  
7 the rendered image of arterioles, dark blue; venules, cyan; and capillaries, yellow), no change  
8 in vessel densities were observed between control and STZ-treated animals (Fig. 3G, 2-way  
9 ANOVA, arterioles  $p = 0.98$ , venules  $p = 0.99$ , capillaries  $p = 0.94$ ). As fluorescein image  
10 analysis and immunohistochemistry lack the resolution to assess capillary diameter, OCTA  
11 was used to quantify this *in vivo*. Images of the superficial retinal capillary network were  
12 obtained for control (Fig. 3H) and STZ-treated (Fig. 3H inset) animals and quantification  
13 (green overlay showing measured capillaries) revealed a decrease in capillary diameter in the  
14 STZ-treated cohort (Fig. 3I; 2-way ANOVA,  $p < 0.05$ ). When a similar analysis was  
15 performed on the intermediate and deep capillary plexi (I/DVP), no alteration in diameter was  
16 detected (Fig. 3I; 2-way ANOVA,  $p=0.72$ ).

17

18 In summary, retinal blood flow was significantly slower in diabetes, with *in vivo* OCTA  
19 revealing retinal capillary constriction within the superficial vascular plexus 4 weeks after  
20 STZ-induced diabetes. These diameter changes were restricted to the capillary network, as  
21 larger vessels remained unaltered and there was no change in retinal vascular coverage.

22

23 **Retinal microglia contact with capillaries and pericytes is increased in early diabetes,**  
24 **independent of activation**

1 The extent of microglial (Fig. 4A *inset*, green, Iba-1) contact with arterioles, capillaries and  
2 venules (Fig. 4A *inset* red, IB4) was quantified for control and STZ-treated animals to  
3 determine whether the retinal capillary constriction in diabetes was accompanied by altered  
4 microglial association. While microglia exhibited a similar association with large diameter  
5 arterioles and venules (Fig. 4A; 2-way ANOVA,  $p > 0.99$  and  $p > 0.66$ , respectively),  
6 microglial-capillary association was increased in STZ-treated animals (Fig.4A; 2-way  
7 ANOVA,  $p < 0.05$ ). In addition, microglial-pericyte association (Fig. 4B *inset* microglia  
8 green, Iba-1; pericytes light blue, NG2, vessels red, IB4) was increased within the central  
9 retina of STZ-treated animals (Fig. 4B, 2-way ANOVA,  $p < 0.05$ ). There was no vessel  
10 dropout (Fig. 3G), nor loss of retinal pericytes (*SI appendix*, Fig. S8) at this early stage of  
11 diabetes. The association of microglia with pericytes and capillary areas lacking pericyte  
12 contact was further explored in control and STZ-treated animals using quantitative image  
13 analysis (Fig. 4C *inset*, rendered image showing pericyte somata red; pericyte processes  
14 green; pericyte-free vessel blue and skeletonised microglia). While quantitative analysis  
15 showed no specific preference for microglia to contact pericyte somata, processes or capillary  
16 areas lacking pericytes (Fig.4C; 2-way ANOVA,  $p = 0.16$ ), there was increased microglial  
17 association with all three at 4 weeks of diabetes (Fig.4C; 2-way ANOVA,  $p < 0.01$ ). To  
18 determine whether this microglial effect was specific, or a result of a more generalised  
19 macroglial response as has been shown in later stages of diabetes (36, 37), astrocyte density  
20 and Müller cell gliosis were quantified. Vessel-specific astrocyte coverage (Fig 4D) and  
21 Müller cell gliosis (Fig. 4E) were unaltered after 4 weeks STZ treatment (2-way ANOVA,  $p$   
22  $> 0.92$  and  $0.99$  respectively).

23

24 Previous work has shown blood-retinal barrier (BRB) integrity is compromised early in  
25 diabetes (38). Using vessel-dependent blood flow analysis (Fig.3A-E), we used the return to

1 baseline after fluorescein peak (fluorescein offset) as a measure of BRB integrity. While no  
2 alteration in offset was observed for larger vessels, retinal capillaries showed a significant  
3 increase, indicative of fluorescein leakage / reduced BRB integrity (Fig. 4F; median  
4 regression analysis,  $p < 0.05$ ). A breakdown in BRB can lead to immune cell infiltration and  
5 microglia activation, with microglial migration and morphological change indicative of  
6 classical activation observed in the retina, 1 month post-STZ (39). To assess whether altered  
7 microglial-vessel association occurred in the context of monocyte involvement / microglial  
8 activation, wholemounts were co-labelled with IB4 and Iba-1 and the number and  
9 morphology of microglia quantified in central and peripheral retina. Despite the increase in  
10 capillary fluorescein offset, there was no difference in the number of monocytes / retinal  
11 microglia (Fig. 4G; 2-way ANOVA, central  $p = 0.4$ , peripheral  $p = 0.9$ ), or microglial  
12 morphology after 4 weeks of hyperglycaemia (Fig. 4H; 2-way ANOVA, cell body area  $p >$   
13  $0.99$ , process length/cell  $p = 0.15$ , branch points/cell  $p > 0.99$ ). Despite this, *Cx3cr1*  
14 expression was increased in the diabetic retina (*SI appendix*, Fig. S9). RNAseq analysis of  
15 microglial isolates from 4-week control and STZ-treated animals showed that of the 254  
16 differentially expressed genes, 22 inflammatory response genes were identified, 15 of which  
17 were positive regulators (GO: 0050729), while 12 were negative regulators of inflammation  
18 (GO: 0050728) (Fig. 4I; *SI appendix*, tables S6 and S7). Importantly, chemokine and  
19 cytokines normally associated with microglial activation, including Tlr2, Il-1 $\beta$ , Cxcl10, TNF-  
20 a, IL-1a, C1q were not altered and there was no expression of the infiltrating monocyte  
21 marker gene, *Ccr2*, in our RNAseq dataset (40, 41). Thus, at this early stage of diabetes (4-  
22 weeks) when retinal capillaries are constricted, there is increased microglial-capillary  
23 interaction, which is independent of monocyte recruitment, classical microglial activation and  
24 a more generalised macroglial response.

25

1 **Microglial expression of vasoactive genes and control of capillary constriction are**  
2 **altered in early diabetes**

3 To determine whether there was a loss of retinal vasomotor control during early diabetes,  
4 breathable oxygen was used to induce hyperoxic challenge and capillary diameter within the  
5 superficial vascular plexus was quantified using OCTA (Fig. 5A image). While control  
6 animals showed a distinct vasoconstriction in response to 100% oxygen, no constriction was  
7 observed in STZ-treated animals (Fig. 5A; 2-way ANOVA,  $p < 0.05$ ). To explore whether  
8 this dysfunction was also evident in microglial-mediated vessel constriction, *ex vivo* retinal  
9 explants from control and STZ-treated animals (4 weeks post-STZ) were exposed to  
10 fractalkine and capillary diameter quantified. While constriction was evident in the control  
11 cohort, this response was absent in the STZ-treated animals (Fig. 5B, 2-way ANOVA, control  
12  $p < 0.05$ , STZ-treated  $p = 0.99$ ). When microglia were isolated from 4-week STZ-treated and  
13 control retinæ and RNAseq performed, angiotensinogen (*Agt*) expression was increased 2.4  
14 fold, while expression of the aryl hydrocarbon receptor gene (*Ahr*), a negative regulator of the  
15 RAS (42) was also increased (3.6 fold, Fig. 5C).

16  
17 Based on the loss of vasomotor control in the diabetic retina and the dysregulation of the  
18 microglial RAS pathway, animals were rendered diabetic and treated with candesartan  
19 cilexetil or vehicle in their drinking water. At 4 weeks post-STZ, capillary diameter and  
20 retinal blood flow were quantified. OCTA analysis of superficial retinal capillaries showed a  
21 decrease in diameter within the vehicle control group, similar to that observed in Fig. 3I (Fig.  
22 5D,  $91.8 \pm 2\%$ , 2-way ANOVA,  $p < 0.05$ ). This capillary constriction was not evident in  
23 STZ-treated animals exposed to candesartan, with diameters returning to control levels (Fig.  
24 5D,  $99.9 \pm 1.8\%$ , 2-way ANOVA,  $p > 0.99$ ). However, despite this, retinal blood flow  
25 remained slower, with arterio-venous transit time increased in the vehicle and candesartan

1 STZ-treated animals (Fig. 5E; median regression analysis  $p < 0.05$ ,  $p < 0.001$  respectively).  
2 Quantification of larger retinal vessels (arterioles and venules) showed systemic delivery of  
3 candesartan resulted in an increase arteriovenous ratio in the STZ-treated animals compared  
4 to candesartan-treated control (Fig. 5F; STZ  $0.94 \pm 0.01$ , control  $0.84 \pm 0.01$ , 2-way ANOVA  
5  $p < 0.05$ ) and vehicle-treated control and STZ animals (Fig. 5F; control  $0.798 \pm 0.03$ , STZ  
6  $0.86 \pm 0.02$ , 2-way ANOVA  $p < 0.001$  and  $0.05$ , respectively).  
7 Overall, these data show that in early diabetes, retinal vasomodulation is aberrant, with no  
8 evidence of microglial mediated vasoconstriction and specific dysregulation of the RAS.  
9 However, treatment with the AT1R inhibitor, candesartan, did not restore retinal blood flow,  
10 despite dilating the retinal capillaries.

11

## 12 **Discussion**

13 The current study examined the role of microglia in local control of inner retinal blood  
14 supply. Microglia preferentially contact retinal capillaries that reside in the superficial  
15 vascular plexus, as well as contacting neuronal synapses within the inner retina. A novel role  
16 for microglia in vasomodulation within the retina and brain was identified, where addition of  
17 fractalkine induced capillary constriction. Subsequent characterisation within the retina  
18 showed this vasomodulation to be dependent on microglial contact and Cx3cr1 signalling.  
19 The microglial transcriptome contained gene transcripts for known vasoactive agents, while  
20 the AT1R inhibitor, candesartan, blocked capillary constriction, suggesting microglial  
21 vasoregulation likely occurs via modulation of local RAS. This was supported data showing  
22 fractalkine-Cx3cr1-mediated upregulation of angiotensinogen. The microglial vasoregulatory  
23 role was further explored in the context of vascular dysfunction during early diabetes. After 4  
24 weeks of experimental diabetes, retinal blood flow was reduced, coincident with constriction  
25 of the retinal capillaries within the superficial plexus and increased microglial-capillary

1 association. However, there was no indication of classical microglial activation, nor a more  
2 generalised macroglial response during this early stage of diabetes. RNAseq data showed  
3 altered microglial expression of components of the RAS and there was a loss of microglial-  
4 mediated capillary constriction during diabetes. Finally, treatment with candesartan restored  
5 retinal capillary diameter in STZ-treated animals, however, retinal blood flow remained  
6 reduced.

7

### 8 **Microglial vasomodulation within the retina.**

9 The current data show that microglia are intimately associated with retinal vasculature,  
10 directly opposing pericytes and capillary areas free from pericytes, yet showing no particular  
11 preference for direct contact. Highlighting the functional significance of this interaction,  
12 stimulation of the microglial specific receptor Cx3cr1 via its sole ligand fractalkine, induced  
13 vasoconstriction, not only within the mouse and rat retina, but also in the brain. While the  
14 role of fractalkine-induced vessel constriction in the brain requires significantly more work to  
15 confirm microglial / Cx3cr1 involvement in areas exhibiting constriction, within the retina  
16 this effect was spatially discrete, occurring only in areas associated with microglial processes  
17 and was dependent on Cx3cr1 signalling, with *Cx3cr1<sup>GFP/GFP</sup>* retinae exhibiting no  
18 constriction, altered microglia-vessel contact and capillary diameter. These data directly  
19 implicate microglia in the capillary response to fractalkine. While previous work has  
20 identified microglia as a component of the blood-brain barrier (43), and involved in retinal  
21 and brain vascular development (44, 45), this is the first report of microglial-mediated  
22 vasomodulation. Furthermore, our data and those of others show microglia also monitor and  
23 modulate neuronal synapses during development, throughout adulthood and in response to  
24 activity (17, 46, 47), raising the possibility that microglia may contribute to neurovascular  
25 coupling, the process through which local blood flow is regulated by neuronal activity. As

1 previous work in the retina suggests the existence of Müller cell-independent vasoregulatory  
2 mechanisms (11, 12), microglial vasoregulation may constitute one such alternative pathway,  
3 particularly within the superficial plexus. Further work exploring the structure of microglial-  
4 neuronal contact, it's temporal characteristics and its response to altered neuronal activity  
5 will be required to properly characterise the role of microglia in the neurovascular unit.

6

### 7 **Microglial RAS involvement in capillary constriction**

8 In order for microglia to directly mediate vessel constriction, they must express vasoactive  
9 factors. The RNAseq data from isolated retinal microglia highlighted several genes for  
10 vasoactive agents, including endothelin (*Edn1*, 3), angiotensinogen (*Agt*) and arachidonate 5-  
11 lipoygenase (*Alox5*), all of which are known to regulate retinal capillary tone (48). While  
12 retinal neuronal / glial cell contamination may confound the genes identified within the  
13 microglial isolate, the low levels of neuronal signature genes (Fig. S5) suggest any effect  
14 would be minor. Importantly, pre-incubation with the AT1R antagonist, candesartan,  
15 inhibited microglial-mediated vasoconstriction and incubation with fractalkine induced up  
16 regulation of microglial *Agt* expression which was not observed when *Cx3cr1* was genetically  
17 ablated (*Cx3cr1*<sup>GFP/GFP</sup>). These data together with the dysregulated microglial genes  
18 identified during diabetes (*Agt* and *Ahr*), implicate the RAS in microglial-mediated  
19 vasoregulation. All components of the RAS have been observed within the retina, with  
20 angiotensin II (AngII) implicated in the vasoconstriction of all retinal vessels (arterioles,  
21 capillaries and venules) via AT1R (30, 31). While this microglial-mediated vasoregulation  
22 via the RAS is novel, microglia are known to express components of this pathway, including  
23 angiotensin converting enzyme, AT1R, AT2R (49). In addition to vessel constriction via the  
24 microglial RAS, microglial activation and inflammatory cytokine production has been  
25 described after AngII exposure within the brain and retina (50, 51). Thus, the modulation of



1 the microglial RAS in normal tissue may be required for normal vessel control, whilst during  
2 pathology there may be a positive feedback cycle involving AngII, promoting microglial  
3 activation and inflammation.

4

5 Given the ultrastructural and immunocytochemical data suggesting microglia contact pericyte  
6 somata and processes, it is possible that microglia communicate directly with pericytes and  
7 utilise their vasomodulatory capacity (5) in order to constrict inner retinal capillaries.  
8 Supporting communication between both cell types, pericytes are able to modulate microglial  
9 phenotype during inflammation (52), while AT1R are expressed by pericytes enabling AngII-  
10 mediated constriction (31). In addition to pericytes, our data also show that microglia could  
11 elicit a response by communicating directly with endothelial cells (capillary areas free of  
12 pericytes), which are also known to express vasoregulatory substances (53). Finally,  
13 microglia may indirectly communicate with vessels via other retinal glia such as Müller cells,  
14 which express components of the RAS (54) and have been previously shown to regulate the  
15 inner retinal vasculature (9, 10). While a proposed mechanism is shown in Fig. 6, more work  
16 is required to explain how microglia signal to other members of the neurovascular unit to  
17 induce capillary constriction.

18

### 19 **Microglial involvement in capillary constriction during early diabetes and its effect on** 20 **retinal blood flow**

21 Our finding of reduced retinal blood flow throughout all retinal vessel types in response to  
22 short duration hyperglycaemia is supported by studies in both humans with diabetes and  
23 animal models of the disease (24). In contrast to larger retinal vessels which showed no  
24 alteration, a significant reduction in capillary diameter (~ -9%) within the superficial plexus  
25 was observed. To our knowledge this is a novel finding and while the change in capillary

1 diameter is small, it would lead to large effect on blood flow, since capillaries constitute the  
2 majority of retinal vasculature (55). One estimate indicated a 6% dilation in capillary  
3 diameter (~0.32  $\mu\text{m}$ ) generated the majority of blood flow increase evoked by neuronal  
4 activity (5). In addition to static vessel change, retinal capillaries from STZ-treated animals  
5 failed to constrict after hyperoxic challenge. This is the first report of *in vivo* retinal capillary  
6 diameter measurement during vascular challenge, however, previous human studies have  
7 reported altered hyperoxic retinal vessel responses (blood flow) in patients with type 1 (56)  
8 and type 2 (57) diabetes.

9  
10 As changes in the capillary network have been suggested to underlie the pathophysiology of  
11 early and later stage DR (24, 58, 59), it is tempting to speculate that microglial control of  
12 these vessels contribute to the vascular dysfunction in early diabetes. The data showing an  
13 increase in the number of microglial processes associated with the capillary network, the  
14 increase in microglial angiotensinogen (*Agt*) expression and the restoration of capillary  
15 diameter after candesartan cilexetil treatment all support this hypothesis. Even the increased  
16 microglial expression of aryl hydrocarbon receptor (*Ahr*), a negative regulator of  
17 vasoconstriction (42), may be incorporated into this theory, since recent work shows it  
18 contributes to vessel stiffness (60). Therefore, the increased *Ahr* and *Agt* expression may  
19 contribute to the phenotype of smaller and less responsive retinal blood vessels in early  
20 diabetes. Additional support for a microglial-specific effect on the retinal vasculature during  
21 diabetes comes from work undertaken in STZ-treated *Cx3cr1<sup>GFP/GFP</sup>* animals, which showed  
22 increased acellular capillaries after 4 months of hyperglycaemia (61). Further work using the  
23 STZ-treated *Cx3cr1<sup>GFP/GFP</sup>* model is required to specifically explore the capillary constriction  
24 evidenced early in diabetes.

25

1 The microglial dysregulation of the RAS suggests this pathway is altered in diabetes. These  
2 data are supported by our supplementary data (*SI appendix*, Fig. S9) and previous studies  
3 showing increased angiotensinogen within the vitreous of individuals with proliferative DR  
4 (62) and increased vitreal AngII concentrations and elevated retinal AngII, AT1R and AT2R  
5 levels in rodent models of diabetes (63, 64). As well as causing vasoconstriction, AngII is  
6 also known to uncouple pericytes from the endothelium, thereby altering vessel permeability  
7 and contributing to the development of microaneurysms, a key clinical determinant of DR  
8 (31). Validating the positive effects of candesartan on capillary vessel diameter and providing  
9 further support for the role of the RAS in DR, an earlier clinical trial showed candesartan  
10 blockade to be successful in preventing the onset of clinical grade DR in individuals with  
11 diabetes without DR (65). As these beneficial effects did not extend to preventing progression  
12 of DR in those with the disease, it suggests dysregulation of the RAS is relevant to the early,  
13 preclinical stage of DR.

14

15 Therefore, when the current data is considered together with the literature showing the RAS  
16 dysregulation during diabetes and the several studies showing increased fractalkine protein  
17 levels in the retina of STZ-treated rats (66, 67), a hypothesis can be formulated whereby in  
18 early diabetes, increased fractalkine expression together with enhanced microglial process-  
19 capillary interaction and a dysregulated microglial RAS, result in increased capillary  
20 vasoconstriction. While this potential role of microglial vasoregulation in DR is novel and  
21 unlike its inflammatory roles later in disease (39), further work is required to fully understand  
22 this early dysfunction and how it contributes to later pathology such as the diminished  
23 hyperaemic response observed in patients with diabetes (68, 69) and retinal hypoxia leading  
24 to later stage DR (36, 70).

25

1 While candesartan blockade did restore retinal capillary diameter to control levels in the  
2 current study, retinal blood flow remained decreased. This was surprising, as reversing  
3 capillary constriction would be expected to increase retinal blood flow, given the importance  
4 of the microvasculature (5, 55) and previous work showed candesartan cilexetil to restore  
5 blood flow in diabetic rats, all be it after 2 weeks post-STZ (71). However, quantification of  
6 arteriovenous ratio in the candesartan-treated STZ animals showed increased diameter of  
7 these larger vessels. These data, in conjunction with previous work which showed  
8 angiotensin II-dependent constriction of arterioles and venules (72), suggest that the dilation  
9 of the larger retinal vessels in the candesartan-treated STZ animals may result in reduced  
10 retinal blood velocity which masked the effect of the dilated capillaries. A more targeted  
11 delivery of factors for microglial RAS blockade may overcome these confounds and provide  
12 a clearer picture with respect to capillary dilation and retinal blood flow.

13

14 In summary, this study identifies a novel role for microglia in the modulation of capillaries  
15 within the CNS, particularly the retina. It highlights the involvement of the fractalkine-  
16 Cx3cr1 signalling axis and implicates the RAS in microglial-mediated capillary  
17 vasoregulation in the normal tissue and during the early stages of DR. While inhibition of the  
18 RAS pathway alters capillary constriction, it does not alter overall retinal blood flow in early  
19 diabetes. Further work investigating the cellular mechanism of microglial-induced  
20 vasoconstriction and intercellular signalling between microglia and other components of the  
21 neurovascular unit, will provide valuable information on the retinal vascular response in  
22 health and disease.

23

## 24 **Materials and Methods**

### 25 **Animals**

1 Animal procedures were approved by the University of Melbourne Ethics Committee  
2 (#1613867) and adhered to the National Health and Medical Research Council of Australia  
3 guidelines and the Guide for the Care and Use of Laboratory Animals. To explore the role of  
4 microglia in retinal vasomodulation, *Cx3cr1<sup>GFP/+</sup>* and *Cx3cr1<sup>GFP/GFP</sup>* mice were used which  
5 have one or both alleles of the monocyte-specific receptor, *Cx3cr1*, replaced with enhanced  
6 green fluorescent protein (EGFP) (73). To show that *Cx3cr1* labels microglia within healthy  
7 retina and not infiltrating monocytes, immunohistochemistry was performed with select  
8 markers (*SI appendix*, Fig. S1). NG2-DsRed pericyte reporter mice were used to explore  
9 pericyte-microglial contact and were provided by Dr Sagdullaev. Adult mice were  
10 anaesthetised (ketamine:xylazine 67:13 mg/kg) and processed for transmission electron  
11 microscopy, live cell imaging or immunohistochemistry. Hyperglycaemia was induced in  
12 male adult (6 – 8-week-old) dark agouti rats via a single intraperitoneal injection of  
13 streptozotocin (STZ, 55 mg/kg, in trisodium citrate buffer, pH 4.5, Sigma-Aldrich Co, MO,  
14 USA), with control animals receiving an equivalent volume of vehicle. Blood glucose was  
15 measured 24 hours after injection to confirm conversion (>12 mmol/L; Accu-Chek Go,  
16 Roche Diagnostics, North Ryde, Australia). Weight and blood glucose levels were measured  
17 biweekly and STZ-treated animals received 2 units of insulin subcutaneously when blood  
18 glucose was  $\geq 30$  mmol/L (Novartis Pharmaceuticals Australia Pty. Ltd., North Ryde,  
19 Australia). A separate cohort of animals was treated with candesartan cilexetil (10  $\mu$ g/ml;  
20 Sigma-Aldrich, #SML0245) or vehicle (PEG400 / Ethanol / Kolliphor® EL / water,  
21 10:5:2:83, Sigma-Aldrich) in their drinking water, 24 hours after diabetes induction. After  
22 four weeks of diabetes, general anaesthesia was induced with an intraperitoneal injection of  
23 ketamine and xylazine (60 and 5 mg/kg respectively, Troy Laboratories Pty Ltd, Smithfield,  
24 Australia) prior to surgery, *in vivo* imaging and tissue isolation.

25

## 1 **Live cell imaging**

2 Anesthetised *Cx3cr1*<sup>GFP/+</sup> and *Cx3cr1*<sup>GFP/GFP</sup> animals (n = 5, 6 respectively) were injected  
3 intraperitoneally with rhodamine B (Sigma-Aldrich) to label blood vessels, since IB4  
4 labelling on live cell explants showed microglia cross reactivity (*SI appendix*, Fig. S4). After  
5 5 minutes, animals were overdosed (pentobarbitone phosphate, 120 mg/kg) and retinae  
6 dissected into chilled Ames medium (Sigma-Aldrich) pre-bubbled with carbogen gas (95%  
7 O<sub>2</sub>, 5% CO<sub>2</sub>). Retinae were imaged on an inverted confocal microscope (Leica SP5), perfused  
8 with 37°C carbogenated Ames at 1ml/minute. Recombinant rat fractalkine (200 ng/ml; R&D  
9 Systems, MN, USA, #537-FT-025/CF) or vehicle (PBS) was introduced after 10 minutes of  
10 baseline recording and imaged for a further 10 minutes. At the end of this incubation, vessel  
11 diameter was measured at sites with or without microglial contact and measurements  
12 expressed as a percentage of baseline diameter of the same vessel region (taken as the  
13 average vessel diameter over the initial 10 minute baseline). *Ex vivo* preparations were  
14 imaged for a total of 30 minutes to limit vessel calibre variability. While this *ex vivo*  
15 preparation may have limitations with respect to retinal blood flow, all explants were treated  
16 identically and all effects were relative to initial baseline. The vascular response to fractalkine  
17 after 4 weeks of STZ-induced diabetes was measured using the above protocol, while to  
18 assess the role of the RAS in fractalkine induced constriction, *ex vivo* retinae were pre-  
19 incubated in Ames or Ames + 230 nM candesartan cilexetil (Sigma-Aldrich) for 10 minutes.  
20 Fractalkine (200ng/ml) was subsequently added and imaged for 10 minutes (n = 5 fractalkine  
21 + candesartan; n=7 fractalkine), at which time vessel diameter was quantified relative to pre-  
22 incubation baseline. While candesartan cilexetil is a prodrug that is generally activated during  
23 gastrointestinal absorption, carboxyl esterases are present within the retina (74) and our  
24 previous work shows candesartan cilexetil blocks angiotensin-induced vessel effects when  
25 delivered directly to the eye (51).

1

## 2 ***In vivo* video fluorescein angiography**

3 For blood flow kinetic analysis of diabetic animals, *in vivo* video fluorescein angiography  
4 (VFA) was performed (n = 21 / group) as described previously using the Micron III rodent  
5 imaging system (Phoenix Research Labs, CA, USA) (33). This technique provides reliable  
6 quantification of blood flow kinetics using sodium fluorescein (1%, 100 µl/kg, Fluorescite  
7 10%, Alcon Laboratories, NSW, Australia). Additional details are provided in the *SI*  
8 *appendix*. The time taken from fluorescein entry into the retina to half-maximum intensity  
9 (fill time), and the time taken to fall from maximum intensity to the midpoint between  
10 maximum and final intensity after 30 seconds of imaging (drain time) were recorded.

11

## 12 ***In vivo* Optical Coherence Tomography Angiography**

13 To assess capillary diameter and capillary hyperoxic response *in vivo*, Optical Coherence  
14 Tomography Angiography (OCTA) was performed (OCT2 Spectralis, Heidelberg  
15 Engineering, Heidelberg, Germany). OCTA uses motion contrast imaging to generate real-  
16 time angiographic maps of the retinal vasculature (75). Volume scans (15 x 15-degree region  
17 of interest) were taken 2 – 3 disc diameters from the optic nerve. Each region consisted of  
18 512 B-scans with each B-scan consisting of 512 A-scans. Superior and inferior retina were  
19 scanned in both eyes. The vascular response to hyperoxic conditions was measured in 4-week  
20 STZ-treated and control animals by exposing the animal to 100% oxygen via a nose cone (3  
21 L/min). After a baseline image was taken, follow-up mode was used to acquire a second  
22 capillary image in the same retinal location, after 2 minutes of oxygen breathing.

23

## 24 **Immunocytochemistry**

1 Rat or mouse retinae were processed for indirect immunofluorescence in wholemount or  
2 cross section, as previously described (76). Human tissue was obtained and processed as  
3 described previously (77). Retinal microglia were labelled with rabbit anti-ionized calcium-  
4 binding adapter molecule 1 (Iba-1,1:1000; Wako, Osaka, Japan) or expressed EGFP  
5 ( $Cx3cr1^{GFP/+}$ ,  $Cx3cr1^{GFP/GFP}$ ), while blood vessels were visualised with *Griffonia*  
6 *simplicifolia* isolectin B4 (IB4, FITC 1:75; Sigma-Aldrich; 647 fluorophore 1:100; Thermo  
7 Fisher Scientific, MA, USA). While IB4 has shown cross reactivity with brain microglia and  
8 activated retinal microglia (78, 79), we observe no cross reactivity in any fixed retinal tissues.  
9 We also show better vessel coverage using IB4 compared to the endothelial marker CD-31  
10 (*SI appendix*, Fig. S10). Further details for immunolabelling are in *SI appendix*. All imaging  
11 was performed with a 20X objective on either Zeiss META / LSM800 confocals (Carl Zeiss,  
12 Oberkochen, Germany) or Leica SP5 (Wetzlar, Germany), while high resolution imaging of  
13 microglial-pericyte contact and EGFP expressing microglia was performed at 63X. For  
14 subsequent analysis of retinal wholemounts, tile scans were taken at the superficial vascular  
15 plexus with z-stacks (15.6 $\mu$ m) used to accommodate the variations in retinal mounting. All  
16 subsequent image analysis was performed on maximum intensity projections.

17

## 18 **Image analysis**

19 **Vessel morphology:** Fundus images (n = 13 / group) were analysed for arteriole / venule  
20 width and tortuosity in MATLAB (Mathworks Inc., MA, USA) using the open source plugin  
21 ARIA (80) at an eccentricity of 1.5 and 2 disc diameters from the optic nerve. Capillary width  
22 (<15  $\mu$ m) within the superficial vascular plexus (OCTA) was measured using AngioTool  
23 (81). Confocal wholemount images (n = 11 animals / group) were grouped into arterioles,  
24 venules and capillaries based on their corresponding VFA profile and vessel masks used to  
25 segment subsequent analysis in Metamorph (Molecular devices, CA, USA) using the



1 angiogenesis tube formation application. Total vessel area was quantified in NIH ImageJ (82)  
2 for each vessel type and vessel density was expressed as percentage of vessel area covering  
3 the total retinal area. For all subjective measurements, individuals were blinded to the  
4 treatment group.

5

6 **Microglial, glial, pericyte histology and vessel interaction:** Microglia, pericytes and  
7 astrocytes from STZ-treated and control tissue were analysed in Metamorph utilising the  
8 neurite outgrowth application. Iba-1 positive microglia were segmented, counted and a mask  
9 generated. This microglial mask was overlaid on the vessel / pericyte masks and cells that  
10 overlapped with blood vessels by at least  $0.82\mu\text{m}$  were considered touching and were  
11 calculated as a percentage of total cells. For microglial blood vessel and neuronal contact in  
12 *Cx3cr1<sup>GFP/+</sup>* and *Cx3cr1<sup>GFP/GFP</sup>* retinae, areas of colocalization between individual microglia  
13 and vessels and synapses were rendered as a 3D volume and expressed as a percentage of the  
14 total volume of the microglial cell (Imaris, Bitplane, Zurich, Switzerland; 3 microglia /  
15 quadrant / retina, n = 5 animals / genotype). To further characterise microglial-pericyte  
16 interaction a custom Metamorph script was used to quantify contacts (within  $0.41\mu\text{m}$ )  
17 between microglia (Iba-1 positive) with pericyte somata and processes (NG2 positive), as  
18 well as capillary areas devoid of pericyte contact (NG2 negative, IB4 positive). Previous  
19 work has used EGFP in order to assess microglial contact with neurons in the retina and brain  
20 (17, 46, 83). Microglial morphology was also quantified using the automated neurite  
21 outgrowth application (Metamorph), while microglial-neuronal synapse and microglial-  
22 pericyte images were processed in Imaris. Astrocyte density within the ganglion cell layer  
23 was quantified for total retinal area and for overlap with each vessel type. Müller cell gliosis  
24 was quantified as previously described (84) (3 sections / animal, n = 6 animals).

25

## 1 **Electron microscopy**

2 Pre-embedding immuno-electron microscopy was used to investigate the ultrastructural  
3 association of inner retinal capillaries and microglia in the *Cx3cr1*<sup>GFP/+</sup> retina, as previously  
4 described (76). The immunolabelling of EGFP using this protocol shows that EGFP is present  
5 close (<50nm) to the membrane at the tips of microglial processes (Fig. 1C).

## 7 **Microglial isolation and RNA-Seq**

8 Retinae from control and 4-week STZ-treated rats (n = 5 control, n = 4 STZ, 12 weeks-old)  
9 were isolated, papain digested (Worthington Biochemical, NJ, USA), and labelled with  
10 CD11b-FITC conjugate (Miltenyi Biotec, Bergisch Gladbach, Germany) for microglial  
11 isolation (FACSaria III, BD Bioscience, San Jose, USA). RNA was isolated and RNAseq  
12 performed as in *SI appendix*. The identified microglial gene population was compared to  
13 other studies reporting microglial-enriched genes, as well as those detailing neuronal  
14 signature genes (*SI appendix*, Fig. S5). The RNAseq dataset was deposited into Gene  
15 Expression Omnibus (#GSE 139276). To explore fractalkine regulation of microglial RAS,  
16 retinae from C57bl6 and *Cx3cr1*<sup>GFP/GFP</sup> animals (n = 6) were incubated as above with  
17 fractalkine (200ng/ml, R&D Systems) or PBS for 2 hours at 37°C. Retinal microglia were  
18 isolated via FACS using the CD11b and EGFP labels. RNA was isolated and Smart-seq 2  
19 performed with 13 cycles of pre-amplification followed by quantitative PCR (see *SI*  
20 *appendix*).

## 22 **Statistical analysis**

23 Statistical significance was determined by two-tailed unpaired student's t-test, two-way  
24 ANOVA or RM-ANOVA depending on the experiment (Prism 6.0, GraphPad, CA, USA).  
25 Where required a Tukey post-hoc analysis was performed. Blood flow analysis was

1 undertaken using median regression analysis (STATA, StataCorp TX, USA). Alpha levels  
2 were set at 0.05. Numerical values are expressed as mean  $\pm$  standard error of mean (SEM)  
3 unless otherwise stated.

4

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7

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6

7

## 1 **Figure legends**

### 2 **Figure 1. Retinal microglia associate with vasculature and neuronal synapses.**

3 A: Wholemouted mouse retina ( $Cx3cr1^{GFP/+}$ ) was labelled with anti-EGFP (microglia,  
4 green), and *Griffonia simplicifolia* isolectin B4 (IB4, blood vessels, red). The highlighted  
5 region is magnified showing microglial association with vessels within the superficial  
6 vascular plexus (*inset*). B: The association of microglial processes with vessels of different  
7 diameters within the superficial plexus was quantified relative to vessel area for each vessel  
8 size and show microglia preferentially associate with capillaries. C: The ultrastructure of  
9 microglia-vessel contact within the  $Cx3cr1^{GFP/+}$  retina shows microglial processes  
10 (immunolabelled against EGFP, black dots) adjoin pericytes, which contact the endothelial  
11 cells lining the capillary lumen. D: A wholemounted retina from the NG2-DsRed pericyte  
12 reporter mouse (pericyte somata, processes, red) stained with Iba-1 (microglia, green) and  
13 DAPI (nuclei, blue) shows a microglial process making contact with pericyte somata. The  
14 boxed region is shown in XZ and YZ orthogonal projections (above and right). E: A high  
15 resolution rendered image of microglial-pericyte contact taken from asterisk in panel D. F:  
16 Microglial-pericyte interaction was further probed in rat retina and the extent of contact with  
17 pericyte somata, processes (NG2 +ve) and capillary areas lacking pericyte contact (NG2 -ve /  
18 IB4 +ve) quantified. G: A vertical section from a  $Cx3cr1^{GFP/+}$  retina labelled for blood  
19 vessels (IB4, magenta), microglia (EGFP, green) neuronal synapses (VGLUT1, red) and cell  
20 nuclei (DAPI, blue), showing microglial processes contact retinal vessels (asterisk) and  
21 neuronal synapses (arrow heads). The boxed region was imaged at higher resolution and  
22 rendered to highlight microglial-synapse interaction (*inset*). H; Neuronal-microglial-vascular  
23 contact is also observed in human retina (microglia, Iba-1, green; vessels, vitronectin,  
24 magenta, asterisk; neuronal synapses, VGLUT1, red, arrow heads; cell nuclei, DAPI, blue). I:  
25 When neuronal-microglial contact was quantified in the  $Cx3cr1^{+/GFP}$  mouse at the level of the

1 inner retina (vessels, IB4, red; microglia, EGFP, green, VGLUT1, blue), the majority of  
2 microglia contact both neuronal synapses and retinal vessels. Data presented as mean  $\pm$  SEM,  
3  $n=5$  (*B, F*),  $n=3$  (*I inset*), \* $p<0.05$ , \*\*\* $p<0.001$ . MC, microglia; PC, pericyte; EC,  
4 endothelial cell; CL, capillary lumen; ONL, outer nuclear layer; OPL, outer plexiform layer;  
5 INL, inner nuclear layer; IPL, inner plexiform layer; GCL, ganglion cell layer. Scale bars  
6  $500\mu\text{m}$  (*A*),  $50\mu\text{m}$  (*A inset, G, H*),  $20\mu\text{m}$  (*I*),  $10\mu\text{m}$  (*D*),  $5\mu\text{m}$  (*E*)  $0.5\mu\text{m}$  (*C*).

7

8 **Figure 2. Microglia constrict retinal capillaries via fractalkine-Cx3cr1 signalling and**  
9 **express genes for vasoactive agents.**

10 *A: Ex vivo Cx3cr1<sup>GFP/+</sup> retinae* (EGFP; microglia, green) were labelled with Rhodamine B  
11 (blood vessels, red) and imaged under live cell microscopy. *B: The addition of fractalkine*  
12 (FKN, 200ng/ml) induced vasoconstriction at sites of microglial contact (m+.  $n=4$  PBS,  $n=$   
13  $6$  FKN), while no significant vessel alteration occurred in areas lacking microglial processes  
14 (m-,  $n=5$  PBS,  $n=6$  FKN). When performed on *Cx3cr1<sup>GFP/GFP</sup> retinae*, no constriction was  
15 evident ( $n=5$ ). *C: The response of brain vasculature to fractalkine was tested in rat thin skull*  
16 *preparations, with constriction evident 120 seconds post-injection* ( $n=3$  PBS, FKN). The  
17 *insets show representative images at baseline and after fractalkine addition.* *D: Retinal*  
18 *microglia (EGFP, green), neuronal synapses (VGLUT1, red) and blood vessels (IB4, light*  
19 *blue) were imaged in Cx3cr1<sup>GFP/+</sup> and Cx3cr1<sup>GFP/GFP</sup> animals and the extent of vascular and*  
20 *neuronal contact quantified relative to microglial volume (see isolated microglia, red -*  
21 *neuronal contacts; blue - vascular contacts).* *E: Grouped data showed Cx3cr1<sup>GFP/GFP</sup> retinae*  
22 *to have reduced vascular contacts compared to Cx3cr1<sup>GFP/+</sup> retinae* ( $n=5$ ), while there was no  
23 *difference in neuronal contacts. Cx3cr1<sup>GFP/GFP</sup> microglia exhibited reduced process branching*  
24 ( $n=5$ ). *F: Using in vivo OCTA, retinal capillary diameter was increased in Cx3cr1<sup>GFP/GFP</sup>*

1 animals compared to *Cx3cr1*<sup>GFP/+</sup> retinae ( $n=4$  C57Bl6,  $n=6$  *Cx3cr1*<sup>GFP/+</sup>, *Cx3cr1*<sup>GFP/GFP</sup>),  
2 while there was no alteration in the diameter of arterioles or venules (A/V ratio shown in  
3 table,  $n=4$  C57Bl6,  $n=6$  *Cx3cr1*<sup>GFP/+</sup>,  $n=5$  *Cx3cr1*<sup>GFP/GFP</sup>). *G*: RNAseq was performed on  
4 FACS-isolated rat retinal microglia, with 268 genes identified as being angiogenic  
5 (GO:0001525), while 39 genes were involved in vascular constriction and 41 genes in  
6 vascular dilation (regulation of blood vessel diameter, GO:0097746). *H*: Vessel diameter was  
7 quantified in rat retinal explants pre-incubated in Ames (black trace) or Ames + 230 nM  
8 candesartan (red trace) for 10 minutes, after which fractalkine (FKN, 200 ng/ml) was added  
9 (shaded area, representative data from 1 retina,  $n = 5$  vessels). *I*: When grouped data were  
10 analysed 10 minutes after fractalkine addition, constriction was abolished when pre-incubated  
11 with candesartan ( $n = 7$  fractalkine,  $n = 5$  fractalkine + candesartan). Further supporting a role  
12 for the RAS, *ex vivo* incubation with fractalkine (FKN) resulted in an increase in microglial  
13 *Agt* expression, while this was not evident in the microglia isolated from *Cx3cr1*<sup>GFP/GFP</sup>  
14 retinae (*inset*,  $n=6$ ). Data expressed as mean  $\pm$  SEM, \* $p<0.05$ , \*\* $p<0.01$ , \*\*\* $p<0.001$ ,  
15 \*\*\*\* $p<0.0001$ . Scale bar 50 $\mu$ m (*A*), 15 $\mu$ m (*D*).

16

17 **Figure 3. Retinal blood flow is reduced and capillaries are constricted after 4 weeks of**  
18 **diabetes.**

19 VFA was used to quantify retinal blood flow in control and STZ-treated animals. *A-C*: En  
20 face heat-maps depicting fill time for arterioles, capillaries and venules, with insets showing  
21 representative average normalised fluorescence intensity traces for control (black line) and  
22 STZ-treated (red line) animals. *D*, *E*: The times taken to reach half maximum intensity (*D*, fill  
23 time) and half of final value from maximum (*E*, drain time) were quantified, showing fill and  
24 drain times were significantly increased in all vessel types in STZ-treated animals (unfilled

1 bars, control  $n = 23$ ; filled bars STZ,  $n = 21$ ). *F*: Sodium fluorescein fundus images were  
2 quantified for large vessel tortuosity ( $n = 13$ ) and arteriovenous ratio (*inset*,  $n = 13$ ), with no  
3 difference observed between STZ-treated (filled bars) and control (unfilled bars) animals. *G*:  
4 Immunohistochemistry was used to quantify vascular density in control and STZ-treated  
5 (unfilled and filled bars) eyes, with no difference observed between the two groups ( $n = 11$ ).  
6 The rendered image shows the segmented vessel types (*inset*, capillaries in yellow, arterioles  
7 in blue and venules in cyan). *H*: OCTA was performed *in vivo* to measure capillary diameter  
8 in control and STZ-treated (*inset*) animals, with the vessels measured shown in green. *I*: A  
9 significant decrease was observed in the capillary diameter in STZ-treated animals ( $n = 12$ )  
10 compared to control ( $n = 10$ ) within the superior vascular plexus. No alteration was observed  
11 in the intermediate/deep vascular plexi. Group data expressed as mean  $\pm$  SEM. \* $p < 0.05$ .  
12 Scale bars 500  $\mu\text{m}$  (*A*), 1 mm (*G*), 50  $\mu\text{m}$  (*H*).

13

14 **Figure 4. Microglia increase their contact with retinal capillaries after 4 weeks of STZ-**  
15 **induced diabetes.**

16 *A*: Wholemouted retina from control (*inset*) and STZ-treated animals were labelled for Iba-1  
17 (microglia, green) and IB4-FITC (blood vessels, red) and the extent of contact between  
18 microglia and vasculature quantified for each vessel type. While no difference in large vessel  
19 contacts occurred, microglia-capillary contact increased in the central retina of the STZ-  
20 treated animals (filled bars,  $n = 11$ ). *B*: Control (*inset*) and STZ-treated animals were labelled  
21 for Iba-1 (microglia, green), NG2 (pericytes, light blue) and IB4-FITC (blood vessels, red)  
22 and the extent of microglia-pericyte contact quantified for each vessel type. Microglial-  
23 pericyte association increased within the central retina of STZ-treated animals (filled bars,  $n$   
24 = 11). *C*: Using similar immunolabelling as in *B*, microglial association with pericyte somata,

1 processes and capillary areas lacking pericyte contact was quantified. The image analysis  
2 render (*inset*) highlights pericyte somata (red), pericyte processes (green) and pericyte-free  
3 vessels (blue), while microglia touching each of these regions were skeletonised and colour  
4 coded for quantification. While there were no preferential association, all contacts were  
5 increased in STZ-treated (filled bars,  $n = 5$ ) compared to control (unfilled bars,  $n = 5$ ) retinae.  
6 *D, E*: Macroglial change was assessed in control (unfilled bars,  $n = 11$ ) and STZ-treated  
7 (filled bars,  $n = 11$ ) retinae, with no alteration in astrocyte coverage (*D*), nor Müller cell  
8 gliosis (*E*) observed ( $n = 6$ ). *F*: Kinetic analysis of VFA was used to quantify fluorescein  
9 offset as a measure of BRB integrity. While arterioles and venules showed no change,  
10 capillary offset was increased in STZ-treated animals (unfilled bars, control  $n = 23$ ; filled  
11 bars STZ,  $n = 21$ ). *G, H*: The inflammatory status of microglia was assessed morphologically  
12 and no difference was found in the number of monocytes / microglia in central and peripheral  
13 retina (*G*,  $n = 11$ ), cell soma size, mean process length, or the number of process branching  
14 points (*H*,  $n = 5$  control,  $n = 8$  STZ). *I*: RNAseq data from retinal microglia taken from  
15 control and STZ-treated rats were screened for genes involved in the positive (GO: 0050729)  
16 and negative (GO:0050728) regulation of inflammation. While some inflammatory genes  
17 were altered, key inflammatory genes were unchanged after 4 weeks of diabetes. Data  
18 represented as mean  $\pm$  SEM. \*  $p < 0.05$ . Scale bar 50 $\mu$ m.

19

20 **Figure 5. Vasoactive gene expression from retinal microglia and fractalkine-induced**  
21 **vasoconstriction are altered after 4 weeks of STZ-induced diabetes.**

22 *A*: The responsiveness of retinal vessels to hyperoxic challenge was explored *in vivo* using  
23 OCTA (*insets* show OCTA images from baseline and after exposure to O<sub>2</sub>). While hyperoxic  
24 challenge (filled bars) lead to constriction in the control group ( $n = 10$  normoxia,  $n = 6$  100%  
25 O<sub>2</sub>), no capillary constriction was observed in the STZ cohort ( $n = 12$  normoxia,  $n = 7$  100%

1 O<sub>2</sub>). *B*: Microglial vasoregulation was investigated during diabetes, with 4-week STZ-treated  
2 and control retinæ exposed to fractalkine *ex vivo* (representative control and STZ images in  
3 *inset*). While vessels from control retinæ showed fractalkine-induced vasoconstriction (filled  
4 bar), STZ retinæ exhibited no change in vessel diameter ( $n = 5$  animals). *C*: Differential  
5 microglial gene expression data from 4 week control and STZ-treated animals were compared  
6 to vasomodulatory gene lists (vasoconstriction, GO:0097746; angiogenesis, GO:0001525;  
7 vasodilation, GO:0097746), with the RAS positive regulator angiotensinogen, (*Agt*) and  
8 negative regulator (*Ahr*) significantly dysregulated (FDR adjusted, citrate control  $n = 5$ , STZ  
9  $n=4$ ). *D*: OCTA was used to quantify retinal superficial capillary diameter in 4-week control  
10 and STZ-treated animals (unfilled and filled bars, respectively) exposed to candesartan or  
11 vehicle in their drinking water. In STZ-treated animals, capillary diameter returned to  
12 baseline in the candesartan-treated group ( $n = 7$  control,  $n = 8$ , 5 STZ vehicle and  
13 candesartan, respectively). *E*: Retinal blood flow was quantified using arterio-venous transit  
14 time and showed increased transit time (slower blood flow) in STZ-treated animals  
15 independent of candesartan treatment ( $n = 8$  control,  $n = 11$  and 8 STZ vehicle and  
16 candesartan, respectively). *F*: Quantification of the arteriovenous ratio showed candesartan  
17 treatment increased the diameter of larger vessels in STZ-treated retinæ relative to control  
18 and vehicle-treated tissues ( $n = 8$  control,  $n = 11$  and 8 STZ vehicle and candesartan,  
19 respectively). Data expressed as mean  $\pm$  SEM, \*  $p < 0.05$ , \*\*  $p < 0.01$ , \*\*\* $p < 0.001$ .

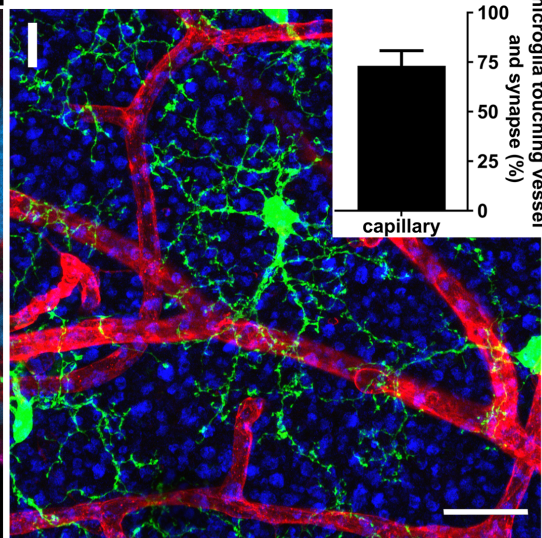
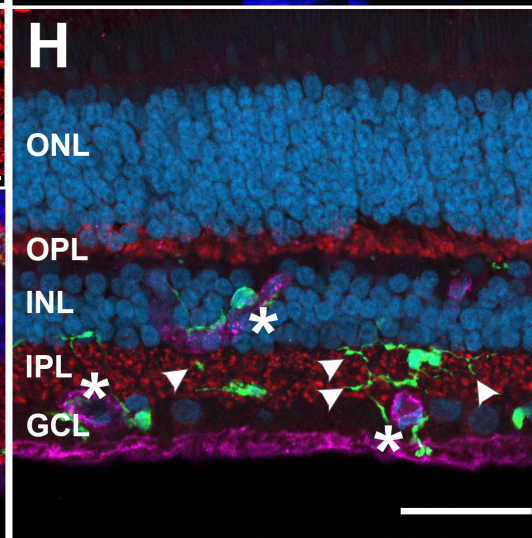
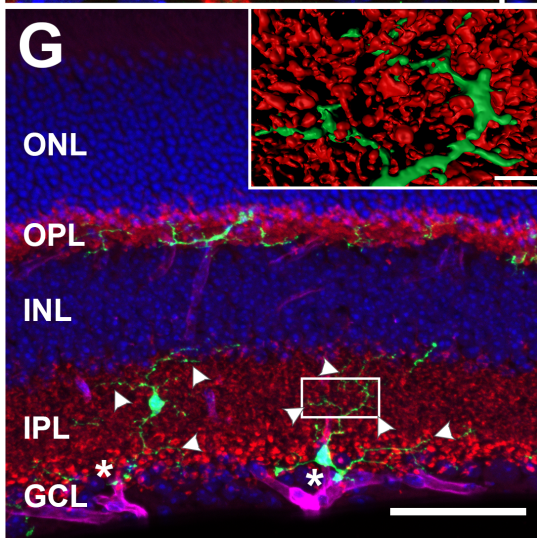
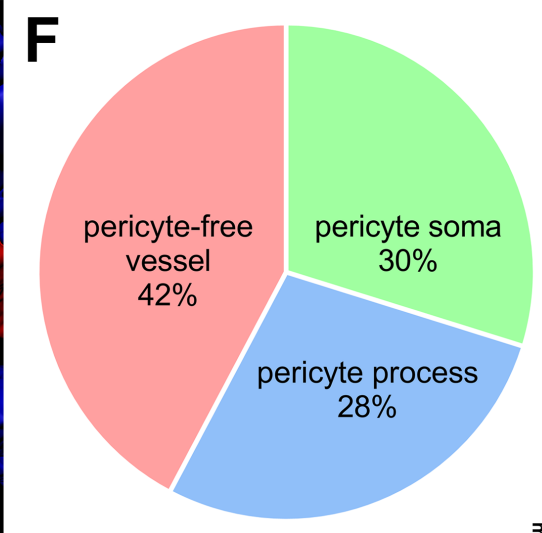
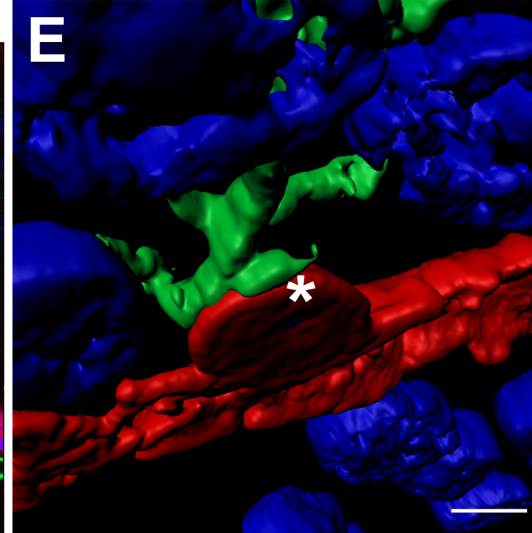
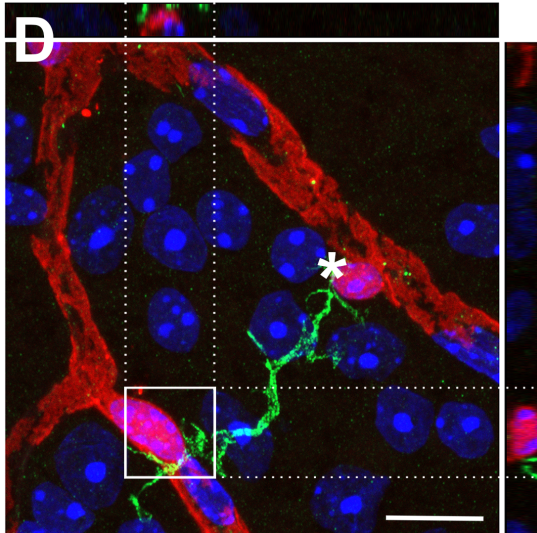
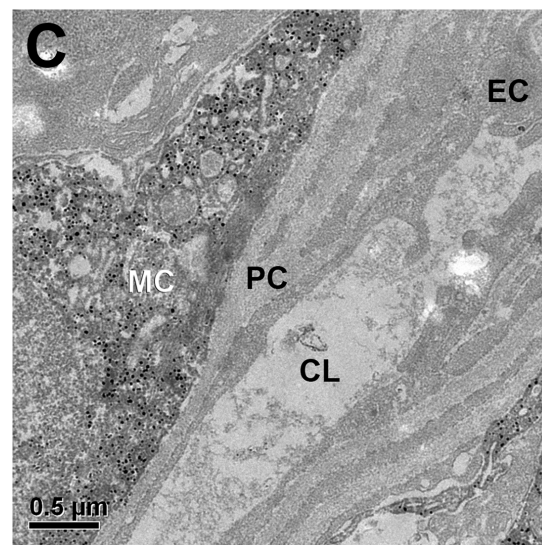
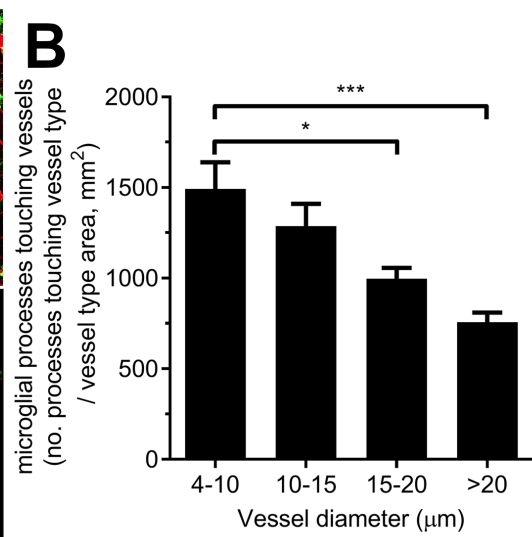
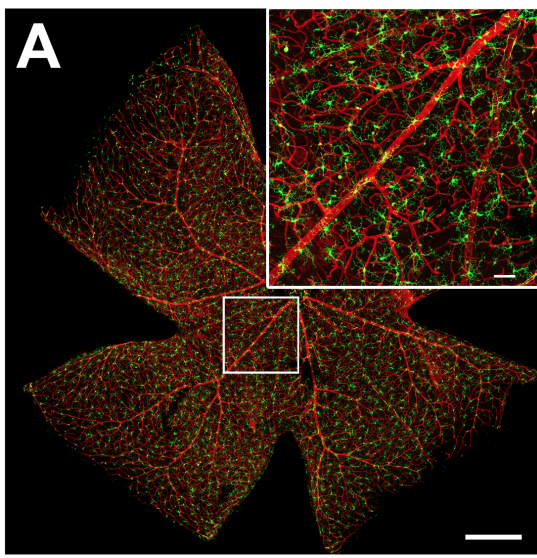
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21 **Figure 6. Schematic representation of microglial regulation of retinal capillary**  
22 **constriction.**

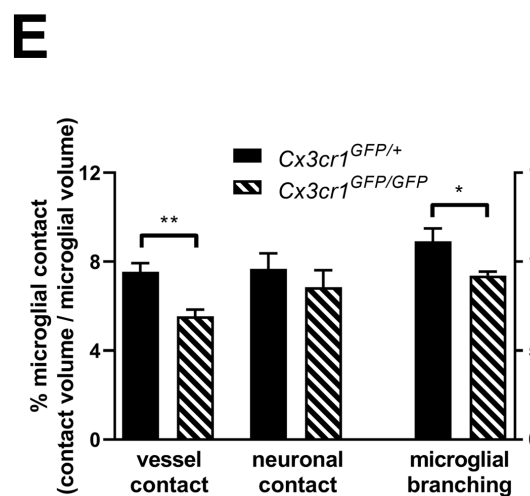
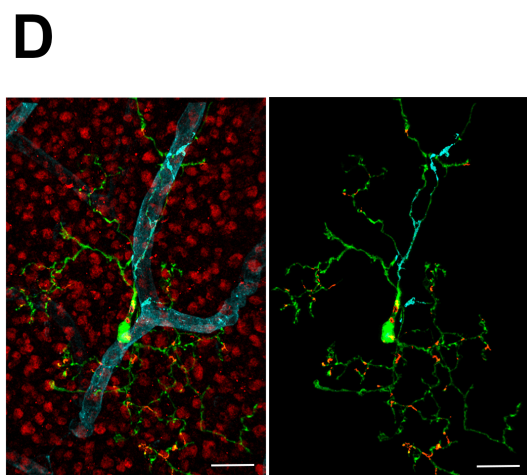
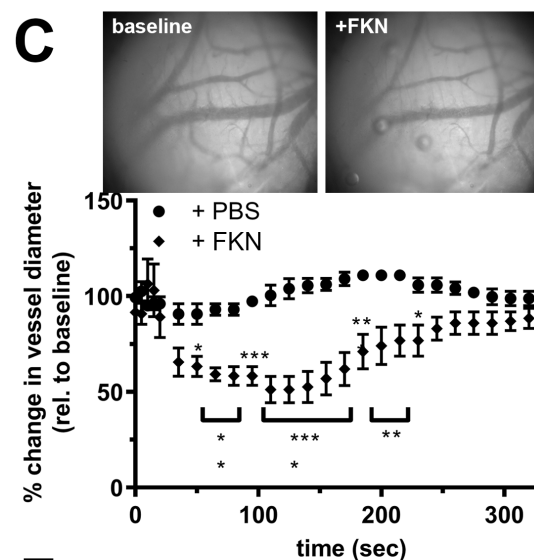
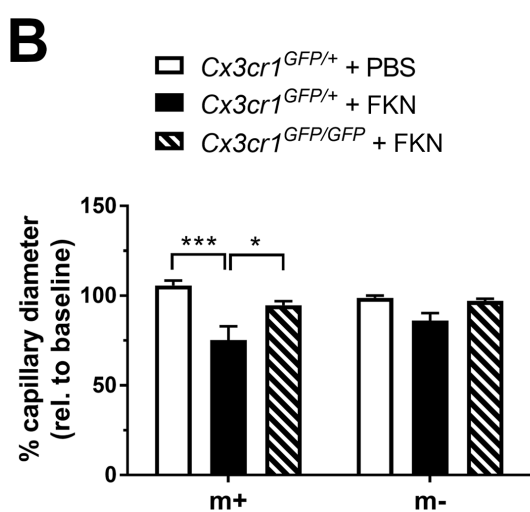
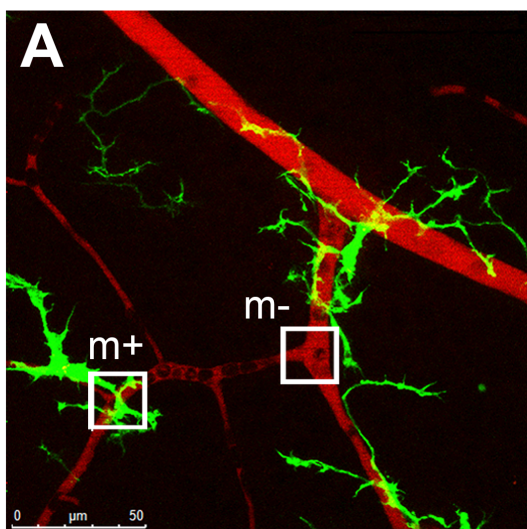
23 Data from this study shows microglia are structurally and functionally capable of  
24 involvement in the neurovascular unit. Microglia contact neuronal synapses and retinal

1 capillaries (including pericytes) and activation of fractalkine-Cx3cr1 signalling results in  
2 capillary constriction, which is via an AT1R-dependent mechanism. Ultimately, capillary  
3 regulation may occur via direct microglial mechanism or may involve contributions from  
4 pericytes and / or Müller cells. FKN, fractalkine; RAS, renin angiotensin system; AT1R,  
5 angiotensin II receptor type 1; PC pericyte; EC, endothelial cell.



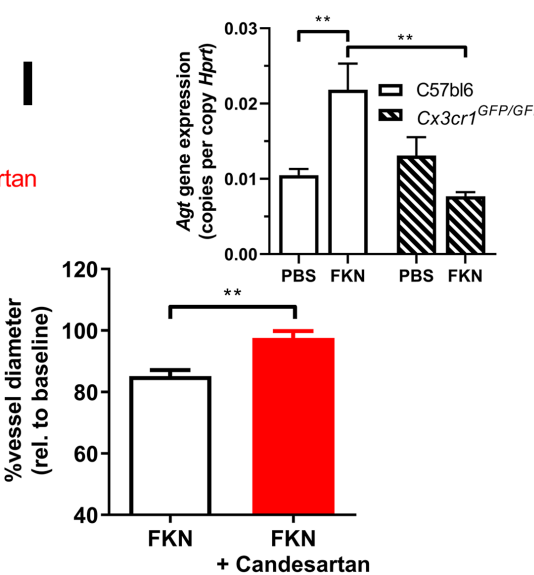
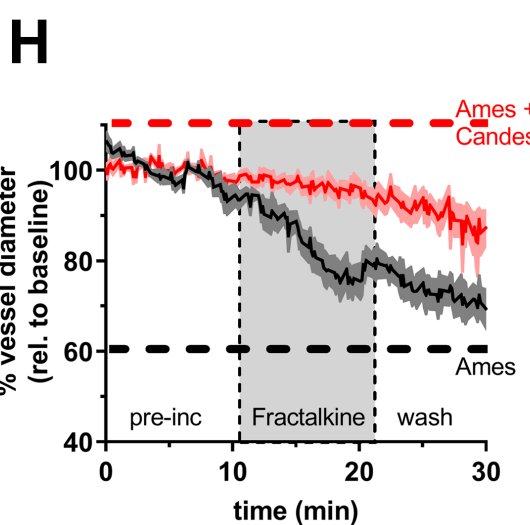
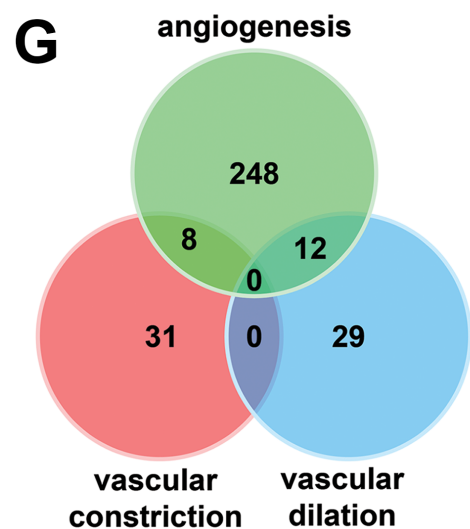
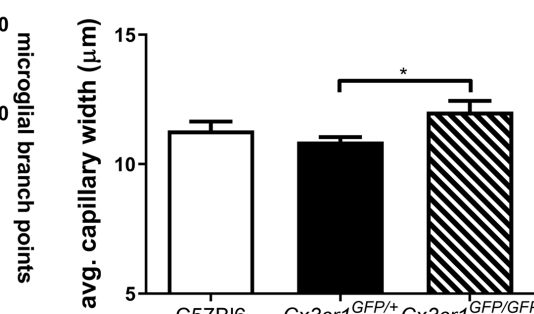


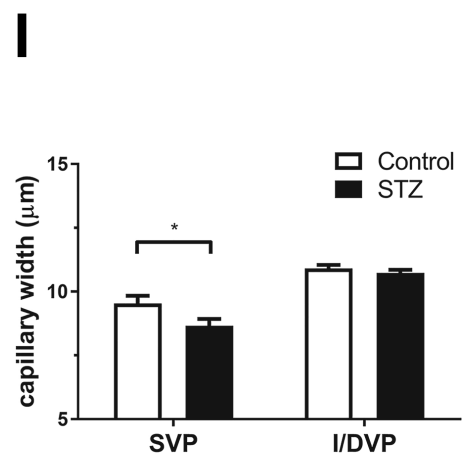
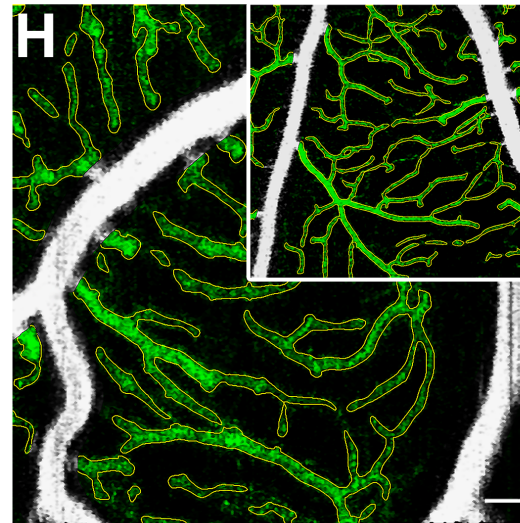
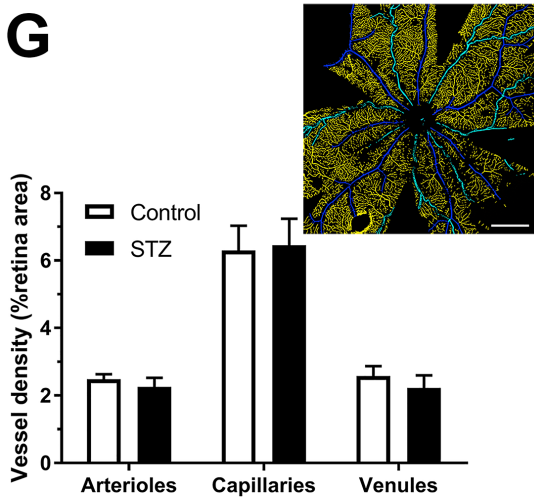
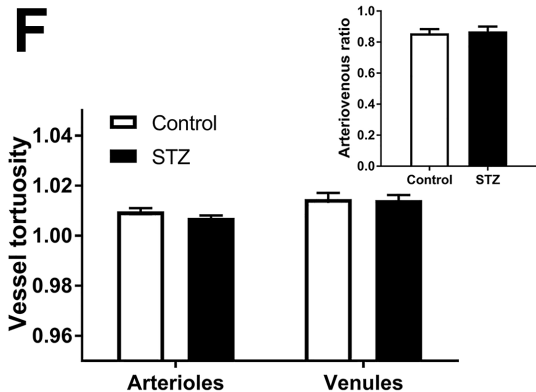
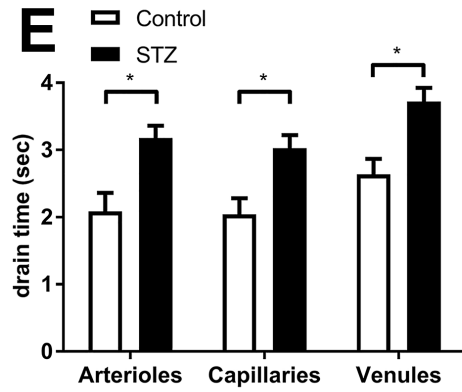
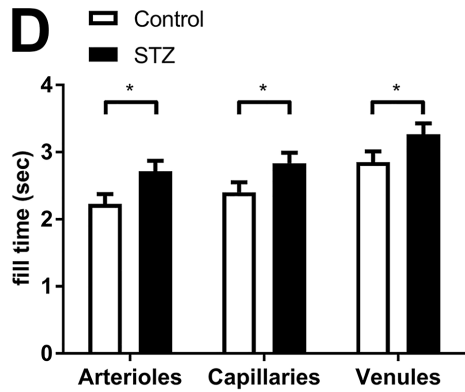
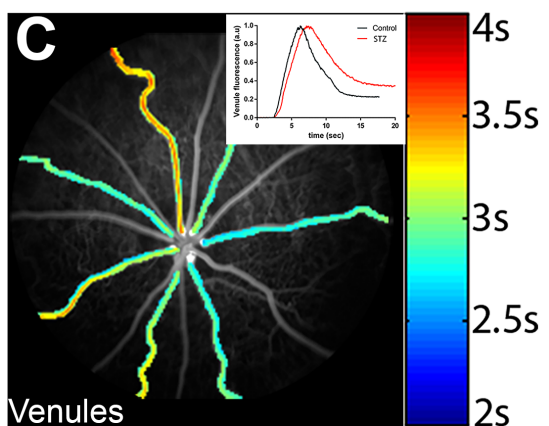
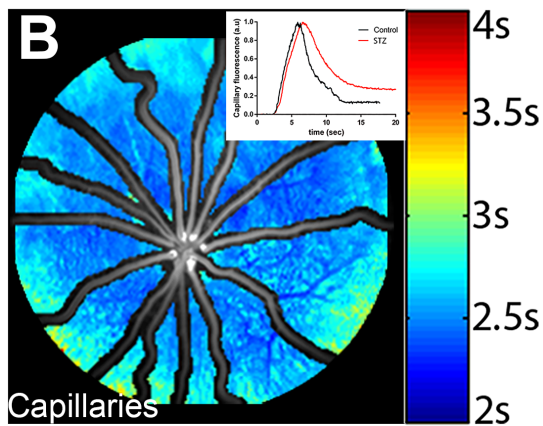
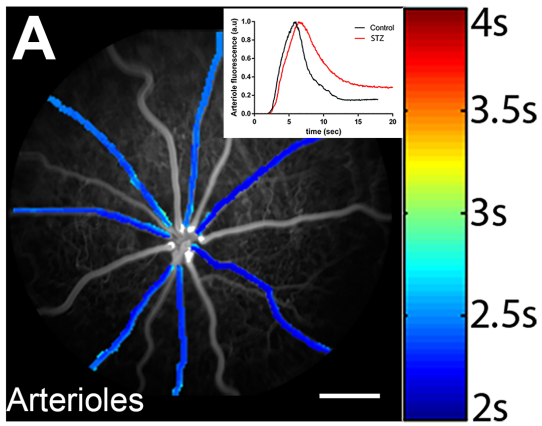


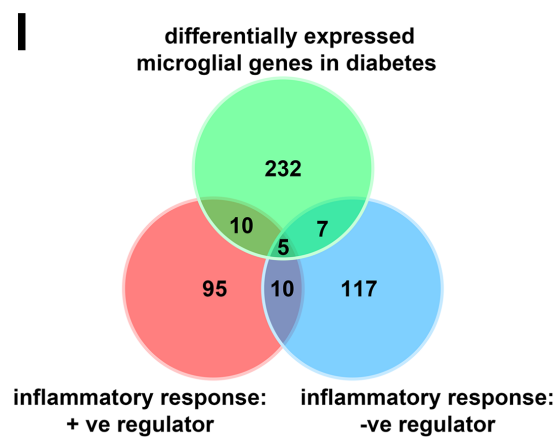
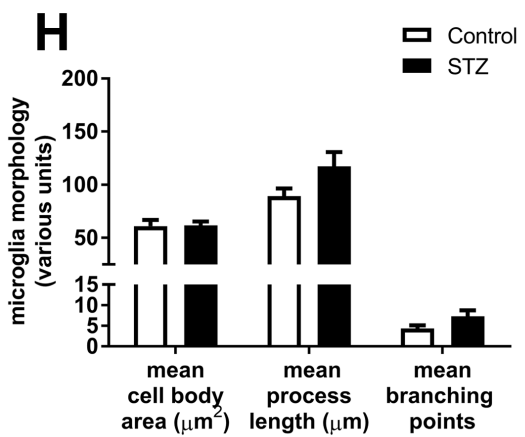
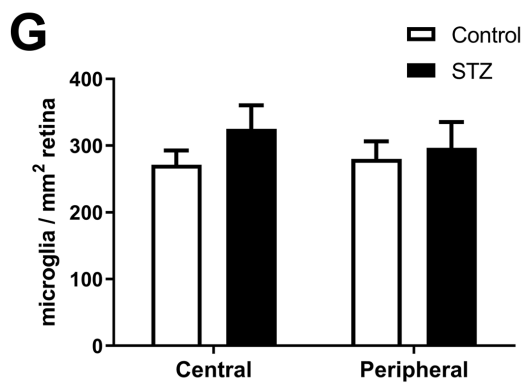
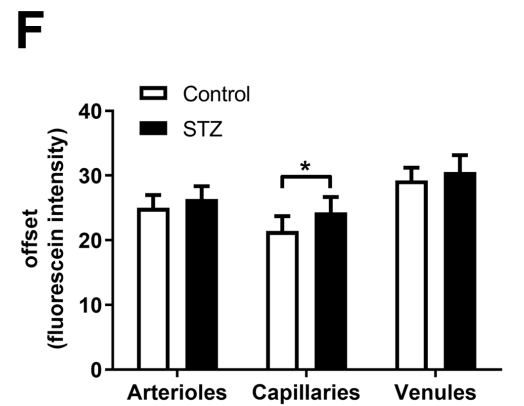
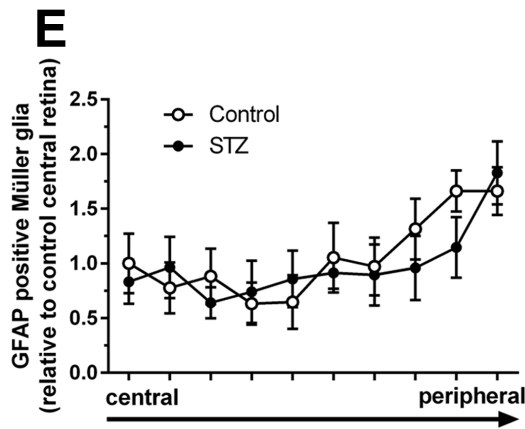
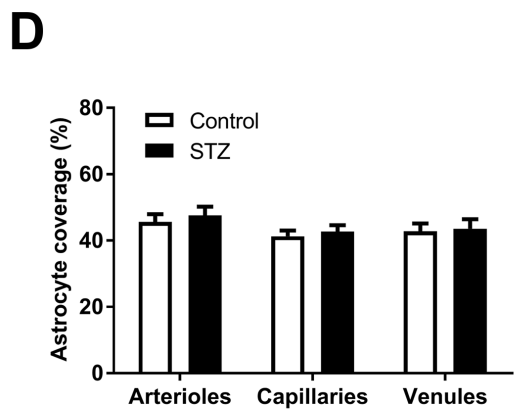
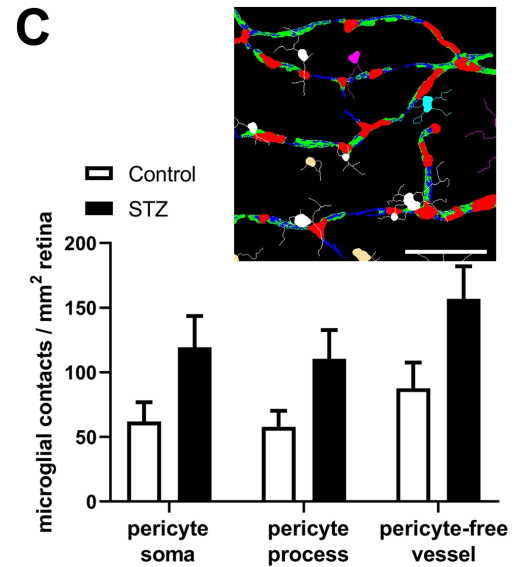
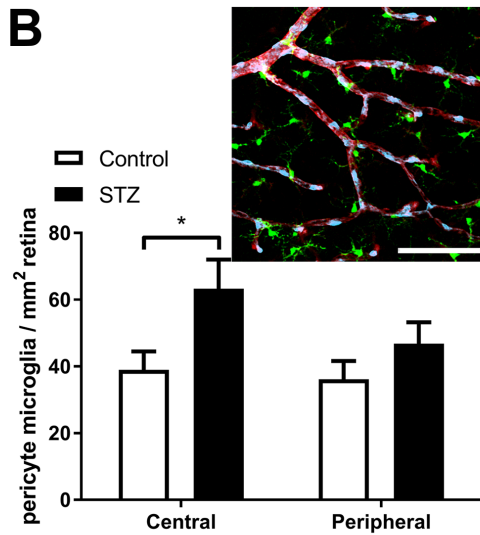
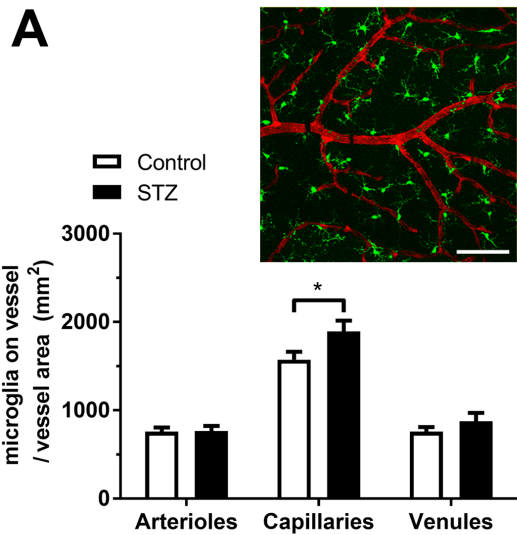


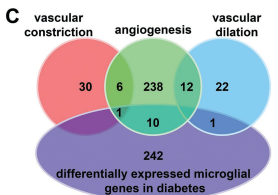
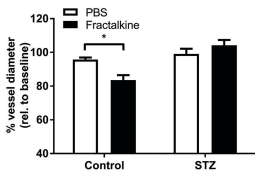
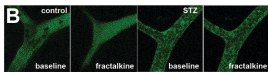
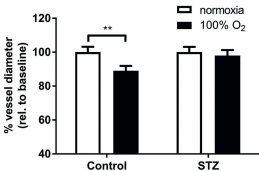
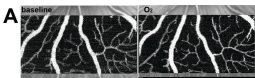
**F**

| Genotype                         | Arteriovenous ratio |
|----------------------------------|---------------------|
| C57Bl6                           | 0.96 ± 0.01         |
| <i>Cx3cr1</i> <sup>GFP/+</sup>   | 0.95 ± 0.03         |
| <i>Cx3cr1</i> <sup>GFP/GFP</sup> | 0.95 ± 0.02         |









|                  | gene ID    | fold change | p value  |
|------------------|------------|-------------|----------|
| vasoconstriction |            |             |          |
| +ve regulator    | <i>Agt</i> | 2.4         | 0.00007  |
| -ve regulator    | <i>Ahr</i> | 3.6         | 0.000006 |

